- Ionospheric Electron Number Densities from
- <sup>2</sup> CUTLASS dual-frequency Velocity Measurements <sup>3</sup> using artificial backscatter over EISCAT

Lois K. Sarno-Smith<sup>1,2</sup>, Michael Kosch<sup>2,3,4</sup>, Timothy Yeoman<sup>3</sup>, Michael

 $\operatorname{Rietveld}^{5,6},\,\operatorname{Amore'}\,\operatorname{Nel}^{2,7},\,\operatorname{Michael}\,\operatorname{W}.$ Liemohn<sup>1</sup>

Corresponding author: Lois K. Sarno-Smith, Department of Climate and Space, University of Michigan, Ann Arbor, Michigan, USA. (loisks@umich.edu)

<sup>1</sup>Department of Climate and Space

# <sup>4</sup> Abstract.

Using quasi-simultaneous line of sight velocity measurements at multiple 5 frequencies from the Hankasalmi Cooperative UK Twin Auroral Sounding 6 System (CUTLASS) on the Super Dual Auroral Radar Network (SuperDARN), 7 we calculate electron number densities using a derivation outlined in *Gillies* 8 et al. [2010, 2012]. Backscatter targets were generated using the European 9 Incoherent Scatter (EISCAT) ionospheric modification facility at Tromsø, 10 Norway. We use two methods on two case studies. The first approach is to 11 use the dual frequency capability on CUTLASS and compare line of sight 12

Sciences and Engineering, University of

Michigan, Ann Arbor, Michigan, USA.

<sup>2</sup>South African National Space Agency,

Hermanus, RSA.

<sup>3</sup>University of Lancaster, Lancaster, UK.

<sup>4</sup>University of Western Cape, Cape Town,

RSA

<sup>5</sup>EISCAT Scientific Association

<sup>6</sup>University of Tromsø, The Arctic

University of Norway, Tromsø, Norway

<sup>7</sup>North-West University, Potchefstroom, RSA

# SARNO-SMITH ET AL.: SUPERDARN ELECTRON NUMBER DENSITIES X - 3

13	velocities between frequencies with a MHz or greater difference. The other
14	method used the kHz frequency shifts automatically made by the SuperDARN
15	radar during routine operations. Using ray tracing to obtain the approximate
16	altitude of the backscatter, we demonstrate that for both methods, Super-
17	DARN significantly overestimates $N_e$ compared to those obtained from the
18	EISCAT incoherent scatter radar over the same time period. The discrep-
19	ancy between the $N_e$ measurements of both radars may be largely due to Su-
20	perDARN sensitivity to backscatter produced by localized density irregu-
21	larities which obscure the background levels.

## 1. Introduction

The Super Dual Auroral Radar Network (SuperDARN) consists of thirty five coher-22 ent scatter high frequency (HF) radars stationed throughout the world [Greenwald et al., 23 1995; Chisham et al., 2007; Baker et al., 2011]. SuperDARN radars record the Doppler 24 velocity of ionospheric plasma irregularities and can provide large area convection maps 25 of the F region [Ponomarenko et al., 2008; Thomas et al., 2013]. However, velocity mea-26 surements from SuperDARN are determined with assumption that the index of refraction 27 of the scattering volume is 1.0. In reality, the index of refraction is typically closer to 28 0.8 [Gillies et al., 2009]. This overestimation of the refractive index leads to a consistent 29 underestimation of the Doppler velocity [Eglitis et al., 1998; Davies et al., 1999; Xu et al., 30 2001]. 31

32

The estimation of index of refraction can be corrected using ionospheric electron number 33 densities  $(N_e)$  from models such as the International Reference Ionosphere (IRI) or local 34 ionosonde measurements [Bilitza, 2001]. Since the scattering area of each SuperDARN 35 radar is so large (approximately  $4 \times 10^6 \text{ km}^2$ ), direct comparison can lead to ambiguities 36 due to localized blobs and convection [Norman et al., 2004; de Larquier et al., 2011]. A 37 reliable method to calculate the actual index of refraction from SuperDARN observations 38 will lead to better Doppler velocity measurements from backscatter when plasma irregu-39 larities are present. 40

Gillies et al. [2010, 2012] demonstrated theoretically that the index of refraction can 42 be calculated from dual frequency observations using SuperDARN. We can subsequently 43 calculate  $N_e$  from the plasma frequency. This method is valid as long as the SuperDARN 44 radar shifts the operating frequency of the radar on a time scale where the ionosphere is 45 stationary and if the difference of ray propagation paths at the two frequencies is small. 46 Gillies et al. [2012] showed statistical results of the derived SuperDARN electron number 47 densities from all the available SuperDARN radars from 1993-2012. However, no direct 48 comparison of plasma density between SuperDARN and another independent method was 49 presented. They also compared the observed line of sight velocities to those measured by 50 the Defense Meteorological Satellite Program (DMSP) and the European Incoherent Scat-51 ter (EISCAT) radar. The velocities matched extremely well when the index of refraction 52 was accounted for (0.99 best fit line slope) [Gillies et al., 2012]. 53

54

Links between ionospheric density irregularities, gravity waves, particle precipitation, 55 and satellite drag prompted the need for large coverage and accurate electron number den-56 sity measurements [Drell et al., 1965; Hooke, 1968; Robinson et al., 1987]. Previous work 57 has calculated electron number densities from SuperDARN measurements using ground 58 scatter [André et al., 1998]. If SuperDARN can provide reliable  $N_e$  measurements, the 59 scientific community will have access to near global  $N_e$  coverage at high latitudes. This 60 would, for example, permit quasi-real time global studies of Joule heating in the E-region 61 Kosch and Nielsen, 1995] or F-region [Cierpka et al., 2000]. 62

We expand the *Gillies et al.* [2012] study to directly compare the SuperDARN calcu-64 lated  $N_e$  to EISCAT incoherent scatter electron number densities. By generating artificial 65 plasma irregularities (striations) with the EISCAT Heater [Rietveld et al., 1993] at Tromsø 66 to create an artificial 'target' for SuperDARN backscatter, we can then use ray tracing to 67 localize the backscatter and directly compare the SuperDARN radar  $N_e$  with the EISCAT 68  $N_e$  [Kosch et al., 2004; Wright et al., 2006; Yeoman et al., 2008]. The EISCAT  $N_e$  are 69 derived from incoherent backscatter power accounting for the electron to ion tempera-70 ture ratio in a fitting procedure using the Grand Unified Incoherent Scatter Design and 71 Analysis Package (GUISDAP) software [Lehtinen and Huuskonen, 1996]. Our analysis is 72 the first real test on the accuracy of the SuperDARN-based electron density estimates. 73 The analysis tests credibility of the method for global-scale electron density monitoring 74 for the case of multiple-radar utilization. We use the Co-operative UK Twin Located 75 Auroral Sounding System (CUTLASS) Hankasalmi SuperDARN radar (62.32 N, 26.61 E, 76 geographic coordinates) [Lester et al., 2004] for comparison with EISCAT (69.6 N, 19.2 77 E, geographic coordinates) [Rishbeth and Van Eyken, 1993]. CUTLASS offers the unique 78 advantage of simultaneous transmission and reception of two independent signals. This 79 STEREO capability is powerful since it allows the SuperDARN radar to essentially act 80 as two independent radars. Thus, we can calculate electron densities using simultaneous 81 measurements with 1 MHz or more frequency separation (e.g. 15 and 16 MHz). Differ-82 ent frequency rays will propagate to different altitudes along their paths in the F-region. 83 However, it has been shown that pump-induced artificial striations extend 10s of km in al-84 titude [Senior et al., 2004]. So, we can reasonably expect backscatter from similar ranges 85

87

The results of our study demonstrate that  $N_e$  calculated from Hankasalmi radar measurements are sensitive to the frequencies used to derive the  $N_e$  and overestimate  $N_e$ compared to EISCAT values. We use two controlled Heater experiments, one at daytime and one in the afternoon/evening, to provide artificial backscatter targets and narrow spectral widths in the Hankasalmi line of sight velocities. We also show that the method using smaller frequency shifts on the kHz scale also overestimates  $N_e$  compared to EIS-CAT.

95

# 2. Methodology

The index of refraction,  $n_s$ , can be calculated using the plasma frequency  $f_p$  and the radar wave frequency f:

98

$$n_s = \sqrt{1 - f_p^2 / f^2}$$
 (1)

<sup>99</sup> and *Gillies et al.* [2011] showed that  $f_p$  could be calculated using two radar frequency <sup>100</sup> observations of line of sight velocity, v:

101

$$f_p^2 = \frac{f_1^2 (1 - v_1^2 / v_2^2)}{1 - v_1^2 f_1^2 / v_2^2 f_2^2} \tag{2}$$

July 25, 2016, 10:12am

<sup>102</sup> Only observations where  $v_1/v_2 < 1$  are physically meaningful for calculating index of re-<sup>103</sup> fraction in this study. From this, we can calculate  $N_e$  (m<sup>-3</sup>) from the  $f_p$  (Hz) as:

$$N_e = \frac{m_e \epsilon_0}{q^2} (2\pi f_p)^2 \tag{3}$$

where  $m_e$  is the mass of an electron,  $\epsilon_0$  is the permittivity of free space, and q is the charge of an electron. SuperDARN mono-frequency radars, by stepping the frequency every few seconds, can be used to calculate  $N_e$  from  $f_1$  and  $f_2$ . With the dual frequency STEREO capability, available on Hankasalmi, we operate the radar at two major frequency bands (e.g. 15 and 16 MHz) with incremental steps (kHz) in each band every few seconds.

110

We use data from two experiments. The first experiment was conducted on March 12, 111 2015 10:00 to 12:01 UT, or 11:00 to 13:01 LT in Tromsø. During this daytime interval, Kp 112 was at 2+. The CUTLASS Hankasalmi radar (62.3°N, 26.6°E) was operated at 15 and 16 113 MHz sequentially between 10:00 - 11:21 UT. From 11:22 UT to 12:01 UT, the frequency 114 was shifted between three major frequency bands at 16 MHz, 17 MHz, and 18 MHz. For 115 the first case study, the 15 MHz band contained frequencies between 15.0 to 15.1 MHz, the 116 16 MHz band contained frequencies from 16.2 to 16.7 MHz, the 17 MHz band contained 117 frequencies from 17.9 MHz to 18.1 MHz, and the 18 MHz band contained frequencies from 118 18.8 to 18.9 MHz. The radar operated on beam 5 with range gates beginning at 480 km 119 and spaced 15 km apart with 1 second integration on each frequency sequentially, i.e. the 120 cycle was either 2 seconds or 3 seconds long. 121

The EISCAT Heater operated with its beam field-aligned to the local magnetic field (at 123 a height of 240 km) at 6.2 MHz between 10:00 - 11:21 UT and then changed to 6.96 MHz 124 between 11:21 - 12:01 UT. The radiation from the Heater was in ordinary polarization 125 mode. Ionospheric pumping was slightly under dense, where the radiation frequency is 126 greater than the peak plasma frequency, throughout the interval. However, many past 127 experiments have shown this can still produce strictions [Leyser et al., 1990; Gurevich 128 et al., 1995]. The effective radiated power (ERP) was 53 MW between 10:00 - 11:21 UT 129 and 32 MW between 11:21 - 12:01 UT. 130

131

The second experiment was conducted on March 3, 2016 from 14:00 to 18:00 UT, or 132 15:00 to 19:00 LT in Tromsø, with Kp < 2 throughout the experiment. Part of the ex-133 periment occurred after sunset (approximately 17:00 UT). The CUTLASS Hankasalmi 134 STEREO radar was operated alternating between 13 and 15 MHz on Channel A through-135 out the entire interval and with, additionally, 16 MHz between 14:00 to 17:00 UT on 136 Channel B. For the second case study, the 13 MHz band contained frequencies between 137 13.2 to 13.3 MHz, the 15 MHz band contained frequencies between 15.0 to 15.1 MHz, and 138 the 16 MHz band contained frequencies between 16.2 to 16.7 MHz. The radar operated on 139 beam 5 with range gates beginning at 480 km and spaced 15 km apart on Channel A and 140 the range gates beginning at 180 km and incrementing 45 km on Channel B. Channel A 141 operating frequency was varied between 13 and 15 MHz, using 3 second integration with 142 a 6 second cycle time. Channel B measured only at 16 MHz using 3 second integration 143 and also a 3 second cycle time. 144

X - 10 SARNO-SMITH ET AL.: SUPERDARN ELECTRON NUMBER DENSITIES

The EISCAT ionospheric modification facility operated with the beam pointing field-146 aligned and between 4.04 MHz to 5.423 MHz with 5.423 MHz between 14:00 to 16:30 UT 147 with an ERP of 180 MW, 4.9128 MHz between 16:30 to 16:38 UT with an ERP of 154148 MW, 4.544 MHz from 16:38 to 17:38 UT with an ERP of 131 MW, and 4.04 MHz from 149 17:45 to 18:00 UT with an ERP of 110 MW. Ionospheric heating was mostly over-dense, 150 where the radiation frequency was lower than the peak ionospheric plasma frequency, 151 during this experiment with the reflection altitude at approximately 220 km. For both 152 experiments, the EISCAT UHF radar observed field-aligned using the 32 x 20 alternat-153 ing "beata" code with 10  $\mu$ s sampling. This gives 3 km range resolution, 5 second time 154 integration and covers between 49 and 694 km in range. The EISCAT  $N_e$  during both 155 experiments was calibrated with local ionosonde measurements. 156

157

Figure 1A shows the Hankasalmi radar line of sight velocities used in our  $N_e$  calculation between range gates 25 and 38 for the March 12, 2015 experiment. Figure 1 assimilates velocity measurements from 15-18 MHz frequencies. Figure 1B shows the spectral widths over the same period. For most of the experiment the velocities are negative and the spectral widths are small (< 50 m/s) which is characteristic of backscatter from artificially generated striations.

164

Figure 2 further demonstrates that the velocity distribution for each frequency is largely contained between 0 to -50 m/s. Figure 2A shows the velocities for 15 MHz, Figure 2B shows 16 MHz velocities, Figure 2C is 17 MHz velocities, and Figure 2D is 18 MHz velocities. The bin widths in the bar plots are 50 m/s. In particular for the 17 MHz frequency,

July 25, 2016, 10:12am

the velocity distribution is strongly peaked at approximately -50 m/s. The small velocity distribution is consistent with the narrow spectral width and is a feature of backscatter from artificially generated striations.

172

A ray trace to determine the altitude CUTLASS observes over EISCAT is imperative for 173 our comparison. For the most accurate ray trace, a reliable angle of arrival measurement 174 is necessary. However, the angle of arrival information for Hankasalmi was unavailable 175 during both of our experiments. Figure 3A shows the ray trace between 5 and 40 degrees 176 elevation angles for the 16 MHz frequency channel on beam 5 for March 12, 2015. The 177 silver lines indicate every 4th range gate starting at 480 km (range gate 0). The black star 178 represents the approximate EISCAT radar location. The horizontal black lines represent 179 a ray for every 2 degrees of elevation angle. Based on where the last ray paths that refract 180 back to Earth are over Tromsø, we estimate that Hankasalmi observes between 200-260 181 km, which is consistent with the hmF2 peak from the ionosonde measurements on this day 182 at 240 km. For the following figures, we estimate that Hankasalmi observes backscatter 183 from 240 km on this day. When the same elevation angles are compared, higher frequen-184 cies probe higher levels of the ionosphere, with a 1 MHz frequency difference producing 185 height differences from 5 km to 50 km. Similar results are obtained for March 3, 2016 186 (not shown). Here the Heater pump frequency corresponded to the ionospheric plasma 187 frequency at an altitude of approximately 220 km. In Figure 3B, we show the IRI and 188 EISCAT  $N_e$  profiles averaged between 9:30 to 12:00 UT on March 12, 2015 to demonstrate 189 that IRI and EISCAT provide similar  $N_e$  values below 300 km. Since the model values 190 from IRI are close to EISCAT, we can trust the ray trace in Figure 3A which relies on 191

<sup>192</sup> IRI to calculate the index of refraction.

193

## 3. Results

We test two methods to determine if  $N_e$  can be reliably calculated from SuperDARN 194 radar data. We make use of the unique STEREO feature of the CUTLASS radar at 195 Hankasalmi, when it is available, which was in our experiment on March 3, 2016. At each 196 measurement, we first average the range gates of backscatter where Hankasalmi observes 197 irregularities produced by the EISCAT Heater, here defined as range gates 30-35. Then, 198 we resample the data to a 2 minute cadence in each frequency band. Simultaneous com-199 parison and un-averaged measurements from several range gates results in noisy data, 200 which is why we spatially and temporally smooth the data before calculating the velocity 201 ratio between the two frequencies. If  $v_1 > v_2$ , the  $N_e$  values are unphysical because the 202 derivation of Equation 2 from Equation 1 assumes that, because the refractive index is 203 dependent on radar frequency, the velocity measured at the lower frequency  $(v_1)$  must be 204 lower than the velocity measured at the higher frequency  $(v_2)$ . Therefore, we remove all 205 data when  $v_1 > v_2$ . There are 4 bands we compare from the first case study on March 12, 206 2015: 15-16 MHz, 16-17 MHz, 17-18 MHz, and 16-18 MHz and 3 bands from the second 207 case study on March 3, 2016 at 13-15 MHz, 13-16 MHz, and 15-16 MHz. 208

209

Table 1 shows the number of points used in the study before resampling the velocities and the number of velocity points in the two minute resample. For the case study on March 12, 2015, most of the velocity data is measured at 15 MHz (1024 points) or 16 MHz (1365 points) which are then down sampled to 23 points of conjunction between the 15-16 MHz frequencies. For the case study on March 3, 2016, there are many points spread between 13 MHz (1089 points), 15 MHz (1562 points), and 16 MHz (1710) while Hankasalmi operated in dual frequency mode. This led to 39 2-minute interval conjunctions between 13 and 15 MHz, 22 conjunction points between 13 and 16 MHz, and 32 conjunction points between 15 and 16 MHz. The number of down-sampled points in our study is much lower than the 10<sup>6</sup> points used in *Gillies et al.* [2010].

220

The second method is to use the small frequency shifts of kHz that SuperDARN au-221 tomatically makes as it scans within a selected frequency range. For instance, in the 15 222 MHz band we observe an approximate 1 kHz change every 2 seconds. The radar does this 223 to select the quietest frequency of observation, giving the highest signal-to-noise ratio. 224 Our second method uses this frequency shift by averaging the measurements in range 225 gates 30-35 at a given time and then calculating  $N_e$  from the average line of sight velocity 226 measurement and slightly shifted frequency two seconds later. We also remove times when 227  $v_1 > v_2$ . This method requires assuming ionospheric stability over a 2 second interval but 228 with far smaller frequency shifts. We then resample these data to a 2 minute cadence to 229 reduce the noise of the measurements. The potential advantage of this method is that the 230 dual frequency STEREO mode of CUTLASS is not necessary and this method could be 231 implemented on all SuperDARN radars. 232

233

Figure 4 illustrates the differences in the two approaches for calculating  $N_e$  for both case studies. Figure 4A shows the Hankasalmi data from March 12, 2015. The colored triangles represent the  $N_e$  from wide frequency spacing, where red is 15-16 MHz, green

is 16-17 MHz, yellow is 16-18 MHz, and blue is 17-18 MHz. The monochrome hexagons 237 represent the  $N_e$  calculated from small frequency shifts (kHz), with black as the 15 MHz 238 measurements, dark grey is the 16 MHz measurements, light grey is the 17 MHz  $N_e$ , and 239 white is the 18 MHz  $N_e$ . Figure 4B shows the Hankasalmi data from March 3, 2016 where 240 the red triangles represent 13-15 MHz, green is 13-16 MHz, and yellow is 15-16 MHz. The 241 gray scale hexagons represent the small frequency shift calculated  $N_e$ , with black as the 242 13 MHz measurements, dark grey is the 15 MHz measurements, and light grey is the 16 243 MHz  $N_e$ . 244

245

The most notable feature of this figure is the near constant values the small frequency 246 shift calculated  $N_e$  exhibits. For example in Figure 4A, at 15 MHz, the calculated  $N_e$ 247 value barely fluctuates from  $2.7 \times 10^{12} \text{ m}^{-3}$  while the  $N_e$  from 16 MHz hovers at  $3.3 \times$ 248  $10^{12}$  m<sup>-3</sup>. The N<sub>e</sub> calculated from wide frequency spacing, on the other hand, shows some 249 variability and changes in time in a more reasonable manner. The lack of variation in the 250  $N_e$  from small frequency shifts shows that this method is strongly influenced by the value 251 of  $f_1$  in Equation 2, which is the measuring frequency of the line of sight velocities. Larger 252 values of  $f_1$  correspond to larger  $N_e$ , with 17 and 18 MHz frequency data being the highest 253 and producing similar values. This is consistent in Figure 4B with 16 MHz producing the 254 largest  $N_e$ . The small frequency shift method of estimating  $N_e$  produces unrealistically 255 static  $N_e$  values subject to the radar frequency, which is not consistent with expectation. 256 257

Figure 5 shows  $N_e$  calculated from the wide-frequency spacing from Hankasalmi compared to EISCAT and IRI  $N_e$  values. The  $N_e$  from Hankasalmi is approximately an order

of magnitude larger than the EISCAT and IRI  $N_e$ . The IRI  $N_e$  values are calculated on 260 an hourly timescale during the experiments from the Community Coordinated Modeling 261 Center (CCMC). In Figure 5A, the colored triangles represent the  $N_e$  from Hankasalmi, 262 where red is the 15-16 MHz, green is the 16-17 MHz, yellow is the 16-18 MHz, and blue 263 is the 17-18 MHz observations on March 12, 2015. In Figure 5B, the colored triangles 264 represent the  $N_e$  from Hankasalmi, where red is the 13-15 MHz, green is the 13-16 MHz, 265 and yellow is the 15-16 MHz observations on March 3, 2016. In Figure 5A, the grey 266 diamonds are the 2-minute resampled  $N_e$  from EISCAT at 240 km and the dotted blue 267 line is the IRI data over this time range at 240 km. For Figure 5B, EISCAT and IRI  $N_e$ 268 are taken from 220 km. 269

270

The Hankasalmi derived  $N_e$  does not capture the 1 × 10<sup>11</sup> m<sup>-3</sup> increase that EISCAT measures starting at 10:50 UT in Figure 5A. Instead, the Hankasalmi derived  $N_e$  remains somewhat constant between 10:00 to 11:30 UT and then jumps up by 1 × 10<sup>12</sup> m<sup>-3</sup> when different frequencies are employed. Like the small frequency shift results, this suggests that the  $N_e$  derived from the dual frequency mode is sensitive to the value of f<sub>1</sub> used in Equation 2 and this can affect the results significantly. The IRI and EISCAT N<sub>e</sub> agree very well throughout the time period, with IRI slightly higher than EISCAT.

278

In Figure 5B, the Hankasalmi derived  $N_e$  completely fails to capture the ionospheric  $N_e$  decrease in the EISCAT data at 16:00 UT. The results from the March 3, 2016 case study are also more variable than the March 12, 2015 case study in Figure 5A, ranging from very close to EISCAT  $N_e$  values to being off by a factor of 20. Once again, the IRI and EISCAT N<sub>e</sub> values are very close, with IRI slightly lower than EISCAT in Figure 5B. Overall, the data shown in Figure 5 demonstrate that deriving  $N_e$  from dual frequency measurements is not a reliable method for calculating background  $N_e$  from SuperDARN measurements.

287

We also use linear regression to quantify the correlation coefficient (r) between the 2 288 minute resampled  $N_e$  from EISCAT and Hankasalmi despite the order of magnitude dif-289 ference between the data sets. For the first experiment, the r between 15-16 MHz was 290 0.09, for 16-17 MHz was -0.13, for 16-18 MHz was 0.03, and for 17-18 MHz was 0.17. For 291 the second experiment, the r between 13-15 MHz was -0.049, for 13-16 MHz was -0.015, 292 and between 15-16 MHz was -0.017. All of the linear regression correlation coefficients cal-293 culated between the  $N_e$  from Hankasalmi and EISCAT are extremely low, demonstrating 294 that the  $N_e$  derived from Hankasalmi fails to capture the trends measured by EISCAT. 295 On the other hand, the linear regression r between EISCAT and IRI is 0.97 for the first 296 experiment and 0.99 for the second experiment. 297

298

The Hankasalmi  $N_e$  is approximately an order of magnitude larger than the EISCAT  $N_e$ . Figure 6 shows the  $N_e$  from Hankasalmi divided by the EISCAT  $N_e$  at about 240 km, both resampled to the same 2 minute cadence between 10:00 to 12:00 UT on March 12, 2015 and 14:00 to 18:00 on March 3, 2016 at approximately 220 km. The black dotted line represents where the Hankasalmi data would match the EISCAT  $N_e$ . The colored triangles represent the different frequency bands used to calculate  $N_e$ , as previously described. In Figure 6A, the  $N_e$  derived from the 15-16 MHz observations shows the most variation and difference from the EISCAT  $N_e$  early in the experiment from 10:00 to 10:30 UT. After 10:30 UT, the Hankasalmi  $N_e$  measurements, regardless of frequency, are greater than the EISCAT  $N_e$  by a factor of 6-10. The least variable ratio is the 17-18 MHz band for  $N_e$ measurements, clustered around a factor of 8 difference.

310

In Figure 6B, all bands (13-15 MHz, 13-16 MHz, and 15-16 MHz) show large variation and are consistently off from the EISCAT  $N_e$ . The offset ratio increases throughout the experiment, reaching a maximum of approximately a factor of 15 by 17:00 UT. This is because the  $N_e$  calculated from Hankasalmi observations do not capture the decrease in the ionosphere as the sun sets and the ionosphere cools.

316

With a near order of magnitude overestimate of  $N_e$ , the Hankasalmi measurements do not align well with the EISCAT  $N_e$ . The  $N_e$  derived from Hankasalmi observations also does not capture the gradual increase in  $N_e$  seen by EISCAT after 10:50 UT in the first case study nor the steady decrease of  $N_e$  in the second case study as the sun sets.

#### 4. Discussion

Theoretically, calculating electron densities from frequency shifts in the SuperDARN line of sight Doppler velocity obsevations should account for the index of refraction and provide reliable electron density calculations. However, our comparison to EISCAT  $N_e$ observations demonstrates that Hankasalmi derived  $N_e$  overestimated by approximately a factor 8, which is dependent on the radar frequencies used to calculate  $N_e$ . This demonstrates that the dual frequency method is not effective for calculating reliable background

July 25, 2016, 10:12am

#### $_{328}$ $N_e$ from SuperDARN observations.

329

Gillies et al. [2010] proposed that an overestimation of  $N_e$  could be from localized regions 330 of SuperDARN backscatter. For example, dominant scatter could be from a small fraction 331 of the SuperDARN range cell in which conditions for scatter are best. Whereas EISCAT 332 captures the background  $N_e$ , CUTLASS is prone to picking up localized structures which 333 produce stronger irregularities and places with higher electron density [Hosokawa et al., 334 2009]. Further,  $N_e$  enhancements of up to an order of magnitude due to polar cap patches 335 are likely to occur during the day time, which is when our experiment on March 12, 2015 336 took place [Sojka et al., 1990; Pryse et al., 2005]. Polar cap patches have also been shown 337 to extend in the afternoon and evening, which would overlap with the times of our March 338 3, 2016 case study [Moen et al., 2007; Zhang et al., 2013]. Within a polar cap patch, elec-339 tron number density can vary by an order of magnitude [Weber et al., 1986]. All of this 340 was mitigated in the experiments presented above by running the EISCAT Heater during 341 these two intervals. This produced plasma irregularities over EISCAT and therefore scat-342 tering along the line of sight of the Hankasalmi radar, making the Hankasalmi-EISCAT 343 observations ideal for direct comparison. 344

345

The field aligned density striations themselves could also contribute to the SuperDARN  $N_e$  overestimation. *Gurevich et al.* [1999] showed that bunches of field aligned density striations due to self-focusing of the Heater pump beam in the ionosphere could lead to 10%  $N_e$  enhancements. The 10m scale striations observed by SuperDARN are not large, so the density enhancements would not manifest themselves in the EISCAT  $N_e$ 

observations but could be selectively picked out by SuperDARN. Several studies have 351 also shown that these field aligned striations from the EISCAT heating facility produce 352 a strong backscatter response in Hankasalmi measurements [Kelley et al., 1995; Dhillon, 353 2002; Kosch et al., 2002; Gurevich et al., 2002; Rietveld et al., 2003]. If we could resolve 354 the size of irregularity bunches compared to the scattering volume measured by Super-355 DARN, it may be possible to reconcile  $N_e$  calculated from SuperDARN observations of 356 line of sight velocity measurements at different frequencies with EISCAT  $N_e$ . As stated, 357 though, these striations produce only a 10% density effect, and the Hankasalmi derived 358  $N_e$  were off by an order of magnitude. 359

360

#### 5. Conclusions

Our experiments on March 12, 2015 and March 3, 2016 compared calculated  $N_e$ , derived 361 from the CUTLASS Hankasalmi radar line of sight velocity measurements, to the Tromsø 362 EISCAT UHF incoherent scatter radar derived  $N_e$ . Our ray tracing estimates showed that 363 Hankasalmi was approximately probing the ionosphere at 240 km over Tromsø on March 364 12, 2015 and at 220 km on March 3, 2016. We found that the derivation for calculating 365 electron number densities proposed by Gillies et al. [2010, 2012] was unsuccessful at deter-366 mining reasonable background  $N_e$  from pump-induced artificial striations over EISCAT. 367 No plasma density at any altitude could provide agreement. We tested the method using 368 near simultaneous dual frequency observations (MHz difference) and by also using the 369 automatic frequency shifts (kHz difference) typical of SuperDARN radars when operating 370 on one frequency band. Both methods overestimated  $N_e$  by approximately a factor of 8, 371 and, in particular, the small frequency shift method resulted in static, frequency depen-372

dent results. Neither method captured EISCAT observed  $N_e$  increases or decreases across the experimental window.

375

<sup>376</sup> We propose that the overestimation of  $N_e$  by SuperDARN may be due to localized <sup>377</sup> density irregularities dominating the backscatter measured by SuperDARN and resulting <sup>378</sup> in an artificially high  $N_e$  based off of these localized irregularities. However, other factors <sup>379</sup> could contribute to the discrepancy between SuperDARN and EISCAT  $N_e$ , such as the <sup>380</sup> limited number of data points in our case studies.

381

Acknowledgments. The Michigan co-authors would like to thank the University of 382 Michigan Rackham Graduate school and the NSF GRFP program. EISCAT is an in-383 ternational association supported by research organizations in China (CRIRP), Finland 38 (CSA), Japan (NIPR and STEL), Norway (NFR), Sweden (VR), and the United Kingdom 385 (NERC). Thanks also to the CCMC website for use of the IRI model, which is available 386 at: http://ccmc.gsfc.nasa.gov/. The authors would also like to thank the SuperDARN 387 team, in particular Evan Thomas, Kevin Sterne, Xueling Shi, Jo Baker, and Muhammad 388 Ahunbay for their invaluable assistance in the ray tracing procedure. 389

390

We would also, in particular, like to thank the NSF GROW with US-AID program for sponsoring this collaboration in conjunction with the NSF GRFP program and the South African National Space Agency (SANSA).

#### References

- <sup>395</sup> André, D., G. J. Sofko, K. Baker, and J. MacDougall (1998), SuperDARN interferome-
- try: Meteor echoes and electron densities from ground scatter, Journal of Geophysical
   Research: Space Physics, 103 (A4), 7003-7015.
- <sup>398</sup> Baker, J. B., J. M. Ruohoniemi, A. J. Ribeiro, L. B. Clausen, R. A. Greenwald, N. A.
- Frissell, and K. A. Sterne (2011), SuperDARN ionospheric space weather, Aerospace and Electronic Systems Magazine, IEEE, 26(10), 30–34.
- <sup>401</sup> Bilitza, D. (2001), International Reference Ionosphere 2000, *Radio Science*, 36(2), 261–
  <sup>402</sup> 275.
- <sup>403</sup> Chisham, G., M. Lester, S. E. Milan, M. Freeman, W. Bristow, A. Grocott,
  <sup>404</sup> K. McWilliams, J. Ruohoniemi, T. K. Yeoman, P. L. Dyson, et al. (2007), A decade
  <sup>405</sup> of the Super Dual Auroral Radar Network (SuperDARN): Scientific achievements, new
  <sup>406</sup> techniques and future directions, *Surveys in Geophysics*, 28(1), 33–109.
- <sup>407</sup> Cierpka, K., M. J.Kosch, M Rietveld, K Schlegel, T Hagfors. (2000), Ion-neutral coupling
   <sup>408</sup> in the high-latitude F-layer from incoherent scatter and Fabry Perot interferometer
   <sup>409</sup> measurements, Annales Geophysicae, 18(9), 1145–1153.
- <sup>410</sup> Davies, J., M. Lester, S. E. Milan, and T. Yeoman (1999), A comparison of velocity <sup>411</sup> measurements from the CUTLASS Finland radar and the EISCAT UHF system, in <sup>412</sup> Annales Geophysicae, vol. 17, pp. 892–902, Springer.
- <sup>413</sup> de Larquier, S., J. Ruohoniemi, J. Baker, N. Ravindran Varrier, and M. Lester (2011),
  <sup>414</sup> First observations of the midlatitude evening anomaly using Super Dual Auroral
  <sup>415</sup> Radar Network (SuperDARN) radars, *Journal of Geophysical Research: Space Physics*,
  <sup>416</sup> 116 (A10).

- X 22 SARNO-SMITH ET AL.: SUPERDARN ELECTRON NUMBER DENSITIES
- <sup>417</sup> Dhillon, R. S. (2002), Radar studies of natural and artificial waves and instabilities in the <sup>418</sup> auroral ionosphere, Ph.D. thesis, Physics.
- <sup>419</sup> Drell, S., H. Foley, and M. Ruderman (1965), Drag and propulsion of large satellites in <sup>420</sup> the ionosphere: An Alfvén propulsion engine in space, *Journal of Geophysical Research*, <sup>421</sup> 70(13), 3131–3145.
- Eglitis, P., T. Robinson, M. Rietveld, D. Wright, and G. Bond (1998), The phase speed
  of artificial field-aligned irregularities observed by CUTLASS during HF modification
  of the auroral ionosphere, *Journal of Geophysical Research: Space Physics*, 103(A2),
  2253–2259.
- 426 Gillies, R., G. Hussey, G. Sofko, K. McWilliams, R. Fiori, P. Ponomarenko, and J.-P. St-
- Maurice (2009), Improvement of SuperDARN velocity measurements by estimating the
   index of refraction in the scattering region using interferometry, *Journal of Geophysical Research: Space Physics*, 114 (A7).
- Gillies, R., G. Hussey, G. Sofko, D. Wright, and J. Davies (2010), A comparison of EISCAT
- and SuperDARN F-region measurements with consideration of the refractive index in
   the scattering volume, *Journal of Geophysical Research: Space Physics*, 115(A6).
- Gillies, R., G. Hussey, G. Sofko, P. Ponomarenko, and K. McWilliams (2011), Improvement of HF coherent radar line-of-sight velocities by estimating the refractive index in
  the scattering volume using radar frequency shifting, *Journal of Geophysical Research:*Space Physics (1978–2012), 116 (A1).
- Gillies, R., G. Hussey, G. Sofko, and K. McWilliams (2012), A statistical analysis of
  SuperDARN scattering volume electron densities and velocity corrections using a radar
  frequency shifting technique, *Journal of Geophysical Research: Space Physics*, 117(A8).

- 440 Greenwald, R., K. Baker, J. Dudeney, M. Pinnock, T. Jones, E. Thomas, J.-P. Villain,
- J.-C. Cerisier, C. Senior, C. Hanuise, et al. (1995), Darn/SuperDARN, Space Science Reviews, 71(1-4), 761–796.
- Gurevich, A., K. Zybin, and A. Lukyanov (1995), Stationary striations developed in the ionospheric modification, *Physical review letters*, 75(13), 2622.
- <sup>445</sup> Gurevich, A., H. Carlson, M. Kelley, T. Hagfors, A. Karashtin, and K. Zybin (1999), Non-
- linear structuring of the ionosphere modified by powerful radio waves at low latitudes, *Physics Letters A*, 251(5), 311–321.
- <sup>448</sup> Gurevich, A., E. Fremouw, J. Secan, and K. Zybin (2002), Large scale structuring of
- plasma density perturbations in ionospheric modifications, *Physics Letters A*, 301(3),
  307–314.
- <sup>451</sup> Hooke, W. H. (1968), Ionospheric irregularities produced by internal atmospheric gravity
  <sup>452</sup> waves, *Journal of Atmospheric and Terrestrial Physics*, 30(5), 795–823.
- <sup>453</sup> Hosokawa, K., K. Shiokawa, Y. Otsuka, T. Ogawa, J.-P. St-Maurice, G. Sofko, and D. An<sup>454</sup> dre (2009), Relationship between polar cap patches and field-aligned irregularities as
  <sup>455</sup> observed with an all-sky airglow imager at resolute bay and the PolarDARN radar at
  <sup>456</sup> Rankin Inlet, Journal of Geophysical Research: Space Physics, 114 (A3).
- Kelley, M. C., T. L. Arce, J. Salowey, M. Sulzer, W. T. Armstrong, M. Carter, and
  L. Duncan (1995), Density depletions at the 10-m scale induced by the Arecibo
  heater, *Journal of Geophysical Research: Space Physics*, 100(A9), 17,367–17,376, doi:
  10.1029/95JA00063.
- <sup>461</sup> Kosch, M. J., and E. Nielsen (1995), Coherent radar estimates of average high-latitude
  <sup>462</sup> ionospheric Joule heating, *Journal of Geophysical Research: Space Physics*, 100(A7),

- <sup>464</sup> Kosch, M. J., M. Rietveld, A. Kavanagh, C. Davis, T. Yeoman, F. Honary, and T. Hagfors
  <sup>465</sup> (2002), High-latitude pump-induced optical emissions for frequencies close to the third
  <sup>466</sup> electron gyro-harmonic, *Geophysical research letters*, 29(23).
- <sup>467</sup> Kosch, M. J., M. Rietveld, A. Senior, I. McCrea, A. Kavanagh, B. Isham, and F. Honary
  <sup>468</sup> (2004), Novel artificial optical annular structures in the high latitude ionosphere over
  <sup>469</sup> EISCAT, *Geophysical research letters*, 31(12).
- Lehtinen, M. S., and A. Huuskonen (1996), General incoherent scatter analysis and GUIS-DAP, Journal of Atmospheric and Terrestrial Physics, 58(1), 435–452.
- 472 Lester, M., P. Chapman, S. Cowley, S. Crooks, J. Davies, P. Hamadyk, K. McWilliams,
- S. E. Milan, M. Parsons, D. Payne, et al. (2004), STEREO CUTLASS-a new capability
  for the SuperDARN HF radars, in *Annales Geophysicae*, vol. 22, pp. 459–473.
- 475 Leyser, T., B. Thidé, H. Derblom, Å. Hedberg, B. Lundborg, P. Stubbe, and H. Kopka
- (1990), Dependence of stimulated electromagnetic emission on the ionosphere and pump
- wave, Journal of Geophysical Research: Space Physics, 95(A10), 17,233–17,244.
- <sup>478</sup> Moen, J., N. Gulbrandsen, D. Lorentzen, and H. Carlson (2007), On the MLT distribution
- of F region polar cap patches at night, *Geophysical Research Letters*, 34(14).
- <sup>480</sup> Norman, R., M. Parkinson, P. Dyson, et al. (2004), Comparing HF radar backscatter from
- the southern ocean with ray-tracing results using the IRI model, in *Proceedings of the*
- 482 Workshop on the Applications of Radio Science, Hobart, Tasmania, pp. 18–20.
- <sup>483</sup> Ponomarenko, P., C. Waters, and F. Menk (2008), Effects of mixed scatter on SuperDARN
- <sup>484</sup> convection maps, in *Annales Geophysicae*, vol. 26, pp. 1517–1523.

- Pryse, S., K. Dewis, R. Balthazor, H. Middleton, and M. Denton (2005), The dayside
  high-latitude trough under quiet geomagnetic conditions: Radio tomography and the
  CTIP model, in *Annales Geophysicae*, vol. 23, pp. 1199–1206.
- Rietveld, M., H. Kohl, H. Kopka, and P. Stubbe (1993), Introduction to ionospheric heating at Tromsø. Experimental overview, *Journal of atmospheric and terrestrial physics*,
  55(4), 577–599.
- Rietveld, M., M. J. Kosch, N. Blagoveshchenskaya, V. Kornienko, T. Leyser, and T. Yeo man (2003), Ionospheric electron heating, optical emissions, and striations induced by
   powerful HF radio waves at high latitudes: Aspect angle dependence, *Journal of Geo- physical Research: Space Physics*, 108(A4).
- <sup>495</sup> Rishbeth, H., and A. Van Eyken (1993), EISCAT: Early history and the first ten years of
  <sup>496</sup> operation, *Journal of Atmospheric and Terrestrial Physics*, 55(4), 525–542.
- <sup>497</sup> Robinson, R., R. Vondrak, K. Miller, T. Dabbs, and D. Hardy (1987), On calculating
  <sup>498</sup> ionospheric conductances from the flux and energy of precipitating electrons, *Journal*<sup>499</sup> of Geophysical Research: Space Physics, 92(A3), 2565–2569.
- <sup>500</sup> Senior, A., M. T. Rietveld, N. Borisov, M. Kosch, T. Yeoman, and F. Honary (2004),
- <sup>501</sup> Multi-frequency HF radar measurements of artificial F-region field-aligned irregularities.
- <sup>502</sup> Sojka, J. J., R. W. Schunk, and J. Whalen (1990), The longitude dependence of the dayside
- <sup>503</sup> F region trough: A detailed model-observation comparison, Journal of Geophysical <sup>504</sup> Research: Space Physics, 95(A9), 15,275–15,280.
- Thomas, E., J. Baker, J. Ruohoniemi, L. Clausen, A. Coster, J. Foster, and P. Erickson (2013), Direct observations of the role of convection electric field in the formation of a polar tongue of ionization from storm enhanced density, *Journal of Geophysical*

- X 26 SARNO-SMITH ET AL.: SUPERDARN ELECTRON NUMBER DENSITIES Research: Space Physics, 118(3), 1180–1189.
- Weber, E., J. Klobuchar, J. Buchau, H. Carlson, R. Livingston, O. Beaujardiere, M. McCready, J. Moore, and G. Bishop (1986), Polar cap F layer patches: Structure and
  dynamics, *Journal of Geophysical Research: Space Physics*, 91 (A11), 12,121–12,129.
- <sup>512</sup> Wright, D., J. Davies, T. K. Yeoman, T. Robinson, and H. Shergill (2006), Saturation and
   <sup>513</sup> hysteresis effects in ionospheric modification experiments observed by the CUTLASS
- and EISCAT radars, in *Annales Geophysicae*, vol. 24, pp. 543–553.
- <sup>515</sup> Xu, L., A. Koustov, J. Thayer, and M. McCready (2001), SuperDARN convection and <sup>516</sup> Sondrestrom plasma drift, in *Annales Geophysicae*, vol. 19, pp. 749–759.
- <sup>517</sup> Yeoman, T. K., G. Chisham, L. Baddeley, R. Dhillon, T. Karhunen, T. Robinson, A. Se-
- nior, and D. Wright (2008), Mapping ionospheric backscatter measured by the Super-
- <sup>519</sup> DARN HF radars Part 2: Assessing SuperDARN virtual height models.
- <sup>520</sup> Zhang, Q.-H., B.-C. Zhang, M. Lockwood, H.-Q. Hu, J. Moen, J. M. Ruohoniemi, E. G.
- <sup>521</sup> Thomas, S.-R. Zhang, H.-G. Yang, R.-Y. Liu, et al. (2013), Direct observations of the
- evolution of polar cap ionization patches, Science, 339(6127), 1597-1600.



**Figure 1.** Panel A is the line-of-sight (L-o-s) velocities from the Hankasalmi radar at frequencies of 15 MHz - 18 MHz on March 12, 2015 from 10:00 UT to 12:00 UT at range gates of 25 to 38. The range gates start at 480 km and have 15 km spacing from there. EISCAT is located at approximately range gate 32, where we have placed a black dotted line. Panel B is the spectral widths over the same frequencies and same time period.



Figure 2. Velocity distributions of each of the major frequency bands from the Hankasalmi radar. Panel A is 15 MHz, B is 16 MHz, C is 17 MHz, and D is 18 MHz for the campaign on March 12, 2015 from 10:00 to 12:00 UT.

DRAFT



Figure 3. Panel A shows the SuperDARN ray tracing model output for our experiment at 16 MHz for beam 5 on March 12, 2015. The radar-beam elevation angle ranges between 5 and 40 degrees, with 2 degree increments represented by the black lines. The silver lines are every 4th range gate (approximately 60 km) starting at 480 km (range gate 0). The background is  $N_e$  from the IRI model. The black star represents the approximate distance to the EISCAT Tromsø site. The purple line represents the magnetic field line at Tromsø, indicating the look direction of the EISCAT Heater. Panel B shows the IRI (blue) and EISCAT (orange)  $N_e$  profiles averaged between 10:00 to 12:00 UT on March 12, 2015.

July 25, 2016, 10:12am

DRAFT



Figure 4. The colored triangles represent the Hankasalmi radar STEREO mode data resampled on a 2 minute cadence for (A) on March 12, 2015 between 10:00 to 12:00 UT. Red is the calculated  $N_e$  from the 15 and 16 MHz frequency measurements, green is the 16 and 17 MHz frequency measurements, yellow is the 16 and 18 MHz frequency measurements, and blue is the 16 and 18 MHz measurements. The monochrome hexagons represent the small frequency shift (a few kHz) method of calculating  $N_e$  using Hankasalmi data observations over the same time period. The small frequency shifted  $N_e$  are also resampled on a 2 minute cadence. Black represents 15 MHz, dark grey is 16 MHz, light grey is 17 MHz, and white is 18 MHz. (B) is similar, with  $N_e$ from March 3, 2016 14:00 to 18:00 UT with red as STEREO mode between 13-15 MHz, green between 13 - 16 MHz, and gold between 15 - 16 MHz. The monochrome hexagons represent small frequency shift  $N_e$  calculations, with black as 13 MHz, dark grey as 15 MHz, and light grey as 16 MHz. For both methods in Panel A and B, the mean line of sight velocity over range gates 30-35 at each measurement is used in the calculation.

July 25, 2016, 10:12am



**Figure 5.** The colored triangles are the calculated  $N_e$  from the Hankasalmi STEREO observations for (A) March 12, 2015 from 10:00 to 12:00 UT and (B) March 3, 2016 from 14:00 to 18:00 UT. The shaded diamonds are the EISCAT  $N_e$  at 240 km for (A) and 220 km for (B). The have blue dotted line is IRI  $N_e$  at 240 km for (A) and 220 km for (B). The dashed lines are the maximum electron number density possible in regard to plasma frequency. The color of the triangles and dashed lines indicate what frequency pairs were used to calculate  $N_e$ . D R A F T July 25, 2016, 10:12am D R A F T



Figure 6. The ratio of  $N_e$  calculated from the Hankasalmi and EISCAT radars. The colored triangles are the calculated  $N_e$  from the Hankasalmi STEREO method resampled into 2 minute periods for (A) on March 12, 2015 from 10:00 to 12:00 UT and (B) on March 3, 2016 from 14:00 to 18:00 UT and divided by the EISCAT  $N_e$  at 240 km for (A) and 220 km for (B) at the same times. The black dotted line is where the Hankasalmi  $N_e$  equals the EISCAT  $N_e$ . The color of the triangle indicates what frequency pairs were used to calculate  $N_e$ . In (A) the green line is under the yellow one and in (B) the red line is under the green one.

July 25, 2016, 10:12am