

Quantum confined acceptors and donors in InSe nanosheets

G.W. Mudd,¹ A. Patanè,^{1a} Z.R. Kudrynskyi,² M.W. Fay³, O. Makarovskiy,¹

L. Eaves,¹ Z.D. Kovalyuk,² V. Zolyomi,⁴ and V. Falko⁴

¹*School of Physics and Astronomy, The University of Nottingham, Nottingham NG7 2RD, UK*

²*Institute for Problems of Materials Science,*

The National Academy of Sciences of Ukraine, Chernivtsi, 58001 (Ukraine)

³*Nottingham Nanotechnology and Nanoscience Centre, The University of Nottingham,*

Nottingham, NG7 2RD, UK

⁴*Physics Department, Lancaster University, Lancaster, LA1 4YB, UK*

Abstract

We report on the radiative recombination of photo-excited carriers bound at native donors and acceptors in exfoliated nanoflakes of nominally undoped rhombohedral γ -polytype InSe. The binding energies of these states are found to increase with decreasing flake thickness, L . We model their dependence on L using a two-dimensional hydrogenic model for impurities and show that they are strongly sensitive to the position of the impurities within the nanolayer.

Corresponding authors: amalia.patane@nottingham.ac.uk

KEYWORDS: metal chalcogenides, photoluminescence, van der Waals crystals, InSe

Van der Waals (vdW) heterostructures made by stacking atomically thin exfoliated two-dimensional (2D) crystals represent a promising class of materials¹⁻³ for functional electronic devices such as tunnel transistors,⁴⁻⁵ resonant tunnel diodes,⁶ photovoltaic sensors,⁷ and LEDs.⁸ The electronic properties of these devices can be controlled by selection of the materials within the stack, the built-in strain and/or relative orientation of the component crystalline layers.⁹⁻¹³ Among the vdW crystals, InSe represents an exfoliable and stable compound that enriches the current “library” of 2D crystals (graphene, hBN, MoS₂, WS₂, *etc.*). It is a semiconductor with direct band gap energy that can be increased due to quantum confinement by reducing the number of layers in the crystalline sheet.¹⁴ The observation of tuneable absorption in the near-infrared and visible spectral range,¹⁵ and the recent reports of high-performance and bendable photodetectors¹⁶, *p-n* junctions¹⁷, and FETs¹⁸ with high room-temperature mobility ($0.1 \text{ m}^2\text{V}^{-1}\text{s}^{-1}$) have demonstrated the potential of InSe for device applications. Further interest in InSe arises from its electronic band structure: as a single- or few-layer nanoflake, this compound becomes an indirect band gap semiconductor and develops a sombrero-shaped energy dispersion curve for holes near the valence-band edge.¹⁹⁻²⁰ This feature may lead to a Lifshitz transition in *p*-type InSe, *i.e.* a change in the Fermi-surface topology, which modifies electronic properties.¹⁹

Crucial to future technology developments is the understanding of the effects of dopant atoms on electronic and optical properties. Carrier localization on strongly confined dopant states could degrade the carrier mobility of FETs¹⁸ and trapping/de-trapping of carriers at these states could increase noise levels and limit the exploitation of InSe in optical devices, such as LEDs and photodetectors,¹⁶⁻¹⁷ by producing slow optical temporal responses and broad emission lines. Here we investigate the radiative recombination of photo-excited carriers bound at donor and acceptor sites in exfoliated nanolayers of nominally undoped γ -InSe. We study their photoluminescence (PL) spectra over a range of temperatures from

$T = 8\text{K}$ to 300K and show that at low temperatures the recombining carriers are bound to native donors and acceptors whose binding energy increases significantly when the nanoflake thickness, L , is decreased to a few nanometers. Further strong changes in the binding energies can be expected as L is reduced below the critical value ($\sim 10\text{ nm}$) for the direct-to-indirect band gap crossover due to the emergence of a strongly modified band structure.¹⁹⁻²⁰

Our InSe crystals were grown using the Bridgman method from a polycrystalline melt of $\text{In}_{1.03}\text{Se}_{0.97}$. The γ -polytype crystal structure of InSe was probed by X-ray diffraction (XRD) using a DRON-3 X-ray diffractometer in a monochromatic $\text{Cu-K}\alpha$ radiation of wavelength $\lambda = 1.5418\text{ \AA}$. As shown in Figure 1a, the primitive unit cell contains three InSe layers, each consisting of four covalently bonded monoatomic sheets in the sequence Se-In-In-Se of thickness $L = 8.320\text{ \AA}$; along the c -axis, the primitive unit cell has a lattice constant of $c = 24.961\text{ \AA}$ and within each a - b plane, atoms form hexagons with lattice parameter $a = 4.002\text{ \AA}$. The InSe nanosheets were prepared from the as-grown crystals by mechanical exfoliation using adhesive tape. They were then deposited on a silicon oxide/Si substrate and immersed in acetone to remove the tape residuals. The thickness of the nanosheets was measured by atomic force microscopy (AFM) in tapping mode under ambient conditions. Energy-dispersive X-ray (EDX) studies were performed on the bulk InSe layers using an Oxford Instruments INCA EDX system. The experimental set-up for the μPL studies comprised a He-Ne laser ($\lambda = 633\text{ nm}$), an XY linear positioning stage, an optical confocal microscope, and a spectrometer with 150 grooves/mm gratings, equipped with a charge-coupled device (CCD). The laser beam was focused to a diameter $d \sim 1\text{ }\mu\text{m}$ using a $100\times$ objective and the μPL spectra were measured at low power ($P < 10\text{ mW}$) to minimize lattice heating. The μPL maps were produced by using a scanning mirror above the objective and with the flake mounted inside a cold-finger optical cryostat.

Figure 1b shows the normalized μ PL emission spectra of mechanically exfoliated γ -InSe nanosheets of thickness L from 7 nm to 20 nm at $T = 8$ K (blue lines) and $T = 300$ K (black lines). With decreasing L , both series of spectra shift to high energy and broaden; in particular, for the thinnest flakes, the energy position of the main PL band is only weakly affected by temperature. The thin nanosheets also show an additional narrow PL band at an energy $h\nu \sim 1.29$ eV, close to the PL emission energy of bulk InSe. We attribute this band to the contribution of PL from thicker InSe flakes in close proximity ($< 5 \mu\text{m}$) to the thin nanosheets.

Figure 1c plots the L -dependence of the energy of the PL peak position at $T = 300\text{K}$ for more than 100 nanosheets. The overall blue-shift with decreasing L can be explained assuming that the carriers are confined by a square quantum well (QW) potential of infinite

height¹⁴, *i.e.*, $h\nu = hv_{3D} + \pi^2 \hbar^2 / 2L^2 \mu_{//c}$, where $hv_{3D} = 1.25$ eV is the measured PL peak energy

of bulk InSe at $T = 300$ K, $\mu_{//c}^{-1} = 1/m_{//c}^e + 1/m_{//c}^h$, is the reduced carrier mass, $m_{//c}^e = m_e$ = 0.08

($m_{//c}^h = 0.17 m_e$) is the electron (hole) effective mass for motion along the c -axis in bulk

InSe,²¹⁻²² and m_e is the free electron mass (see line in Fig. 1c). The scatter in the data points is an indication that carrier confinement could be influenced by the roughness of the sheet, its interface with the substrate and/or crystal defects.

As shown in Figure 1c, the strong decrease of the PL integrated intensity with decreasing L is observed at both $T = 300\text{K}$ and 8 K and is significantly larger than that

expected from a reduction in the thickness of the optically absorbing and luminescent material. This behaviour is similar to that reported in our previous RT PL studies.¹⁴ It is likely that surface defects affect the temperature dependence of the PL intensity, but we do not observe a stronger thermal PL quenching in the thinner nanosheets. This can be seen in the inset of Figure 1c and also in Figure 2 where we compare the T -dependence of the μ PL maps for two layers, A and B, with $L = 15$ nm (Fig. 2a) and 10 nm (Fig. 2b), respectively. The two layers have uniform thickness, as can be seen in the AFM image (Fig. 2c), and a uniform PL peak energy, as shown in the μ PL energy map of Fig. 2d. Layer A is brighter than layer B, but for both layers the PL intensity decreases by a factor of $\sim 10^3$ as T increases from low to room temperature (Fig. 2e-f). Thus we attribute the systematic decrease of the PL signal as L is reduced to a direct-indirect band gap crossover rather than to stronger recombination at non-radiative centres. This hypothesis is supported by ab-initio-calculations indicating that a single layer¹⁹ or few layers²⁰ of InSe have an indirect-band gap with a sombrero hat-shaped energy dispersion of holes and the valence band maximum (VBM) offset from the Γ -point, by $\sim 10\%$ of the Γ - K and Γ - M wavevectors between the Γ - and K -points in the Brillouin zone.

The increasing quantum confinement of carriers along the c -axis changes not only the band structure, but can also increase the binding energy of dopant impurities due to the compression of the carrier wavefunction along the c -axis. Native shallow donors in bulk-InSe have binding energies of ~ 20 meV,²³⁻²⁵ while acceptors have larger ionization energies (40 to 65 meV).²⁶⁻²⁷ Here we model their dependence on L using a 2D hydrogenic model²⁸ for impurities located in the centre (C) and on the edge (E) of the well, and set the 3D donor and

acceptor binding energies at $E_D = 22$ meV and $E_D = 47$ meV, respectively. Figure 3a plots

the calculated dependence on L of the 2D hydrogenic binding energy for a donor (D_C) and acceptor (A_C), and of the sum of the binding energies (E_D+E_A) for donor-acceptor pairs (D_C-A_C and D_E-A_E). For a donor (acceptor) located in the central plane of the well, the binding energy increases monotonically with decreasing L . In particular, it increases towards 4 times

the bulk value (*i.e.* $\frac{4E_D}{88} = 88$ meV and $\frac{4E_A}{188} = 188$ meV) as L is decreased to the limit of a single InSe layer (*i.e.* $L = 0.83$ nm), see Figure 3b. For impurities located off-centre (D_E/A_E),

the binding energy reaches a minimum of $\frac{E_{A,D}}{4}$ at the edge of the well (*i.e.* $\frac{E_D}{4} = 5.5$

meV and $\frac{E_A}{4} = 11.8$ meV) and exceeds $\frac{E_{A,D}}$ for nanosheets below a critical layer thickness.

With decreasing L the calculated binding energies tend to increase and spread in energy, which can explain the corresponding spectral broadening and temperature dependence of the PL emission, shown in Figure 4a for representative InSe nanosheets with $L = 7, 10$ and 20 nm. It can be seen that the PL peak energy does not have a simple monotonic dependence on T and the overall shift of the peak energy is dependent on L . To understand this behaviour, in Figure 4b, we compare the measured PL peak energy, $h\nu$, versus T with the calculated dependences for exciton (X), free electron to neutral-acceptor ($e-A$), free hole to neutral-donor ($h-D$), and donor-acceptor ($D-A$) transitions for a layer with $L = 20$ nm. In our analysis we

use the Varshni's model for the band gap energy, *i.e.* $E_{gl}(T) = E_{gl}(0) - \alpha T^2 / (T + \beta)$, where $\alpha =$

0.76 meV K⁻¹ and $\beta = 480$ K are the thermal coefficients for bulk γ -InSe²⁹, and $E_{gl}(0)$ is the direct band gap energy at $T = 0$ K calculated using an infinite height-square QW potential

model. We set the low temperature exciton recombination energy in bulk γ -InSe at $E_X = 1.338$ eV; finally, for the e - A , h - D , and A - D transitions, we use the calculated 2D donor and acceptor binding energies. From Figure 4b it can be seen that the PL peak energy evolves gradually from A - D recombinations through e - A and h - D transitions, and finally to an exciton (X) transition as T is increased from 9K to 300K. This gradual unbinding of the carriers leads to a blue-shift of the recombination energy, which partially compensates the red-shift predicted by Varshni's relation, thus explaining the small and non-monotonic red-shift of the measured spectra with increasing temperature.

The dopants responsible for the donor and acceptor-related recombination in our nominally undoped InSe nanosheets are likely to originate from the excess of In-atoms (< 3%) in the crystal growth and of N-impurities (<1%), both revealed in the EDX studies of our as-grown InSe crystals. N-substitutional atoms on Se-sites are known to behave as acceptors,²⁸ also, In-interstitial atoms behave as shallow donors.²³⁻²⁵ Because of the spectral broadening of the PL emission at small L , it is not possible to extract individual binding energies for donors, acceptors and/or donor-acceptor pairs from the PL spectra. Instead we estimate an effective binding energy, ΔE , from the energy difference between the low T values of the PL peak energy, $h\nu$, and the band gap energy, E_{gL} , as derived from the Varshni's dependence and the assumption that $E_{gL} = h\nu$ at $T = 300$ K.

As shown in Figure 3a, the calculated dependence on L of the binding energies for acceptors, donors and acceptor-donor pairs reproduces the general increase of ΔE with decreasing L . The scatter in the data is consistent with the idea that PL arises from recombination of carriers at acceptors and donors located in different positions within the flake. Further scatter in the PL emission energy may originate from modulations of the Coulomb landscape due, for example, to charged impurity pairs or impurity clustering. We note that the binding energies shown in Figure 3 are significantly larger than in the bulk and

we anticipate a further strong increase of the acceptor binding energy as the thickness of the InSe sheet is reduced to a few layers due to an interesting feature of the electronic band structure of this compound, namely the emergence of a strongly modified flat energy dispersion curve for hole near the valence-band edge.¹⁹⁻²⁰ Also, we note that the sombrero-shaped dispersion of holes near the valence-band edge can result in a Lifshitz transition.¹⁹ The experimental observation of this phenomenon in *p*-type InSe nanolayers may require the use of large applied electric fields to ionize the holes bound on strongly confined acceptors or else a sufficiently high acceptor density to achieve an insulator-to-metal transition.

In conclusion, we have shown that the low temperature PL emission of InSe nanoflakes is dominated by the recombination of photo-excited carriers bound at native donors and acceptors with binding energies that are sensitive to the position of the impurities within the nanolayer and that increase significantly with decreasing flake thickness. Our results are relevant to future studies of dopant impurities in InSe and other vdW 2D crystals where acceptors or donors can be present as unintentional or intentional dopants; of particular interest is the possibility of observing a Lifshitz transition associated to the sombrero hat-shaped saddle points of the valence band when the flake thickness is reduced to a few nanometers;¹⁹ our findings are also relevant to the design and fabrication of optical and electronic devices based on InSe, whose performance can be degraded by carrier localization on strongly confined dopant states.

Acknowledgement This work was supported by the Engineering and Physical Sciences Research Council (EPSRC), the University of Nottingham, the National Academy of Sciences of Ukraine, and the EU Graphene Flagship Programme.

References

- [1] A. K. Geim and I. V Grigorieva, *Nature* **499**, 419 (2013).
- [2] K. S. Novoselov, *Rev. Mod. Phys.* **83**, 837 (2011).
- [3] K. S. Novoselov, D. Jiang, F. Schedin, T. J. Booth, V. V Khotkevich, S. V Morozov, and A. K. Geim, *PNAS*, **102**, 10451 (2005).
- [4] L. Britnell, R. V Gorbachev, R. Jalil, B. D. Belle, F. Schedin, A. Mishchenko, T. Georgiou, M. I. Katsnelson, L. Eaves, S. V Morozov, N. M. R. Peres, J. Leist, A. K. Geim, K. S. Novoselov, and L. A. Ponomarenko, *Science* **335**, 947 (2012).
- [5] T. Georgiou, R. Jalil, B. D. Belle, L. Britnell, R. V Gorbachev, S. V Morozov, Y.-J. Kim, A. Gholinia, S. J. Haigh, O. Makarovskiy, L. Eaves, L. a Ponomarenko, A. K. Geim, K. S. Novoselov, and A. Mishchenko, *Nat. Nanotech.* **8**, 100 (2013).

- [6] A. Mishchenko, J. S. Tu, Y. Cao, R. V. Gorbachev, J. R. Wallbank, M. T. Greenaway, V. E. Morozov, S. V. Morozov, M. J. Zhu, S. L. Wong, F. Withers, C. R. Woods, Y.-J. Kim, K. Watanabe, T. Taniguchi, E. E. Vdovin, O. Makarovskiy, T. M. Fromhold, V. I. Fal'ko, A. K. Geim, L. Eaves and K. S. Novoselov. *Nat. Nanotech.* **9**, 808-813 (2014).
- [7] J. Tse-Wei Wang, J. M. Ball, E. M. Barea, A. Abate, J. A. Alexander-Webber, J. Huang, M. Saliba, I. Mora-Sero, J. Bisquert, H. J. Snaith, and R. J. Nicholas, *Nano Lett.* **14**, 724, (2014).
- [8] J. S. Ross, P. Klement, A. M. Jones, N.J. Ghimire, J. Yan, D. G. Mandrus, T. Taniguchi, K. Watanabe, K. Kitamura, W. Yao, D. H. Cobden, and X. Xu, *Nat. Nanotech.* **9**, 268 (2014).
- [9] J. Xue, J. Sanchez-Yamagishi, D. Bulmash, P. Jacquod, A. Deshpande, K. Watanabe, T. Taniguchi, P. Jarillo-Herrero, and B. J. LeRoy, *Nat. Mater.* **10**, 282 (2011).
- [10] M. Yankowitz, J. Xue, D. Cormode, J. D. Sanchez-Yamagishi, K. Watanabe, T. Taniguchi, P. Jarillo-Herrero, P. Jacquod, and B. J. LeRoy, *Nat. Phys.* **8**, 382 (2012).
- [11] L. A. Ponomarenko, R. V Gorbachev, G. L. Yu, D. C. Elias, R. Jalil, A. A. Patel, A. Mishchenko, A. S. Mayorov, C. R. Woods, J. R. Wallbank, M. Mucha-Kruczynski, B. A. Piot, M. Potemski, I. V Grigorieva, K. S. Novoselov, F. Guinea, V. I. Falko, and A. K. Geim, *Nature* **497**, 594 (2013).
- [12] C. R. Dean, L. Wang, P. Maher, C. Forsythe, F. Ghahari, Y. Gao, J. Katoch, M. Ishigami, P. Moon, M. Koshino, T. Taniguchi, K. Watanabe, K. L. Shepard, J. Hone, and P. Kim, *Nature* **497**, 598 (2013).

- [13] B. Hunt, J. D. Sanchez-Yamagishi, A. F. Young, M. Yankowitz, B. J. LeRoy, K. Watanabe, T. Taniguchi, P. Moon, M. Koshino, P. Jarillo-Herrero, and R. C. Ashoori, *Science* **340**, 1427 (2013).
- [14] G. W. Mudd, S. A Svatek, T. Ren, A. Patanè, O. Makarovskiy, L. Eaves, P. H. Beton, Z. D. Kovalyuk, G. V Lashkarev, Z. R. Kudrynskiy, and A. I. Dmitriev, *Adv. Mater.* **25**, 5714 (2013).
- [15] S. Lei, L. Ge, S. Najmaei, A. George, R. Koppera, J. Lou, M. Chhowalla, H. Yamaguchi, G. Gupta, R. Vajtai, A. D. Mohite, and P. M. Ajayan, *ACS Nano* **8**, 1263 (2014).
- [16] R. T. Srinivasa, L. Yi-Ying, K. U. Rajesh, S. Raman, L. Chun-Da, M. B. Karukanara, C. Che-Hsuan, C. C. Fang, and C. Yit-Tsong, *Nano Lett.* **14**, 2800 (2014).
- [17] N. Balakrishnan, Z.R. Kudrynskiy, M.W. Fay, G.W. Mudd, S.A. Svatek, O. Makarovskiy, Z. D. Kovalyuk, L. Eaves, P.H. Beton, and A. Patanè, *Adv. Opt. Mater.* 2014, DOI: 10.1002/adom.201400202.
- [18] W. Feng, W. Zheng, W. Cao, and P. A. Hu, *Adv. Mater.* **26**, 6587 (2014).
- [19] V. Zólyomi, N.D. Drummond, and V.I. Falko, *Phys. Rev. B* **89**, 205416 (2014).
- [20] J.F. Sánchez-Royo et al., *Nano Research* 2014, DOI 10.1007/s12274-014-0516-x.
- [21] E. Kress-Rogers, R. J. Nicholas, J. C. Portal, and A. Chevy, *Solid State Commun.* **44**, 379 (1982).
- [22] F. Manjón, A. Segura, V. Muñoz-Sanjosé, G. Tobías, P. Ordejón, and E. Canadell, *Phys. Rev. B* **70**, 125201 (2004).

- [23] A. Segura, K. Wünnel, and A. Chevy, *Appl. Phys. A Solids Surfaces* **31**, 139 (1983).
- [24] E. Kress-Rogers, G. F. Hopper, R. J. Nicholas, W. Hayes, J. C. Portal, and A. Chevy, *J. Phys. C Solid State Phys.* **16**, 4285 (1983).
- [25] J. Martinez-Pastor, A. Segura, C. Julien, and A. Chevy, *Phys. Rev. B* **46**, 4607 (1992).
- [26] A. A. Homs and B. Marí, *J. Appl. Phys.* **88**, 4654 (2000).
- [27] C. Ferrer-Roca, A. Segura, M. V. Andrés, J. Pellicer, and V. Muñoz, *Phys. Rev. B* **55**, 6981 (1997).
- [28] G. Bastard, *Phys. Rev. B* **24**, 4714 (1981).
- [29] B. Abay, H. S. Güder, H. Efeoğlu, and Y.K. Yoğurtçu, *J.Phys.D:Appl. Phys.* **32** 2942 (1999).

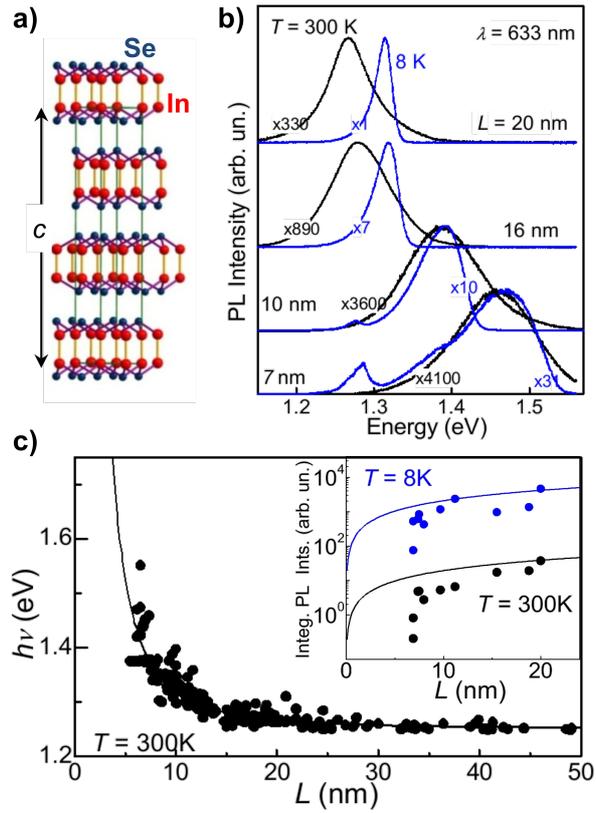


Figure 1. **a)** Crystal structure of γ -InSe. **b)** Normalized μ PL spectra of γ -InSe nanosheets with thickness L in the range 7 to 20 nm at $T = 8$ K (blue) and $T = 300$ K (black) ($P = 0.1$ mW and $\lambda = 633$ nm). The PL band at $h\nu = 1.29$ eV in the nanosheet with $L = 7$ nm is due to the spurious PL contribution of thicker flakes in proximity to the nanoflake. **c)** Measured L -dependence of the PL peak energy ($T = 300$ K). The continuous line shows the calculated dependence using an effective mass model (continuous line). Inset: Dependence of the PL integrated intensity on L at $T = 300$ K (black dots) and 8 K (blue dots). The lines describe the

decrease in the PL intensity expected from a reduction in the amount of luminescent material with decreasing L .

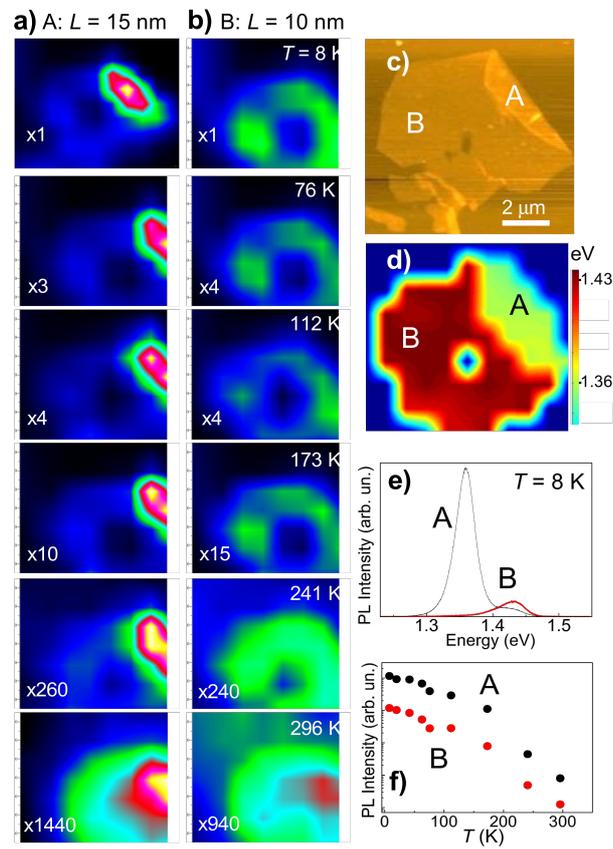


Figure 2. Confocal μ PL maps for InSe flakes, A and B, of thickness $L = 15$ nm **(a)** and 10 nm **(b)** at various T ($P = 8$ mW, $\lambda = 633$ nm). **(c)** AFM and **(d)** μ PL peak energy map images. **(e)**

PL spectra at $T = 8\text{K}$ for flakes A and B. **f)** T -dependence of the PL peak intensity for flakes A and B.

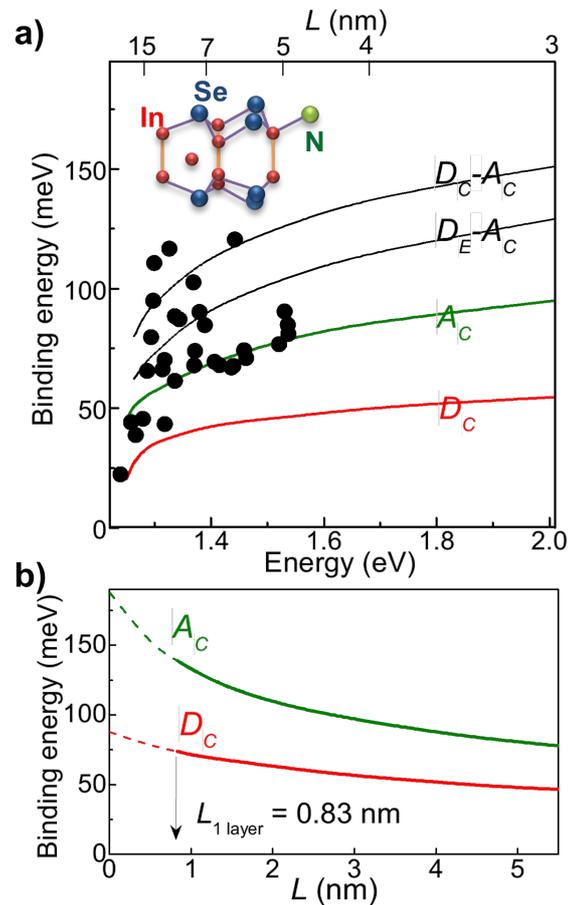


Figure 3. a) Calculated dependence of the binding energy of a donor (D_C), acceptor (A_C) and donor-acceptor pairs (D_C-A_C and D_E-A_C) on the thickness L (top axis) and on the band gap energy at $T = 300\text{K}$ (bottom axis) of the InSe flake. The subscripts C/E refer to donors/acceptors at the centre or boundary edge of the well. The data points are estimates of the thickness dependence of the binding energies, deduced from an analysis of the PL data. Inset: In-interstitial (donor) and N-substitutional (acceptor) dopants in InSe. **b)** Calculated

binding energy of a donor (D_C) and acceptor (A_C) on L in the limit of small L (< 6 nm). The vertical arrow shows the value of L for a single layer of InSe ($L = 0.83$ nm).

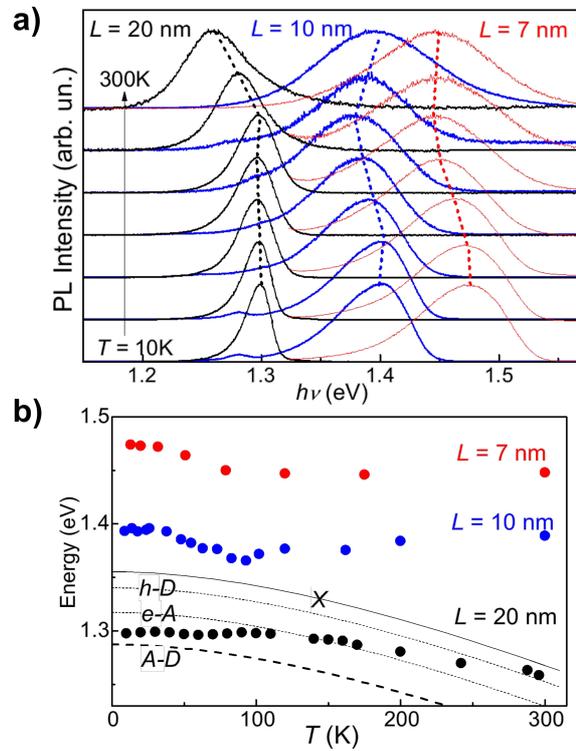


Figure 4. **a)** Normalized μ PL spectra for InSe nanosheets with $L = 7$ nm (red), 10 nm (blue), and 20 nm (black) in the range $T = 10$ K to 300 K ($P = 1$ mW, $\lambda = 633$ nm). Vertical dotted lines are guides to the eye and follow the T -dependence of the PL peak energy. **b)** Dependence of the PL peak energy on T (symbols) for $L = 7$, 10 and 20 nm. Lines represent the calculated T -dependence for the free exciton (X), acceptor-band ($e-A$), donor-band ($h-D$) and donor-acceptor ($D-A$) transitions for $L = 20$ nm. The calculated curves are for dopant atoms positioned in the centre of the well.