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Silicon-based Single Quantum Dot Emission in the Telecoms C-band

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ABSTRACT: We report the observation of single quantum dot (QD) emission in the telecoms C-band (1530 – 1565 nm) from an InAs QD structure grown on a Si substrate. A large red-shift of the emission is achieved by capping InAs QDs with a thin GaAsSb layer. Sharp lines, representing emission from single QDs, are observed out to wavelengths as long as 1540 nm. Comparison is made to the optical properties of a nominally identical active region structure grown on a GaAs substrate. Single QD emission from a Si based system at 1500 nm has the potential for single photon sources compatible with current optical fibres and reduced complexity of integration with drive electronics.

KEYWORDS: single-photon sources, quantum dots, Si substrate, C-band, III-V semiconductors, GaAsSb

The unique, discrete electronic density of states exhibited by semiconductor quantum dots (QDs) provides many applications for both conventional and novel light emitters. An example of the latter is the single photon source, with application in the field of quantum cryptography. Here a single QD is loaded optically or electrically with a quasi-random number of excitons. Because the photon energy resulting from a recombining exciton is dependent on the number of excitons remaining in the dot, subsequent radiative decay of the excitons gives rise to a sequence of photons, each exhibiting a different energy. The photon produced by the recombination of the final exciton can be spectrally selected; hence giving exactly one photon for each excitation event, independent of the number of excitons initially excited¹. Semiconductor QDs therefore provide highly compact and efficient on-demand sources of single photons.

Whilst GaAs-based QD single photon sources at 900–1300 nm²⁻⁴ and InP-based sources in the telecoms C-band at 1550 nm⁵ have been demonstrated, a Si-based C-band source, allowing direct integration with Si-based drive electronics, is highly desirable. Extension of the emission wavelength from QDs grown within a GaAs matrix has been demonstrated by capping InAs QDs with a thin GaAsSb layer; room temperature emission out to ~1600 nm has been achieved.⁶⁻²³ Detailed structural studies reveal that capping InAs QDs with GaAsSb prevents the decomposition observed when capping with GaAs. GaAsSb capped QDs were found to have a height approximately twice that of GaAs capped dots; the lateral sizes appeared to be very similar. The initial height of the QDs was found to be retained for a Sb composition greater than 14%.²² This suppression of the decomposition was attributed to the reduced lattice mis-match between InAs and GaAsSb, compared to InAs and GaAs.¹¹ In addition, it is well documented that capping QDs with a strain reducing layer (for example InGaAs but also GaAsSb) results in a red shift of the emission.²³ A third mechanism contributing to the extension of the emission wavelength is a transition to a type-II system for Sb compositions above ~14%,^{6,8,13,17,23} with the hole localized in the GaAsSb two-dimensional layer and the electron in the QD. Related to the structures studied in the present work are GaSb QDs and quantum rings grown in a GaAs matrix.²⁴⁻³¹ These also form a type-II system but with the electrons localized in the GaAs and holes in the QD or ring.^{25,29} Emission wavelengths around ~1.3 μm are typically observed.^{24,25,27}

In this paper we report the observation of single QD emission at wavelengths as long as 1540 nm from InAs QDs capped with a 6 nm GaAs_{0.74}Sb_{0.26} layer and grown directly on a Si substrate. As the number of QDs probed decreases, the inhomogeneously broadened emission breaks up into a small number of sharp lines, each line corresponding to emission from excitons localized in different QDs. Comparison

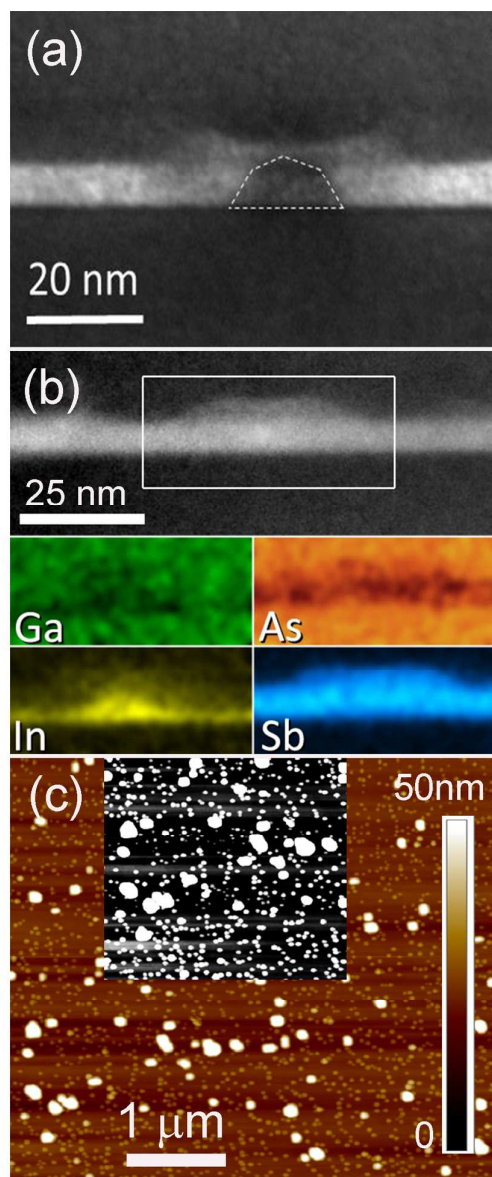


Figure 1. (a) High resolution dark field TEM image of a single GaAsSb-capped InAs QD (a dotted line demarcates the QD from the surrounding material). The bright region is the GaAsSb. (b) Annular dark field STEM image of a single GaAsSb-capped InAs QD, with energy-dispersive X-ray composition analysis. The white box shows the region selected for the compositional analysis. (c) AFM image of uncapped QDs from a calibration sample showing the two QD subsets. The smaller subset of QDs is more clearly seen in the black and white inset with enhanced contrast.

therefore be accurate. The contrast is roughly proportional to the square of the difference in mean atomic number of the group III and V atoms $(\bar{Z}_{III} - \bar{Z}_V)^2$, with an amplitude integrated through the specimen thickness³⁷; thus the GaAsSb layer appears bright while the GaAs matrix is dark. The InAs QD also appears dark due to a change in sign of $(\bar{Z}_{III} - \bar{Z}_V)$ compared to the GaAsSb cap. The InAs QD has a height ~ 8 nm and width ~ 23 nm. These values are reasonably consistent with previous cross-sectional scanning tunneling microscopy (X-STM) studies of a very similar structure, which found a height of 9.5 nm and a width of 32 nm, although the QDs had a more pyramidal shape.¹¹ The GaAsSb capping layer appears to be non-uniform close to the QD, with a ‘ring-like’ variation in thickness. A

is made to a nominally identical reference sample grown on a GaAs substrate. The present results suggest the potential for Si-based single photon sources emitting in the C-band.

Two samples were grown by solid-source III-V molecular beam epitaxy (MBE) on Si and [001] GaAs substrates. Both active regions were identical and consisted of 50 nm of GaAs followed by a single low density InAs QD layer capped with 6 nm of GaAs_{0.74}Sb_{0.26} and 50 nm of GaAs. These layers were grown at ~ 510 °C. Both sides were clad with a 100 nm Al_{0.4}Ga_{0.6}As layer grown at 610 °C and the structure was terminated with a 50 nm GaAs layer grown at 580 °C. The Si structure was grown directly on an n-doped Si (100) substrate with 4° offcut to the [011] plane. This substrate orientation is used to suppress the formation of anti-phase domains in the III-V material.³² Oxide desorption of the substrate was performed at 900 °C for 15 minutes, followed by cool down to 370 °C and the growth of a 200 nm GaAs nucleation layer comprising an optimized two-step growth scheme.³³⁻³⁵ An 800 nm GaAs buffer layer was deposited, followed by three dislocation filter layers (DFLs). These were included to reduce the number of dislocations, which form at the Si:III-V interface, propagating into the device active region where they degrade the optical efficiency. DFLs are highly effective in improving the performance of QD lasers grown on Si substrates, reducing the dislocation density from $\sim 10^9$ cm⁻² at the Si:III-V interface to $\sim 10^6$ cm⁻² in the active region.³⁶ The Table of Contents graphic shows a schematic of the Si-substrate structure, including the two methods used to isolate a small number of QDs - etched micropillars and holes in a metal mask. The vertical scale is exaggerated to clearly show the different epitaxial layers; in the actual structures the aspect ratio of the pillars is close to unity (see Figure 3 below).

Figure 1a shows a dark field 002 transmission electron microscopy (TEM) image of a single GaAsSb capped InAs QD. A number of QDs were examined and found to be of similar size and shape; the image shown is a representative example. Tilting of the TEM specimen showed that it was approximately ~ 50 nm thick, completely containing the QD; the specimen shape and size revealed in this image should

similar structure was observed in cross-sectional scanning tunneling microscopy (X-STM)¹¹ for samples where the GaAsSb was grown at 500 °C. This was attributed to migration of material away from the apex of the dot, hence minimizing the strain.

Figure 1b shows an annular dark field (ADF) scanning TEM image of a second GaAsSb capped InAs QD along with energy-dispersive X-ray spectroscopy (EDX) composition maps of the elements Ga, As, In and Sb. Contrast in the ADF-STEM image increases with atomic number, and the QD appears only slightly brighter than the surrounding material. The QD/cap interface in the In map is diffuse, making it difficult to accurately determine the QD height; the In composition profile indicates a value between 6.5 and 9.5 nm. Away from the QD the GaAsSb layer has a thickness of ~5-6 nm, consistent with a value of 6 nm estimated from the growth conditions. The In map reveals a non-zero In composition in the nominal GaAsSb capping layer, a result of In diffusion or segregation during growth. The incorporation of In will reduce the band gap of this layer.

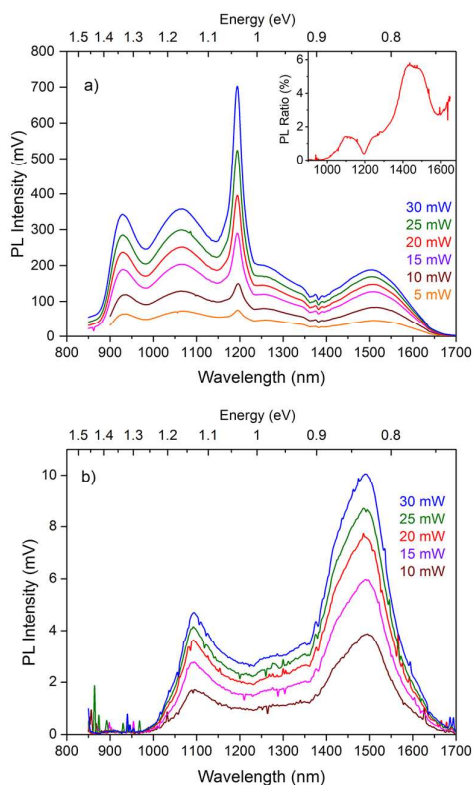


Figure 2. 77 K macro PL spectra: (a) Sample grown on a GaAs substrate (b) Sample grown on a Si substrate. A sharp feature at ~1270 nm in (b), produced by second order scattered laser light, has been removed to aid clarity. The inset to (a) shows the ratio of the PL intensity for the Si to GaAs grown samples for a laser power of 30 mW.

results from the different III-V and Si thermal expansion coefficients and cool down following growth.³⁶ In the optical data present below, both sets of QDs emit at essentially the same wavelengths for the Si and GaAs substrate samples, confirming very similar physical structures.

Initial optical characterization was performed for a sample temperature of 77 K with photoluminescence (PL) excited by a 638 nm laser focused to a diameter ~ 200 μm ; probing approximately 6000 of the larger dots. Figure 2a shows spectra recorded for excitation powers between 5 and 30 mW ($\equiv \sim 20\text{-}100 \text{ Wcm}^{-2}$) for the GaAs substrate sample. For the Si substrate sample (Figure 2b) the power range was limited to 10-30 mW because of weaker PL. Both samples exhibit a broad ($110 \pm 5 \text{ meV}$ and $80 \pm 2 \text{ meV}$ for the GaAs and Si substrate samples respectively) long wavelength feature centered at ~1500 nm, but with emission extending beyond 1650 nm. This emission is attributed to the subset of larger QDs, the large variation in dot size observed in AFM (Figure 1c) resulting in significant inhomogeneous spectral broadening. The cooled Ge detector used to measure the PL falls off rapidly in sensitivity above ~1400 nm and cuts off fully at ~1700 nm. An approximate correction for this spectral response suggests the presence of strong emission to at least 1650 nm. Other

Figure 1c shows an atomic force microscopy (AFM) image of an uncapped layer of QDs grown under the same conditions as the capped QDs used for optical studies. Visible in the image are two subsets of QDs. The distribution of the large QDs exhibits a significant spatial variation with a low average density, estimated to be of order $5 \mu\text{m}^{-2}$. These dots also exhibit a broad size distribution. The subset of smaller QDs has a much larger density $\sim 80 \mu\text{m}^{-2}$. We show below that the larger QDs are responsible for emission in the 1500 nm spectral region.

The structural images shown in Figures 1a and b were obtained for a sample grown on a GaAs substrate, the AFM image is for growth on Si. Previous structural studies of QDs grown on both Si and GaAs substrates have shown no significant differences in both shape, size and density. This is expected as a large thickness ($> 1 \mu\text{m}$) of GaAs is deposited on the Si substrate before the QDs are grown. In addition, optical studies have revealed the QDs to have very similar properties apart from a small energy shift of the emission for QDs grown on a Si substrate.³⁶ This shift is attributed to residue strain (0.24% at a temperature of 77 K) which

features present in Figure 2a are emission from the InAs wetting layer at ~ 920 nm, the subset of smaller QDs at 1070 nm and the GaAsSb capping layer at ~ 1200 nm. The estimated GaAsSb band gap for 26% Sb is 1204 nm, very close to the measured value.³⁸ The emission from the subset of smaller QD is brighter than that of the larger QDs, in agreement with their relative densities (Figure 1c). Previous studies of similar structures show only the long wavelength emission,^{7,8} indicating a single set of QDs. Structural studies of these samples¹¹ reveal QD parameters very similar to those determined from the present TEM and STEM measurements, indicating that the dots shown in Figure 1 give rise to the long wavelength emission at ~ 1500 nm.

The long wavelength QD emission from the Si substrate sample (Figure 2b) is ~ 20 weaker in comparison to the GaAs sample. This is attributed to dislocations reaching the active region where they act as non-radiative centers. These have a much larger effect on emission from the InAs wetting and GaAsSb capping layers as captured carriers are able to migrate in-plane until they reach a dislocation. No emission is observed from either layer in the Si substrate sample. In contrast, although the QD emission is reduced in intensity it is still visible because carrier capture by a QD inhibits subsequent migration to a dislocation.³⁹ In contrast to the GaAs substrate sample, emission from the lower density, larger QDs is more intense than that of the higher density, smaller QDs. This most likely reflects the much deeper confining potential of the larger QDs, which provides a strong barrier preventing carrier migration to dislocations. The differing behavior of the QD and bulk/2D layers is demonstrated in the inset to Figure 2a which plots the PL intensity ratio of the Si/GaAs samples for a laser power of 30

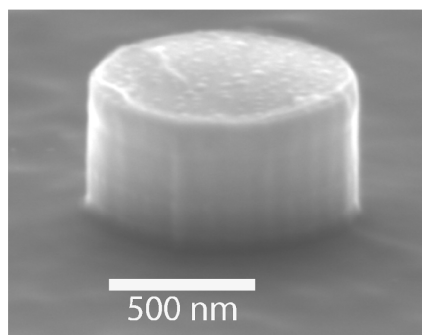


Figure 3. Scanning electron microscope image of a $1 \mu\text{m}$ diameter etched pillar on a GaAs substrate.

mW. Although the ratio is always less than unity, peaks are observed at ~ 1100 and ~ 1500 nm, corresponding to emission from the two subsets of QDs, demonstrating their reduced sensitivity to non-radiative defects. The optical efficiency of the Si substrate sample could be increased by including annealing steps during growth to further improve the effectiveness of the DFLs; this has been shown to significantly increase the optical efficiency of test structures and the performance of QD lasers.³⁶

In order to observe single QD emission the samples were etched into arrays of $1 \mu\text{m}$ diameter pillars with a pitch of $5 \mu\text{m}$ (Figure 3). The arrays were patterned using e-beam lithography and etched to a depth ~ 450 nm using inductive coupled plasma etching (ICP). The samples were cooled to ~ 20 K in a closed loop helium cryostat. A $50\times$ IR high NA microscope objective lens was used for both excitation and PL collection, giving a laser spot diameter of $\sim 3 \mu\text{m}$ for excitation with a 532 nm laser. PL was detected by a thermoelectric cooled InGaAs array. Data from the InGaAs array was taken at -90°C to reduce thermal noise/dark currents, giving a quantum efficiency of $\sim 76\%$ at 1550 nm.

Figure 4 shows typical low power spectra from four pillars: a) GaAs substrate, laser power $0.2 \mu\text{W}$, and b) Si substrate, laser power $2 \mu\text{W}$. All spectra show a number of sharp lines on a broad background. Each sharp line is attributed to emission from one of the small number of QDs isolated by the $\sim 1 \mu\text{m}$ diameter pillars. For the Si substrate sample, the longest observed single dot emission is 1540 nm, for the GaAs substrate sample it is 1510 nm. To the best of our knowledge this is the first reported observation of single QD emission at 1500 nm from QDs grown on Si or GaAs substrates. Si-based single QD emission at this wavelength is particularly significant as it provides the potential for single photon sources emitting at the minimum absorption of

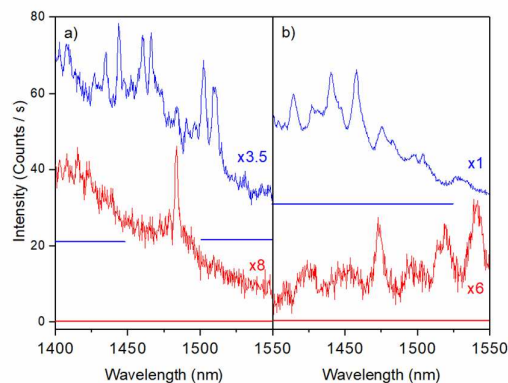


Figure 4. 20 K PL spectra for different micropillars a) GaAs substrate excited with $0.2 \mu\text{W}$ b) Si substrate excited with $2 \mu\text{W}$. The spectra have been offset vertically for clarity. The solid horizontal colored lines show the zero levels for the relevant spectra.

silica optical fibers and with simpler integration with drive electronics.

A greater variation in the PL intensity between different pillars fabricated from the Si substrate sample is observed than is the case for the GaAs sample. This stronger variation may reflect the presence of a random number of dislocations in different pillars. However, the incorporation of the three DFLs should reduce the defect density in the active region to $\sim 10^6 \text{ cm}^{-2}$ corresponding to an average of only one defect for every hundred pillars.

For both the GaAs and Si substrate samples the single QD emission sits on a broad background (Figure 4). For the laser powers used to record these spectra (0.2 and 2 μW for the GaAs and Si samples respectively) the QD peak to back ground intensity ratio is approximately unity; for higher powers (above 2 μW for the GaAs sample) the ratio decreases, reaching a value ~ 0.1 for a power of 20 μW (GaAs sample). The origin of this background emission is unclear but is most likely the result of additional exciton confining centers in close proximity to the QD. For a single photon source the presence of a background would degrade the fidelity via the production of additional photons at the same wavelength as the QD emission. The current samples were designed to study the properties of the QDs and not optimised for single photon sources. Further studies are necessary to reduce the background emission, for example by optimising confinement of carriers close to the QD and/or lowering the QD density. In addition, the collected signal from the QD's emission can be dramatically increased by the addition of an optical cavity.⁴⁰

Figure 5 shows spectra for an excitation range of 0.4 to 300 μW ($\equiv 6$ to 4500 Wcm^{-2}) for a pillar fabricated from the GaAs substrate sample. This sample was chosen for detailed study as the higher PL efficiency allowed for a greater range of laser powers. At high excitation powers a continuous spectrum is observed, with a maximum at 1430 nm and emission extending beyond 1560 nm. As the power is decreased, the emission peak shifts to longer wavelength before breaking up into a number of discrete peaks, the positions of which shift very weakly with excitation power. This behavior is similar to that reported for type-II GaSb/GaAs quantum rings.⁴¹ Here the blue shift of the emission was attributed to

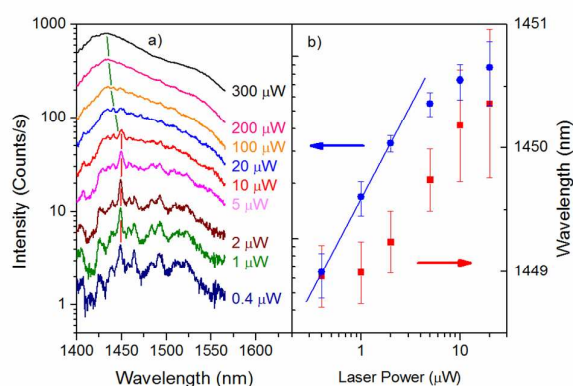


Figure 5. a) 20 K PL spectra of a 1 μm micropillar on a GaAs substrate for an excitation power range 0.4 to 300 μW . The green (red) lines are guides to the eye and show the positions of the continuum maximum (sharp feature at $\sim 1450 \text{ nm}$). b) shows plots of the wavelength (red) and total intensity (blue) against incident laser power for the sharp line at $\sim 1450 \text{ nm}$.

emission from higher energy QD states rather than the band bending predicted for a type-II system.⁹ The right hand panel of Figure 5 shows the wavelength and total intensity (obtained by multiplying the linewidth by the peak intensity) of the sharp feature observed close to 1450 nm, plotted against laser power. At low powers the intensity exhibits a nearly linear dependence on laser power (gradient of 0.95 ± 0.06 on a log-log plot) before saturating at high powers. This is consistent with single-exciton recombination. No evidence for additional lines at high power, representing multi-exciton recombination, is observed; these may be obscured by the broad background. The 1450 nm feature exhibits a weak redshift at high powers which may result from sample heating. There is also an increase in linewidth from 3.6 nm at 0.4 μW to 6 nm at 10 μW ($\equiv 2.3$ to 3.8 meV). A similar power dependent behavior to that shown in Figure 5 is observed for the sample grown on a Si substrate. The PL intensity exhibits an initial approximate linear intensity increase, with the rate decreasing at higher powers. For this sample the saturation at high powers is less pronounced because powers higher than obtainable with the current experimental setup are required, given the lower optical efficiency. The maximum single QD emission intensity is comparable for the two samples.

The linewidths of the single QD emission from both the Si and GaAs substrate samples show a range of values between ~ 1.3 and 5 meV. These values are significantly larger than observed in type-I QD systems where linewidths of $\sim 10 \mu\text{eV}$ can be typically achieved for high quality InAs dots grown in a

1
2
3 GaAs matrix and for non-resonant optical excitation.⁴² The current experimental system has measured
4 linewidths as small as 200 μeV for type-II GaSb/GaAs quantum rings emitting at 1200 nm, indicating
5 that the current linewidths are not resolution limited.⁴¹ It is known that fluctuating carrier occupancy of
6 states in the vicinity of a QD can increase the emission linewidth via local electric fields and the
7 resultant quantum confined Stark effect (QCSE).^{43,44} The QCSE for an infinite depth quantum well is
8 proportional to the fourth power of the well width.⁴⁵ Hence in a type-II system, with spatially separated
9 electrons and holes, the response to fluctuating electric fields is likely to be much larger than in a type-I
10 system where the spatial separation of the electron and hole is significantly smaller. Calculations for
11 InAs QDs capped with a 6 nm GaAsSb layer give large zero-field dipole moments of 2.3 and 9 nm
12 along the growth axis and in-plane respectively.²¹ In contrast, a much smaller growth axis value of 0.4
13 nm has been measured for type-I InAs QDs within a GaAs matrix. Similarly type-I QDs with axial
14 symmetry should possess a zero or, at most, small in-plane dipole moment.

15 Line width broadening by charge fluctuations can be particularly significant in etched mesa structures
16 where there may be a large number of surface states close to the QDs. To study possible effects of side
17 wall surface states, a small number of quantum dots were isolated by forming 1 μm apertures in an
18 opaque metal mask. Such structures avoid the presence of etched semiconductor surfaces. Again both
19 samples exhibit a series of sharp lines, with line widths in the range $\sim 3 - 5$ meV. These slightly larger
20 linewidths may result from the need to use significant higher laser powers (5 μW and 20 μW for the
21 GaAs and Si substrate structures respectively) due to the reduced in/out coupling efficiency of the
22 apertures; measurements discussed above show a power dependent emission linewidth. There is hence
23 no evidence for significantly lower emission linewidths in the aperture structures, demonstrating that
24 surface state induced fluctuating electric fields are not the dominant cause of the observed ~ 1 meV
25 values. However, it is not possible to rule out the effects of charge fluctuations in bulk states which
26 may have a relatively large effect due to the high laser powers and the type-II nature of the optical
27 transitions.

28 A possible additional contribution to the QD linewidth results from the localization of the hole in the
29 GaAsSb layer. Thickness fluctuations result in a broadening of the emission, with further broadening
30 due to alloy fluctuations.⁴⁷ It is difficult to estimate the size of this broadening; the TEM image of
31 Figure 1a shows a highly non-uniform GaAsSb layer thickness and X-STM studies of similar samples
32 reveal a non-uniform Sb composition.^{11,22} A structural study of InAs QDs capped with a GaAs_{0.78}Sb_{0.22}
33 layer shows significant alloy fluctuations ($\sim 12\%$) with Sb-rich clusters of lateral dimensions between
34 10 and 20 nm.²² For relatively large composition and/or layer thickness fluctuations the GaAsSb layer
35 will break up into a series of quasi-QDs⁴⁸ with full spatial localization of the hole. However, in this
36 case spectral broadening is still possible via the population of different hole states during the many
37 recombination processes that occur within the integration time required to acquire the PL spectra.

38 In conclusion, we have reported the observation of single QD emission at telecoms wavelengths from
39 QDs grown on a Si substrate. Emission at 1500 nm is achieved by capping InAs QDs with a thin
40 GaAsSb layer which results in larger dots and a type-II system. Linewidths are significantly larger than
41 observed for type-I InAs QDs grown in a GaAs matrix. The broadening observed in the current
42 samples is attributed to the high carrier densities required to excite the PL with a possible contribution
43 from alloy and layer thickness fluctuations in the hole confining GaAsSb layer. The observation of
44 single QD emission at 1500 nm gives the potential for single photon sources at telecommunication
45 wavelengths. With further growth developments the current structure could be made compatible with
46 CMOS technology, giving the potential for direct integration with Si drive electronics.

47 ASSOCIATED CONTENT

48 Supporting information – example of PL corrected for Ge detector response and example spectra from
49 1 μm apertures in an opaque metal mask.

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