1 Guiding coral reef futures in the Anthropocene

3	
4	Norström A.V. ¹ , Nyström M. ¹ , Jouffray J-B. ^{1,2} , Folke C. ^{1,2,3} , Graham N. A. J. ^{4,5} ,
5	Moberg F^1 , Olsson P^1 ., Williams G. J. ^{6,7}
6	
7	
8	¹⁾ Stockholm Resilience Centre, Stockholm University, Sweden
9	²⁾ Global Economic Dynamics and the Biosphere Family Erling-Persson Academy
10	Programme, Royal Swedish Academy of Sciences, Sweden
11	³⁾ The Beijer Institute, Royal Swedish Academy of Sciences, Sweden
12	⁴⁾ Lancaster Environment Centre, Lancaster University, Lancaster, LA2 9QY, UK
13	⁵⁾ ARC Centre of Excellence for Coral Reef Studies, James Cook University,
14	Australia
15	⁶⁾ Center for Marine Biodiversity & Conservation, Scripps Institution of
10	Occurrence La Lalla CA LISA

- 16 Oceanography, La Jolla, CA, USA
- ⁷⁾ School of Ocean Sciences, Bangor University, Anglesey, LL59 5AB, UK

21 Abstract

22

23 Human changes to the Earth now rival the great forces of nature, and have shepherded 24 us into a new planetary era – the Anthropocene. Changes include profound, and often 25 surprising, alterations to coral reef ecosystems and the services they provide human 26 societies. Ensuring their future in the Anthropocene will require that key drivers of 27 coral reef change – fishing, water quality and anthropogenic climate change – stay 28 within acceptable levels, or "safe operating spaces". The capacity to remain within 29 these safe operating spaces hinges on understanding the local, but also the 30 increasingly global and cross-scale, socio-economic causes of these human drivers of 31 change. Consequently, even successful local and regional management efforts will 32 fail if current decision making and institution-building around coral reef systems 33 remains fragmented, poorly coordinated, and unable to keep pace with the escalating 34 speed of technological and ecological change in the Anthropocene. 35 36 In a nutshell 37 38 Natural processes that used to shape coral reefs are increasingly being • 39 overwhelmed by human impacts. 40 41 • Ensuring sustainable coral reef futures in this context will require staying 42 within acceptable levels or "safe operating spaces" of human stressors like 43 fishing, coastal pollution and global warming. 44 45 Defining those safe operating spaces can guide coral reef decision making and • 46 institution-building to keep pace with the escalating speed of distal drivers of change, such as trade, human migration and land grabbing. 47 48 49 This questions current reef ecology paradigms and calls for novel • 50 governance approaches to interlinked social, economic and ecological 51 challenges.

- 52 Coral reefs in the Anthropocene
- 53

54 There is growing scientific recognition that we live in the Anthropocene, an era where

55 humans have become a dominant force of planetary change (Steffen et al. 2011).

Changes include profound alterations of the Earth's marine and terrestrial ecosystems 56

57 and the services they provide to globally interconnected societies and economies

58 (Carpenter et al. 2009). Human migration, international trade, transnational land

59 acquisitions, spread of invasive species and technology diffusion occur at

60 unprecedented scales, underpinned by a global infrastructure that facilitates

61 movement of people, goods, services, diseases and information (Reid et al. 2010).

62 Actions taken in seemingly independent places increasingly affect the interlinked 63 global social-ecological system in unexpected ways, with surprising mixes of

64 immediate consequences as well as cascading and distant effects (Liu et al. 2013).

65

66 Coral reefs are informative examples of the key social-ecological challenges and 67 interactions playing out in the Anthropocene. They are economic and social assets 68 that have exhibited stability on centennial to millennial scales, but have experienced 69 an unprecedented decline over the last 50 years (Hughes et al. 2010). Changes to reefs 70 in the Anthropocene are multifaceted and complex. Impacts of overfishing and coastal 71 pollution, which can be managed successfully at local scales, are increasingly 72 compounded by the more recent, superimposed impacts of global warming and ocean 73 acidification. These anthropogenic drivers of change are mediated by underlying traits 74 in the social sphere such as economic systems, demography, cultural dimensions and 75 societal norms. Many coral reefs have already shown signs of transgressing thresholds 76 and have undergone regime shifts to alternate degraded states (Norström et al. 2009). 77 In many cases this is resulting in a reduction of ecosystem services, such as tourism 78 and fisheries that provide income and food security (Moberg and Folke 1999). On the 79 other end of the spectrum, a few reefs are maintained in a semi-pristine state due to 80 their remoteness from direct human impact (Graham and McClanahan 2013). An 81 increasingly common scenario, however, is that reefs change to novel coral-82 dominated ecosystems while still maintaining key functions and ecosystem services at 83 relatively desirable levels (Graham et al. 2014).

84

85 The interlinked social, economic and ecological challenges of the Anthropocene call for broader transdisciplinary coral reef science that is complemented by 86 87 management and governance strategies that facilitate the stewardship of coral reefs. Ecosystem stewardship has emerged as a powerful sustainability framework with a 88 89 central goal to sustain ecosystem capacity to provide services that support human 90 well-being under conditions of uncertainty and change (Chapin et al. 2010). Here we 91 draw on several areas of emerging transdisciplinary social-ecological research to 92 highlight three broad challenges that need to be addressed in the efforts towards 93 sustainable stewardship of coral reefs. We start by describing safe operating spaces 94 for the key drivers of change that must not be transgressed for coral reefs to continue

- 95 to develop and exist. We then explore some of the critical cross-scale social-
- 96 ecological interactions that will increasingly challenge the capacity to remain within
- 97 these safe operating spaces, and propose ways to study these social-ecological
- 98 interconnections. Finally, we outline the governance and institutional f that need to be
- 99 in place for navigating coral reefs towards a sustainable future.
- 100
- 101

102 Safe operating spaces for global coral reef change

103

104 Avoiding thresholds that trigger regime shifts is becoming a focal point of resilience-105 based management of coral reefs. However, despite recent advances in predicting 106 thresholds (Mumby et al. 2007; Graham et al. 2015) their global generalizability is 107 confounded by a strong dependence on the historical, geographic and environmental 108 context of the system. Furthermore, the ecosystem consequences of crossing 109 thresholds may lag by decades (or even centuries) and may not be obvious over 110 human time scales (Hughes et al 2013). In the face of this uncertainty a complementary approach has been to establish safe operating spaces for ecosystems 111 112 (Scheffer *et al.* 2015). This concept is distinct from identifying specific thresholds. 113 Safe operating spaces are set to maintain safe levels of human drivers to avoid the 114 long-term degradation of ecosystems, and societies that depend on them. The concept neither assumes, nor rules out, the existence of thresholds and is applicable in 115 116 situations with different types of system responses to increased levels of different 117 drivers (Hughes et al 2013, Figure 1). We set safe operating spaces and zones of 118 uncertainty for three key drivers threatening coral reef globally; *i*) fishing *ii*) water 119 quality, and iii) anthropogenic climate change (i.e. sea surface temperature, aragonite 120 saturation levels, ocean acidification). The safe operating space (green zones in Figure 2) indicates the values of the drivers set at a "safe" distance from potentially 121 122 dangerous levels or threshold points (where they exist). Defining the safe operating 123 spaces is challenging and involves uncertainty due to interactions among drivers 124 (WebPanel 1), variable responses within and among taxa, geographic variation, data 125 limitation and the scope for acclimation or adaptation of reef-organisms to change 126 (Mumby and Van Woesik 2014; Barkley et al. 2015). Consequently, a zone of 127 uncertainty is associated with each of the drivers (yellow zones in Figure 2). Moving 128 towards the "high risk" (red) zones represents an increasing probability of crossing a 129 critical threshold or accelerating toward a deleterious state (Steffen et al. 2015). The 130 values we provide should be regarded as guidelines that will become more accurate 131 with increasing studies and knowledge.

- 132
- 133 Fishing
- 134

135 Historical overfishing precedes all other pervasive human drivers of change on coral

- reefs (Jackson *et al.* 2001). As predatory and herbivorous fish are removed from reef
- ecosystems, the risk of crossing thresholds and undergoing regime shifts to
- 138 undesirable reef configurations increases. In order to set a safe operating range for
- 139 fishing, we draw on recent regional (McClanahan et al. 2011, 2015; Karr et al. 2015)
- and global (MacNeil et al. 2015) assessments of the threshold and non-linear

- 141 dynamics associated with fishable biomass an easily measured proxy of fishing
- 142 pressure on reefs. Threshold points in the trend or variance associated with a range
- 143 of ecosystem processes (e.g. herbivory, predation), state variables (e.g. the ratio of
- 144 coral to macroalgae cover), fish community life history traits and functional
- groupings were associated with fishable biomass levels between 25-50% of unfished
- biomass (calculated from recovery trajectories in marine reserves, and unfished
 reference sites in each region). The results of these studies suggest that maintaining
- reefs in a desirable regime (i.e. low macroalgal cover, high coral cover, high fish
- 149 diversity) requires fishable biomass to be kept above 500 kg ha⁻¹, with a zone of
- 150 uncertainty between 500-250 kg ha⁻¹ (Figure 1).
- 151

152 Water quality

153

154 In many parts of the world, water quality (e.g. nutrient loads, pollutants, sediments) in 155 coastal areas is changing in response to rapid urbanization, increasing fertilizer use 156 and land use change. Poor water quality can disrupt coral reproduction and 157 recruitment, smother adult corals and favor algal proliferation (Fabricius 2005). A 158 representative proxy for overall water quality status, which is highly correlated to 159 nutrient status and phytoplankton biomass, is chlorophyll concentration (De'ath and 160 Fabricius 2010). Although high natural variability in chlorophyll levels occur in some 161 areas (e.g. atolls) (Gove et al. 2016), and can have positive effects on reef 162 productivity (Williams et al. 2015), a large-scale assessment of the relationship between chlorophyll and reef condition across the whole of the Great Barrier Reef in 163 Australia, found critical levels of 0.45 μ g L⁻¹ chlorophyll beyond which macroalgal 164 cover increased and hard coral richness declined (De'ath and Fabricius 2010). Earlier, 165 smaller-scale, studies from Barbados and Hawaii also showed measurable negative 166 changes at chlorophyll annual means above 0.5 μ g L⁻¹ (Bell 1992). We therefore 167 suggest a safe-operating space value of chlorophyll concentration below 0.45 μ g L⁻¹, 168 and a zone of uncertainty between 0.45-0.55 μ g L⁻¹, for continental and archipelago 169 170 reef systems (Figure 1).

171

172 Anthropogenic climate change

173

174 Human-induced increases in atmospheric CO_2 concentrations ($[CO_2]_{atm}$) have driven

- 175 rapid rises in sea surface temperatures (SST) and ongoing ocean acidification (OA).
- 176 The vulnerability of reef-building corals to the unprecedented rates of change in SST

177 has been well documented; when temperatures exceed summer maxima by $1^{\circ}-2^{\circ}C$ for

- 178 3-4 weeks coral bleaching and mortality occurs. It is the increased intensity and
- 179 frequency of episodes of ocean warming and associated mass bleaching events (i.e.
- 180 the significant bleaching of multiple coral species at a regional scale) that is
- 181 compromising the long-term integrity of coral reefs. If mass bleaching events become
- 182 annual or biennial events corals may experience chronic decline as a result of reduced
- 183 growth, calcification, fecundity and greater incidences of disease (Hoegh-Guldberg et

184 al. 2007). Models suggest that avoiding chronic mass bleaching events (i.e. annual or 185 biennial) for the majority of the world's coral reefs requires keeping [CO2]_{atm} levels below 480 ppm (Donner et al. 2005; Hoegh-Guldberg et al. 2007), or even below 450 186 ppm (van Hooidonk et al. 2013). However, substantially lower levels of [CO₂]_{atm} have 187 been suggested based on conservative backcasting exercises that associate the advent 188 189 of highly destructive mass bleaching (e.g. the 1997/1998 mass bleaching event which 190 killed approximately 16% of coral communities globally), with [CO₂]_{atm} values of 340 191 ppm (Veron et al. 2009). We therefore suggest that the safe operating space to avoid 192 chronic mass bleaching ends at 340 ppm, with the zone of uncertainty ranging 193 between 340-480 ppm (Figure 1). With a current global value of 400 ppm it means 194 that reefs have already entered the zone of uncertainty.

195

196 Absorption of CO₂ by the ocean is reducing water pH and the saturation levels of 197 aragonite (Ω_{arag}), the principle crystalline form of calcium carbonate deposited in 198 coral skeletons. Coral reefs are commonly found in regions with Ω_{arag} values greater 199 than 3.3, and this observation underlies projections of global coral reef decline as 200 $[CO_2]_{atm}$ approaches 480 ppm and Ω_{arag} drops below 3.3 (Hoegh-Guldberg 2010). More recent models, parameterized by field observations of coral community 201 202 calcification as a response to Ω_{arag} , SST and live coral cover values, predict that by the 203 time [CO₂]_{atm} will reach 560 ppm almost all coral reefs will cease to grow and start to 204 dissolve (Silverman et al. 2009). However, internal pH up-regulation at the point of 205 calcification has been shown to reduce the vulnerability of corals to ocean 206 acidification, and varies among species (McCulloch et al. 2012). Evidence for 207 changing calcification rates on contemporary reefs is therefore inconclusive (Cooper 208 et al. 2012). Studies from naturally low-pH coral communities suggest that adaptation 209 to low pH can occur over long time scales (Barkley et al. 2015), but that many 210 ecological properties might be irreversibly damaged as pH drops below 7.8 at [CO2]atm 750 ppm (Fabricius et al. 2011). Consequently, we set a safe upper 211 212 boundary associated with ocean acidification at 480ppm, and a broad zone of 213 uncertainty between 480-750 ppm (Figure 1).

- 214
- 215

216 **Coral reef social-ecological dynamics in the Anthropocene**

217

218 The capacity to keep human drivers of change within safe operating spaces is 219 challenged by a broad range of socio-economic interactions and feedbacks between 220 reef systems and the human societies that depend on their goods and services (Panel 221 1). However, social-ecological dynamics in the Anthropocene are seldom just local or 222 place-specific but rather influenced by multiple global drivers with complex 223 connections to other places that are now more prevalent, and occur more quickly, than 224 ever before (Liu et al. 2013). We highlight three transboundary interactions - trade, 225 human migration and foreign investments in land and large-scale land acquisitions

(land grabbing) - that will increasingly define coral reef social-ecological dynamics(Figure 3).

228

229 Regional and global analyses suggest that access to external markets can affect 230 coral reef fish resources (Cinner et al. 2013). Aside from local consumptive markets, 231 the global aquarium trade targets over 1800 species of reef fishes and removes up to 232 30 million fish per year (Rhyne *et al.* 2012), while the live reef fish trade (LRFT) 233 involves the exploitation of coral reef fishes from across the Indo-Pacific to satiate 234 consumer demand in luxury seafood restaurants (Johnston and Yeeting 2006). 235 Similarly, many invertebrate reef fisheries are extensively embedded in global trade 236 networks composed by actors operating at different levels, including local fishers, 237 middlemen and consumers in areas far from the reefs themselves. A consequence of 238 this increased market connectivity and nestedness is that many local invertebrate and 239 reef fish stocks are sequentially depleted as a result of the rapid emergence of 240 specialized export markets and quick spatial shifts in exploitation (Scales et al. 2007; 241 Eriksson et al. 2015).

242

243 Human migration, in particular to coastal regions, is currently at unprecedented 244 levels (Ozden et al. 2011) and forecast to increase as a response to the social-245 ecological changes associated with the Anthropocene. Consequently, local social-246 ecological dynamics will increasingly be sculpted by the complex flows of people 247 across and within administrative boundaries. Fishers associated with coral reefs are 248 already highly mobile in many regions and known to move to areas where the fish are 249 more easily caught (Pollnac et al. 2010). Coastal areas are often the targets for 250 internal migration in many countries, particularly as urban centers and industries 251 promising employment are commonly located at the coast. While mobility can be a 252 key strategy for coastal communities to cope with global change, it can also 253 exacerbate reef resource degradation through the concentration of fishing effort, 254 introduction of new technology and fishing gear, and the deterioration of traditional 255 rules and practices (Cassels et al. 2005).

256

257 A third important cluster of drivers are foreign investments in land and large-258 scale land acquisitions – commonly referred to as land grabbing - that are increasingly 259 driving land use change (Meyfroidt et al. 2013). Land use change is a substantial 260 threat to coral reefs, by directly affecting sediment, pollution and fresh water 261 discharge into coastal zones. Past examples show how large-scale land clearing driven 262 by intensive banana production, and exasperated by tourism development, has 263 depleted coral communities in certain Caribbean reefs (Cramer et al. 2012). More 264 recent modeling efforts are suggesting that human deforestation, primarily driven by 265 demand for agricultural land, mineral exploration and mining, will outweigh climate 266 change as the principal contributor to increased sedimentation of near-shore marine 267 environments in Madagascar (Maina et al. 2013). Similarly, the run-off from export 268 agriculture such as squash in Tonga and oil palm in Papua New Guinea is emerging as 269 a key driver of change in Pacific Island reefs (Hunt 2003).

270 271 Capturing and studying the growing importance of these complex social-272 ecological interconnections on coral reef systems is a key research challenge. 273 Research on land systems change has made progress, from which coral reef social-274 ecological systems research could learn. For example, cross-country statistical 275 analyses have shown that recent tropical deforestation is associated with international 276 trade of agricultural products and remote urban demand, rather than with rural 277 population growth (DeFries et al. 2010). This resonates with coral reef systems, 278 where access to markets (e.g. for exports or satisfying urban demand) is often a better 279 predictor of overall reef fish biomass than other local socio-economic and natural 280 drivers (Cinner et al. 2013). Land systems change research has also explored "displacement" and "cascade effects" - the unintended negative consequences of 281 282 forest recovery beyond the borders of reforesting countries. For example, recent forest 283 transitions and forest protection policies in both developed and developing countries 284 have outsourced forest exploitation abroad via increased imports of wood and 285 agricultural products (Mevfroidt et al. 2013). Such approaches merge detailed 286 economic (forest product prices, imports and exports of wood products) and 287 environmental (land cover change) data. Similar analyses could be used to investigate 288 whether the positive relationship between socio-economic development and reef 289 condition in some parts of the world is due to displacement of domestic environment 290 impacts through trade, or because of other, local factors such as low dependence on 291 fishing and reduced use of potentially damaging gear (Cinner et al. 2009a). Similarly, 292 while Marine Protected Areas (MPAs) can displace fishing effort at a local scale, the 293 potential leakage of fishing effort across regions and national borders is a key 294 research gap - especially in light of current trends of establishing large mega-reserves 295 in many regions (Graham and McClanahan 2013). More recently the framework of 296 telecoupling is allowing for increasingly integrated analyses of the central flows 297 (material, people, energy and information) between social-ecological systems and 298 their causes and effects (Liu et al. 2013). The approaches to analyze cross-scale 299 linkages in coral reef social-ecological systems will be determined by the specific 300 context, research question and data available. Learning from other disciplines and 301 adapting existing methods and frameworks will speed these advances.

302

303 Stewardship of coral reefs: governance at multiple scales

304

305 Conventional approaches to deal with the decline of coral reefs, such as MPAs can 306 offer local socioeconomic and ecological benefits but are usually narrow in scope, 307 small-scale and often suffer from weak compliance and enforcement (Pollnac et al. 308 2010). Coral reef management is slowly shifting towards more systemic management strategies that are collaborative (involving both state and non-state actors) and 309 310 adaptive, focus on ecosystem processes underpinning resilience and target social-311 ecological interactions across the wider seascape (Panel 1). Advancing social-312 ecological and adaptive comanagement approaches requires acknowledging the

313 broader social, governance and institutional (norms and rules) contexts that enable 314 their successful implementation. For example, while monitoring and experimentation 315 are central tenets of adaptively managing coral reefs, they have typically been carried out by specialists. Involving local resource users in the monitoring process enhances 316 317 incentives to learn about local ecosystem dynamics and facilitates collective action in 318 line with the management objectives (Christie et al. 2009; Montambault et al. 2015). 319 Initial support by local communities and government bodies is crucial (Olsson et al. 2004), and hinges on the management plans building on existing rules and institutions. 320 321 such as traditional tenure and community committees. Research on social-ecological 322 transformations has also highlighted the role of key individuals that foster trust and 323 build partnerships between stakeholders (e.g., community groups, religious leaders, 324 government authorities, NGOs and researchers) and facilitate the participatory and 325 inclusive process that sets and adapts the management strategies to local contexts 326 (Schultz et al. 2015).

327

328 However, local management efforts alone will not be able to keep pace with the 329 escalating speed of technological and ecological change in the Anthropocene. An 330 international binding treaty to alleviate coral reef degradation has not materialized, 331 despite a number of favorable factors, such as the presence of supporting business 332 interests, public appeal and the relatively small number of nations involved (Dimitrov 333 2002). However, the socio-economic and environmental issues facing marine 334 ecosystems are finally receiving a focus equal to their terrestrial counterparts. For 335 example, Goal 14 of the newly adopted United Nations Sustainable Development 336 Goals encompasses ten targets for sustainable development in the oceans, while one 337 of Convention of Biological Diversity's Aichi Targets explicitly calls to minimize anthropogenic pressures on coral reefs and maintain their integrity and functioning. 338 339 This momentum could provide a window of opportunity for organizations such as the International Coral Reef Initiative (ICRI) and the International Society for Reef 340 341 Studies (ISRS) to more ambitiously engage with high-level policy processes across different sectors, such as climate change and trade, and bring issues of coral reef 342 343 sustainability on the negotiating tables. Crucially, it will require strategic 344 collaborations with emerging regional management initiatives such as the Micronesia 345 Challenge, the Caribbean Challenge Initiative, Western Indian Ocean Coastal 346 Challenge and Coral Triangle Initiative. These serve as practical operating platforms 347 convening political leaders, non-governmental organizations, coastal communities 348 and scientists to sustainably manage marine and coastal resources (Rosen and Olsson 349 2013; Johnson et al. 2014). This type of multi-level governance systems involving 350 state and non-state actors have emerged in response to other complex transnational and regional collective action problems such as ocean acidification (Galaz et al. 2012) 351 352 and fisheries overexploitation (Österblom and Sumaila 2011) when enforceable global 353 agreements are missing or have failed. Importantly, it has been shown that they foster 354 learning between several types of individuals and organizations, nurture trust and can 355 facilitate collective action toward common goals.

356

357 Conclusions

358

359 Ensuring sustainable coral reef futures in the Anthropocene will require human 360 drivers of change to stay within safe levels, far from dangerous thresholds. Local and 361 regional actions can enhance resilience and limit the longer-term damage from 362 climate-related effects by keeping fishing and water quality targets within their safe 363 operating spaces. It is critical that such management targets are applied within a broader adaptive management context, which allows for learning and experimentation, 364 365 and tolerates variability within the safe operating spaces. Management strategies that 366 reduce the short-term variance near the boundary levels run the risk of narrowing the 367 safe operating space, with potentially catastrophic consequences (Carpenter et al. 368 2015). Understanding the social dynamics underlying these drivers of change becomes crucial. New research is required to better understand how social-ecological 369 370 dynamics are affected by interactions between regions, and across large distances. 371 These insights call for developing governance systems that foster international and 372 cross-sectorial cooperation to address the sustainability challenges of an increasingly 373 interconnected world. We reinforce the urgency for coral reef science to deeply 374 engage with emerging regional management initiatives (such as the Micronesia 375 Challenge and Coral Triangle Initiative) and the international policy arena (such as 376 the United Nations Framework Convention on Climate Change) to work for sharp reductions of greenhouse gas emissions and the implementation of the Sustainable 377 378 Development Goals. In 2016, the 13th international coral reef symposium (ICRS) will 379 bring together an anticipated 2,500 coral reef scientists, policy makers and managers 380 from 70 different nations under the theme of "Bridging Science to Policy". It is time 381 for this broad community to collectively step up to the plate and help steer reefs 382 toward a more sustainable future.

383

384

385 **Panel 1. Social-ecological research on coral reefs**

386

387 Coral reef social-ecological systems (SES) research has grown exponentially over the 388 past 25 years (Figure 2), with a strong emphasis at the local or regional scale. One sub-set of coral SES research has focused on ecosystem services and human 389 390 wellbeing in tropical coastal communities that exhibit livelihood strategies that are 391 strongly tied to coral reefs. Ecosystem services associated with coral reefs extend 392 beyond food production and encompass a broad bundle of provisioning, regulating 393 and cultural services that varies across regions and contexts (Moberg and Folke 1999). 394 Novel insights are uncovering how different social, institutional and knowledge 395 mechanisms determine access to these different ecosystem services, and how 396 preferences for ecosystem services are linked to inherent psychological values held by

- 397 different kinds of people (Hicks and Cinner 2014; Hicks et al. 2015). Another sub-set 398 of this research has highlighted how the combination of weak or missing institutions, 399 a lack of individual and institutional leadership, few alternative livelihoods and inadequate financial capacity can trap a coral reef SES in undesirable and 400 401 unsustainable pathways (Cinner 2011; Sale et al. 2014). Finally, a third broad 402 category of research is using different diagnostic SES frameworks to understand how 403 the ecological performance of fisheries and marine reserves is related to different socioeconomic variables of associated coastal communities (Pollnac et al. 2010). 404 405 406 This body of research is also beginning to underlie novel approaches to 407 management that specifically include the local human communities dependent on 408 coral reefs. For example, different fisheries management tools (such as gear-based management and size-selectivity) can help to maintain key ecosystem functions and 409 410 significant yields of provisioning and other services (Johnson 2010). The emergence 411 of property rights systems for coral reef fisheries, such as Kenya's recent Beach Management Unit legislation, allows local communities to deal with transgressions 412 413 committed by outside poachers or globalized "roving-bandit" type exploitation (Cinner et al. 2009b). Combining local knowledge with contemporary science is 414 415 developing 'hybrid' co-management systems that are having tangible conservation 416 benefits (Aswani et al. 2012). Finally, there are increased calls for adaptive
- 417 management efforts that emphasize collaborative "management experiments" and the
- importance of learning from these experiments. For example, viewing the
 implementation of MPAs as a hypothesis driven process that is monitored would
 enable managers to learn what works and better anticipate the uncertain futures of
 coral reefs.
- 422

423

424 **References**

425

- Aswani S, Christie P, Muthiga NA, *et al.* 2012. The way forward with ecosystembased management in tropical contexts: reconciling with existing management
 systems. *Mar Policy* 36: 1–10.
- Barkley HC, Cohen AL, Golbuu Y, *et al.* 2015. Changes in coral reef communities
 across a natural gradient in seawater pH. *Sci Adv* 1: e1500328.
- Bell P. 1992. Eutrophication and coral reefs: examples in the Great Barrier Reef
 lagoon. *Water Res* 26: 553–68.
- 433 Carpenter SR, Mooney HA, Agard J, *et al.* 2009. Science for managing ecosystem
 434 services: Beyond the Millennium Ecosystem Assessment. *Proc Natl Acad Sci*435 106: 1305-12.
- 436 Cassels S, Curran SR, and Kramer R. 2005. Do migrants degrade coastal

437 438	environments? Migration, natural resource extraction and poverty in North Sulawesi, Indonesia. <i>Hum Ecol</i> 33 : 329–63.
439 440 441	 Chapin FS, Carpenter SR, Kofinas GP, <i>et al.</i> 2010. Ecosystem stewardship: sustainability strategies for a rapidly changing planet. <i>Trends Ecol Evol</i> 25: 241–9.
442 443	Christie P, Pollnac RB, Fluharty DL, <i>et al.</i> 2009. Tropical Marine EBM Feasibility: A Synthesis of Case Studies and Comparative Analyses. <i>Coast Manag</i> 37 : 374–85.
444 445	Cinner JE. 2011. Social-ecological traps in reef fisheries. <i>Glob Environ Chang</i> 21 : 835–9.
446 447 448	Cinner JE, Graham NAJ, Huchery C, and MacNeil MA. 2013. Global effects of local human population density and distance to markets on the condition of coral reef fisheries. <i>Conserv Biol</i> 27: 453–8.
449 450	Cinner JE, McClanahan TR, Daw TM, et al. 2009a. Linking social and ecological systems to sustain coral reef fisheries. Curr Biol 19 : 206–12.
451 452 453	Cinner JE, Wamukota A, Randriamahazo H, and Rabearisoa A. 2009b. Toward institutions for community-based management of inshore marine resources in the Western Indian Ocean. <i>Mar Policy</i> 33 : 489–96.
454 455	Cooper TF, O'Leary RA, and Lough JM. 2012. Growth of Western Australian corals in the anthropocene. <i>Science</i> 335 : 593–6.
456 457 458	Cramer KL, Jackson JBC, Angioletti C V, <i>et al.</i> 2012. Anthropogenic mortality on coral reefs in Caribbean Panama predates coral disease and bleaching. <i>Ecol Lett</i> 15: 561–7.
459 460	De'ath G and Fabricius K. 2010. Water quality as a regional driver of coral biodiversity and macroalgae on the Great Barrier Reef. <i>Ecol Appl</i> 20 : 840–50.
461 462 463	DeFries RS, Rudel T, Uriarte M, and Hansen M. 2010. Deforestation driven by urban population growth and agricultural trade in the twenty-first century. <i>Nat Geosci</i> 3: 178–81.
464 465	Dimitrov RS. 2002. Confronting nonregimes: science and international coral reef policy. <i>J Environ Dev</i> 11 : 53–78.
466 467 468	Donner SD, Skirving WJ, Little CM, <i>et al.</i> 2005. Global assessment of coral bleaching and required rates of adaptation under climate change. <i>Glob Chang Biol</i> 11 : 2251–65.
469 470	Eriksson H, Österblom H, Crona B, <i>et al.</i> 2015. Contagious exploitation of marine resources. <i>Front Ecol Environ</i> 13 : 435–40.
471 472	Fabricius K. 2005. Effects of terrestrial runoff on the ecology of corals and coral reefs: review and synthesis. <i>Mar Pollut Bull</i> 50 : 125–46.

473 474 475	 Fabricius KE, Langdon C, Uthicke S, <i>et al.</i> 2011. Losers and winners in coral reefs acclimatized to elevated carbon dioxide concentrations. <i>Nat Clim Chang</i> 1: 165–9.
476 477 478	Galaz V, Crona B, Österblom H, <i>et al.</i> 2012. Polycentric systems and interacting planetary boundaries — Emerging governance of climate change–ocean acidification–marine biodiversity. <i>Ecol Econ</i> 81 : 21–32.
479 480	Gove JM, McManus MA, Neuheimer AB, <i>et al.</i> 2016 Near-island biological hotspots in barren ocean basins. <i>Nat Commun</i> 7: 10581.
481 482	Graham NA, Cinner JE, Norström A V, and Nyström M. 2014. Coral reefs as novel ecosystems: embracing new futures. <i>Curr Opin Environ Sustain</i> 7 : 9–14.
483 484	Graham NAJ, Jennings S, Macneil MA, <i>et al.</i> 2015. Predicting climate-driven regime shifts versus rebound potential in coral reefs. <i>Nature</i> 518 : 94–7.
485 486	Graham NAJ and McClanahan TR. 2013. The last call for marine wilderness? <i>Bioscience</i> 63 : 397–402.
487 488 489	 Hicks CC and Cinner JE. 2014. Social, institutional, and knowledge mechanisms mediate diverse ecosystem service benefits from coral reefs. <i>Proc Natl Acad Sci</i> 111: 17791–6.
490 491	Hicks CC, Cinner JE, Stoeckl N, and McClanahan TR. 2015. Linking ecosystem services and human-values theory. <i>Conserv Biol</i> 29 : 1471-80.
492 493	Hoegh-Guldberg O. 2010. Coral reef ecosystems and anthropogenic climate change. <i>Reg Environ Chang</i> 11 : 215–27.
494 495	Hoegh-Guldberg O, Mumby PJ, Hooten AJ, <i>et al.</i> 2007. Coral reefs under rapid climate change and ocean acidification. <i>Science</i> 318 : 1737–42.
496 497	Hooidonk R van, Maynard J, and Planes S. 2013. Temporary refugia for coral reefs in a warming world. <i>Nat Clim Chang</i> 3: 508–11.
498 499	Hughes TP, Graham NAJ, Jackson JBC, <i>et al.</i> 2010. Rising to the challenge of sustaining coral reef resilience. <i>Trends Ecol Evol</i> 25 : 633–42.
500 501	Hughes TP, Carpenter S, Rockström J, <i>et al.</i> 2013. Multiscale regime shifts and planetary boundaries. <i>Trends Ecol Evol</i> 28: 389-95
502 503	Hunt C. 2003. Economic globalisation impacts on Pacific marine resources. <i>Mar Policy</i> 27 : 79–85.
504 505	Jackson JBC, Kirby MX, Berger WH, <i>et al.</i> 2001. Historical overfishing and the recent collapse of coastal ecosystems. <i>Science</i> 293 : 629–38.
506 507	Johnson AE. 2010. Reducing bycatch in coral reef trap fisheries: Escape gaps as a step towards sustainability. <i>Mar Ecol Prog Ser</i> 415 : 201–9.

508 509 510	 Johnson DE, Martinez C, Vestergaard O, <i>et al.</i> 2014. Building the regional perspective: Platforms for success. <i>Aquat Conserv Mar Freshw Ecosyst</i> 24: 75–93.
511 512	Johnston B and Yeeting B. 2006. Economics and marketing of the live reef fish trade in Asia–Pacific.
513 514	Karr KA, Fujita R, Halpern BS, <i>et al.</i> 2015. Thresholds in Caribbean coral reefs: implications for ecosystem-based fishery management. <i>J Appl Ecol</i> 52 : 402-12.
515 516	Liu J, Hull V, Batistella M, <i>et al.</i> 2013. Framing Sustainability in a Telecoupled World. <i>Ecol Soc</i> 18 .
517 518	MacNeil MA, Graham N a. J, Cinner JE, <i>et al.</i> 2015. Recovery potential of the world's coral reef fishes. <i>Nature</i> 520 : 341–4.
519 520	Maina J, Moel H de, Zinke J, <i>et al.</i> 2013. Human deforestation outweighs future climate change impacts of sedimentation on coral reefs. <i>Nat Commun</i> 4 : 1986.
521 522 523	McClanahan TR, Graham NAJ, MacNeil MA, <i>et al.</i> 2011. Critical thresholds and tangible targets for ecosystem-based management of coral reef fisheries. <i>Proc Natl Acad Sci U S A</i> 108 : 17230–3.
524 525 526	McClanahan TR, Graham NAJ, MacNeil MA, and Cinner JE. 2015. Biomass-based targets and the management of multispecies coral reef fisheries. <i>Conserv Biol</i> 29 : 409–17.
527 528 529	McCulloch M, Falter J, Trotter J, and Montagna P. 2012. Coral resilience to ocean acidification and global warming through pH up-regulation. <i>Nat Clim Chang</i> 2 : 623–7.
530 531 532	Meyfroidt P, Lambin EF, Erb K-H, and Hertel TW. 2013. Globalization of land use: distant drivers of land change and geographic displacement of land use. <i>Curr</i> <i>Opin Environ Sustain</i> 5 : 438–44.
533 534	Moberg F and Folke C. 1999. Ecological goods and services of coral reef ecosystems. <i>Ecol Econ</i> 29 : 215–33.
535 536 537	Montambault JR, Wongbusarakum S, Leberer T, <i>et al.</i> 2015. Use of monitoring data to support conservation management and policy decisions in Micronesia. <i>Conserv Biol</i> 29 : 1279–89.
538 539	Mumby PJ, Hastings A, and Edwards HJ. 2007. Thresholds and the resilience of Caribbean coral reefs. <i>Nature</i> 450 : 98–101.
540 541 542	 Mumby PJ and Woesik R Van. 2014. Consequences of ecological, evolutionary and biogeochemical uncertainty for coral reef responses to climatic stress. <i>Curr Biol</i> 24: R413–23.
543	Norström A, Nyström M, Lokrantz J, and Folke C. 2009. Alternative states on coral

544	reefs: beyond coral-macroalgal phase shifts. Mar Ecol Prog Ser 376: 295-306.
545 546	Olsson P, Folke C, and Berkes F. 2004. Adaptive comanagement for building resilience in social-ecological systems. <i>Environ Manage</i> 34 : 75–90.
547 548 549	Österblom H and Sumaila UR. 2011. Toothfish crises, actor diversity and the emergence of compliance mechanisms in the Southern Ocean. <i>Glob Environ Chang</i> 21 : 972–82.
550 551 552	Ozden C, Parsons CR, Schiff M, and Walmsley TL. 2011. Where on Earth is everybody? The evolution of global bilateral migration 1960-2000. <i>World Bank</i> <i>Econ Rev</i> 25: 12–56.
553 554	Pollnac R, Christie P, Cinner JE, <i>et al.</i> 2010. Marine reserves as linked social- ecological systems. <i>Proc Natl Acad Sci U S A</i> 107 : 18262–5.
555 556	Reid W V., Chen D, Goldfarb L, <i>et al.</i> 2010. Earth system science for global sustainability: grand challenges. <i>Science</i> 330: 916–7.
557 558 559	Rhyne AL, Tlusty MF, Schofield PJ, <i>et al.</i> 2012. Revealing the appetite of the marine aquarium fish trade: the volume and biodiversity of fish imported into the United States. <i>PLoS One</i> 7 : e35808.
560 561 562	Sale PF, Agardy T, Ainsworth CH, <i>et al.</i> 2014. Transforming management of tropical coastal seas to cope with challenges of the 21st century. <i>Mar Pollut Bull</i> 85: 8– 23.
563 564	Scales H, Balmford A, and Manica A. 2007. Impacts of the live reef fish trade on populations of coral reef fish off northern Borneo. <i>Proc Biol Sci</i> 274 : 989–94.
565 566	Scheffer BM, Barrett S, Folke C, <i>et al.</i> 2015. Creating a safe operating space for iconic ecosystems. <i>Science</i> 347 : 1317–9.
567 568	Silverman J, Lazar B, Cao L, <i>et al.</i> 2009. Coral reefs may start dissolving when atmospheric CO 2 doubles. <i>Geophys Res Lett</i> 36 : L05606.
569 570	Steffen W, Persson Å, Deutsch L, <i>et al.</i> 2011. The Anthropocene: from global change to planetary stewardship. <i>Ambio</i> 40 : 739–61.
571 572	Steffen W, Richardson K, Rockstrom J, <i>et al.</i> 2015. Planetary boundaries: guiding human development on a changing planet. <i>Science</i> 347 : 1259855.
573 574	Veron JEN, Hoegh-Guldberg O, Lenton TM, <i>et al.</i> 2009. The coral reef crisis: the critical importance of<350 ppm CO2. <i>Mar Pollut Bull</i> 58 : 1428–36.
575 576	Williams GJ, Gove JM, Eynaud Y, <i>et al.</i> 2015. Local human impacts decouple natural biophysical relationships on Pacific coral reefs. <i>Ecography</i> 38 : 751–61.
577	

578 **Figure captions**

579

580 Figure 1. Three potential ways a coral reef may respond to increased driver levels are 581 illustrated, and all three are congruent with the safe operating space concept. 582 Increased levels of certain drivers (e.g. overfishing) may trigger threshold responses (I 583 and II). For other drivers the response, as far as we know, is a smoother acceleration 584 towards a deleterious state (III). The safe operating space (green zones) indicates the range of driver values that are at a "safe" distance from potentially dangerous levels 585 586 or threshold points. The zone of uncertainty associated with each of the boundaries 587 (yellow zones) encapsulates the gaps in scientific knowledge and uncertainty due to 588 driver interaction, scope for adaptation and geographic variation. As driver values move towards the "high risk" end of the zone of uncertainty, there is an increasing 589 probability of crossing a critical threshold or accelerating toward a deleterious state. 590 591 Modified from Rockström et al. 2009 and Hughes et al. 2013 592 Figure 2. The safe operating spaces, zones of uncertainty and zones of high risk of 593 the key drivers of change on coral reefs; i) fishing ii) water quality, and iii) 594 anthropogenic climate change (i.e. sea surface temperature and ocean acidification). 595 Figure 3 (to be embedded in Panel 2). The dramatic increase of coral reef social-596 ecological research. An ISI Web of Knowledge literature survey showed that the 597 number of papers containing the keywords "coral reef" together with either "socialecological", "socio-ecological", "social-environmental" or "socio-environmental" has 598 599 increased exponentially between 1990 (n = 1) and 2014 (n = 106). 600 Figure 4. Three global interactions that shape local social-ecological dynamics of 601 coral reefs: 1) Human migration to coastal areas can result in deterioration of 602 traditional rules and practices, enhance pollution and increase pressures on reef fish 603 stocks. Graph shows net global migration to coastal areas between 1970-2010, and 604 specifically in the regions housing the majority of the worlds coral reefs; 2) Land 605 grabbing is increasingly driving land use change, which is a threat to coral reefs by

- 606 directly affecting water quality (e.g. nutrient loads, pollutants, sediments). Graph
- 607 shows cumulative number of concluded land grab deals between 2000-2014 on a
- 608 global scale, and in countries that have coral reefs; 3) International trade of coral reef
- 609 products is driven by intensifying foreign consumer demand and better access to
- 610 markets. Graph shows US imports of chilled reef fish (groupers and snappers) and
- 611 live coral colonies between 1990-2014. Data sources and methods are explained in
- 612 WebPanel 2.
- 613
- 614
- 615