

6 *Article*

7 **Quantifying effusion rates at active volcanoes through**
8 **integrated time-lapse laser scanning and photography**

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24 **Abstract:** During volcanic eruptions, measurements of the rate at which magma is erupted
25 underpin hazard assessments. For eruptions dominated by the effusion of lava, estimates
26 are often made using satellite data; here, in a case study at Mount Etna (Sicily), we make
27 the first measurements based on terrestrial laser scanning (TLS), and we also include
28 explosive products. During the study period (17–21 July, 2012), regular strombolian
29 explosions were occurring within the Bocca Nuova crater, producing a ~50 m high scoria
30 cone and a small lava flow field. TLS surveys over multi-day intervals determined a mean
31 cone growth rate (effusive and explosive products) of $\sim 0.24 \text{ m}^3 \text{ s}^{-1}$. Differences between
32 0.3-m-resolution DEMs acquired at 10-minute intervals captured the evolution of a
33 breakout lava flow lobe advancing at $0.01\text{--}0.03 \text{ m}^3 \text{ s}^{-1}$. Partial occlusion within the crater
34 prevented similar measurement of the main flow, but integrating TLS data with time-lapse
35 imagery enabled lava viscosity ($7.4 \times 10^5 \text{ Pa s}$) to be derived from surface velocities and,
36 hence, a flux of $0.11 \text{ m}^3 \text{ s}^{-1}$ to be calculated. The total dense-rock equivalent magma
37 discharge estimates range from ~ 0.1 to $\sim 0.2 \text{ m}^3 \text{ s}^{-1}$ over the measurement period, and

38 suggest that simultaneous estimates from satellite data are somewhat overestimated. Our
39 results support the use of integrated TLS and time-lapse photography for ground-truthing
40 space-based measurements and highlight the value of interactive image analysis when
41 automated approaches such as particle image velocimetry (PIV) fail.

42 **Keywords:** lava flow; scoria cone; effusion rate; terrestrial laser scanning; time-lapse
43 photography; Mt Etna
44

45 1. Introduction

46 Volcanic eruptions present a range of hazards including the explosive ejection of ash plumes and
47 ballistic projectiles, and the effusion of lava flows. The magnitude of such primary hazards is generally
48 strongly correlated with the mass or volume of magma erupted per unit time [1]. For explosive
49 eruptions, greater eruption rates are usually associated with more widely dispersed ash, but can also
50 result in tephra deposits which can present lahar or even collapse hazards (e.g. cones). For effusive
51 events, lavas erupted at high effusion rates can travel further than flows erupted at lower rates [2].
52 Estimating eruption and effusion rates at steady-state volcanoes is vital for now-casting the evolution
53 of eruptive crises and forecasting future behavior [3-5], and it is essential to consider the complete
54 erupted magma budget, including effusive products (lavas) and explosively erupted constructs such as
55 scoria cones. Here, we describe the first use of terrestrial laser scanning (TLS), in combination with
56 time-lapse photography, to provide effusion rate and cone growth measurements at an active vent. Our
57 results were acquired during a small mixed effusive and explosive episode of Mount Etna, Sicily, and
58 include a 10-minute-interval digital elevation model (DEM) time-lapse sequence of a lava flow inside
59 the active crater, from which lava rheology and emplacement processes can be characterized. The
60 activity did not generate any substantial volumes of tephra that were dispersed from the site in plumes,
61 thus local measurements of topographic change were sufficient to quantify the activity.

62 Accurate and frequent effusion rate measurements are of critical importance to update relevant
63 hazard assessments during eruptive volcanic crises. Commonly, estimates of lava output are made
64 from infrared satellite images [6-8] and, along with rheological information, can be used in flow
65 models to delineate areas of likely inundation. However, timely and frequent satellite data have low
66 spatial resolutions (e.g. MODIS, 6-hr repeat intervals and ~1 km pixels, SEVIRI, 15-minute repeat
67 intervals and ~3 km pixels), and calculations have to rely on suitably cloud-free conditions and the
68 interpretation of fractional pixel coverages. Consequently, there can be significant advantages in
69 integrating or augmenting satellite data with ground-based measurements, which can typically provide
70 both better temporal and spatial resolution [9,10].

71 Existing ground-based approaches for measuring lava effusion usually use relatively close-range
72 techniques such as manual surveying, photogrammetry or thermal imaging [11-15]. Data analysis can
73 involve radiance-based methods similar to those employed for interpreting infrared satellite imagery
74 [16], feature tracking to deriving flow velocities [14,17,18] or direct measurement of topographic
75 change [15,19,20]. However, the relatively short measurement distances that are typically required to
76 obtain good results can restrict the practical application of these techniques under many eruption

77 scenarios. Many TLS instruments also have relatively short ranges (e.g. hundreds of metres or less)
78 which have restricted their use on active volcanic systems.

79 Longer range measurements of active volcanic flows (e.g. over multiple kilometers) have been
80 carried out using ground- or space-based radar [21-23], thermal imaging [9] and long range TLS [24].
81 Unlike techniques based on thermal radiation, radar is able to observe through cloud, but cannot be
82 used to track rapid changes due to slow acquisition speeds or relatively infrequent space-borne
83 overpasses. Ground-based acquisition can enable more rapid data collection at greater spatial
84 resolutions although oblique views in rugged terrain can result in occlusion of areas of interest.
85 Nevertheless, TLS instruments have proved their utility for remote 3-D measurements of dynamic
86 environments such as rockfalls [25,26], landslides [27-31] and glaciers [32-34]. Data have been used to
87 cover otherwise inaccessible areas and give insight into stability, slope failure mechanisms, and
88 displacement rates over periods of hours to months, with some similar work being carried out on
89 volcanic edifices [35,36]. Despite its potential, laser scanning has seen limited use on active lava
90 flows, but channel dynamics and lava discharge rates have been assessed from repeated overpasses of
91 an airborne scanner [37], active regions of a flow-field identified by combining TLS data with time-
92 lapse thermal imagery [24], and TLS data have recorded fluctuations in the surface level of active lava
93 lakes [38].

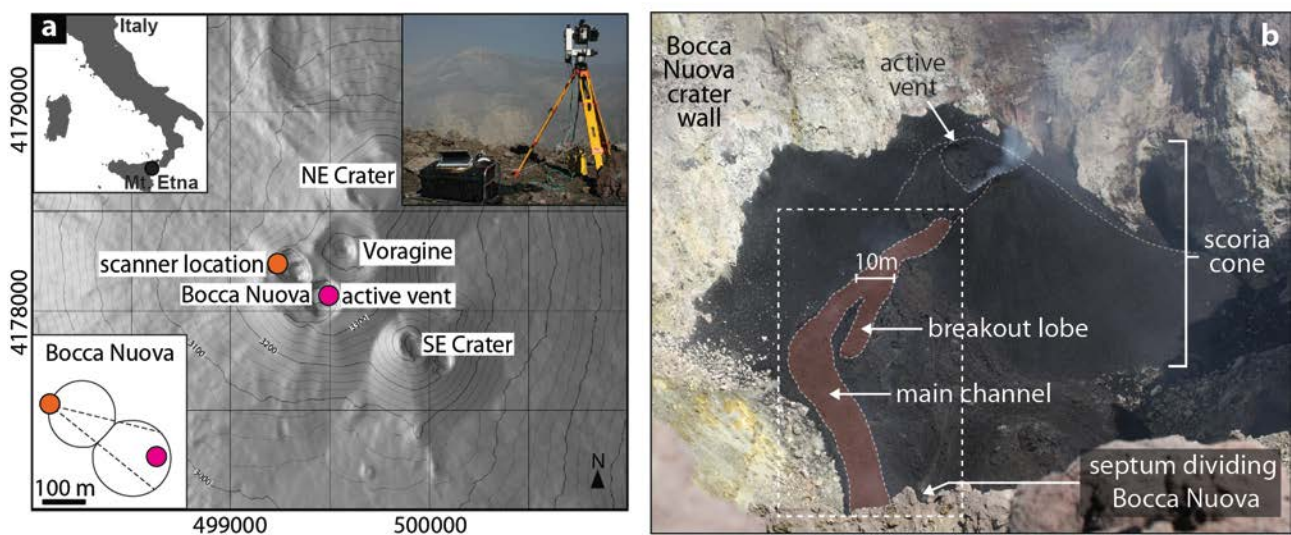
94 Time-lapse photography can complement TLS surveys due to being a relatively cheap technique
95 and most sensitive to changes which are perpendicular to the view direction (i.e. orthogonal to the
96 range measurements which underpin TLS). Automated analyses of image displacements have been
97 carried out on features such as glaciers and landslides [39-41], and similar techniques have seen
98 application on active lavas and volcanic domes (e.g. optical flow [15], dense stereo-matching [42] and
99 digital image correlation (DIC) [43]). However, relatively low image contrast and the rapidly evolving
100 nature of flow surfaces can represent a real challenge for automated analysis of visible imagery and, as
101 here, an interactive (manually guided) approach can be required.

102 Measurements of scoria cone growth rate [44-47] are less common than lava flow studies, and span
103 emplacement durations of weeks to decades. Changes in eruptive style and growth rates can be
104 frequent during cone growth [46-49], and often result in complex composite cones of interleaved lavas
105 and explosive products [50,51]. Measurements carried out during ongoing eruptions allow the hazard
106 derived from cone emplacement (such as flank instability and cone collapse) to be estimated, and aid
107 the interpretation of eruption conditions for older structures on Earth or other planets.

108 Here, we explore the use of TLS to quantify eruptive processes through a case study at Mount Etna,
109 carried out whilst a scoria cone and associated lava flow were being emplaced within the Bocca Nuova
110 summit crater. Successive DEMs acquired of the inside of the Bocca Nuova enabled time-averaged
111 measurements of the growing scoria cone and, by integrating the data with time-lapse imagery,
112 provided estimates of both lava rheology and effusion rate. Finally, we compare our results with
113 contemporaneous satellite-derived values, discuss the discrepancies that are presented and make
114 suggestions for improvements.

115 **2. The 2012 Bocca Nuova activity, Mount Etna.**

116 Mount Etna is a ~3330-m-high active stratovolcano in Sicily, Italy, with four continuously
 117 degassing summit craters (Figure 1a) producing lava flows and explosive activity [52], which also
 118 occur from eruptions on its flanks. In early July, 2012, eruptive activity comprising mild ‘strombolian’
 119 explosions and gentle lava effusion began in the ~100-m-deep Bocca Nuova summit crater. Activity
 120 continued for several weeks, during which a small scoria cone and lava flow field were formed within
 121 the crater (Figure 1b). Cloud and volcanic degassing within the Bocca Nuova crater frequently
 122 hampers visual observations but, on a number of July days, excellent visibility enabled views of the
 123 strombolian activity (with explosions every ~1 to 10 seconds) and revealed gentle lava effusion from
 124 the active vent that was feeding a small, well-established lava flow up to ~10 to 20 m wide and 120 m
 125 long (main channel, Figure 1b). During the study period, a breakout from the main channel formed a
 126 smaller flow lobe (~6 m wide and 25 m long), which was observed flowing down a pre-existing lava
 127 channel on the side of the scoria cone (breakout lobe, Figure 1b). The Bocca Nuova activity, as
 128 observed from monitoring cameras, continued with a gradually decreasing trend and mainly
 129 intermittent explosions from August until December 2012.
 130



131

132 **Figure 1.** Etna summit craters and the July 2012 activity. (a) Summit map showing the
 133 four active craters NE Crater, SE Crater, Bocca Nuova and Voragine, along with the scan
 134 site and location of the active vent within Bocca Nuova. Coordinates are in UTM Zone
 135 33N. Top right inset shows the TLS instrument at the crater rim. The lower left inset
 136 illustrates the twin-crater nature of the Bocca Nuova and the approximately south-east-
 137 looking TLS/camera view (dotted lines) of the active vent shown in the photograph (b).
 138 The photograph (taken from the scan site, 21 July, 15:00, with a 28 mm lens) shows the
 139 scoria cone topped by the active vent and surrounded by associated deposits, represented
 140 by the dark region covering the central ~50% of the image. The dashed box outlines the
 141 scan window used to capture the 10-minute interval lava flow TLS datasets.

142 3. Data acquisition and processing

143 On 17, 19 and 21 July, we deployed a Riegl LPM-321 TLS instrument on the western rim of the
144 Bocca Nuova. The LPM-321 is a very-long-range near-infrared laser scanner (905 nm laser
145 wavelength) which has been shown capable of providing useful data from up to 3.5 km on Mt Etna
146 [24]. At the Bocca Nuova, the instrument was located ~350 m from and ~100 m above the active vent
147 located on the floor of the crater (Figure 1); consequently, the long-range capability was not required
148 and data could be collected using the instrument's 'short range' setting (~1000 points per second). The
149 observation site was selected because it was safe and accessible, and provided a good view of the
150 active vent, scoria cone and near-vent regions of the lava flow. However, the main lava flow front was
151 obscured by a septum that divides the Bocca Nuova (Figure 1b), so could not be included in
152 observations. On all days, the full cone and adjacent crater walls were surveyed using an angular step-
153 width of 0.036° , to give an approximate ground resolution of 0.5 m.

154 On 21 July, a breakout lobe was observed advancing from the main lava flow, so the cone survey
155 was augmented by a time-series of repeated scans focused on the active lava stream (flow scan
156 window, Figure 1b). Each scan took ~10 minutes to complete and was immediately repeated, so that
157 acquisition of 10 scans occurred between 14:07 and 15:47 UTC. Data were captured at an angular step-
158 width of 0.036° which, for the flow region, gave an approximate ground resolution of 0.3 m. In
159 addition, a Canon EOS 500D camera with fixed 200 mm lens was used to capture 30-second interval
160 time-lapse images covering the near-vent regions of the active flow.

161 3.1. TLS data processing

162 With no requirement for accurate absolute geo-referencing, ground control targets with dGPS
163 coordinates were not used. To co-register full-cone scans for comparison, the survey from 17 July was
164 taken as a reference and the co-registration of subsequent surveys was refined using areas of static
165 topography (i.e. the crater walls) and the ICP multi-station-adjustment tool in Riegl's Riscan Pro
166 processing software. A similar approach was used to ensure accurate co-registration of each of the lava
167 time-series surveys from 21 July. Although the scanner was not moved between the repeated flow
168 scans, the oscillatory motion of the LPM-321 scan head can result in the instrument settling over time,
169 so small registration adjustments are recommended to minimize any accumulation of error [34].

170 To determine volumetric changes, the nature of the topography (i.e. few near-vertical surfaces at the
171 scales of interest), and the relatively homogenous data coverage, enabled appropriate volumes to be
172 derived by straightforward DEM subtraction. For the crater scans, DEMs were created by interpolating
173 the TLS point cloud data over a 0.5-m-resolution grid in QGIS software. For the flow-only scans
174 (which covered an area relatively close to the scanner) a 0.3-m resolution was used. Prior to DEM-
175 differencing, results were cropped so that only relevant areas were included within calculations.

176 Determination of volumetric change enabled overall cone growth and lava flux into the breakout
177 lobe to be assessed. However, a similar calculation of lava flux was not possible for the main flow, due
178 to the flow front being obscured from view and hence the full flow area not being captured. Thus, to
179 derive flux in the main channel, surface velocities were required in order to estimate the flow rheology
180 and hence, through modelling, calculate the flux. The relatively rapid evolution of the flow surface,
181 combined with the spatial and temporal resolution of the TLS data, meant that 3D feature tracking

182 could not be usefully used to derive surface velocities from the TLS data alone, so the data were
183 integrated with the time-lapse photography for velocity calculations.

184 3.2. Time-lapse photography processing

185 To determine lava flow surface velocities, feature displacements were tracked in the time-lapse
186 image sequence using ‘Pointcatcher’ (<http://tinyurl.com/pointcatcher>), a Matlab-based time-lapse analysis
187 software [20]. Pointcatcher enables automated normalised cross-correlation feature tracking (such as
188 used in many particle imaging velocimetry (PIV) approaches), or manual interactive tracking for
189 difficult image sequences in which automated analysis fails. Most of the Bocca Nuova flow surfaces
190 were ‘a’ā lava, for which the surface comprises decimeter and larger blocks, which rotate and move
191 against each other as the flow advances. Consequently, even over the 30-s intervals between
192 successive images, the resulting changes in image texture presented substantial challenges for
193 automated image matching approaches and meant that only manual tracking could be reliably
194 achieved. Thus, a manual interactive tracking approach was used in which individual features were
195 tracked over a number of images rather than just between image pairs.

196 After feature tracks were collected from the breakout flow and the main channel, the 2-D pixel-
197 measurements were converted into 3-D feature trajectories by re-projecting the image features onto the
198 TLS derived topography. To do this, the camera was first registered to the TLS coordinate system:
199 using the known camera position (adjacent to the scanner), the camera orientation could be determined
200 by projecting TLS data onto the image and adjusting the camera angles until the TLS data appeared
201 best aligned with the image scene. Due to computer-based matching between image features and
202 topographic data being extremely challenging, the alignment process was carried out manually, so is
203 not associated with formal error estimates.

204 3.3. Measurement error

205 The cited precision of LPM-321 range measurements is 0.015 m, but this is unlikely to be
206 representative of measurement repeatability over a highly irregular surface such as the scoria cone and
207 lava flow. Thus, to estimate vertical error between co-registered TLS surveys, the root mean square
208 error (RMSE) between static terrain areas in successive pairs of flow DEMs was derived, and the mean
209 calculated. We use the result (~0.05 m) to characterize the vertical error in calculations of volumes and
210 depths. Due to the ICP co-registration, horizontal accuracy is likely to be of similar magnitude, but
211 horizontal measurement precision is limited by the DEM resolutions (0.3 or 0.5 m).

212 Error magnitudes for flow surface velocity measurements are more difficult to determine due to the
213 number of steps involved and the non-linear nature of the re-projection process. To assess sensitivity to
214 uncertainty in the camera registration, the camera orientation was offset by 0.1° (almost three scan
215 lines) and point re-projections repeated. Due to the favourable imaging geometry, the effect on mean
216 velocities was only ~1%. Each point is also associated with a tracking error; the expected error in
217 manual feature tracking is ~1–2 pixels, and the footprint of time-lapse image pixels ~0.02–0.03 m.
218 Thus, with typical travel distances for tracked surface features of order 1–2 m, the error component of
219 velocity estimations was assumed to be sufficiently small it could be neglected.

220 We therefore characterize overall error for viscosity and effusion rate by calculating straightforward
 221 minima and maxima bounds based on uncertainties in channel width and flow thickness, for which we
 222 use ± 0.6 m and ± 0.05 m respectively, as conservatively large values.

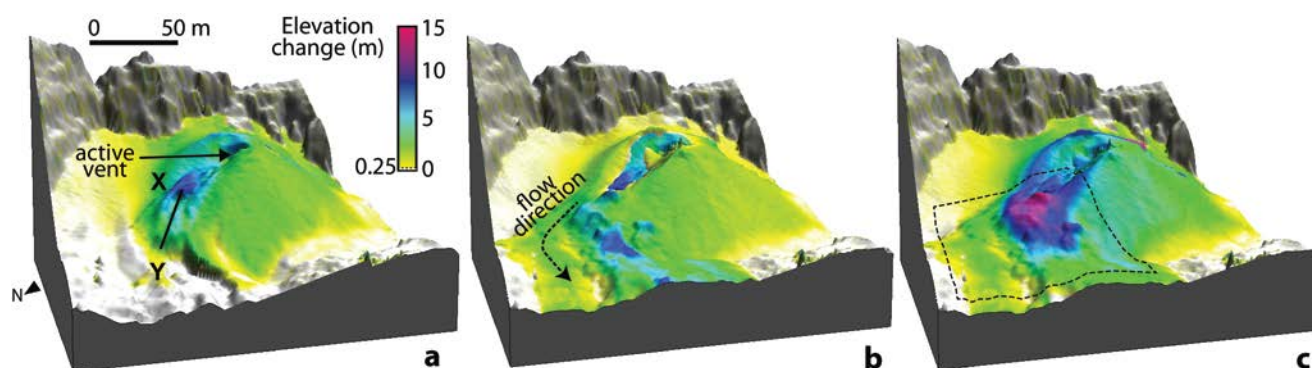
223 4. Results

224 A difficulty in discussing effusion rate measurements is their variability over time and space. We
 225 adopt standard terminology [2,11,53] in which ‘instantaneous lava effusion rate’ describes the lava
 226 volumetric flux at the vent, measured at a single point in time, and ‘time-averaged discharge rate’
 227 (TADR) represents mean conditions over a specified duration. Away from the vent, lava may flow in
 228 different channels, each with its own value of local lava flux. Combining contributions from both lava
 229 effusion and explosively erupted products leads to ‘total’ discharge rate. However, the densities of lava
 230 and other erupted deposits can differ significantly so volumes must be converted to ‘dense rock
 231 equivalent’ (DRE) values before meaningful combinations or comparisons can be made.

232 4.1. Cone growth rates 17–21 July

233 The three full-cone surveys enabled the growth of the cone to be assessed through DEM subtraction
 234 (Figure 2). Changes between the 17 and 19 July DEMs illustrate strong growth in the summit and
 235 north eastern regions (>10 m, Figure 2a), reflecting the relatively vigorous explosive activity over this
 236 period. Over the second period (19–21 July, Figure 2b), reduced explosive activity resulted in
 237 negligible summit growth and reduced growth of the cone flanks, but with extended lava emplacement
 238 in the north west sector. The respective volumetric changes of $\sim 4.7 \times 10^4$ m³ and 3.7×10^4 m³ for 17–
 239 19 July and 19–21 July, give rates of ~ 0.15 m³s⁻¹ DRE and ~ 0.10 m³s⁻¹ DRE using an estimated 50%
 240 correction factor to account for clast vesicularity and packing density of the scoria (as used for similar
 241 work on Mt Etna [54]). However, particularly for the second period, these values will represent
 242 minima due to the main flow front not being covered by the DEMs.

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245 **Figure 2.** Elevation change in the full-cone DEMs for (a) 17–19 July, (b) 19–21 July and
 246 (c) 17–21 July. The perspective view is aligned looking south east (as Figure 1b), with the
 247 lava flows in the foreground, effusing from a breach in the scoria cone. Sections of steep
 248 static topography in the background represent the lowest regions of the Bocca Nuova crater
 249 walls. In (c), the dashed outline illustrates the area of the DEM difference maps in Figure
 250 3a.

251 *4.2. TLS-based time-averaged lava discharge rates, 21 July*

252 The same DEM-difference approach was adopted for quantifying lava emplacement alone from the
253 TLS time-series acquired on 21 July. Sequential DEM-differences of the flow region clearly show
254 down-flow progression of distinct topographic features in some areas, evidence for flow variability
255 (pulses) and the advance of the breakout lobe flow front (Figure 3).

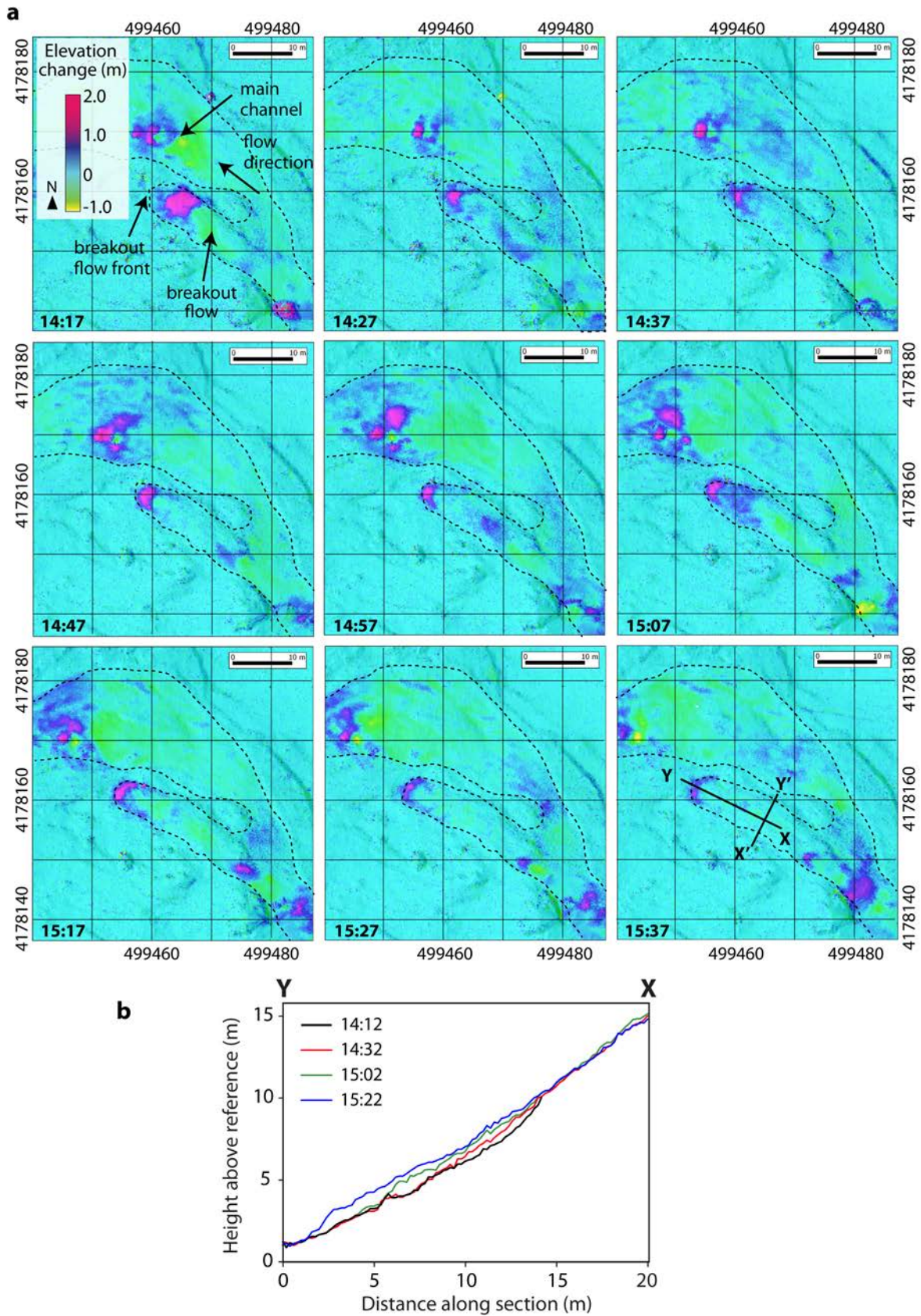


Figure 3. Elevation changes for the lava flow region from 10-minute-repeat-interval TLS scans. **(a)** Difference maps with the active flow region delineated by the dashed lines (the region covered by the maps is illustrated by the dashed box in Figure 2c). The time marked on each panel denotes the time in-between the acquisitions of the differenced scans. In

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panel 15:37, the labelled sections are those in (b) (X-Y) and in Figure 7 (X'-Y'). (b) Along-flow cross-sections between the points X and Y in (a), with the times given representing the midpoint times for each scan.

Focusing on the breakout lobe, the entirety of which is captured in the scans, indicates an advance rate of $\sim 0.18 \text{ m min}^{-1}$ and flow front thickening from $\sim 0.4 \text{ m}$ to 0.8 m as it advances. Volumetric change gives estimated TADR values from sequential scans which range between ~ 0.007 and $0.03 \text{ m}^3 \text{ s}^{-1}$ (Figure 4). Error on these values can be estimated by determining apparent volume changes over an equivalently sized area (240 m^2) of static topography. The root mean square of such volumes was 0.53 m , representing an uncertainty in the TADR values of $\sim 0.0009 \text{ m}^3 \text{ s}^{-1}$. To estimate discharge rates for the main channel, for which the flow front is not covered by the DEMs, a model-based approach relying on measured surface velocities must be used.

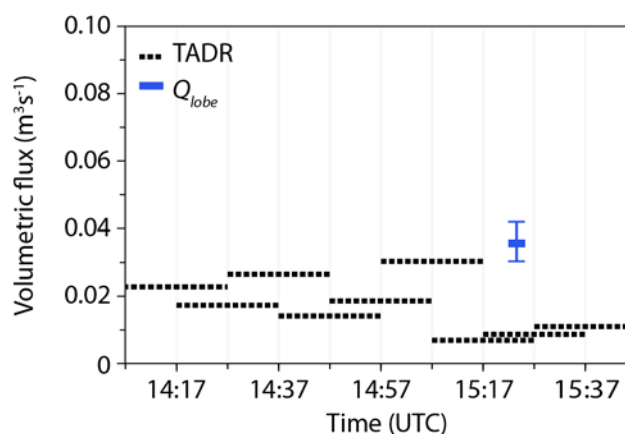


Figure 4. Volumetric flux estimates for the breakout lobe. TADR values are calculated from the elevation difference maps, with each line bracketing the entire start-to-end duration of the each scan pair used in the calculation. The estimated error in TADR is approximately the line thickness. The local effusion rate for the breakout flow lobe, Q_{lobe} (Section 4.3) is shown with the error bars illustrating the estimated the minima and maxima bounds.

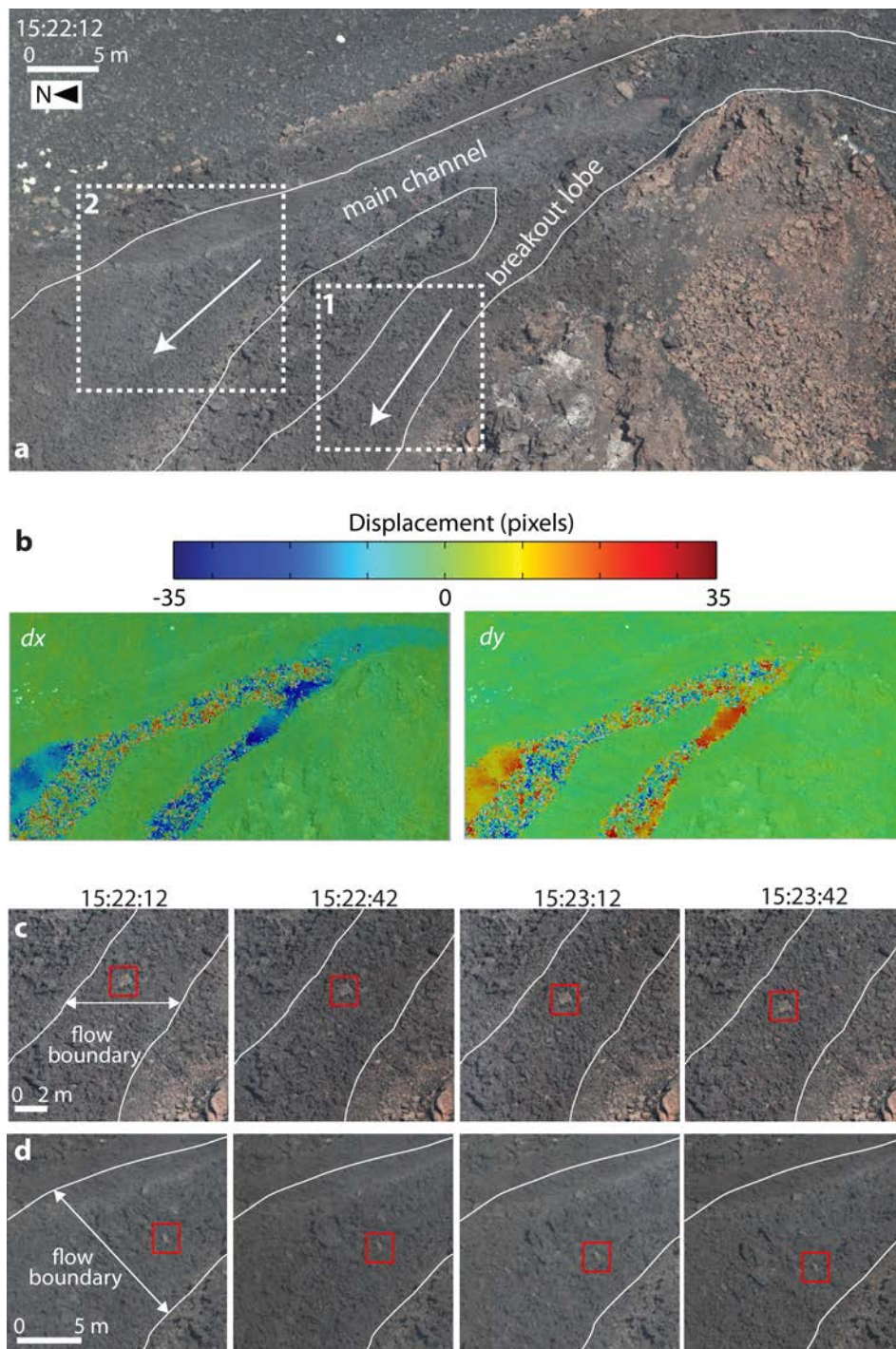
4.3. Image-based lava viscosity and instantaneous effusion rates

With a known surface velocity, flow models can be used to calculate lava flux given appropriate geometrical parameters (slope, cross-section flow dimensions and shape) and the lava's rheology. For basaltic lavas in close proximity to the vent (such as in the Bocca Nuova) and where significant cooling has not yet occurred, it is typical to assume a near-Newtonian rheology [55,56]. This means that for the breakout lobe, the geometry of which can be fully defined from the TLS data, a flow viscosity can be derived. By using this value for the main flow, channel depth (which could not be otherwise constrained) can be estimated, and hence a flux calculated.

For surface velocity measurements from the time-lapse images, weak image contrast and periods of obscuration by gas and condensing water vapor in the Bocca Nuova substantially limited the number of suitable images. Nevertheless, a set of seven successive images (15:22:12 to 15:25:12) were

291 identified in which features could be successfully tracked on both the breakout flow lobe and the main
 292 channel (Figure 5).

293



294

295 **Figure 5.** Time-lapse images of the active flow. (a) A near-full image showing the areas
 296 used to determine flow velocity for the breakout flow (box 1) and main channel (box 2).
 297 (b) The results of automated pixel displacement analysis using a PIV approach (covering
 298 the area shown in (a) for the 15:22:12–15:22:42 image pair, analysed using PIVlab v1.4
 299 [57,58]), split into x - and y -displacement components. Regions of smooth colour represent
 300 successful image matching; speckled areas indicate regions of noise where the matching
 301 has failed to track the flow surface movement. Extracts from the image sequence are

shown for Region 1 (b) and Region 2 (c) with the red boxes highlighting typical surface features that can be tracked with interactive techniques. Approximate scales are given for reference.

PIV analysis of image pairs illustrated the limitations of using automated approaches on difficult imagery (Figure 5b). Although some areas of the active flows were matched successfully (e.g. the region prior to the channel bifurcation, top right, Figure 5b) most of the active surface was not, resulting in randomly oriented displacement vectors which were not representative of the flow. Thus, for reliable velocity estimation, manual feature tracking was required.

Features were selected across each channel to give across-flow velocity profiles (Figure 6, 10 features on the breakout flow and 7 on the main channel). To derive flux, channel shape and slope, and flow width and thickness are required. For both the main channel and the breakout flow, channel width and slope can be measured directly from the imagery and TLS data. However, depth and channel shape cannot be directly measured for the main flow because the pre-flow topography was not surveyed. Nevertheless, if a cross sectional shape is assumed, an indirect estimate of depth can be made if flow rheology is known, and this could be derived from the breakout flow.

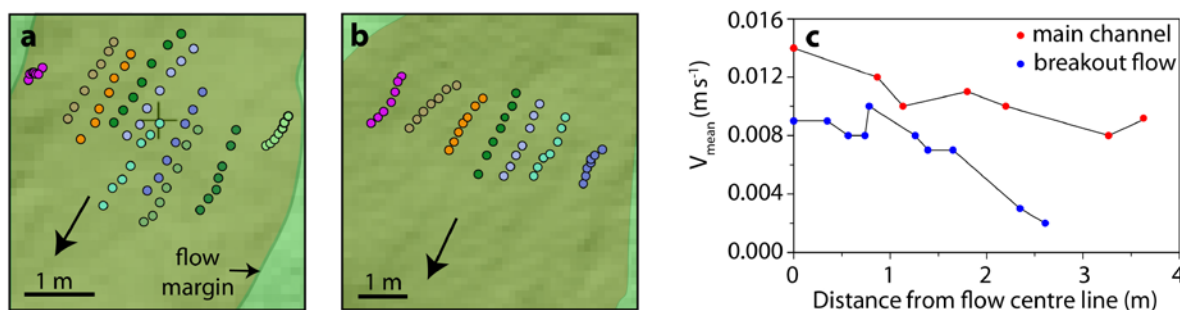


Figure 6. Flow feature displacements and mean surface velocities. Planimetric views of the re-projected point tracks on (a) the breakout flow, and (b) the main channel (regions 1 and 2 in Figure 5). (c) The calculated mean velocities from the point displacements.

For the breakout flow, cross sections through the original and the active flow surface show that it was travelling down a pre-existing channel (Figure 7), enabling the flow geometry to be reasonably represented with a rectangular cross section of thickness, h , and width, w .

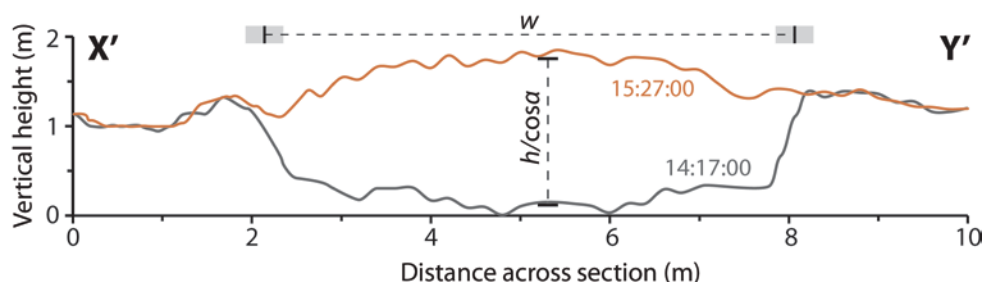


Figure 7. Vertical profiles through two DEMs demonstrating the topographic change due to advance of the breakout flow lobe down an existing channel. The section is perpendicular to the planimetric direction of flow and labelled with the width and height

328 values used to derive viscosity. Grey bars represent the inferred measurement uncertainty
329 but are too small to be visible in the vertical direction.

330 For a maximum surface velocity, V_{max} , down a slope, α , and assuming a Newtonian fluid of density,
331 ρ , the fluid viscosity, μ , is given by [56]

$$\mu = \frac{\rho g h^2 \sin \alpha}{2 V_{max}} \times \beta \quad (1),$$

332 where $\beta = 1 - \frac{32}{\pi^3} \sum_{n=1,3,5,\dots}^{\infty} \frac{1}{n^3} (-1)^{(n-1)/2} \operatorname{sech} \frac{n\pi w}{4h}$. Integration of flow velocity over the channel
333 cross section [56] gives the instantaneous discharge rate, Q , where

$$Q = 4/3 V_{max} w h \frac{\gamma}{\beta} \quad (2),$$

334 and $\gamma = \frac{384}{\pi^5} \frac{h}{w} \sum_{n=1,3,5,\dots}^{\infty} \frac{1}{n^5} \tanh \frac{n\pi w}{4h}$.

335 Thus, estimating a lava density of 2067 kg m^{-3} (reflecting a vesicularity of 22% as measured from
336 other eruptions at Etna [59,60]), Equations 1 and 2 can be used to calculate lava viscosity and flux for
337 the breakout channel. Using the derived viscosity, and retaining a rectangular channel shape, the main
338 flow depth can then be estimated (via Equation 1) and thus the flux calculated (Equation 2). Although
339 assumptions in channel shape can have substantial effect on estimated fluxes [61], with prior
340 knowledge of viscosity and surface velocity enabling a depth estimate, this sensitivity is theoretically
341 reduced to a few percent. Nevertheless, the underpinning assumption of a Newtonian rheology
342 remains, and the breakout flow's domed surface profile (Figure 7) suggests this may be a limiting
343 simplification. Summing the lava fluxes from the breakout and main flow (Table 1) and accounting for
344 the vesicularity then gives an instantaneous lava effusion rate for the active vent of $0.11 [0.10 - 0.13]$
345 $\text{m}^3 \text{s}^{-1}$ DRE.

346 **Table 1.** Breakout flow and main channel parameters.

| | Breakout flow | Main channel |
|---|-----------------------------|----------------------|
| Flow width, w (m) | 6.0 ± 0.6 | 10.0 ± 0.6 |
| Flow thickness, h (m) | 1.24 ± 0.05 | $1.48 [1.42-1.54]^a$ |
| Maximum flow velocity, V_{max} (m s^{-1}) | 0.0096 | 0.014 |
| Slope angle, α (degrees) | 28 | 28 |
| Newtonian viscosity, μ (Pa s) ^a | $7.4 [6.8-8.1] \times 10^5$ | |
| Instantaneous discharge rate, Q ($\text{m}^3 \text{s}^{-1}$) ^a | $0.036 [0.030-0.042]$ | $0.11 [0.10-0.13]$ |

347 ^a Bracketed values give upper and lower bounds based on calculated viscosity and width ranges.

348 ^b Bracketed values give the upper and lower bounds, based on flow width and thickness ranges.

349 5. Discussion

350 Although the 2012 eruption of the Bocca Nuova did not constitute a hazardous event in terms of Mt
351 Etna's activity, it provided excellent opportunity to test TLS performance for measuring effusion and
352 discharge rates in restricted environments, as well as estimate scoria cone growth rate. The measured
353 discharge rates are substantially lower than those recorded in 1999 (during which average values
354 ranged from 8.7 to 11.6 m³s⁻¹), when the crater overflowed [13]. Nevertheless, during 1999
355 instantaneous effusion rate measurements varied from 0.23 to 25 m³s⁻¹. From our measurements in
356 2012, the activity cannot be ascribed a definitive effusion or discharge rate due to variations through
357 time and the mixed contribution of lava and pyroclastic material to the cone growth. However, our data
358 indicate that average rates should lie between ~0.1 and ~0.2 m³s⁻¹ DRE.

359 5.1. Scoria cone growth

360 The cone growth of 8.4×10^4 m³ characterized by mild strombolian activity over the 4-day
361 measurement interval implies an average growth rate of ~0.12 m³s⁻¹ DRE for that period, with
362 emplacement slowing by ~30% between the first and last two days. When compared with recent much
363 more violent events, it is much slower than the recent growth of the New South East Crater, which has
364 grown episodically at ~50 m³s⁻¹ during powerful lava fountaining eruptive episodes in 2011–13 [54].
365 By comparison, the 2002 Laghetto cone was emplaced over 15 days of mixed strombolian,
366 phreatomagmatic and lava fountaining activity at an average rate of ~1.5 m³s⁻¹ DRE [48], and the
367 average growth rate of the North East Crater during its initial 60 years of life has been estimated at
368 ~0.0005 m³s⁻¹ [50]. Nevertheless, the Bocca Nuova cone grew at the same order of magnitude as short-
369 term rates measured at other volcanoes, e.g. Izu–Oshima (0.9 m³s⁻¹, 1986 [47]) La Fossa cone,
370 Vulcano (0.2 m³s⁻¹, 1888–90 [62]) and Ngaurouhoe (0.65 m³s⁻¹, 1954–55 [63]).

371 5.2. Lava emplacement and rheology

372 The LPM-321 is optimized for very-long-range rather than rapid measurement. Consequently, for
373 the lava flow, the relatively slow scan speed (with scans taking ~10 minutes to complete) will have led
374 to some apparent distortion of moving areas within the DEMs. However, through repeating scans by
375 using identical acquisition parameters, there will be negligible effect on volumetric changes calculated
376 from difference DEMs, and they can be considered to represent time-averaged values.

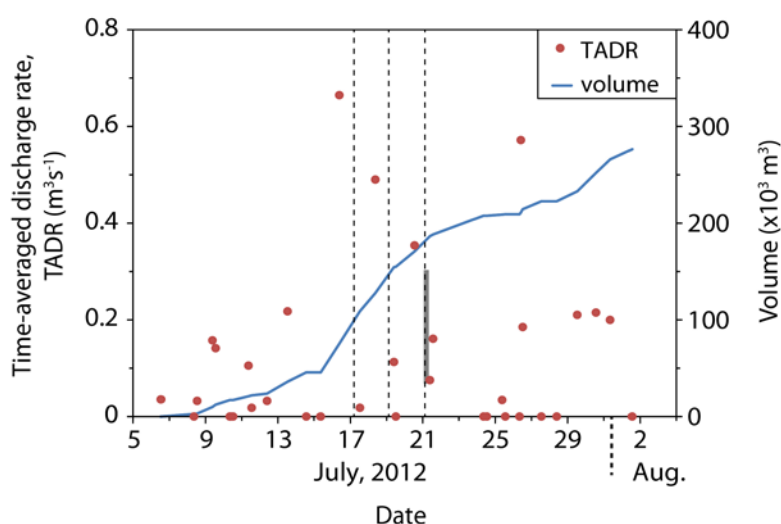
377 Lava rheology is very difficult to measure directly in the field due to the hazards and temperatures
378 involved, but forms an important input into the sophisticated numerical models used to forecast
379 maximum flow lengths and extents. Thus, estimates made from recorded flow surface velocities and
380 channel geometries form a valuable contribution to hazard assessments and, necessarily, they often
381 assume a Newtonian rheology due to the scarcity of available measurements. However, although flow
382 widths are generally straightforward to ascertain, measurements of depth are typically very poorly
383 known (if channels drain, then depths can be observed [13,15,37]). Alternatively, as for the breakout
384 flow here, flow fronts can be monitored and thus the pre-existing topography determined [15,19,20].
385 Thus, with a known cross section, and the ability to compare velocity-based model output with that
386 from differences between TLS-derived DEMs, our results are unusually well constrained for field data.
387 Our rheological measurements sit within the range of previous observations at Mt Etna, e.g. viscosities
388 between $\sim 1.4 \times 10^4$ Pa s [64] in near-vent regions, and up to $\sim 10^6$ Pa s for channels multiple kilometers

389 from the vent [15]. Our time-lapse flow DEMs also provide options for further work such as using the
 390 flow thickening to constrain rheological change through detailed flow modelling, in conjunction with
 391 thermal data.

392 5.3. Comparisons with satellite-derived results

393 During the 2012 Bocca Nuova activity, TADR estimates were also being automatically generated
 394 by the HOTSAT volcano monitoring system [65] (Figure 8). HOTSAT uses infrared satellite data from
 395 MODIS and SEVIRI but, due to the small area involved during this eruptive activity, thermal
 396 anomalies were not detected by SEVIRI, indicating that the thermal activity was always less than ~100
 397 MW per pixel (the minimum detectable radiative power [66]). Nevertheless, 21 nighttime July MODIS
 398 acquisitions showed thermally anomalous pixels (Figure 8).

399



400

401 **Figure 8.** MODIS-derived TADR and cumulative erupted volume estimates. The dashed
 402 vertical lines indicate the acquisition start times of the TLS cone scans, and the grey bar
 403 represents the range of the TLS-derived TADR values (Figure 4).

404 Although each satellite image is acquired effectively instantaneously, the processed results reflect
 405 time-averaged discharge values rather than instantaneous effusion rates because the spectral radiance
 406 from the entire flow field is used [8,67]. In the case of the Bocca Nuova, caution is further required
 407 when converting from radiated thermal energy to TADR due to the insulating conditions at the base of
 408 the ~100-m-deep crater. The enclosed environment is expected to result in substantial ambient
 409 temperature increases, which affect the relationship between radiative and convective heat losses from
 410 the flow and TADR estimates. To try and account for this, we use conversion parameter values that are
 411 generally applied to well-insulated flows [68], and derive a DRE TADR time series with a maximum
 412 value of $0.66 \text{ m}^3\text{s}^{-1}$ (16 July) and an average of $0.17 \text{ m}^3\text{s}^{-1}$ (Figure 8).

413 This average value is not too dissimilar to the instantaneous effusion rate of $\sim 0.11 \text{ m}^3\text{s}^{-1}$ DRE
 414 inferred from TLS and time-lapse images measurements on 21 July. However, integrating the
 415 HOTSAT estimates that cover the duration of our field campaign gives an emplaced lava volume of
 416 $\sim 1.1 \times 10^5 \text{ m}^3$ over 120 hrs, representing a mean TADR of $\sim 0.26 \text{ m}^3\text{s}^{-1}$ DRE, which is more than twice

417 the ground-based results. Overestimation in the satellite data is also suggested by consideration of the
418 MODIS-derived TADRs between 4 July and 4 August, which give a cumulative volume of $\sim 2.6 \times 10^5$
419 m^3 . This would represent an average lava thickness of ~ 15 m over the entire crater area ($\sim 1.7 \times 10^4$ m^2)
420 that was not observed. It is probable that the bias towards overestimates reflect error in the radiance
421 conversion parameters and relatively large magnitude radiance contributions from the vent itself, and
422 possibly also from pyroclastic deposits; similar factors have been inferred responsible for variation in
423 detected radiance during periods of strombolian activity at Stromboli [69]. It is likely that such errors
424 could have been reduced if additional ground-based effusion rate estimates could have been made,
425 which would have enabled conversion parameters to be calibrated specifically for the Bocca Nuova.

426 5.4. Use of TLS and time-lapse photography during future and larger eruptions

427 Ground-based time-lapse imagery is being increasingly collected as part of routine volcano
428 monitoring as well as in specific campaigns. For imagery to be suitable for deriving flow properties
429 from automated analyses, matching algorithms must be able to reliably identify patches of image
430 texture in different images. Texture depends on the contrast in the scene, the scales over which it varies
431 and imaging parameters such as the viewing distance, lens characteristics and camera resolution.
432 Texture changes which hinder matching (such as the due to the evolving nature of the surface) can be
433 reduced by imaging at higher temporal or lower spatial resolutions (e.g. more frequent images from
434 longer distances). However, this impacts the theoretical measurement precision, and factors such as
435 heat shimmer may also become important sources of noise. If available, thermal imagery can be used
436 to provide much stronger texture than visible imagery, but usually at nearly an order of magnitude
437 lower spatial resolution. Thus, where automated analyses fail, interactive approaches can be used to
438 deliver displacements, and error can be reduced by feature-tracking over multiple frames.

439 For TLS use, the Bocca Nuova activity represented an opportunity in which a mixed effusive and
440 explosive eruption could be observed from a safe location with a relatively high-angle view. In the
441 case of more hazardous activity associated with greater effusion rates, such close-range measurements
442 would not be possible and longer-range, more oblique views (increasingly affected by occlusions) are
443 likely. This could favor airborne data collection, if flights were possible (and could be funded).
444 However, overflights are seldom sufficiently frequent to assess flow dynamics, and the multiple lidar
445 acquisitions of Favalli *et al.* [37], at intervals down to ~ 15 minutes, represent a highly unique dataset.

446 At Mt Etna, the most recent lavas have flowed into the Valle del Bove (up to ~ 6 km wide), where
447 they can be overlooked from the valley sides and can be measured with the LPM-321 [24]. The
448 associated pyroclastic cone (New South East Crater) can also be viewed from safe locations. More
449 modern very-long-range TLS systems offer extended range performance and significantly increased
450 acquisition speeds, thus represent good opportunities for monitoring the emplacement of substantial
451 lava flows and cones. For example, over a range of ~ 3500 m a modern very-long-range scanner may
452 be capable of returning 30,000 points per second, with a laser footprint of ~ 0.4 m and a minimum point
453 spacing of ~ 0.12 m. These greater speeds and higher spatial resolutions will enhance options for
454 feature tracking in datasets and may facilitate lava surface velocity measurements from TLS data
455 alone. Instrument performance under conditions involving strong degassing (which hinders data
456 collection by droplets in condensing plumes giving false returns and creating occlusions) will also be

457 of significant interest. Thus, as observation distances increase, so will challenges associated with data
458 quality, and data cleaning and software-based optimization of scan position [70] will prove valuable
459 tools for maximizing useful data return.

460 TLS campaigns can add valuable constraints, both directly and indirectly, to other remote-sensing
461 techniques. For integration with ground-based time-lapse imagery (visible or thermal), single
462 acquisitions may provide sufficient topographic data to aid analysis and image rectification. Time-
463 lapse imagery can then be used to provide high spatial and temporal resolution quantitative data for
464 change detection. However, for calibrating TADR estimates from satellite data in difficult
465 environments, multiple TLS-TADR measurements are required, which should be well synchronized
466 with some of the satellite acquisitions. Although this may be possible using campaign-style
467 deployments, it would be easier if a permanent instrument installation was available. Very-long-range
468 measurement capability may make this a practical consideration in some cases, and would also provide
469 substantial improvements in instrument stability to facilitate small-scale change detection for
470 deformation measurement and collapse forecasting.

471 **6. Conclusions**

472 Our study of the Bocca Nuova crater, Mt Etna, presents the first use of TLS for constraining
473 effusion and discharge rates for mixed effusive and explosive eruptive activity. Total discharge rates of
474 $\sim 0.1\text{--}0.2\text{ m}^3\text{s}^{-1}$ DRE were recorded, with the range reflecting variability through time and the
475 difficulties in separating contributions from lava flow and pyroclastic cone growth. The average
476 emplacement rate of the cinder cone during its mild strombolian activity over 4 observed days was
477 $\sim 0.12\text{ m}^3\text{s}^{-1}$ DRE. This is in between the $\sim 50\text{ m}^3\text{s}^{-1}$ growth of the New South East Crater from lava
478 fountaining [54], and the very low growth rate of the NE Crater during its first 60 years of mild
479 strombolian activity, estimated at $\sim 0.0005\text{ m}^3\text{s}^{-1}$ [50]. Integrating TLS surveys of active lava flows
480 (acquired at 10-minute intervals) with time-lapse photography enabled simultaneous estimates of time-
481 averaged lava discharge, instantaneous surface velocities and effusion rate, and rheology. The enclosed
482 environment of the Bocca Nuova and relatively mild nature of the eruption are challenges for deriving
483 accurate discharge measurements from satellite data, and likely result in overestimates. Thus, our
484 results demonstrate the potential of TLS for characterizing active volcanic processes and their
485 associated hazards, and for supporting the interpretation of other remote sensing approaches.

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491 **Author Contributions**

492 All authors carried out the fieldwork and contributed to the manuscript preparation. NS and MRJ
 493 were responsible for the main field datasets and NS carried out the data analysis. Satellite data were
 494 processed by GG.

495 **Conflicts of Interest**

496 The authors declare no conflict of interest.

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