1 Title: Detecting spatial regimes in ecosystems

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4	S. Garmestani ² , Nicholas A.J. Graham ⁶ , Dean Granholm ⁷ , Lance Gunderson ⁸ , Melinda Knutson ⁹ , Kirsty

Shana M. Sundstrom^{1*}, Tarsha Eason², R. John Nelson³, David G. Angeler⁴, Chris Barichievy⁵, Ahjond

- 5 L. Nash¹⁰, Trisha Spanbauer¹¹, Craig A. Stow¹², and Craig R. Allen¹³
- 6
- 7 ^{1*}School of Natural Resources, 103 Hardin Hall, 3310 Holdrege St., University of Nebraska-Lincoln, NE
- 8 68583, USA; sundstrom.shana@gmail.com
- 9 ²U.S. Environmental Protection Agency, National Risk Management Research Laboratory, Cincinnati,
- 10 OH 45268, USA; eason.tarsha@epa.gov, garmestani.ahjond@epa.gov
- ³University of Victoria, Department of Biology-Centre for Biomedical Research, Victoria, BC, V8P 5C2,
- 12 Canada; seastarbiotech@gmail.com
- ⁴Swedish University of Agricultural Sciences, Department of Aquatic Sciences and Assessment, Box
- 14 7050, SE- 750 07 Uppsala, Sweden; david.angeler@slu.se
- ⁵Zoological Society of London. Regents Park, London NW1 4RY, UK; cbarichievy@gmail.com
- ⁶Lancaster Environment Centre, Lancaster University, Lancaster, LA1 4YQ, UK;
- 17 nick.graham@lancaster.ac.uk
- ⁷U.S. Fish & Wildlife Service, Bloomington, MN 55437-1003, USA; dean_granholm@fws.gov
- ⁸Department of Environmental Studies, Emory University, Atlanta, Georgia 30322, USA;
- 20 lgunder@emory.edu
- ⁹Region 3 U.S. Fish & Wildlife Service, La Crosse, WI 54603, USA; melinda_knutson@fws.gov
- ¹⁰Centre for Marine Socioecology, Institute for Marine and Antarctic Studies, University of Tasmania,
- 23 Hobart, TAS 7000, Australia; nashkirsty@gmail.com
- ¹¹National Research Council, U.S. Environmental Protection Agency, Cincinnati, Ohio 45268 USA;
- 25 spanbauer.trisha@epa.gov

- 26 ¹²National Oceanographic and Atmospheric Administration Great Lakes Environmental Research
- 27 Laboratory, Ann Arbor, MI 48108, USA; craig.stow@noaa.gov
- 28 ¹³U.S. Geological Survey Nebraska Cooperative Fish & Wildlife Research Unit, University of
- 29 Nebraska, Lincoln, NE 68583, USA; allencr@unl.edu
- 30
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44 Abstract

45 Research on early warning indicators has generally focused on assessing temporal transitions with 46 limited application of these methods to detecting spatial regimes. Traditional spatial boundary 47 detection procedures that result in ecoregion maps are typically based on ecological potential (i.e. 48 potential vegetation), and often fail to account for ongoing changes due to stressors such as land use 49 change and climate change and their effects on plant and animal communities. We use Fisher 50 information, an information theory based method, on both terrestrial and aquatic animal data (U.S. 51 Breeding Bird Survey and marine zooplankton) to identify ecological boundaries, and compare our 52 results to traditional early warning indicators, conventional ecoregion maps, and multivariate analyses such as nMDS and cluster analysis. We successfully detected spatial regimes and 53 54 transitions in both terrestrial and aquatic systems using Fisher information. Furthermore, Fisher 55 information provided explicit spatial information about community change that is absent from other 56 multivariate approaches. Our results suggest that defining spatial regimes based on animal 57 communities may better reflect ecological reality than do traditional ecoregion maps, especially in 58 our current era of rapid and unpredictable ecological change.

59 Introduction

60 The possibility of multiple regimes for ecosystems is now well documented, and methods to detect 61 temporal regime shifts have received a great deal of attention (Scheffer & Carpenter 2003; Dakos et 62 al. 2008; Guttal & Jayaprakash 2008). Less well developed is the application of these tools to the 63 identification of spatial regimes that reflect the boundary between two types of ecosystems (though 64 see Kéfi and others 2014). Spatial data has unique challenges in that while it is not necessary for data points to be equally spaced (Dai et al. 2013; Cline et al. 2014), sufficient spatial sampling 65 66 resolution is needed to distinguish one spatial regime from another. The identification of spatial 67 regimes is increasingly important due to habitat fragmentation, which increases the proportion of 68 boundaries in landscapes (Kent et al. 2006), and anthropogenic climate change, which is expected to 69 shift ecological boundaries. Studies have already shown rapid altitudinal shifts in montane 70 ecological boundaries in response to climate change (Allen & Breshears 1998; Beckage et al. 2008). 71 Similarly, climate-driven boundary shifts are being detected in marine systems as both spatial shifts 72 in primary production and in individual species ranges, as well as in phenological shifts and changes 73 in community composition (Beaugrand et al. 2002; Edwards & Richardson 2004; Grebmeier et al. 74 2006). Because ecological boundaries in terrestrial systems typically demarcate the distribution of 75 vegetation and ecosystem type, they provide critical information about the extent and rate of the 76 biological processes shaping the boundary and driving the maintenance of the regime within the 77 boundary (Yarrow & Salthe 2008). This has implications for both environmental management and 78 biological conservation (Kent et al. 2006).

Boundary identification has been an active area of research in terrestrial ecology and biogeography,
and is generally both data intensive and statistically challenging, particularly when it involves
vegetation sampling (Kent *et al.* 2006). The use of remotely-sensed data is less laborious than field
work, but the method is poor at distinguishing between physically similar but floristically different
vegetation; hence, it may require labor-intensive ground-truthing to verify ecological transitions in
plant assemblages (Kent *et al.* 2006). Boundary detection is further complicated by the multiplicity

85 of scales at which different processes and physical patterns are expressed (Fagan et al. 2003; Strayer 86 et al. 2003), and that the relationship between abiotic variables such as climate, and biotic variables 87 such as vegetation, is often non-linear across boundaries (Danz et al. 2012). Typically, terrestrial 88 ecological boundaries defined for ecoregion maps such as those used by U.S. federal agencies are 89 based on potential plant communities, which in turn reflect differences in bedrock, soil, altitude, 90 temperature, and moisture (Bailey 1983; Omernik 1987). Terrestrial plant communities may not 91 respond as rapidly as animal communities to direct anthropogenic change and climate change 92 (Pearson 2006; Pearman et al. 2008), therefore defining the boundaries between animal 93 communities may better represent current biotic and abiotic conditions. Variation in animal 94 population dynamics provides information on the stability of ecosystem mechanisms, processes, and 95 linkages, and may serve as an early warning signal of shifting regimes (Cline et al. 2014). 96 Pelagic marine ecological boundaries are typically defined by primary production characteristics 97 (Longhurst 1998) which reflect aquatic properties such as currents, temperature, salinity, nutrients, 98 and bathymetry, but are complicated by the ephemeral nature of features such as oceanographic 99 fronts. Landforms, such as straights, may create another form of boundary between biological 100 communities. Advection across fronts or through physical constrictions between water masses can 101 serve as a driver of both physical and ecological homogeneity, though the degree of connectivity can 102 vary rapidly in space and time (Wassmann et al. 2015). There is much current discussion of 103 appropriate variables by which to track marine ecological change (Rice & Rochet 2005; Samhouri et 104 al. 2009; Rombouts et al. 2013). A priori, it is difficult to know which individual taxa or processes 105 represent a spatial regime and thus ecological boundaries. Because of the central role played by 106 zooplankton as a prey item and a grazer, zooplankton data have commonly been used (Hooff & 107 Peterson 2006; Pace et al. 2013), although Scheffer et al. (2003) warn that zooplankton community 108 composition and abundance may be too chaotic to be useful for regime shift prediction except at 109 very high level aggregate states.

Ideally, a monitoring program should be able to forecast far-reaching change such as a regime shift.
However, too often monitoring focuses on particular species of interest, effectively barring
community-level or ecosystem-level analyses. We use spatially explicit avian and zooplankton
community species composition data to test for the identification and location of spatial regimes
using Fisher information, an information-theory method with no strict data requirements that is a
powerful tool for understanding system-level change within a location, or over space.

116 Regime shifts and Fisher information

117 There is widespread acceptance in the scientific community that some ecosystems exhibit multiple 118 regimes, and that the transition between regimes can be abrupt and discontinuous (though see 119 Fukami & Nakajima, 2011; Hastings & Wysham, 2010). Statistical indicators of regime shifts that can 120 act as an early warning signal are thought to represent generic properties that behave in similar and 121 predictable ways across system types (Dakos et al. 2011), and are proposed to have the added 122 advantage that detailed mechanistic knowledge is not necessary for their use. The indicators include 123 critical slowing down, which can manifest as slower recovery rates from perturbation, increased 124 autocorrelation, and increased variance (Scheffer et al. 2009); changing skewness (Guttal & 125 Jayaprakash 2008); conditional heteroscedasticity (Seekell *et al.* 2011), and the variance index (Brock & Carpenter 2006). 126

127 These indicators have transformed our ability to identify variables that change in response to exogenous or endogenous drivers and signal an impending regime shift. However, much remains 128 129 uncertain. For example, although the various indicators have been tested on model systems and 130 historical data sets with known temporal regime shifts (Lindegren et al. 2012), their performance is 131 not consistent (Seekell et al. 2011; Perretti & Munch 2012; Batt et al. 2013; Dakos et al. 2013) and 132 their ability to predict future regime shifts is unknown (Boulton et al. 2014). Some methods, such as conditional heteroscedasticity, require large, high resolution samples (Seekell et al. 2011) and their 133 134 applicability to complex systems with multivariate data is questionable because most studies have

been conducted using either simulated data or very simple systems (Scheffer *et al.* 2009; Drake &
Griffen 2010; Dai *et al.* 2012; Dakos *et al.* 2012). When models have incorporated realistic levels of
ecological noise, the indicators tend to perform poorly (Perretti & Munch 2012). A difficulty in
developing early warning indicators is that the critical variables driving system transitions are
typically unknown. Brock and Carpenter (2012) cite this lack of knowledge as a "fundamental
problem" in leading indicators research.

Researchers have urged that multiple ecosystem variables should be evaluated when interpreting indictors for real systems (Carpenter *et al.* 2009; Lindegren *et al.* 2012). For example, Litzow et al. (2013) found that when analysing rising variance in catch data from fisheries, trends in individual fisheries largely failed to be statistically significant, while pooling multiple populations increased their ability to detect a collapse. The variance index (VI) was developed to capture dominant variance trends in multivariate systems (Brock & Carpenter 2006). VI should spike prior to a transition, but results from this index are sometimes unclear (Eason *et al.* 2014).

148 Fisher information may address some of the issues listed above. Fisher information is an 149 information theory approach (Fisher 1922) that captures patterns in system dynamics as evidenced 150 by the trends in variables that characterize the system's condition. The approach collapses the 151 behavior of multiple variables into an index that can be used to track changes in dynamic order, 152 including regimes and regime shifts. Historical applications of information theory-based approaches include assessing ecosystem functioning, stability, complexity, and diversity (Anand & Orloci 2000; 153 154 Svirezhev 2000; Fath & Cabezas 2004; Patricio et al. 2004). More recently, Fisher information has 155 been employed for sustainable environmental management at various spatial scales (Karunanithi et 156 al. 2011; Eason & Garmestani 2012) and to examine temporal patterns in both terrestrial (Mayer et al., 2007; Eason and Cabezas, 2012;) and aquatic systems (Mantua 2004; Spanbauer et al. 2014; 157 158 Eason et al. 2016).

159 While other methods like time series analysis requires a sufficient resolution of data to separate 160 noise from a genuine signal of an impending regime shift, the data requirements for Fisher 161 information are more lenient. A strength of Fisher information is that it can readily incorporate a 162 wide variety of data types and variables and has been used to identify regime changes in various 163 types of systems with data resolutions from relatively small and moderate (Eason & Cabezas 2012) 164 to quite large (Spanbauer et al. 2014). Furthermore, there is no minimum or maximum number of 165 variables needed to compute the index. When assessing a complex system characterized by multiple 166 variables, methods like Spearman rank order correlation have been used in conjunction with Fisher 167 information to determine which variables or groups of variables are critical for shaping the Fisher 168 information signal (Eason & Cabezas 2012). Accordingly, one of the key limitations of traditional 169 statistical indicators is avoided because there is no need to make assumptions about which variables 170 best act as indicators of an impending regime shift, particularly when much is uncertain and our 171 knowledge is limited.

172 Purpose

173 Our goal is to identify spatial regimes in avian and zooplankton community data using Fisher 174 information, and compare the extent to which Fisher-identified regime boundaries are coincident 175 with our a priori understanding of where these ecological boundaries exist, as per classification 176 systems such as Bailey's (1983) and Omernik's (1987) for terrestrial systems, and marine domain 177 descriptions found in Carmack et al. (2010) and Archambault et al. (2010). The terrestrial ecoregion 178 maps rely heavily on potential natural vegetation based on underlying geological and climatic 179 variables, so significant discrepancies between actual land use, actual vegetative cover, and 180 potential vegetation can exist, and should be reflected in the composition of the animal community. 181 Boundaries in marine systems are not as spatially constrained as in terrestrial systems and the key 182 habitat determinants of species' distributions and community structure are not as easily defined. It 183 is important to note that we are not trying to identify regime shifts that represent a critical transition (e.g. Scheffer 2009), but rather the geospatial point or region at which one ecosystem typetransitions into another.

186 Although Fisher information is suited to multivariate data encompassing a wide range of biotic and 187 abiotic data that characterize any given regime, we used a single taxon dataset from each system 188 (birds and zooplankton). Limiting the data in this way had the benefit of making this a conservative 189 test of the performance of Fisher information that reflects the data readily available to others 190 working on similar problems. We compared the Fisher information results with a range of early 191 warning indicators (critical slowing down, captured by the lag-1 autocorrelation coefficient; variance; 192 kurtosis; skewness; and the variance index), and multivariate methods commonly employed by 193 community ecologists (nMDS (Oksanen 2013), and cluster analysis).

194 Methods

195 Terrestrial data

196 We used USGS Breeding Bird Survey data (BBS) from 30 survey routes along a ~ 1900 km transect. 197 Each BBS route is 41 km long and has 50 stop points located at 800 m intervals; at each stop point, a 198 3-minute point count of sighted and heard birds is recorded, and data from each stop point are 199 totalled for the route (Sauer et al. 2014). The routes begin in the Rocky Mountains, move due east 200 through the central prairie region, and then veer north into Minnesota, terminating at the western 201 border of Lake Superior (Figure 1A). The species abundance data are a snapshot of the 2007 bird 202 community at each route location. The routes are located in 5 Omernik Level III ecoregions 203 (Omernik 1987), but were selected such that there were roughly an equal number of routes in four 204 gross ecosystem types: 8 routes from the Southern Rockies (montane forest), 7 from the High Plains 205 (grassland), 3 from the Central Great Plains and 4 from the Western Cornbelt Plains (total of 7 routes 206 from grassland-agriculture matrix), and 8 from the Northern Lakes and Forest ecoregion (northern 207 forest-wetland matrix). The unequal number of routes among ecosystems was due to data 208 availability; not all routes are covered in all years, as route coverage relies on volunteers. Although

209 we used the Omernik ecoregions as an underlying map layer when selecting routes, there are 210 multiple ecoregion maps used by U.S. land agencies, with sometimes substantial differences 211 between them. None are 'right' per se, but all are best approximations of potential vegetation 212 based on areas with similar geology, physiography, vegetation, climate, soils, land use, wildlife, 213 water quality, and hydrology (United States Department of the Interior). We downloaded the 214 complete species abundance list for each route (Sauer et al. 2014) and used it to create a routespecies abundance matrix, where abundance is the number of individual birds for each species at 215 216 each route, with values ranging from 0 - 293.

Sampling biases are an issue with BBS data, resulting primarily from under detection of wary, rare,
and aquatic species, as well as differences between observers. However, those biases are present
across all routes and should not impact the very coarse pattern extracted from the
absence/abundance data. Remotely-sensed data for land cover type is also available for a 400 m
buffer around each route (Sauer *et al.* 2014). The land cover data provides a sense of the
heterogeneity of the habitat type for each ecoregion. We averaged the percent of each land cover
type across all routes for each of the five Omernik ecoregions.

224 Marine data

225 Zooplankton community surveys were conducted in 2008, and samples analysed under the auspices 226 of the International Polar Year program, Canada's Three Oceans project (Carmack et al. 2008). The 227 survey traverses 12,000 km from coastal British Columbia just north of Vancouver Island to the 228 Labrador Sea on the eastern side of Canada, crossing through 6 oceanic domains: the Gulf of Alaska, 229 the Bering Sea, the Chukchi Sea, the Beaufort Sea Shelf, the Canadian Arctic Archipelago, and 230 terminates in the Davis Strait/Labrador Sea (Figure 1B). Although these oceanic domains share some 231 zooplankton species, they are known to be distinct from each other to varying degrees (Archambault 232 et al. 2010; Pomerleau et al. 2011, 2014). There were 44 sampling locations irregularly spaced along 233 the transect.

Mixed zooplankton samples were collected from August to September by vertical net hauls with a 236 micron net (typically to 100 m or 7 metres above the bottom), and were preserved in 95% ethanol and 10% buffered formalin. The zooplankton samples were keyed out to the lowest possible taxonomic unit and enumerated and 4th root transformed, as is standard for marine zooplankton data. When possible, the developmental stages of each taxa was counted separately. A site-taxa abundance matrix was created. Sites were ordered from western-most to eastern-most station.

240 Statistical Methods

Fisher information was developed by Fisher (1922) as a measure of the amount of information about a particular parameter (or system characteristic) that can be obtained by observation. The form of Fisher information used in this work is based on the probability of observing various conditions (p(s)) of the system (Fath *et al.* 2003; Mayer *et al.* 2007).

245
$$I = \int \frac{ds}{p(s)} \left[\frac{dp(s)}{ds} \right]^2$$
(1)

246

This is appropriate for our study because we are interested in determining patterns of change in the 247 248 condition (or state: s) of a system. From this equation, note that Fisher information is proportional 249 to the change in the probability of observing a system state (dp(s)) over the change in state ds (i.e. $I \propto \frac{dp(s)}{ds}$). The significance of this proportionality may be examined using two cases. The first 250 example is a system in which the overall condition does not change from one observation to the 251 252 next. While such a system may fluctuate within a basin of attraction, it is considered stable because 253 the overall conditions are predictable and the patterns are evident; accordingly, the probability of 254 observing a particular state of the system is high and Fisher information tends toward infinity. The 255 exact opposite is true of a system that is constantly changing. In this case, the system displays no 256 bias toward a particular condition and there are no distinct patterns useful for characterizing the

way the system behaves; hence, there is equal probability of the system functioning in any state and
Fisher information is zero (Pawlowski & Cabezas 2008).

Karunanithi et al (2008) adapted Equation 1 to handle empirical data from real systems. Through a
 series of derivation steps, Fisher information (henceforth denoted as FI) is numerically estimated as:
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262
$$FI = 4\sum_{s=1}^{n} [q_s - q_{s+1}]^2$$
(2)

263

where p(s) is replaced by its amplitude ($q^2(s) \equiv p(s)$) to reduce calculation errors from very small p(s). Further details on the derivation and calculation may be found in (Mayer *et al.* 2007; Karunanithi *et al.* 2008; Cabezas & Eason 2010).

267 Fisher information has traditionally been used to explore temporal patterns, however, the method 268 can be applied to examine spatial dynamics. The core of the FI approach is to assess patterns in data 269 based on tracking systematic changes in line with some ordering principle such that trends are 270 evaluated over a series of points (e.g., point a, point b, etc.). This sequence may be defined 271 temporally or spatially. The key distinction is that rather than using time as the basis for assessing 272 changes, spatial location is the ordering principle. The basic algorithm for computing FI is as follows: 273 (1) select variables (e.g. x_i , i = 1: n variables) that characterize the condition of the system (in this 274 case various animal species) and gather data (i.e., species abundance) from each sampling location 275 (I_i) across the route: $(x_i(l_i))$, j = 1 : m sampling locations), such that the abundance of each species 276 at each site defines one point (e.g., $pt_1(l_1)$: $[x_1(l_1), x_2(l_1), x_3(l_1), \dots, x_n(l_1)]$; (2) assemble the data 277 into a m × n matrix and divide it into a sequence of overlapping windows that advances one route 278 location per iteration; (3) determine the measurement uncertainty for each variable (UX_i) and use 279 this to define a boundary (tolerance) around each system state. If the measurement uncertainty is 280 unknown then the variation in a stable portion of data may be used as a proxy. This boundary (size 281 of states) defines how much a measurement can vary within a particular state; (4) Use the size of 282 states to determine which points are similar (dimensions stay within the boundary defining a

283 minimum range of variation) and group (bin) similar points together into discrete states; (5) 284 Compute p(s) by counting the number of points binned in each state and dividing this value by the 285 total number of points in the window; (6) compute q(s) and calculate FI using Equation 2. This 286 process is repeated for each window. Based on empirical assessments, a hwin \ge 8 was suggested 287 (Cabezas & Eason 2010), however, it is generally set based on the amount of data available. 288 Increasing the hwin tends to decrease the magnitude of the FI result and number of FI points, but 289 the basic trends remain intact (Cabezas & Eason 2010).

290 Different system regimes are controlled by fundamentally distinct processes and exhibit unique 291 patterns. Tracking FI affords the ability to assess changes in these patterns. Regimes are identified 292 as periods over time or across space in which FI is non-zero and the values are relatively stable (i.e., 293 dFI/dI \approx 0). While steadily increasing FI indicates rising dynamic order, less change and possible 294 movement to more consistent patterns, declining FI signifies unstable dynamics, loss of resilience 295 and may provide warning of an impending shift (Eason et al. 2014). Although FI typically declines 296 prior to a regime shift (Mayer et al. 2007; Eason & Cabezas 2012; Eason et al. 2014), researchers 297 examined model dynamics to study the behavior of FI in the neighbourhood of a tipping point and 298 found that the behavior of FI depends heavily on the trends in the variables as the system 299 approaches a shift (Eason et al. 2014; Gonzalez-Mejia et al. 2015). It is therefore possible for FI to 300 increase as a system transitions from one regime to another. Such a result is in line with Seekell et 301 al. (2011, 2012), who found both increasing and decreasing trends in early warning indicators prior 302 to a shift.

303 Once a shift has been identified, the underlying variables can be explored to determine (or compare) 304 the condition of the system in its new state (Eason & Garmestani 2012). Although higher FI values 305 are generally associated with a greater degree of dynamic order, the level of dynamic order is not as 306 important as the ability of the system to remain stable within a desirable regime. When interpreting 307 FI, a regime is denoted by a relatively stable FI trend (i.e., dFI/dI \approx 0) with a high mean ($\uparrow\mu$ FI) and low

standard deviation in FI ($\oint \sigma$ FI) or low coefficient of variation in FI ($\oint cvFI = \frac{\sigma FI}{\mu FI}$) (Gonzalez-Mejia 2011; Bason & Garmestani 2012). Transitions are identified as periods outside of stable regimes characterized by relatively high σ FI and cvFI.

311 The traditional temporal early warning indicators (variance, skewness, and kurtosis) were computed 312 using standard functions. The spatial variants (Moran's I spatial autocorrelation and spatial variance 313 and skewness) were not used because the sequential one-dimensional ordering of the sampling 314 stations lent itself to a space-for-time substitution. Since critical slowing down can be understood as 315 increases in short-term autocorrelation, the lag-1 autocorrelation coefficient was used as an 316 estimate (Dakos et al. 2008). The VI was computed as the maximum eigenvalue of the covariance 317 matrix from the dataset (Brock & Carpenter 2006). Note that the VI and traditional indicators are 318 expected to spike or increase prior to a regime shift, while FI tends to decline (Eason et al. 2014). 319 Fisher information and the traditional indicators were computed in MATLAB (v. 2014b) using a 5 station moving window that advanced one station at a time, where a station was either a BBS route 320 321 or a plankton sampling site. A window size of 5 ensured that there were FI results for each 322 ecoregion for both studies; using smaller or larger windows resulted in similar trends in the FI 323 results, similar to other studies (Cabezas & Eason 2010). Multivariate analyses were conducted using metaMDS and ordicluster from package 'vegan' (R Development Core Team 2013). The 324 325 distance matrices for the nMDS were created using Bray-Curtis, and multiple dimensions were 326 plotted in a scree diagram to find the lowest dimensionality with an adequate ordination fit as 327 expressed by a stress value (<0.2, (Clarke 1993)). The mean, standard deviation, and the coefficient 328 of variation (CV) in FI were calculated for each regime to explore regime stability.

329 Results

330 Terrestrial data

Fisher information detected four regimes and two transition zones which are roughly congruent with
 a priori expectations based on ecoregion maps, but diverge in significant ways (Figure 2). The total

drop in FI between the high point in regime 1 and the low point in transition 1 is greater than that
between regime 2 and regime 3 (ΔFI of 2.05 and 0.98, respectively), suggesting that the difference in
FI between the Southern Rocky Mountains and the 3 Plains ecoregions is greater than the difference
among the Plains regions, which is to be expected. Likewise, the total drop in FI between regime 3
(all Plains routes) and regime 4 (Northern Lakes and Forest) is the largest of all (ΔFI of 2.51),

indicating that the greatest variation in bird community structure exists between these two regimes.

339 The declining trend in FI from west to east means avian community structure is losing order, which 340 aligns with the reality of increasing intensive agricultural land use. FI classified the community 341 structure in the first High Plains route as being similar enough to the eastern Southern Rocky 342 Mountains to include it in the first regime. There followed a steady loss of order, as reflected in the 343 FI value, across the western High Plains. When FI did stabilize, indicating a new regime, that regime 344 captured routes from both the eastern High Plains and western Central Plains ecoregions, indicating 345 a blurring of the distinction between the two Plains ecoregions in terms of vegetative cover and 346 avian community structure. Similarly, the third regime incorporates routes from the eastern Central 347 Plains and most of the Western Cornbelt Plains ecoregions, indicating that avian community 348 structure did not significantly differ between the two Plains ecoregions. This is not an unexpected 349 result, given that those two ecoregions are, in reality, a grassland-agriculture matrix.

The traditional indicators did not provide clear results and yielded graphs with no interpretable pattern (Figure 3), however, VI provided results that were complementary to FI (Figure 2). The VI peaks in several places which are congruent with regime shifts identified by FI (routes 10, 18, and 21). In general, the VI provides complementary information that supports the trend captured by FI, but is significantly more difficult to interpret when evaluated alone because it is not possible to ascertain whether a peak marks the beginning or end of a stable regime or of a transition zone.

While all three descriptive statistics (mean (μFI), standard deviation (σFI), and coefficient of variation
(cvFI) in FI) indicate relative stability in each of the first three regimes, the fourth regime, wholly

comprised of routes from the Northern Lakes and Forest region, has a lower mean, higher standard
deviation, and higher coefficient of variation in FI than the other regions, indicating that there is
greater variation in community structure within this ecoregion (Figure 4). Furthermore, the two
transition zones have a higher CV than the regimes (except the 4th regime), indicating zones of high
variability as community structure transitions from one regime to another.

363 The results of the multivariate analyses suggest that while the nMDS (stress value of 0.080 for 2 364 dimensions) and cluster analysis (not shown on Figure 5 because results are identical to the nMDS) 365 identifies distinct communities that align with the *a priori* expectations of the Omernick ecoregions, 366 they do not distinguish between the High Plains and Central Plains communities. The nMDS (Figure 367 5) shows the dissimilarity in community structure in terms of the relative position of each route to 368 every other in ordination space, as well as how those routes align with ecoregion expectations by 369 drawing polygons that connect the routes belonging to each Omernik-defined ecoregion. The routes 370 from the three Plains ecoregions are closer to each other in ordination space than either the 371 Southern Rockies or Northern Lakes and Forest routes, indicating that they are more similar in 372 community structure. The first route of the Northern Lakes and Forest region, indicated by FI as part 373 of a long transition zone between regimes, is also very proximate in ordination space to the Cornbelt 374 Plains routes, reflecting their closeness in geographic space. However, the High Plains and Central 375 Plains overlap each, indicating that the nMDS does not perceive them as dissimilar.

376 Marine data

Fisher information detected two regimes and two transition zones, which partially align with the *a priori* expectations for the locations of the oceanic domains (Figure 6). FI is low and rises steadily throughout two-thirds of the Bering Sea domain. Since FI never stabilizes in this domain, much of the Bering Sea is classified as a transition zone. The first regime extends from the northern Bering Sea through the Chukchi Sea. As the transect enters the Beaufort Sea, FI climbs steeply without stabilizing, indicating increasing dynamic order in community structure and classifying the Beaufort

Sea as a second transition zone. The second regime extends from the more geographically closed-in
waters of the Canadian Arctic Archipelago through the sixth oceanic domain, the Davis
Strait/Labrador Sea. The entire distance from the western edge of the Archipelago to the Labrador
Sea is represented by only 12 stations, so it is relatively under-represented compared to the western
half of the survey.

Like the terrestrial case study, when the FI trends are compared to the traditional regime shift
indicators, only the VI was able to provide sensible results (Figure 6). The Variance Index peaks at
the boundary of the Bering Sea, the Chukchi Sea, and to a lesser extent the Beaufort Sea Shelf.
However, it does not distinguish whether the increased variance denotes the beginning of a stable
regime, or signals a transition zone. The descriptive statistics support an overall picture of change in
community structure which reflects successive patterns of an ecoregion with high variability (i.e.
high σFI and cvFI) transitioning into a more stable regime (high µFI, and low σFI and cvFI) (Figure 7).

395 The multivariate analyses support the FI results, and suggest that the boundaries between the a 396 priori defined ecological domains are soft, particularly between the Bering Sea and Chukchi Sea. 397 When viewed in ordination space, the nMDS places the stations so they more or less flow from west 398 to east along the arc, but there is also strong overlap in community structure at sampling locations 399 near the edges of the domains (Figure 8; (stress value of 0.121 for 3 dimensions)). The cluster analysis (Figure 8; pruned to 6 clusters) divides the stations of the Bering Sea into two clusters, and 400 401 places two of the Bering Sea stations in the Chukchi cluster, as well as fails to distinguish between 402 the Canadian Arctic and the Davis Strait/Labrador Sea. The overall result is that the zooplankton 403 communities do not have crisp boundaries which fully align with the *a priori* defined domains 404 described in the methods, but have softer boundaries with considerable overlap in community 405 structure between domains. Furthermore, FI communicates a richer story of community structure 406 transitioning across space than either the nMDS or cluster analysis. However, unlike the BBS case 407 study, the transition zones were marked by a rise in FI, as opposed to a drop, which may suggest a

possible slowing down of changes in community structure before the patterns destabilized and the
system organized into a new regime. Further work on the underlying system dynamics would be
instructive.

411 Discussion

412 Detecting spatial regimes with Fisher information

413 Given animal community data, we found that Fisher information was able to detect spatial regimes 414 and transitions between spatial regimes in both terrestrial and aquatic ecosystems, across regional 415 scales (1900 and 12,000 kilometres respectively). These studies were an important step towards 416 determining the utility of FI in detecting spatial regimes in both aquatic and terrestrial systems, even 417 given data limitations. In contrast, the traditional indictors we examined, such as variance, 418 skewness, kurtosis, and critical slowing down, were unable to detect spatial regimes, though this 419 was unsurprising as they are not suited for multivariate data. The VI helped to confirm general 420 trends, but it does not reveal details about the regime dynamics that are useful for assessing the 421 behavior of the system, e.g., whether there is a stable regime between two peaks, or whether 422 changes in the VI are capturing a transition. Our results suggest that Fisher information can be a 423 powerful, easy-to-use tool to assess regime shifts in animal (or other) community data, providing a 424 biological link between anthropogenic disturbances such as land use and climate change and spatial 425 shifts in ecological communities.

426 The ecological reality of community regimes

Our analyses demonstrated that the bird community boundaries only roughly coincided with the expectations of ecoregion maps. There are substantial differences between the potential vegetation underpinning the ecoregion classifications, and the actual spatial locations of stable avian communities. If FI were to fully coincide with the ecoregion maps, then we would expect to see a stable FI value through the center of each ecoregion, with evidence of increasing variability at the borders, indicated by declining FI. Instead, the High Plains had high variability in community

433 structure throughout the core of the ecoregion. And rather than FI identifying three distinct Plains 434 regimes, as per the ecoregion expectation, it identified two regimes, each of which straddled routes 435 from the Central Plains. In other words, the avian community structure was simplified relative to 436 ecological expectations, with a blurring of the boundaries between what are considered distinct 437 ecoregion types by US land agencies. Indeed, the difference in FI between regime 2 and regime 3 is 438 such that the argument could be made that the entire Great Plains is one regime, with a slow but 439 steady loss of order as one moves from west to east, corresponding with an increasing intensity of 440 agriculture. The transitions to and from the Plains are both much steeper than that between the 441 two Plains regimes, as would be expected.

The land cover summary (Table 1) supports the findings of FI as it demonstrates that the three 442 443 prairie landscapes exist on a gradient of actual vegetative cover. As we move east from the High 444 Plains to the Cornbelt Plains, the percent grassland cover drops dramatically from 60% to 5%, and 445 the percent of row crop land cover rises 14% to 74% (Table 1). The most significant changes occur 446 between the High Plains and the Central Great Plains. These patterns are in contradiction to 447 ecoregion maps (Omernik 1987; Bailey 2015), which hold the difference between the Central Great 448 Plains and the Western Cornbelt Plains as much more fundamental (a Level I division) than that between the High Plains and the Central Great Plains (a Level III division). To the extent that the 449 450 land use cover in each 400 m route buffer around the ~40 km route reflects on a gross level the land 451 cover of each ecoregion, it seems likely that the heterogeneity within the Plains landscapes due to 452 agriculture and grazing has been reduced.

The length of each transition zone is suggestive of soft, rather than the hard boundaries depicted on ecoregion maps (Bailey 1983; Omernik 1987). The long transition from the Cornbelt Plains to the Northern Lakes and Forest, which covered more than 400 kilometres, may be impacted by two factors: First, the final two routes in the Cornbelt Plains occur on the upward sweep of the transect and so are substantially more northern than the other Cornbelt Plains routes. Latitude is known to

458 affect animal communities (Clergeau et al. 2006). Second, the first route in the Northern Lakes 459 ecoregion technically falls into a narrow band of the North Central Hardwood Forest. This rapid 460 shifting across three ecoregions is captured by FI as a long transition before the fourth regime 461 begins. Finally, the higher cvFI and thus relative variability of FI in the fourth regime, which falls 462 wholly within the Northern Lakes and Forest ecoregion, is possibly explained by the heterogeneity of 463 the land cover, though it is also possible that further data points would reveal the fourth regime as 464 another transition as the study ends at a geographic rather than ecological border. However, 465 community structure in this ecoregion is likely more variable than in the other regimes because the 466 landscape itself is more variable, as it is a patchy mosaic of water features and forest (Table 1).

467 The zooplankton data tell a similar story to the avian data. Although there is correspondence 468 between zooplankton community structure, large scale oceanic structure, and regime transitions as 469 detected by FI, some boundaries are less defined than a priori expectations. Domains thought to 470 contain distinct communities, such as the Bering Sea or Beaufort Sea Shelf (Springer et al. 1989; 471 Hopcroft et al. 2010; Pomerleau et al. 2014), appear to be transition zones between stable 472 communities. The failure of both FI and the nMDS to distinguish between the Canadian Arctic 473 Archipelago and Davis Strait/Labrador Sea may be a function of inconsistent sample coverage. 474 Further work examining how the frequency of sampling affects the power and sensitivity of FI is 475 warranted.

The inability of FI to crisply distinguish between the Bering Sea and the Chukchi Sea is consistent with our understanding of the region as a mixing zone where Bering Shelf water mixes with water from the Anadyr current, which enters from the west, and Alaska coastal water, which enters the Bering Strait from the east (Coachman *et al.* 1975). These three water masses are believed to harbour unique zooplankton communities (Springer *et al.* 1989), and as the water masses do not mix until they pass through the Bering Strait into the Chukchi Sea, the zooplankton community contains a mixture of communities that differ from the southern Bering Sea and have high patchiness (Eisner

483 et al. 2014; Pomerleau et al. 2014). As the transect enters the Beaufort Sea, there is a decline in 484 both Pacific taxa and zooplankton community patchiness associated with the mixing of the three 485 Pacific water masses and Arctic water, corresponding to greater similarity among samples and 486 increasing dynamic order in FI. The expectation was that the Chukchi, understood to be a mixing 487 zone of watermasses, would be identified by FI as a transition zone, while the Beaufort Sea Shelf 488 would be a stable regime. Instead, the northern part of the Bering Sea and the Chukchi had a stable 489 FI value denoting it as a regime, while the Beaufort Sea Shelf underwent a long and significant 490 increase in dynamic order that never flattened sufficiently to qualify as a regime. This means that 491 the variability in zooplankton community structure as the transect traverses the Beaufort Sea was 492 much higher than that of the northern Bering/Chukchi Sea, despite the latter region consisting of a 493 mixing zone of multiple water masses. The FI results suggest that studies on dominant zooplankton 494 species within each domain (Nelson et al. 2009; Walkusz et al. 2010; Pomerleau et al. 2014) may not 495 strictly correlate to bigger picture studies which assess variability in community structure over space, 496 or that zooplankton species compositional data or the way in which they are collected are not a 497 good proxy for spatial regimes.

498 What Fisher information captures that multivariate analysis does not

499 The nMDS analysis largely aligned with the a priori ecoregion and oceanographic domain 500 expectations, but was not always able to distinguish between ecoregions (the High Plains and 501 Central Plains) or domains (Canadian Arctic and Davis Strait/Labrador Sea), though in the case of the 502 zooplankton data, may be a function of insufficient sampling stations in those domains. Perhaps 503 most importantly, the multivariate analyses are largely visual; ordination methods create their own 504 space, and thus do not tell us about spatial shifts in the location of a community. Routes that were 505 geographically farther away from each other tended to be more dissimilar than routes that were 506 close together. However, this rather crude depiction of community structure does not tell us where 507 the boundaries between communities occur, whether they are hard or soft, or if the soft boundaries 508 are themselves ecotones with stable community structure. Furthermore, the approach does not

509 provide any insight on the spatial extent of the transitions. The ability to assess whether or not a 510 particular community is gaining or losing order over time could allow land use managers to 511 anticipate a potential regime shift within a location, or document if community locations shift in 512 space over time. That said, our ability to detect change using FI may be improved by employing 513 post-hoc tests to assess trends in the index. Researchers have explored approaches such as cut-offs, 514 Mann-Kendall tests, and Bayesian methods to help reduce interpretive uncertainty (Heberling & 515 Hopton 2010; Vance et al. 2015; González-Mejía et al. 2016), but these methods are still under 516 development.

517 Idio- or non-idiosyncratic changes in animal community regimes?

518 To what extent can we expect changes in plant and animal communities to occur in a fashion 519 detectable by monitoring and analytical methods like the one presented here? Our contention is 520 that it will depend on whether or not species' response to anthropogenic change is idiosyncratic 521 within and across taxa. If species' responses are fully idiosyncratic, then the patterns at the 522 community level will become chaotic as a function of independent species' responses as 523 anthropogenic impacts accumulate and intensify. Accordingly, tracking spatial regimes and the 524 location of the transition zones between them would not be a useful activity for managers or 525 scientists. There are, however, constraints on individual response such that pattern identification 526 will remain useful and feasible on shorter timescales, though the possibility of no-analog 527 communities seems highly likely for multi-decadal or longer time scales (Williams & Jackson 2007). 528 In general, we expect to see changes in animal abundances in the short term as a response to 529 climate change and anthropogenic influence, as opposed to changes in presence/absence. Changes 530 may result from range shifts, as there is substantial evidence documenting vagile species recently 531 shifting their ranges to track their climatic niche (Parmesan, 2006; Parmesan & Yohe, 2003; Tingley 532 et al., 2009), but the rate of climate change is such that migration capabilities are unlikely to keep up with the rate of thermal change (Thuiller et al. 2008), and the ability to shift ranges is further 533 534 impeded by habitat fragmentation, which has been shown to reduce range shift (Iverson et al. 2004;

535 Thuiller *et al.* 2008). As a result, range contraction due to a lack of suitable habitat and reduced 536 survivorship within their original range is also expected (Davis & Shaw 2001; Parmesan 2006).

537 These issues confound the identification of ecological boundaries and our ability to track changes in 538 boundaries over time. Fisher information can assist researchers and managers in tracking changes in 539 the patterns of community structure associated with habitat types or biogeographical distribution 540 areas, as well as the temporal dissolution of community structure as no-analog communities 541 assemble over time. A substantial benefit to Fisher information is that it circumvents many of the 542 difficulties currently present in defining ecological boundaries, such as problems of non-linear 543 responses across ecotones, landscape fragmentation, and land use change in terrestrial systems, or 544 the ephemeral nature of some oceanographic boundaries, as well as the vast spatial scales involved, 545 all of which can be difficult to capture without exhaustive data collection (Strayer et al. 2003; Kent et 546 al. 2006; Danz et al. 2012). Other researchers have discussed the challenges of tracking boundary 547 region shifts as a way to monitor climate change, when, for example, little to no native vegetation 548 remains (less than 5% of the original prairie in the United States due to land conversion), and critical 549 structuring processes have been repressed or altered (natural fire regimes supressed) (Danz et al. 550 2012). Fisher information allows for the simultaneous analysis of multiple, disparate variables and 551 provides a synoptic approach that may allow for detection of ecological change and boundary shift 552 without pre-supposing key taxa as bell-weather species of change. However, future studies wishing 553 to estimate more precisely the location of boundaries and how they may shift over time may also 554 need to account for phonological/seasonal detection differences in the taxon under question. 555 We also propose that monitoring animal populations is more likely to reflect currently changing 556 conditions and is easier than detecting variation in plant communities or oceanographic properties.

557 Remotely-sensed data remain challenged to identify physically similar but floristically different

558 species, and ground-truthing large ecological regions is unfeasible. Animal species' responses are

559 likely to occur more rapidly than plants, as there can be a large mismatch between vegetation and

560 climate change, with changes in vegetation lagging substantially behind changes in climate (Beckage 561 et al. 2008). Long-lived species such as trees can exhibit ecosystem responses to land use and 562 climate change at century-scales because of the spatial and temporal processes structuring forests 563 (Starfield & Chapin 1996), while terrestrial animal species are more vagile and can act as a leading 564 indicator of vegetation change, or of a change in climatic variables such as temperature. 565 Furthermore, as we demonstrated, there can be significant differences between ecoregion mapping, which is based on potential vegetation as a function of geomorphology and soils, and the location of 566 567 spatial regimes actually present after decades of land use changes. All of these issues make it critical 568 to identify reliable spatially-explicit tools for mapping the effects of climate and land use change on 569 biodiversity (Mokany & Ferrier 2011), and our research suggests that Fisher information can be one

570 of those tools.

571 Conclusion

572 Our analyses confirmed that when using multivariate data, traditional early warning indicators are 573 very difficult to interpret, and integrated indicators such as FI and VI more consistently detect 574 regime shifts. We found that Fisher information provided the clearest, most detailed, and 575 interpretable signal of spatial regime shifts. Although the Variance Index did not provide clear 576 signals as a stand-alone indicator, some congruent trends are found when the results are presented in conjunction with FI. Fisher information has the further benefit of being highly flexible in terms of 577 578 the choice of variable selection and data input, and is able to detect a clear signal without the need 579 for difficult-to-acquire high resolution data.

This research had the further benefit of highlighting the incongruence between terrestrial ecoregion maps, which are focused on ecological potential, and the ecological reality of community regimes given land use and climate change. The method presented would allow researchers to track both the shifting spatial locations of communities over time, as well as the change over time within a

location, both of which are critical as the consequences of anthropogenic change manifests in
community structure and dynamics over time and space.

586 We appreciate that for both systems analysed, a different taxa could show spatial regimes in

587 different locations. Reptile or mammal community regime location may or may not overlap bird

regime location, and the transitions between ecoregions may be more or less steep given the taxa

589 under consideration. Neither mammals nor reptiles tend to be as vagile as birds, and their ability to

590 disperse in response to climate or land use change is accordingly more limited. Further research

591 evaluating the spatial regimes of other taxa and the extent to which they overlap bird and

592 zooplankton species would be useful.

593 Finally, further studies that looked more deeply into community structure within a spatial regime

594 could inform managers as to which subgroups of species are most dominant within each regime,

595 while correlation analysis could identify the subgroups of species responsible for driving the value of

596 Fisher information within each regime, both of which would allow managers to objectively select

subgroups of species to monitor as the primary indicators of ecological stability within a community.

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- 923 Figure 1A. The USGS Breeding Bird Survey route locations in the central and northern United States.
- 924 The Omernik Level III ecoregion boundaries are colored in grayscale , while the Bailey Level III
- 925 ecoregion boundaries are shown using dotted lines.





Figure 2. Fisher information ((FI; bold solid line)) and Variance Index (VI; faint dotted line) for Breeding Bird Survey community data from 30 routes (numbered from 1 to 30 on the x-axis, reflecting the west to east ordering of the routes in geographic space). Regimes identified by FI are shown as shaded boxes around the plotted line. The Omernik ecoregion domains under the x-axis allow comparison as to how well the regimes align with the ecoregions, which represent potential rather than actual vegetation. Because one FI value is produced per window, the first FI value is at route 5.



Figure 3. Results for traditional regime shift parameters applied to the BBS avian community data: variance, skewness, kurtosis, and ARI (critical slowing down). The graphs are largely uninterpretable when used on multivariate data such as this.



Figure 4. The stability of each terrestrial regime over space, as defined by the mean (μ FI), standard deviation (σ FI), and coefficient of variation (cvFI) of FI. While regimes 1-3 are clustered together and relatively stable with high μ FI, low σ FI and cvFI, Regime 4 was highly variable (low μ FI, high σ FI and cvFI). The transition periods exhibited the least amount of stability.



	Route	μFl	σFI	cvFl
Regime 1	5-10	5.79	0.23	0.04
Transition	11-12	4.57	0.58	0.13
Regime 2	13-17	5.15	0.18	0.03
Regime 3	18-21	4.40	0.13	0.03
Transition	22-25	3.40	0.48	0.14
Regime 4	26-30	2.50	0.37	0.15

Figure 5. Ordination plot for the BBS avian community data (k = 2, stress = 0.080). The BBS routes are shown with open circles, while the polygons contain all the routes that fall into the ecoregions (Omernik 1987). The overlap between the High Plains and the Central Plains suggests that these two ecoregions do not substantially differ in avian community structure.



Figure 6. Fisher information (FI; bold solid line) and Variance Index (VI; faint dotted line) for zooplankton community data collected from 44 stations along a transect that begins in the Pacific ocean, traverses the Arctic, and ends in the Labrador Sea (numbered from 1 to 44 and ordered from west to east along the x-axis). Because one FI value is produced per window, the first FI value is at route 5. The regimes and transition zones identified by FI are shown as boxes drawn around the FI plotted line. The *a priori*-defined oceanic domains are under the x-axis, to see how well the location of the regimes identified by FI align with the oceanic domains identified in the literature.



Figure 7. The stability of each marine regime over space, as defined by the mean (μ FI), standard deviation (σ FI) and coefficient of variation of FI (cvFI). While the two regimes are relatively stable with high μ FI, low σ FI and low cvFI, the transition periods exhibited the least stability. Note: Regimes reflect the domains identified by the trend in FI, **not** the regimes *a priori* identified using Carmack et al. (2010)) and Archambault et al. (2010).



N/	EA	NI	E1
111			FI

12	Station ID	μFI	σFl	cvFl
Transition 1	5-11	3.53	0.97	0.28
Regime 1	12-22	4.05	0.30	0.07
Transition 2	23-32	5.87	0.68	0.12
Regime 2	33-44	5.32	0.23	0.04

Figure 8. Ordination plot for the zooplankton community data (k = 3; stress = 0.121. The sampling stations are shown with open circles. The results of a cluster analysis (pruned to 6 clusters) are shown with black spiders, while the oceanic domains *a priori* identified from the literature are represented by the colored polygons. Both the nMDS and the cluster analysis fail to assign some sampling stations to the 'correct' oceanic domain for all domains except the Gulf of Alaska.



Table 1. Land cover classification for a 400 m buffer around each 41 km BBS route. The dominant land cover type for each ecoregion is in bold. Note that Northern Lakes and Forest is roughly evenly split between Deciduous Forest and Woody Wetlands, evidence for the hetereogeneity of the region.

Landcover Type	Southern Rockies	High Plains	Central Plains	Western Cornbelt	Northern Lakes and Forest
Open Water	0.01		0.01	0.01	0.04
Low Intensity Residential				0.02	
Deciduous Forest	0.14		0.02	0.03	0.25
Evergreen Forest	0.47				0.12
Mixed Forest	0.01				0.11
Shrubland	0.15				
Grassland/Herbaceous	0.18	0.61	0.20	0.05	
Pasture/Hay	0.02	0.04	0.08	0.12	0.10
Row Crops		0.14	0.66	0.74	0.03
Small Grains		0.13	0.02	0.01	
Fallow		0.07			
Woody Wetlands					0.28
Emergent Herb Wetland				0.01	0.04

*Only showing those categories for which at least one ecoregion has > 1%