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4 Abstract

- 5 Carbon incorporated into diatom frustule walls is protected from degradation enabling
- analysis for carbon isotope composition ($\delta^{13}C_{diatom}$). This presents potential for tracing carbon
- 7 cycles via a single photosynthetic host with well-constrained ecophysiology. Improved
- 8 understanding of environmental processes controlling carbon delivery and assimilation is
- 9 essential to interpret changes in freshwater $\delta^{13}C_{diatom}$. Here relationships between water
- 10 chemistry and $\delta^{13}C_{diatom}$ from contemporary regional data sets are investigated. Modern
- diatom and water samples were collected from river catchments within England and lake
- sediments from across Europe. The data suggest dissolved, biogenically produced carbon
- supplied proportionately to catchment productivity, was critical in the rivers and soft water
- lakes. However, dissolved carbon from calcareous geology overwhelmed the carbon
- signature in hard water catchments. Both results demonstrate carbon source characteristics
- were the most important control on $\delta^{13}C_{diatom}$, with a greater impact than productivity.
- 17 Application of these principles was made to a sediment record from Lake Tanganyika.
- $\delta^{13}C_{diatom}$ co-varied with $\delta^{13}C_{bulk}$ through the last glacial and Holocene. This suggests carbon
- supply was again dominant and exceeded authigenic demand. This first systematic evaluation
- of contemporary $\delta^{13}C_{diatom}$ controls demonstrates that diatoms have the potential to supply a
- 21 record of carbon cycling through lake catchments from sediment records over millennial
- 22 timescales.

Keywords

- carbon cycling; stable carbon isotopes; diatom frustule carbon; palaeoclimate; Lake
- 25 Tanganyika

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- 1 Title
- 2 Interpretation and application of carbon isotope ratios in freshwater diatom silica
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Introduction

- 4 Stable isotope analyses of the siliceous cell walls (frustules) of diatoms provide insights into
- 5 a broad range of environmental processes tracked from the perspective of a single,
- 6 ecologically well-constrained organism. To date, the majority of diatom-based stable isotope
- studies have focussed on the stable oxygen and silicon isotope composition ($\delta^{18}O_{diatom}$ and
- 8 δ^{30} Si_{diatom}) of diatoms from lacustrine and marine sediments (Leng and Barker, 2006; Swann
- and Leng, 2009; Leng and Henderson, 2013). Changes in $\delta^{18}O_{diatom}$ are used as a proxy record
- of water source and hydrological balance in palaeolimnology (Barker et al., 2001; Rioual et
- al., 2001; Shemesh et al., 2001) and global ice volume, temperature and local effects in
- palaeoceanography (Shemesh et al., 1995; 2002; Hodell et al., 2001). δ^{30} Si_{diatom} in freshwater
- is used to understand changes in climate, weathering, and soil processes through the balance
- of silicon supply and demand (De La Rocha et al., 2000; Ding et al., 2004; Street-Perrott et
- al., 2008). Within marine environments utilisation of dissolved silica can be reconstructed
- through the ratio of silic acid uptake by diatoms to initial dissolved concentrations (De La
- 17 Rocha et al., 1998; Varela et al., 2004; Cardinal et al., 2005).
- Diatom frustules are also a host for carbon isotopes measured on organic molecules occluded
- within diatom frustule walls ($\delta^{13}C_{diatom}$). This occluded organic matter comprises proteins and
- 20 long chain polyamines (Kröger and Poulsen, 2008) and represents a source of carbon
- 21 potentially protected from degradation over geological timescales (Singer and Shemesh,
- 22 1995; Crosta and Shemesh, 2002). During cell formation, diatoms source this carbon via
- 23 photosynthetic uptake from the surrounding water body. The fraction available for
- 24 photosynthesis is dissolved inorganic carbon (DIC), which diatoms take up preferentially as
- 25 dissolved CO₂ or as bicarbonate under conditions of high carbon demand (Giordano et al.,
- 26 2005). δ^{13} C_{diatom} provides a record of changes in this carbon pool, overcoming issues of
- sample heterogeneity and potential diagenesis associated with investigations of stable carbon
- isotopes of bulk organic material ($\delta^{13}C_{\text{bulk}}$) from sediments.
- Use of δ^{13} C_{diatom} as a palaeoenvironmental proxy is already well established within
- 30 palaeoceanography where $\delta^{13}C_{diatom}$ is usually interpreted as a record of pelagic primary

- 1 productivity as discrimination against ¹³C by diatoms is reduced during periods of high
- 2 carbon demand (Singer and Shemesh, 1995; Crosta and Shemesh, 2002; Schneider-Mor et
- 3 al., 2005). However, care is required in interpretation of $\delta^{13}C_{\text{diatom}}$ records, as further
- 4 biological variables with potential to impact carbon fractionation and isotope composition are
- 5 yet to be fully constrained (Jacot Des Combes et al., 2008). These variables include diatom
- 6 species assemblage, carbon availability and carbon source.
- 7 Two key factors that determine the degree of fractionation during photosynthetic carbon
- 8 uptake are the balance between internal and external CO₂ concentrations and discrimination
- 9 by the enzyme Rubisco (Jacot Des Combes *et al.*, 2008). The impact of these factors is
- 10 compounded by species-specific 'vital effects', including cell growth rate, geometry and
- growth environment. For example, high cell growth rates reduce the internal to external CO₂
- ratio (Hill *et al.*, 2008). Discrimination by Rubisco to ¹³C is theoretically proportional to this
- ratio (Korb et al., 1996; Hill et al., 2008), and fractionation of carbon will subsequently be
- less in faster growing cells. Conversely, where cell geometry maximises the surface area to
- volume ratio, CO₂ is more efficiently absorbed leading to a relative increase in carbon
- fractionation (Popp et al., 1998). Planktonic species have also been associated with lower
- δ^{13} C values compared to benthic varieties, attributed to the more turbulent growth
- environment of the former, which reduces the impact of boundary layer thickness on carbon
- uptake (France, 1995; France and Cattaneo, 1998; Wang et al., 2013).
- 20 Confinement of carbon isotopic analysis to the initial protein matrix established during cell
- 21 formation represents a carbon source less likely to be affected by such species-specific
- effects. In fact, tests of the impact of different diatom species composition on $\delta^{13}C_{diatom}$ from
- 23 freshwater Lake Challa, Mount Kilimanjaro were within analytical error (Hurrell et al.,
- 24 2011). To reduce the risk of any vital or species-specific effects, it is recommended within
- 25 the more established field of palaeoceanography to sieve to the <20µm fraction as it is here
- that the majority of diatom material is found and assemblages tend to be dominated by fewer
- species (Crosta and Shemesh, 2002).

- 29 Availability of DIC is also a key determinant of carbon fractionation, as slow diffusion of
- 30 dissolved CO₂ through water risks transport limitation. To prevent this, carbon concentrating
- mechanisms (CCMs), which manifest as active uptake of dissolved CO₂ and/or bicarbonate,

- 1 are believed to take place in almost all diatoms (Giordano et al., 2005). Utilisation of
- bicarbonate by diatoms can result in a further increase in δ^{13} C of the photosynthate by
- approximately 9% (Finlay, 2004). Whilst interpreting δ^{13} C_{diatom} records it is therefore
- 4 important to consider whether an increase in value has been enhanced by carbon transport
- 5 limitation.
- 6 Of particular relevance to interpretation of freshwater $\delta^{13}C_{diatom}$ is the carbon isotope
- 7 composition of DIC sources ($\delta^{13}C_{DIC}$). A far greater variety of carbon sources is found within
- 8 terrestrial environments compared to the open ocean. The associated $\delta^{13}C_{DIC}$ is in turn
- 9 diverse, ranging from 0% to +1% for carbonate bedrock and -26% to -20% for soil carbon
- in C₃ landscapes, for example (Clark and Fritz, 1997). The expression of these different
- origins is then modified by mixing and fractionation during carbon phase transformation and
- species changes in transfer from the catchment to the water body, or as a result of internal
- aquatic processing (Finlay, 2003).

- As a possible consequence of greater complexity introduced by more diverse carbon
- sourcing, there have been far fewer studies of $\delta^{13}C_{diatom}$ in palaeolimnology compared to
- palaeoceanography. Interpretations reached have also been inferential rather than
- reconstructions grounded in, and constrained by, modern environmental data (Hernández et
- 19 al., 2011; 2013; Barker et al., 2013). For example in Lago Chungará, Chile, diatoms
- deposited over the late glacial to early Holocene period have high $\delta^{13}C_{diatom}$ values (-27.5%)
- 21 to -22.6%) during arid stages compared to those of wetter, humid periods (-30.3% to -
- 22 25.4‰) when greater input of ¹³C depleted dissolved biogenic carbon to the lake from the
- catchment was likely (Hernández et al., 2011; 2013). Similarly, a sediment core from Lake
- 24 Challa, Mount Kilimanjaro, displayed positive correlation between $\delta^{13}C_{diatom}$ and $\delta^{13}C_{bulk}$
- during dry intervals as high diatom productivity depleted the lake DIC pool. This correlation
- largely broke down during wetter periods and it was hypothesised that increased catchment
- carbon loading satisfied demand from primary productivity (Barker et al., 2013). These lake
- sediment records demonstrate an application for $\delta^{13}C_{diatom}$ in tracing catchment carbon
- 29 cycling, and the importance of testing the down-core changes against contemporary
- 30 environmental relationships.

- 1 Here we (1) explore relationships between water chemistry and $\delta^{13}C_{diatom}$ from contemporary
- 2 data sets to more precisely determine the environmental controls of $\delta^{13}C_{diatom}$, and (2) apply
- 3 the understanding gained to assess the utility of $\delta^{13}C_{diatom}$ in unravelling temporal carbon
- 4 dynamics. Firstly, diatom epilithon (diatoms extracted from submerged biofilm comprising
- 5 other algae, bacteria, fungi and the products they secrete) from river reaches in North West
- 6 England were sampled to provide an understanding of detailed carbon dynamics at the
- 7 catchment scale. Rivers were investigated as they represent active hydrological pathways,
- 8 connecting a lake with its catchment. Secondly, $\delta^{13}C_{diatom}$ from sediment samples of lakes
- 9 situated across Central, North West and Northern Europe were analysed. These sediments
- were collected as part of a broader sampling campaign designed to study the relationship
- between methane concentrations, δ^{13} C of DIC and methane, and the carbon isotopic
- composition of aquatic invertebrate fossils and other sediment components (e.g. Schilder et
- al., 2015; Stötter et al., unpublished data). The lakes are geographically dispersed, spanning
- different climate zones ranging from temperate to boreal and incorporating diverse catchment
- geology with examples of calcareous and non-calcareous lithology (Rinta et al., 2015).
- Thirdly, we applied the method to core material from Lake Tanganyika, East African Rift
- 17 Valley. This lake has a well-established palaeoenvironmental history combined with
- deposition of sediments in anoxic conditions allowing for ideal organic carbon preservation.
- 19 Methodological refinements were made to reduce and assess any impact of species and vital
- 20 effects on the resulting $\delta^{13}C_{diatom}$ values.

22 Study Sites

- 23 Contemporary UK River Sites
- 24 Sampling of the North West England river catchments for epilithon and spot water chemistry
- samples took place in May 2012 over consecutive days to minimise hydroclimate variability.
- 26 Collection from riffles in 20 river reaches captured the late spring diatom bloom. Six major
- 27 river catchments were targeted; the Wyre, Ribble, Lune, Derwent, Leven and Eden, situated
- in North Lancashire and Cumbria (Fig. 1). Land-use was largely agricultural with land
- 29 proximal to the river and stream sites dominated by rough and improved pasture for grazing.
- 30 The geology underlying the sampling sites consisted of combinations of sedimentary rocks

- 1 (sandstones, siltstones, mudstones and gritstones) with volcanic rocks at the most easterly
- 2 sites.
- 3 Contemporary European Lake Sites
- 4 The European lake study consisted of surface sediments analogous to core tops, and spot
- 5 water chemistry samples from 30 relatively small (0.3 to 303 ha) lakes situated in five
- 6 countries; Switzerland, the Netherlands, Germany, Sweden and Finland (Fig. 2). Water
- 7 samples were collected 0.5m below the surface in late summer before the breakdown of water
- 8 stratification in the autumn (Rinta et al., 2015). The Swiss, Dutch and German lakes are
- 9 located in the temperate zone whereas the Finnish and Swedish lakes are in the hemiboreal to
- boreal zones. The underlying lithology of the Swiss, Dutch and German lakes is dominated
- by Quaternary sediments and older limestones. In contrast, the majority of the Finnish and
- 12 Swedish lakes are underlain by non-calcareous Precambrian bedrock covered by Quaternary
- deposits.
- 14 Lake Tanganyika Sediments
- 15 The Lake Tanganyika down-core study comprised 14 sediment samples taken from
- Kullenberg piston core NP04-KH04-4A-1K collected in 2004 as part of the Nyanza Project
- 17 (Felton et al., 2007). The pelagic zone of meromictic Lake Tanganyika is highly sensitive to
- catchment changes that alter the carbon and nutrient concentrations. The core was taken from
- 19 the Kalya Horst, which is a structural high within the southern basin of Lake Tanganyika
- 20 (Fig. 3). The coring location was situated below the oxycline, the anoxic state providing ideal
- 21 conditions for organic carbon preservation. Sediments were dated to the last ca. 34,000 years
- by correlation to a second, directly radiocarbon-dated core (NP04-KH04-3A-1K) (Tierney et
- 23 al., 2008) using 20 age/depth control points.

Methods

- 25 Pre-treatment and measurement of $\delta^{13}C_{diatom}$
- Successful determination of $\delta^{13}C_{diatom}$ relies on the removal of all sources of both inorganic
- 27 and organic carbon external to the frustule inclusions. To produce clean diatom material from
- the samples with variable organic carbon content we adapted the method described by Hurrell
- 29 et al., (2011), which was based on that of Singer and Shemesh (1995). All samples were first

- passed through a 1mm gauge sieve then heated to 70°C in 10% HCl for 2 hours to remove
- 2 inorganic carbon. For the Lake Tanganyika material a sub-sample was removed at this stage
- 3 for δ^{13} C_{bulk}.
- 4 For the determination of $\delta^{13}C_{diatom}$, organic carbon (excluding the occluded material) was
- 5 removed through oxidation by heating samples in 30% H₂O₂ for 15 hours at 70°C and a
- 6 further 2 hours at 100°C. Persistent organic carbon was eliminated through heating samples
- 7 to 70°C for 1 hour in concentrated HNO₃. Large mineral grains were separated by differential
- 8 separation and discarded. Clay and silt particles with similar densities to diatoms were
- 9 reduced by sieving to 20µm. Samples with no more than 1% carbon content were considered
- 10 free from contamination following Hurrell et al., (2011). Sieving to 20µm was also
- completed to reduce potential influence of species effects attributed to cell size and geometry
- 12 (Crosta and Shemesh, 2002). Permanent slides were made of the processed samples and
- outline diatom counts were made based on 150 valves. For the contemporary samples, diatom
- 14 genera were categorised by growth habitat as planktonic, benthic or colonial after Bellinger
- and Sigee (2010) as a further check for possible confounding vital effects.
- $^{13}\text{C}/^{12}\text{C}$ ratios for diatom material and bulk organic material from sediments were determined
- using an Elementar vario PYRO cube elemental analyser linked to an IsoPrime100 isotope
- 18 ratio mass spectrometer at Lancaster University for contemporary UK river samples and Lake
- 19 Tanganyika sediments, and at Isoprime UK in Cheadle for contemporary European lake
- samples. Analysis was by combustion within tin capsules at 950°C. ¹³C/¹²C ratios were
- 21 corrected against VPDB using within-run analysis of standards IAEA-CH-6 (sucrose), Low
- 22 Organic Content Soil Standard OAS and High Organic Content Soil Standard OAS
- 23 (assuming δ^{13} C values of -10.45% (IAEA, 2011), -27.46% and -26.27% (Elemental
- Microanalysis, 2011) respectively). Data are reported in the usual delta notation; within-run
- 25 replication of standard materials was <0.2‰ (1 SD, n= 10). To ensure consistency between
- laboratories and conditions of analysis, external precision was monitored by use of a standard
- 27 material analysed between all run sequences <0.2% (1 SD, n= 164). Precision of sample
- analysis was <0.2% (1 SD, n= 3). Where n= 2, sample replicates did not vary by more than
- 29 0.5‰.
- 30 Water Analysis

- 1 In-stream spot measurements of river site pH and electrical conductivity (EC) were taken
- 2 using a WTW Multi 340i multi-parameter water meter. Measurement accuracy was to 0.03
- 3 pH units and 1μS/cm. Analysis of river water samples was completed at Lancaster
- 4 University. Total phosphorus (TP) was measured following an acid-persulphate digest
- 5 (O'Dell, 1993) using a Seal Analytical AQ2+ discrete colorimetric analyser (Seal Analytical,
- 6 2005). Total dissolved nitrogen (TDN) was measured using an Analytical Sciences Ltd.
- 7 Thermalox analyser (BS EN, 2003). Detection limits (standard deviation of blanks multiplied
- 8 by 3) for TP and TDN analysis were 0.005mg/l and 0.13mg/l respectively.
- 9 For determination of δ^{13} C of the dissolved inorganic carbon pool (δ^{13} C_{DIC}) at the river sites,
- 10 10ml of river water was injected into 12ml pre-evacuated exetainers containing 150µl of de-
- gassed, concentrated phosphoric acid after Waldron et al., (2007). δ^{13} C values of the product
- 12 CO₂ were measured at the NERC Centre for Ecology and Hydrology, Lancaster using a GV
- 13 Instruments Ltd Tracegas Pre-concentrator coupled to an IsoPrime isotope ratio mass
- spectrometer. The isotope ratio of the resultant CO₂ was compared to pulses of known
- reference CO₂ and expressed relative to VPDB. Data are reported in the usual delta notation;
- within-run standard replication (1 SD) was better than or equal to $\pm 0.15\%$.
- 17 Lake water spot samples and measurements were taken in the deepest part of each lake basin
- using a 5l water sampler approximately 0.5m below the surface as described in detail in Rinta
- 19 et al., (2015). pH and EC were measured in the field using a pHScan 2 and WTW LF 330
- with TetraCon conductivity measuring cell respectively. Total phosphorus (TP), total
- 21 nitrogen (TN) and $\delta^{13}C_{DIC}$ were determined using laboratory methods described in Rinta et
- 22 *al.*, (2015).

Results

- 24 Contemporary UK River Sites
- 25 At least 90% of each assemblage consisted of the same nine benthic diatom genera.
- 26 Achnanthidium was present in all assemblages, generally as A. minutissimum, and was
- 27 typically dominant alongside Gomphonema, Cocconeis and Cymbella species. No systematic
- correlation was found between $\delta^{13}C_{diatom}$ and species composition in these data.

- 1 River water pH values ranged from 6.1 to 8.5 and EC from 16µS/cm to 331µS/cm (Table 1).
- 2 The nutrient concentrations confirmed these streams to have low-to-moderate trophic status
- 3 (EA, 1998), with a number of TP measurements below detection and maximum TP and TDN
- 4 values of 0.052mg/l and 1.10mg/l respectively. This is consistent with low intensity farming
- 5 practices that dominated the sampled area of North West England.
- δ^{13} C_{DIC} of the UK river waters ranged between -11.5% and -2.9%. In contrast δ^{13} C_{diatom} had
- 7 a smaller range, lying between -28.9% and -25.3%. Correlation analysis using Spearman's
- 8 rank correlation coefficient (IBM SPSS) identified a significant positive relationship between
- 9 δ^{13} C_{DIC} and δ^{13} C_{diatom} (r_s = 0.70, p< 0.01) (Fig. 4). Significant negative relationships were also
- identified between TDN concentrations and $\delta^{13}C_{DIC}$ (r_s = -0.77, p< 0.01) in addition to TDN
- 11 concentrations and $\delta^{13}C_{\text{diatom}}$ ($r_s = -0.73, p < 0.01$) (Fig. 5).
- 12 Contemporary European Lake Sites
- 13 The lake sediment diatom assemblages comprise planktonic life-forms alongside benthic and
- 14 colonial examples making them more diverse than the river assemblages. Dominant genera
- included planktonic Cyclotella, Aulacoseira and Stephanodiscus spp. Despite this diversity,
- no systematic correlation was found between $\delta^{13}C_{diatom}$, the species data, or the proportions of
- 17 different life-forms.
- 18 In comparison to the UK river study set, larger ranges in water chemistry values were
- measured in the lake waters. The pH values ranged between 5.4 to 8.9 and EC values from
- 20 24μS/cm to 462μS/cm (Table 2). Trophic condition varied from ultra-oligotrophic to
- 21 hypertrophic (OECD, 1982), reflecting a wide range of nutrients with maximum TP and TN
- values of 0.12mg/l and 2.30mg/l respectively.
- δ^{13} C_{DIC} values varied from -23.6% to -2.7%, and two distinct clusters were observed: the
- Swiss, Dutch and German lakes had $\delta^{13}C_{DIC} > -10\%$ (group 1), and the Swedish and Finnish
- lakes $\delta^{13}C_{DIC} < -10\%$ (group 2). These groupings corresponded to differences in catchment
- lithology, with lakes situated in hard water catchments containing calcareous bedrock (group
- 27 1) associated with $\delta^{13}C_{DIC}$ greater than -10%.
- The range in $\delta^{13}C_{diatom}$ values from -33.4% to -25.4% is lower than the range in $\delta^{13}C_{DIC}$.
- 29 Statistical comparison using a Mann-Whitney test (IBM SPSS) found the $\delta^{13}C_{diatom}$ values of

- each group of lakes differed significantly (U=42.5, z=-2.85, p<0.01, r=-0.52). Generally,
- 2 lakes with calcareous catchments (group 1) had more positive $\delta^{13}C_{diatom}$ (median: -26.7%),
- 3 compared with lakes in group 2 situated in non-calcareous catchments (median: -27.8‰). In
- 4 addition a smaller range in values of 2.3% was present in group 1 compared to 7.3% in
- 5 group 2.
- 6 As seen within the UK river data, a significant positive relationship was present between
- 7 European lake $\delta^{13}C_{DIC}$ and $\delta^{13}C_{diatom}$ (r_s = 0.59, p< 0.01) (Fig. 6). As was found for $\delta^{13}C_{DIC}$,
- 8 two groupings of δ^{13} C_{diatom} values emerged, with the Swiss, Dutch and German data (group 1)
- 9 forming a cluster of higher isotope values, and the Swedish and Finnish data points (group 2)
- spread along a linear gradient of lower isotope values. There is a strong relationship between
- 11 δ^{13} C_{DIC} and δ^{13} C_{diatom} in group 2 ($r_s = 0.63$, p < 0.01) but not between the equivalent values for
- lakes in group 1 ($r_s = -0.25$, p = 0.41). No significant relationships were identified between
- 13 δ^{13} C_{diatom} and either TP (r_s = 0.13, p= 0.49) or TN (r_s = 0.23, p< 0.22) concentrations.
- 14 Lake Tanganyika Sediments
- Diatom communities reconstructed from the sediments were dominated by planktonic taxa
- including Cyclotella, Aulacoseira and Stephanodiscus spp. δ^{13} C_{diatom} varied from -30.0% to
- 17 –22.4% equating to a range of 7.6% (Fig. 7a). In comparison δ^{13} C_{bulk} values were higher
- with a range of 7.3% from -28.2% to -20.9% (Fig. 7b). The offset between the two data sets
- varied from 0.4% to 4.2% with a median value of 2.7% (Fig. 7c). A significant positive
- relationship was present between $\delta^{13}C_{diatom}$ and $\delta^{13}C_{bulk}$ ($r_s = 0.73$, p < 0.01). At this coarse
- 21 millennial scale, lowest values for both $\delta^{13}C_{diatom}$ and $\delta^{13}C_{bulk}$ occurred between 14.8 ka and
- 5.5 ka, the period broadly recognised as the African Humid Period (deMenocal *et al.*, 2000)
- 23 (light shading). Conversely, both isotope proxies had their maximum values in sediments
- 24 dating to the end of the last glacial period and again in the late Holocene. Here also the
- smallest offset between the two records was measured. The corresponding trends in δ^{13} C_{diatom}
- and $\delta^{13}C_{\text{bulk}}$ closely track that of higher plant leaf waxes ($\delta^{13}C_{\text{wax}}$) (Fig. 7d), a terrestrial
- vegetation change proxy extracted from Lake Tanganyika sediments by Tierney et al.,
- 28 (2010).

Discussion

- 1 Previous studies of freshwater environments have identified (1) δ^{13} C of carbon sources, (2)
- 2 the relative contributions of these sources, and (3) ¹³C enrichment by preferential ¹²C uptake
- by lake primary producers (including diatoms) as key variables determining the $\delta^{13}C_{DIC}$
- 4 available to diatoms for assimilation as $\delta^{13}C_{diatom}$. Investigation into environmental controls
- of contemporary δ^{13} C_{diatom} over the different spatial and temporal scales reported here,
- 6 pinpoints the relative influence of carbon supply and demand factors, aiding the interpretation
- 7 of palaeolimnological records as well as contemporary carbon cycling.
- 8 Translation of the Carbon Cycling History
- 9 Positive relationships identified between $\delta^{13}C_{DIC}$ from waters and contemporary $\delta^{13}C_{diatom}$
- 10 represent the primary control of catchment carbon source on the carbon isotopes in the
- diatom frustules. Principal carbon sources within a freshwater catchment have both
- 12 geological and biotic origins and are associated with differing carbon isotopic ranges.
- Weathering of calcareous rock releases bicarbonate, which has a relatively high δ^{13} C value of
- 14 0‰ to +1‰ (Clark and Fritz, 1997). In contrast CO₂ released into soils from plant root
- respiration and vegetation decay has a lower δ^{13} C value, ranging from -26% to -20% in C₃
- and -12% to -6% in C₄ landscapes respectively (O'Leary, 1988; Mook *et al.*, 1974).
- Oxidation of methane, associated with δ^{13} C values between -80% and -50%, provides a
- carbon source that is even further ¹³C depleted (Whiticar, 1999).
- 19 These catchment carbon signatures are transported via infiltrating flows to freshwater bodies
- where they mix with dissolved carbon from autochthonous sources, including macrophytes
- 21 with recorded δ^{13} C values of -50% to -11% (Keeley and Sandqvist, 1992) and
- 22 phytoplankton with bulk values ranging between -42% to -26% (Leng and Marshall, 2004).
- Oxidation of such materials during decomposition enables further release of ¹³C depleted
- 24 CO₂, but if waters are stratified this process is slowed and the potential biotic carbon source
- is stored within anoxic sediments (Leng and Marshall, 2004).
- The resulting $\delta^{13}C_{DIC}$ signature of a water body is further impacted by atmospheric exchange
- which leads to preferential loss of ¹²C. In addition, primary productivity (including diatoms)
- results in discriminatory uptake of ¹²C. The pH of a water body is also significant as it
- determines the proportioning of different DIC species; each of which has a contrasting carbon
- 30 isotope signature. At pH 8 the percentage of DIC present as dissolved CO₂ is close to 0 and

- 1 hydration and disassociation of dissolved CO_2 into bicarbonate causes an increase in $\delta^{13}C$ of
- 2 approximately 9‰ (Clark and Fritz, 1997).
- $\delta^{13}C_{DIC}$ is therefore a record of catchment carbon cycling history reflecting sourcing and
- 4 further changes to the isotope value related to fractionation during carbon phase and species
- 5 changes. Final translation of the $\delta^{13}C_{DIC}$ signature to $\delta^{13}C_{diatom}$ is dependent on the
- 6 photosynthetic pathway used, and any species specific or vital effects that determine the
- 7 degree of fractionation on uptake. The positive correlation identified between $\delta^{13}C_{DIC}$ and
- 8 δ^{13} C_{diatom} in the UK rivers (Fig. 4) and European lakes (Fig. 6), suggests that even in sites of
- 9 highly varying environmental characteristics, $\delta^{13}C_{DIC}$ is a significant control in the
- 10 determination of $\delta^{13}C_{diatom}$.
- 11 The Role of Catchment Productivity
- Within the contemporary UK rivers sampled, DIC most likely had a biotic origin as no
- significant areas of calcareous geology were present in any of the catchments. The negative
- relationship identified between $\delta^{13}C_{DIC}$ and TDN concentrations (Fig. 5) supports this
- conclusion and indicated that river DIC pool characteristics were probably controlled by
- catchment productivity at this scale. This relationship showed that dissolved biotic carbon
- supply was enhanced within more productive catchments and aquatic primary productivity
- did not lead to relative enrichment in ¹³C. This is in agreement with the findings of Maberly
- 19 et al., (2013) who found a greater availability of DIC associated with more productive
- 20 catchments in the English Lake District. Increased loadings of dissolved biogenic carbon with
- 21 a relatively low δ^{13} C signature were attributed to catchment land-use, with greater availability
- of nutrients resulting in enhanced dissolved carbon release within the catchment.
- The absence of correlation between $\delta^{13}C_{diatom}$ and species composition suggests sieving to
- 24 control for species specific and vital effects was successful, or at least limited any significant
- impact on diatom isotopic value. In addition $\delta^{13}C_{diatom}$ values were consistently lower than
- δ^{13} C_{DIC} and fell within a small range of 3.6‰. This suggests possible uptake of bicarbonate
- via CCMs has not had a pronounced effect on the resulting diatom carbon values. These
- 28 findings show that $\delta^{13}C_{diatom}$ can be used to investigate catchment productivity, highlighting
- 29 the close coupling between a water body and its catchment conceptualised as the balance
- 30 between carbon supply and demand. For specific catchments the strength of this relationship

- 1 is dependent on the multiple and interacting controls on $\delta^{13}C_{DIC}$ in lake waters and its
- 2 translation to the diatoms.
- 3 The Role of Catchment Geology
- 4 In comparison to the UK river epilithon, the European lake sediments represented a
- 5 potentially more integrated temporal record of carbon cycling, with seasonal variability
- 6 masked by sediment accumulation during several annual cycles. In addition, the much
- 7 broader geographical range was clearly manifest in the heterogeneity of water chemistry
- 8 variables and diatom assemblage compared to that of the rivers. Most striking was the
- 9 identification of two groupings in $\delta^{13}C_{diatom}$ values, which coincided with both $\delta^{13}C_{DIC}$ and
- major geological differences in catchment carbon source characteristics.
- At the continental scale of analysis, no relationships were identified between $\delta^{13}C_{diatom}$ and
- productivity indicators TP or TN, either in the complete data set or within sub-groups. It is
- 13 likely that highly varied catchment carbon processing attributed to the diverse climate, land-
- use and geology represented obscured any record of pelagic carbon demand differences
- between the lakes. As seen with the UK rivers, the impact of species effects on δ^{13} C_{diatom}
- appears to have been limited successfully through sieving to <20μm. Instead, DIC
- characteristics appear to be the principal environmental controls of $\delta^{13}C_{diatom}$ at this scale.
- Higher $\delta^{13}C_{diatom}$ signatures (-27.7% to -25.4%) in lakes with calcareous catchments (group
- 1) compared to those without (-33.4% to -26.1%) (group 2) reflected the contribution of
- carbonate geology (associated with δ^{13} C between 0% and +1%) to respective lake carbon
- 21 pools. This demonstrated the significance of DIC sourcing to the production of an initial
- carbon signature, which is transferred to $\delta^{13}C_{diatom}$. Also influential was the relative
- availability of DIC for uptake by diatoms. The absence of a relationship between $\delta^{13}C_{DIC}$ and
- δ^{13} C_{diatom} in group 1 is probably a result of high background levels of geologically-sourced
- dissolved carbon. In addition the influence of geological sourcing on $\delta^{13}C_{diatom}$ in group 1
- 26 may have been compounded by likely enhanced uptake of bicarbonate via CCMs due to the
- 27 near 0% contribution of CO₂ to DIC at pH values over 8. It is only in the absence of
- significant geological carbon sources (group 2) where the transfer of a dissolved biogenic
- carbon signature reflecting catchment productivity can be determined in $\delta^{13}C_{diatom}$.
- 30 Interpretation of Palaeoenvironmental Records

- 1 Advancement of the findings by Maberly et al., (2013) concerning lake catchment
- 2 productivity to include rivers has important implications for interpretation of
- 3 palaeoenvironmental records. The close coupling between freshwater networks and
- 4 catchment carbon cycling, and in particular the relative availability of dissolved biogenic
- 5 carbon in response to land-use, has been clearly demonstrated. Analysis of δ^{13} C_{diatom} from
- 6 highly varied lake sites demonstrated the difficulties associated with developing a universal
- 7 model of catchment and water productivity relationships. Nevertheless, successful extraction
- 8 of $\delta^{13}C_{diatom}$ from lake sediments highlights the potential for obtaining palaeoenvironmental
- 9 archives of changes in catchment carbon cycles from lake sediment cores. In particular the
- 10 fundamental principal of a carbon supply and demand balance can be applied to the
- interpretation of freshwater $\delta^{13}C_{diatom}$ extracted from lakes situated in contrasting
- 12 environmental and climatic settings.
- 13 The sediments from Lake Tanganyika provided an opportunity to test these conclusions on a
- lake with a well-established palaeoenvironmental history (e.g. Gasse et al., 1989; Scholz et
- al., 2003; Talbot et al., 2006) that would be expected to respond to changes in carbon cycling
- at the landscape scale. Within the Lake Tanganyika sediments there is a close coupling
- between $\delta^{13}C_{diatom}$ and $\delta^{13}C_{bulk}$ throughout the 34,000 year record with an offset no greater
- than 4.2% (Fig. 7). Diatom values were consistently lower than bulk carbon suggesting
- diatoms were utilising the lighter isotope from dissolved carbon inputs. Using the conceptual
- 20 relationships developed above, these isotope changes are thought to indicate that the lake
- carbon pool principally reflected changes in the quantity and nature of carbon supplied from
- 22 the catchment with modifications by lake primary productivity as a secondary factor. This
- 23 finding is attributed to the great size of Lake Tanganyika and its catchment where,
- 24 particularly during wet periods, dissolved and particulate biogenic carbon produced in the
- 25 catchment would have significantly contributed to the lake carbon pool.
- Even during dry intervals of the last glacial period (Barker and Gasse, 2003) and the late
- Holocene (Haberyan and Hecky, 1987), signified by high δ^{13} C signatures in both δ^{13} C_{diatom} (–
- 28 24.7% to -22.4%) and δ^{13} C_{bulk} (-21.6% to -20.9%), maintenance of a correlation and a
- 29 constant offset indicates primary productivity did not significantly deplete the carbon pool.
- 30 This is despite a probable decrease in carbon delivery from the catchment and potential
- enhancement of lake mixing processes leading to nutrient recycling (Scholz et al., 2003). In

- addition to variability in carbon loading, the coinciding measurement of high δ^{13} C signatures
- 2 for diatoms and bulk sediments suggests a change in carbon source. Corresponding high
- $\delta^{13}C_{\text{wax}}$ values (-29.0% to -26.4%) at this time (Fig. 7d) are indicative of increased
- 4 prevalence of C₄-dominated savannah grassland within the Lake Tanganyika catchment
- 5 (Tierney et al., 2010). As a result of these ecosystem changes, greater contributions of
- dissolved carbon with higher δ^{13} C entered the lake during dry periods, and were thus
- 7 translated into higher $\delta^{13}C_{diatom}$ and $\delta^{13}C_{bulk}$ values. The discovery of pervasive terrestrial
- 8 supply domination over aquatic demand suggests large lakes are likely to have been
- 9 substantial carbon sources to the atmosphere over centennial to millennial timescales. This
- 10 contrasts to smaller lakes such as Lake Challa on the flank of Kilimanjaro where diatom and
- bulk carbon isotope records became periodically decoupled by enhanced in-lake productivity
- 12 (Barker *et al.* 2013).
- 13 The Lake Tanganyika study demonstrates that comparison of $\delta^{13}C_{diatom}$ with $\delta^{13}C_{bulk}$ extracted
- 14 from sediment cores enables catchment and lake carbon cycles to be disentangled,
- overcoming inherent ambiguities in the interpretation of bulk δ^{13} C. If geological carbon
- sources of lakes can be assumed to be constant and modifications to the soil carbon pool from
- vegetation changes can be understood, individual site histories can be reconstructed. Lake
- sediment $\delta^{13}C_{diatom}$ therefore represents a largely under-exploited resource with the potential
- 19 to provide highly insightful carbon cycling chronologies over millennial timescales.

Conclusion

- 21 Stable isotope analysis of diatom organic molecules occluded in silica constrains
- 22 uncertainties associated with measurements of undifferentiated sedimentary carbon. The
- 23 occluded organic matter also provides a carbon archive largely protected from degradation,
- oxidation and diagenesis. The application of the $\delta^{13}C_{diatom}$ method in freshwaters requires
- 25 adjustments to the standard inferences concerning pelagic productivity established by early
- marine studies. In freshwaters the controls of $\delta^{13}C_{diatom}$ are more complex due to the high
- 27 degree of connectivity between terrestrial vegetation, soils, bedrock and aquatic ecosystems.
- 28 Within these environments carbon supply-side characteristics, including relative abundance
- of DIC from differing sources and associated $\delta^{13}C_{DIC}$, are important controls that change as a
- 30 function of catchment characteristics at various spatial scales.

- 1 The concept of inorganic carbon supply and demand offers a useful framework through
- which to develop the interpretation of $\delta^{13}C_{diatom}$. Analysis of contemporary $\delta^{13}C_{diatom}$ from
- 3 UK river epilithon demonstrates the close linkages between carbon cycling in freshwater
- 4 networks and their catchments. In support of findings by Maberly et al., (2013), more
- 5 productive catchments are associated with greater availability of dissolved biogenic carbon.
- 6 Investigation of contemporary European lake surface sediments confirms palaeolimnological
- 7 inferences concerning catchment control of lake carbon supply made by Barker et al., (2013)
- 8 and Hernández et al., (2011; 2013). Consequently variations within a single site may be
- 9 readily interpreted in terms of land-use as the lithological template is held constant. It is
- presently not possible to produce a globally-relevant quantitative relationship between
- 11 δ^{13} C_{diatom} and specific environmental variables. However, as demonstrated by the Lake
- Tanganyika study, great potential lies in the use of δ^{13} C_{diatom} to inform interpretation of lake
- sediment records. Palaeoenvironmental interpretation could be further enhanced by modelling
- the transfer of carbon through specific catchments. Of particular significance is improved
- understanding of dissolved carbon cycling from diatom frustules, independent of particulate
- carbon compositional changes normally associated with lake sediment $\delta^{13}C_{bulk}$ analysis, to
- evaluate changes in freshwater ecosystems and palaeoenvironments.

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- 20 members of the IBiS (Isotopes and Biogenic Silica) working group, in which the main
- 21 motivations are to better understand the forcings on isotope data in biogenic silica, but also to
- 22 improve and standardise methods. We include the core data from Lake Tanganyika to reflect
- 23 Alayne's great love of Africa, and show her that future generations of scientists have been
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Table 1: Spot sample water chemistry and $\delta^{13}C_{diatom}$ values determined for each of the 20 UK river sites in this study. Median, maximum, minimum, range and the standard deviation for each parameter are included.

- 1 Table 2: Spot sample water chemistry and $\delta^{13}C_{diatom}$ values determined for each of the 30
- 2 European lake sites in this study. Median, maximum, minimum, range and the standard
- deviation for each parameter are included. Data from Rinta et al., (2015).

5

Figure Captions

6

7 Figure 1: Location of 20 UK river sites in this study. Lakes and watercourses are also shown.

8

9 Figure 2: Location of 30 European lake sites in this study.

10

- Figure 3: Location of the coring site in Lake Tanganyika, situated in the East African Rift
- 12 Valley.

13

- Figure 4: Significant positive relationship between $\delta^{13}C_{DIC}$ and $\delta^{13}C_{diatom}$ values determined
- for the contemporary UK river data set (r_s = 0.70, p< 0.01). Relationship identified using
- 16 Spearman's rank correlation coefficient.

17

- Figure 5: Significant negative relationships between TDN concentrations and $\delta^{13}C_{DIC}$ (white
- 19 points) ($r_s = -0.77$, p < 0.01) and $\delta^{13}C_{\text{diatom}}$ (grey points) ($r_s = -0.73$, p < 0.01) values
- 20 determined for the contemporary UK river data set. Relationships identified using
- 21 Spearman's rank correlation coefficient.

22

- Figure 6: Plot of contemporary European lake data set $\delta^{13}C_{DIC}$ and $\delta^{13}C_{diatom}$ values. A
- significant positive relationship was present across the whole data set (r_s = 0.59, p< 0.01).
- 25 When split and re-analysed no significant relationship was present between group 1 data
- 26 (grey points) (r_s = -0.25, p =0.41), however a stronger relationship was seen within group 2
- (white points) (r_s = 0.63, p< 0.01). Relationships identified using Spearman's rank correlation
- 28 coefficient.

- 30 Figure 7: $\delta^{13}C$ values from Lake Tanganyika sediments plotted against sample age. $\delta^{13}C_{diatom}$
- 31 (a), $\delta^{13}C_{bulk}$ values (b), and the offset between the two records (c) were determined for Lake
- Tanganyika sediment core NP04-KH04-4A-1K. $\delta^{13}C_{wax}$ are re-plotted from Tierney *et al.*,

- 1 (2010). Pale grey shading represents African Humid Period as defined by deMenocal et al.,
- 2 (2000). Dark grey shading represents likely peak in arid conditions experienced in East
- 3 Africa during the last glacial (Barker and Gasse, 2003).

5 Table 1

4

6

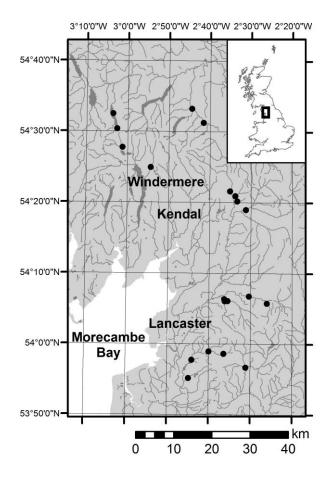
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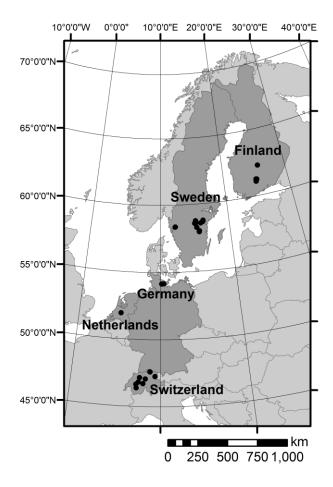
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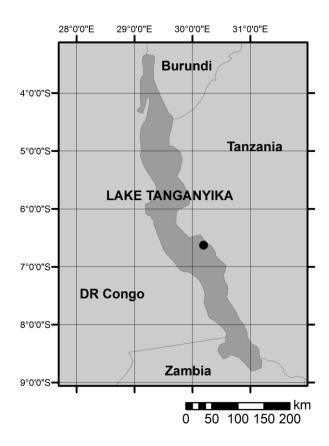
Catchment	Stream/ River	Hd	EC (µS/cm)	TP (mg/l)	TDN (mg/l)	$\delta^{13}\mathrm{C}_{\mathrm{DIC}}$ (% VPDB)	$\delta^{13} C_{ m diatom}$ (% VPDB)
Ribble/	Grizedale Brook	6.8	186	0.013	0.90	-11.0	-27.8
Wyre	River Dunsop	7.8	127	0.010	0.38	-7.4	-26.3
	River Wyre	7.8	174	0.052	0.76	-8.6	-28.4
	Marshaw Wyre	7.6	97	0.004	0.36	-7.5	-27.4
	Tarbrook Wyre	7.8	77	0.009	0.65	-7.0	-27.8
Lune South	Keasden Beck	7.9	197	0.013	0.39	-5.9	-27.3
	River Roeburn	7.9	118	0.007	0.39	-5.4	-26.2
	River Hindburn	8.0	161	0.011	0.59	-6.5	-28.2
	River Hindburn	8.1	148	0.012	0.48	-6.9	-26.5
	River Wenning	8.5	331	0.026	1.10	-8.5	-28.6
Lune North	River Rawthey	7.5	177	< 0.005	0.51	-8.0	-27.3
	Crossdale Beck	6.5	79	0.010	0.33	-6.9	-27.8
	Chapel Beck	7.0	91	< 0.005	0.39	-6.2	-25.3
	River Lune	7.8	227	0.006	0.57	-7.9	-27.4
Derwent /Levern	Trout Beck	6.6	103	< 0.005	0.67	-11.5	-28.7
	River Rothay	6.1	16	0.005	0.63	-9.3	-28.3
	Unnamed	6.4	47	< 0.005	0.44	-6.1	-27.8
	Unnamed	6.7	58	< 0.005	0.30	-2.9	-26.0
Eden	River Lowther	7.7	200	0.006	0.61	-8.5	-28.4
	River Lowther	8.2	192	0.007	0.59	-8.0	-28.9
Median		7.7	138	n/a	0.54	-7.5	-27.8
Maximum		8.5	331	0.052	1.10	-2.9	-25.3
Minimum		6.1	16	< 0.005	0.30	-11.5	-28.9
Range		2.3	315	n/a	0.80	8.5	3.6
Standard De	Standard Deviation		74	n/a	0.20	1.9	1.0

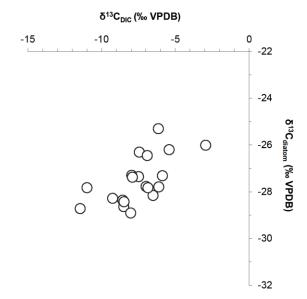
1 Table 2

Country	Lake	Hd	EC (µS/cm)	TP (mg/l)	TN (mg/l)	δ ¹³ C _{DIC} (‰ VPDB)	δ ¹³ C _{diatom} (% VPDB)
Switzerland	Lauenensee	6.6	462	0.007	0.53	-7.9	-26.1
	Schwarzsee	6.9	386	0.015	0.42	-9.0	-25.8
	Hinterburgsee	8.9	137	0.011	0.80	-6.0	-27.5
	Gerzensee	7.0	289	0.016	0.68	-4.4	-27.7
	Rotsee	8.8	183	0.034	0.81	-5.4	-27.1
	Burgäschisee	8.6	275	0.014	1.20	-5.6	-27.3
	Seealpsee	8.7	150	0.010	0.55	-6.6	-25.8
	Hasensee	7.7	329	0.036	1.20	-5.1	-27.5
	Hüttwilersee	8.5	316	0.015	1.10	-4.3	-26.7
	Nussbaumersee	8.1	346	0.024	1.20	-6.6	-26.7
Netherlands	De Waay	8.0	368	0.120	2.30	-9.1	-26.7
Germany	Holzsee	8.2	328	0.036	0.86	-5.5	-26.5
	Schöhsee	8.2	247	0.017	0.57	-2.7	-25.4
Sweden	Glimmingen	6.9	57	0.008	0.33	-19.0	-26.6
	Kisasjön	7.7	127	0.018	0.49	-18.9	-27.7
	Hargsjön	6.7	214	0.045	1.17	-19.3	-28.6
	Skottenesjön	6.9	139	0.038	0.65	-20.3	-27.5
	Erssjön	6.3	55	0.018	0.66	-19.7	-29.3
	Illersjön	7.6	311	0.025	0.48	-19.6	-27.8
	Mårn	7.7	132	0.027	1.05	-19.7	-26.5
	Stora vänstern	5.7	78	0.009	0.46	-19.3	-27.7
	Lillsjön	7.0	41	0.018	0.61	-20.1	-31.1
	Skärgölen	7.8	48	0.012	0.35	-18.4	-27.9
	Grissjön	6.5	27	0.011	0.39	-18.9	-29.9
Finland	Lovojärvi	7.1	123	0.027	0.84	-13.4	-26.5
	Syrjänalunen	6.1	59	0.003	0.20	-21.6	-29.4
	Nimetön	5.6	68	0.010	0.48	-23.6	-33.4
	Mekkojärvi	5.4	44	0.011	0.61	-14.0	-26.9
	Valkea-Kotinen	5.9	24	0.011	0.57	-22.8	-30.4
	Jyväsjärvi	6.1	75	0.025	0.62	-17.6	-26.1
Median		7.1	138	0.017	0.62	-15.8	-27.4
Maximum		8.9	462	0.120	2.30	-2.7	-25.4
Minimum		5.4	24	0.003	0.20	-23.6	-33.4
Range		3.5	439	0.117	2.10	20.9	8.0
Standard Deviation		1.0	130	0.021	0.41	7.0	1.8

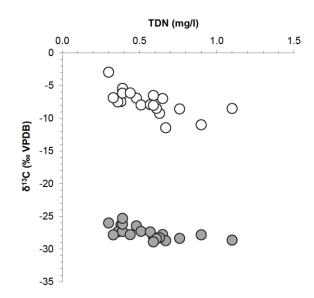


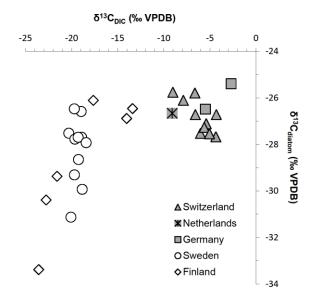






5 Figure 5





5 Figure 7

