

# **Discrete element method-generated data enhance CNN-based stress field prediction accuracy and efficiency in unidirectional fibre-reinforced polymer composites**

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## **1 Abstract**

2 Accurate analysis of mechanical fields, such as stresses, strains, and deformation fields,  
3 is essential in the design and repair of composite materials. Compared to the time-  
4 consuming computational micromechanics methods, there is a high demand for  
5 developing a fast, efficient and accurate surrogate model to conduct mechanical fields  
6 analysis. This paper introduces a CNN-based U-Net model, mapping the stiffness  
7 distribution of unidirectional fibre-reinforced polymer composite laminae to their  
8 corresponding linear and nonlinear stress fields. The model is based on a dataset  
9 generated through discrete element method (DEM) simulations, which includes the  
10 simulation results from 50 representative volume elements with 60% fibre volume  
11 fraction and 50 with 40% fibre volume fraction for training, and 50 for each fibre  
12 volume fraction (60%, 50%, 40% and 30%) for testing. In comparison with existing  
13 studies, the proposed U-Net model, trained on DEM-generated data, demonstrates  
14 superior accuracy and efficiency in predicting both linear and nonlinear stress fields of  
15 laminae, including those with fibre volume fractions different from the training data,  
16 without requiring a large training dataset.

1 **Keywords:** Machine learning; Surrogate modelling; Discrete element method; Stress  
2 field prediction; Fibre-reinforced polymer composites.

### 3 **1. Introduction**

4 In recent years, fibre-reinforced polymer (FRP) composite laminates have been widely  
5 used across various engineering sectors owing to their exceptional physical and  
6 mechanical properties [1-7]. However, the inherently complex micro-geometrical  
7 structure of the composite gives rise to highly heterogeneous mechanical behaviour  
8 governed by multiple interacting factors, which limits its industrial applicability and  
9 poses significant challenges for design and repair [8-9]. Consequently, accurate  
10 prediction of the mechanical responses of FRP composite laminates, together with the  
11 establishment of reliable structure–property relationships, is of critical importance.

12 Analytical approaches based on the formulation of partial differential equations,  
13 together with experimental tests, are common methods for investigating the mechanical  
14 properties of FRP composite laminates [10-15]. However, these solutions are typically  
15 restricted to specific geometry, boundary and loading conditions, while experimental  
16 methods are cost-inefficient and often struggle to accurately simulate realistic service  
17 environments and loading scenarios. As a reliable alternative, physics-based  
18 computational numerical modelling, including the finite element method (FEM),  
19 discrete element method (DEM), and other similar techniques, has expanded the range  
20 of solvable problems and enabled high-fidelity modelling with lower demands on  
21 experimental setups [16-18]. Despite the high prediction accuracy of these methods,  
22 their intensive computational costs still limit the performance, particularly when  
23 numerous structure-property relationships must be rapidly identified to select optimal  
24 materials for industrial applications. Therefore, developing machine learning  
25 approaches as surrogate models that reduce computational time while maintaining  
26 prediction accuracy has attracted increasing interests in recent years [19-21].

1 Machine learning methods have shown a robust ability to extract complex relationships  
2 between input and output features across different subject domains [22-24]. For the  
3 identification of features along the structure-property chain, which is essential in the  
4 field of material science, ML methods have been widely utilized to predict  
5 homogenized mechanical properties [25-34]. However, extending ML approaches to  
6 the prediction of full field distribution properties is more challenging, as the prediction  
7 targets increase from a single quantity to a combination of several correlated quantities.  
8 To address this challenge, various deep learning architectures, such as fully connected  
9 neural network (FCNN), physics-informed neural network (PINN), graph neural  
10 network (GNN), convolutional neural network (CNN), among others, have been  
11 proposed and have achieved high accuracy [35-39]. In particular, image-based machine  
12 learning models have been extensively explored, in which both inputs and outputs are  
13 typically pixelated images generated through FEM analysis, representing the  
14 microstructures and corresponding mechanical field distributions of the studied  
15 materials, respectively. Nie et al. [40] introduced a novel convolutional neural network  
16 framework called StressNet, in which geometry, load and boundary conditions are taken  
17 as separate input channels to predict the linear stress fields in 2D cantilevered structures.  
18 Frankel et al. [41] proposed the ConvLSTM architecture to improve effectiveness in  
19 predicting the elastic-plastic stress fields of polycrystals. This approach employed a  
20 hybrid neural network design combining convolutional neural network with long short-  
21 term memory, effectively extracting both spatial and temporal information from the  
22 data. Khanolkar et al. [42] studied the elastic strain fields in additively produced  
23 microstructures containing defects of various shapes by using an image colorization  
24 algorithm. Croom et al. [43] investigated the distribution of elastic stress fields in  
25 additively manufactured metals through a modified U-Net style CNN model and  
26 demonstrated that the U-Net based model achieved better accuracy compared to the  
27 StressNet and the image colorization algorithm previously proposed in the literature.

1 More recently, surrogate modelling of full-field distribution properties of composites  
2 has received increased attention. Feng et al. [44] utilized a difference-based neural  
3 network (DiNN) to conduct stress analysis of heterogeneous materials including  
4 composites. Instead of directly mapping the microstructure to the stress field  
5 distribution of the material, this method focused on predicting the differences in stress  
6 distributions resulting from varying input features. Yang et al. [45] developed an end-  
7 to-end conditional adversarial neural network model to predict the stress and strain  
8 fields in composites, achieving excellent accuracy regardless of component shapes,  
9 boundary conditions and geometrical complexity. Bhaduri et al. [46] applied a U-Net  
10 architecture-based model to bridge the gap between the microstructures of fibre-  
11 reinforced matrix composite materials and their corresponding von Mises stress fields,  
12 and utilized transfer learning to train the model, enabling accurate prediction of the  
13 stress fields for systems containing larger numbers of fibres while significantly  
14 reducing the required training dataset size. Subsequently, by incorporating transformer  
15 mechanisms into the network architecture, Peng et al. [47] proposed a 3D TransU-Net  
16 model integrated with transfer learning strategy to predict the stress fields of fibre-  
17 reinforced composites under various fibre volume fractions and loading paths. Li et al.  
18 [48] employed a U-Net deep learning model embedded with the Triplet Attention  
19 mechanism to enhance the prediction of nonlinear stress fields in unidirectional CFRP  
20 composites with microvoids. In addition, a series of novel architectures, such as neural  
21 operator-based and sequence-based models, have also been explored [49-50]. To further  
22 reduce training costs of machine learning models, Xu et al. [51] introduced the  
23 SuperMeshingNet, a network that directly projects low mesh-density mechanical fields,  
24 which are cheaper to train, to high-resolution mechanical fields. Yacouti et al. [52]  
25 developed a novel network structure called CompINet, integrating the advantages of  
26 convolutional neural network and graph neural network, which achieved high accuracy  
27 in predicting linear and nonlinear stress fields while requiring twenty times less data  
28 compared to the existing data-driven methods.

1 While machine learning models based on FEM-generated binary pixel images have  
2 been employed as accurate and fast surrogate models for studying mechanical field  
3 distribution of composites, they typically require large training datasets, often ranging  
4 from 500 to 2000 samples, to achieve good generalization [53-54]. Moreover, these  
5 models suffer from an obvious decrease in prediction accuracy as the complexity of the  
6 task increases. As shown in [52], the CompINet model achieved a mean  $R^2$  value of  
7 0.965 for the stress field prediction in the linear elastic stage, but the value dropped to  
8 0.845 when the material entered the nonlinear plastic stage. Therefore, there is a strong  
9 need to explore the integration of machine learning with alternative numerical  
10 modelling approaches, and the incorporation of more physically informed features into  
11 the training process, to address these issues and enhance surrogate modelling.

12 As an alternative to FEM, DEM has proven effective in simulating both the mechanical  
13 field distributions and the failure patterns of composites [18,55,56]. In this work, a  
14 CNN-based U-Net model trained on DEM-generated data is introduced to predict both  
15 linear and nonlinear stress fields of unidirectional (UD) FRP composite laminae.  
16 Compared with FEM-generated binary pixel images, the DEM-generated data used for  
17 model training offer several advantages. First, based on the DEM-generated data, bond  
18 stiffness distribution maps are constructed as inputs and stress field maps as outputs,  
19 thereby preserving the local bond-level information and global force-chain patterns in  
20 the composite microstructure. Second, in a DEM model, the material domain is  
21 discretized into interacting particles, and the stress and deformation of the entire  
22 material are obtained by tracing the behavior of individual particles. Given that the  
23 particle behavior in DEM is predominantly governed by interactions with neighboring  
24 particles, the resulting stress fields exhibit strong spatial correlations at the  
25 neighborhood scale. CNN-based models, with their localized receptive fields and  
26 hierarchical feature extraction, offer distinct advantages in capturing these spatially  
27 correlated patterns from DEM-generated data, thereby enhancing the models' fitting  
28 and generalization capabilities.

1 In summary, the main contributions and application areas of this study are as follows:

2 (1) A calibrated DEM model is developed to obtain stress field distributions of UD FRP  
3 composite laminae under transverse tension loads, considering different fibre volume  
4 fractions and spatial distributions.

5 (2) A novel surrogate modelling framework of stress field is proposed, integrating  
6 DEM-generated data with a CNN-based U-Net architecture to achieve data-efficient  
7 and robust prediction of linear and nonlinear stress fields from stiffness distributions.

8 (3) Extensive numerical validations are conducted to evaluate the performance of the  
9 proposed framework, extending testing beyond the training distribution to unseen fibre  
10 volume fractions and spatial distributions, and benchmarking against a conventional U-  
11 Net model trained on FEM-generated data.

12 (4) The proposed framework provides a low-computational-cost method that offers  
13 essential insights into both the homogenization process in design calculations and  
14 localized phenomena in UD FRP composite laminates, such as stress concentrations  
15 and failure patterns. Owing to its accuracy and data-efficiency, the framework shows  
16 strong potential for integration into digital twin systems and additive manufacturing-  
17 driven design and repair processes, enabling rapid informed decision-making.

18 The remainder of this paper is organized as follows. Section 2 presents the methodology  
19 employed to predict the stress field in UD FRP composite laminates under transverse  
20 tension loads. Section 3 provides comprehensive numerical validation results. Finally,  
21 Section 4 concludes the paper.

## 22 **2. Methodology**

### 23 **2.1. Basic notations and definitions**

24 In this work, the stress field of an UD FRP composite lamina under transverse tension  
25 loads is considered. The lamina is assumed to consist of two constituent phases, namely  
26 the matrix and the fibre. The superscripts  $m$  and  $f$  are used as phase labels for the matrix

1 and fibre, respectively, and  $f^m$  and  $f^f$  denote the corresponding matrix and fibre  
 2 volume fractions, which are scalar parameters satisfying

$$f^m + f^f = 1. \quad (1)$$

3 The reference configuration of the lamina is defined as  $\Omega \subset \mathbb{R}^2$ . The domain  $\Omega$  can  
 4 be decomposed into two non-overlapping subdomains corresponding to the matrix  
 5 domain  $\Omega^m$  and fibre domain  $\Omega^f$ , such that  $\Omega^m \cup \Omega^f = \Omega$  and  $\Omega^m \cap \Omega^f = \emptyset$ .

6 The configuration of the lamina is characterized by a set of descriptors denoted by  $M$ ,  
 7 which can be written as:

$$M = \{f^f, A, L\}. \quad (2)$$

8 where  $f^f$  is the fibre volume fraction,  $A$  is the fibre spatial distribution within the  
 9 domain  $\Omega$ , and  $L$  represents the loading condition. The stress field of the lamina is  
 10 denoted by  $\sigma(x)$ ,  $x \in \Omega$ . Accordingly, the mapping from the lamina configuration  $M$   
 11 to the corresponding stress field  $\sigma(x)$  can be expressed as:

$$\sigma(x) = G(M)(x). \quad (3)$$

12 where  $G$  denotes the nonlinear mapping operator.

## 13 **2.2. DEM model development**

14 A 2D plane strain computational micromechanics DEM model is employed in Particle  
 15 Flow Code software package (PFC 2D) to investigate the stress field distribution of UD  
 16 FRP composite laminae under transverse tension loads. Within the DEM model, the  
 17 material domain  $\Omega$  is discretized into bonded particles. The contact forces between  
 18 interacting particles are determined from their overlap and relative motions based on  
 19 the force–displacement law, and the positions of particles over a time step  $\Delta t$  are  
 20 governed by Newton’s second law, which can be defined as:

$$\text{Translational motion } F_i = m(\ddot{x}_i - g_i). \quad (4)$$

$$\text{Rotational motion } M_3 = I\dot{\omega}_3. \quad (5)$$

1 where  $i = 1, 2$  denotes the components in the  $x$ - and  $y$ -coordinate directions,  
 2 respectively,  $F_i$  is the resultant force,  $m$  and  $\ddot{x}_i$  are the mass and the acceleration of  
 3 the particle, respectively,  $g_i$  is the body force acceleration vector,  $M_3$  is the out of  
 4 balance moment in the  $z$ -coordinate direction,  $\dot{\omega}_3$  is the angular acceleration in the  $z$ -  
 5 coordinate direction, and  $I$  is the rotational inertia of the particle.

6 The motion equations in Eqs. (4)-(5) are solved using a central finite difference  
 7 algorithm. First, the translational and rotational accelerations  $\ddot{x}_i$  and  $\dot{\omega}_3$  at time  $t$  are  
 8 calculated as:

$$\ddot{x}_i(t) = \frac{1}{\Delta t} (\dot{x}_i(t + \Delta t/2) - \dot{x}_i(t - \Delta t/2)). \quad (6)$$

$$\dot{\omega}_3(t) = \frac{1}{\Delta t} (\omega_3(t + \Delta t/2) - \omega_3(t - \Delta t/2)). \quad (7)$$

9 By inserting Eqs. (4)-(5) into Eqs. (6)-(7), the translational and rotational velocity at  
 10 time  $t + \Delta t/2$  can be calculated as:

$$\dot{x}_i(t + \Delta t/2) = \dot{x}_i(t - \Delta t/2) + \left( \frac{F_i(t)}{m} g_i \right) \Delta t. \quad (8)$$

$$\omega_3(t + \Delta t/2) = \omega_3(t - \Delta t/2) + \left( \frac{M_3(t)}{I} \right) \Delta t. \quad (9)$$

11 Finally, the position of the particle at time  $t + \Delta t$  is updating by integrating velocities  
 12 in Eqs. (8)-(9) and  $F_i(t + \Delta t)$  and  $M_3(t + \Delta t)$  are obtained through the application  
 13 of the force-displacement law.

14 For the DEM model development in this work, a hexagonal arrangement is used for  
 15 packing both matrix (blue color) and fibre (pink color) particles within a  $50 \times 50 \mu\text{m}$   
 16 representative volume element (RVE) of the lamina cross-section (as shown in Fig. 1).  
 17 The method proposed in [57] is used to introduce randomness into the spatial fibre  
 18 distribution corresponding to a specific fibre volume fraction. After the composite  
 19 lamina RVE with a random spatial fibre distribution is generated, a constant tensile

1 velocity of 5 mm/s is applied simultaneously to the particles on the left- and right-  
2 hand edges of the RVE to examine its transverse mechanical responses.

3 Within the developed DEM model, three types of contact bonds are developed to  
4 connect each group of particles: the parallel-bond between fibre particles, the parallel-  
5 bond between matrix particles and the soft-bond between fibre and matrix particles (as  
6 illustrated in Fig. 1). The parallel-bond and soft-bond are both built-in contact bond  
7 models in the PFC package, which behave like a set of elastic springs with constant  
8 normal and shear stiffness, uniformly distributed over the rectangular cross-section  
9 lying on the contact plane and centered at the contact point. The main difference  
10 between them is that if the maximum normal stress acting on the bond exceeds its  
11 tensile strength, the soft-bond may enter into a softening regime instead of being  
12 removed if it is a parallel-bond. More details about the parallel-bond and soft-bond can  
13 be found in [58].

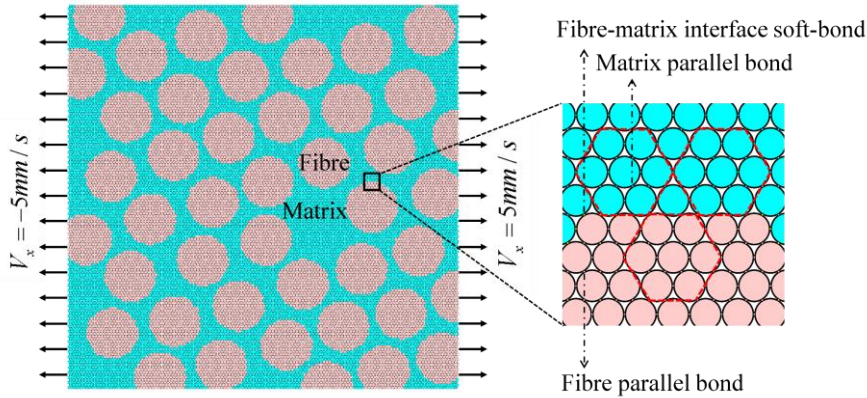


Fig. 1. An RVE sample of the DEM model under transverse tension loads.

14 The microscale parameters of the proposed DEM model are calibrated by the  
15 macroscopic material properties of each composite constituent. In this work, the  
16 material properties of the fibre, matrix and fibre-matrix interface for the UD FRP  
17 composite lamina are shown in Table 1. The calibration procedure for the three types  
18 of contact bonds follows the methods outlined in [23-24]: First, the contact stiffness is  
19 calculated using the explicit theoretical formulas with variables of the respective

1 Young's modulus and the Poisson's ratio of the fibre and matrix. The formulas are  
 2 expressed as [60]:

$$k_n = \frac{2E\lambda}{2\sqrt{3}(1+\nu)} \left( 1 + \frac{2}{3(1-\nu)} \right), \quad (10)$$

$$k_s = \frac{2|(3\nu-1)|E\lambda}{6\sqrt{3}(1-\nu^2)}. \quad (11)$$

3 where  $k_n$  and  $k_s$  are the normal and shear contact stiffness, respectively,  $E$  is the  
 4 elastic modulus of material,  $\nu$  is the Poisson's ratio of material, and  $\lambda$  is the particle  
 5 thickness.

6 Second, the micro strength of the matrix bonds is obtained through a convergence study,  
 7 which indicates that the micro strength of the material bonds is linearly related to the  
 8 macro strength of the material, with a coefficient of 1.7. The micro strength of the fibre-  
 9 matrix interface bonds is calculated using the Mohr-Coulomb criterion, which is  
 10 defined as:

$$\sigma_{mt} = 2c \frac{\cos(\alpha)}{1 + \sin(\alpha)}. \quad (12)$$

11 where  $\sigma_{mt}$  is the matrix tensile strength,  $c$  is the cohesion yield stress, and  $\alpha$  is the  
 12 internal friction angle. In this work,  $\sigma_{mt} = 60$  Mpa and  $\alpha = 15^\circ$  are used for the  
 13 Mohr-Coulomb criterion. Therefore, the corresponding cohesion  $c$  is calculated as 39.1  
 14 MPa, which gives the fixed normal interface strength of 39.1 MPa.

15 Since fibre fracture is unlikely to occur during loading, the micro strength of the fibre  
 16 bonds is set to a very high value to prevent bond breakage. Finally, the softening factor  
 17 and the softening tensile strength factor for the fibre-matrix interface soft-bond are  
 18 calibrated through trial-and-error methods and the determined micro parameters are  
 19 validated through the results from experimental tests [23-24].

Table 1: Material properties of fibre, matrix and interface [59].

Fibre	Transverse modulus, $E_f$ (Gpa)	40
	Poisson's ratio, $\nu_f$	0.25
Matrix	Modulus, $E_m$ (Gpa)	4
	Poisson's ratio, $\nu_m$	0.35
	Tensile strength, $\sigma_{mt}$ (Mpa)	60
Interface	Fracture energy, $G$ (J/m <sup>2</sup> )	10

## 1 **2.3. U-Net model development**

2 In this work, a U-Net model is employed to map the lamina configuration  $M$  to the  
3 corresponding stress field  $\sigma(x)$ . The U-Net architecture first compresses the input  
4 information through an encoder to extract hierarchical features, and then reconstructs  
5 the spatial resolution through a decoder, producing the target output of the stress field.  
6 This process can be expressed as:

$$E_l = f_{\text{encoder}}^l (E_{l-1}; \theta), \quad l = 1, 2, \dots, n, \quad (13)$$

$$D_l = f_{\text{decoder}}^l ([D_{l+1} \uparrow 2; E_l]; \theta), \quad l = n-1, n-2, \dots, 1, \quad (14)$$

$$Y = f_{\text{final}} (D_1; \theta). \quad (15)$$

7 where  $E_l$  and  $D_l$  are the encoder and decoder feature maps at the  $l$ -th spatial  
8 resolution level,  $E_0 = \mathcal{M}$ , which is the lamina configuration,  $f_{\text{encoder}}^l$  and  $f_{\text{decoder}}^l$   
9 are the encoder and decoder blocks, respectively,  $\theta$  is the learnable parameters,  $n$  is  
10 the depth of the network,  $[\cdot; \cdot]$  denotes the channel-wise concatenation,  $\uparrow 2$  represents  
11 spatial resolution doubling, and  $f_{\text{final}}$  is the final mapping layer that projects the  
12 decoder feature map  $D_1$  to the predicted stress field  $Y$ .

### 13 **2.3.1. Data pre-processing**

14 The DEM-generated data for training and testing the U-Net model consist of a set of  
15 microstructures of UD FRP composite laminae with different fibre volume fractions  
16 and their corresponding stress field distributions. In the DEM simulations, the macro-  
17 mechanical responses are described in terms of the movement of each particle and the

1 inter-particle force acting at each contact bond. Therefore, the force distribution of  
2 contact bonds during the loading process is extracted as a representative of the stress  
3 field distribution of the lamina. In this work, the normal component of the force at each  
4 contact bond, defined in the local contact coordinate system, is selected as the target to  
5 evaluate the performance of the proposed U-Net model, as it dominates material  
6 strength and failure in the discrete element framework [61-62]. The shear force  
7 component could also be incorporated in a similar manner for training.

8 From the DEM modelling, the microstructure of each RVE can be represented by the  
9 stiffness distribution at contact bonds. On this basis, the mapping from the  
10 microstructure to the stress field is expressed as a mapping from the stiffness  
11 distribution to the stress field. In a sense, the DEM model provides a physically  
12 meaningful field-to-field mapping.

13 The stiffness distribution and stress field provided at the contact bond level from the  
14 DEM model are transformed into a uniform grid for U-Net model training and  
15 prediction. To preserve the resolution of each bond, the grid division must ensure that  
16 a grid cell can only be occupied by at most one contact bond. Therefore, a  $512 \times 512$   
17 grid division is generated, and the contact bonds are assigned to the grids according to  
18 their relative positions (as shown in Fig. 2). In addition, a grid resolution sensitivity  
19 study has been conducted using a resolution of  $256 \times 256$ . The results indicate that  
20 the proposed model maintains robust and accurate predictions across different grid  
21 resolutions. Considering the need to avoid information loss while also preventing  
22 unnecessary increases in computational cost, the  $512 \times 512$  grid division is  
23 considered suitable. Accordingly, The input for the U-Net model becomes the stiffness  
24 distribution in a  $512 \times 512$  grid containing normal stiffness values, which are  $k_{\text{fibre}}$ ,  
25  $k_{\text{matrix}}$ , and  $k_{\text{interface}}$  for fibre parallel-bonds, matrix parallel-bonds, and fibre-matrix  
26 interface soft-bonds, respectively (as shown in Fig. 3(a)). The output corresponds to the  
27 stress field in a  $512 \times 512$  grid containing the normal component of bond force values,

- 1 which are  $\sigma_{\text{fibre}}$ ,  $\sigma_{\text{matrix}}$ , and  $\sigma_{\text{interface}}$  for the same three types of contact bonds (as  
 2 shown in Fig. 3(b)).

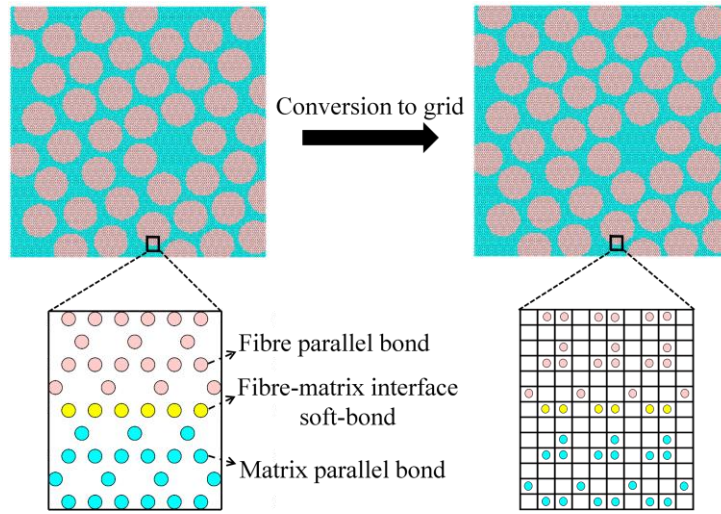


Fig. 2. Conversion of DEM-generated contact bonds to a uniformly spaced  $512 \times 512$  grid.

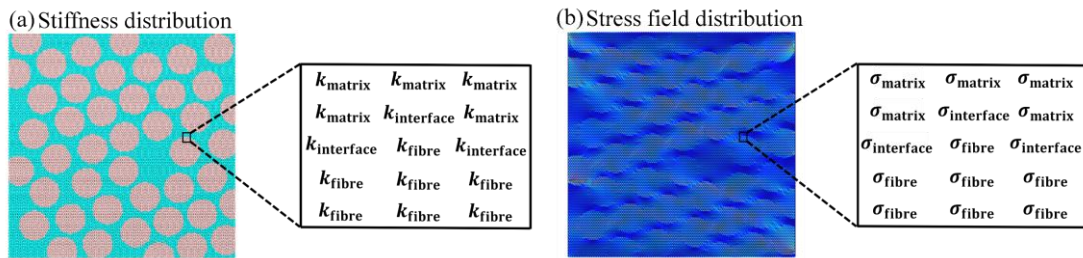


Fig. 3. A  $512 \times 512$  grid is used to represent (a) the stiffness distribution of the lamina or (b) the stress field distribution of the lamina.

### 3 2.3.2. Framework of the predictive model

- 4 The framework of the predictive model used in this work is shown in Fig. 4. First, the  
 5 contact bond-based stiffness distribution from the DEM model is transformed into a  
 6 uniform  $512 \times 512$  grid through bond-to-grid mapping. The grid-based stiffness  
 7 distribution is then fed into the U-Net model, whose encoder consists of six repeating  
 8 contracting blocks. Every block consists of two  $3 \times 3$  2D convolutions with padding  
 9 1, each followed by an instance normalization and a ReLU operation. A  $2 \times 2$  max

1 pooling operation with stride 2 is used between the successive contracting blocks to  
 2 reduce the grid size. At each contracting step, the number of feature channels is doubled.  
 3 Simultaneously, 6 repeating expansive blocks are built for the decoder. A  $2 \times 2$  up-  
 4 convolution operation with stride 2 is used for upsampling between blocks and to halve  
 5 the number of feature channels. Skip connections are employed to concatenate the  
 6 feature maps from each expansive block with the corresponding feature maps from the  
 7 contracting block. Two  $3 \times 3$  2D convolutions with padding 1, each followed by  
 8 instance normalization and a ReLU activation, are used in each expansive block, which  
 9 helps in feature extraction and refinement after upsampling. At the final layer, a  $1 \times 1$   
 10 convolution is used to map the  $64 \times 512 \times 512$  decoder output to a  $1 \times 512 \times 512$   
 11 form with a single feature channel. Additionally, a grid-to-bond mapping layer is  
 12 employed to project the grid-based stress field back onto each contact bond in the DEM  
 13 simulation according to the position, which is feasible as there is at most one bond  
 14 inserted in each grid. This ensures high-fidelity stress field prediction without  
 15 redundant information aggregation.

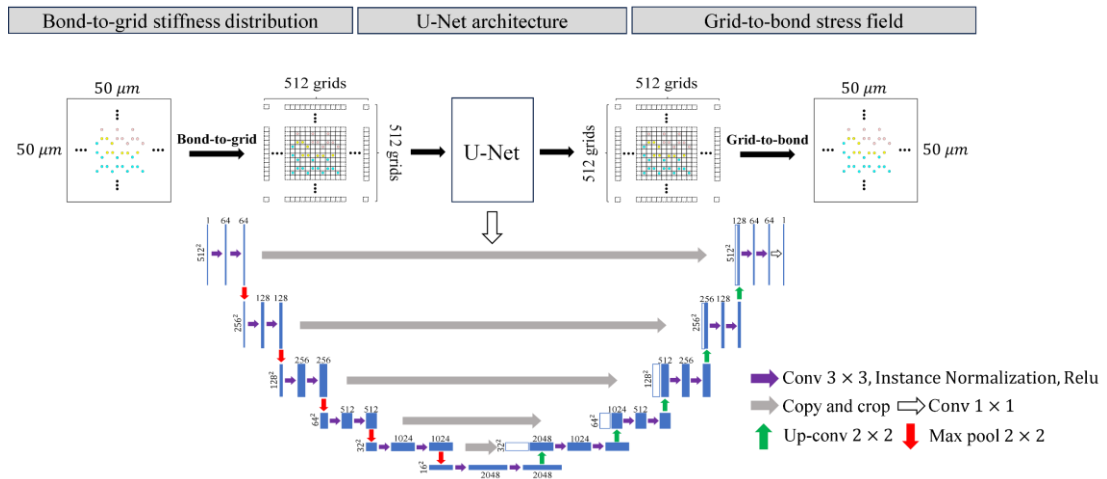


Fig. 4. Architecture of the proposed stress field prediction framework.

16 The training of weights in the designed U-Net model is carried out by minimizing the  
 17 loss function, defined as the root mean squared error between the predicted and the  
 18 DEM simulated stress distribution, which is defined as:

$$Loss_{rmse} = \sqrt{\frac{1}{NHW} \sum_{n=1}^N \sum_{i=1}^H \sum_{j=1}^W (\hat{\sigma}_{ij}^n - \sigma_{ij}^n)^2}. \quad (16)$$

1 where  $N$  is the number of samples in a batch,  $H$  and  $W$  denote the height and width of  
 2 the spatial grid, respectively,  $\hat{\sigma}$  is the DEM simulated stress field, and  $\sigma$  is the U-Net  
 3 model predicted stress field. Meanwhile, a grid search method with five-fold cross-  
 4 validation is employed in the data training process to select the best optimizer and the  
 5 optimal network structure and parameters.

6 Finally, the detailed procedure for the proposed stress field prediction framework is  
 7 presented as follows:

8 1: Define the target mapping from the lamina configuration  $M$  to the corresponding  
 9 stress field  $\sigma(x)$ :

$$\sigma(x) = G(M)(x), \quad M = \{f^f, A, L\}. \quad (17)$$

10 2: Construct the input–output dataset using the calibrated DEM model, followed by a  
 11 predefined preprocessing workflow:

$$k(x)^{\text{bond}} \xrightarrow{\text{preprocessing}} k(x)^{1 \times 512 \times 512}, \quad (18)$$

$$\sigma(x)^{\text{bond}} \xrightarrow{\text{preprocessing}} \sigma(x)^{1 \times 512 \times 512}. \quad (19)$$

12 3: Input the data into the appropriate U-Net model to approximate the defined mapping.  
 13 The network architecture and training hyperparameters are determined through grid  
 14 search coupled with cross-validation.

## 15 3. Results and discussion

### 16 3.1. Experiment setting

17 Datasets: In this work, four different fibre volume fractions are considered: 60%, which  
 18 includes 44 circular fibres of radius 3.3  $\mu\text{m}$ , 50%, which includes 37 circular fibres of  
 19 radius 3.3  $\mu\text{m}$ , 40%, which includes 30 circular fibres of radius 3.3  $\mu\text{m}$ , and 30%,  
 20 which includes 22 circular fibres of radius 3.3  $\mu\text{m}$  (as shown in Fig. 5(a-d)). The

1 geometry and stress data from the generated RVE samples are divided into the training  
2 and testing subsets, as shown in Fig. 6, where 60% and 40% fibre volume fraction  
3 samples are combined to train the U-Net model, and the data from the RVEs with 30%,  
4 40%, 50% and 60% fibre volume fractions are used for testing. This approach not only  
5 explores the U-Net model's ability to predict stress fields for RVEs with fibre volume  
6 fractions present in the training set but also investigates its applicability to RVEs with  
7 fibre volume fractions not seen during training. Specifically, predictions for RVEs with  
8 fibre volume fractions of 50% and 30% correspond to interpolation and extrapolation  
9 cases, respectively, which lie within and outside the range defined by the training data.  
10 Meanwhile, the typical stress-strain curve of the UD FRP composite laminae under  
11 transverse tension loads is shown in Fig. 5(e), which can be divided into an elastic linear  
12 regime and a plastic nonlinear regime. In this work, the U-Net model is used to predict  
13 the stress fields of the RVEs with the four different fibre volume fractions for both the  
14 elastic linear regime at the strain of 0.05% (Stage I) and the plastic nonlinear regime up  
15 to the timestep just before the first crack occurs (Stage II) to further understand its  
16 prediction ability. It should be noted that, in the following results, the normal bond force  
17 component is selected as the evaluation target, since it dominates material strength and  
18 failure in the discrete element framework.

19 Based on the above analysis, a total of 100 RVEs with 40% fibre volume fraction, 100  
20 RVEs with 60% fibre volume fraction, 50 RVEs with 30% fibre volume fraction, and  
21 50 RVEs with 50% fibre volume fraction are simulated to map the microstructure to the  
22 stress field in both linear and nonlinear regimes. Out of the simulation results, a random  
23 selection of 50 RVEs with 40% fibre volume fraction and 50 RVEs with 60% fibre  
24 volume fraction are combined to form the training dataset, while the remaining 50 RVEs  
25 for each fibre volume fraction are used as the testing dataset (as illustrated in Fig. 6).

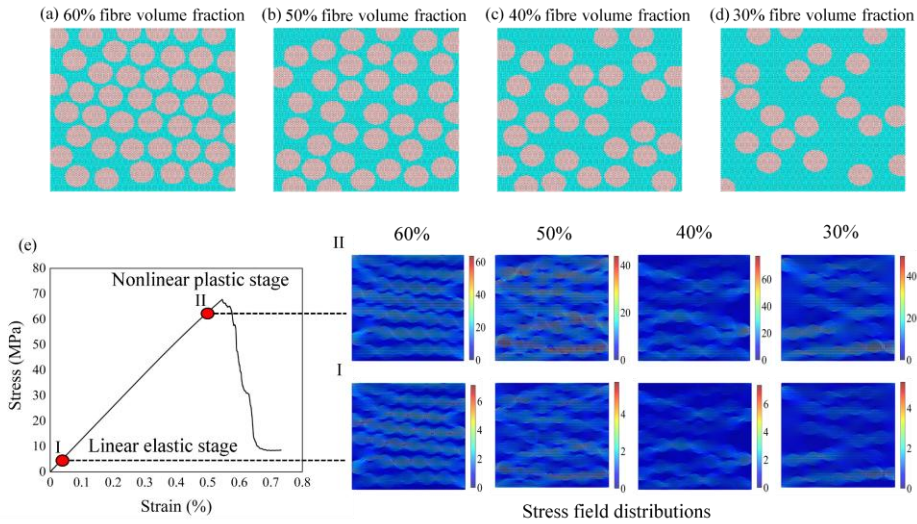


Fig. 5. (a) RVE with 60% fibre volume fraction. (b) RVE with 50% fibre volume fraction. (c) RVE with 40% fibre volume fraction. (d) RVE with 30% fibre volume fraction (e) The macroscopic stress-strain curve of a RVE sample under transverse tension loads, with stress field distributions at the selected linear elastic stage I corresponds to the strain of 0.05% and the nonlinear plastic stage II corresponds to the timestep before the first crack occurs of the four types of RVEs with 60%, 50%, 40%, and 30% fibre volume fractions.

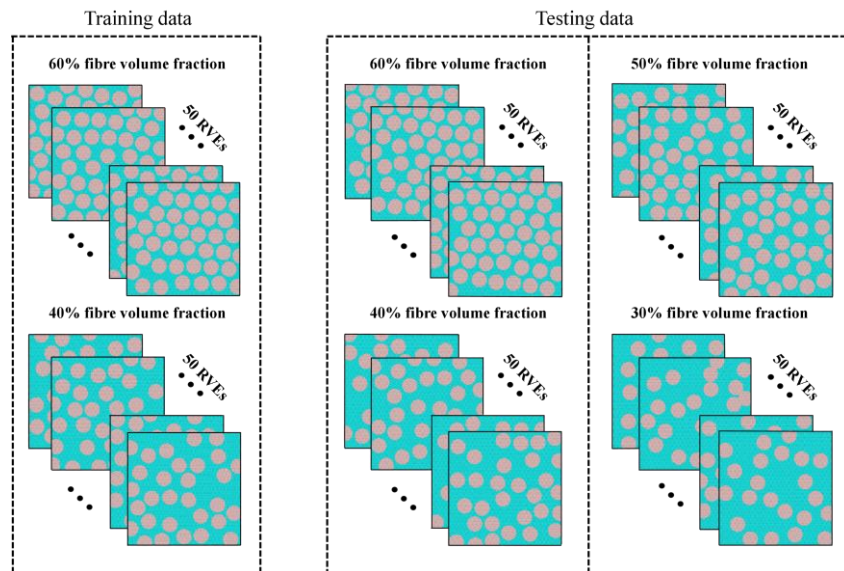


Fig. 6. Composition of the training and testing datasets.

1 Implementation details: To select the best optimizer and hyperparameters, the training

1 dataset is randomly divided into 5 subsets, each containing 10 RVEs with 40% fibre  
 2 volume fraction and 10 RVEs with 60% fibre volume fraction, for five-fold cross-  
 3 validation. Based on the cross-validation results, the Adam optimizer is used with a  
 4 learning rate of 0.001, and training is conducted for 250 epochs with a batch size of 1  
 5 for both the linear and nonlinear stress field distribution prediction models. Fig. 7 and  
 6 Fig. 8 show the evolution of the training and validation loss for the two models during  
 7 the training process for each fold under the given training setup. It can be observed that,  
 8 as training proceeds, the validation loss converges to a stable level without exhibiting  
 9 a rebound or increasing trend, suggesting that no overfitting occurs and that the models  
 10 exhibit robust generalization capability. Meanwhile, considering the slight divergence  
 11 between the training and validation loss in the later stages of training, additional  
 12 experiments based on an early-stopping strategy have been conducted. The results show  
 13 that the model trained for 250 epochs achieves better performance on the test set, further  
 14 supporting the effectiveness of the training setup determined through five-fold cross-  
 15 validation.

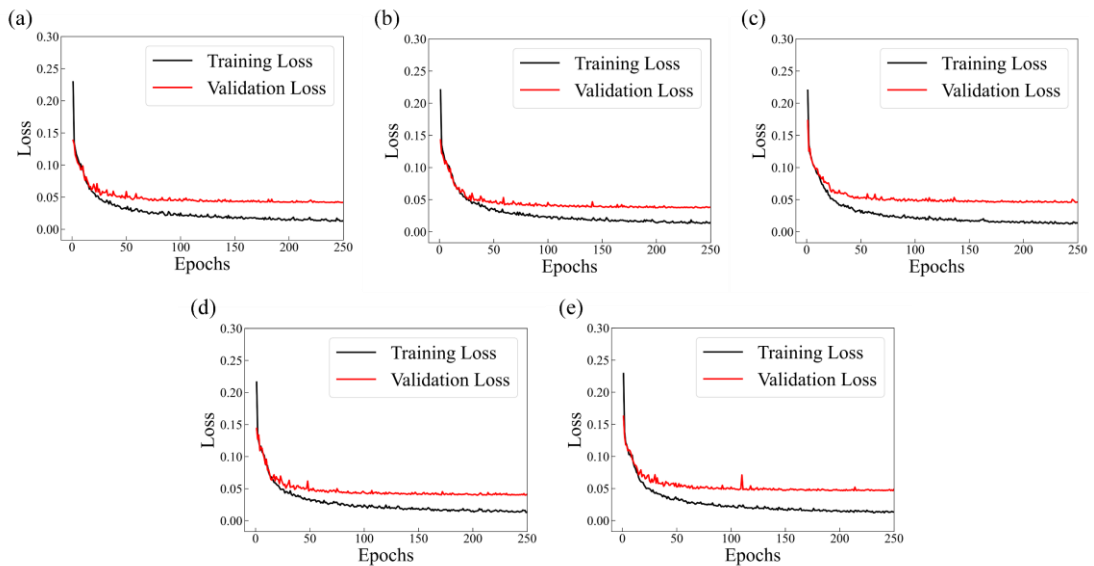


Fig. 7. The evolution of the training and validation loss for the linear stress field distribution prediction model during the training process in five-fold cross-validation: (a) Fold 1; (b) Fold 2; (c) Fold 3; (d) Fold 4; (e) Fold 5.

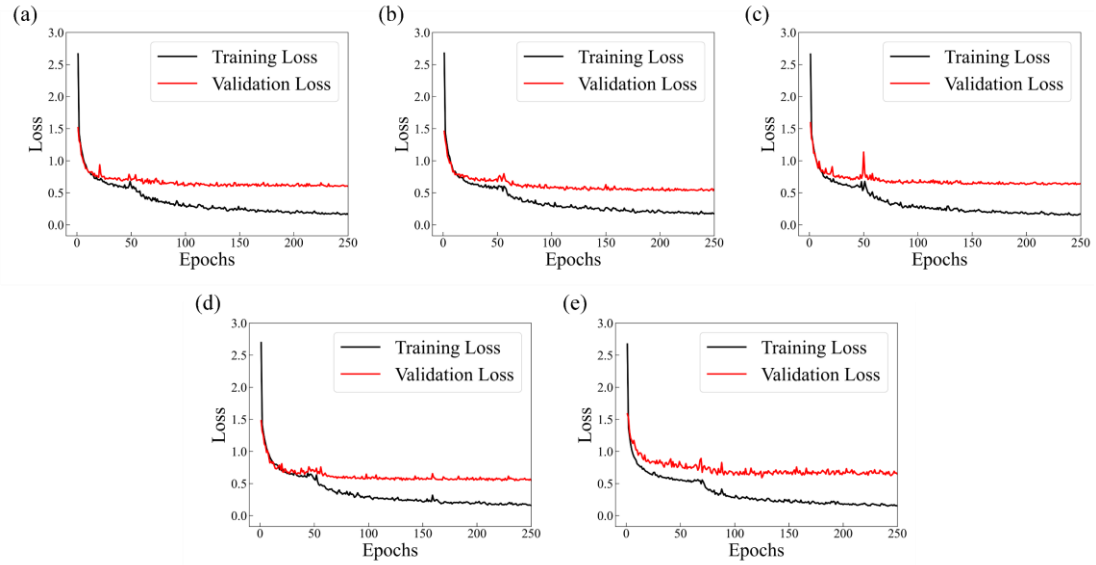


Fig. 8. The evolution of the training and validation loss for the nonlinear stress field distribution prediction model during the training process in five-fold cross-validation: (a) Fold 1; (b) Fold 2; (c) Fold 3; (d) Fold 4; (e) Fold 5.

1 The proposed U-Net models significantly improve the computational efficiency in  
 2 comparison to the DEM simulation. For the nonlinear stress field analysis, the DEM  
 3 model takes around 6 min per case. After a training time of 152 min, the trained U-Net  
 4 model is capable of producing full-field stress predictions within 0.72 s on a standard  
 5 laptop CPU (Intel i9-13900HX, 16GB RAM). When deployed on the GPU (NVIDIA  
 6 RTX 4070), the inference time reduces to 0.09 s per analysis, which corresponds to a  
 7 computational speedup exceeding 4000 $\times$ . Meanwhile, the peak GPU memory usage  
 8 during training and inference for the nonlinear stress field predictions is 3.181 GB and  
 9 2.764 GB, respectively, which are well within the capacity of standard consumer-grade  
 10 GPUs, supporting the scalability and practical deployability of the proposed surrogate  
 11 model, including its potential extension to larger or three-dimensional RVEs.

### 12 **3.2. Stress field predictions for laminae with 60% and 40% fibre** 13 **volume fractions**

1 This section assesses the accuracy of the U-Net model in mapping from microstructures  
2 of lamina RVEs, which have the same fibre volume fractions as those in the training  
3 data but differ in fibre spatial distributions, to their corresponding linear and nonlinear  
4 stress fields. First, a random RVE sample from the 50 test samples with 60% fibre  
5 volume fraction is selected, and the true (DEM simulated) and predicted (U-Net model  
6 learned) stress fields of the sample are shown in Fig. 9(a-b). From these results, the  
7 absolute difference and correlation between them are calculated, and the corresponding  
8 error maps and correlation plots are presented in Fig. 9(c-d). Based on the prediction  
9 results, the true and predicted average forces, defined as the mean of all contact bond  
10 forces within the RVE, are calculated to be 0.89 N and 0.91 N, respectively, for the  
11 linear elastic stage at the strain of 0.05%. For the nonlinear plastic stage up to the  
12 timestep just before the first crack occurs, they are 8.69 N and 8.60 N. The relative  
13 errors, calculated as 1.57% for the prediction at the linear stage and 1.08% for the  
14 prediction at the nonlinear stage, indicate a close agreement between the prediction and  
15 the ground truth in terms of stress field distribution. In addition, the coefficient of  
16 determination ( $R^2$ ) is used as an indicator to evaluate the model's performance in  
17 predicting the stress field distribution. As shown in the correlation plots in Fig. 9(d),  
18 the stress data at nearly all contact bonds are tightly clustered around  $y = x$  for both the  
19 linear and nonlinear stress field predictions, where high  $R^2$  values of 0.9964 and  
20 0.9957 are achieved, respectively. It can be observed that the  $R^2$  value of the stress  
21 field prediction in the nonlinear stage slightly decreases compared to that in the linear  
22 stage, which can be attributed to the more heterogeneous and non-uniform stress  
23 distribution caused by plasticity-induced stress localization and redistribution.

24 To comprehensively evaluate the accuracy and effectiveness of the U-Net model, Fig.  
25 9(e) presents the  $R^2$  distributions across all 50 test samples with 60% fibre volume  
26 fraction. The mean  $R^2$  values for the linear and nonlinear stress field predictions are  
27 0.9922 and 0.9873, respectively, which are depicted by the vertical lines in Fig. 9(e).  
28 The high mean  $R^2$  values suggest that the predictive model for both the linear and

1 nonlinear stress fields has strong generalization ability in dealing with samples with  
 2 random fibre distributions. The standard deviations of the  $R^2$  distributions are  
 3 calculated as 0.0023 for the linear stage and 0.0067 for the nonlinear stage, supporting  
 4 the earlier observation that the linear stress field prediction is more stable and reliable  
 5 compared to the prediction during stages with increased material nonlinearity.

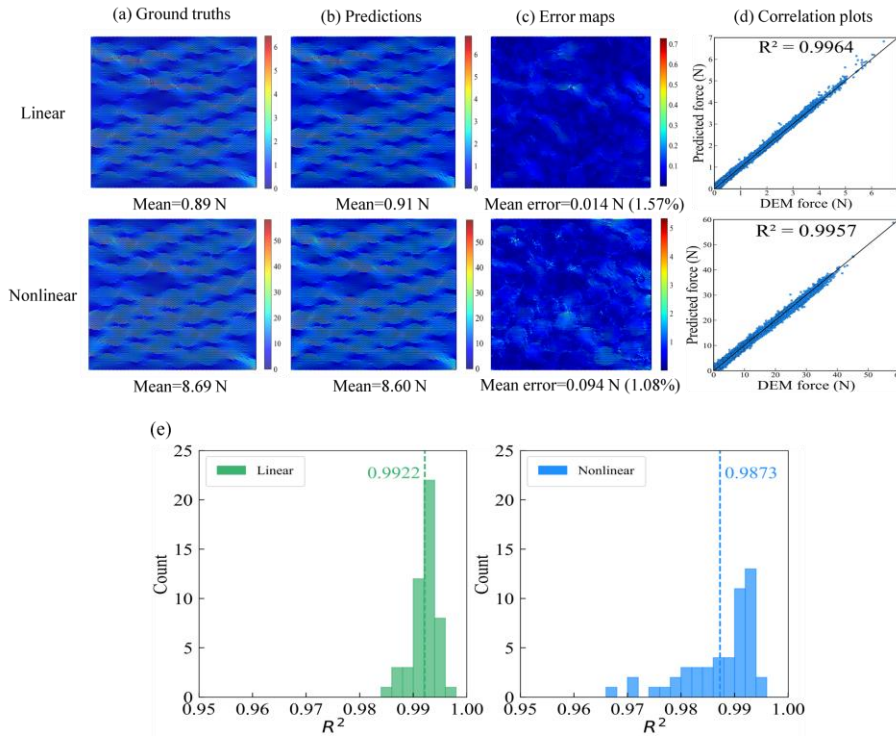


Fig. 9. The performance of the U-Net model in predicting the linear and nonlinear stress field distributions of RVEs with 60% fibre volume fraction. (a) True linear and nonlinear stress distributions. (b) Predicted linear and nonlinear stress distributions. (c) Error maps for the linear and nonlinear stress field distribution predictions. (d) Prediction-Simulation correlation plots for the linear and nonlinear stress field distributions. (e)  $R^2$  distributions across 50 test samples for the linear and nonlinear stress field distribution predictions.

6 Similar to the performance assessment of the U-Net model on the RVE samples with  
 7 60% fibre volume fraction, its prediction ability on the 40% fibre volume fraction  
 8 lamina RVEs is investigated. For a randomly selected RVE sample, the true stress fields,  
 9 predicted stress fields, error maps and correlation plots are shown in Fig. 10(a-d), where

1 the relative errors for the prediction of the linear and nonlinear average forces are 0.52%  
 2 and 3.66%, respectively, and the  $R^2$  values are 0.9917 for the linear stress field  
 3 prediction and 0.9906 for the nonlinear stress field prediction. For the entire dataset of  
 4 50 testing RVEs with 40% fibre volume fraction, as shown in Fig. 10(e), the model  
 5 achieves high mean  $R^2$  values for both the linear and nonlinear stress field predictions  
 6 of samples with randomly distributed fibres, which are 0.9852 and 0.9753, respectively.  
 7 Based on the evaluation metrics obtained, the model demonstrates strong capability in  
 8 predicting both linear and nonlinear stress field distributions for samples with 40% fibre  
 9 volume fraction. This further illustrates the model's effectiveness in mapping from the  
 10 sample's microstructure to the corresponding stress field when dealing with lamina  
 11 RVEs that have the same fibre volume fractions as those in the training data but different  
 12 fibre spatial distribution patterns. Meanwhile, it can be noticed that as the fibre volume  
 13 fraction decreases from 60% to 40%, the prediction accuracy is slightly reduced, which  
 14 is mainly a consequence of the increasing randomness in fibre spatial distribution,  
 15 thereby weakening the spatial correlations captured during training and posing greater  
 16 challenges for the prediction task.

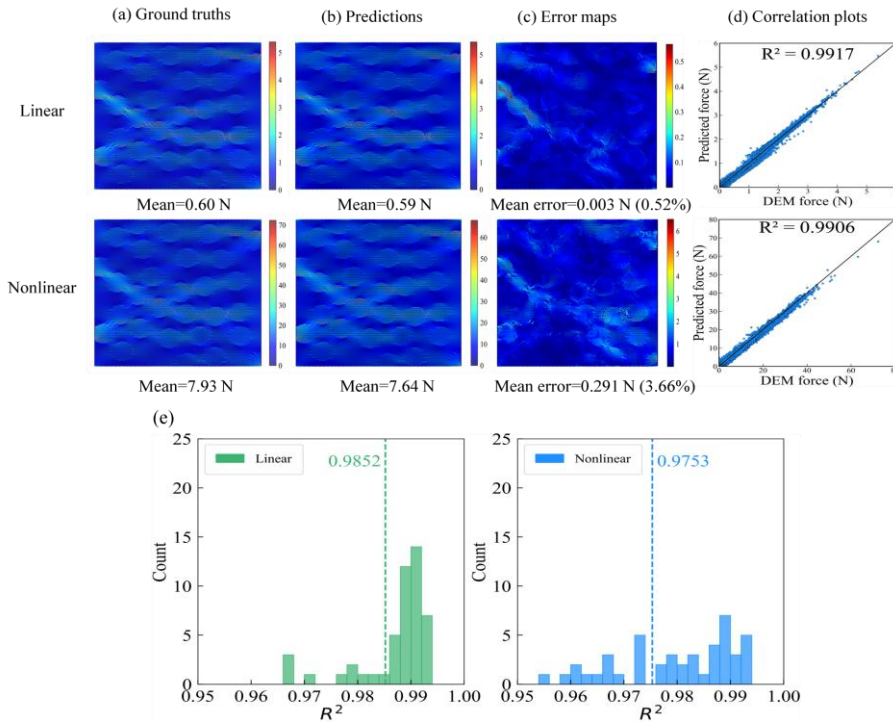


Fig. 10. The performance of the U-Net model in predicting the linear and nonlinear stress field distributions of RVEs with 40% fibre volume fraction. (a) True linear and nonlinear stress distributions. (b) Predicted linear and nonlinear stress distributions. (c) Error maps for the linear and nonlinear stress field distribution predictions. (d) Prediction-Simulation correlation plots for the linear and nonlinear stress field distributions. (e)  $R^2$  distributions across 50 test samples for the linear and nonlinear stress field distribution predictions.

### 1 **3.3. Stress field predictions for laminae with 50% and 30% fibre** 2 **volume fractions**

3 In this section, the effectiveness of the U-Net model in predicting the stress fields of  
4 the testing samples with both variations in fibre volume fraction and fibre spatial  
5 distribution is examined. For the testing samples, the change in fibre volume fraction  
6 introduces additional statistical features of samples' microstructures, which pose  
7 challenges to predictive models that lack physically meaningful information. In this  
8 work, the U-Net model is trained on DEM-generated data in which the composite  
9 microstructures are encoded using stiffness values of contact bonds, which preserves  
10 the physical meaning and consistency between the input microstructure and the  
11 resulting stress field distribution. The model is trained on lamina RVEs with 60% and  
12 40% fibre volume fractions, and shows strong generalization ability for samples with  
13 50% and 30% fibre volume fractions, which lie within and outside the interpolation  
14 range defined by the training data, respectively. Fig. 11(a-d) show the true stress maps,  
15 predicted stress maps, error maps and correlation plots for a sample randomly selected  
16 from the 50% fibre volume fraction RVEs. From these results, the relative errors for the  
17 prediction of the linear and nonlinear average forces are calculated as 1.78% and 1.16%,  
18 respectively, and the  $R^2$  values are 0.9932 for the linear stress field prediction and  
19 0.9926 for the nonlinear stress field prediction. Fig. 11(e) presents the  $R^2$  distributions  
20 across all the 50 RVEs in the test dataset, where the mean  $R^2$  values are calculated as  
21 0.9850 and 0.9773 for the linear and nonlinear stress field predictions, respectively.

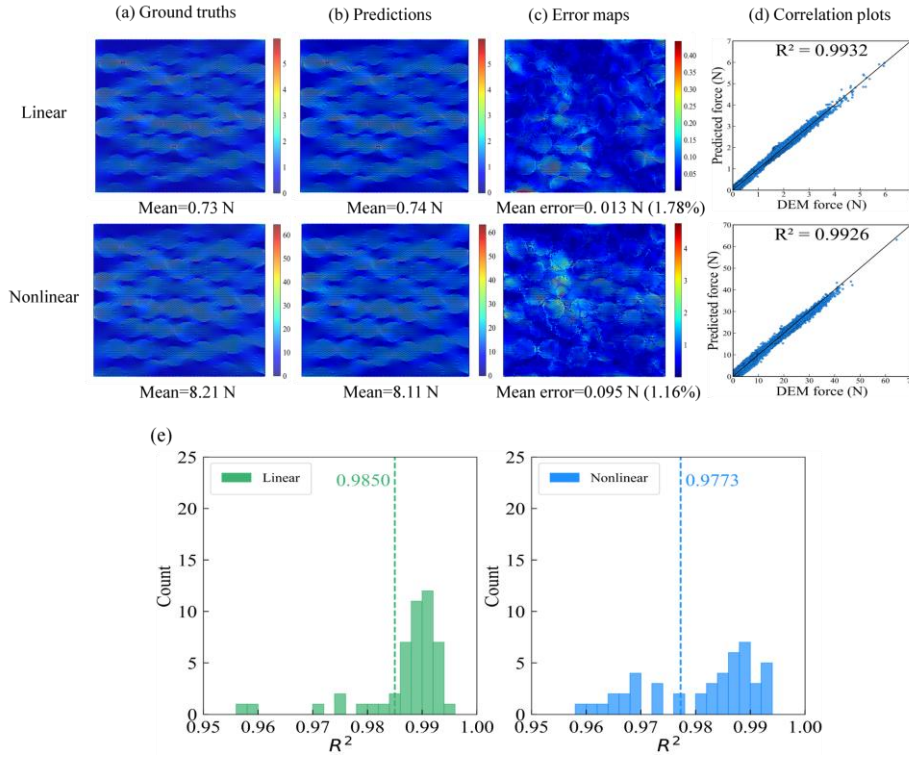


Fig. 11. The performance of the U-Net model in predicting the linear and nonlinear stress field distributions of RVEs with 50% fibre volume fraction. (a) True linear and nonlinear stress distributions. (b) Predicted linear and nonlinear stress distributions. (c) Error maps for the linear and nonlinear stress field distribution predictions. (d) Prediction-Simulation correlation plots for the linear and nonlinear stress field distributions. (e)  $R^2$  distributions across 50 test samples for the linear and nonlinear stress field distribution predictions.

1 For a randomly selected sample with 30% fibre volume fraction, the true and predicted  
2 stress maps, error maps, and correlation plots are shown in Fig. 12(a-d). The  $R^2$   
3 distributions across all the 30% fibre volume fraction testing samples are presented in  
4 Fig. 12(e). It can be obtained that the relative errors in predicting the average forces are  
5 3.16% and 4.57% for the linear and nonlinear stages, respectively, while the  $R^2$  values  
6 for the prediction of stress fields are 0.9855 and 0.9847. The mean  $R^2$  values across  
7 the entire test set are 0.9813 for the linear stress field prediction and 0.9765 for the  
8 nonlinear stress field prediction.

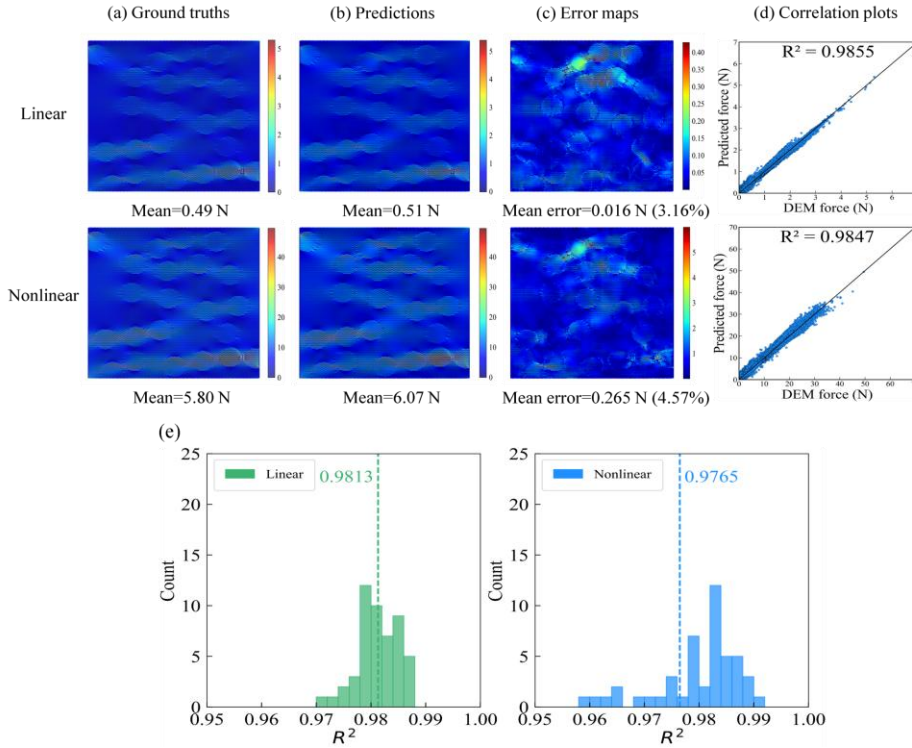


Fig. 12. The performance of the U-Net model in predicting the linear and nonlinear stress field distributions of RVEs with 30% fibre volume fraction. (a) True linear and nonlinear stress distributions. (b) Predicted linear and nonlinear stress distributions. (c) Error maps for the linear and nonlinear stress field distribution predictions. (d) Prediction-Simulation correlation plots for the linear and nonlinear stress field distributions. (e)  $R^2$  distributions across 50 test samples for the linear and nonlinear stress field distribution predictions.

1 Since the weights and biases of the neural network are randomly initialized at the  
 2 beginning of each training, different initial states may lead to variations in prediction  
 3 performance and thus introduce uncertainty into the results. To enhance the reliability  
 4 of the model, the U-Net model is trained using 10 different initial states. The prediction  
 5 performance for RVEs with fibre volume fractions of 30% and 50%, which are not seen  
 6 during training, is summarized in Table 2. As can be seen, the model exhibits good  
 7 stability across different initial states. In particular, for the extrapolated case with 30%  
 8 fibre volume fraction, the mean  $R^2$  values remain at 0.9823 (95% confidence interval  
 9 (CI), 0.9805–0.9842) and 0.9768 (95% CI, 0.9743–0.9792) for the linear and nonlinear

1 stress field predictions, respectively.

Table 2: Prediction performance of the U-Net model across 10 random initializations

Fibre volume fraction (%)	Regime	$R^2$	95% CI
30	Linear elastic	0.9823	[0.9805, 0.9842]
	Nonlinear plastic	0.9768	[0.9743, 0.9792]
50	Linear elastic	0.9852	[0.9832, 0.9871]
	Nonlinear plastic	0.9776	[0.9756, 0.9797]

2 To better demonstrate the generalization capability of the proposed prediction  
3 framework, a U-Net model is trained using only 100 DEM-generated RVEs with 60%  
4 fiber volume fraction. The prediction performance for RVEs with fiber volume fractions  
5 of 30%, 40%, 50%, and 60%, quantified by the mean  $R^2$  values across 50 testing  
6 samples, is presented in Table 3. It can be seen that the model achieves the best  
7 prediction performance on testing samples with a fiber volume fraction of 60%, with  
8 the mean  $R^2$  values of 0.9944 and 0.9909 for the linear and nonlinear stress fields,  
9 respectively. The lowest prediction accuracy is observed at 30% fiber volume fraction,  
10 where the corresponding mean  $R^2$  values decrease to 0.9145 and 0.9057. It can be  
11 noticed that the prediction performance deteriorates from the model trained using  
12 DEM-generated samples with 60% and 40% fibre volume fractions, due to the reduced  
13 variability in microstructural configurations represented in the training data.  
14 Nevertheless, the mean  $R^2$  values still exceed 0.9, demonstrating that the model  
15 maintains relatively high prediction accuracy.

Table 3: Prediction performance of the U-Net model trained on RVEs with 60% fibre volume fraction.

Fibre volume fraction (%)	$R^2$ in linear regime	$R^2$ in nonlinear regime
30	0.9145	0.9057
40	0.9250	0.9149
50	0.9581	0.9471

### 3.4. Comparison with U-Net trained on FEM-generated data

To further assess the robustness of the proposed prediction framework, a comparative study is performed to evaluate the performance of the U-Net model in predicting the linear and nonlinear stress field distributions trained on FEM-generated and DEM-generated data, respectively. In both cases, the training and testing data composition and training strategy are kept identical to ensure a rigorous and fair comparison. Specifically, the training data consists of 50 RVEs with 40% fibre volume fraction and 50 RVEs with 60% fibre volume fraction, and testing is conducted using an independent set of 50 RVEs at each fibre volume fraction of 30%, 40%, 50%, and 60%. As Fig. 13 shows, the U-Net model trained on FEM-generated data exhibits satisfactory performance on the testing data with a fiber volume fraction of 60%. However, as the fiber volume fraction decreases, the randomness of the microstructures increases. The prediction accuracy for both linear and nonlinear stress fields drop sharply, yielding  $R^2$  values of only 0.5115 and 0.5073, respectively. In contrast, the U-Net model trained on DEM-generated data demonstrates consistently superior performance across fiber volume fractions included in the training set (60% and 40%) as well as those unseen during training (50% and 30%). The  $R^2$  values for both linear and nonlinear stress field predictions all exceed 0.97.

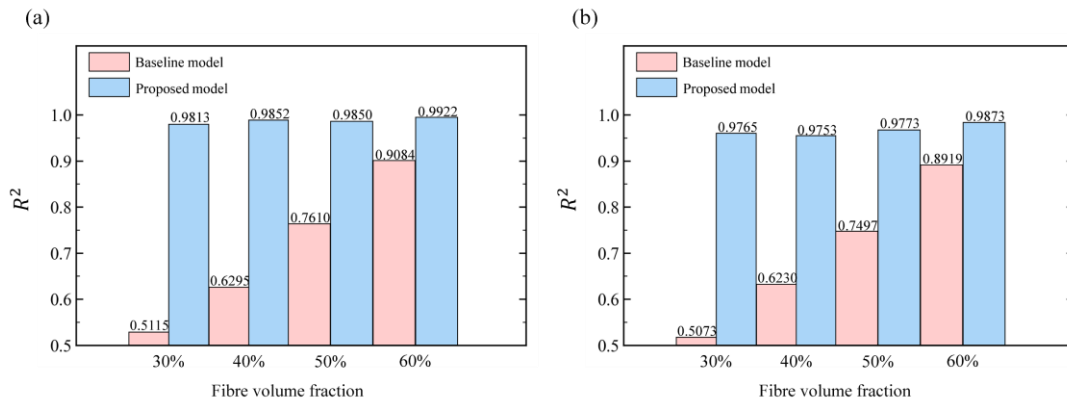


Fig. 13. Comparison of the proposed model and the U-Net trained on FEM-generated data for stress field predictions under different fibre volume fractions in

(a) linear and (b) nonlinear regime.

## 1 **4. Conclusions**

2 In this paper, a CNN-based U-Net model trained on DEM-generated data is developed  
3 to predict linear and nonlinear stress field distributions of UD FRP composite laminae.  
4 By mapping the stiffness distribution of a lamina directly to its corresponding stress  
5 field, the model is more effectively guided by the underlying physical knowledge  
6 during training, rather than relying on FEM-generated pixel-based binary image  
7 representations. The data for training and testing are generated by a validated RVE-  
8 based DEM model, and it is shown that the particle-based data structure from DEM  
9 simulations is highly compatible with the architecture of CNN-based models, which  
10 excel at extracting local dependencies due to their local perception and sub-sampling  
11 strategies. Based on the prediction results, the following conclusions are drawn up:

12 (1) To the authors' best knowledge, the integration of the U-Net model with DEM  
13 simulations achieves higher accuracy than existing studies in predicting linear and  
14 nonlinear stress fields of composite laminae which have the same fibre volume fractions  
15 but different fibre spatial distributions to the training samples. For the 60% and 40%  
16 fibre volume fractions laminae considered in this work, the mean  $R^2$  values for the  
17 stress field prediction in the linear stage are 0.9922 and 0.9852, while in the nonlinear  
18 stage, they are 0.9873 and 0.9753, respectively.

19 (2) Trained on DEM-generated data, the U-Net model demonstrates strong  
20 generalization and extrapolation capabilities. This is, to our knowledge, the first attempt  
21 that a deep learning model can successfully predict the stress fields over samples which  
22 introduce variability in both fibre volume fraction and spatial distribution. For the 50%  
23 fibre volume fraction laminae, which lies within the interpolation range of the training  
24 samples, and the 30% fibre volume fraction laminae, which lies outside this range, the  
25 mean  $R^2$  values for the stress field prediction in the linear stage are 0.9850 and 0.9813,  
26 while in the nonlinear stage, they are 0.9773 and 0.9765, respectively.

1 (3) The U-Net model trained on DEM-generated data significantly reduces the amount  
2 of training data required for the prediction of the linear and nonlinear stress fields. Only  
3 100 lamina RVEs are used for training in this work and satisfactory prediction accuracy  
4 is made, whereas comparable models in literature typically rely on several hundred to  
5 thousands of training samples to achieve similar or even lower accuracy levels.

6 Based on the findings of this paper, the proposed model could be extended to the  
7 prediction of composite laminae with different microstructural configurations, such as  
8 the laminae containing fibre-rich and matrix-rich regions. Furthermore, future  
9 investigations could explore the prediction of damage initiation and propagation in  
10 composite laminae. As nonlinearity becomes more pronounced, additional deep  
11 learning approaches, including CNN-based, GAN-based, and sequence-based models,  
12 could be considered.

13 Meanwhile, beyond the current 2D plane strain scenario under transverse tension loads,  
14 the model could be extended to 3D and multi-axial loading conditions by incorporating  
15 the corresponding DEM simulation data into the training process. Such extensions  
16 would greatly enhance the capabilities of the surrogate analysis and offer deeper  
17 insights into the mechanical properties of the material.

### **CRedit authorship contribution statement**

**Siyu Zhao:** Methodology, Investigation, Data curation, Formal analysis, Software, Writing - Original Draft; **Xiaoxuan Ding:** Methodology, Software, Writing - Review & Editing; **Jianqiao Ye:** Supervision, Writing - Review & Editing; **Xiaonan Hou:** Conceptualization, Methodology, Project administration, Supervision, Writing - Review & Editing.

### **Date availability**

Data will be made available on request.

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