1	Management intensity controls soil N ₂ O fluxes in an Afromontane ecosystem
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29 Abstract

Studies that quantify nitrous oxide (N₂O) fluxes from African tropical forests and adjacent 30 31 managed land uses are scarce. The expansion of smallholder agriculture and commercial agriculture into the Mau forest, the largest montane forest in Kenya, has caused large-32 scale land use change over the last decades. We measured annual soil N₂O fluxes 33 between August 2015 and July 2016 from natural forests and compared them to the N₂O 34 fluxes from land either managed by smallholder farmers for grazing and tea production, 35 or commercial tea and eucalyptus plantations (n=18). Air samples from 5 pooled static 36 chambers were collected between 8:00 am and 11:30 am and used within each plot to 37 calculate the gas flux rates. Annual soil N₂O fluxes ranged between 0.2-2.9 kg N ha⁻¹ yr 38 ¹ at smallholder sites and 0.6-1.7 kg N ha⁻¹ yr⁻¹ at the commercial agriculture sites, with 39 no difference between land uses (p=0.98 and p=0.18, respectively). There was marked 40 variation within land uses and, in particular, within those managed by smallholder farmers 41 where management was also highly variable. Plots receiving fertilizer applications and 42 those with high densities of livestock showed the highest N₂O fluxes (1.6+0.3 kg N₂O- N 43 ha⁻¹ yr⁻¹, n=7) followed by natural forests $(1.1+0.1 \text{kg N}_2\text{O-N ha}^{-1} \text{ yr}^{-1}, n=6)$; although these 44 were not significantly different (p=0.19). Significantly lower fluxes (0.5+0.1kg N ha⁻¹ yr⁻¹, 45 p < 0.01, n=5) were found on plots that received little or no inputs. Daily soil N₂O flux rates 46 were not correlated with concurrent measurements of water filled pore space (WFPS), 47 48 soil temperature or inorganic nitrogen (IN) concentrations. However, IN intensity, a measure of exposure of soil microbes (in both time and magnitude) to IN concentrations 49 50 was strongly correlated with annual soil N₂O fluxes.

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52 Keywords: Tea, grazing, plantations, agricultural intensification, inorganic N 53 intensity

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60 1. Introduction

Nitrous oxide (N_2O) is a potent greenhouse gas (GHG), estimated to contribute about 6% 61 62 to anthropogenic climate forcing (Blanco et al. 2014). The atmospheric N₂O concentration has increased from 270 ppbv during the pre-industrial era to approximately 320 ppbv, 63 mainly due to stimulated soil N₂O emissions following the use of increasing amounts of 64 reactive N synthesized via the Haber-Bosch process for crop production (Parkin et al. 65 2012). While agricultural soils are considered major N₂O sources primarily due to fertilizer 66 application, tropical forest soils are also a major natural N_2O source because of often high 67 soil N availability and environmentally favorable conditions for N₂O production (Fowler et 68 al. 2009; Werner et al. 2007a) 69

70 In soils, N₂O is mainly produced through two microbial, enzyme-mediated processes: 71 nitrification (autotrophic and heterotrophic) and denitrification (Butterbach-Bahl et al. 72 2013; Davidson et al. 2000), although other production pathways such as nitrifierdenifrication (Kool et al. 2010) and dissimilatory nitrate reduction to ammonia (Silver et 73 74 al. 2001) have also been reported. Autotrophic nitrification is enhanced by oxygen availability, moderate water content (approximately 60% water filled pore space WFPS), 75 76 ammonium (NH₄⁺-N) availability, temperature greater than 5°C and soil pH greater than 5. Heterotrophic nitrification requires organic carbon (C), NH₄⁺-N supply and occurs in 77 78 acidic soils (Wood 1990; Zaman et al. 2012). Denitrification, an anaerobic microbial process where nitrogen oxides are used as alternative terminal electron acceptors instead 79 of O2, is driven by high soil water content (above 60% WFPS) as this hampers O2 diffusion 80 and results in creation of soil anaerobiosis. Besides the availability of nitrate (NO₃-) and 81 nitrite (NO₂), denitrification also requires the availability of easily degradable C 82 substrates. Several studies have observed a linear relationship between NO₃⁻N pools 83 and soil N₂O fluxes (Groffman et al. 2000; Schelde et al. 2012). However, at higher levels 84 of NO₃-N (>0.4 μ g NO₃-N g⁻¹) the N₂O flux yield by denitrification often decreases 85 (Gelfand et al. 2016; Schelde et al. 2012) as C substrate availability might become the 86 rate limiting factor. Both nitrification and denitrification therefore, are influenced by the 87 size of inorganic-N pools in the soil, and these pools depend on N turnover through 88 mineralization and soil amendments such as fertilizers and livestock excreta. 89

Nitrification and denitrification have been linked to N₂O fluxes through a conceptual "hole 90 in the pipe" model (Davidson et al. 2000) that links fluxes to the "size of the pipe" (i.e. the 91 92 amount of N that is nitrified and denitrified), and the "size of the holes" (i.e. the N₂O losses 93 from each process). Typically, this model relates the hole-size to soil water content, which controls the anaerobic status of the soil through its effect on gas diffusion. However, 94 95 prediction of N₂O fluxes based on simultaneously observed environmental factors and substrate concentrations (NH4⁺-N and NO3⁻-N) shows very weak to no correlations in most 96 studies (Gelfand et al. 2016; Maharjan and Venterea 2013; Veldkamp et al. 2008; Wolf et 97 al. 2011), partly because of complex interactions between drivers and temporal variation 98 in soil moisture. Mixed evidence has been reported with strong correlations between 99 cumulative N₂O and cumulative NO₃⁻, referred to as nitrate intensity (Burton et al. 2008), 100 however another study found no relationship between either nitrate or ammonium 101 intensity and annual N₂O flux but did find a strong correlation with nitrite intensity 102 103 (Maharjan and Venterea 2013).

104 N₂O fluxes measurements from agricultural and natural ecosystems in Africa are limited (Kim et al. 2016; van Lent et al. 2015). Recently, some studies have measured soil N₂O 105 emission datasets from African tropical forests covering lowland (Castaldi et al. 2013; 106 Gharahi Ghehi et al. 2013; Werner et al. 2007b), and montane (Gütlein et al. 2017) 107 108 tropical forests. However, these studies cover mostly a few weeks, and thus do not capture seasonal variability in fluxes (Werner et al. 2007b). Also, the focus of these 109 studies has been on natural forests and not necessarily on the succeeding land uses. 110 Only a few studies, (e.g. Gütlein et al. 2017, Arias-Navarro et al. 2017) have attempted 111 to fill this data gap and have studied GHG fluxes from tropical montane forests and 112 compared those to agricultural land uses. However, the latter study is an incubation study 113 with intact soil cores and applied regression analysis using observed changes in soil 114 moisture to calculate annual fluxes. 115

In the tropics, primarily in the Brazilian Amazon and Sumatra, conversion of natural forest
to agricultural land use has been shown to elevate soil N₂O emission for a short period
after which the emissions become lower or equal to the original forest (Melillo et al. 2001;
van Lent et al. 2015; Verchot et al. 2006). In land uses where inorganic fertilizers and

organic/manure inputs were used, soil N₂O emissions were often greater than those from
the fluxes from the original forest soils (Katayanagi et al. 2008; Lin et al. 2012; Veldkamp
et al. 2008).

123 Land use change involves changes in vegetation type and management practices that may cause changes in soil organic stocks and their quality (Metcalfe et al. 2011), soil 124 microbial communities and microclimate modification (i.e. soil temperature and water 125 126 content), all of which will influence GHG fluxes (Gates 2012). The Mau forest is the largest contiguous montane forest in Kenya (Wass 1995). Land use change in this forest has 127 occurred rapidly since the 1960s driven by the expansion of smallholder agriculture and 128 by commercial agriculture. While tea plantations replaced forests more than 50 years ago, 129 130 smallholder agriculture, primarily for grazing or for small-scale tea plantations, continue to drive forest loss. Within large tea estates, the main land uses are either tea or 131 132 eucalyptus and cypress plantations, with the wood used as fuel for the boilers to run the tea processing plants. On both the small and large-scale farms, tea fields are typically 133 134 fertilized with NPK (26% N, 5% P₂O₅ and 5% K₂O) compound fertilizer once or twice a year suggesting that emissions from these fields could be higher than emissions from the 135 136 natural forests.

137 The aim of this study therefore, was to quantify annual soil N_2O emissions from a tropical montane forest and compare these to the annual soil N2O emissions from converted land 138 uses: grazing land, tea in smallholder agriculture, tea in commercial plantations and 139 eucalyptus plantations. We also examined mineral nitrogen availability, soil pH, soil 140 141 temperature and soil water content to explain spatial changes in soil N₂O fluxes. We 142 hypothesized that tea fields and grazing lands have higher soil N₂O fluxes compared to natural forest and eucalyptus plantations due to fertilizer application and animal excreta 143 144 deposition. In addition, we hypothesized that natural forests would have greater soil N₂O emissions than the eucalyptus plantations. 145

146 2. Experimental methods and design

147 **2.1 Study sites**

This study was carried out in the South West (SW) Mau forest of Kenya in East Africa. 148 The Mau forest is a tropical montane forest, with high rates of deforestation (Baldyga et 149 al. 2008). Overall, forest cover was reduced from 520,000 ha to 340,000 ha between 1986 150 151 and 2009 (Hesslerova and Pokorny 2010), while between the 1990s and early 2000s the 152 forest area of the SW Mau decreased from 84,000 to 60,000 ha (Kinyanjui 2009). The vegetation in the SW Mau is classified as afro-montane mixed forest with broad-leafed 153 species such as Polyscias fulva (Hiern.Harms), Prunus Africana (Hook. f Kalkman), 154 Macaranga capensis and Tabernaemontana stapfiana (Britten), further information on 155 156 vegetation of the study area is reported by (Kinyanjui et al. 2014). This forest ranges from 2100 to 3300 m above sea level, has a mean annual rainfall of 1,988±328 mm at 2100 m 157 158 elevation (Jacobs et al., 2017) in a bimodal pattern with three to five drier months, and a mean annual air temperature between 15 and 18°C, and so it is situated in a semi-humid 159 160 climatic zone (Kinyanjui et al. 2014). During the study period (1 August 2015 to 31 July 2016), the study site received 2,050 mm of rainfall and the average daily air temperatures 161 was 16.6±3.9°C. The area received rainfall throughout the year, except for a drier period 162 between January 2016 and mid-April 2016, during which 217 mm of precipitation was 163 164 recorded. Weather data were obtained from a weather station (Decagon Devices, Meter group, Pullman WA, USA) installed within a radius of 5-10 km of our study sites at 165 elevation 2,173 m asl. A preliminary study revealed that the major land uses at adjacent 166 to the natural forests and settlements were grazing lands, tea and eucalyptus plantations 167 (Swart 2016). 168

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For this study, we selected two sites (Table 1 and Figure 1) approximately 5 km apart. Chepsir is an area occupied by smallholder farms, with most of the land used for annual cropping, grazing or tea production. The second site was at Kapkatugor, where most of the land was used for commercial tea and eucalyptus production. Tea production at both sites involves fertilizer application. At the commercial tea plantations (Kapkatugor site) fields received 150-250 kg N ha⁻¹ yr⁻¹ as NPK fertilizer, while the application rates at the smallholder farms (Chepsir site) ranged from no fertilizer to 125 kg N ha⁻¹. The rates and timing of fertilizer applications varied between sites and between the replicates at the
smallholder site and are shown in Figures 2e and 3e for the smallholder and tea estate
sites, respectively. The soils at both sites are classified as humic Nitisols (Jones et al.
2013), which are well drained, very deep, dark reddish brown to dark red soils, with friable
clays (FAO 2015).

182 2.2 Experimental design

183 At each site, we selected three transects crossing the land uses of interest (Table 1), in such a way that slope position, slope gradient and elevation were similar for each 184 transect. At the tea estate site of Kapkatugor the land uses were tea plantation (TET1, 185 TET2 and TET3), eucalyptus plantation (TEP1, TEP2 and TEP3) and natural forest 186 187 (TEF1, TEF2 and TEF3), thus each land use was replicated three times (Table 1). The eucalyptus plantations were monoculture eucalyptus planted at 2500 trees ha⁻¹ that 188 189 received no fertilizer inputs. The tea companies restrict human access to the adjacent 190 natural forest which results in reduced human activity and therefore limits illegal activities 191 such as charcoal production (Arias-Navarro et al. 2017) and illegal logging. At the smallholder site of Chepsir, the three land uses we were grazing (SHG1, SHG2 and 192 SHG3), tea (SHT1, SHT2 and SHT3) and natural forest (SHF1, SHF2 and SHF3), thus 193 194 land uses were replicated three times. The natural forest site at the smallholder landscape had less control and therefore more human encroachment; charcoal production and 195 illegal logging were more common than in the natural forest adjacent to the tea estates. 196 Grazing management was variable, with some farmers using continuous grazing at low 197 stocking densities (SHG3; 1.3 head ha⁻¹) and others using rotational grazing at higher 198 stocking densities (SHG1 and SHG2; 66 and 26 heads per ha⁻¹). In the two rotational 199 200 grazing paddocks, the animals were kept for approximately 12 hours per day for only 4-5 months of the year, while the continual grazing paddock (SHG3) consisted of a large area 201 202 (39 ha) where 50 cattle grazed throughout the entire year.

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204 2.3 Gas sampling and analysis

We used the static chamber method (non-flow-through, non-steady state) to estimate soil N₂O fluxes. At each sampling point five, 0.35 by 0.25 m PVC frames were inserted approximately 0.07 m deep in the soil at least 24 hours prior to the first sampling and these frames remained in place until the end of the sampling campaign. In a few cases bases were re-inserted after being removed or when broken/damaged, with gas sampling done at least 24 hours after re-insertion. The sampling was done twice per week from August to December 2015, after which we sampled once per week until the end of the campaign (31 July 2016). We increased the sampling frequency immediately after a fertilization event when we sampled every two days until fluxes returned to pre-fertilization levels.

During gas sampling, a ventilated PVC chamber fitted with a fan, a non-forced vent and 215 a sampling port was mounted to the PVC frame by metal clamps. Rubber sealing between 216 frame and chamber ensured air-tight sealing. We removed 10 ml of gas from each 217 chamber immediately upon closure and then after 15, 30 and 45 min. The five gas 218 samples from each of the five chambers were then pooled for analysis as explained by 219 (Arias-Navarro et al. 2013). During gas sampling, soil water content at a depth of 0.05m 220 was measured using a digital Pro-Check sensor (Decagon Devices, Inc. Pullman, 221 WA99163, US), while soil and chamber temperatures were taken with a digital probe 222 223 thermometer (TFA-Dostmann GmbH, Zum Ottersberg, Germany). Atmospheric pressure was measured using a Garmin GPS version V (Garmin International, 1200 East 151 224 225 street, Olathe, Kansas 66062, USA).

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227 Gas samples were transported to the Mazingira Environmental Center at the International Livestock Research Institute (ILRI), Nairobi, Kenya and analyzed within a week by gas 228 chromatography using a ⁶³Ni electron capture detector (SRI 8610C) for N₂O detection. 229 The minimum flux detection limit was 1.3 μ g N₂O-N m⁻² h⁻¹ (Parkin et al. 2012). For further 230 231 details on GC analytical conditions see e.g. Breuer et al. (2000). Gas concentrations (ppb) were calculated by comparing peak areas of the samples to peak areas of standard gases 232 with known N₂O concentrations. The N₂O fluxes were calculated from observed changes 233 in headspace N₂O concentration during chamber deployment using linear regression after 234 235 accounting for air pressure and temperature (Pelster et al. 2017). Annual cumulative 236 fluxes were obtained by calculating the area under the flux-time curve and summing the results while assuming linear changes in measurements between time intervals. 237

239 **2.4 Soil sampling and analysis**

At each sampling plot, five soil samples were taken from depth 0-0.05m and 0.05-0.2m 240 241 using a Eijkelkamp core sampler and rings (Eijkelkamp Agrisearch Equipment, Gies beek, The Netherlands). Soil samples were air dried at 30°C and sieved through 2mm sieve. 242 These samples were used for soil texture, pH, and total C and N measurements. Soil 243 samples for bulk density determination were dried at 105°C until constant weight was 244 attained. Soil texture was analyzed by the hydrometer method (Gee and Bauder 1986). 245 Soil pH was measured in 1:2.5 soil to deionized water slurry using a glass electrode 246 (Jackson 1958). The sieved soil was finely ground to powder and analyzed for total C and 247 N using the elemental combustion system (ECS 4010, Costech Instruments, Italy). 248

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Inorganic N concentrations (NH₄⁺-N and NO₃-N) were determined every fourteen (14) 250 days during the gas sampling campaign. At each sampling plot, a composite fresh soil 251 sample was taken from 0-0.05m depth from at least 3 points beside the chamber frames 252 using a sharpened-edge PVC cylinder (0.05 m height and inner diameter). Each fresh 253 254 sample had the plant litter removed and was mixed thoroughly. Approximately 10 g of the fresh soil sample was placed into a plastic bottle and 50ml of 0.5M K₂SO₄⁻ was added. 255 The slurry was shaken for 1 hour on a reciprocating shaker and was then filtered through 256 110 mm WhatmanTM filter enhanced with a vacuum pump, further filtering was done using 257 258 a 0.45 µm syringe filter (Minisart®, Sartorius Stedim Biotech Gmbh, 37079 Goettingen, Germany) to remove fine particles and filter blank corrections were applied. The extracts 259 260 were frozen immediately until analysis. Analyses for NH4+-N and NO3-N were done using an Epoch™ micro-plate spectrophotometer (BioTek® Instruments, Inc., Winooski, USA). 261 262 The remaining composite fresh soil sample was oven dried at 105°C until constant soil 263 weight to determine soil water content; thereafter inorganic N (IN) was calculated on dry soil mass basis. Annual cumulative NH₄⁺ and NO₃⁻ was calculated by integrating the area 264 under respective curves and herein referred to as NH4⁺-N intensity and NO3⁻-N intensity 265 266 (Burton et al. 2008) respectively, and the total of NH4+-N and NO3--N named "Inorganic N intensity". 267

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269 2.5 Data analysis

The mixed linear model of the ImerTest in the R package (R Team 2016) was used to 270 analyze the effect of fixed factor land use, with transect and/or sampling month as 271 272 blocking (random) factors on soil N₂O fluxes and/or monthly soil N₂O means. We also compared soil N₂O fluxes from 1) natural forest to converted land uses where 2) no 273 external inputs were added (N) and 3) those that received external inputs fertilizer or 274 animal excreta (Y) (Table 1). Here, 'external inputs' was the fixed factor while land use 275 was the random variable in the mixed linear model. Prior to analysis, data were tested for 276 normality using Shapiro-Wilk test (Shapiro and Wilk 1965) and log transformed (apart 277 from pH) when necessary. Differences of least squares means (difflsmeans) of the 278 ImerTest in the R package (Kuznetsova et al. 2015) were used for multiple comparison 279 of the treatments. When normality could not be achieved through data transformation, we 280 281 used the Friedman non-parametric test to carry out ANOVA. Correlations between annual soil N₂O fluxes and soil variables were evaluated using the Spearman rank test. One point 282 283 of the grazing land use (SHG2) was not used for correlation analysis between soil N_2O fluxes and total inorganic N after it was identified as an outlier with standardized residual 284 285 4.5 times larger than the standard deviation. To test the effect of rainfall on N₂O fluxes, we categorized dry and wet periods based on WFPS (%) rather than using the seasons. 286 287 We decided to do this because the study site receives sporadic rains even during the dry seasons. For our tests, we used 40% WFPS as a threshold that divides periods from 288 289 being dry to wet assuming this value to be between wilting point and field capacity (Harrison-Kirk et al. 2013). 290

291 **3. Results**

292 **3.1 Soil properties**

There were marked variations in soil properties among the land uses at both depths (0-0.05 and 0.05-0.20m) and at both sites (Table 2). Soil texture was generally clay except for the grazing and forest land uses in the smallholder sites, which were clay loams and loams respectively. Total C and N in both soil depths were strongly affected by land use (p<0.01). The highest concentrations of total soil nitrogen (TN) in the top soil was measured in the native forest soils, while lowest values were observed at the tea and grazing land at the smallholder site (Table 2). At the lower depth (0.05 – 0.20 m), the grazing land and forest land use at the smallholder site had the highest TN. Total carbon concentrations varied similarly to TN in both soil depths. The C:N ratio was highest for the tea plantations while the forest C:N ratio was lowest for both soil depths. Soil pH in the top soil ranged from 3.8 at the tea plantation to 6.6 at the smallholder forest plot, with a similar trend observed at the lower soil depth. Soil bulk density (BD) was highest under grazing land and lowest under forest at both soil depths. Intermediate BD values were observed in the rest of the land uses.

Soil water varied widely through the year in all land uses, ranging from 20 to 80% WFPS, 307 while soil temperature remained near to 15°C for most of the land uses (mean=16.7°C), 308 with the exception of the grazing plots where temperatures were consistently higher 309 310 (mean=18.8°C) than in all other plots (Figure 2c). Soil inorganic concentrations ranged from 3.6 to 40 µg N g⁻¹ soil through most of the season, but increased up to 132 µg N g⁻¹ 311 soil in the tea plantations shortly after synthetic fertilizers were applied (Figure 2e and 3e, 312 Table 3) although the highest concentration (111 µg N g⁻¹ soil) was measured in grazing 313 314 lands, likely because of animal excreta deposition. Differences in IN intensities were observed only at the tea estate site where both IN intensity and NH4+-N intensity were 315 316 higher (p=0.016 and p<0.001, respectively) in the tea than the forest and eucalyptus land uses. However, there was marked variation within land uses especially for the tea plots 317 318 at the smallholder site, where the coefficient of variation (CV%) was 89% (Table 3).

319 3.2 N₂O fluxes

Mean N₂O flux rates for the different land uses from 1st August 2015 to 1st August 2016 320 ranged between 0.87±3.5 μ g N₂O-N m⁻² hr⁻¹ (on 6th October 2015) and 153.4±6.7 μ g N₂O-321 N m⁻² hr⁻¹ (on 23rd May 2016) for land uses at the tea estate site of Kapkatugor; and from 322 -2.1±2.4 µg N₂O-N m⁻² hr⁻¹ (on 5th January 2016) to 118±123 µg N₂O-N m⁻² hr⁻¹ (on 17th 323 September 2015) for land uses at the smallholder site of Chepsir. At both sites and all 324 land uses, the mean daily fluxes were lower when WFPS was below 40%, but increased 325 significantly when WFPS was above 60% (Figure 2d and 3d, Appendix Table A1). Peak 326 soil N₂O fluxes corresponded to wetter periods, whereas soil N₂O fluxes observed during 327 the drier periods were between half to one-third smaller (Appendix Table A1). Weekly 328 temperatures of the top soil (0-0.05 m) were higher in the grazing land use $(18.8\pm1.3^{\circ}C)$ 329

compared to the natural forest ($15.2\pm0.8^{\circ}$ C) and tea plots ($15.7\pm1.1^{\circ}$ C) at the smallholder site (Figure 2c). At the tea estate, soil temperatures were consistent among the different land uses. Despite these differences in soil temperature, there was no significant correlation between N₂O fluxes and soil temperature (Appendix Fig A1).

Peak soil N₂O fluxes corresponded to IN peak concentrations in the tea plots from Kapkatugor as well as high values for WPFS (above 60%), although the relationship between weekly N₂O fluxes and IN concentrations and WFPS was very weak across land uses (r<0.01, p>0.10). Annual N₂O fluxes were similar between the different land uses at the smallholder (p=0.985) and at the tea estate (p=0.179) sites. However, high coefficients of variation (CV) in soil N₂O fluxes were observed within similar land uses of the smallholder site; especially in the grazing lands (CV=107%) and tea fields (CV=62%).

Management of similar land uses differed largely within the smallholder site (Table 1). In grazing lands, the N₂O fluxes were highest in the plots with high stocking density (SHG2, followed by SHG1), while the lowest fluxes were measured in the plot with low stocking density (SHG3, 1.3 head per hectare). There were also large variations in N₂O emissions within the smallholder tea fields with the lowest fluxes in plot SHT3 (0.67 kg N₂O-N ha⁻¹ yr⁻¹) where no fertilizer was applied, and the highest (2.34 kg N₂O-N ha⁻¹ yr⁻¹) at plot SHT1 where 125 kg N ha⁻¹ of fertilizer was applied (Table 1).

Annual fluxes were highest (1.6±0.3 kg N₂O-N ha⁻¹ yr⁻¹) for plots receiving N inputs (SHT1, SHG1, SHT2, SHG2, TET1, TET2 and TET3), which were similar (p=0.19) to the annual flux of the natural forest plots (1.1±0.1 kg N₂O-N ha⁻¹ yr⁻¹). Annual fluxes from the converted plots receiving no N inputs (SHT3, SHG3, TEP1, TEP2 and TEP3) were lower (0.5±0.1 kg N₂O-N ha⁻¹ yr⁻¹; p<0.01) than both the natural forest and the managed plots receiving N inputs.

Monthly soil N₂O flux at the smallholder site followed the same trend as annual fluxes where no significant difference (p=0.627) between land uses was observed. However, monthly soil N₂O fluxes were significantly different among land uses at the tea estate site, where fluxes from forest soils and tea plantations were higher (p=0.001) than from eucalyptus plantations.

There were strong correlations between annual N₂O fluxes from all plots and IN intensity 359 (p<0.001; r=0.72), ammonium intensity (p<0.01; r=0.57) and nitrate intensity (p<0.05, r=0.72)360 361 r=0.57) (Figure 5 and Table 4). No relationships were observed (p>0.05) between annual N₂O flux from all plots and other soil properties (e.g. pH, total carbon and nitrogen). The 362 combination of converted sites with no or little external N inputs and natural forest showed 363 positive correlations between annual N₂O fluxes and total N (p<0.01, r=0.74) and total C 364 (p<0.05; r=0.67) concentration, while bulk density (p<0.01; r=0.72) and C:N ratio (p<0.05; r=0.67)365 r=0.47) were negatively correlated with annual N₂O fluxes (Table 4). Also, the relationship 366 between annual N₂O and IN and NO₃-N intensities were stronger among plots where no 367 or little external inputs were applied (inclusive of natural forest plots). 368

369 **4. Discussion**

370 Cumulative annual N₂O fluxes from natural montane forest in this study (1.1±0.11 kg N₂O-N ha⁻¹ yr⁻¹) were within the range measured in other tropical and sub-tropical montane 371 forests; 1.2 kg N₂O-N ha⁻¹ yr⁻¹ in Panama (Koehler et al. 2009), 1.1-5.4 kg N₂O-N ha⁻¹ 372 yr⁻¹) for sites in Queensland, Australia (Breuer et al., 2000), 0.3–1.1 kg N₂O-N ha⁻¹ yr⁻¹ 373 for sites at Mt. Kilimanjaro, Tanzania (Gütlein et al. 2017), and 0.29 -1.11 kg N₂O-N ha⁻¹ 374 yr⁻¹ in Central Sulawesi, Indonesia (Purbopuspito et al. 2006). However, annual 375 cumulative N₂O fluxes at our forest sites were at the lower end compared to earlier studies 376 in Africa: 3.0±2.0 kg N₂O-N ha⁻¹ yr⁻¹ (Castaldi et al. 2013) in a tropical humid forest in 377 Ghana, and 2.6 kg N-N₂O ha⁻¹ yr⁻¹ (Werner et al. 2007b) for a tropical lowland forest in 378 Kenya. Spatial variation in N₂O fluxes from different forest sites have been attributed to 379 thermal and hydrological variations that drive processes such as soil organic matter 380 381 mineralization, nitrification and denitrification (Zhuang et al. 2012). Mean annual air temperature at the Kakamega is 20.4°C (Werner et al. 2007b) compared to 16.6°C at our 382 study area, difference that can be explained by elevation (1530 m Kakamega forest site, 383 2200 m at our study sites). Higher elevation and lower temperatures are associated with 384 385 reduced net mineralization rates (Koehler et al. 2009; Liu et al. 2017) resulting in lower N availability in the soil (Arnold et al. 2009; Purbopuspito et al. 2006; Wolf et al. 2011), and 386 387 with reduced rates of biological N₂ fixation at ecosystem scale (Cleveland et al., 1999).

These differences are consistent with observations that highland forests are typically N limited (Nottingham et al. 2015)

The annual N₂O fluxes from the smallholder and tea estate sites in this study (1.4±0.5 390 and 1.2±0.3 kg N₂O-N ha⁻¹ yr⁻¹, respectively) were higher than the fluxes (0.38 and 0.75 391 kg N ha⁻¹ yr⁻¹) reported by Rosenstock et al. (2016) for other tea producing areas in the 392 western Kenyan highlands where farmers applied approximately 112 kg N ha⁻¹ yr⁻¹. The 393 394 authors attributed the relatively low rates to low sampling frequency that could have led to missing out N₂O emissions peaks after fertilizer application as discussed by Barton et 395 al. (2015). Because we sampled every two days immediately following a fertilization 396 event, we likely captured any N₂O emission pulses that occurred after the addition of N, 397 398 resulting in a more accurate representation of cumulative N₂O fluxes from tea crops. Additionally, the soils at the western Kenyan highlands in the study by Rosenstock et al. 399 400 (2016) were more porous (sandy clay loams) compared to the clay soils in our study region. Generally, relatively porous soils emit less N₂O because the development of soil 401 402 anaerobic state that is required for denitrification is restricted by relatively high oxygen diffusion rates into soils (Rochette et al. 2008). At the smallholder site in our study, the 403 high variability in annual N₂O fluxes among the tea plots could be explained by the 404 different rates of fertilizer applications, which led to differential concentrations of inorganic 405 406 N in the soil (cf. Fig. 5).

407 Other studies that compared N₂O fluxes from forests and converted land use found either increased, decreased or no difference fluxes between forest and converted land use 408 depending on the time of conversion and management practices which affected soil 409 410 carbon and nitrogen content (Cheng et al. 2013; Melillo et al. 2001; Veldkamp et al. 2008; Wang et al. 2006). Lack of a difference in annual N₂O fluxes between land uses was due 411 412 to the high variability of management intensities within plots of a given land use. In both the smallholder tea and smallholder grazing sites, there was a wide range of management 413 414 intensities. The N₂O fluxes from the grazing land use in our study was similar to those from a previous study on grazing land in western Kenyan highlands with annual flux rates 415 416 of between 0.5 and 3.9 kg N₂O-N h⁻¹ yr⁻¹ (Rosenstock et al. 2016), where variation was attributed to management practices. Likewise, there were large variations in animal 417

densities between the three different grazing plots. The plots with the higher stocking 418 densities had higher annual N₂O fluxes (1.18 and 3.01 kg N ha⁻¹ yr⁻¹, respectively) than 419 420 the plot with low stocking densities (SHG3; 0.20 kg N ha⁻¹ yr⁻¹) perhaps because there 421 was greater transfer of nutrients from outside to inside the paddocks via animal excreta, but also likely due to more rapid cycling of N associated with pulses of high intensity. 422 More animal excreta likely led to N₂O emissions directly from the dung and urine (Pelster 423 et al. 2016), as well as increased N and C inputs to the soil that contributed to N₂O 424 emissions. However, when considering converted plots where no external inputs were 425 added, we observed a reduction in soil N₂O relative to natural forest, consistent with 426 427 observations by van Lent et al. (2015) where reduced fluxes were attributed to lower N availability. This is further supported by our results where topsoil N concentrations were 428 429 lower in eucalyptus and tea plots that received no inputs (Table 2).

430 Monthly soil N₂O fluxes from eucalyptus plantations were the lowest in our study and the annual fluxes (0.6±0.2 kg N₂O-N ha⁻¹ yr⁻¹) were also on the lower end compared to the 431 432 other land uses. Lower soil N₂O flux from eucalyptus plantations may be related to lower N cycling rates as reflected by lower IN intensities (Table 3). Relatively slower N 433 434 mineralization has been previously reported in eucalyptus plantation soils (Bernhard-Reversat 1988). Net mineralization decreases with increased soil C:N ratio (Springob and 435 436 Kirchmann 2003) and consequently reduced N₂O fluxes. In our study we also observed a strong negative correlation between C:N ratio and soil N₂O fluxes (Table 4). In addition, 437 total N was lowest in eucalyptus plantations (Table 2). Therefore, the lower total N 438 coupled with lower N mineralization likely caused the lower soil N₂O fluxes in eucalyptus 439 440 plantations.

The environmental variables that we measured at weekly intervals and soil inorganic N concentrations did not predict soil N₂O fluxes well. This is consistent with studies by Veldkamp et al. (2008) in the humid tropical forest margins of Indonesia and of Rowlings et al. (2012) in a subtropical rainforest site in Australia who found no correlation between N₂O and inorganic N (NH₄⁺ and NO₃⁻) concentrations, while studies by Wolf et al. (2011) and Purbopuspito et al. (2006) also found no correlation between WFPS and soil N₂O fluxes. This could be attributed to three factors:

- (i) complex interactions between drivers of soil N₂O fluxes in time and space (i.e.
 hot moments and hot spots: Groffman et al. 2000) in a way that mask the effect
 of the measured variables in our study;
- 451 (ii) gases originate from deeper soil layers for which environmental parameters were not measured (our study: 0-0.05 m). This is supported by studies by 452 453 Verchot et al. (1999) in native forests and coffee plantations in Sumatra and by Wang et al. (2014) for winter-wheat and summer-maize rotation in Northern 454 China who reported larger gas fluxes from deeper layers. Furthermore, Nobre 455 et al. (2001) reported the highest soil N₂O production from 5 to 20 cm of soil 456 457 depth. The soils in our study area are deep and well drained. Thus, deeper layers might contribute significantly to the soil N2O fluxes at the soil-458 459 atmosphere boundary;
- (iii) time lags between measurements of inorganic N concentrations and increases
 in soil N₂O fluxes. Such effects, which are partly related to low frequency
 sampling (Barton et al. 2015), can only be captured by using of automatic high resolution temporal sampling.
- Nevertheless, inorganic N intensities (NH4⁺-N, NO₃⁻-N and total IN intensities) correlated well with annual N₂O fluxes, which was previously observed by Burton et al. (2008). In our study the magnitude and temporal persistence of IN are likely related to the amount of substrate added through management (inorganic fertilizer, manure and urine) or the speed of N cycling in plots where no external N was added and in the natural forests.
- Soil temperature did not influence N₂O fluxes in our study, the same observation was
 reported by Werner et al. (2007b) in Kakamega forest in Kenya, contrary to what has
 been observed in many other studies as summarized by Skiba and Smith (2000). In our
 study area, temperature within land uses did not vary much throughout the study period,
 as is the case in many tropical systems.
- The significant positive relationship between annual N₂O fluxes and annual IN intensity shows that N₂O fluxes were closely coupled to N availability. The missing saturation effect, which finally manifests as an exponential increase in N₂O fluxes (Shcherbak et al. 2014), might be used to indicate that N₂O fluxes in this ecosystem are still N limited

478 (Davidson et al. 2000; Rowlings et al. 2012) and that increasing N availability, e.g. through
 479 increased fertilization applications, would result in even higher N₂O fluxes.

480 **5. Conclusions**

481 This study of a tropical montane forest in Kenya showed lower annual N₂O fluxes (1.1+0.1 kg N₂O-N ha⁻¹ yr⁻¹) than those from lowland tropical forests, which typically have fluxes 482 around 2.0 kg N₂O-N ha⁻¹ yr⁻¹ (van Lent et al., 2015). We attribute this difference in fluxes 483 to differences in environmental conditions such as air temperature. Wide variations of 484 485 annual soil N₂O fluxes within the managed land uses made it difficult to detect a land use effect; with variability of soil properties also added a confounding factor. The magnitude 486 487 of annual N₂O fluxes relative to the natural forest varied considerably within a given land use depending on management intensity and this makes generalizations difficult. We 488 found no correlation between N₂O flux rates and soil temperature, whereas peaks in flux 489 rates tended to occur at high (>60% WFPS) moisture content. To understand emissions 490 at annual scales and the factors that regulate these emissions, we looked at cumulative 491 N₂O fluxes and compared them with IN intensity. We found a linear increase in annual 492 soil N₂O fluxes with increasing IN intensity. Fertilized plots had the highest IN intensities 493 and also the highest cumulative N₂O emissions, indicating that management of converted 494 lands plays a larger role in determining the amount of N₂O emissions than land use in this 495 environment. 496

497 Acknowledgments

This work was funded by the CGIAR program on Climate Change, Agriculture and Food Security (CCAFS). Wanyama Ibrahim received additional support from DAAD through a doctoral grant number A/13/94827. Gas and soil samples were analyzed at the Mazingira Environmental Center, Nairobi, Kenya by laboratory technicians Vallerie Muckoya, Paul Mutuo and George Wanyama. We are grateful to the farmers and field staff who tirelessly worked with us throughout the sampling period, and to the Kenyan Forest Service for allowing us to sample in the South West Mau forest reserve.

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- Figure 1. a) Map of the study area in the South West Mau forest. Land uses classes derived
- from a Swart (2016) for the smallholder and tea estate sites. b) Daily rainfall, air and soil
- temperature from August 2015 to August 2016 measured at the study site in the SW Mau forest
- 811 of Kenya



Figure 2: a) Mean (± SE) inorganic nitrogen concentrations of nitrate (NO₃-), b) Ammonia (NH₄+)
measured bi-weekly between August 2015 to December 2015 and weekly between December 2015 to
July 2016, c) Soil temperature, d) Water filled pore space (%WFPS) and precipitation (in mm) and e) Soil
N₂O fluxes of different land uses (forest, grazing and tea) with three replications at the smallholder site.
Fertilizer application rates and timing in the tea plots are indicated with arrows in e). Error bars are
standard error of means.



820 821 Mar Apr May Jun Aug Figure 3: a) Mean (± SE) inorganic nitrogen concentrations of nitrate (NO₃), b) Ammonia (NH₄+) measured 822 bi-weekly between August 2015 to December 2015 and weekly between December 2015 to July 2016, c) 823 Soil temperature, d) Water filled pore space (%WFPS) and precipitation (in mm) and e) Soil N2O fluxes of 824 different land uses (forest, grazing and tea) with three replications at the tea state site. Fertilizer application 825 rates and timing in the tea plots are indicated with arrows in e). Error bars are standard error of means. 826



829 Figure 4: Annual N₂O fluxes from different land uses (Forest, Grazing, Tea and Plantation) at the

830 smallholder and tea estate sites. Error bars are standard error of annual mean of 3 replicates for land use

at each site. Analysis of variance showed no difference (p > 0.05) between land uses.



Figure 5: Relationship between annual N₂O fluxes and cumulative total IN exposure from
different land uses (Grazing, Forest, Tea and Plantation) at the tea and smallholder sites.

Table 1: Characterization of the sampling plots according to dominant land use for the study site at the SW Mau forest of Kenya.

Location and elevation, year in which the land use was established and the corresponding management practices for each plot are

846 presented. The fertilizer applied in tea fields was NPK.

Site/Land use	Code	Rep	Latitude	Longitude	Elevation (m)	Year established	Management	Inputs	Management intensity
Smallholder agriculture	<u>)</u>								
Forest	SHF1	1	-0.2978	35.4397	2305	Native vegetation	Charcoal burning	Ν	1
Forest	SHF2	2	-0.2995	35.4354	2267	Native vegetation	Wood collection	Ν	1
Forest	SHF3	3	-0.3032	35.4235	2234	Native vegetation	Open (low tree density)	Ν	1
Grazing land	SHG1	1	-0.2942	35.4365	2319	1997, annual crops before	Grazing cattle, excreta deposited	Y	3
Grazing land	SHG2	2	-0.2959	35.4339	2319	1970, forest before	Grazing cattle, excreta deposited	Ν	3
Grazing land	SHG3	3	-0.2985	35.4203	2283	2005, annual crops before	Low density cattle, little excreta	Y	2
Теа	SHT1	1	-0.2936	35.4371	2320	1999, shrubland before	Fertilizer at 125 kg N ha-1yr-1	Y	3
Теа	SHT2	2	-0.2964	35.4327	2291	1985, forest before	Fertiliser at 40 kg N ha ⁻¹ yr ⁻¹	Y	3
Теа	SHT3	3	-0.2987	35.4196	2294	2012, shrubland before	No fertilizer applied	Ν	2
<u>Tea estates</u>									
Forest	TEF1	1	-0.3165	35.3985	2169	Native vegetation	Little disturbance	Ν	1
Forest	TEF2	2	-0.3194	35.3964	2173	Native vegetation	Little disturbance	Ν	1
Forest	TEF3	3	-0.3225	35.3947	2170	Native vegetation	Little disturbance	Ν	1
Eucalyptus plantation	TEP1	1	-0.3143	35.3973	2198	2000, eucalyptus before	Timber harvested	Ν	2
Eucalyptus plantation	TEP2	2	-0.3172	35.3956	2163	2000, eucalyptus before	Timber harvested	Ν	2
Eucalyptus plantation	TEP3	3	-0.3199	35.3922	2146	2000, eucalyptus before	Timber harvested	Ν	2
Теа	TET1	1	-0.3133	35.3968	2208	1973, forest before	Fertiliser at 150 kg N ha -1 yr-1	Y	3
Теа	TET2	2	-0.3159	35.3943	2176	1973, forest before	Fertiliser at 250 kg N ha -1 yr-1	Y	3
Теа	TET3	3	-0.3187	35.3911	2168	1973, forest before	Fertiliser at 150 kg N ha -1 yr-1	Y	3

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Table 2. Soil physical and chemical characteristics for the study site at the SW Mau forest of Kenya. Values presented are means ± standard error of mean for the three replicates presented in Table 1.

Soil depth (m)	Site	Land use	Total Nitrogen (%)	Total Carbon (%)	C:N ratio	рН	Bulk density (g cm ⁻³)	Clay (%)	Sand (%)
	Smallholder	Forest	1.24±0.05a	13.4±0.7a	10.8±0.1b	6.6±0.1a	0.65±0.03b	22±0.1	46±2.0
	Smallholder	Grazing	0.74±0.03b	7.9±0.3b	10.9±0.1b	6.0±0.1b	0.94±0.02a	33±1.8	39±2.4
0-0.05	Smallholder	Теа	0.69±0.03b	8.4±0.5b	11.9±0.2a	5.4±0.2b	0.72±0.05b	45±1.0	24±2.0
0-0.00	Tea estate	Forest	0.94±0.04a	9.5±0.5a	10.1±0.1b	5.1±0.0a	0.60±0.03b	49±1.5	21±1.3
	Tea estate	Eucalyptus	0.61±0.02b	7.0±0.3b	11.3±0.7a	5.4±0.1a	0.74±0.03a	61±1.8	18±0.3
	Tea estate	Теа	0.91±0.10a	10.6±1.3a	12.0±0.1a	3.8±0.1b	0.67±0.04b	65±4.8	19±2.9
	Smallholder	Forest	0.58±0.02a	5.3±0.1b	9.3±0.2 b	6.1±0.1a	0.80±0.03b	49±1.3	21±0.7
	Smallholder	Grazing	0.64±0.03a	6.7±0.3a	10.6±0.2b	6.0±0.1ab	0.93±0.02a	40±4.2	30±3.1
0.05-0.2	Smallholder	Теа	0.46±0.01b	5.1±0.1b	11.2±0.3b	5.7±0.1b	0.84±0.03b	49±1.0	22±0.0
0.05-0.2	Tea estate	Forest	0.44±0.02a	4.3±0.2b	9.7±0.2b	4.8±0.1b	0.68±0.04b	48±1.2	24±3.4
	Tea estate	Eucalyptus	0.42±0.02a	4.6±0.2b	10.7±0.2b	5.5±0.1a	0.79±0.03a	57±0.7	18±1.2
	Tea estate	Теа	0.46±0.01a	5.7±0.2a	12.8±0.3a	4.1±0.1c	0.74±0.02a	53±1.8	21±2.9

Mean values of soil physical and chemical characteristics ± SE followed by same letter for each soil property within a site and soil depth were not significant at p<
 0.05

Table 3: Inorganic N intensities; ammonium (NH₄⁺-N) intensity, nitrate (NO₃⁻-N) intensity and total IN (NH₄⁺ -N+ NO₃⁻-N) intensity from 0-0.05m soil

856 depth for the different land uses (forest, grazing land, tea and tree plantations) at the smallholder and tea estate sites from the South West Mau

857 forest of Kenya. Values presented are means ± standard errors of the mean for three replicates. Analysis for each site was done separately.

Site	Land use	use Inorganic N Intensities (g N kg ⁻¹)					
		NH4+-N	CV (%)	NO3 ⁻ -N	CV (%)	Total IN (NH4+-N+ NO3 ⁻ -N)	CV (%)
Smallholder	Forest	3.5±0.5a	25	4.0±0.8a	35	7.5±1.3a	30
Smallholder	Grazing	4.6±0.6a	22	1.4±0.4a	46	6.0±0.5a	15
Smallholder	Теа	4.4±2.5a	99	2.7±1.2a	74	7.1±3.7a	89
Tea estate	Forest	2.2±0.3b	21	4.2±0.5a	21	6.4±0.3b	8
Tea estate	Теа	4.5±0.2a	6	5.5±1.5a	46	10.0±1.5a	25
Tea estate	Eucalyptus	1.8±0.3b	28	2.5±0.4a	29	4.3±0.7b	28

858 Inorganic intensities IN (mean±SE) followed by same letter for each parameter within a site are not significant at p<0.05

Table 4: Spearman correlation coefficients between soil properties and annual N₂O fluxes for all plots, for all forest plots and plots with no external inputs (n=11), Forest plots (n=6), plots that received no external inputs (n=5) and plots that received external inputs (n=7)

	A	All plots		Forest + No external input		orest	No external inputs		External inputs	
Soil parameter	n	N ₂ O	n	N ₂ O	n	N ₂ O	n	N ₂ O	n	N ₂ O
NH4 ⁺ Intensity	18	0.57**	11	0.36	6	0.49	5	-0.3	7	0.02
NO3 ⁻ Intensity	18	0.47*	11	0.80***	6	0.37	5	0.4	7	-0.14
(NH4 ⁺ +NO3 ⁻) Intensity	18	0.72***	11	0.85***	6	0.71	5	0.1	7	-0.05
Total Nitrogen	18	0.35	11	0.74**	6	0.37	5	-0.1	7	0.18
Total Carbon	18	0.31	11	0.67*	6	0.37	5	-0.3	7	-0.05
C:N ratio	18	0.11	11	-0.47*	6	0.09	5	0.1	7	-0.54
Bulk density	18	0.23	11	-0.72**	6	0.14	5	-0.9*	7	0.52
					1					

861 ;*, **, *** denote significance at p<0.1, p<0.05, p<0.01 and p<0.001, respectively

870 Appendix

- Table A1: Daily N₂O fluxes for three different land uses in the two study sites (smallholders and tea estate) calculated for wet and dry periods.
- These two periods are defined using a water filled pore space (WFPS) of 40%

		Daily N ₂ O fluxes (µg N ₂ O-N m ⁻² h ⁻¹)							
Site	Land use	n	Wet period	Dry period	p-value				
Smallholder	Forest	3	20.4±1.4	9.9±1.5	<0.001				
Smallholder	Grazing	3	22.7±3.1	11.9±3.2	<0.001				
Smallholder	Теа	3	28.1±2.2	7.1±1.9	<0.001				
Tea estate	Forest	3	13.3±0.6	7.4±0.6	<0.001				
Tea estate	Eucalyptus	3	8.1±0.6	5.2±0.8	<0.001				
Tea estate	Теа	3	31.4±2.9	10.8±6.4	<0.001				



Figure A1. Correlation between N₂O fluxes and soil temperature



