

1 **Modeling Reef Fish Biomass, Recovery Potential, and Management Priorities in the**
2 **Western Indian Ocean**

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21

22 **Abstract**

23 Fish biomass is a primary driver of coral reef ecosystem services and has high sensitivity
24 to human disturbances, particularly fishing. Estimates of fish biomass, their spatial
25 distribution, and recovery potential are important for evaluating reef status and crucial for
26 setting management targets. Here we modeled fish biomass estimates across all reefs of
27 the western Indian Ocean using key variables that predicted the empirical data collected
28 from 337 sites. These variables were used to create biomass and recovery time maps to
29 prioritize spatially explicit conservation actions. The resultant fish biomass map showed
30 high variability ranging from ~15 to 2900 kg/ha, primarily driven by human populations,
31 distance to markets, and fisheries management restrictions. Lastly, we assembled data
32 based on the age of fisheries closures and showed that biomass takes ~ 25 years to
33 recover to typical equilibrium values of ~1200 kg/ha. The recovery times to biomass
34 levels for sustainable fishing yields, maximum diversity, and ecosystem stability or
35 conservation targets once fishing is suspended was modeled to estimate temporal costs of
36 restrictions. The mean time to recovery for the whole region to the conservation target
37 was 8.1(\pm 3SD) years, while recovery to sustainable fishing thresholds was between 0.5
38 and 4 years, but with high spatial variation. Recovery prioritization scenario models
39 included one where local governance prioritized recovery of degraded reefs and two that
40 prioritized minimizing recovery time, where countries either operated independently or
41 collaborated. The regional collaboration scenario selected remote areas for conservation
42 with uneven national responsibilities and spatial coverage, which could undermine
43 collaboration. There is the potential to achieve sustainable fisheries within a decade by
44 promoting these pathways according to their social-ecological suitability.

45 Keywords: Africa, fisheries closures and restoration, marine spatial planning, protected
46 areas, systematic conservation planning

47

48 **Introduction**

49

50 Achieving sustainability in fisheries is often challenging due to a lack of data and unclear
51 goals or targets for management [1]. This is particularly true for poor and developing
52 countries [2-4]. The challenge of sustainable fishing has been accentuated by the
53 emergent drive for more holistic ecosystem-based management goals that propose
54 broader ecological and social outcomes, including setting fisheries targets above potential
55 ecological thresholds [4]. One way to handle this complexity is to find proxy metrics that
56 cause or are closely associated with ecological change and can be directly affected, and
57 potentially managed, by human usage. Fish biomass has been shown to be a key proxy
58 for coral reefs where the state of reef ecosystems and the life history composition of the
59 fish community are well predicted by a simple biomass metric [5-8].

60 Coral reefs in the western Indian Ocean (WIO), the Caribbean and globally have been
61 shown to follow a predictable decline in ecosystem state, processes and potential services
62 as fish biomass diminishes under heavy fishing [5-8]. For the Indian Ocean, this gradient
63 ranges from 7500 kg/ha for the large seascape wilderness of the Chagos Islands [9], to
64 1200 kg/ha in national coastal fisheries closures [10], to <600 kg/ha in various fisheries
65 [5,11]. Along a biomass gradient there are changes in ecological processes of carnivory
66 and herbivory, the organic and inorganic carbonate balance, and numbers of species, their
67 life histories, and ecological functions [5,11,12].

68

69 The first measurable ecological changes appear to emerge when biomass is below ~1050
70 kg/ha, but changes in number of species and fish life histories occur in succession below
71 600 kg/ha [11,12], and degradation of ecological states, processes, and services below
72 300 kg/ha [5]. Maximum sustained yields have been estimated to occur between 300 and
73 600 kg/ha, where sustainability includes maintenance of stocks, ecological states, and
74 moderate diversity [5]. Conservation targets, where measured ecological processes are
75 maintained in fished seascapes, are estimated at ~2 standard deviations above the mean
76 estimate of the switch-point for the first measured ecological change, which is 1150 kg/ha
77 [6].

78

79 Three targets for planning fisheries are therefore the mid-range estimate for sustainable
80 production (~450 kg/ha), the point where fish diversity declines (~600 kg/ha), and where
81 reef states and processes begin to change (~1150 kg/ha). With these targets and
82 knowledge of the fish biomass or benefits and recovery times or costs, models can
83 optimize the selection of reefs for fisheries restrictions. Previous studies have shown that
84 human population density and particularly distance to markets are good predictors of fish
85 biomass and functional groups [13,14]. Recovery rates are also being increasingly
86 understood from studies of well-enforced long-term fisheries closures [7,15,16]. Similar
87 patterns of recovery are emerging in disparate locations, with rate and duration depending
88 on the initial biomass and rates of increase for various functional groups [7]. This
89 emerging information makes it possible to map the distribution of reef fish biomass using
90 proxies for fishing pressure, and to predict recovery rates based on local demography and

91 management conditions. Recovery time can then be evaluated as a cost - the lost
92 opportunity to capture fish – and can be minimized to develop regional fisheries and
93 conservation prioritization plans.

94

95 In this study, we modeled the factors that influence fish biomass, and estimated recovery
96 rates under alternative management scenarios in the western Indian Ocean. We present a
97 regional case study where 20% of the reefs are targeted for conservation and 50% for
98 sustainable fishing, which aligns with a call by the Convention for Biological Diversity to
99 put 20% of near-shore areas in closures by 2020 [17]. We then consider three priority-
100 area selection scenarios, two that reduce the costs (recovery time) for two governance
101 scenarios where WIO countries plan and minimize costs independently and
102 collaboratively [18]. Thirdly, where the priority is to raise biomass to multi-species
103 maximum sustained yield (MMSY) levels in degraded reefs. The last scenario is typical
104 of community led closures where local communities prioritize overfished reefs for
105 closure and recovery [19,20]. The first two scenarios are more typical of national and
106 regional strategies that propose to reach national and global protected area targets
107 [21,22]. By considering these different assumptions about management needs and
108 governance capacity, we provide a basis for considering a number of likely priorities.

109 **Methods**

110 Our analyses and subsequent mapping utilized 541 surveys of the biomass of fish in 337
111 sites in the WIO during the period of 1987-2014 by two people (T.R. McClanahan and
112 N.A.J. Graham). All diurnally active, non-cryptic reef associated fish species were
113 included in the surveys. Replicate belt transects were used in some countries, and

114 replicate stationary point counts in others, which have been shown to yield similar
115 biomass values in methodological comparisons [Watson & Quinn 1997; Samoilys &
116 Carlos 2000]. Species or family level fish survey data at a site over time were pooled into
117 total fish biomass values (kg/ha) for each site. Sites were grouped into five different
118 fisheries management categories as follows: remote sites (isolated reefs far from human
119 populations); high compliance closure; low compliance and young closure; all destructive
120 gear restricted (only line and trap fishing permitted); most destructive gear restricted
121 (spear guns and gill nets also used); and no gears restricted (small mesh seine nets and
122 explosives also in use) (Fig. S1). These groups were further categorized into either fished
123 or un-fished. These groupings were based on maps of protected areas and the authors
124 experience working in the above study sites [6]. For each record, the time period (in
125 years) during which the corresponding management type was implemented was also
126 recorded. Site attributes, including the Euclidean distance to the nearest town (i.e.
127 potential fish markets) and the population of the town were added for each record. We
128 defined a market as a national capital, provincial capital, major population centre, or
129 landmark city, following Cinner et al. [26] and Marie et al. [2016 Ecology Letters]. We
130 used population data from the Gridded Population of the World (GPW) database
131 (CIESIN, 1996; <http://sedac.ciesin.columbia.edu/plue/cenguide.html>, retrieved Dec 15,
132 2013) [23]. Sea surface temperature time series weekly data (SST) for 1980-2014 was
133 extracted from CORTAD database (<http://www.nodc.noaa.gov/sog/cortad/>) and
134 summarized into minimum, mean and maximum[24].

135

136 From the above high compliance closure fish biomass data, we determined the
137 relationship between the duration of protection and fish biomass to estimate recovery
138 times in the region. For the 111 sites in 16 high compliance closures, we plotted age
139 against biomass and recovery time was estimated. The relationship between fish biomass
140 and duration of protection was determined by fitting a three parameter self-starting
141 logistic and asymptote models using the *nls* package in R version 3.2.2 (R Core Team
142 2014), which optimizes given functions to fit available data [25]. The package has an
143 initial attribute that creates the starting estimates for the parameters in the models,
144 representing asymptote biomass value at the inflection point of the curve and a scale
145 parameter in the biomass axis that estimates the time to recovery equation.

146 **Ethics statement**

147 Permission for fieldwork was granted from the following agencies: 1. Kenya: National
148 Council of Science and Technology; 2. Mozambique: Eduardo Mondlane University; 3.
149 Mayotte: Head of Equipment, Agriculture and Homing Department; 4. Mauritius:
150 Mauritius Oceanography Institute; 5. Madagascar: Ministère de L'Environnement et des
151 Forêts, Direction du Système des Aires Protégées; 6. South Africa: Departments of
152 Science and Technology, the Environmental Affairs and Tourism, Ezemvelo Kwa Zulu
153 Natal Wildlife, and the iSimangaiso Wetlands Park Authority; 7. Seychelles: Seychelles
154 Bureau of Standards and Nature Seychelles; 8. Tanzania: Institute of Marine Science,
155 University of Dar-es-salaam; 9. In the Maldives, some of the work was with the Banyan
156 Tree Resort who had a permit to conduct research, and some work was under a permit
157 from the Ministry of Fisheries and Agriculture; 10. The British Indian Ocean Territory:
158 the British Indian Ocean Territory Administration; 11. No permit was required for

159 Comoros but we worked with the Coordinator of the Coral Reef Task Force and Focal
160 point of the Nairobi Convention; 12. No permit was required for Reunion. Field studies
161 did not involve manipulation of any endangered or protected species.

162

163 **Fish biomass model**

164 To determine the predictors of fish biomass, a full-generalized additive mixed model
165 (GAMM) was constructed with seven predictor variables and interactions, including:
166 management and fishing (fixed terms), distance to markets (log transformed, spline
167 smoothed terms, $k=5$) and market population (spline smoothed terms, $k=5$); average SST,
168 minimum SST, and maximum SST. The year of sampling was added as a random
169 intercept in the GAMM models. GAMs are the similar to generalized linear models
170 (GLM's) in that they relate a response variable to one or multiple independent variables
171 but they also have the property of exploring non-linearity in the relationships using
172 smoothers with no *a priori* assumption on the shape of the relationship. All the statistical
173 methods were assessed using a hierarchical modeling framework to account for sampling
174 in multiple years. These predictors were selected on the basis of prior studies in the
175 scientific literature and ongoing work in this field [26]. We would have liked to include
176 predictors such as coral cover and water quality, however we did not have data for these
177 variables across all of our sites. Further, factors such as coral cover are strongly
178 influenced by factors such as SST and fishing, included in our model. Additional social
179 drivers would also have been interesting to include, such as levels of local economic
180 development [Cinner et al. 2009 Curr Biol], however we did not have the data to include
181 these. The purpose was not to have a comprehensive assessment of reef fish biomass

182 predictors, but rather to approximate biomass with well known predictors. Next, we
183 constructed all possible sub-models from this set of predictors, including an intercept-
184 only model, using the dredge function implemented in the MuMIn package [27] (R Core
185 Team 2014). A number of models in the set differed in their data fit by only small
186 amounts, as defined by Akaike Information Criteria (AICc). We therefore employed a
187 model averaging approach to 95% confidence set, a procedure that accounts for model
188 selection uncertainty to obtain robust parameter estimates or predictions [28]. This
189 procedure entails calculating a weighted average of parameter estimates, such that
190 parameter estimates from models that contribute little information about the variance in
191 the response variable are given little weight [29], while ameliorating the effects of
192 uninformative parameters [30] .

193 **Spatial prediction of biomass and time to recovery**

194 Using the WCMC coral reef distribution data as the coral reef habitats template
195 (<http://www.unep-wcmc.org/>), we created 2.5km x 2.5km square grids of ‘planning units’
196 in the WIO seascape. While there are no standard rules on determining the appropriate
197 planning unit grid size, there are some factors that are useful guidelines, including range
198 size of the species being modeled, area typically utilized by resource users, and the
199 research questions being asked. In consideration of these, we chose a 2.5km grid. This
200 captures the range size of most reef fishes, is representative of the relatively local nature
201 of most reef fishing in the region, and is appropriate for the scale of our region wide
202 study area (~7000 miles sq). For each planning unit, site attributes used in fish biomass
203 modeling above were added (i.e. fisheries management categorization, fishing, distance
204 to market and population of the market). Using the averaged biomass model constructed

205 above, biomass was predicted spatially on all the planning units before applying the
206 logistic and asymptote model parameters for calculating the time to recovery for each
207 grid; that is the time it takes for fish biomass to recover to a given level of fish biomass.
208 Although social-ecological conditions may change in the future influencing these
209 recovery models, our current data span large gradients in human use, including protected
210 areas embedded in heavily fished seascapes. We calculated time to recovery to three
211 biomass thresholds as possible management targets as described above.

212 **Priority-area selection to minimize time to recovery**

213 After predicting time to recovery for both sustainable fishing and conservation targets, we
214 evaluated different spatial prioritization approaches, one focused on achieving sustainable
215 fishing and conservation targets at the lowest costs for national and regional scales and
216 the other focused on allowing fish biomass to recover in the most biomass-depleted reefs.
217 The first approach is a complementarity-based spatial prioritization, aimed at identifying
218 sites for protection that complement, rather than replicate, each other. The second
219 approach is a threshold-based spatial prioritization, aimed at selecting all sites that meet
220 pre-established thresholds. Both these approaches are widely used for identifying
221 important areas for biodiversity [31].

222 We used a spatial prioritization tool, Marxan with Zones [32] to prioritize for marine
223 management areas that minimize the time to recovery. Marxan with Zones uses a
224 simulated annealing algorithm to identify sites that fulfill pre-determined quantitative
225 targets for biodiversity features while minimizing cost, and also allows for the selection
226 of zones with different management actions. In this study, our biodiversity feature is

227 fishable biomass, which represents diversity but also other ecological services [5,33]. Our
228 costs are the time for fish biomass to recover to the proposed biomass thresholds, which
229 is an opportunity cost of lost catch. By using these times to recovery values as “costs”
230 Marxan minimized time to recovery while meeting the biomass targets. Marxan was
231 given the aim to reserve 20% and 50% of the total reef area as conservation and
232 sustainable fishing zones.

233 We looked at the effect that cross country collaboration would have on spatial
234 prioritization outcomes for a coordinated international and independent national analysis
235 [21,34]. In the uncoordinated Marxan analysis, the 20% conservation and 50%
236 sustainable fishing targets were met separately for planning units in the Exclusive
237 Economic Zone of each country, while in the coordinated analysis these targets were met
238 across all planning units. For each scenario we conducted 100 Marxan runs, and we
239 present these results by identifying 20% of planning units with the highest selection
240 frequency as conservation zones, then removing these planning units and identifying 50%
241 of planning units with the highest selection frequency as sustainable fishing zones. The
242 remaining planning units were classified as unmanaged. The three simple groups were
243 mapped to view management priorities because, given the large scale of the analysis and
244 the relatively small planning units used, it was difficult to view priorities for the entire
245 region using standard Marxan selection frequency maps.

246 The final prioritization approach is entirely threshold-based and was focused on
247 recovering fish biomass in the most biomass-depleted reefs, which is a method that is
248 more focused on recovering sustainability using closures rather than achieving
249 conservation targets. Here, we spatially executed analyses that set and ranked the most

250 depleted planning units (i.e. <450kg/ha) as the priority for closure by reclassifying the
251 grids along with the planning units within a 2.5km radius. Consequently, the planning
252 units selected in the most depleted planning units were designated as ‘core priority areas’
253 and the adjacent areas as ‘spillover areas’. We then calculated the total areal coverage for
254 both core and spillover areas and estimated the time to recovery of core areas to the
255 above sustainability and conservation targets and mapped these data and present
256 summaries for each location or country.

257

258 **Results**

259 **Fish biomass predictions**

260 Among the fish biomass models, the most parsimonious model explained up to 65% of
261 the variability observed in fish biomass data (Table 1, Fig. 1). Fisheries management type
262 was one of the three most important predictors with high compliance closure and remote
263 management categories having a positive influence on fish biomass and no gear and most
264 destructive gear restrictions a negative influence (Table 1, Fig. 1). Similarly, distance to
265 market and its interaction with fishing significantly influenced fish biomass, with
266 biomass increasing with increase in distance interaction with ‘fished’ fishing category,
267 Biomass increased with increase in maximum SST. A model that included fishing
268 variable in addition to those in the best model had essentially the same values of the
269 maximized log-likelihood and within 2 AIC as the best model, indicating that fishing was
270 a non-informative parameter in this model.

271 Most reefs in the region have a fish biomass of less than 600 kg/ha. For example, 42% of
272 the reefs' cells were predicted to host fish biomass of less than 450kg/ha; 6% more than
273 450 but less than 600kg/ha; 13% more than 600 and less than 1150; and 39% more than
274 1150kg/ha (Table 2; Fig. 3a). Notably, the low biomass areas are Kenya's south and
275 Madagascar's southwest fringing reefs; with Tanzania, Mozambique, northern
276 Madagascar and most inhabited islands, such as the Comoros, having moderate levels.
277 Maldives is predicted to have highest biomass levels along with remote islands of the
278 Chagos and the Seychelles.

279 **Time to recovery models**

280 The recovery of fish biomass in the high compliance closures indicates good fits to the
281 asymptotic, logistic, and Ricker functions with less than 2 AIC points between the models
282 (Fig. 2). Similar response behavior patterns were observed with no significant difference
283 among the three models (Fig. 2) as indicated by the AIC delta of <2 (Fig. 2). Further, all
284 three functions significantly simulated the behavior pattern of the observed data ($p < 0.01$).
285 The data and equations suggest leveling just after 20 years of closure and the average of
286 the logistic and asymptote model parameters were therefore used in the calculations of
287 the time to recovery for the planning units below.

288 **Mapping recovery times to biomass targets**

289 Three recovery maps are shown (Figs. 3,4) based on the proposed time to reach the
290 proposed threshold of 1150 kg/ha (Fig. 4ab) and the proposed mean production and high
291 diversity sustainability levels of 450 and 600 kg/ha (Figs. 3b, 4a). The mean recovery
292 time to the conservation levels for reefs in the region is 8.11 ± 3.02 (\pm SD) (Table 3). This

293 varies considerably with the initial biomass levels with Kenya's southwest Madagascar
294 fringing reefs and portions of Mauritius and Reunion requiring over 20-30 years; whereas
295 Tanzania, Mozambique, northern Madagascar and most inhabited islands requiring 5 to
296 20 years. The remote islands of the Chagos, the outer islands of Seychelles and parts of
297 the Maldives are already above the suggested conservation biomass threshold.

298 The mean recovery time to sustainable yields and maximum diversity levels for reefs in
299 the region are 1.74 ± 1.3 and 2.9 ± 1.5 years, respectively (Table 3). For sustainable yields
300 thresholds, the low initial biomass reefs, southern Kenya's and southwest Madagascar
301 and portions of Mauritius and Reunion have average recovery periods of 4 to 8 years but
302 the averages for these countries are between 1 to 4 years. In northern Madagascar and
303 most inhabited islands most reefs are already at the two sustainability levels. Tanzania
304 and Mozambique coastlines require variable times ranging from 0 to 7 years. The time to
305 recover maximum diversity showed similar patterns with some time increased to 9 years
306 in the most biomass depleted reefs. Most countries would require 1 to 7 years to reach the
307 maximum diversity threshold at the national level, although some small nations have
308 already achieved this level.

309 Prioritizing placement of closures in the most biomass-depleted reefs and calculating the
310 core priority and adjacent spillover areas indicates that 24.5% of the reef area would be
311 core and 32.6% spillover areas to achieve the threshold of 450 kg/ha for the entire region
312 at our planning unit spatial resolution (Fig. 5; Table 4). This also varies considerably
313 between countries with Madagascar, Comoros, and Mauritius requiring ~20-37%, and
314 Reunion, Tanzania, and Mozambique requiring ~40% of their reefs in core areas. The
315 remote offshore islands and Mayotte requiring none to ~30% in this form of management

316 and Kenya with a value of 31% for a highly populated country attributable to a mix of
317 existing national parks and remote areas in northern Kenya with high biomass.

318 **Spatial planning**

319 Applying the Marxan algorithms to minimize time to recovery and establishing the
320 spatial goals of 20% of the reefs for conservation and 50% for sustainability, partitions
321 these three target management categories differently depending on the by-country and
322 entire-region coordination scenarios (Fig. 6). The entire-region scenario has most of the
323 conservation areas placed in the offshore island of the Maldives, Chagos, and Seychelles
324 but also some areas in northern Kenya and Mozambique and a few locations scattered
325 throughout, including northern Madagascar (Fig. 6a). The by-country scenario places the
326 conservation areas more broadly, as 20% conservation has to be established in each
327 country (Fig. 6b). This results in new sites added in southern Tanzania and its offshore
328 islands. Also, much of northern Madagascar is prioritized for conservation and all
329 countries have sites selected according to where the highest biomass is predicted.

330 The times to recovery for the three governance scenarios indicate the fastest recovery for
331 collaboration, followed by the no-country collaboration, and finally by the biomass
332 depletion status (Table 5). The whole-region values to reach the sustainable yield
333 thresholds were 0.43 ± 0.51 , 0.76 ± 0.92 , and **3.91 (1.34)** years for the collaboration, no
334 collaboration, and local management governance scenarios, respectively. Maximum
335 diversity would require 0.66 ± 0.77 , 1.43 ± 0.91 , and 6.24 ± 1.14 and conservation thresholds
336 3.27 ± 2.14 , 7.13 ± 2.53 , and 15.23 ± 2.17 years for the three governance scenarios,
337 respectively. Again, these values vary considerably by location, country, threshold, and

338 scenario.

339 Regional collaboration generally shortens countries time to recovery, but the differences
340 can vary. For example, regional collaboration decreased recovery time by up to 9 years in
341 countries such as Mozambique and Mauritius (Table 5.). Some countries, such as
342 Mauritius or the Cormoros, have very low biomass overall, such that none of their reefs
343 would be included in a regional collaboration while others, such as Seychelles, already
344 have many of their reefs above thresholds and therefore require less time or costs to
345 participate in the collaboration. Conversely, the biomass-depleted prioritization approach
346 requires a long recovery period for Kenya and Mauritius but so do many of the countries
347 with low biomass, most countries requiring 3 to 6 and 12 to 18 years to achieve the mean
348 sustainable yield and conservation thresholds, respectively.

349

350 **Discussion**

351

352 Conservation planners and managers are faced with different approaches to prioritizing
353 marine conservation that can vary based on underlying philosophies and values of what is
354 important to protect, for what reasons, by whom, and how best to promote effective
355 human actions [35-37]. Typically, a common concern and the main use of systematic
356 conservation planning is the efficient use of limited resources and trade offs required to
357 protect representative threatened biodiversity [32,38]. Political boundaries are also a
358 concern, as conservation requires collective action and most operate at some political
359 level ranging from local coastal communities, such as fish landing sites, sub-national
360 divisions, nations, regional and global governance bodies [39,40]. Proposed planning

361 should stimulate human actions that have some measurable and predictable effect on
362 ecosystems and human livelihoods. Consequently, technical planning needs to contribute
363 to larger portfolio of decision-making activities, which should include factors not easily
364 modeled in spatial plans but also by approaching planning with a variety of assumptions
365 and associated scenarios.

366 Here, we present the spatial conservation prioritization outcomes of a variety of
367 potentially common management approaches. The spatial prioritization plans deriving
368 from these different philosophies can vary but overlap enough to form a basis for
369 comparison and compromises [36,38]. We emphasize the importance of developing a
370 portfolio of approaches where hidden values and associated cultural decisions are
371 included in the models. Because these values are hidden in model assumptions, they are
372 often a source of conflict when technical solutions are presented in subsequent
373 deliberative discussions [41,42]. Many resource conflicts and failures to implement
374 technical solutions occur when technical solutions have not fully appreciated access
375 issues, or psychological and cultural values that produce difficult-to-quantify trade offs
376 [43, Hicks & Cinner 2014, Hicks et al. 2015]. .

377 A common management approach is to preferentially protect areas having the highest
378 conservation potential at the minimum cost [44]. This is, for example, the approach being
379 used by nations and some conservation organizations that prioritize remote and intact
380 areas that can quickly reach conservation target areas, including the Chagos Archipelago
381 in the Indian Ocean [45]. Large and remote protected areas support significantly different
382 biotic communities from national closures, particularly the protection of apex predators
383 and scraping herbivores that are often uncommon in the more typical national closures

384 that are frequently developed for ecotourism purposes [9]. Standard prioritization has the
385 advantages of efficiency and affordability but there are also hidden transaction and
386 opportunity costs of negotiations, enforcement, and monitoring. Further, they can lack
387 redundancy and a political balance of costs and responsibilities that may be critical for
388 accommodating failures and political efforts to establish regional protected areas [46-48].

389 An example from this region is that the probability of closure failure is likely to be >35%
390 as indicated by the ratio of low compliance to total closures reported in recent WIO
391 regional surveys [6]. Failure probability will also vary in different social environments
392 and, while low economic development is often associated with high biomass reefs, the
393 capacity to protect it is likely to be limited without significant intervention [49,50].

394 Similarly, very remote areas may lack stakeholder communities willing to maintain the
395 costs of their protection. Designations can be motivated by the desire to achieve targets
396 and be unrealistic about the efficacy and social justice issues created when remote
397 protected areas with large expenditures are created [48]. Social injustice can be leveled at
398 these cases, as scarce resources might be better spent on stakeholders that benefit from
399 the establishment of protected areas.

400 Here, we see some specific regional issues likely to arise when the least costly
401 international collaboration is considered as a means to reach conservation targets. In this
402 case, some countries are exempt from responsibility or action either because they already
403 have reached the stated goals, such as the Seychelles, or the time to recovery are too long
404 to require efficient action, such as Mauritius, while a burden can be added to others with
405 limited resources, such as Tanzania. Here, other considerations are needed; for example,
406 Mauritius has the highest level of fish endemism in the region and so any consideration of

407 endemism would prioritize the Mascarene Islands [51,52]. Tanzania has a history of
408 conflict and low compliance with large national protected areas and any extra burden may
409 require involvement of alternative livelihoods [11,53]. These examples can typify
410 regional issues that are not easily solved or policed by a regional oversight body.
411 Consequently, while this approach is useful and may be a good way to insure some
412 wilderness areas are identified and protected, they may fail to reach the appropriate level
413 of effective governance, agreement, and social justice associated with collective
414 expenditures that are considerations required for spatial planning [44,54]. Similar
415 challenges have been encountered through the well studied coral triangle initiative,
416 potentially providing useful lessons for regions such as the western Indian Ocean
417 (Fidelman et al. 2012; Weeks et al. 2014).

418 The no-national coordination results are more realistic about the appropriate scale of
419 governance for some types of protected areas. They produce responsibility for each
420 nation and its stakeholders, but do so in a way that conservation goals can be rapidly
421 reached. While the recovery time required in this scenario is greater than the regional
422 goal, for Madagascar, the differences are small and never more than 1 year. Costs would
423 likely be offset by the time spent coordinating on a regional agreement and monitoring
424 system. For example, despite a few efforts to create cross boundary protected area over
425 the past decade, none of these efforts have produced concrete actions [22]. Further, there
426 is not a high level of economic and governance interaction within the region that often
427 precedes and is associated with regional conservation action [21,34]. Countries in this
428 region vary in the amount of area they already have and have proposed for protected
429 areas [55] and have variable local social support for protected areas [6].

430 The final biomass-depletion selection scenario focuses on restoring degraded ecosystems
431 that should improve fisheries and ecosystem resilience when restored. Models suggest
432 that fisheries closures are only effective at increasing fisheries yields when biomass is
433 reduced below MMSY levels [56-59]. Consequently, the biomass depletion approach fits
434 well with these objectives in selecting sites that have biomass below MMSY levels. Fish
435 biomass, diversity, and ecosystem services are often closely linked in coral reefs and
436 therefore this planning approach is expected to produce other social-ecological benefits
437 [5,33,60]. This approach does require the greatest recovery time, especially if the goal is
438 for closures to reach the conservation threshold. Further, small closures have limited
439 capacity to restore apex predators and other feeding functional and life histories groups
440 [6,9]. Nevertheless, there is evidence that governments and communities in the region are
441 embracing and expanding this management tool [20,50]. The success rate and full social-
442 ecological outcomes needs further investigation but preliminary evaluations are hopeful
443 in finding ecological changes and social acceptability for small closure sizes [61,62].

444 **Model limitations**

445 The spatial models have a number of limitations that need to be considered when
446 evaluating their usefulness. The data used to build the model are well replicated and
447 collected over large areas but there is variation in the fish biomass predictions by human
448 population, management, and recovery rates that limit the predictive ability. There are
449 likely to be differences at any specific sites that are not accounted for in the model,
450 including habitat and environmental conditions that will influence reef fish biomass and
451 recovery rates and also cultural factors, such as adoption, enforcement, and compliance.
452 Other studies suggest that there are, however, clear and predictable relationships between

453 distance to markets, management, and fish biomass [63,64]. Further, fish recovery rates
454 are often found to occur at a 15 to 25 year rate [16,65,66] but there are also reports of
455 slower and faster recovery [7,67]. Given that the model was calibrated with data collected
456 in this region, the chances for errors of extrapolation is limited. Nevertheless, many
457 ecological and social factors are not well understood let alone modeled accurately on the
458 regional scale of this study. Therefore, any application of the spatial model to specific
459 sites will have to consider these limits. Local variability requires applying the usual social
460 and ecological considerations during the planning and implementation process [35,68].

461 The cost used here is recovery time, which is proportional to lost fisheries production or
462 biomass not captured and consumed by people. Estimates of fisheries production are
463 variable but generally fall $\sim 4\text{-}6$ tons/km²/year but can reach more than 10 tons/km²/year
464 [49,69]. Consequently, a loss of one year of fishing can represent around 0.5 million tons
465 of reef fish for the region. This creates challenges to feeding or creating alternative food
466 sources in an already biomass dependent and depleted fishery [60,70]. Full closure to all
467 fishing is, however, an extreme case used to estimate costs and less severe restrictions,
468 such as gear management would allow partial recovery while still providing food and
469 income [71]. This would extend the recovery time but is expected to create less social
470 resistance.

471 Spatial prioritization tools are for decision support not decision making, which requires
472 human experience and the inclusion of more criterion than are typically modeled [44].
473 Our study demonstrates the use of understanding of baselines, carrying capacity and rates
474 of biomass recovery and associated ecological factors to identify and plan priority areas.
475 Yet, we also show how different assumptions and proposals can lead to very different

476 spatial priorities and foresee potential conflicts. From our analysis and the current state of
477 governance in the region, we suggest that a combination of the by-country prioritization
478 and the biomass depletion selection criteria is most likely to be adopted. These
479 approaches fit the regions need to protect intact ecosystems and biodiversity at the
480 national level but also sustain biomass and support the production of local fisheries
481 [60,70].

482 The methods that we used have potential to be applicable globally. Human coastal
483 population densities are estimated at 1.2×10^9 or nearly three times higher the global
484 average and 17% of them rely on fisheries as a primary source of nutrition [23]. Clearly,
485 the human population, market, and management factors shown here and elsewhere are
486 largely driving the depletion of biomass of reef ecosystems globally [7,63,72]. Yet, one
487 of the key outcomes of coral reef research in this region is that thresholds of fish biomass
488 are critical for maintaining the ecological state and services [5,6]. It is suggested that
489 maintaining ecological states above the sustainability thresholds will provide greater
490 potential to adapt to disturbances that will increase with global climate change. An
491 important step in providing this adaptation potential is to develop spatial plans and
492 priority-areas for conservation action that utilize these empirically derived thresholds.

493

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495

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505

506

507 **Supporting Information**

508

509 Figure S1. Classification of reefs by their management categories used for estimating
510 biomass

511

512 Figure S2. High resolution map of the western Indian Ocean for (a) modeled biomass
513 based on the empirical relationship established in figure 1, (b) the estimated time to
514 recover biomass to a mean estimated sustainability level (450 kg/ha). Recovery rates are
515 based on studies of biomass recovery in fully protected fisheries closures studied over a
516 20-year period (McClanahan et al. 2007).

517

518 Figure S3. High resolution map of the Western Indian Ocean for (a) the estimated time to
519 recover biomass to a mean estimated sustainability level (600 kg/ha), and (b) the
520 estimated time to recover biomass to the estimated conservation target of 1150 kg/ha.
521 Recovery rates are based on studies of biomass recovery in fully protected fisheries
522 closures studied over a 20-year period (McClanahan et al. 2007).

523

524 Figure S4. High resolution map derived from algorithm identifying and prioritizing the
525 most depleted fish biomass for small closures and adjacent spillover reefs until all reefs
526 with biomass <450 kg/ha are classified.

527

528 Figure S5. High resolution maps of Marzone maximum probability priority selections for
529 50% sustainability, 20% conservation, and 30% unmanaged where to time to recovery

530 was the cost and minimized if (a) countries collaborated to reach these goals, and (b)
531 there was no collaboration between countries.

532

533 **Author contributions**

534

535 Conceived the study: TRM, JMM, KRJ

536 Collected the data: TRM, NAJG

537 Analyzed data and created maps: KRJ, JMM

538 Wrote the paper: TRM, JMM, NAJG

539

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- 731

Table 1. Significance tables for parametric model terms (fixed effects) and smooth terms for the top biomass predictive model.

	Variable	Estimate	SE	t	Pr(> t)
a) AICc = 1358	Fixed terms				
R ² = 0.66	Intercept	5.5	0.2	33.6	<0.01
	Management: High compliance closure	1.0	0.2	6.7	<0.01
	Management: Low compliance and young closure	0.2	0.1	1	NS
	Management: Most destructive gear restricted	-0.4	0.2	-1.3	0.05
	Management: No gears restricted	-0.4	0.2	-2.3	0.05
	Management: Remote	1.9	0.4	7.2	<0.01
	Smoothed terms, k=5	Edf		F	P
	s(log of distance): Fishing-Fished	3.1		21.7	<0.01
	s(log of distance): Fishing-Unfished	1		0.25	NS
	Sea surface temperature - maximum	3.9		34.3	<0.01

Table 2. Modeled biomass (kg/ha) expressed as a percentage of the total reef area for each location or country and the entire western Indian Ocean region.

Biomass					
Country	<300 kg/ha	300-450 kg/ha	450-600 kg/ha	600-1150 kg/ha	>1150 kg/ha
Bassas da India	0.00	0.00	0.00	0.00	100.00
British Indian Ocean Territory	0.00	0.00	0.00	3.88	96.12
Comoro Islands	66.03	14.76	19.21	0.00	0.00
Glorioso Islands	72.64	0.00	0.00	0.00	27.36
Ile Europa	0.00	0.00	0.00	0.00	100.00
Ile Tromelin	0.00	0.00	0.00	0.00	100.00
Juan de Nova Island	0.00	0.00	0.00	0.00	100.00
Kenya	32.22	38.57	15.26	13.37	0.58
Madagascar	63.90	21.57	8.66	5.86	0.00
Maldives	0.47	16.22	15.84	42.82	24.66
Mauritius	48.90	0.00	0.00	41.05	10.05
Mayotte	1.81	50.66	42.78	3.53	1.21
Mozambique	80.73	17.07	0.18	1.78	0.23
Reunion	47.77	38.72	0.00	13.51	0.00
Seychelles	3.63	0.54	0.00	0.00	95.83
South Africa	0.00	0.00	0.00	100.00	0.00
Tanzania	44.67	52.93	2.36	0.04	0.00
Entire Region	27.22	14.77	6.13	13.28	38.59

Table 3. Mean (\pm SD) recovery time in years to the three proposed biomass thresholds for each country and the entire Western Indian Ocean region

Country	Sustainable Fishing	Maximum Diversity	Conservation
	450 kg/ha (SD)	600 kg/ha (SD)	1150 kg/ha (SD)
Bassas da India	0 (0)	0 (0)	0 (0)
British Indian Ocean Territory	0 (0)	0 (0)	0.02 (0.17)
Comoro Islands	3.13 (2.35)	5.72 (1.72)	14.21 (2.48)
Glorioso Islands	3.25 (2.29)	4.62 (3.26)	10.5 (7.4)
Ile Europa	0 (0)	0 (0)	0 (0)
Ile Tromelin	0 (0)	0 (0)	0 (0)
Juan de Nova Island	0 (0)	0 (0)	0 (0)
Kenya	3.11 (2.93)	5.28 (3.04)	15.1 (6.17)
Madagascar	3.43 (2.41)	5.6 (2.47)	14.4 (4)
Maldives	0.13 (0.54)	1.05 (1.77)	6 (4.57)
Mauritius	3.34 (2.96)	4.42 (3.91)	12.36 (6.85)
Mayotte	0.61 (1.06)	3.56 (1.49)	11.05 (2.39)
Mozambique	4.56 (1.51)	6.57 (1.71)	15.3 (3.46)
Reunion	3.59 (2.24)	5.68 (2.59)	14.76 (4.93)
Seychelles	0.71 (1.65)	1.07 (2.43)	2.47 (5.6)
South Africa	0 (0)	0 (0)	6.9 (0.53)
Tanzania	3.65 (1.95)	6.14 (1.41)	14.83 (2.79)
Entire Region	1.74 (1.29)	2.92 (1.52)	8.11 (3.02)

Table 4. Amount of reef (as a percentage of the total in each country) selected in each management type using the biomass depletion prioritization scenario.

Country	Core closure (%)	Spillover (%)	Biomass >450
Bassas da India	0.00	0.00	100.00
British Indian Ocean Territory	0.00	0.00	100.00
Comoro Islands	37.53	43.45	19.01
Glorioso Islands	29.46	43.18	27.36
Ile Europa	0.00	0.00	100.00
Ile Tromelin	0.00	0.00	100.00
Juan de Nova Island	0.00	0.00	100.00
Kenya	30.72	47.48	21.80
Madagascar	37.43	49.36	13.20
Maldives	7.65	9.86	82.49
Mauritius	20.88	28.02	51.10
Mayotte	23.77	35.47	40.77
Mozambique	41.74	56.07	2.18
Reunion	40.09	59.91	0.00
Seychelles	1.65	2.52	95.83
South Africa	0.00	0.00	100.00
Tanzania	42.78	55.57	1.64
Regional Average	24.49	32.63	42.88

Table 5. Mean (\pm SD) time to recovery (in years) for sustainable yields (450 kg/ha), maximum diversity (600 kg/ha), and conservation (1150 kg/ha) thresholds for core closure priority areas. Marxan was used to select reefs for the regional collaboration, and no-collaboration scenarios, while the degradation prioritisation is described in the methods). NS indicates countries where Marxan/Degradation prioritisation did not select any conservation areas.

Country	Marxan - Regional Collaboration			Marxan - No Collaboration			Degradation Prioritization		
	450 kg/ha (SD)	600 kg/ha (SD)	1150 kg/ha (SD)	450 kg/ha (SD)	600 kg/ha (SD)	1150 kg/ha (SD)	450 kg/ha (SD)	600 kg/ha (SD)	1150 kg/ha (SD)
Bassas da India	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	NS	NS	NS
British Indian Ocean Territory	0 (0)	0 (0)	0.12 (0.54)	0 (0)	0 (0)	0.04 (0.29)	NS	NS	NS
Comoro Islands	NS	NS	NS	4.78 (0.7)	6.86 (0.49)	15.66 (0.84)	4.27 (1.08)	6.49 (0.8)	15.04 (1.47)
Glorioso Islands	0.53 (1.51)	0.78 (2.2)	1.78 (5.01)	1.29 (2.03)	1.94 (3.03)	4.44 (6.95)	4.47 (0.28)	6.58 (0.24)	15.04 (0.54)
Ile Europa	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	NS	NS	NS
Ile Tromelin	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	NS	NS	NS
Juan de Nova Island	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	0 (0)	NS	NS	NS
Kenya	0.38 (0.91)	1.55 (2.42)	5.02 (6.02)	0.65 (0.96)	3.46 (1.77)	11.2 (2.08)	4.04 (2.7)	6.54 (2.15)	16.99 (5.51)
Madagascar	2.98 (2.62)	5.21 (2.66)	13.61 (4.23)	4.27 (1.83)	6.4 (1.8)	15.42 (3.5)	4.56 (1.48)	6.72 (1.29)	15.82 (2.79)
Maldives	0 (0)	0 (0)	0.11 (0.81)	0.06 (0.4)	0.47 (1.22)	5.11 (4.19)	1.04 (1.32)	3.66 (1.61)	11.59 (1.32)
Mauritius	1.02 (2.35)	1.34 (3.08)	3.12 (7.18)	4.22 (2.78)	5.56 (3.65)	12.94 (8.51)	6.07 (0.39)	7.98 (0.35)	18.66 (1.06)
Mayotte	0 (0)	0 (0)	0 (0)	0.62 (0.95)	4.23 (0.63)	11.83 (0.62)	2.07 (0.82)	5.03 (0.48)	12.69 (0.66)
Mozambique	2.86 (2.25)	4.25 (3.26)	9.76 (7.49)	4.84 (1.36)	6.88 (1.39)	16.03 (2.75)	5.12 (1.1)	7.16 (0.95)	16.62 (2.13)
Reunion	NS	NS	NS	0.29 (0.66)	2.25 (2.22)	8.73 (5.02)	3.39 (2.68)	5.8 (2.57)	15.26 (4.58)

Seychelles	0 (0)	0 (0)	0 (0)	0.26 (1.11)	0.37 (1.57)	0.85 (3.59)	4.55 (0.91)	6.69 (0.69)	15.39 (1.31)
South Africa	NS	NS	NS	0 (0)	0 (0)	5.12 (0)	NS	NS	NS
Tanzania	1.51 (1.09)	4.68 (0.72)	12.32 (0.79)	3.03 (1.79)	5.67 (1.24)	13.86 (2.06)	3.45 (1.96)	5.95 (1.46)	14.46 (2.48)
Regional average	0.66 (0.77)	1.27 (0.8)	3.27 (2.14)	1.43 (0.91)	2.59 (1.01)	7.13 (2.53)	3.91 (1.34)	6.24 (1.14)	15.23 (2.17)

Figure legends

Figure 1. Scatterplots showing the empirical relationships between fish biomass, fisheries management categories, and proxies for the impacts of fishing (i.e. population and distance to markets). These relationships are based on 214 2.5 x 2.5 km cells where fish biomass data were collected and used to develop a regional biomass model for the total of 11678 2.5 x 2.5 km cells in the region with coral reefs (see figure 3). ADGR = all destructive gear restricted, HCC = high compliance closure, LCYC = low compliance and young closure, MDGR = most destructive gear restricted, NGR = no gear restricted, R = remote.

Figure 2. Scatterplot and estimates and best-fit equations for three likely models for the relationship between the age of the high compliance closures and the fish biomass in sampled western Indian Ocean coral reefs.

Figure 3. Map of the western Indian Ocean for (a) modeled biomass based on the empirical relationship established in figure 1, and (b) the estimated time to recover biomass to a mean estimated sustainability level (450 kg/ha).

Figure 4. Map of (a) the estimated time to recover biomass to a mean estimated sustainability level (600 kg/ha), and (b) the estimated conservation target of 1150 kg/ha

in fully protected fisheries closures studied over a 20-year period (McClanahan et al. 2007).

Figure 5. Map derived from algorithm identifying and prioritizing the most depleted fish biomass for small closures and adjacent spillover reefs until all reefs with biomass <450 kg/ha are classified.

Figure 6. Western Indian Ocean maps of Marzone maximum probability priority selections for 50% sustainability, 20% conservation, and 30% unmanaged where to time to recovery was the cost and minimized if (a) countries collaborated to reach these goals, and (b) there was no collaboration between countries.