

1 **Effects of noise exposure on young adults with normal audiograms I: Electrophysiology**

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17

## 18 Abstract

19

20 Noise-induced cochlear synaptopathy has been demonstrated in numerous rodent studies. In these  
21 animal models, the disorder is characterized by a reduction in amplitude of wave I of the auditory  
22 brainstem response (ABR) to high-level stimuli, whereas the response at threshold is unaffected.  
23 The aim of the present study was to determine if this disorder is prevalent in young adult humans  
24 with normal audiometric hearing. One hundred and twenty six participants (75 females) aged 18-36  
25 were tested. Participants had a wide range of lifetime noise exposures as estimated by a structured  
26 interview. Audiometric thresholds did not differ across noise exposures up to 8 kHz, although 16-  
27 kHz audiometric thresholds were elevated with increasing noise exposure for females but not for  
28 males. ABRs were measured in response to high-pass (1.5 kHz) filtered clicks of 80 and 100 dB  
29 peSPL. Frequency-following responses (FFRs) were measured to 80 dB SPL pure tones from 240-  
30 285 Hz, and to 80 dB SPL 4 kHz pure tones amplitude modulated at frequencies from 240-285 Hz  
31 (transposed tones). The bandwidth of the ABR stimuli and the carrier frequency of the transposed  
32 tones were chosen to target the 3-6 kHz characteristic frequency region which is usually associated  
33 with noise damage in humans. The results indicate no relation between noise exposure and the  
34 amplitude of the ABR. In particular, wave I of the ABR did not decrease with increasing noise  
35 exposure as predicted. ABR wave V latency increased with increasing noise exposure for the 80 dB  
36 peSPL click. High carrier-frequency (envelope) FFR amplitudes decreased as a function of noise  
37 exposure in males but not females. However, these correlations were not significant after the effects  
38 of age were controlled. The results suggest either that noise-induced cochlear synaptopathy is not a  
39 significant problem in young, audiometrically normal adults, or that the ABR and FFR are relatively  
40 insensitive to this disorder in young humans, although it is possible that the effects become more  
41 pronounced with age.

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46 Keywords:

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48 Cochlear synaptopathy

49 Hidden hearing loss

50 Noise-induced hearing loss

51 Auditory brainstem response

52 Frequency-following response

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54 Abbreviations:

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56 ABR, auditory brainstem response; FFR, frequency following response; NIHL, Noise-induced

57 hearing loss; OHC, outer hair cell; IHC, inner hair cell; AN, auditory nerve; SR, spontaneous rate;

58 TEOAE, transient-evoked otoacoustic emission.

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## 70 1. Introduction

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72 The primary account of noise-induced hearing loss (NIHL) is that cochlear hair cells are damaged  
73 (Liberman and Dodds, 1984), causing a loss of sensitivity to quiet sounds. This loss of sensitivity  
74 can be detected by pure tone audiometry, and thus NIHL can be identified by comparing thresholds  
75 to age-matched normal audiograms. Recently, experiments conducted in rodent models have  
76 demonstrated another mechanism of NIHL, cochlear synaptopathy, which is characterized by a loss  
77 of the synapses between inner hair cells (IHCs) and auditory nerve (AN) fibers. Using a mouse  
78 model, Kujawa and Liberman (2009) demonstrated that after 2 hours of exposure to 100 dB SPL  
79 noise (8-16 kHz), up to 50% of the synapses between IHCs and AN fibers had been permanently  
80 destroyed in the affected frequency region. This permanent loss of AN synapses was seen despite a  
81 recovery in absolute sensitivity. Their results suggest that cochlear synaptopathy can be identified  
82 from a reduction in the amplitude of wave I of the auditory brainstem response (ABR), which  
83 reflects AN function. The reduction was only observed in response to moderate-to-high-intensity  
84 stimuli, not for stimuli presented near threshold.

85

86 Cochlear synaptopathy has been demonstrated in a number of other rodent models (e.g. guinea pig,  
87 Lin et al., 2011; chinchilla, Hickox et al., 2015) and has been shown to occur after exposure to more  
88 moderate sound levels over a longer duration (84 dB SPL for a week, Maison et al., 2013).  
89 Furthermore, noise-induced synaptic loss has been shown to preferentially affect the synapses with  
90 low spontaneous-rate (SR) AN fibers (Furman et al., 2013). Low-SR fibers have high thresholds  
91 and high saturation levels, and so are used to encode high-intensity sounds. Hence, noise-induced  
92 cochlear synaptopathy could result in coding of supra-threshold sounds being affected despite  
93 sensitivity near threshold remaining unaltered. The low-SR account of how synaptopathy manifests  
94 in rodents appears straightforward and well understood, however there are still unresolved issues.

95 For example Song et al. (2016) demonstrate that, after noise exposure, synapses can remain present  
96 but are no longer functionally normal.

97

98 Currently, the most direct evidence for noise-induced synaptopathy occurring in humans is from a  
99 study demonstrating that the amplitude of wave I of the ABR in response to high-intensity clicks  
100 was negatively correlated with noise exposure across 30 participants, despite little effect of  
101 exposure on absolute threshold up to 8 kHz (Stamper and Johnson 2015a). The measure of noise  
102 exposure quantified the amount of high-intensity sound encountered over the previous 12 months,  
103 rather than lifetime exposure. Hence, some listeners may have been classified as low noise exposed,  
104 when in fact earlier noise exposure may have already caused synaptopathy. Furthermore there was a  
105 confound due to the distribution of sexes across the cohort: Male participants formed the majority  
106 of the highly noise exposed listeners, and males tend to show weaker ABRs than females due to  
107 factors such as head size. This was subsequently addressed with separate analyses for males and  
108 females (Stamper and Johnson, 2015b), though this information was presented only for the highest  
109 sound level tested (90 dB nHL), and the authors did not confirm that there was no relation between  
110 hearing threshold and noise exposure separately for the two sexes. This re-analysis found a  
111 significant decrease in ABR wave I amplitude as a function of noise exposure for females, but not  
112 for males.

113

114 A more recent study by Liberman et al. (2016) found no significant decrease in wave I amplitude  
115 (“action potential”) measured from the ear canal in a group of listeners with normal audiometric  
116 thresholds identified as high-risk for noise-induced synaptopathy compared to a low-risk group. The  
117 authors do report a significant increase in the ratio of the summing potential (reflecting hair cell  
118 activity) to the action potential in the high-risk group, consistent with synaptopathy. However this  
119 increase in ratio was driven mainly by an increase in the summing potential in the high-risk group

120 rather than by a decrease in the action potential in the high-risk group. Based on the studies of  
121 synaptopathy in rodents it was predicted that the summing potential would remain equivalent  
122 between the two groups. Hence, interpretation of this finding is not straightforward.

123

124 Attenuated wave I amplitudes have been observed in audiometrically normal human listeners with  
125 tinnitus compared to controls when hearing thresholds were matched between the groups (Schaette  
126 and McAlpine, 2011). Gu et al. (2012) also showed attenuated wave I amplitudes in tinnitus  
127 listeners compared to non-tinnitus controls, however the groups also differed in audiometric  
128 threshold above 8 kHz. Cochlear synaptopathy has been suggested as a possible cause of tinnitus in  
129 listeners with normal audiograms, with the percept arising from the auditory system trying to  
130 compensate for reduced AN input by increasing central neural gain. However, to the authors'  
131 knowledge, no published study has measured noise exposure and electrophysiological responses in  
132 the same human listeners with tinnitus and so it remains unclear the extent to which tinnitus is a  
133 symptomatic manifestation of noise-induced synaptopathy.

134

135 Wave I of the ABR is the most direct non-invasive measure of AN fidelity in humans, and in the  
136 rodent model has been shown to be a correlate of underlying cochlear synaptopathy, at least at the  
137 group level. However, one of the obstacles for the use of the ABR to identify synaptopathy in  
138 humans is that wave I amplitude is highly variable across individuals. Another objective measure  
139 that has been proposed as an indicator of synaptopathy is the frequency-following response (FFR).  
140 The FFR is a sustained evoked potential, reflecting neural phase locking to the fine structure or  
141 envelope of sounds. For frequencies from about 80 to 1000 Hz, the latency of the FFR is consistent  
142 with a generator in the rostral brainstem (Krishnan, 2006). Shaheen et al. (2015) demonstrate that  
143 the FFR may be a more robust indicator than the ABR of noise-induced synaptopathy in mice.  
144 Furthermore the FFR has been shown to relate reliably to behavioral performance on temporal

145 discrimination tasks, which provides further evidence of the suitability of the FFR to detect noise-  
146 induced changes in neural processing (Bharadwaj et al., 2015).

147

148 The evidence for noise-induced synaptopathy in a range of rodent models is compelling. However,  
149 to date, evidence for noise-induced synaptopathy in humans is limited and it is unclear whether the  
150 same mechanism is involved in both males and females. Many of the rodent studies use male  
151 animals and sex has not been studied as a factor. Therefore, it remains unknown the extent to which  
152 the two sexes are equally susceptible to noise induced synaptopathy. If the pathology does occur in  
153 humans, we hypothesize that noise exposure will reduce the number of functioning low-SR AN  
154 fibers in the affected frequency region, leading to a reduction in the ABR response at high levels  
155 (specifically for wave I), and a reduction in the FFR at high carrier frequencies. The choice of  
156 stimuli for this study was informed by previous work in both rodents and humans and the approach  
157 assumes that synaptopathy will preferentially affect low-SR fibers and that the effects will be most  
158 readily observed in the 3-6-kHz characteristic frequency region where noise damage in humans is  
159 usually manifest (Toynbee, 1860; McBride and Williams, 2001).

160

161 In the present study, these measurements were compared to lifetime noise exposure. For both the  
162 ABR and the FFR two stimuli were used, the response to one of which was predicted to be more  
163 affected by noise-induced synaptopathy than the other. The ABR assumed to be most affected was  
164 that to a high-intensity click. This was compared to the ABR to a lower-intensity click that should  
165 have produced less activation of low-SR fibers. The bandwidth of the ABR stimuli was chosen to  
166 target the 3-6 kHz characteristic frequency region where NIHL is usually observed in humans  
167 (Toynbee, 1860; McBride and Williams, 2001). The FFR assumed to be most affected was that to  
168 the envelope of a 4-kHz carrier frequency. This was compared to an FFR for a low frequency pure  
169 tone (see Barker et al., 2014 for a preliminary use of this approach). The purpose of using such

170 differential measures is to isolate the effects of synaptopathy from individual differences due to  
171 unrelated factors such as head size, and background physiological noise (see Plack et al., 2014;  
172 2016 for further discussion).

173

## 174 2. Methods

175

### 176 2.1. Participants

177

178 One hundred and twenty six participants (75 females), with a wide range of noise exposures, were  
179 tested. All participants had audiometric thresholds within the normal range at octave frequencies  
180 from 500 to 8 kHz. Males had a mean age of 23.3 years (range, 18-36) and females had a mean age  
181 of 22.9 years (range, 18-36). The procedures were approved by the University of Manchester  
182 Research Ethics Committee and all participants gave informed consent (project number 14163).

183

### 184 2.2. Noise exposure

185

186 Lifetime noise exposure was estimated using a questionnaire developed to assess the effectiveness  
187 of the UK noise at work regulations (Lutman et al., 2008). The technique uses pre-determined  
188 categories such as “*clubs with amplified music*”, “*live amplified music*”, “*music through speakers*”  
189 and also considers miscellaneous activities which constitute a significant source of noise exposure  
190 for a given individual (for example playing in bands, attending live sporting events). The  
191 questionnaire considers both social and occupational noise exposures. For each activity, in each  
192 category, the duration and frequency of exposure is estimated from discussion with the participant  
193 and entered into the following formula:

194



195  $U = 10^{(L-A-90)/10} \times Y \times W \times D \times H / 2080,$

196

197 where  $U$  is cumulative noise exposure,  $L$  is estimated noise exposure level in dBA,  $A$  is hearing  
198 protection in dB,  $Y$  is years of exposure,  $W$  is weeks of exposure per year,  $D$  is days of exposure per  
199 week,  $H$  is hours of exposure per day, and 2080 corresponds to the number of hours in a working  
200 year.

201

202 The specific implementation of the noise exposure questionnaire used for our study differed from  
203 the procedure detailed in the original research report in a number of ways. In Lutman et al. (2008)  
204 activities with exposures estimated to be greater than 81 dBA were considered and the overall noise  
205 exposure unit was taken as the greatest noise exposure at the individual category level. We consider  
206 activities with exposures estimated to be greater than 85 dBA (this value represents the first action  
207 level for hearing protection as stipulated by the UK noise at work regulations) and noise exposure  
208 calculations were summed over all categories (social and occupational, current and historical). For  
209 our cohort the most common activities were attending nightclubs, attending live music events and  
210 playing in bands, all of which were assigned an estimated noise level of 105 dBA. There is large  
211 variability in the reported sound levels experienced in a nightclub, at a rock concert and by  
212 practicing musicians (see Smeatham, 2002 for a thorough overview). Despite the variability, it is  
213 clear that in such venues sound levels can reach an equivalent exposure in excess of 105 dBA  
214 (Stone et al., 2008) and so this level was selected as a reasonable estimate of sound levels  
215 encountered by our cohort when playing in bands, and attending amplified music concerts and  
216 nightclubs. Another common activity was listening to music via headphones. Estimating the sound  
217 level delivered to the ear by listening to portable devices is difficult due to the variability introduced  
218 by the device, the specific headphones used and the extent to which the headphones have decreased  
219 in efficiency over time. Commonly reported maximum output values are 97-107 dBA, with an

220 average around 100 dBA (Portnuff et al., 2013). For the current study, participants were asked to  
221 imagine walking down the a busy high street and to describe whether they preferred to a) hear  
222 nothing except their own music, b) be generally aware of what is going on around them, such as  
223 traffic and sirens, but to be able to clearly hear their music over people talking around them, or c)  
224 hear everything that is present in the environment as they do not like having their sense of  
225 awareness compromised by their music. Listeners found it easy to relate to these conditions and  
226 listening values of 93 dBA and 87 dBA were reasonably assigned to preferences *a* and *b*, with the  
227 listening habits of category *c* not documented further. Background noise on a busy high street was  
228 assumed to be 80 dBA when determining these categories. It is conceivable that these estimated  
229 levels do not encompass the loudest listening levels used by some participants (those with the most  
230 extreme listening preferences in conjunction with music players and headphones capable of high  
231 intensity output). However this would not be expected to cause a major underestimation of their  
232 overall noise exposure unless such participants were regular listeners of loud music but *not* regular  
233 attendees of concerts and nightclubs. Listening preferences such as these were rare in the sample.  
234

235 Estimated noise levels for different activities were fixed across participants to try to reduce the  
236 degree of error from subjective recall of noise levels. The majority of participants had never worked  
237 in a noisy environment and the main, and often only, category contributing to their noise score was  
238 “social noise exposure.” A subset of participants worked in the music industry in some capacity,  
239 either as professional musicians or as sound technicians. These participants reported significant  
240 noise exposure at work and many of these individuals form the upper tail of the noise exposure  
241 distribution.

242  
243 One noise exposure unit is equivalent to exposure for 1 year to a working daily level of 90 dBA. For  
244 our purposes, we used the raw noise immission units and these were log transformed to produce a

245 normal distribution. Each such logarithmic unit is equivalent to a factor of ten in terms of lifetime  
246 exposure energy.

247

### 248 2.3. Pure tone audiometry

249

250 Pure tone audiometry was performed in each ear separately at octave frequencies between 25 and 8  
251 kHz in accordance with the British Society of Audiology (2011) recommended procedure.

252 Thresholds were measured using VIASYS GSI-Arrow audiometers coupled to TDH39P supra-aural  
253 headphones. The criterion for inclusion in the study was audiometric thresholds < 25 dB HL in both  
254 ears at all frequencies.

255

256 High-frequency audiometry was also performed at 16 kHz using a Creative E-MU 0202 or 0204  
257 USB soundcard. Sounds were played over Sennheiser HDA 200 circum-aural headphones designed  
258 for high-frequency audiometry. The sound stimulus was a quarter-octave band of noise centered at  
259 16 Hz and converted from digital to analog at a sample rate of 48 kHz using a 24-bit depth. Stimuli  
260 were 220 ms in duration (including 10-ms raised-cosine ramps) ramps and there was an inter-  
261 stimulus interval of 500 ms. A three-alternative forced-choice procedure was used, with a two-  
262 down, one-up staircase adaptively setting the stimulus level. Stimulus level was varied  
263 arithmetically using a step size of 4 dB for the first four reversals and 2 dB for the following 10  
264 reversals. Thresholds were calculated by averaging the final 10 reversals from a single run. 16 kHz  
265 hearing sensitivity was assessed to determine if high-frequency hearing could act as an early  
266 indicator of damage to the auditory system, before any effects are seen in the standard audiometric  
267 range.

268

### 269 2.4. Otoacoustic emissions

270

271 Transient evoked otoacoustic emissions (TEOAE) were recorded using an ERO SCAN (Maico)  
272 screening system in order to evaluate listeners' OHC function. Six frequencies were tested in the  
273 range 1.5-4 kHz in 500 Hz steps using narrow band clicks presented at 83 dB peak-equivalent SPL  
274 (peSPL, defined as the level of sinusoid with the same peak-to-trough amplitude). Signal-to-noise  
275 ratios (SNRs) were obtained at the six test frequencies in both ears and for the purpose of analysis  
276 the SNR was averaged between the ears for the three test frequencies between 3-4 kHz. Due to  
277 technical difficulties, TEOAEs were only acquired on 79 of the 126 individuals included in the  
278 main EEG and audiological analyses.

279

280

## 281 2.5. Electrophysiology

282

### 283 2.5.1. Recordings

284

285 All EEG recordings were made in a single two-hour session and used an ActiveTwo system  
286 (Biosemi, Amsterdam). Active electrodes were placed at the high forehead (Fz), the seventh cervical  
287 vertebra (C7) and the left and right mastoids (M1, M2). The potentials at all four individual  
288 electrodes were recorded at a sampling frequency of 16.384 kHz, with differential montages  
289 constructed offline. No online filtering was applied (aside from the anti-aliasing filter implemented  
290 in hardware) and no online rejection criteria were set. Electrode offsets were maintained within +/-  
291 30 mV throughout each recording, except for the ABR recordings from three participants in which  
292 one of the electrodes became detached during the recording (data from the affected channels were  
293 discarded). Recordings were made with the participant reclined on a chair and free to close their  
294 eyes and relax or fall asleep.

295

296 All stimuli were generated using MATLAB and presented through a Creative E-MU 0204 USB  
297 soundcard using a sampling frequency of 48 kHz with 24-bit resolution. Stimuli were presented  
298 using mu-metal shielded ER3A inserts (Etymotic, IL, USA). The sound card was used to send  
299 triggers to the Biosemi acquisition software to ensure that data collection and stimulus presentation  
300 were synchronized.

301

## 302 2.5.2 ABR stimuli

303

304 Stimuli were 100  $\mu$ s diotic clicks high-pass filtered at 1.5 kHz (using a fourth order Butterworth  
305 filter) and presented in alternating polarity. Because of the low-pass characteristic of the ER3A  
306 inserts, the stimulus delivered to the ear had a restricted bandwidth with a spectral plateau from  
307 about 1.5 to 4 kHz. Click levels were 80 and 100 dB peSPL (measured at the output of the inserts  
308 using an IEC711 2-cc coupler). Diotic clicks were used in an attempt to measure the strongest ABR  
309 possible from each listener. Presentation rate was 11 clicks/s and stimuli were interleaved such that  
310 34 seconds of one click intensity were followed by 34 seconds of the other click intensity in order to  
311 ensure that any variability across the recording session affected the different stimuli equally. This  
312 interleaving of stimuli continued until each click intensity had been presented a total of 7480 times  
313 (11 clicks/s x 34 s x 20 blocks).

314

## 315 2.5.3. ABR analysis

316

317 Differential waveforms were created using Fz-M1 and Fz-M2. In all but three participants these two  
318 montages were averaged. For three listeners, one of the montages was confounded by an electrode  
319 offset exceeding the criterion, and the other montage was used for the analysis. The two click levels

320 were analyzed separately. The demeaned RMS value of all 7480 sweeps was calculated for each  
321 participant using a sweep starting at 17 ms pre-stimulus and ending 17 ms post-stimulus (with the  
322 mean calculated over the whole sweep). For each participant, all sweeps which had a broadband  
323 RMS power within two standard deviations of their mean were retained for further analysis. These  
324 sweeps were averaged in the time domain and the resultant waveform band-pass filtered between  
325 300 and 1500 Hz. This average waveform was then subjected to an automated peak- and trough-  
326 picking procedure based on extracting the phase reversals from the first derivative of the time  
327 series.

328  
329 Time windows were constructed around waves I, III and V and the largest peak within the window  
330 was selected. The center of the window was determined by the peak in the grand averaged ABR  
331 waveform using all 126 participants at each level separately. At 100 dB peSPL, these values  
332 correspond to 1.84, 3.85 and 5.74 ms for waves I, III and V respectively. At 80 dB peSPL, they  
333 were 2.69, 4.46 and 6.41 ms. The edges of the window were set by using standard deviations of  
334 ABR latency reported by Issa and Ross (1995). Standard deviations were 0.17 ms for waves I and  
335 III, and 0.21 ms for wave V. The bounds of the windows for our analysis were set as  $\pm 3$  standard  
336 deviations around the peak central values described above. The following trough was constrained to  
337 fall within 2 ms of the identified peak. If multiple troughs were present, the one which gave the  
338 largest peak-to-trough amplitude was used. If no peak or trough was identified within these  
339 constraints, the participant was removed from that specific wave-level analysis. On average, a peak-  
340 trough complex which satisfied these criteria was identified 95% of the time. A visual inspection of  
341 the automated output confirmed that appropriate peaks from the ABR waveform were being  
342 selected.

343  
344 Differential measures are also informative, to control for individual variability in ABR amplitude  
345 and latency due to factors unrelated to synaptopathy such as head size and skull thickness (Picton et

al., 1981; Jerger and Hall, 1980). A common method to correct for such confounds is to take a within subject differential measure such as the wave I:V ratio (Schaette and McAlpine, 2012) and inter-peak intervals, such as I-V (Xu et al., 1998). These differential measures taken across different wave peaks are presented in conjunction with differential measures across the two levels. The 100 dB to 80 dB ratio is taken for amplitudes and the 100 dB – 80 dB difference is taken for latencies. The two approaches make different assumptions about how synaptopathy affects the human ABR i.e. whether it only attenuates wave I as proposed by Schaette and McAlpine (2011), or whether it targets specific sound intensities.

354

#### 2.5.4. FFR stimuli

356

Two contiguous acquisitions were made, with the temporal fine-structure (low-frequency) FFR and temporal envelope FFR (high-frequency) being measured simultaneously.

In each acquisition four tones were presented simultaneously, with a low-frequency tone (240-285 Hz) and a low-frequency tone (240-285 Hz) transposed to 4 kHz (Bernstein and Trahiotis, 2002) presented to each ear. A transposed tone allows the neural firing pattern in a high-frequency region of the cochlea to mimic the firing pattern evoked by a pure tone presented to a low-frequency part of the cochlea. For one acquisition, the left ear received a 255 Hz pure tone and a 240 Hz transposed tone, and the right ear received a 270 Hz pure tone and a 285 Hz transposed tone. For the other acquisition, the left ear received a 285 Hz pure tone and a 255 Hz transposed tone, and the right ear received a 240 Hz pure tone and a 270 Hz transposed tone. Stimuli were 220 ms in duration (including 10 ms ramps) and presented at 80 dB SPL. Each stimulus was presented 4000 times in alternating polarity (2000 repetitions for each polarity) with an inter-stimulus interval randomly selected within the range 85-95 ms.

370

## 371 2.6.2. FFR analysis

372

373 The montage used for the analysis was Fz-C7. The use of multiple measurement frequencies allows  
374 the calculation of group delay as a measure of response latency. However, the variability in this  
375 measure was too high to give a reliable estimate of the latency of the response as there was a large  
376 degree of overlap in the complex plane of response to the different frequencies, and so the present  
377 analysis focuses solely on the magnitudes of the responses. For each polarity, sweeps were  
378 maintained for further analysis if their RMS power was within 2 standard deviations of the mean.  
379 Included sweeps were averaged in the time domain to produce an average for each polarity. These  
380 averages were summed to produce a waveform that contains the envelope FFR for the high-  
381 frequency region and also subtracted to produce a waveform which emphasizes the fine structure  
382 FFR for the low frequency region. Only the 200 ms steady-state signal was analysed (i.e. the ramps  
383 were excluded).

384

385 The signal was computed for each component of interest by extracting the magnitude of the fast  
386 Fourier transform at the relevant frequency. The noise at each frequency was estimated by using a  
387 permutation scheme. Permutation tests are commonly used in electromagnetic recordings to  
388 estimate the null distribution of a response (e.g. Maris and Oostenveld, 2007) and although  
389 exchangeability of condition labels is a common implementation, for phase-locked signals it has  
390 been shown that the phase of each trial can be exchanged in order to build up a null distribution  
391 (Prendergast et al., 2011). Before the average was computed for each polarity, half of the sweeps  
392 were selected at random and the sign of the response was inverted, which has the effect of making  
393 the stimulus polarity arbitrary and any components which remain in the subsequent average can  
394 only be spurious in origin. This is repeated 1000 times with different random selections of sweeps  
395 to invert. For each permutation the Fourier component of interest is extracted and from this



396 distribution of 1000, the 90<sup>th</sup> percentile was used to estimate the noise. For both the fine-structure  
397 and envelope FFR, the four responses (two from each stimulus/acquisition) were expressed as SNRs  
398 and the average of these converted into dB.

399

## 400 3. Results

401

### 402 3.1. Noise exposures

403

404 Fig. 1 shows estimated lifetime noise exposure scores for all 126 participants as a function of age.  
405 Note that the y-axis is a logarithmic scale with respect to energy: the individuals with the highest  
406 exposures had about 300 times the lifetime exposure energy compared to those with the lowest  
407 exposures. There is no significant difference between noise exposure scores for males (mean=1.35,  
408 s.d.=0.55) and females (mean=1.21, s.d.=0.50):  $t(124)=1.48$ ,  $p=0.14$ . The Pearson correlation  
409 coefficients presented in Fig. 1 show that noise exposure and age are positively related to each  
410 other, which is expected since our noise exposure measure reflects cumulative exposure ( $p = 3.11e$ -  
411 10 for the full group).

412

### 413 3.2. Audiometric data

414

415 Fig. 2 shows audiometric data in the standard frequency range (averaged across the ears) for all  
416 listeners and for males and females separately. In subsequent analyses it is instructive to look at  
417 groups of low and high noise exposure, as this provides a useful indication of how well a measure  
418 might be able to distinguish listeners with noise induced synaptopathy and those without. Therefore  
419 Fig. 2 also shows mean audiometric data for low and high noise exposed groups which were  
420 obtained by using the 15 individuals with the lowest and highest noise exposure scores from each

sex, and for the group “all” by taking the mean of the 30 lowest and highest noise exposed individuals, regardless of sex. It can be seen that there is very little effect of noise exposure on audiometric threshold for these frequencies.

At 2, 4, and 8 kHz the females with high noise exposure show higher thresholds than the low-noise females as one might expect, whereas for the males this relation is surprisingly inverted, although the differences are not statistically significant. Pearson correlation coefficients were calculated between the noise exposure and the average pure tone detection threshold at 2, 4 and 8 kHz. There is no significant relation between audiometric threshold and noise exposure for either males ( $r = 0.00$ ) or females ( $r = 0.09$ ),  $p > 0.05$  in both instances.

Fig. 3 shows the 16-kHz audiometric data averaged across the two ears. Males exhibit higher 16-kHz thresholds than females, which is consistent with previous reports (Rodriguez et al 2014). In our cohort this difference (mean difference of 6.7 dB SPL) is statistically significant:  $t(124)=2.64$ ,  $p=0.009$ . There is no relation between 16-kHz thresholds and noise exposure in males, but females show a significant increase in thresholds with increasing noise exposure. Noise exposure, sex and an interaction term were entered into a regression model as predictors of high frequency thresholds, which confirmed a main effect of sex (Beta = -17.89,  $p < 0.01$ ) and an interaction between sex and noise exposure (Beta = 9.25,  $p < 0.05$ ).

### 3.3. Otoacoustic emissions

Fig. 4 shows the mean TEOAE SNR averaged between the ears and across test frequencies of 3, 3.5

446 and 4 kHz. There was no significant relation between noise exposure and the size of the TEOAE  
447 ( $p>0.05$ ). Although only a subset of participants was able to be included, the data points cover a  
448 wide range of noise exposures and suggest that there is little relation between noise exposure and  
449 OHC function at the frequencies tested.

450

### 451 3.4. ABR

452

453 Fig. 5 shows grand average ABR waveforms for the low and high noise exposed male and female  
454 listeners, for the 100 dB peSPL stimulus. Waves I, III, and V can be readily identified. Females  
455 (plotted in red) show larger peak amplitudes and shorter latencies than males. The waveforms for  
456 low and high noise exposure groups appear similar.

457

#### 458 3.4.1. Amplitude

459

460 Fig. 6 shows the peak-to-trough amplitudes of ABR waves I, III and V as a function of noise  
461 exposure. The 100 dB peSPL data are plotted on the top row and the 80 dB peSPL data on the  
462 bottom row. The ABR amplitudes show the predicted trends as a function of both level and sex,  
463 with 100 dB peSPL clicks evoking a larger response for all three waves and females tending to  
464 show larger mean amplitudes than males. None of the ABR wave amplitudes vary significantly as a  
465 function of noise exposure (Pearson's correlations provided on the figure). For waves III and V, at  
466 the higher click intensity, a positive trend is seen in females and a negative trend in males. However,  
467 these opposing correlations are not statistically significant.

468

469 There is no significant relation between ABR amplitude and the pure tone audiometric threshold  
470 averaged across 2, 4, and 8 kHz, for any wave or presentation level. The only relation of note

471 between ABR amplitude and 16 kHz threshold is that for wave III in response to the 80 dB peSPL  
472 click in males, wave III amplitude decreasing with increasing threshold ( $r = -0.38$ ,  $p = 0.01$   
473 uncorrected).

474

475 The wave I amplitudes at 80 dB peSPL appear to be very small and this draws into question the  
476 extent to which these can be considered representative of the true underlying physiological  
477 response. To address this we performed a further analysis to quantify the noise floor. A baseline  
478 analysis window was defined in the pre-stimulus period of the 80 dB peSPL ABR, with a window  
479 extending 1.02 ms to match the window length used for selecting wave I peaks. The same criteria  
480 were used to identify a peak in this arbitrary window, during which no stimulus-evoked peak was  
481 expected to be found. Of the 125 listeners with an identified wave I peak-trough complex at 85 dB  
482 peSPL, 85 of these (68%) also had a peak-trough complex present in the baseline analysis window  
483 that passed the criteria. Of these 85, only 10 listeners showed a response where the baseline noise  
484 peak-trough amplitude was greater than the estimate of wave I amplitude. The mean noise exposure  
485 scores of these 10 listeners and the standard deviation were comparable to those of the whole group.  
486 This analysis suggests that, although some of the wave I amplitudes are weak, in most cases they  
487 likely represent some aspect of the underlying neural function. Furthermore in those instances  
488 where the response is not greater than the estimated noise level, there is no bias regarding the noise  
489 exposure scores of these listeners.

490

#### 491 3.4.2. Latency

492

493 Fig. 7 shows the latencies of waves I, III, and V of the ABR to the two click levels used. Values are  
494 plotted as “baseline-corrected” latencies, which means that the latency for each individual has been  
495 normalized by subtracting a fixed value for each wave (which was the peak latency in the grand

496 averaged waveform across all participants at each level). This allows all the data to be plotted on a  
497 single axis for direct comparison. The raw values show previously described trends, with the lower  
498 click level evoking waves with longer latencies and females typically showing a shorter mean  
499 latency than males.

500

501 The upper row shows the latency values for the 100 dB peSPL click, which suggest little relation  
502 between noise exposure and ABR peak latency. The regression line for all participants closely  
503 matches what is seen in the two sexes independently. For the 80 dB peSPL click, the latencies for  
504 wave V are significantly, positively related to noise exposure. Both sexes show the same trend, with  
505 the females showing a stronger relation than males. These differences in latency are seen despite the  
506 fact that there are no differences in the amplitude of wave V as a function of noise exposure.

507 Furthermore these differences are seen in response to the lower click level rather than the higher  
508 click level. These data must be interpreted with care due to the number of contrasts made and the  
509 fact that the coefficients have not been corrected for multiple comparisons. In addition, the relation  
510 between latency and noise exposure is not significant when age is entered into the model as a  
511 predictor: When age is included in the model, neither noise exposure, nor age are significant  
512 predictors of latency (Beta = 0.092 and Beta = 0.012 respectively) with an adjusted  $R^2 = 0.061$ .

513

514 There is no significant relation between any of the wave latencies and the pure tone audiometric  
515 threshold averaged over 2, 4 and 8 kHz. For the 16 kHz thresholds the only relation of interest is  
516 with wave V latency for the 80 dB peSPL click in males; latency increasing with increasing  
517 threshold ( $r = 0.35$ ,  $p = 0.02$ , uncorrected).

518

### 519 3.4.3. Differential measures

520

521 Fig. 8 shows the difference between waves I and V (expressed as a ratio for amplitude and a

522 difference for latency) for both the 80 and 100 dB peSPL click. There is no significant relation  
523 between noise exposure and wave I:V amplitude ratio ( $p>0.05$ ). There is a significant relation  
524 between noise exposure and wave I-V inter-peak interval at 80 dB peSPL but not at 100 dB peSPL.  
525 Given the data presented in Fig. 7 this appears to be driven by a change in the latency of wave V  
526 rather than in wave I.

527

528 In the current study we used two click levels. It was predicted that responses to the 100 dB peSPL  
529 click should more affected by noise-induced cochlear synaptopathy than responses to the 80 dB  
530 peSPL click. Therefore across-level difference measures might reveal effects of synaptopathy, by  
531 reducing between listener variability due to unrelated factors. Fig. 9 shows these differential  
532 measures for both amplitude and latency. The amplitude ratios are uncorrelated with noise exposure.  
533 The latency data are in agreement with the data seen previously (Fig. 7) when the raw, baseline-  
534 corrected values were plotted, with increasing noise exposure resulting in a greater difference in  
535 latency across the two click levels for wave V of the response. The driving force behind this  
536 differential measure and its relation to noise exposure is a delayed response to the low-level click as  
537 noise exposure increases, and not a faster response to the higher-level click.

538

539 There is no significant relation between any of the differential measures and the pure tone  
540 audiometric threshold averaged over 2, 4, and 8 kHz. For the 16 kHz thresholds, they are predictive  
541 of ABR wave III amplitude ratios for the full group ( $r = 0.18$ ,  $p = 0.05$ , uncorrected) and wave V  
542 amplitude ratios for the full group ( $r = 0.24$ ,  $p = 0.01$ , uncorrected). In both cases, the ratio increases  
543 with increasing threshold. 16 kHz thresholds are also predictive of wave V latency differences at the  
544 two levels for both the full group ( $r = -0.27$ ,  $p < 0.01$ , uncorrected) and the males ( $r = -0.41$ ,  $p <$   
545  $0.01$ , uncorrected). In both cases the latency difference between wave V at the two levels increases  
546 with increasing threshold.

547

#### 548 3.4.4. Low and high noise subgroups

549

550 The linear regression approach assumes that each additional unit of noise exposure produces a  
551 constant increase in synaptopathy, which is then reflected in ABR amplitude or latency. However,  
552 this approach could be misleading. It may be that a subset of listeners at the upper end of the  
553 distribution have exposed themselves to sufficient levels of noise to induce synaptopathy, or it could  
554 be the case that in an industrial society only a subset of listeners at the lower end of the continuum  
555 have sustained less than a maximum degree of synaptopathy. To address this, Fig. 10 shows the  
556 differential latency and amplitude measures for just the upper and lower parts of the noise exposure  
557 distribution using the same selection criteria as for Fig. 3. In general the plots are consistent with  
558 the results of the previous correlation analyses, showing little effect of noise exposure.

559

#### 560 3.5. FFR

561

562 Fig. 11 shows the SNR of the FFR as a function of noise exposure. Phase-locking to a low-  
563 frequency pure tone (240-285 Hz) and to a 4-kHz carrier amplitude modulated at 240-285 Hz were  
564 measured based on the assumption that noise-induced synaptopathy would affect temporal coding in  
565 the high frequency region but not the low frequency region. A differential measure is shown in the  
566 right-sided panel of Fig. 11, computed in an attempt to reduce the variability from sources other  
567 than synaptopathy. The plotted regression lines and reported correlation coefficients indicate that  
568 the FFR for the low-frequency region did not vary greatly as a function of noise exposure, with  
569 comparable responses seen across males and females ( $p > 0.05$ ). The FFR for the high-frequency  
570 region, evoked by envelope fluctuations, shows a significant decrease in SNR as a function of noise  
571 exposure in males, whereas females show little relation between FFR amplitude and noise exposure.

572 However, the interaction between sex and noise exposure is not significant ( $p = 0.056$ ). The  
573 differential measure taken between low and high frequency FFRs shows a negative correlation  
574 across the whole group, though this effect is weak and does not survive correction for multiple  
575 comparisons.

576

#### 577 4. Discussion

578

579 In our large cohort of audiometrically normal young adults, there is no evidence that the amplitude  
580 of sub-cortical electrophysiological measures of auditory coding are attenuated substantially due to  
581 noise exposure. Hence, the data do not support the hypothesis that cochlear synaptopathy varies as a  
582 function of lifetime noise exposure in young adults. There are, broadly speaking, three possible  
583 explanations for our results:

584

- 585 1. Noise-induced cochlear synaptopathy is not prevalent in young audiometrically normal adults;
- 586 2. Noise-induced cochlear synaptopathy is prevalent in young adults with comparatively low  
587 exposures and there is no additional consequence of higher levels of exposure; or
- 588 3. Our measures are insensitive to cochlear synaptopathy in humans

589

590 There are a number of factors that affect the likelihood that each of these three explanations is  
591 correct. These are discussed below.

592

##### 593 4.1. The role of high frequency thresholds

594

595 The aims and methods of the present study are similar to those described by Stamper and Johnson  
596 (2015a), except that we had a larger sample and used a lifetime measure of exposure rather than a



597 measure over the previous year. We did not replicate the decrease in ABR wave I amplitude as a  
598 function of noise exposure reported in that study. There was a potential confound of sex in the  
599 original presentation of their data and this was followed up with a letter to clarify how sex interacts  
600 with the reported trend (Stamper and Johnson, 2015b), with an effect of exposure demonstrated for  
601 females but not for males (and only reported for the very highest click level of about 120 dB  
602 peSPL). However, we did not find an effect of noise exposure on ABR amplitudes for either sex.  
603 The ABR amplitudes in the present study are smaller than those reported by Stamper and Johnson  
604 for a comparable click level (partly due to the narrowband filtering used here to facilitate the  
605 automatic peak-picking procedure). However, the amplitudes in the current study are consistent  
606 with those reported by Schaette and McAlpine (2011).

607

608 One explanation for the discrepancy between the present study and Stamper and Johnson (2015a;b)  
609 is the potential confound of high-frequency hearing loss. The ABR is predominantly generated by  
610 AN fibers with high characteristic frequencies (Abdala and Folsom, 1995). The frequency response  
611 of the ER3A transducer used in both our study and that of Stamper and Johnson rolls off  
612 significantly above about 4 kHz. In the Stamper and Johnson study, audiograms were matched  
613 across noise exposures up to 8 kHz. However, presenting very high click levels of about 120 dB  
614 peSPL (as used by Stamper and Johnson, 2015a; b) will cause significant spread of excitation to the  
615 basal cochlear region. Furthermore, it is unclear from the report of the follow-up analysis (Stamper  
616 and Johnson, 2015b) whether audiograms up to 8 kHz were matched across noise exposures for the  
617 sexes independently. Therefore the extent to which loss in sensitivity at very high frequencies could  
618 account for the effects of noise exposure on ABR amplitudes is unclear.

619

620 In our study, which used a 100 dB peSPL click, the spread of excitation will be less extensive and  
621 therefore these high frequency regions may contribute less to the response. Due to the basalward

half-octave shift of the traveling wave at high levels (McFadden, 1986), the stimulus at the output of the ER3A insert transducer was likely providing maximum excitation for characteristic frequencies between about 2.25 and 6 kHz. Our assumption was that the spectral region most susceptible to synaptopathy is the same as the region most susceptible to noise-induced audiometric hearing loss in humans, i.e., the 3- to 6-kHz region (Toynbee, 1860; McBride and Williams, 2001). If synaptopathy in humans manifests at a different spectral region then it may be that alternative, perhaps wider-band, stimuli would be more sensitive to detecting its presence. It is also worthy of note that the environmental noise humans are typically exposed to has a wider bandwidth than the noise used in rodent studies of synaptopathy, and thus this may reduce the likelihood of causing synaptopathy in any given frequency region.

In our 16-kHz audiometric data females showed a greater effect of noise exposure than males, with the high noise females showing poorer high frequency sensitivity than low-noise females. If very high frequency contributions to the ABR account for the differences in wave I between high and low noise exposure groups, then our data suggest that this would occur in females but not males, which is the pattern reported in the follow-up analysis of Stamper and Johnson (2015b).

It is important for future research studies to control for the effect of high frequency hearing sensitivity, but it is also worth considering the potential clinical utility of high frequency audiometry (above 8 kHz). High frequency thresholds may provide an early marker of noise-induced damage to the auditory system. Furthermore, in our cohort the relation between lifetime noise exposure and high-frequency sensitivity was significantly greater for females than for males, which suggests different vulnerability of the basal cochlear region in the two sexes.

4.2. Does noise-induced cochlear synaptopathy occur in young audiometrically normal humans?

647

648 Despite the large sample size, the data collected in the present study provide no evidence for the  
649 existence of noise-induced cochlear synaptopathy in listeners with normal audiometric thresholds.  
650 However it is possible that noise exposure does cause synaptic changes in these listeners, but that  
651 these effects are subtle and within the range of expected inter-subject variability. It may also be the  
652 case that in an urban environment, a large majority of individuals have already sustained a  
653 comprehensive noise-induced loss of low-SR fibers and therefore our measures are reflecting a  
654 minimal residual response across all exposures. An argument against this latter hypothesis is that  
655 temporal bone studies suggest a progressive loss of spiral ganglion cells across the lifespan, rather  
656 than an abrupt loss at a young age followed by no further decline (e.g. Makary et al, 2011).  
657 Furthermore it is generally accepted that the ABR reaches maturity by the age of around 2 years in  
658 humans, at which point the amplitudes and latencies are comparable to those seen in adulthood  
659 (Hecox and Galambos, 1974). If noise-induced synaptopathy was affecting ABRs on a large-scale  
660 prior to the ages tested in the current study, there would be a clear reduction in response sometime  
661 after maturation, and this is not the case.

662

663 Although the rodent model of cochlear synaptopathy is compelling, it may be that humans are  
664 physiologically less vulnerable to noise-induced synaptopathy than rodents. It could also be the case  
665 that the noise exposures used in the rodent work are not representative of an equivalent human  
666 exposure. Kujawa & Liberman (2009) showed temporary threshold shifts, in response to 2 hours of  
667 100 dB SPL noise, of 40 dB one day post-exposure and 20-25 dB three days post-exposure in the  
668 ABR measured at 3 and 5 kHz. For comparison in humans, Howgate and Plack (2011) report a 10.8  
669 dB temporary threshold shift at 4 kHz immediately after attending a music venue with a mean  
670 equivalent exposure level of 99 dBA. It may be that cochlear synaptopathy in humans only occurs  
671 for exposure levels close to or greater than those that produce a permanent threshold shift. Noise

672 levels can be titrated in the rodent model, but the likelihood of finding a human listener who has  
673 been exposed to noise levels that produce synaptopathy without leading to permanent threshold  
674 shift may be very small. In other words, in humans noise-induced synaptopathy may not exist  
675 without a permanent threshold shift. By focusing on listeners with audiometric thresholds within the  
676 normal range, we may have been selecting listeners who were not synaptopathic. Another unknown  
677 issue in humans is the extent to which vulnerability varies across listeners. In the rodent models of  
678 synaptopathy, there is little or no genetic variation, nor substantial differences in life experience  
679 prior to the experimental procedures. In human listeners it is unknown whether the susceptibility to  
680 synaptic loss is equivalent across the sexes, across the lifetime, or across different listeners with the  
681 same age and sex. The notion of “tough” and “tender” ears has long been considered in the context  
682 of noise-induced hearing loss (Cody and Robertson, 1983) and a similar concept may be applicable  
683 for noise induced cochlear synaptopathy.

684  
685 Even if the noise levels humans are typically exposed to are sufficient to cause synaptopathy, there  
686 may be complex and co-dependent changes as a function of age. It has also been shown recently  
687 that noise exposure at a young age in rodents accelerates age-related synaptopathy (Fernandez et al.,  
688 2015), although the inter-play between noise exposures and age remains unclear even in rodents.  
689 Therefore, it may be that humans are robust to synaptopathy until age-related changes take effect on  
690 the auditory system, or that noise exposure early in life changes the likelihood of rapid auditory  
691 decline later in life. In addition, it is possible that in humans the initial loss is to the low-SR fibers  
692 implicated in the animal work, but that the loss progresses to lower-threshold fibers with increased  
693 exposure and/or age. If the low-SR fibers have a small contribution to wave I, as suggested by  
694 Bourien et al. (2014), then the effects of exposure on wave I may be more evident in older listeners.  
695 By focusing on young and healthy listeners it may be that these subtle effects cannot be reliably  
696 identified. However, if this is the case then it may prove difficult to resolve the contribution of  
697 synaptopathy and the loss of sensitivity due to age-related hair-cell dysfunction when both are

698 present. Such an account, where age is a crucial modulator of the effects of noise exposure, could  
699 account for the largely null findings in the current study despite Schaette and McAlpine (2011) and  
700 Gu et al. (2012) reporting attenuated wave I responses in humans, as these previous studies used  
701 listeners that were on average 10 years older than the cohort in the current study.

702

703 Much of the early work on noise-induced synaptopathy was conducted in mice, where, the loss of  
704 cochlear synapses appears to be irreversible. However, comparable noise-exposure studies in guinea  
705 pigs have suggested that, after an initial reduction in the number of presynaptic ribbons, the synapse  
706 count may largely recover (Liu et al., 2012; Shi et al., 2013). It appears as though these synapses are  
707 reformed to some degree, but although they are present, their coding properties are functionally  
708 abnormal, both in their amplitude and latency profiles (Song et al., 2016). These studies suggest  
709 clear differences in the manifestation of cochlear synaptopathy in the guinea pig compared to the  
710 mouse and they also report ribbon damage to high-SR units as well as the more widely  
711 demonstrated loss of low-SR fibers. Therefore, given the marked cross-species differences between  
712 noise-induced synaptopathy in the mouse and the guinea pig, we must be cautious in our  
713 expectations of how cochlear synaptopathy may present itself in the human listener.

714

715 4.3. Are the measures sufficiently sensitive to detect synaptopathy?

716

717 Measurement variability in the human listener is a serious problem when investigating subtle  
718 differences in electrophysiological measures. The rodent results, which have motivated the search  
719 for synaptopathy in humans, are based on direct observations of synaptopathy using histological  
720 techniques. In human listeners, the most direct non-invasive measure of synaptopathy, wave I of the  
721 ABR recorded via scalp-mounted electrodes, is highly variable across individuals (Beattie, 1998;  
722 Lauter and Loomis, 1998).

723

724 Bourien et al. (2014) used ouabain to selectively destroy AN fibers in the gerbil in order to  
725 investigate the contribution of low-, medium-, and high-SR fibers to the compound action potential  
726 (CAP), which is a measure of the AN response comparable to ABR wave I. Low-SR fibers were the  
727 most susceptible to damage via ouabain and it was found that even when this fiber group was  
728 greatly depleted, the CAP did not reduce substantially. These results suggest that low-SR fibers  
729 contribute little to the CAP (probably due to their delayed, and broadened, first spike distribution),  
730 and by implication to ABR wave I. This account is somewhat contradictory to the findings of  
731 Schmiedt et al. (1996) and Furman et al. (2013) in which loss of predominantly low-SR fibers was  
732 shown to attenuate the AN response (other fiber groups were also possibly affected). Bourien et al.,  
733 (2014) suggest that this contradiction may be related to whether fibers are classified into three  
734 groups or just two, with medium-SR fibers grouped in with the low-SR fibers. Medium-SR fibers  
735 do seem to be affected by noise-induced synaptopathy (Furman et al., 2013) and hence ABR wave I  
736 would still be expected to be reduced by synaptopathy. However, if fibers with the lowest SRs do  
737 not contribute to wave I, the sensitivity of this measure could be limited. Bourien et al. (2014) also  
738 highlight the fact that the distribution of fiber types as a function of frequency varies across species  
739 and therefore our assumptions of the fiber groups and their relative distributions in humans may be  
740 inaccurate.

741

742 Recently, Mehraei et al. (2016) demonstrated that the change in the latency of wave V with  
743 increasing masking noise level mimics the drop in amplitude of wave I. Low-SR fibers have a  
744 longer response latency but are more resistant to noise masking. Hence the effect of low-SR fiber  
745 loss is hypothesized to be a reduction in the latency increase with increasing background noise  
746 level. Therefore although the variability of wave I makes its suitability as a diagnostic tool  
747 uncertain, it may be that the reduced response of auditory nerve fibers as a result of cochlear

748 synaptopathy can be reliably inferred by measuring the response further along the ascending  
749 auditory pathway. It remains unclear whether the wave V metric described by Mehraei et al. (2016)  
750 is related to lifetime noise exposure.

751

752 The FFR has been suggested as a reliable alternative to the ABR with which to evaluate the  
753 temporal coding of the auditory periphery (Shaheen et al., 2015; Bharadwaj et al., 2015). The FFR  
754 paradigm utilized in the current study assessed the ability of the auditory system to phase lock to  
755 low-frequency pure tones and to the modulated envelope of a high-frequency pure tone carrier. A  
756 pilot to the project demonstrated that contrasting FFRs from low- and high-frequency regions was  
757 able to differentiate between individuals with high and low levels of noise exposure (Barker et al.,  
758 2014). In the current study this measure showed a weak relation with noise exposure for the  
759 envelope following response in the high frequency region, but only in male listeners. The  
760 differential measure showed a weak relation in the hypothesized direction for all listeners  
761 combined, but this result must be approached with caution as it appears as though it may be driven  
762 more by the male listeners than the female listeners, even though this interaction does not reach  
763 significance in the current cohort.

764

765 Bharadwaj et al. (2015) described an FFR approach which uses different depths of modulation  
766 presented in a notched masking noise. Again, the aim of this approach was to accentuate the  
767 contribution of low-SR fibers to the response by including high levels and low modulation depths  
768 so that the dynamic range of the level fluctuations is above the saturation level of the high-SR  
769 fibers. An FFR was measured to modulation depths ranging from 0 to -20 dB and the slope of this  
770 function (SNR vs modulation depth) in the range -8 to 0 dB was shown to be predictive of  
771 performance on a number of auditory perception tasks. Rudimentary information was collected on  
772 listeners' noise exposure history and this analysis suggests that the slope of the function which

773 describes how the FFR changes as a function of modulation depth could be sensitive to underlying  
774 noise-induced cochlear synaptopathy.

775

776 A further potential cause of low sensitivity to the effects of noise exposure comes from the noise  
777 estimation process itself. The approach used in the current study relies on a subjective recall of both  
778 current and historical noise exposures to high-intensity sound. Such a measure will undoubtedly be  
779 affected by recall errors and bias. Such errors are potentially exacerbated in older listeners as they  
780 are required to recall further into the past, and therefore may grossly under- or over-estimate the  
781 frequency with which certain activities were performed. In the cohort studied in this work, many of  
782 the younger people were able to confidently estimate the frequency of their attendance at high-noise  
783 events as they are still in the habit of going to these events and could often think in distinct periods  
784 of time such as years spent at school, college, or university. The older listeners in this cohort  
785 typically worked in high-noise environments and many of these were able to clearly describe their  
786 working patterns as they moved around different jobs and venues and, as it was occupational rather  
787 than recreational noise, they were much more aware of the frequency and duration of time spent in  
788 high-noise environments. However, despite these mitigating factors, using a subjective recall of  
789 noise exposure remains an undesirable measure to use as the main predictive factor of an underlying  
790 pathology. Unfortunately, for human studies there is no method that is able to reliably and  
791 accurately capture the information that is required retrospectively. While this potential lack of  
792 accuracy should not be overlooked, it is important to emphasize that the differences in estimated  
793 exposure across the current cohort were so great between the lowest and the highest exposed that it  
794 is unlikely that meaningful effects were washed out by variability in the estimates.

795

#### 796 4.4. Effect of noise exposure on ABR latency

797



798 One positive finding is the increase in ABR wave V latency as a function of noise exposure for the  
799 80 dB peSPL click. An increase in latency could reflect a reduction in the contribution of short-  
800 latency basal generators to the ABR. However, the fact that this relation occurs only for wave V and  
801 only for the lower-level click condition and not for the higher-level click does not fit easily with the  
802 low-SR model of cochlear synaptopathy. Furthermore, given that the latency of low-SR fibers is  
803 greater than that of high-SR fibers (Rhode and Smith, 1985), it is also not clear that loss of low-SR  
804 fibers would produce an increase in latency, rather than a reduction.

805

806 It should be noted that the effect of latency did not survive control for age. This is not surprising,  
807 given that age is strongly related to lifetime noise exposure in our cohort, such that it is difficult to  
808 disentangle the effects for the two. Regardless of how much a young individual goes to high noise  
809 events, they will always struggle to match the noise exposures of individuals with 10 years more  
810 life experience. However, it is possible that age *per se*, rather than noise exposure, is causally  
811 related to latency. Given that the participants are audiometrically homogeneous, it is not clear what  
812 aspect of ageing underlies this increase in latency. Previous studies have shown an effect of age on  
813 ABR latency and amplitude (Konrad-Martin et al., 2012), although it is unclear to what extent  
814 cumulative noise exposure could be a contributing factor.

815

## 816 5. Conclusions

817

- 818 1. In a large group of young, audiometrically normal, human listeners, there was no relation  
819 observed between noise exposure and mean ABR amplitude. Contrary to rodent models, the  
820 ABR wave I results provide no evidence for noise-induced cochlear synaptopathy in the  
821 young human cohort studied. It remains possible that the effects of exposure are more  
822 evident in older individuals, or are more easily observed at higher characteristic frequencies

than the 3-6 kHz region on which this study primarily focussed.

2. The amplitude of the envelope FFR for a high frequency carrier decreased with increasing noise exposure, but the relation was weak and was only observed for male and not for female listeners.

3. 16-kHz audiometric thresholds increased with noise exposure for females but not for males, indicating a possible sex difference in vulnerability to the effects of noise.

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## Figure Captions.

Fig. 1. Noise exposure scores as a function of age. 126 individuals are shown, with males (51) and females (75) plotted in different colors and symbols. Regression lines are plotted for the full group and for males and female separately, with the Pearson correlation coefficient shown in the text (\*= $p<0.05$ , \*\*= $p<0.01$ ).

Fig. 2. Pure tone audiometric thresholds. Hearing thresholds (averaged across ears and listeners) are shown, with standard errors, for the whole group and also for males and females individually. For all three groups of listeners, the full group is shown as a horizontal line, and the highest and lowest noise exposed individuals are shown as solid and open squares respectively. For all listeners, the

low and high noise groups comprise the lowest and highest 30 listeners in terms of noise exposure respectively. For males and females, N=15 for low and high noise subgroups.

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Fig. 3. High-frequency audiometric thresholds. Regression lines are plotted for the full group and for males and female separately, with the Pearson correlation coefficient shown in the text (\*= $p < 0.05$ , \*\*= $p < 0.01$ ).

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Fig. 4. Transient evoked otoacoustic emissions. Males (30) and females (49) are plotted in different colors and Pearson correlation coefficients are shown for both sexes individually and combined. SNRs are the mean across the three test frequencies of 3, 3.5 and 4 kHz and are averaged across ears.

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Fig. 5. Grand average ABR waveforms. Average waveforms are shown in microvolts for males and females separately and for the 15 lowest and 15 highest noise exposed individuals for each sex. Waves I, III and V can be seen at around 2, 4 and 6 ms respectively. Waveforms are plotted broadband in order to show the full morphology of the response.

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Fig. 6. ABR wave amplitudes as a function of noise exposure. The top row shows ABR amplitudes generated by the 100 dB peSPL click and the bottom row those from the 80 dB peSPL click. The columns show the amplitudes of waves I, III and V. Regressions are again plotted for the three groups (all listeners, males and females) with Pearson correlation coefficients shown in the text (\*= $p < 0.05$ , \*\*= $p < 0.01$ ).

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Fig. 7. ABR wave latencies as a function of noise exposure. The top row shows ABR latencies generated by the 100 dB peSPL click and the bottom row those from the 80 dB peSPL click. All

873 values are baseline corrected so that all three latencies are distributed around zero to allow common  
874 axes to be used. The baselines for the 100 dB click were 1.84, 3.85 and 5.74 ms for waves I, III and  
875 V respectively. For the 80 dB condition they were 2.69, 4.46 and 6.41 ms. The columns show the  
876 latencies of waves I, III and V. Regressions are again plotted for the three groups (all listeners,  
877 males and females) with Pearson correlation coefficients shown in the text (\*=  $p < 0.05$ , \*\*=  
878  $p < 0.01$ ).

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880 Fig. 8. Wave I and V amplitude ratios and latency intervals as a function of noise exposure. The  
881 upper row shows amplitude ratios and the bottom row latency intervals whilst the two columns  
882 show the values for the 80 and 100 dB peSPL click.

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884 Fig. 9. Differential measures with respect to click level as a function of noise exposure. The upper  
885 row shows the ratio of amplitudes of the 100 dB peSPL click to the 80 dB peSPL click. The bottom  
886 row shows the difference in latency between the peak measured in response to a 100 dB peSPL  
887 click and an 80 dB peSPL click. Pearson correlation coefficients are shown in the text (\*=  $p < 0.05$ ,  
888 \*\*=  $p < 0.01$ ).

889

890 Fig. 10. Subgroup analyses of low and high noise exposed individuals. Amplitudes (top row) and  
891 latencies (bottom row) are shown for the two click levels. Results for waves I, III and V are shown  
892 and the right hand panel plots the differential measures for the three waves. Black symbols  
893 represent the full group, with cyan and red showing males and females respectively. The lowest  
894 noise exposed individuals are shown as open symbols and the highest noise exposed as closed  
895 symbols. Error bars show standard errors.

896

897 Fig. 11. FFR SNRs as a function of noise exposure. The left panel shows SNRs in response to the

898 low frequency pure tones (average SNR across the four frequencies used: 240, 255, 270 and 285  
899 Hz). The middle panel shows SNRs to the high frequency transposed tone (average SNR across the  
900 modulators of a 4 kHz carrier: 240, 2355, 270 and 285 Hz). The right-hand panel shows the  
901 difference between the two. Pearson correlation coefficients are shown in the text.

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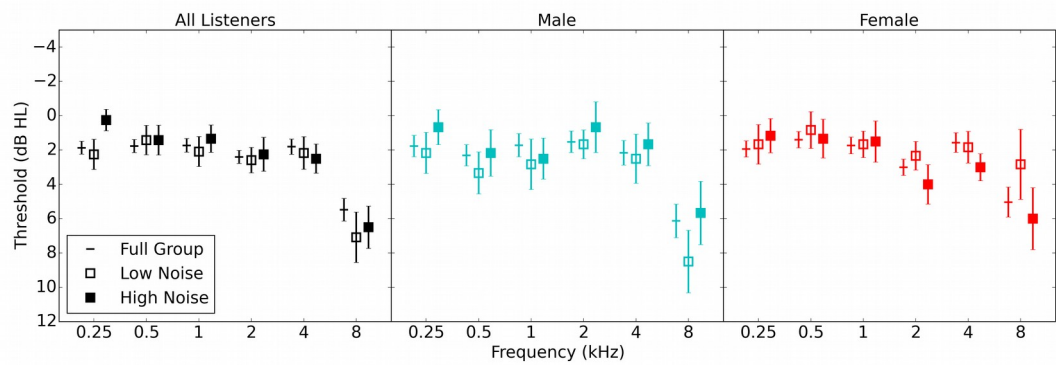
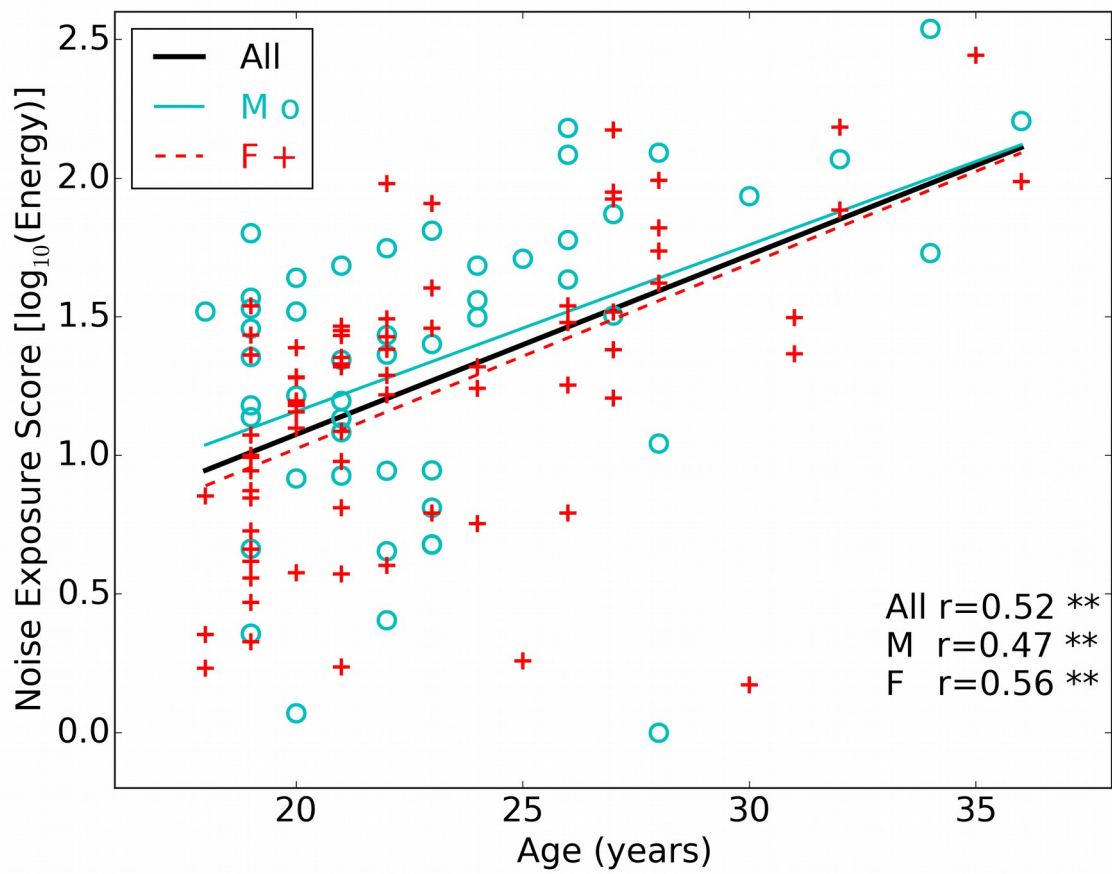
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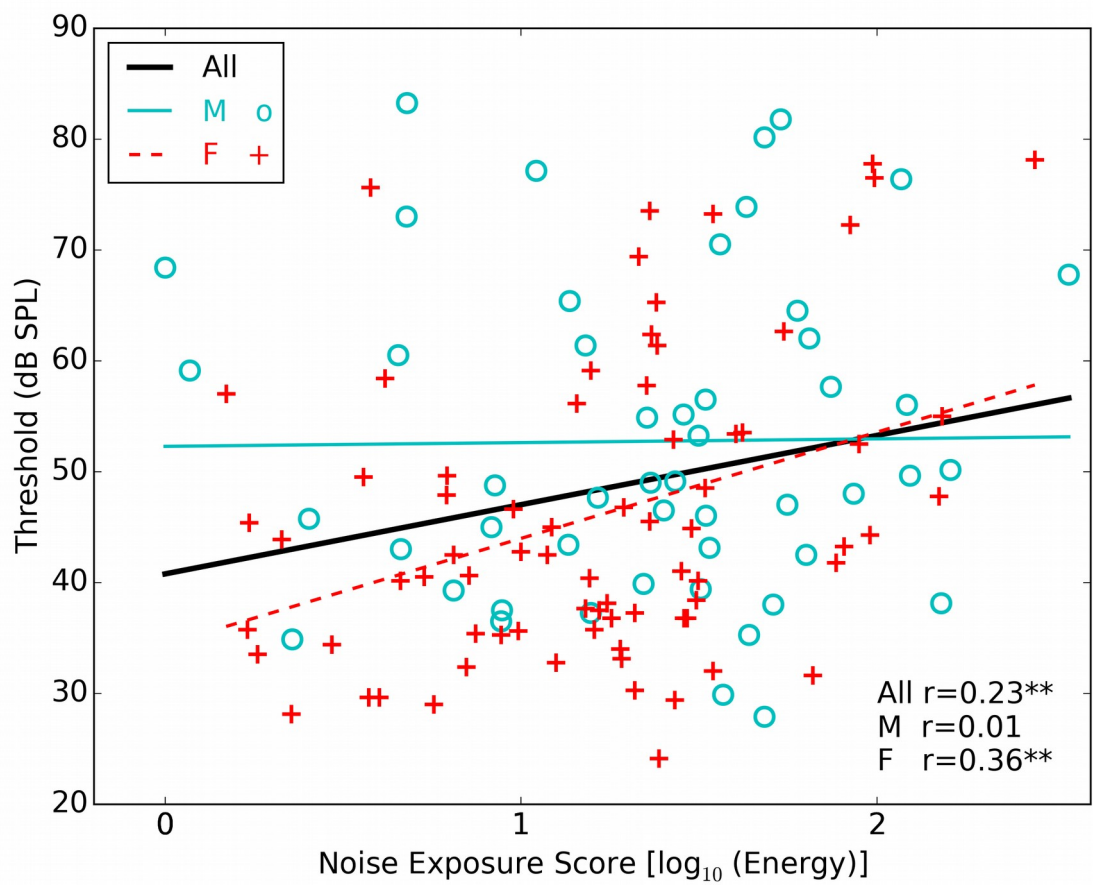
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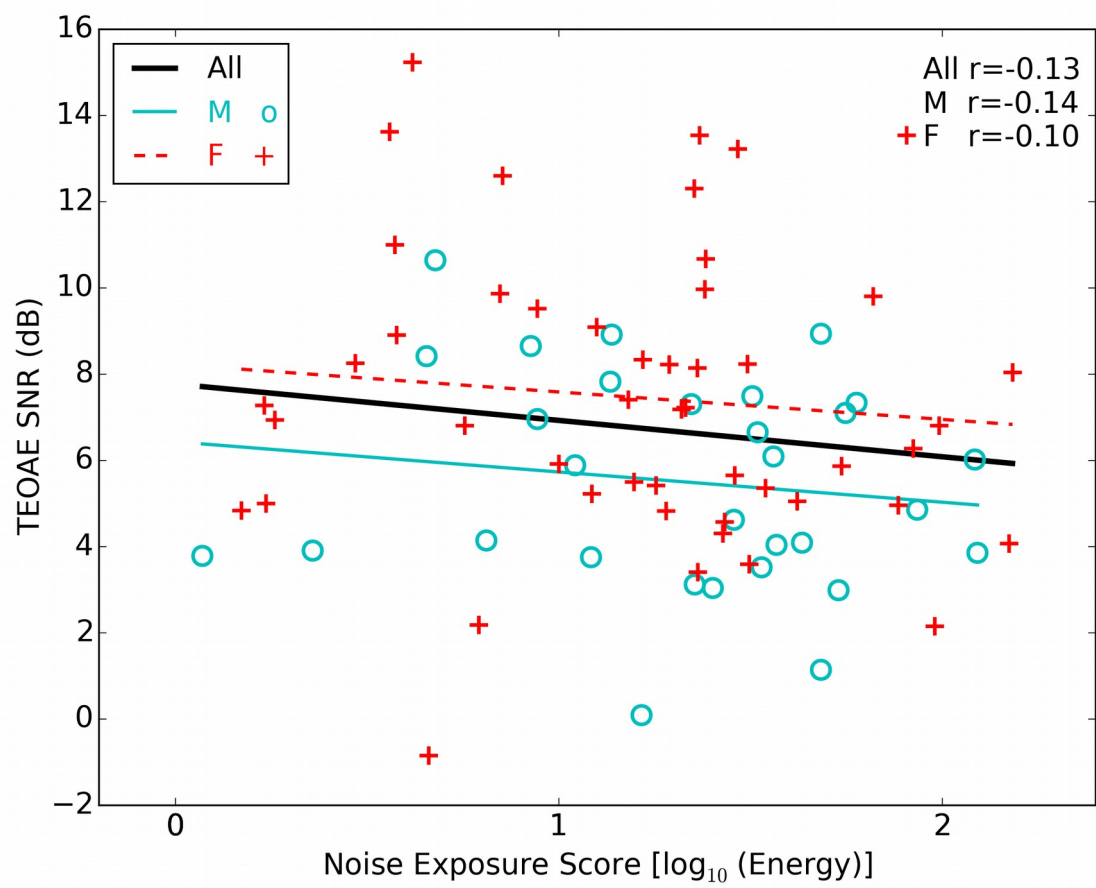
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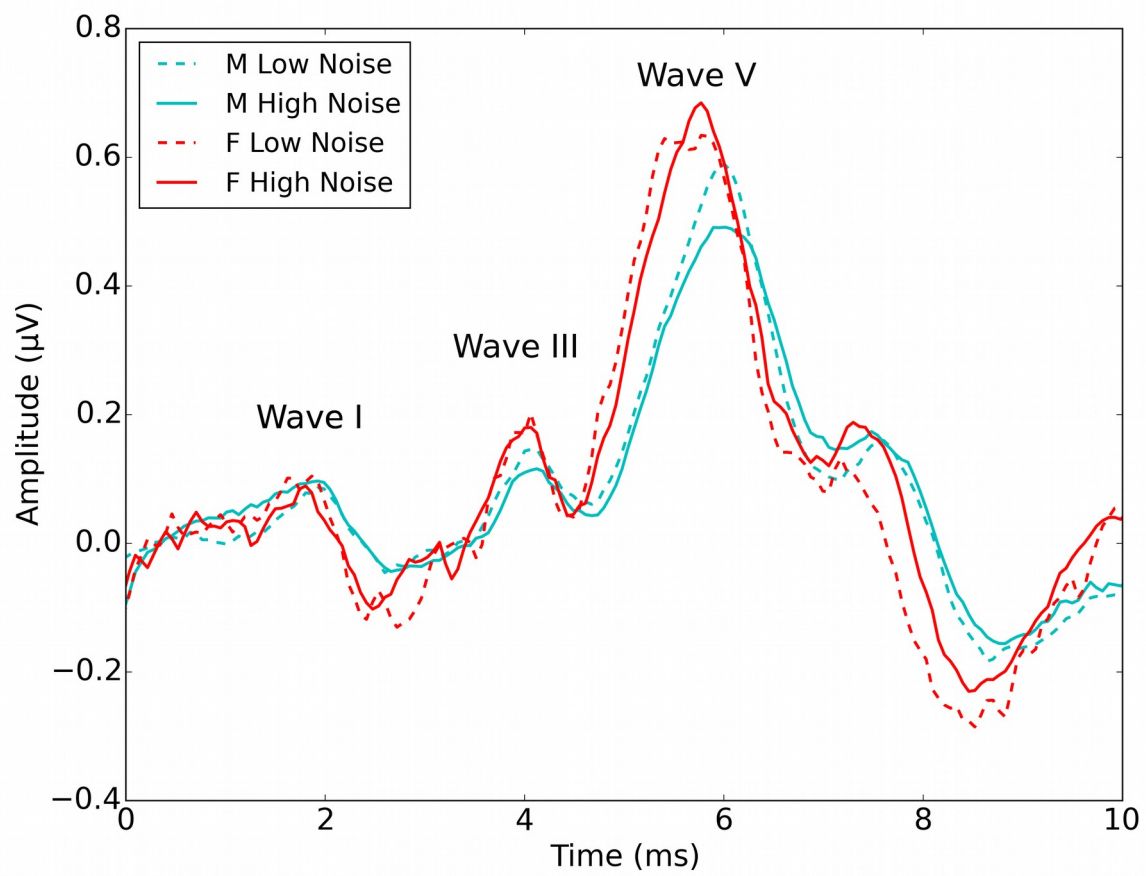


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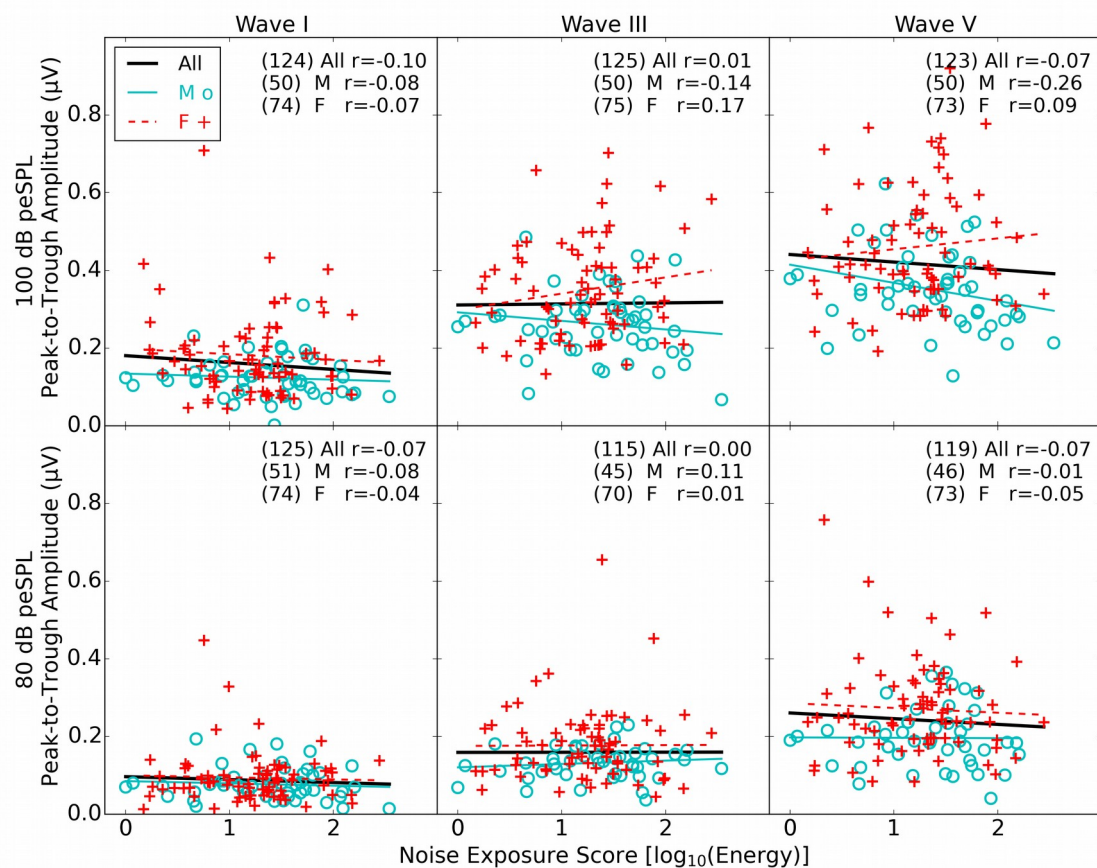
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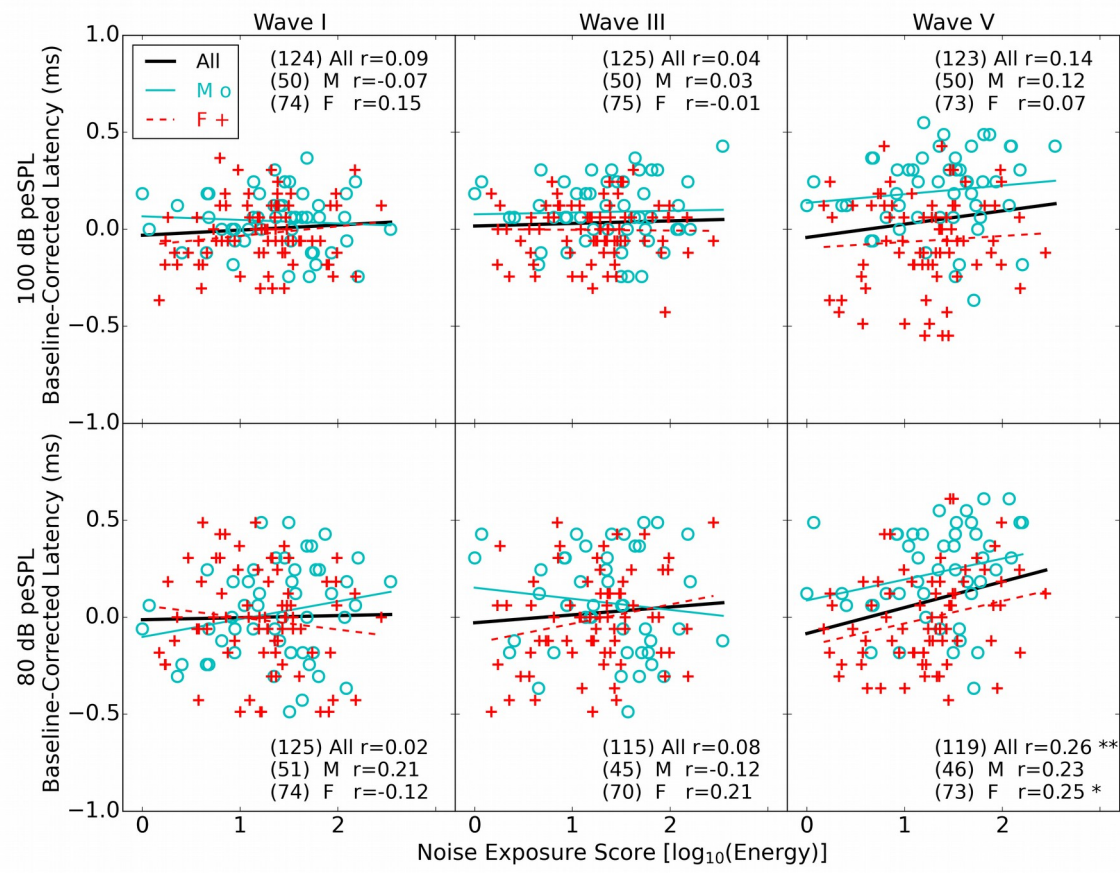
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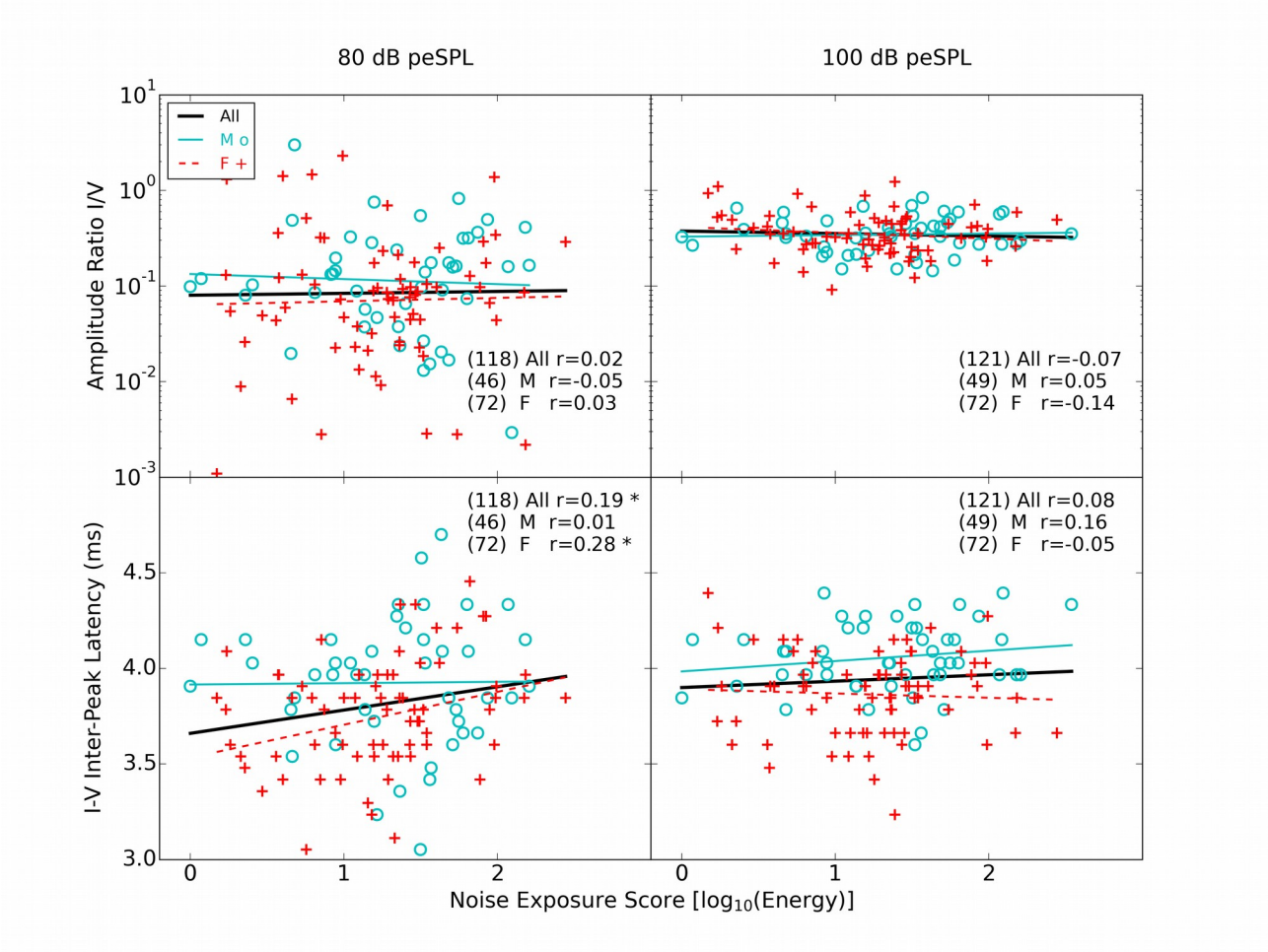
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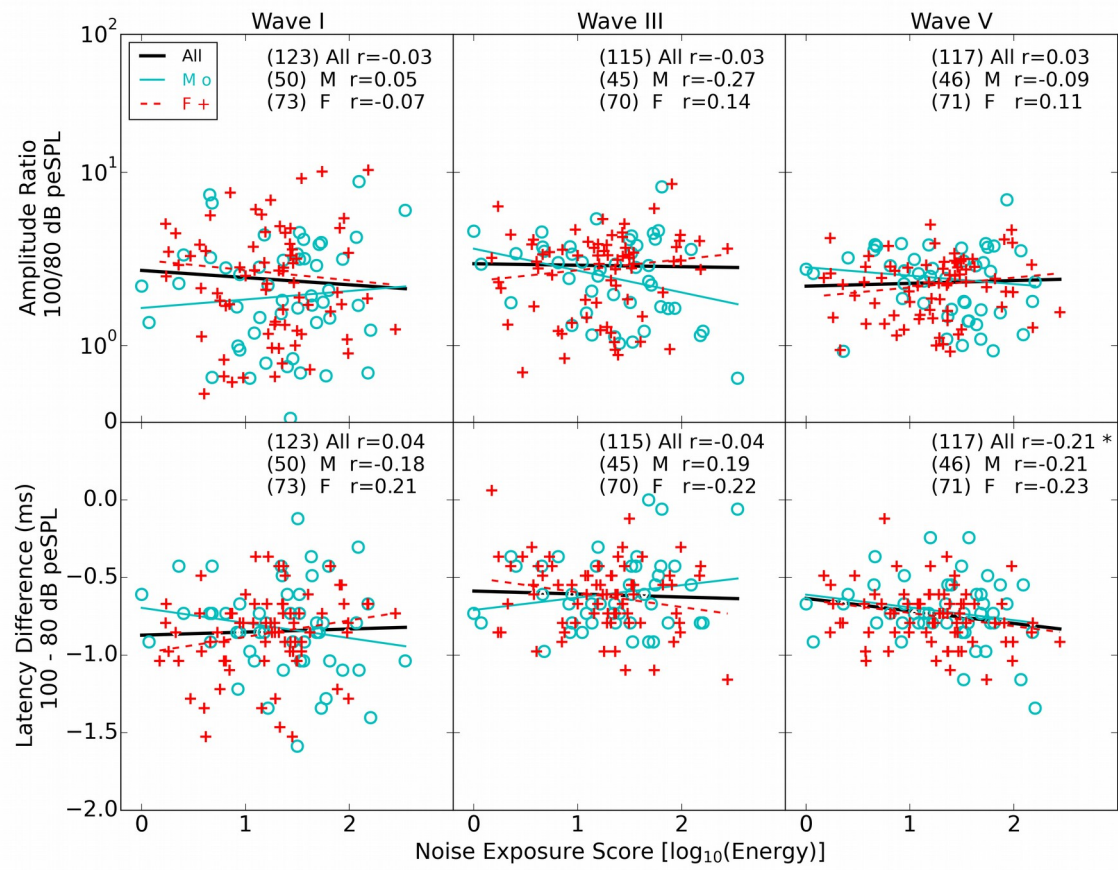
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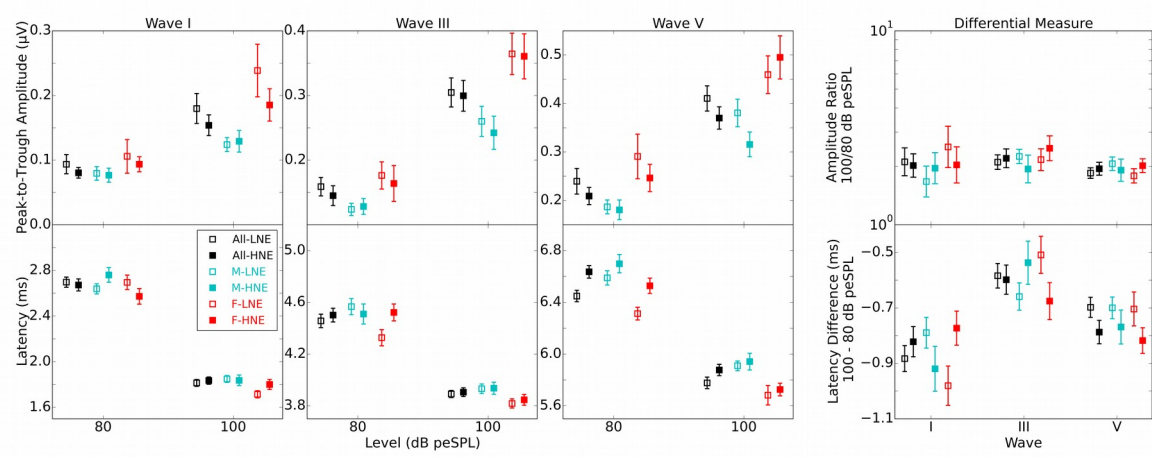
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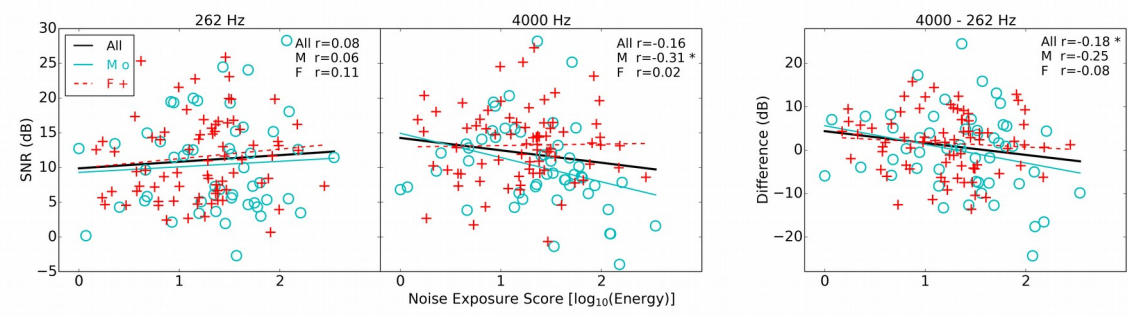


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