1	Gross primary production responses to warming, elevated CO <sub>2</sub> , and irrigation:
2	quantifying the drivers of ecosystem physiology in a semiarid grassland
3	
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# 1 Abstract

2 Determining whether the terrestrial biosphere will be a source or sink of carbon (C) under a 3 future climate of elevated  $CO_2$  (eCO<sub>2</sub>) and warming requires accurate quantification of gross 4 primary production (GPP), the largest flux of C in the global C cycle. We evaluated six years 5 (2007-2012) of flux-derived GPP data from the Prairie Heating and CO<sub>2</sub> Enrichment (PHACE) 6 experiment, situated in a grassland in Wyoming, USA. The GPP data were used to calibrate a 7 light response model whose basic formulation has been successfully used in a variety of 8 ecosystems. The model was extended by modeling maximum photosynthetic rate  $(A_{max})$  and 9 light-use efficiency (Q) as functions of soil water, air temperature, vapor pressure deficit, 10 vegetation greenness and nitrogen at current and antecedent (past) time scales. The model fit the observed GPP well ( $R^2 = 0.79$ ), which was confirmed by other model performance checks that 11 12 compared different variants of the model (e.g., with and without antecedent effects). Stimulation 13 of cumulative six-year GPP by warming (29%, P=0.02) and eCO<sub>2</sub> (26%, P=0.07) was primarily 14 driven by enhanced C uptake during spring (129%, P=0.001) and fall (124%, P=0.001), respectively, which was consistent across years. Antecedent air temperature (Tair<sub>ant</sub>) and vapor 15 pressure deficit (VPD<sub>ant</sub>) effects on A<sub>max</sub> (over the past 3-4 days and 1-3 days, respectively) were 16 17 the most significant predictors of temporal variability in GPP among most treatments. The 18 importance of VPD<sub>ant</sub> suggests that atmospheric drought is important for predicting GPP under 19 current and future climate; we highlight the need for experimental studies to identify the 20 mechanisms underlying such antecedent effects. Finally, posterior estimates of cumulative GPP 21 under control and  $eCO_2$  treatments were tested as a benchmark against 12 terrestrial biosphere 22 models (TBMs). The narrow uncertainties of these data-driven GPP estimates suggest that they 23 could be useful semi-independent data streams for validating TBMs.

# 1 Introduction

2 Gross primary production (GPP) is the largest flux in the global carbon (C) cycle, representing 3 the gross amount of C removed from the atmosphere by plants via photosynthesis at the 4 ecosystem scale (Chapin III et al., 2006). GPP represents the input of C into the terrestrial 5 biosphere, which plays an important role in determining the magnitudes of the flows and stores 6 of C within plants and soil (Beer et al., 2010, Williams et al., 2005). Despite its importance, 7 there remains large uncertainty in global model projections of future GPP - both globally and 8 regionally – under anticipated future levels of CO<sub>2</sub> and warming (Richardson et al., 2013, Arora 9 et al., 2013), and there is an urgent need to determine the causes of these uncertainties 10 (Friedlingstein et al., 2014). Improved accuracy of these model predictions is critical in 11 determining whether the terrestrial biosphere is likely to be a future sink or source of C. 12 While the responses of net primary production (NPP) to elevated  $CO_2$  (eCO<sub>2</sub>) are well-13 studied, less work has directly evaluated GPP, partly because it is not directly measurable. The 14 few studies that exist on the singular effect of eCO<sub>2</sub> on GPP report a positive effect. For 15 example, Wittig et al. (2005) found a ~80% stimulation of GPP for Populus trees growing under 16 eCO<sub>2</sub> over a three year period. Likewise, using three years of leaf-level photosynthesis data, Luo 17 et al. (2001) found a ~40% increase in modelled GPP under eCO<sub>2</sub>. A stimulation of NPP under 18 eCO<sub>2</sub> suggests a stimulation of GPP if it is assumed that NPP is proportional to GPP (Williams et 19 al., 2005, Waring et al., 1998). A ~20% increase in NPP under eCO<sub>2</sub> is expected in mid-latitudes 20 (Luo et al., 2006), and this should translate into increased GPP. However, semi-arid grasslands 21 exhibit large variation in NPP responses to  $eCO_2$  (0-100%), which is primarily driven by spatial 22 and temporal precipitation variability (Polley et al., 2013). The stimulation of NPP by eCO<sub>2</sub> has 23 been shown to be suppressed if the ecosystem is nitrogen limited (Norby and Zak, 2011). GPP

1	should also be affected by responses of leaf-level photosynthesis at light saturation ( $A_{sat}$ ), which
2	increases with eCO <sub>2</sub> in trees (~45%), grasses (~35%), shrubs (~20%) and crops (~35%)
3	(Ainsworth and Long, 2005), but scaling from leaf-level Asat to ecosystem-level GPP is fraught
4	with uncertainties (Arp, 1991, McLeod and Long, 1999, Morgan et al., 2001).
5	Warming affects GPP directly through the effect of temperature on leaf photosynthesis,
6	and indirectly via alterations in nitrogen mineralization and water availability (Ciais et al., 2014,
7	Cox et al., 2000). As with eCO <sub>2</sub> , a stimulation of NPP under warming suggests a stimulation of
8	GPP if it is assumed that NPP is proportional to GPP (Williams et al., 2005, Waring et al., 1998).
9	Terrestrial biosphere models (TBMs) predict a reduction in NPP with long-term warming; if
10	warming reaches 3-5 °C by 2100 under a high CO <sub>2</sub> emissions scenario (Collins et al., 2013),
11	global terrestrial NPP may decrease by 15-100% (10-60 PgC/year) (Sitch et al., 2008,
12	Friedlingstein et al., 2006, Roy et al., 2001). Retrospective analyses also show a negative effect
13	of warming on NPP, such as a ~9% decrease in global NPP between 1980 and 2002, which offset
14	the CO <sub>2</sub> fertilization effect (Magnani et al., 2007). However, the magnitude of the GPP and NPP
15	responses to warming varies among biomes, with northern latitudes expected to exhibit the
16	largest increases (Piao et al., 2008, Rustad, 2008, Landsberg and Waring, 1997). At the site
17	level, a meta-analysis of 32 separate warming experiments found a positive effect of warming on
18	NPP for tundra sites, but no effect for temperate forest and grassland sites (Rustad et al., 2001).
19	At the regional level, a surface temperature increase of 2 °C between 1988 and 2008 in northern
20	latitudes stimulated GPP during the spring and fall (Piao et al., 2008, Rustad, 2008, Landsberg
21	and Waring, 1997).
22	TBMs assume that the interactive effect of eCO <sub>2</sub> and warming is positive (Luo et al.,

23 2008, Norby and Luo, 2004). Field data from climate change experiments support this for

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1 certain years (Dukes et al., 2005), but over multiple years there is growing evidence that the 2 positive interactive response does not exist or is not as strong as models suggest (Dieleman et al., 3 2012, Shaw et al., 2002). The effects of  $eCO_2$  and warming – whether singular or combined – 4 may be dependent upon precipitation inputs in water-limited ecosystems (Fay et al., 2003, 5 Huxman et al., 2004, Knapp and Smith, 2001, Schwinning et al., 2004). For example, an 6 experiment in a mixed C3/C4 semi-arid grassland found that aboveground NPP was increased by 7  $\sim 80\%$  when annual precipitation was delivered in a few, large rain events compared to more 8 frequent, smaller events (Heisler-White et al., 2008). Recent work has generalized this by 9 considering the effect of past or antecedent conditions on primary production. For example, 10 Ogle et al. (2015) found that event size and antecedent precipitation explained 75% of the 11 variation in aboveground NPP (ANPP) in the same semi-arid grassland. Likewise, antecedent 12 soil water content was a significant predictor of ANPP in a tall grass prairie (Sherry et al., 2008). 13 We identified three major knowledge gaps with regard to the response of GPP to climate 14 change. First, few climate change experiments have investigated the combined effects of  $eCO_2$ 15 and warming on primary production (Luo et al., 2008). Second, most of the literature on the 16 ecosystem responses of primary productivity to eCO<sub>2</sub> and warming are based on measurements 17 of NPP (as highlighted above); very few evaluate GPP, yet this is critical for constraining 18 predictions of C cycle responses to climate change (Norby and Luo, 2004). Third, while 19 analyses of climate change experiments often report that treatment effects are contingent upon 20 background climate conditions (e.g., Morgan et al. 2011), the effects of antecedent climate 21 conditions are often not evaluated. 22 To address these knowledge gaps, we measured and analyzed GPP for six years as part of

23 the Prairie Heating and CO<sub>2</sub> Enrichment Experiment (PHACE). The experiment consisted of six

1 treatments, four of which were applied in a full factorial design with CO<sub>2</sub> (ambient vs. elevated) 2 and temperature (ambient vs. warming), and two others involved deep and shallow irrigation 3 applied under ambient CO<sub>2</sub> and temperature. We drew upon this six-year dataset to address three 4 questions: (1) How does GPP respond to the main and interactive effects of eCO<sub>2</sub> and warming 5 in the context of variable precipitation? (2) What environmental and meteorological factors (e.g., 6 soil water content, antecedent conditions) govern potential responses of GPP to climate change? 7 Finally, we illustrate how our modeling approach can be applied to generate more realistic data 8 products for informing TBMs, and we ask: (3) How does the inclusion of antecedent conditions 9 affect the magnitude and uncertainty in such GPP data products? Accurate estimation of 10 uncertainty is essential in model evaluation exercises, and we provide a full accounting of 11 uncertainty in our analyses.

12

# 13 Materials and methods

## 14 Site description

15 The PHACE site is situated near Cheyenne, Wyoming at an elevation of 1930 m, with a semi-16 arid, temperate climate. Thirty-year mean annual temperature is 8.3°C and precipitation is 378 17 mm, with ~75% falling during the growing season (Zelikova et al., 2015). The vegetation is a 18 mixed grass prairie, dominated by two C3 grasses, western wheatgrass (*Pascopyrum smithii* 19 (*Rvdb.*) A. Löve) and needle-and-thread grass (Hesperostipa comata Trin and Rupr), and the  $C_4$ 20 perennial grass blue grama (Bouteloua gracilis (H.B.K.) Lag). Live plant cover ranges up to 70% 21 of ground area (Zelikova et al., 2015), and roots extend to 40 cm with 75% of root biomass 22 occurring above 15-cm depth (Carrillo et al., 2014). The soil is a fine-loamy, mixed, mesic

23 Aridic Argiustoll, and biological crusts are not present (Bachman et al., 2010).

1 Experimental design

2 The PHACE experiment was set up as an incomplete factorial design consisting of six treatments 3 and five replicate plots (3.4 m in diameter) per treatments (Morgan et al., 2011). Four of the six 4 treatments – abbreviated as ct, cT, Ct, CT – are a full factorial design of atmospheric CO<sub>2</sub> 5 (ambient at 380-400 ppm [abbreviated as 'c'] versus elevated at 600 ppm ['C']) and warming (no warming ['t'] versus heated by 1.5 °C in the daytime and 3.0 °C in the nighttime ['T']). The 6 7 increase in atmospheric CO<sub>2</sub> (600 ppm) for the elevated CO<sub>2</sub> plots (Ct and CT) was achieved 8 using Free Air CO<sub>2</sub> Enrichment (FACE) technology (Miglietta et al., 2001). Warming was 9 simulated (cT and CT) by applying a ceramic heater system using a proportional-integral 10 derivative (PID) feed-back loop (Kimball, 2005). 11 The final two treatments (cts and ctd) involve irrigation applied to ambient CO<sub>2</sub> and no 12 warming plots (shallow ['s'] or deep ['d'] irrigation). In the context of the PHACE study, the 13 main aim of the irrigation treatments was to test the hypothesis that responses to eCO<sub>2</sub> are 14 indirectly due to increases in soil water. As such, water was applied to the cts and ctd plots in an 15 effort to increase their soil water contents to match that of the Ct treatment. In the cts treatment, 16 irrigation was applied when soil moisture fell below 85% of Ct at the 5-25 cm depth: in 2007, 17 five, 18-mm precipitation events were applied (totaling 90 mm); during 2008-2011, three 21-mm 18 events per year (totaling 63 mm each year), and 2012, four 65-mm events (totaling 260 mm) 19 were applied. The total amount of water applied to the ctd plots was the same as the cts plots, 20 but water was only added twice per year (spring and fall), in approximately equal amounts.

## 21 Data description

All data were measured in the field from 2007-2012, and consisted of GPP ( $\mu$ mol C m<sup>-2</sup> s<sup>-1</sup>),

23 associated air temperature (Tair; °C), volumetric soil water content (SWC; m<sup>2</sup>/m<sup>2</sup>), ecosystem

phenology ("greenness"; %), photosynthetically active radiation (PAR;  $\mu$ mol guanta m<sup>-2</sup> s<sup>-1</sup>), 1 aboveground plant nitrogen content (N; g m<sup>-2</sup>), and relative humidity (RH; %); vapor pressure 2 3 deficit (VPD; kPa) was computed from Tair and RH. GPP data were obtained indirectly as the difference between measurements of net ecosystem exchange (NEE;  $\mu$ mol C m<sup>-2</sup> s<sup>-1</sup>) and 4 ecosystem respiration ( $R_{eco}$ ; µmol C m<sup>-2</sup> s<sup>-1</sup>) that were made within two minutes of each other. 5 NEE was measured using a  $0.1 \text{ m}^3$  canopy gas exchange chamber by measuring the rate of 6 change of CO<sub>2</sub> concentration for 1 minute (Bachman et al., 2010, Jasoni et al., 2005). Reco was 7 measured immediately afterwards and in exactly the same way as the NEE one, except that an 8 9 opaque cover was placed over the chamber to eliminate light. Midday measurements were made 10 on a total of 88 days over six growing seasons (May through September), and measurement days were typically separated by 2 to 4 weeks. Additional measurements of NEE and Reco, and thus 11 12 GPP, were made every 6 weeks at five measurement times per day in each plot (nominal times = 13 04:00, 09:00, 12:00, 16:00 and 21:00). More details on the methods can be found in Bachman *et* al. (2010) and Pendall et al. (2013). See Ryan et al. (2015) for descriptions of the environmental 14 15 data and the gap-filling employed to estimate missing covariate data on certain days and hours.

#### 16 Data synthesis and modeling

We fit a non-linear mixed effects model to the GPP data to quantify how GPP varied among the experimental treatments at the season, annual, and multi-annual scales. The goal of this analysis is two-fold: (1) to quantify the combined effects of the categorical treatment effects and the timevarying concurrent and antecedent environmental effects (addressing questions 1 and 2), and (2) to estimate GPP on non-measurements times, while accounting for different sources of uncertainty, thus allowing us to gap-fill the GPP dataset and produce estimates of cumulative GPP fluxes (addressing question 3).

1 Given the distributional properties of the observed GPP data (GPP<sup>obs</sup>), we assumed that 2 GPP<sup>obs</sup> followed a normal distribution. Thus, observation i (i = 1,..., 2456):

$$GPP_i^{obs} \sim Normal(\mu_i, \sigma_{t(i)}^2)$$
(1)

 $\mu$  is the mean or predicted GPP value,  $\sigma^2$  represents the observation variance, and t(i) indicates 3 treatment t (t = 1, 2, ..., 6 treatment levels) associated with observation i. We employ a semi-4 5 empirical model for the mean GPP,  $\mu$ , based on the rectangular hyperbola light-response model 6 (Desai et al., 2008, Falge et al., 2001, Thornley, 1976, Landsberg and Waring, 1997), which we 7 adapted to include the effect of atmospheric CO<sub>2</sub> concentration (Acock et al., 1976). We lack 8 sufficient data to parameterize more complex or mechanistic models (E.g. Farquhar et al., 1980). 9 However, the light-response or radiation-use efficiency type model has been frequently applied, 10 in various formulations, to ecosystem level GPP and NPP flux data (see above references), and 11 thus there is good precedence for using it here. The model for  $\mu$  is:

$$\mu_i = \frac{Q_i PAR_i Amax_i C_i}{Q_i PAR_i + Amax_i C_i} \tag{2}$$

PAR<sub>*i*</sub> is the measured PAR ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>); Q<sub>*i*</sub> ( $\mu$ mol CO<sub>2</sub>  $\mu$ mol<sup>-1</sup> quanta) is the quantum yield or 12 canopy light-use efficiency (i.e., the slope of the light response curve at PAR=0); Amaxi (µmol C 13 m<sup>-2</sup> s<sup>-1</sup>) is the maximum CO<sub>2</sub> uptake rate of the canopy (maximum GPP) at light saturation. 14  $C_i = c_j \exp\left(CO_{2_i} - \overline{CO_{2_1}}\right)$  accounts for variation in atmospheric CO<sub>2</sub> relative to the mean 15 observed atmospheric [CO<sub>2</sub>] ( $\overline{CO_{2_j}}$ ) in the ambient (*j* = 1; ct, cT, ctd, cts) and elevated (*j* = 2; Ct, 16 CT) CO<sub>2</sub> plots, where  $CO_{2_i}$  is the measured atmospheric [CO<sub>2</sub>], and the parameter  $c_j$  describes 17 the effect of deviations from the mean concentration ( $\overline{CO_{2_1}}$  = 376 ppm and 572 ppm for *j* = 1 and *j* 18 = 2, respectively). An exponential function is applied to the deviations to ensure  $C_i > 0$ . 19

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1 To capture potential temporal changes in the GPP response, we modeled Q and A<sub>max</sub> as 2 functions of various biotic (greenness and N) and abiotic (SWC, Tair, and VPD) factors at both 3 current and antecedent (described in detail in the section below) time-scales. It is well known 4 that plant photosynthesis is partly governed by leaf N content (Williams et al., 1996, Landsberg 5 and Waring, 1997, Magnani et al., 2007) and temperature (Farquhar et al., 1980, Bernacchi et al., 6 2001) via their effects on enzyme-mediated reactions. VPD also plays an important role via its 7 effect on stomatal conductance, which in turn controls photosynthetic rates (Collatz et al., 1991, 8 Medlyn et al., 2011). Furthermore, vegetation greenness is expected to correspond to GPP; for 9 example, satellite estimates of GPP are inferred from the light reflectance of the vegetation, 10 which describes greenness of the vegetation. To ensure that Amax is positive, we modeled Amax 11 on the log scale, and to constrain Q between 0 and 1, we modeled Q on the logit scale. For 12 example, we modeled  $log(A_{max})$  as a linear function of the aforementioned current and 13 antecedent (subscript = ant) biotic and abiotic drivers, with parameters that vary by treatment t (t14 = 1, 2, ..., 6) associated with observation i:

$$15 \quad \frac{\log(Amax_i) = \alpha_{0,t(i)} + \alpha_{1,t(i)}SWC_i + \alpha_{2,t(i)}VPD_i + \alpha_{3,t(i)}Tair_i + \alpha_{4,t(i)}SWC_{ant,i} + \alpha_{5,t(i)}VPD_{ant,i}}{+\alpha_{6,t(i)}Tair_{ant,i} + \alpha_{7,t(i)}N_i + \alpha_{8,t(i)}Greenness_i + \alpha_{9,t(i)}\Delta Greenness_{ant,i} + interactions + \varepsilon_{t(i),p(t(i))}}$$
(3)

16  $\varepsilon_{t,p}$  represents a plot (nested in treatment) random effect, and p(t(i)) indicates plot p associated 17 with treatment t and observation i (p = 1, 2, 3, 4, 5 for each treatment).  $\Delta$ Greenness<sub>ant</sub> represents 18 the antecedent rate of change of greenness; when greenness is increasing,  $\Delta$ Greenness<sub>ant</sub> > 0, and 19 when leaves are senescing  $\Delta$ Greenness<sub>ant</sub> < 0. We define 'interactions' in Eqn (3) to potentially 20 include all 2-way interactions between the covariates indicated in Eqn (3). Preliminary analysis 21 identified five two-way interactions (of 36 possible) that were most important for understanding 22 GPP (see appendix S1 for details of preliminary analysis), including Tair×Tair, SWC<sub>ant</sub>×Tair<sub>ant</sub>,

1	SWCant×VPDant, SWC×SWCant Tair×Tairant and VPD×Tair; these five interactions represent the
2	"interactions" term and are assigned interaction effects parameters $\alpha_{10,t}$ - $\alpha_{15,t}$ , respectively.
3	Including these interactions is further justified because: (1) Tair×Tair accounts for a potential
4	peaked temperature response; (2) SWC <sub>ant</sub> ×Tair <sub>ant</sub> indicates the seasonality of moisture
5	availability; (3) SWCant×VPDant indicates differential below- versus aboveground water stress
6	effects; and, (4) previous studies have reported important interactions between current and
7	antecedent factors. Regarding the last point, C fluxes are likely to respond differently to a rain
8	event (increase in current SWC) that occurs during a dry period (low $SWC_{ant}$ ) compared to
9	during a wet period (high SWCant) (Arp, 1991, Barron-Gafford et al., 2014, Cable et al., 2013,
10	Ryan et al., 2015), thus reflecting potential hysteresis patterns (Oikawa et al., 2014, Barron-
11	Gafford et al., 2011).

12 The function for logit(Q) is the same as for log( $A_{max}$ ) except that: (1) there is no N term 13 because N is primarily expected to affect the amount of RuBisCO in the photosynthetic tissues, 14 which in turn primarily limits  $A_{max}$  (Reich et al., 2009); and (2) it has its own nested plot random 15 effects and treatment-specific effects parameters ( $\beta_0, ..., \beta_{14}$ ) (see Table 3 for a summary of model 16 parameters).

## 17 Quantification of antecedent drivers

We characterized and quantified antecedent covariates following the stochastic antecedent
modeling (SAM) framework described by Ogle *et al.* (2015); examples of practical
implementation are given by Ryan *et al.* (2015), Cable *et al.* (2013), and Barron-Gafford *et al.*

- 21 (2014). Traditional methods of defining antecedent variables often compute a deterministic
- 22 average of the variable over a fixed past time period. SAM is different in that it allocates
- 23 parameters ("importance weights") to specific periods in the past, thus enabling quantification of

the relative importance of the variable at those different past times. Following Cable *et al.* (2013)
and Ryan *et al.* (2015), we allowed GPP to be influenced by Tair and VPD over daily timescales, and by SWC and greenness over weekly time-scales. In general, we describe the
antecedent variable (X<sub>ant</sub>) associated with observation *i* as:

$$X_{ant,i} = \sum_{k=1}^{Nperiods} W_{X_{k,t(i)}} \overline{X}_{tp(i)-k+1,p(t(i))}$$

$$\tag{4}$$

5 where X = VPD or Tair,  $\overline{X}$  is the 24-hour mean for a particular day or time period, k is the time lag into the past (for Nperiod = 7 time steps) such that when  $k = 1, \overline{X}$  is the observed 24-hour 6 7 mean that occurred during tp(i), the time period associated with observation i; again, t(i) and 8 p(t(i)) are the treatment (t = 1,...,6) and plot (p = 1,...,5 per treatment) associated with observation *i*.  $W_X$  are the weight parameters to be estimated. The expression for  $SWC_{ant}$  is similar to equation 9 10 (4) except that  $\overline{X}$  is the 7-day mean for a particular week such that tp denote the week associated 11 with each observation and k denotes the time (week scale) lag (Nperiods = 6); as done in Ryan et 12 al. (2015), we allocated a separate weight for each of the first few weeks in the past (k = 1, 2, 3, 13 4), the fifth (k = 5) weight to past weeks 5-6, and the sixth (k = 6) weight to past weeks 7-10. We 14 made a slight modification to calculate  $\Delta$ Greennessant:

$$\Delta Greenness_{ant,i} = \sum_{k=1}^{Nperiods} W_{X_{k,t(i)}} \left( \overline{X}_{tp(i)-k+1,p(t(i))} - \overline{X}_{tp(i)-k,p(t(i))} \right)$$
(5)

where  $\overline{X}$ , *i*, *k*, *t*, *tp*, and *p* are as defined previously for the weekly scale covariates. Like SWC<sub>ant</sub>, the time periods are on a weekly scale, but *k* = 1, 2, 3, and 4 correspond to the past week, two weeks ago, three weeks ago, and four weeks ago (Nperiods = 4), respectively.

We refer to the model described above as the 'main' model. We also implemented an 'alternative' model that excludes all antecedent covariates from the Q and A<sub>max</sub> functions, as defined in Eqn (3), to evaluate the importance of including antecedent effects. The alternative model (no antecedent effects) is more similar to the types of models that are often applied for
partitioning eddy-covariance NEE data into its GPP and ecosystem respiration components, such
as those described in the review paper by Desai et al. (2008).

## 4 Model implementation and assessment

5 We implemented the model within a hierarchical Bayesian framework (see Appendix S2 for 6 details) using the software package JAGS (Plummer, 2003), which uses Markov chain Monte 7 Carlo (MCMC) to sample from the joint posterior of the model parameters. Depending on the 8 model (main or alternative model), we ran three parallel chains for 100,000-200,000 iterations 9 each. After discarding the first 50% of iterations as 'burn in', we thinned the chains by 100 to 10 reduce within-chain autocorrelation and to reduce storage requirements; convergence was 11 assessed using the Brooks-Gelman-Rubin diagnostic tool (Gelman et al., 2013). This produced 12 roughly 3000 independent samples from the posterior distribution for each parameter, which were summarized by their posterior means, central 95% credible intervals (CIs) defined by the 13 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles, and Bayesian p-values (Gelman et al., 2013). 14 15 We assessed the performance of the model by comparing predicted GPP versus observed GPP. We used the coefficient of determination  $(R^2)$  as an informal measure of model accuracy. 16 A limitation with solely using  $R^2$  is that it does not detect when over-fitting occurs, the 17 phenomenon by which  $R^2$  can increase with greater model complexity (more parameters). To 18 19 overcome this, we also calculated two other commonly used model assessment diagnostics: the 20 deviance information criterion (DIC) and the posterior predictive loss (PPL). Each of these 21 statistics are the sum of a goodness of fit term and a model complexity (penalty) term that

22 describes the effective number of parameters (Spiegelhalter et al., 2002, Gelfand and Ghosh,

1 1998). One model is more desirable over another if it has a lower DIC and lower PPL. Using 2 these two indices, we compared our main model with the alternative model.

#### 3 Estimates of seasonal, annual and six-year GPP

4 Our Bayesian approach to analyzing the GPP data also provides a framework for predicting GPP 5 for time periods for which it was not measured. Each of the fitted models (main and alternative) 6 was subsequently applied on an hourly time-step during the March-October period (we assumed 7 GPP = 0 during other months due to the lack of vegetation during these winter months) for 2007-8 2012, and for every plot using each of the 3000 parameter sets sampled from the posterior 9 distribution. The model simulations were implemented using equations (2)-(5) as well as all 10 measurements of plot-level data (daily SWC, daily greenness, hourly Tair, hourly VPD, and 11 annual N). The resulting hourly GPP predictions were summed within each season, each year, 12 and across all years for each of the 3000 model executions, yielding posterior predictive 13 distributions of seasonal (spring [March-May], summer [June-August], fall [Sept-Oct]), annual 14 (March-October), and six-year GPP estimates. These distributions account for both model 15 uncertainty (e.g., lack of fit) and parameter uncertainty.

#### 16 Comparisons to GPP simulated from 12 terrestrial biosphere models

17 The data-driven predicted GPP values could serve as important 'data-products' for informing and 18 evaluating terrestrial biosphere models (TBMs). Importantly, the Bayesian procedure explicitly 19 quantifies uncertainty in such data products. To exemplify the importance of quantification of 20 data product uncertainty, we considered two different types of data products: (1) six-year 21 cumulative GPP from the main and alternative models as described in the previous subsection, 22 and (2) the percent change in the six-year GPP under warming (cT) and eCO<sub>2</sub> (Ct) relative to the 23

control (ct). As with the first, the second data product was computed using Monte Carlo

1	simulations based on the 3000 posterior estimates of the six-year GPP (see Appendix S3 for
2	description of how both data products were computed). The six-year GPP and GPP responses
3	predicted from 12 TBMs were compared against the corresponding data products. The TBMs
4	included: six land surface models (CABLE, CLM4.0, CLM4.5, ISAM, OCN, and ORCHIDEE);
5	three global dynamic vegetation models (JULES, LPJ-GUESS and SDGVM); and three
6	ecosystem models (DAYCENT, GDAY, and TECO); see Table S1 in the supplementary material
7	for a description of the TBMs. The TBMs were not calibrated to the site using response data, but
8	they were provided optional data or parameter values (e.g., Vcmax, specific leaf area, rooting
9	depth, soil texture) representative of the site. Models were also forced with site meteorological
10	data covering the six years of the experiment (see Appendix S4 for details).
11	As a result of the TBMs not being rigorously calibrated against the PHACE data, there
12	was no expectation that the TBM responses would match or be close to the expected PHACE
13	responses. The purpose of comparing our "GPP data product" against the TBM output was to
14	illustrate how our data product could be used to inform the TBMs. Our analysis represents a
15	more flexible and potentially more rigorous method for "gap-filling" missing data – compared to
16	algorithms that are currently used to gap fill, for example, fill eddy flux data – and we show how
17	it can be used to generate GPP estimates (data products) over the course of the experiment.
18	

# 19 **Results**

20 Assessment of model performance

21 Our main model was able to explain a large portion of the variation in the hourly GPP

22 observations (overall  $R^2$  of 0.79). However, the accuracy of the GPP predictions varied among

23 the treatments (Fig. 1), with treatment-specific fits: cT ( $R^2=0.86$ ), ctd ( $R^2=0.81$ ), ct ( $R^2=0.80$ ), cts

(R<sup>2</sup>=0.77), CT (R<sup>2</sup>=0.77), and Ct (R<sup>2</sup>=0.67). For all treatments, the model tends to slightly
 under-predict GPP at high values, and while this bias is minimal, it is more pronounced in the Ct
 treatment (Fig. 1). That is, amongst a number of treatments, there are a handful of measurements
 that are significantly higher than the modelled values, and these seem to mainly be concentrated
 on one or two days during the fall (Fig. S5).

6 The alternative model, which excluded all of the antecedent covariates, resulted in a 7 poorer fit (R<sup>2</sup> = 0.58 overall, R<sup>2</sup> ranged from 0.40 to 0.67 among treatments) and greater bias 8 (more severe under-prediction of GPP at high values) (Fig. S1). The more robust DIC and PPL 9 measures also strongly indicated much better model performance for the main model compared 10 to the alternative model (DIC=12,690 and PPL=45,852 for the main model, with DIC=13,903 11 and PPL=80,067 for the alternative model).

## 12 Phenology of grassland carbon uptake and its relation to precipitation

13 The time series of predicted GPP revealed high interannual variability (Fig. 2). For example, for

14 the control treatment (ct), predicted daily GPP reached a maximum around 10 g C  $m^{-2}$  day<sup>-1</sup> for

15 2009 and 2010, which was double the predicted maximum in 2012 ( $\sim$ 5 g C m<sup>-2</sup> day<sup>-1</sup>; Fig. 2a).

16 Within years, bimodal peaks in GPP were predicted in 2007, 2008, 2011, and 2012 in response to

17 spring and late-summer precipitation inputs.

# 18 Treatment effects on GPP

19 Over the entire experimental period (2007-2012), the largest and most statistically significant

- 20 increases in GPP relative to the control treatment (ct) occurred under warming (29% increase;
- 21 Table 1 and Fig. 3b; P=0.02 for ct vs cT), eCO<sub>2</sub> (26%; Table 1 and Fig. 3b; P=0.07 for ct vs Ct),
- and deep irrigation (28%; Table 1 and Fig. 3b; P<0.01 for ct vs ctd).
- At the annual time scale, relative to ct, annual GPP increased under  $eCO_2$  (Ct) in 2007,

1	2008, 2011, and 2012 (Fig. 3a and Table 1; ct vs Ct, P=0.007, 0.09, 0.02 and 0.009, respectively).
2	Warming (cT) also stimulated annual GPP in 2007, 2008, 2010, and 2011 (Fig. 3a and Table 1; ct
3	vs cT, P=0.006, 0.04, 0.09, and 0.005 respectively). There is some evidence that the combination
4	of eCO <sub>2</sub> and warming (CT) enhanced GPP in 2007 and 2011 (Fig. 3a and Table 1; ct vs CT,
5	P=0.09 and 0.08, respectively). The large increase in GPP under deep irrigation (ctd) was
6	reflected across individual years, with four showing statistically significant increases of 28-61%.
7	In the absence of warming, annual GPP under eCO <sub>2</sub> (Ct) was similar to annual GPP under
8	shallow irrigation (cts) for all years (Table 1; Ct vs cts, P>0.18 for any individual year).
9	Seasonal differences in the treatment effects emerged. The 29% overall increase in GPP
10	under warming (cT) relative to the control (ct) during all six years was primarily driven by
11	enhanced spring productivity (Fig. 3b, black-filled portion of cT bars; Table 1, ct vs cT: 129%
12	increase, P=0.001). During the summer, there was on average an 11% decline in GPP under cT
13	(Table 1, ct vs cT, P=0.15), which is consistent with Pendall et al. (2013) who used linear
14	regression and linear interpolation to estimate April-September GPP sums from data. Although
15	the CO <sub>2</sub> effect was only statistically significant (P<0.09) for four out of the six years, GPP
16	increased by 124% under $eCO_2$ (Ct) during fall. The spring cT and fall Ct GPP estimates were
17	the only treatment by season combinations that were always significantly different (P<0.03) from
18	the corresponding season-level ct estimates, for all years (Table 2, rows 1 and 3). Compared to
19	spring and summer, GPP also increased the most during fall under eCO <sub>2</sub> and warming (ct vs CT:
20	42% increase, P=0.03), deep irrigation (ct vs ctd: 68% increase, P=0.002), and shallow irrigation
21	(ct vs cts: 66% increase, P=0.008) (Table 1).

Importance of current and antecedent conditions for understanding treatment effects on GPP
Including antecedent terms in the submodels for A<sub>max</sub> and Q (see Eqn (3)) resulted in decreases

1 in the predicted six-year GPP relative to the alternative model, with the greatest reductions 2 occurring for the control treatment (by 12%, P=0.14), the  $eCO_2 \times$  warming treatment (by 20%, 3 P=0.04), and the deep irrigation treatment (by 14%, P=0.05). Furthermore, 34 out of the 36 4 treatment × year combinations corresponded to a decrease in annual GPP of between 1% and 5 42% for the main model versus the alternative model (Tables S3a, S3b, S3c). Both Amax and Q 6 were not significantly affected by concurrent covariates (SWC, VPD, Tair, greenness, and N), for 7 most or all treatments, depending on the covariate (Table 3). Conversely, the main effect of two 8 of the three antecedent covariates (VPD<sub>ant</sub> and Tair<sub>ant</sub>) on A<sub>max</sub> was significant for the majority of 9 treatments (Fig. 4a,b; Table 3). The most important predictors for Q involved the SWC<sub>ant</sub>×Tair<sub>ant</sub> 10 and SWC<sub>ant</sub>×VPD<sub>ant</sub> interactions, which were significant for four and three of the treatments, 11 respectively (Fig. 4c,d; Table 3). Although the direction of the VPD<sub>ant</sub> (for A<sub>max</sub>), Tair<sub>ant</sub> (A<sub>max</sub>), SWC<sub>ant</sub>×Tair<sub>ant</sub> (Q), and SWC<sub>ant</sub>×VPD<sub>ant</sub> (Q) effects were consistent for the vast majority of 12 13 treatments (Table 3), the magnitude of the antecedent effects differed among certain pairs of 14 treatments (Fig. 4a,c,d).

Given that antecedent conditions are important for understanding GPP, we can evaluate the time-scales over which each variable influences GPP. For SWC<sub>ant</sub>, the first two weeks prior to the GPP measurement were generally the most important for predicting GPP (Fig. S2a). For the majority of treatments, Tair experienced 3-4 days prior and VPD from 1-3 days prior tended to be the most important for predicting GPP (Fig. S2b,c).

20 Comparison of predicted six-year GPP with TBMs

When comparing the GPP predictions from our data-driven analysis with those of 12 terrestrial biosphere models (TBMs), the 95% credible intervals (CIs) of our six-year GPP "data product" (whether generated from the main or alternative model) under the control (ct) and eCO<sub>2</sub> (Ct)

1 treatments are fairly narrow compared to the range of TBM predictions (Fig. 5a,b). Under the 2 control treatment, only one of the twelve TBM predictions fell within the 95% CI of the data 3 product if antecedent conditions were included in the calculation of the data product (Fig. 5a, 4 black cross and error bar). The number of TBM predictions consistent, or almost so, with the 5 data product increased to five if antecedent conditions were not included when computing the 6 data product (Fig. 5a, grey cross and error bar). Under the eCO<sub>2</sub> scenario, there was greater 7 similarity in the number of TBM predictions agreeing with the data product if antecedent versus 8 no antecedent conditions were included for determining the data product (Fig. 5b). 9 The TBMs also need to accurately predict the relative change in GPP under scenarios of 10 environmental change (e.g., eCO<sub>2</sub>, warming, or some combination). We used our GPP analysis 11 framework to produce a data product of the percent difference in GPP under treatment conditions 12 relative to control conditions. In contrast to the cumulative GPP estimates, these percent 13 differences were associated with high uncertainty, sometimes spanning both decreases and 14 increases (e.g., Fig. 5c,d). This resulted in the majority of TBM simulations that are consistent 15 with this data product (i.e., the TBM predictions lie within the CIs; Fig. 5c,d), despite the wide 16 range of TBM predictions. Thus, the data product associated with GPP on the absolute scale 17 (Figs. 3a,b) is more useful for evaluating and informing TBMs than the data product on the 18 percent change scale (e.g. Figs. 3c,d).

19

# 20 **Discussion**

## 21 Implications of treatment effects on annual GPP

22 Annual GPP was predicted to be most stimulated by elevated CO<sub>2</sub> (eCO<sub>2</sub>, Ct treatment) during

1 the three driest years of our study (2007, 2011, and 2012), suggesting that increased GPP under 2 eCO<sub>2</sub> could have resulted from enhanced water-use efficiency (Kelly et al., 2015). The shallow 3 irrigation (cts) treatment confirmed the role of SWC in mediating the GPP responses to eCO<sub>2</sub>, 4 consistent with findings in a similar grassland system (Parton et al., 2012). Moreover, deep 5 irrigation led to a greater percentage increase in GPP compared with eCO<sub>2</sub> or surface irrigation 6 (Table 1; Fig. 3). This may reflect the frequency and magnitude in which irrigation was applied 7 under ctd (twice, large events) compared to cts (three-five smaller events). Larger, less frequent 8 precipitation events are expected to stimulate GPP to a greater extent than smaller, more frequent 9 events, especially early in the growing season (Heisler-White et al., 2008, Lauenroth and Sala, 10 1992, Ogle et al., 2015). A prior estimate of annual GPP for this same site suggested a reduction 11 in GPP by eCO<sub>2</sub> in 2009 (Pendall et al., 2013), but our analysis revealed that a significant 12 difference existed only during summer of that year (P=0.06). We also found that 2009 – the 13 wettest year – had the highest annual GPP under the control treatment compared to all other 14 study years (Fig. 3, Table S2, Table S3a), in agreement with Mueller et al. (2016) who found the 15 highest above ground biomass in that year but no  $eCO_2$  effect. Other grassland studies have 16 found no response or a reduction in primary production under eCO<sub>2</sub> during wet years (Hovenden 17 et al., 2014, Polley et al., 2013).

18 Climate change treatments altered the seasonality of GPP, particularly in spring and fall, 19 as observed for species and community-level measurements at the same site (Reyes-Fox et al., 20 2014, Zelikova et al., 2015). Across all years, warming (cT) consistently increased annual GPP 21 by 12-50%, and this was predominantly driven by enhanced production during the spring (Fig. 22 3a; Tables 1,2), when temperature limits constrained productivity in this high elevation system. 23 Increased annual GPP for all treatments, except cT, relative to the control (ct) was dominated by

increases in GPP during the fall (Table 1, furthest right column). The consistency of the 1 2 statistical significance of this eCO<sub>2</sub> enhancement during fall of most years, as well as the 3 warming enhancement in spring (Table 2), may be due to two potential co-occurring 4 mechanisms: (i) Spring warming directly stimulates earlier snow melt, photosynthesis, and plant 5 growth (Figs. S4, Luo, 2007, Richardson et al., 2010b, Sherry et al., 2008); and/or (ii) the SWC 6 in fall is sustained for longer as a result of the water-saving effects of eCO<sub>2</sub> in water limited 7 systems like at PHACE (Webb et al., 2012, Morgan et al., 2004, Morgan et al., 2011, Nowak et 8 al., 2004). Our results indicate that these GPP enhancements in spring and fall may extend the 9 growing season. For example, in 2008 (an average year in terms of meteorology), modelled GPP 10 during spring was consistently higher under warming, although observed GPP showed only a 11 minor increase (Fig. S5a and S5c). In fall, modelled GPP remained significantly higher with 12 eCO<sub>2</sub> compared to ambient, which is supported by the observations (Fig S5b,d). In the warm, dry year of 2012, GPP was significantly enhanced by warming in spring and by eCO<sub>2</sub> in fall 13 14 (Table 3). This is partly consistent with observed treatment effects on vegetation greenness 15 (Zelikova et al., 2015), which was stimulated by the combination of warming and  $eCO_2$  in spring 16 of 2012. Overall, our data-model product provides reasonable support for hypothesized 17 mechanisms that could extend the growing season in this cool, dry grassland, although additional 18 observations in spring and fall could improve confidence in climate change effects on ecosystem 19 physiology (Richardson et al., 2010a, Richardson et al., 2013).

20 Importance of antecedent conditions for predicting GPP and evaluating treatment differences

21 An increasing number of studies recognize the importance of antecedent conditions in

22 understanding the terrestrial C cycle (Barron-Gafford et al., 2014, Cable et al., 2013, Ryan et al.,

23 2015, Gamnitzer et al., 2011). Our main model (with antecedent effects) explained 67-86% of

1	the variation in the GPP data, but the alternative model (without antecedent effects) only
2	explained 40-67% of the variation. This difference in the explanatory power of models that
3	include antecedent conditions has also been demonstrated for other C flux components, including
4	soil respiration (Ogle et al., 2015, Barron-Gafford et al., 2014), annual aboveground NPP, and
5	annual tree growth (Ogle et al., 2015). The increased explanatory power of the "antecedent
6	models" cannot not be solely explained by the additional parameters that they introduce given
7	the support conveyed by model selection indices that penalize for the number of parameters or
8	model complexity. In particular, our results suggest that antecedent vapor pressure deficit
9	(VPD <sub>ant</sub> ) and antecedent air temperature (Tair <sub>ant</sub> ) were the most important predictors of GPP,
10	primarily via their effects on maximum potential GPP ( $A_{max}$ ). Antecedent SWC (SWC <sub>ant</sub> )
11	interacted with these two factors to affect light-use efficiency (Q).
12	The importance of Tair <sub>ant</sub> suggests that accounting for seasonal changes in air temperature
12 13	The importance of Tair <sub>ant</sub> suggests that accounting for seasonal changes in air temperature is critical for obtaining good estimations of $A_{max}$ in this temperate grassland, especially in spring
12 13 14	The importance of Tair <sub>ant</sub> suggests that accounting for seasonal changes in air temperature is critical for obtaining good estimations of $A_{max}$ in this temperate grassland, especially in spring when moisture is less limiting (Lauenroth and Sala, 1992). The importance of antecedent
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12 13 14 15 16	The importance of Tair <sub>ant</sub> suggests that accounting for seasonal changes in air temperature is critical for obtaining good estimations of $A_{max}$ in this temperate grassland, especially in spring when moisture is less limiting (Lauenroth and Sala, 1992). The importance of antecedent temperature has been implicated as depicting a temperature acclimation response (Ogle et al., 2015). However, the general positive effect of Tair <sub>ant</sub> on $A_{max}$ actually indicates that warmer past
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12 13 14 15 16 17 18 19 20	The importance of Tair <sub>ant</sub> suggests that accounting for seasonal changes in air temperature is critical for obtaining good estimations of $A_{max}$ in this temperate grassland, especially in spring when moisture is less limiting (Lauenroth and Sala, 1992). The importance of antecedent temperature has been implicated as depicting a temperature acclimation response (Ogle et al., 2015). However, the general positive effect of Tair <sub>ant</sub> on $A_{max}$ actually indicates that warmer past temperatures tend to enhance $A_{max}$ and GPP, regardless of the current air temperature which appears to have little impact on GPP once antecedent temperature is accounted for (see Table 3). It appears that GPP is more likely to respond to concurrent changes in soil water (SWC), and to some extent VPD, compared to temperature. The importance of concurrent SWC and VPD on
<ol> <li>12</li> <li>13</li> <li>14</li> <li>15</li> <li>16</li> <li>17</li> <li>18</li> <li>19</li> <li>20</li> <li>21</li> </ol>	The importance of Tair <sub>ant</sub> suggests that accounting for seasonal changes in air temperature is critical for obtaining good estimations of A <sub>max</sub> in this temperate grassland, especially in spring when moisture is less limiting (Lauenroth and Sala, 1992). The importance of antecedent temperature has been implicated as depicting a temperature acclimation response (Ogle et al., 2015). However, the general positive effect of Tair <sub>ant</sub> on A <sub>max</sub> actually indicates that warmer past temperatures tend to enhance A <sub>max</sub> and GPP, regardless of the current air temperature which appears to have little impact on GPP once antecedent temperature is accounted for (see Table 3). It appears that GPP is more likely to respond to concurrent changes in soil water (SWC), and to some extent VPD, compared to temperature. The importance of concurrent SWC and VPD on GPP likely reflects stomatal regulation of plant water status, which in turn is expected to affect

1	While we would expect GPP to be partly regulated by short-term (sub-daily) changes in
2	VPD (e.g., via stomatal control; Oren et al. 1999), we also found that VPD experienced over the
3	past few days (VPD <sub>ant</sub> ) affects GPP, especially through its influence on A <sub>max</sub> . In particular, high
4	VPD for about 1-3 days prior, is predicted to reduce $A_{max}$ , across all treatments (Fig. 4a). While
5	the effect of VPD on stomatal closure and photosynthesis is usually treated as being
6	instantaneous due to tight coupling of stomatal conductance to VPD (Collatz et al., 1991), this
7	study suggests that plants may adjust to VPD over longer time scales. VPD conditions occurring
8	over the past 1-7 days represent a proxy for past atmospheric drought conditions (Haddad et al.,
9	2002), and GPP is likely to be negatively impacted by cumulative atmospheric drought.
10	Furthermore, the VPD <sub>ant</sub> effect was more negative under eCO <sub>2</sub> (Fig. 4a), indicating greater
11	sensitivity of stomata (and hence, photosynthesis) to atmospheric drought, potentially leading to
12	higher integrated water-use efficiency under eCO <sub>2</sub> .
13	The use of VPD as a predictor of GPP is not new (Groenendijk et al., 2011), but the
14	proposition that antecedent VPD is an important driver of GPP has not been previously
15	considered. One possibility is that this effect is just an artifact of our model because VPD
16	depends upon Tair, and the VPD <sub>ant</sub> effect could reflect a non-linear Tair <sub>ant</sub> effect. However, this is
17	unlikely because although current VPD is highly correlated with current Tair ( $r = 0.85$ ), the
18	correlation between the antecedent covariates (VPD <sub>ant</sub> versus Tair <sub>ant</sub> ) is weaker ( $r = 0.68$ ).
19	Furthermore, our model contains quadratic Tair (Tair <sup>2</sup> ) terms in both the $A_{max}$ and Q functions,
20	thus the shape of the expected response of GPP to Tair (peaked) should already be accounted for.
21	A more plausible explanation for the VPD <sub>ant</sub> effect is that stomatal conductance or
22	photosynthesis acclimate to VPD. For example, Kutsch et al. (2001) found that a decrease in
23	stomatal aperture in beech trees - implying a decrease in GPP - was negatively correlated with

1 the previous month's mean VPD. The importance of past VPD, rather than past SWC, prompted 2 the authors to suggest that plants possess a biochemical memory of past climatic conditions. 3 Buckley (2005) further suggests that when VPD exceeds some threshold, water potential can 4 reach a cavitation threshold, leading to cavitation and reducing transpiration at any given VPD. 5 If VPD is subsequently reduced, then there is a lag between the recovery of water potential and 6 embolism repair; the time scale of this recovery is not well understood but could contribute to a 7 GPP versus VPD lag. Various mechanisms have been proposed to explain the stomatal behavior 8 versus VPD lag including the hydroactive feedback hypothesis (Buckley 2016) or delays 9 associated with abscisic-acid (ABA) signalling (Aliniaeifard and van Meeteren, 2014). Clearly, 10 additional research is required to establish the generality of a GPP versus VPD lag (antecedent 11 effect) and to identify underlying mechanisms related to stomatal behavior, biochemical 12 acclimation, or other explanations.

13 Terrestrial biosphere models (TBMs) do not commonly account for the potential direct 14 effects of antecedent VPD on the physiological components, for example, through acclimation of 15 photosynthesis (Kattge and Knorr, 2007, Smith et al., 2015). Nevertheless, soil water content 16 does contain information on antecedent VPD, and thus via soil water effects on physiology 17 models have an indirect "memory" of VPD. However, model physiological responses to changes 18 in soil water are empirical and can range from insensitive to too sensitive (De Kauwe et al., 19 2015, De Kauwe et al., 2014). First principles methods that integrate carbon costs and benefits 20 under antecedent environmental conditions (De Kauwe et al., in review, Mueller et al., 2016) 21 may provide a robust method to incorporate acclimation of leaf physiology to antecedent VPD 22 and soil water into TBMs. Our results highlight accounting for such an acclimation process,

which directly considers the effect of antecedent conditions, could improve modelled estimates
 of photosynthesis.

## 3 *Implications for the terrestrial carbon cycle*

4 Estimates of global GPP used in the last IPCC report were calculated from site-level GPP 5 estimates that were derived by fitting a light response curve to flux tower NEE data (Beer et al., 6 2010, Lasslop et al., 2010). The site-level  $A_{max}$  terms in these analyses were also represented as 7 exponential functions of current environmental covariates (Lasslop et al., 2010). If antecedent conditions (such as VPD<sub>ant</sub>, SWC<sub>ant</sub>, and Tair<sub>ant</sub>) had been included, our analysis suggests that 8 9 annual estimates of GPP at semi-arid grasslands could have been improved (Fig. 1 vs. Fig. S1). 10 For other ecosystems or plant functional types that are less sensitive to drought, the effect of 11 antecedent meteorological conditions may be less pronounced. Moreover, our results show that 12 including antecedent conditions could result in lower estimates of cumulative GPP in temperate grasslands under current climate (by 12%), and especially under a future, warmer climate and 13 14  $eCO_2$  (by 20%; see Table S3c).

15 Since the early 1990s, global change experiments, such as Free Air  $CO_2$  Enrichment 16 (FACE) studies, have generated data on responses of key biogeochemical processes to future 17 environmental conditions. Such experiments have become invaluable for informing model 18 forecasts (Piao et al., 2013, Zaehle et al., 2014, De Kauwe et al., 2014, Walker et al., 2014, De 19 Kauwe et al., in review). One of the challenges associated with applying terrestrial biosphere 20 models (TBMs) to understand climate change impacts on GPP and the C cycle is limited access 21 to accurate data products for informing and evaluating the models. Since many data products are 22 derived from simpler models that are fit to observational data, it is prudent to account for 23 uncertainty in such data products since they are not perfect representations of the real system.

Our hierarchical Bayesian approach to analyzing the GPP data in the context of a fairly simple light-response model provides a mechanism for predicting GPP at non-measurement timeperiods, while accounting for uncertainty in these predictions. However, we wish to emphasize that the purpose of the comparison between TBMs and our "data product" (Fig. 5) was not to validate the TBMs, but rather to evaluate the utility of the data products.

6 We are confident in our seasonal, annual, and six-year cumulative GPP predictions given 7 their relatively narrow 95% CIs (e.g., Fig. 5a and 3b). The width of the intervals, however, did 8 vary among global change treatments, with the widest intervals (and weakest model fits [lowest 9  $\mathbb{R}^{2}$ s]) occurring for treatments involving eCO<sub>2</sub> (Ct and CT). This suggests that additional 10 information or improved model structure is required to obtain more accurate GPP estimates 11 under eCO<sub>2</sub>. In general, the tight estimates for cumulative GPP at different time scales suggest 12 that this would be a valuable (semi-)independent data stream that TBMs can be compared 13 against.

14 The importance of antecedent environmental conditions on grassland GPP has been 15 highlighted by the Bayesian model selection procedure used in this study. Antecedent conditions 16 were key predictors of GPP, in particular air temperature and vapor pressure deficit of the past week, and research into the mechanism by which antecedent Tair and VPD affect GPP would be 17 18 an interesting and useful contribution to understanding the carbon cycle in these grassland 19 ecosystems. Including antecedent conditions substantially improved the fit of the Bayesian 20 model and led to a consistent reduction in the computed multi-year GPP in this grassland 21 ecosystem, across the vast majority of treatments and years. Given the global coverage of 22 grassland ecosystems, understanding the effect of antecedent environmental conditions more 23 broadly is likely to have implications for our understanding of the global carbon cycle.

# 1 Data availability

- 2 Data are available through the digital repository, Dryad. Please e-mail Elise Pendall
- 3 (<u>E.Pendall@westernsydney.edu.au</u>) or Edmund Ryan (<u>edmund.ryan@lancaster.ac.uk</u>) for details.
- 4

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# 6 Author contributions

ER, KO, and EP designed the study; ER conducted the analysis and wrote the paper, with contributions from KO, EP, AW, MDK, and BM. The Bayesian analysis was directed by KO; DP assisted with implementing the Bayesian models. The remaining authors provided GPP model output from eight of the TBMs in order to construct Figure 5.

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Tables 1-3 for Manuscript ID GCB-16-1419: Ryan, E. et al. Gross primary production

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**Table 1.** Percent differences in predicted annual GPP for key pairs of treatments. Percentages

(spring, summer, fall). Asterisks denote the Bayesian P-value for the difference:  $P \le 0.01$  (\*\*), 

are given for each year, for the six-year total (2007-2012), and for the six-year seasonal totals

8	

 $0.01 < P \le 0.05$  (\*) and  $0.05 < P \le 0.1$  (<sup>(†)</sup>). See Fig. 1 legend for treatment codes.

	2007	2008	2009	2010	2011	2012	2007-	2007-2012	2007-2012	2007-2012
							2012	(Spring)	(Summer)	(Fall)
Warming effect (cT - ct)	40**	30*	12	27 <sup>(†)</sup>	50**	29	29*	129**	-11	56**
Elevated CO <sub>2</sub> effect (Ct - ct)	47**	31 <sup>(†)</sup>	-5	7	49*	63**	26 <sup>(†)</sup>	47 <sup>(†)</sup>	1	124**
Warming and CO <sub>2</sub> effect (CT - ct)	28 <sup>(†)</sup>	8	-11	-3	24 <sup>(†)</sup>	-1	6	20	-5	42*
Deep irrigation effect (ctd - ct)	30*	30**	15	1	57**	61**	28**	31	19*	68**
Shallow irrigation effect (cts - ct)	29 <sup>(†)</sup>	9	-10	-9	25 <sup>(†)</sup>	41	9	2	2	66**

**Table 2.** Percent differences in predicted seasonal GPP for key pairs of treatments, for selected seasons. Pairs of treatments and seasons were selected based on the percent change values in the furthest three right columns of Table 1 that were significant (had asterisks). As in Table 1, asterisks denote the Bayesian P-value for the difference:  $P \le 0.01$  (\*\*),  $0.01 < P \le 0.05$  (\*) and  $0.05 < P \le 0.1$  (<sup>(†)</sup>). See Fig. 1 legend for treatment codes.

	2007	2008	2009	2010	2011	2012	2007- 2012
Warming effect (cT – ct) for spring	109**	112**	70**	161**	209**	141**	129**
Warming effect (cT – ct) for fall	60**	27	146*	38	127**	97	56**
eCO <sub>2</sub> effect (Ct – ct) for fall	100**	46*	263**	281*	268**	461**	124**
$eCO_2 \times warming effect$ (CT - ct) for fall	45 <sup>(†)</sup>	7	129	89	90*	93	42*
Deep irrigation effect (ctd – ct) for summer	11	24*	11	-1	55**	27	19*
Deep irrigation effect (ctd – ct) for fall	60 <sup>(†)</sup>	37*	149 <sup>(†)</sup>	38	168**	177 <sup>(†)</sup>	68**
Surface irrigation effect (cts – ct) for fall	44	-2	175**	208*	196**	315**	66**

**Table 3.** Summary of posterior estimates and Bayesian P-values for parameters in the  $A_{max}$  and Q functions ( $\alpha$ 's and  $\beta$ 's, respectively; see Eqn. 3). Dark grey cells indicate  $P \le 0.001$ , medium grey indicate  $0.001 < P \le 0.01$ , light grey indicate  $0.01 < P \le 0.05$ , and white indicate P > 0.05. The signs (+ or –) denote a positive or negative effect. See Fig. 1 legend for treatment codes.

Effect parameter	Treatment					
(associated covariate)	ct	сT	Ct	СТ	ctd	cts
$\alpha_1$ (SWC)	—			+		+
$\alpha_2$ (VPD)	_					
$\alpha_3$ (Tair)						
$\alpha_4$ (SWC <sub>ant</sub> )		+		+		+
$\alpha_5$ (VPD <sub>ant</sub> )		—	_	_	—	
$\alpha_6$ (Tair <sub>ant</sub> )		+	+	+	+	
$\alpha_7$ (Nitrogen)			—			+
$\alpha_8$ (Gness)	—				+	
$\alpha_9$ (Gness <sub>ant.diff</sub> )					_	
$\alpha_{10}$ (VPD×Tair)	+					
$\alpha_{11}$ (Tair×Tair)						
$\alpha_{12}$ (SWC×SWC <sub>ant</sub> )				_		—
$\alpha_{13}$ (Tair×Tair <sub>ant</sub> )						
$\alpha_{14}$ (SWC <sub>ant</sub> ×Tair <sub>ant</sub> )	+			+		
$\alpha_{15} (SWC_{ant} \times VPD_{ant})$	_					
$\beta_1$ (SWC)	+				+	—
$\beta_2$ (VPD)					_	
$eta_3$ (Tair)						
$\beta_4$ (SWC <sub>ant</sub> )	+	+			+	
$\beta_5$ (VPD <sub>ant</sub> )						
$\beta_6$ (Tair <sub>ant</sub> )	+	_				+
$\beta_7$ (Gness)	+					
$\beta_8$ (Gness <sub>ant.diff</sub> )						
$\beta_9$ (VPD×Tair)						
$eta_{10}$ (Tair×Tair)		+				
$\beta_{11}$ (SWC×SWC <sub>ant</sub> )				+		
$\beta_{12}$ (Tair×Tair <sub>ant</sub> )						
$\beta_{13}$ (SWC <sub>ant</sub> ×Tair <sub>ant</sub> )		_		—	_	+
$\beta_{14}$ (SWC <sub>ant</sub> ×VPD <sub>ant</sub> )	+			+	+	



Observed versus predicted GPP for each treatment. The predicted values were obtained from the main model (with antecedent effects) and are represented by the posterior means and central 95% credible intervals of replicated observations (Gelman et al., 2013) of GPP, based on Eqns (1) and (2). The solid, diagonal gray line represents the 1:1 line; the dashed line represents the best fit line. Treatment codes involve combinations of: c (ambient CO2), C (elevated CO2), t (no warming), T (warming), d (deep irrigation), or s (shallow irrigation).

Fig. 1 1381x985mm (96 x 96 DPI)



Time-series of predicted gross primary production (GPP) for (a) daily GPP for the control (ct) treatment, where the grey bars denote the weekly precipitation at the site, and (b) hourly GPP for days of the year 140-215 for 2009 for the ct treatment (observed GPP is denoted by \*). In both (a) and (b), the black line represents the posterior mean of the daily (a) or hourly (b) predicted GPP, and the grey error bars indicate the 95% credible intervals. The data points and associated error bars in panel (b) represent the mean and range of GPP observations made on measurement days and across at least four of the five plots of the control treatment.

Fig. 2 1927x930mm (96 x 96 DPI)



Predicted annual (growing season; March-October) and seasonal GPP for each treatment by (a) each study year and (b) summed across all six years. The overall height of each bar denotes the posterior mean and the error bars represent the central 95% credible intervals of the (a) annual GPP or (b) six-year GPP. The totals represented by each bar are broken-down by seasonal totals according to the shading. See Fig. 1 legend for treatment codes.

Fig. 3 1879x644mm (96 x 96 DPI)



Posterior means (denoted by ×) and central 95% credible intervals (CIs; error bars) for a subset of parameters (covariate effects) in the Amax function (panels a and b) and the Q function (panels c and d) (Eqn. 3, Table 2); these parameters were the most significant across the greatest number of treatments. The key Amax parameters are associated with antecedent vapor pressure deficit (VPDant) and antecedent air temperature (Tairant). The key Q parameters are associated with antecedent soil water content (SWCant) and the interaction between SWCant and VPDant. 95% CIs that overlap with zero (dashed horizontal line) indicate a non-significant effect. See Fig. 1 legend for treatment codes. Fig. 4

1883x519mm (96 x 96 DPI)



Comparison of the posterior estimates of GPP ('data product'; × = posterior mean; error bars and horizontal dashed lines = 95% credible interval) with simulated GPP from 12 terrestrial biosphere models (TBMs; see Table S1 in the supplementary information for descriptions of each TBM, labeled 1-12). The GPP data products are based on the GPP posterior estimates generated from the main (black lines and symbols) and alternative (gray lines and symbols) models, where the alternative model is the same as the main model but without antecedent effects. The metrics shown here are: total six year GPP (2007-2012; growing season, March-October in each year) under (a) the control (ct) treatment and (b) the elevated CO2 (Ct) treatment; and, percentage change in total six year GPP under (c) warming (cT) relative to ct, and (d) Ct relative to ct. Fig. 5

1239x551mm (96 x 96 DPI)