

Monitoring urban resilience using fine-resolution satellite nighttime light imagery: A cross-sectoral analysis of global cities

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To my family

Abstract

1
2 Urban resilience—the capacity of cities and their constituent sectors to absorb, adapt to, and
3 recover from disruptive shocks—has become a central concern for sustainable urban development,
4 particularly in response to the COVID-19 pandemic and the unprecedented lockdown measures
5 implemented worldwide. Despite growing interest, empirical assessment of urban resilience
6 remains challenging due to the complexity of urban systems, the heterogeneity of sectoral
7 responses, and the limited availability of high-frequency, spatially detailed socioeconomic data
8 suitable for global comparative analysis. This PhD thesis advances a satellite-based framework for
9 assessing sector-level urban resilience using time-series nighttime light (NTL) imagery as a proxy
10 for human and economic activity. Although NTL data provide consistent global coverage and high
11 temporal frequency, their application to fine-scale urban analysis is constrained by coarse spatial
12 resolution and blooming effects. To address these limitations, the thesis adapts and extends a
13 hybrid geostatistical downscaling approach—Random Forest Area-to-Point Kriging (RFATPK)—
14 to nighttime light data, explicitly accounting for spatial heterogeneity and the point spread function
15 to enhance spatial detail and mitigate blooming.

16 Validation against independent high-resolution nighttime imagery demonstrates that the
17 downscaled NTL products outperform conventional coarse-resolution data, yielding on average a
18 17% improvement in socioeconomic representation and substantially enhanced spatial coherence.
19 The refined NTL data exhibit stronger associations with independent indicators, including Gross
20 National Income and development indices. Building on these advances, the thesis introduces a
21 structured resilience framework for assessing urban resilience based on sector-specific NTL
22 trajectories before, during, and after COVID-19 lockdowns, applied to 98 city–sector
23 combinations across 48 global cities. The contribution of this research is both methodological and
24 conceptual. Methodologically, it introduces a consistent and globally scalable approach for
25 measuring sectoral urban economic resilience using satellite nighttime lights, overcoming key
26 limitations of conventional economic statistics in capturing dynamic, intra-urban recovery
27 processes. Conceptually, it demonstrates that economic essentiality acts as a primary organizing
28 dimension of resilience outcomes: sectors linked to essential provisioning disproportionately
29 exhibit resilient or fully recovered trajectories, whereas non-essential commercial and retail
30 activities experience sustained decline, particularly in European cities. These findings reframe
31 urban resilience as an outcome of economic structure and functional organization, rather than an
32 inherent or uniformly distributed property of cities, with clear implications for urban policy and
33 resilience planning.

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Declaration for authorship

This thesis has not been submitted to this or any other university in support of an application for another degree. Except as noted, it is the product of my own effort and does not contain any results of work completed in partnership. My supervisors, Dr. Ce Zhang (University of Bristol) and Professor Peter M. Atkinson (Lancaster University), discussed many of the concepts in this thesis.

The following peer-reviewed journal publications contain excerpts from this thesis that were published as part of the project results for the three-year PhD program (2021–2025).

- 1) Nikolaos Tziokas, Ce Zhang *, Garyfallos C. Drolas, Peter M. Atkinson *, 2023, Downscaling satellite nighttime lights imagery to support within-city applications using a spatially non-stationary model. *International Journal of Applied Earth Observation and Geoinformation*, 122: 103395. <https://doi.org/10.1016/j.jag.2023.103395>.
- 2) Nikolaos Tziokas, Ce Zhang *, Alexandros Tziokas, Qunming Wang, Peter M. Atkinson *, 2024, Downscaling Satellite Nighttime Light Imagery While Addressing the Blooming Effect. *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*, PP(99):1-18. [10.1109/JSTARS.2024.3429244](https://doi.org/10.1109/JSTARS.2024.3429244).
- 3) Nikolaos Tziokas, Ce Zhang *, Alexandros Tziokas, Peter M. Atkinson *, 2026, Uneven urban resilience across economic sectors drawn from satellite nighttime lights. *Nature Cities* (under-review).

As the primary author, I certify that the publications are all my own work. Together with my two supervisors, Dr. Ce Zhang, and Professor Peter M. Atkinson, I developed the concepts. I wrote all of the articles and planned and carried out the experiments. Both supervisors have signed below to approve the submission and publication of these research papers.

Sincerely yours.

Signed:

Ce Zhang –

Peter M. Atkinson –

62

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63 Looking back over the past three years, I have come to realize that pursuing a PhD is a journey
64 full of obstacles, uncertainty, and moments of self-doubt, but also one of substantial learning and
65 personal growth. It took time to find my own way of dealing with these challenges, and I certainly
66 did not do so alone. I am deeply grateful to the many individuals who supported me throughout
67 this journey and made the completion of this PhD possible.

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79 This PhD journey was far from straightforward, and several periods were particularly challenging.
80 One of the most demanding phases was the long path toward my first publication, which took
81 nearly two years—from April 2021 to August 2023—to reach acceptance. This experience
82 required persistence and resilience and taught me the importance of trusting the research process,
83 even when progress feels slow. Another major challenge arose during the work on my third paper,
84 where the scale of the data required me to rethink my analytical approach. To manage large
85 volumes of data efficiently, I learned Bash scripting and developed automated workflows for data
86 processing and analysis. Although demanding, this process significantly strengthened my technical
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96 *Nikolaos Tziokas*

97 *Lancaster, February 2026*

98	List of abbreviations
99	Machine Learning (ML)
100	Random Forest (RF)
101	Area-to-Point Kriging (ATPK)
102	Random Forest Area-to-Point Kriging (RFAPTK)
103	Area-to-Point Regression Kriging (ATPRK)
104	Sustainable Development Goals (SDG)
105	Human Development Index (HDI)
106	Geographically Weighted Regression (GWR)
107	Suomi National Polar-orbiting Partnership (SNPP)
108	Deep Learning (DL)
109	Random Forest (RF)
110	Nighttime Lights (NTL)
111	Visible Infrared Imaging Radiometer Suite (VIIRS)
112	Day/Night Band (DNB)
113	Gross Impact (GI)
114	Net Impact (NI)
115	Urban Recovery (UR)
116	Gross Domestic Product (GDP)
117	Gross National Income (GNI)
118	Gross Value Added (GVA)
119	Night Light Development Index (NLDI)
120	Point Spread Function (PSF)
121	Defense Meteorological Satellite Program Operational Linescan System (DMSP-OLS)
122	Coronavirus disease 2019 (COVID-19)
123	Land Surface Temperature (LST)
124	Global Human Settlement (GHS)
125	Average Global Building Height (AGBH)

126	Ensemble Machine Learning (EML)
127	Root Mean Square Error (RMSE)
128	Mean Square Error (MSE)
129	Correlation Coefficient (CC)
130	Sum of Lights (SOL)
131	Metropolitan Statistical Area (MSA)
132	Los Angeles (LA)
133	OpenStreetMap (OSM)
134	Google Earth Engine (GEE)
135	Seasonal and Trend Decomposition (STL)
136	High-End Computing (HEC)
137	Synthetic Aperture Radar (SAR)
138	Points of Interest (POIs)
139	Viewing Angle (VA)
140	The Enhanced Built-up and Bareness Index (EBBI)
141	The Built-up Area Extraction Index (BAEI)
142	The Built-up Index (BUI)
143	The New Built-up Index (NBI)
144	The Normalized Built-up Area Index (NBAI)
145	The Band Ratio for Built-up Area (BRBA)
146	The Normalized Difference Built-up Index (NDBI)
147	The Modified Built-up Area Index (MBAI)
148	The Dry Built-up Index (DBI)
149	The Green Normalized Difference Vegetation Index (GNDVI)
150	The Normalized Difference Vegetation Index (NDVI)
151	The Enhanced Vegetation Index (EVI)
152	Modified Normalized Difference Water Index (MNDWI)
153	Normalized Difference Concrete Condition Index (NDCCI)

154	Built-up Land Features Extraction Index (BLFEI)
155	World Settlement Footprint (WSF)
156	Global Impervious Surface Area (GISA)
157	Global Artificial Impervious Area (GAIA)
158	Human Footprint (HFP)
159	Land Cover (LC)
160	Land Use (LU)
161	Downscaling (DS)
162	Fine-resolution (FR)
163	Coarse-resolution (CR)
164	out-of-bag (OOB)
165	Copernicus Land Monitoring Service (CLMS)

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397 This workflow was applied for every monthly NTL image. Black and blue lines indicate data
398 processed at coarse and fine spatial resolutions, respectively. Acronyms: NTL, nighttime lights;
399 CR, coarse resolution; FR, fine resolution; PSF, point spread function; RF, Random Forest;
400 ATPK, area-to-point kriging; DS, downscaled. 146
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479 **Chapter 1 Introduction**

480 **1.1 Project Background**

481 Policymakers and urban planners require detailed, real-time insights into urban dynamics to
482 effectively mitigate the impact of disruptive events, such as social shocks, and to track progress
483 towards Sustainable Development Goal 11 (SDG 11) - Sustainable cities and communities (Leal
484 Filho et al., 2024). The COVID-19 pandemic and its unprecedented global lockdowns in 2020
485 triggered rapid and significant shifts in urban activity, highlighting the need for analytical
486 frameworks that can provide timely, sector-specific insights. As urban disruptions become more
487 frequent and complex, traditional approaches to urban analytics struggle to meet this growing
488 demand. The challenge stems from the historical dependence on conventional data sources, such
489 as census and large-scale surveys, which are often static, time-intensive to collect and prone to
490 inaccuracies with aggregation (Ten et al., 2024). In contrast, the increasing availability of remote
491 sensing technologies - particularly nighttime light (NTL) data - offers a promising alternative. NTL
492 data provides a high-frequency, spatially explicit proxy for human activity and economic output,
493 enabling real-time monitoring of urban resilience. By leveraging NTL data, researchers can
494 monitor dynamic urban processes such as nighttime mobility patterns or critical infrastructure
495 fluctuations, facilitating more responsive strategies for resilience assessment.

496 **1.2 Real-world demands from policymakers and urban planners**

497 Rapid urbanization has transformed cities into highly interconnected centers of economic
498 production, social interaction, and infrastructure provision. While this concentration has driven
499 growth, it has simultaneously increased cities' exposure to systemic shocks, including natural
500 hazards, economic crises, climate-related extremes, and public health emergencies (Ribeiro and
501 Pena Jardim Gonçalves, 2019). As a result, urban resilience—the capacity of cities and their
502 constituent sectors to absorb disturbances, adapt to changing conditions, and recover while
503 maintaining essential functions—has emerged as a central concern in both academic research and
504 policy practice (Y. Zhang et al., 2024a).

505 Advancement toward the SDGs relies on timely, spatially disaggregated data that encapsulate
506 intra-urban heterogeneity. However, many countries continue to face substantial data deficits.
507 Official statistics and household surveys are frequently sporadic and coarse-grained (Jean et al.,
508 2016; Watmough et al., 2025); see Section 2.2 for a comprehensive discussion of these
509 deficiencies, which limits their utility for surveilling intra-urban inequalities and sectoral
510 vulnerabilities. These constraints impede the capacity of policymakers to discern early signs of
511 stress, deploy interventions effectively, and assess progress toward resilience-oriented SDG
512 targets.

513 In acknowledgment of these challenges, the United Nations formally solicited a “Data Revolution
514 for Sustainable Development” in 2014, promoting the synthesis of novel data sources with
515 traditional statistical systems to bridge persistent temporal and spatial data gaps (IEAG, 2014).
516 Subsequent discourse has bolstered this position, contending that progress toward the SDGs
517 necessitates not merely additional data, but fundamentally distinct data ecosystems—ones
518 competent in resolving rapid change, spatial heterogeneity, and cross-sectoral interactions in urban

519 environments (Watmough and Marcinko, 2024). Within this context, Earth Observation (EO) has
520 been increasingly situated as a cornerstone of future SDG monitoring and resilience assessment
521 frameworks and contributes pivotally to achieving the SDGs (Stokes and Seto, 2019; Watmough
522 et al., 2025).

523 Contemporary studies establish that EO data can substantially boost urban resilience analysis by
524 provisioning fine-resolution information that supplements conventional statistics, particularly in
525 contexts where official data are deficient or lagged (Anderson et al., 2017; Chrysoulakis et al.,
526 2023; Zhao and Yu, 2025). By underpinning the monitoring of land use change, urban expansion,
527 energy systems, and environmental conditions, EO contributes directly to multiple SDGs while
528 facilitating integrated evaluations of urban sustainability and resilience. Importantly, EO data are
529 not perceived as surrogates for official statistics, but rather as synergistic elements that fortify the
530 evidence base for policy-relevant decision-making in rapidly evolving urban systems.

531 In the emerging “EO + statistics” paradigm, nighttime lights (NTL) are salient indicators for urban
532 activity and can help ameliorate critical information gaps (Chang et al., 2025; Gong et al., 2025;
533 R. Liu et al., 2024; Y. Zhang et al., 2024a). Extracted from satellite observations of anthropogenic
534 illumination, NTL data are highly related to electricity consumption (Lu et al., 2025), economic
535 activity (Wu et al., 2025a), population presence (Lei et al., 2024), infrastructure utilization (Paris
536 and Rienow, 2025) and other variables. Two commonly employed metrics—**NTL extent**,
537 delineating the spatial footprint of illuminated areas, and **NTL intensity**, quantifying radiance
538 magnitude—provide synergistic insights into urban dynamics at multiple spatial scales (Mokhtari
539 et al., 2025). NTL provide uniform temporal coverage and near real-time signals of urban change,
540 rendering them invaluable for tracing disruption and recovery, though they necessitate spatial
541 enhancement for city-level analysis.

542 VIIRS delivers daily global coverage with superior radiometric fidelity and mitigated saturation,
543 optimizing its applicability for urban monitoring (Stokes and Román, 2022). Rectifying these
544 shortcomings is imperative if EO-derived NTL indicators are to realize their potential within SDG-
545 aligned urban resilience frameworks, driving the methodological emphasis of this thesis on
546 downscaling approaches and accuracy enhancement techniques. To fill these identified data gaps
547 into actionable insights for urban resilience, it is requisite to investigate data sources that are both
548 spatially disaggregated and temporally frequent. Earth Observation, and in particular nighttime
549 light imagery, offers a robust avenue to augment traditional statistics and resolve these
550 deficiencies.

551 Urban form, connectivity, and functional relationships demonstrate pronounced scale dependency,
552 with both observed patterns and statistical associations fluctuating as spatial resolution changes.
553 Relationships discerned at coarser scales may diminish or invert at finer scales, confounding the
554 transferability and interpretation of indicators across resolutions. Concurrently, contemporary
555 urban change increasingly arises through city- and within-city-scale processes such as renewal,
556 infill, and placemaking, where key determinants of resilience—local service accessibility, small-
557 scale economic activity, and infrastructure performance—operate at spatial grains finer than those
558 captured by most native nighttime lights products. Consequently, the selection of analytical
559 resolution represents a theoretical determination rather than a purely technical one: it must

560 correspond with the spatial scale of the underlying urban processes (Guo et al., 2024). This scale
561 inconsistency between sensors and processes necessitates the deployment of downscaling and
562 morphology-aware aggregation approaches to ensure that NTL-derived indicators encapsulate
563 city-level disruption and recovery in a conceptually valid manner. This scale mismatch instigates
564 the RFATPK downscaling approach developed in Section 1.4, which aims to increase sensor spatial
565 resolution with within-city level resilience analysis.

566 **1.3 Broad context and academic requirements**

567 While Section 1.2 established the policy and planning demand for improved urban resilience
568 monitoring, this section focuses on the technical suitability of Earth Observation (EO) data for
569 resilience estimation. Effective resilience analysis requires temporally frequent, spatially explicit,
570 and comparable data to detect abrupt disruptions and gradual recovery processes (Kapucu et al.,
571 2024). Many conventional socio-economic datasets fail to meet these requirements due to
572 reporting delays, inconsistent methodologies, and spatial aggregation, particularly at sub-city
573 scales.

574 Satellite-based observations are acquired using standardized sensor specifications and data
575 reduction workflows, facilitating cross-city and cross-national comparison that is rarely feasible
576 with administrative or survey-based data (Y. Zhang et al., 2024a). Moreover, EO data are
577 intrinsically geospatial, permitting resilience to be scrutinized as a geographically heterogeneous
578 phenomenon rather than as an aggregate urban outcome. These attributes render EO particularly
579 advantageous for characterizing urban systems in which vulnerability, upheaval, and recuperation
580 are asymmetrically dispersed across cities and sectors.

581 A broad spectrum of EO-derived indicators has been leveraged for resilience-related research.
582 Optical and radar imagery have been deployed to quantify physical damage to infrastructure
583 (Kopiika et al., 2025) and to map flood extent (Popandopulo et al., 2023). Thermal data underpin
584 investigations of urban heat exposure and energy demand (Du et al., 2025), while multispectral
585 indices record vegetation loss or recovery in the wake of shocks (H. Xu et al., 2024).

586 Night-time lights (NTL) data present a synergistic advantage by explicitly recording changes in
587 anthropogenic activity. Because artificial illumination is intimately correlated with electricity
588 consumption, commercial operations, and population presence (Nighttime light remote sensing for
589 urban applications), NTL observations respond rapidly to disturbances that impair urban
590 functionality (Qiang et al., 2020). Contractions in NTL intensity have been registered following
591 earthquakes (Gong et al., 2025), conflicts (Li et al., 2013), and extreme weather events (Hu et al.,
592 2024), while subsequent amplifications have been used to delineate the pace and spatial disparity
593 of rebound (Ma et al., 2023; Qiang et al., 2020; Wu et al., 2025a).

594 Applied applications of NTL in resilience research primarily add value by facilitating the
595 decomposition of shock response and restoration processes within cities; instead of
596 conceptualizing urban resilience as a single outcome, these studies exploit spatial variance in NTL
597 signals to examine how distinct components (e.g., economic sectors or population) of the urban
598 system behave over time, establishing a foundation for diagnosing uneven recovery dynamics
599 across functional zones (Huang et al., 2024). In this way, NTL augments other EO-derived

600 indicators by underpinning evaluations of socio-economic vitality at spatial and temporal scales
601 that are challenging to obtain with conventional datasets.

602 Frequently utilized sources include DMSP-OLS, NPP-VIIRS DNB, EROS-B, LuoJia-1, and
603 Jilin-series sensors; however, no single sensor currently yields both the fine spatial and high
604 temporal resolution optimal for resolving intra-city-level human dynamics (Liu et al., 2022; W. Xu
605 et al., 2024). Limitations on satellite deployment and sensor design therefore necessitate
606 methodological approaches that fuse multiple data sources and implement spatial refinement to
607 harmonize NTL with the scales at which urban processes operate. Additionally, sensor-related
608 effects such as saturation and blooming can skew radiance values in densely lit urban cores (Wu
609 et al., 2021; Zheng et al., 2020), introducing ambiguity into resilience estimates based on raw NTL
610 data.

611 Mitigating these deficiencies is imperative if NTL data are to be used as robust inputs for granular
612 urban resilience analysis. Accordingly, this thesis concentrates on enhancing the spatial fidelity
613 and accuracy of NTL imagery through downscaling techniques and rectification for sensor
614 artefacts. These technical progressions constitute the groundwork for the empirical analysis
615 presented in Chapters 3 and 4 and enable the application of refined NTL indicators to urban
616 resilience quantification in Chapter 5.

617 **1.4 Research Objectives and Key Concepts**

618 **1.4.1 Motivation: From City-Level to Sector-Level Urban Resilience**

619 Urban resilience is progressively conceptualized as a multifaceted and spatially non-uniform
620 phenomenon (Datola, 2023; Gu et al., 2025; Kapucu et al., 2024), mirroring the uneven distribution
621 of exposure, susceptibility, and adaptive potential within cities. While numerous existing resilience
622 evaluations function at the county or country level, such methodologies threaten to mask critical
623 intra-urban disparities in how perturbations are absorbed and how restoration evolves across
624 discrete urban domains.

625 Urban agglomerations consist of functionally discrete precincts (e.g., commercial, industrial,
626 retail) that often manifest divergent reactions to the identical stressor. Observational data from
627 contemporary emergencies, including the COVID-19 pandemic, substantiates that these segments
628 often demonstrate bifurcated disruption and rebound trajectories, underpinned by variances
629 workforce density (Lu and Zhang, 2025), and policy susceptibility (Dascher-Preising and Greiner,
630 2023). City-level resilience indices consequently yield constrained visibility into these segment-
631 particular mechanics.

632 A disaggregated sectoral perspective facilitates a more sophisticated comprehension of urban
633 resilience by decomposing urban responses geographically and functionally. This approach
634 underpins the detection of uneven restoration patterns within cities and permits resilience to be
635 evaluated not merely as a cumulative result, but as a dynamic that fluctuates across urban
636 components. Such resolution is especially pivotal for guiding precision policy measures aimed at
637 bolstering the most impacted constituents of the urban system.

638 **1.4.2 NTL Data and Its Analytical Challenges**

639 While nighttime light data yield unique temporal responsiveness to urban shocks and recovery,
640 their direct employment in sector-level resilience analysis remains analytically hampered due to
641 problems elucidated in subchapter 1.3—namely, coarse spatial resolution and the blooming effect.
642 Accordingly, methodological advances is requisite to reconcile NTL data with the spatial and
643 sectoral demands of resilience quantification. This thesis addresses that imperative by formulating
644 and deploying techniques that increase the spatial resolution of NTL imagery. The ensuing
645 analytical framework facilitates the sector-level resilience evaluation performed in Chapters 3–5.

646 **1.4.3 Advanced Downscaling with RFATPK**

647 This thesis applies Random Forest Area-to-Point Kriging (RFATPK), a hybrid approach that
648 combines Random Forest prediction with area-to-point Kriging to enhance NTL spatial detail and
649 reduce blooming artefacts. The method does not “correct” the data in the traditional sense but
650 enhances spatial detail and interpretability, producing finer-resolution NTL surfaces suitable for
651 sector-level applications. By generating these high-resolution representations of urban lighting
652 patterns, RFATPK allows for more precise quantification of resilience and enables meaningful
653 comparison across sectors.

654 **1.4.4 Research Objectives and Questions**

655 The overarching aim of this PhD thesis is to assess **sector-level urban resilience** to COVID-19
656 lockdowns using fine-resolution NTL imagery, providing actionable insights for urban planning
657 and policy. The study is structured around three specific objectives and corresponding research
658 questions:

- 659 **1. Adapt and apply RFATPK to downscale NTL imagery**
660 **Research Question 1:** *Can a geostatistical downscaling method originally developed for*
661 *daytime imagery be effectively applied to NTL while maintaining spatial accuracy and*
662 *reliability?*
- 663 **2. Mitigate the blooming effect in NTL imagery during downscaling**
664 **Research Question 2:** *Can RFATPK be optimized to reduce the blooming effect in NTL,*
665 *improving its suitability for socioeconomic and resilience analysis?*
- 666 **3. Leverage fine-resolution NTL to evaluate sector-level urban resilience under a**
667 **global shock**
668 **Research Question 3:** *Why do some economic sectors continue to prosper while others*
669 *decline in response to disruptive events?*

670 **1.5 Thesis structure**

671 Papers that have already been published or are in the process of being published constitute this
672 paper-based thesis (Chapters 3 - 5):

673 Chapter 1: Introduction

674 This chapter introduces the thesis, outlining the practical need for urban resilience monitoring by

Chapter 1 Introduction

675 policymakers and urban planners. It emphasizes both the benefits and limitations of using
676 nighttime light (NTL)-based assessments.

677 Chapter 2: Literature Review

678 This chapter reviews traditional methods for assessing urban resilience and the application of
679 satellite-derived NTL data in urban studies. It discusses the limitations of existing approaches
680 and identifies future research directions, particularly for global-scale, sector-level analysis.

681 Chapter 3: Downscaling Nighttime Light Data Using a Geostatistical Approach

682 This chapter presents a geostatistical solution for downscaling NTL imagery. It demonstrates the
683 superiority of the proposed method over existing techniques and establishes that the downscaled
684 NTL data serve as a more accurate proxy for socioeconomic phenomena.

685 Chapter 4: Mitigating the Blooming Effect in Downscaled Nighttime Light Data

686 This chapter extends the geostatistical approach by focusing on reducing the blooming effect in
687 NTL imagery. The results show that mitigating the blooming effect significantly increases
688 prediction accuracy, making fine-resolution NTL data a more reliable proxy for socioeconomic
689 activities.

690 Chapter 5: Assessing Urban Resilience Using Fine-Resolution Nighttime Light Data

691 This chapter applies the fine-resolution NTL data produced through the previous two chapters to
692 assess urban resilience at the sector level across multiple global cities, providing insights into
693 resilience patterns and sectoral differences.

694 Chapter 6: Discussions and Conclusions

695 The final chapter synthesizes key findings from Chapters 3–5, answers the research questions
696 posed in Chapter 1, and reflects on the broader implications of the study. It concludes with
697 recommendations for future research and policy applications.

698 Chapter 2 Literature review

699 As hubs of social and economic activity, cities are highly susceptible to unexpected shocks that
700 can disrupt the lives of people. These disruptions, whether triggered by natural disasters, financial
701 crises or pandemics, are often amplified by excessive resource consumption, inadequate
702 infrastructure, poor urban planning and inefficient public services (Kapucu et al., 2024). While no
703 city is immune to these challenges, strengthening urban resilience is essential for mitigating risks
704 and ensuring sustainable urban development (Sajjad et al., 2021).

705 In recent years, there has been growing recognition of the need for comprehensive urban resilience
706 assessments, particularly in response to the disruptions caused by the COVID-19 pandemic. As a
707 result, both academic research and policy initiatives have increasingly focused on developing
708 effective resilience evaluation strategies (Büyüközkan et al., 2022; Chen and Chen, 2025; Datola,
709 2023). However, the concept of urban resilience has been inconsistently defined and interpreted
710 across literature, making it hard to translate theoretical frameworks into actionable insights.
711 Scholars argue that most pandemic-related studies remain largely theoretical, with limited
712 empirical investigation, due in part to the multifaceted nature of resilience, which encompasses
713 diverse dimensions such as urban planning, supply chain management, the economic impact of
714 disasters (Ribeiro and Gonçalves, 2019). A major limitation of existing research is the lack of a
715 comprehensive metric capable of capturing the dynamic and sector-specific nature of urban
716 resilience. Current approaches often rely on coarse spatial and temporal resolution data, making it
717 difficult to assess resilience at the sectoral-level, and at even more granular levels. To address these
718 gaps, there is an urgent need for fine-resolution data that can capture socioeconomic activity across
719 different urban sectors, enabling more accurate and sector-specific resilience assessments.

720 2.1 Traditional measures of urban resilience

721 In contemporary urban discourse, urban resilience (refer to the working definition of urban
722 resilience in Section 1.2) has emerged as a pivotal analytical concept for deciphering how cities
723 and regions react to, withstand, and recuperate from shocks such as economic crises, natural
724 disasters, and public health emergencies. Consequently, a substantial empirical literature has
725 coalesced around the quantification of urban resilience, precipitating a diverse array of conceptual
726 frameworks and quantitative indicators (Ma et al., 2022; Sharifi, 2019; Y. Zhang et al., 2024b).

727 Traditional empirical methodologies for assessing urban resilience rely predominantly on
728 socioeconomic, demographic, institutional, and infrastructure-related metrics, typically extracted
729 from official statistics, administrative records, and surveys (Y. Zhang et al., 2024b). In many
730 studies, resilience is operationalized through composite indices that synthesize multiple variables
731 intended to encapsulate exposure, susceptibility, adaptive capacity, and recuperation potential. For
732 example, in a global evaluation of COVID-19 impacts, vulnerability and resilience indices were
733 formulated using indicators drawn from the World Development Indicators, World Governance
734 Indicators, and United Nations Development Programme datasets, including measures of income,
735 health competency, governance caliber, and demographic composition (Diop et al., 2021). These
736 composite indices were then utilized to categorize nations into vulnerability–resilience

737 taxonomies, offering a comparative depiction of economic resilience across regions during the
738 pandemic.

739 At sub-national and regional scales, traditional resilience assessments frequently prioritize
740 economic composition and labor market performance. Studies of regional economic resilience
741 often quantify robustness through fluctuations in employment, income per capita, unemployment
742 rates, population dynamics, and migration motifs before and after economic shocks. For instance,
743 analysis of non-metropolitan regions in Slovakia operationalized economic resilience using a
744 Regional Development Index integrating demographic ageing, net migration, income per capita,
745 and registered unemployment. Spatial regression models were then deployed to scrutinize how
746 industrial diversity, sectoral makeup, firm size topology, and geographic accessibility modulated
747 post-crisis restoration pathways (Ženka et al., 2021). This approach reflects a broader thematic
748 strand of literature in which resilience is inferred from the capacity of local or regional economies
749 to withstand contractions and re-establish growth following macroeconomic disruptions.

750 Another prominent corpus of work centers on business recuperation and firm-level responses to
751 extreme events. Following major disasters, resilience is often quantified through establishment
752 reopening rates, business longevity, and spatial motifs of economic reactivation. In the wake of
753 Hurricane Katrina, for example, business resilience in New Orleans was assessed using
754 longitudinal data on establishment reopening decisions compiled through repeated telephone and
755 street surveys. Spatial econometric models were applied to capture interdependence between
756 neighboring firms, elucidating how local clustering effects shape restoration dynamics (LeSage et
757 al., 2011). Such studies conceptualize resilience primarily as the velocity and magnitude of
758 economic reanimation within impacted urban areas.

759 Beyond economic indicators, traditional resilience research has also integrated social and health-
760 related dimensions, particularly in the context of public health shocks. Survey-based studies have
761 been extensively utilized to assess how urban populations cope with and adapt to crises. During
762 the COVID-19 pandemic, cross-sectional telephone surveys were employed in Bangkok to
763 examine the correlation between urban green space utilization and mental health well-being. Using
764 standardized well-being metrics (e.g., WHO-5 scores) alongside socioeconomic and behavioral
765 variables, these studies captured individual-level resilience outcomes related to psychological
766 well-being, highlighting the role of urban amenities in mitigating crisis impacts (Arifwidodo and
767 Chandrasiri, 2023).

768 In parallel, qualitative and mixed-methods approaches have contributed to traditional resilience
769 quantification by documenting practitioner perspectives and institutional reactions. Interview-
770 based studies in Sub-Saharan African cities have explored how resilience is perceived and
771 operationalized by urban planners and infrastructure managers (Amegavi et al., 2024). These
772 studies often reveal a dominant focus on functional and outcome-oriented measures of resilience,
773 particularly pertaining to physical infrastructure performance and service continuity. Similarly,
774 post-disaster assessments of water infrastructure resilience following the 2021 Texas winter storm
775 relied on semi-structured interviews with utility operators to evaluate resilience across economic,
776 governance, infrastructure, environmental, and social dimensions over sequential restoration
777 phases (Tiedmann et al., 2023).

778 Collectively, this literature substantiates that traditional measures of urban resilience are grounded
779 in observable socioeconomic outcomes, institutional attributes, infrastructure performance, and
780 survey-based indicators, often analyzed through composite indices, regression models, and
781 longitudinal comparisons. These approaches have been instrumental in advancing empirical
782 understanding of how cities and regions respond to shocks and recuperate over time.

783 **2.2 Issues with the traditional assessments of urban resilience**

784 Traditional approaches to measuring urban resilience face persistent and well-documented
785 limitations related to data cost, temporal frequency, coverage, institutional capacity, and
786 methodological consistency, which collectively undermine the robustness, comparability, and
787 policy relevance of resilience assessments (Xu and Qiang, 2021). A fundamental constraint is the
788 financial and logistical burden of core data sources, such as population censuses and large-scale
789 household surveys, which are conducted infrequently—typically on decennial or quinquennial
790 cycles (Jerven, 2017; Watmough et al., 2025). Consequently, resilience analysis based on these
791 datasets rely on static or outdated baselines, limiting their ability to capture the timing, magnitude,
792 and recovery dynamics of shocks, particularly in rapidly evolving urban crisis contexts.

793 Coverage gaps further compromise traditional resilience metrics. In many low- and middle-income
794 countries, statistical systems lack comprehensive sub-national and intra-urban data, while
795 household surveys frequently exclude marginalized groups such as informal settlers and migrant
796 populations, despite their heightened vulnerability to shocks (Jerven, 2017). Incomplete vital
797 registration systems similarly constrain access to reliable health and mortality data during crises,
798 introducing systematic biases that obscure spatial inequalities and underestimate localized
799 vulnerability.

800 These challenges are intensified by institutional and capacity constraints within national statistical
801 offices. Chronic underinvestment has resulted in fragmented datasets, inconsistent methodologies,
802 and weak quality control, particularly in resource-constrained settings (Jerven, 2017, 2013).
803 Expanding data demands associated with global policy frameworks, such as the Sustainable
804 Development Goals, have further strained statistical systems, sometimes prioritizing indicator
805 availability over analytical reliability, thereby weakening their utility for urban resilience analysis.

806 Methodological inconsistencies and limited transparency in administrative and economic statistics
807 further impede longitudinal and cross-country comparisons. Variations in accounting practices,
808 sectoral classifications, and price adjustments—along with frequent rebasing of national
809 accounts—introduce structural breaks that complicate the interpretation of recovery and growth
810 trajectories (Jerven, 2013). Political and behavioral incentives may also distort reported outcomes
811 when funding, legitimacy, or performance evaluation is linked to administrative data, reducing
812 their reliability during crisis periods (Jean et al., 2016).

813 While qualitative and survey-based methods provide valuable insights into social and institutional
814 dimensions of resilience, they are costly, time-intensive, difficult to scale, and often infeasible
815 during disasters, limiting their usefulness for continuous monitoring (Zhang et al., 2024b).
816 Moreover, the typically coarse spatial resolution of traditional datasets masks intra-urban

817 heterogeneity, despite cities exhibiting pronounced variation in exposure, vulnerability, and
818 recovery capacity across neighborhoods and sectors (Watmough et al., 2025).

819 Collectively, these limitations have motivated increasing interest in alternative data sources
820 capable of providing high-frequency, spatially explicit insights into urban dynamics. Remotely
821 sensed nighttime light (NTL) imagery has emerged as a particularly promising Earth Observation–
822 derived approach for monitoring short-term disruption, recovery, and sector-specific resilience at
823 fine spatial scales (Xu and Qiang, 2021). Unlike EO-for-poverty approaches that emphasize long-
824 term socioeconomic conditions, NTL is well suited to capturing transient, sector-specific urban
825 functional changes, making it a valuable tool for operationalizing urban resilience analysis.

826 **2.3 An overview of satellite nighttime lights in urban studies and alternative EO-based** 827 **socioeconomic approaches**

828 In recent decades, remotely sensed nighttime light (NTL) imagery has attained global stature as a
829 mechanism for recording motifs of anthropogenic illumination that are intimately linked with
830 human presence, economic vitality, and infrastructure utilization (Elvidge et al., 1997; Stokes et
831 al., 2021). Due to its pronounced correlations with socioeconomic metrics and its capacity to
832 resolve intra-urban fluctuations in activity levels, NTL has been extensively employed to spatialize
833 variables such as population distribution, economic productivity, and energy utilization (Li et al.,
834 2016).

835 Since the initial digital archiving of NTL data through the Defense Meteorological Satellite
836 Program’s Operational Linescan System (DMSP-OLS) in 1992 (Elvidge et al., 1997), and more
837 recently through the VIIRS Day/Night Band (DNB), the utility of NTL imagery in urban studies
838 has augmented significantly. Over the past three decades, NTL data have been leveraged to
839 delineate urbanization processes (Kyba et al., 2017), quantify socioeconomic parameters such as
840 GDP and electricity consumption (Chen and Nordhaus, 2011), evaluate environmental
841 externalities including CO₂ emissions (Li et al., 2015), and capture temporal shifts in human
842 activity linked with disasters, armed conflict, and holidays (Li et al., 2013; Román and Stokes,
843 2015). More recently, NTL imagery has been increasingly deployed to monitor perturbations in
844 urban systems during exogenous shocks, including the COVID-19 pandemic, underscoring its
845 sensitivity to ephemeral changes in economic and social activity.

846 Despite its growing prominence, NTL is not the exclusive Earth Observation (EO) data source that
847 has been utilized to infer socioeconomic conditions. In parallel, a rapidly proliferating body of
848 literature has centered on the use of EO data to estimate poverty and deprivation, an emerging
849 domain often designated as “EO for poverty” (Watmough et al., 2019; Watmough and Marcinko,
850 2021). This research trajectory has gained substantial momentum due to persistent gaps in the
851 availability, frequency, and spatial fidelity of traditional poverty data derived from household
852 surveys and censuses, particularly in low- and middle-income nations. EO-based poverty studies
853 commonly synthesize daytime optical imagery, land cover data, infrastructure proxies, vegetation
854 indices, and, in some instances, NTL, utilizing statistical and machine learning models to predict
855 poverty indicators or asset-based wealth indices (Chi et al., 2022; Jean et al., 2016; Steele et al.,
856 2017).

857 The pertinence of EO-for-poverty methodologies to urban resilience analysis is evident. Poverty
858 and deprivation are intricately coupled with susceptibility, adaptive capacity, and long-term
859 restoration potential, and shocks such as the COVID-19 pandemic have been demonstrated to
860 negate decades of progress in poverty alleviation (Egger et al., 2021; Yonzan et al., 2022).
861 Watmough and Marcinko (2025) emphasize that EO data can play a vital role in enhancing the
862 temporal frequency and spatial granularity of socioeconomic information, thereby supporting
863 policy reactions to rapidly evolving shocks.

864 However, while EO-for-poverty approaches are highly significant for comprehending long-term
865 socioeconomic conditions, they are not optimally configured for the specific mandates of this
866 thesis. As elucidated by Watmough and Marcinko (2021), poverty is a multidimensional and
867 context-dependent phenomenon that cannot be resolved using EO data exclusively, and many EO-
868 based poverty models rely on cross-sectional survey data, complex feature engineering, or
869 generalized machine-learning frameworks that are not calibrated for high-frequency temporal
870 monitoring. These approaches are typically better aligned with quantifying structural or stock-
871 based facets of deprivation rather than resolving acute perturbations, rapid contractions in activity,
872 or recovery trajectories following sudden shocks. Moreover, EO-for-poverty models often require
873 voluminous ground-truth data and local contextual information, circumscribing their utility for
874 consistent, global, near-real-time analysis across diverse urban centers.

875 In contrast, NTL imagery presents a distinctive advantage for resilience analysis in urban settings
876 by provisioning consistent global coverage, high temporal frequency, and direct responsiveness to
877 fluctuations in human and economic activity. The VIIRS-DNB sensor, for example, captures
878 nighttime brightness emissions from commercial zones, residential lighting, streetlights, and
879 vehicular traffic, enabling the detection of abrupt shifts associated with lockdowns, mobility
880 constraints, and incremental reopenings (Stokes and Román, 2022). These attributes render NTL
881 particularly suitable for monitoring shock response and restoration dynamics, which are central to
882 the operationalization of urban resilience formulated in this dissertation.

883 Nonetheless, the application of NTL data is not without constraints. As previously articulated in
884 sub-chapter 1.3, a pivotal challenge is the blooming or overglow effect, a radiometric artifact
885 whereby light from intense sources disperses into contiguous pixels, exaggerating illuminated
886 extents and masking local spatial variability. This distortion impedes the use of native-resolution
887 NTL data for fine-scale urban and sector-level analysis. Rectifying this limitation necessitates
888 methodological progressions capable of enhancing spatial detail while preserving the underlying
889 signature of human activity.

890 For these reasons, this thesis adopts nighttime light imagery as its primary EO data source,
891 supplemented by advanced spatial downscaling techniques, to assess sector-specific urban
892 resilience to COVID-19 lockdown policies. This selection reflects a deliberate methodological
893 determination informed by the broader EO-for-poverty literature, rather than a pre-established
894 preference. While EO-based poverty mapping provides critical insights into long-term
895 vulnerability and development outcomes, NTL data—when rigorously processed—offer a more
896 robust proxy for resolving the temporal dynamics, sectoral heterogeneity, and spatial granularity
897 requisite to analyze urban resilience in the context of an acute global shock.

898 2.4 NTL-based assessment of urban resilience

899 An extensive body of research has been devoted to investigating the role of NTL in the urban
900 resilience of natural and social shocks, with numerous studies utilizing NTL as a proxy to examine
901 responses to weather-related extreme events. For example, Mård et al. (2018) used annual NTL
902 data to quantify changes in human settlement patterns in response to flood events, reporting a
903 correlation coefficient of 0.69 between increases in settlement distance from rivers and normalized
904 flood fatalities, and 0.39 with economic flood losses, demonstrating the quantitative sensitivity of
905 NTL to socio-environmental resilience processes. Similarly, Qiang et al. (2020) applied inter-
906 calibrated DMS-OLS NTL data to estimate GDP across 179 counties affected by Hurricane
907 Katrina. They reported a strong linear relationship between NTL-derived metrics and GDP ($R^2 =$
908 0.92), although multivariate regression of resilience metrics (instant disturbance, recovery rate,
909 and accumulated loss) explained only a modest proportion of the variance (adjusted $R^2 \sim 0.27$ –
910 0.40), highlighting both the utility and the limitations of NTL as a proxy for economic resilience.
911 Xu and Qiang (2021) applied moonlight-adjusted SNPP-VIIRS daily imagery to Hurricane Sandy
912 (2012), measuring NTL disturbance and recovery across 66 Core-Based Statistical Areas, and
913 observed a V-shaped recovery pattern in 82.1% of regions; 56.4% fully recovered or exceeded pre-
914 disaster radiance levels, while 25.6% did not recover by the end of the observation period.
915 Regression results further revealed statistically significant relationships between NTL changes and
916 wind speed, distance to the hurricane track, and social media activity, indicating that NTL captures
917 both physical damage and human response dynamics. Jia et al., (2023) demonstrated the utility of
918 NTL for quantifying disaster impact and recovery following Hurricane Maria (2017) in Puerto
919 Rico. Using angularly normalized VIIRS daily NTL data, they reported a Pearson correlation of
920 0.929 between NTL radiance and power supply. Across eight regions, average power outages
921 reached 78.01%, with the most severely affected regions experiencing losses exceeding 88%, and
922 recovery trajectories varying substantially across space. The authors further showed that NTL-
923 derived indicators could be used to estimate GDP losses in the service and industrial sectors,
924 highlighting the dual infrastructural and economic relevance of NTL. In China's North–South
925 Seismic Belt, Liu et al. (2024) applied NTL data to validate a multidimensional urban resilience
926 assessment framework encompassing social, economic, infrastructural, and ecological dimensions.
927 They reported that the mean urban resilience index increased by $\sim 115\%$ in 2021, accompanied by
928 a reduction in regional disparity (coefficient of variation from 0.823 to 0.751) and an increase in
929 spatial autocorrelation (Moran's I from 0.2017 to 0.4476). Temporal shifts in the total nighttime
930 light index during historical earthquake events were consistent with calculated resilience
931 trajectories, supporting the validity of NTL as an indirect resilience indicator. Assessed resilience
932 to floods has been studied by Zhang et al. (2022), who analyzed 42 subdistricts in Jakarta by
933 integrating Sentinel-1A SAR imagery with NTL-derived GDP indicators. Their regression analysis
934 showed that elevation ($\beta = 0.3784$) and slope ($\beta = 0.1079$) positively influenced recovery, whereas
935 population density ($\beta = -0.1774$) exerted a negative effect, illustrating how NTL complements
936 high-resolution remote sensing data in explaining spatial variability in urban flood resilience.
937 Apart from the extensive research that has been done to measure urban resilience to natural
938 disasters, researchers utilized nighttime lights satellite sensor data for monitoring resilience to
939 social shocks.

940 The NTL dataset has been employed to assess various dimensions of social shocks. Roshan et al.
941 (2024) utilized NTL to highlight the effectiveness of NTL during the Ukrainian war to inform
942 future urban planning and resilience strategies in conflict zones, observing a 27.2% reduction in
943 nighttime light intensity across major affected cities, consistent with declines in socio-economic
944 activity. Du et al. (2023), demonstrated that improvements in digital inclusive finance can enhance
945 economic resilience; for this purpose, they utilized NTL alongside other socioeconomic variables
946 and developed an empirical model that explained more than 60% of regional economic resilience
947 variability. Rowe et al. (2022) examined NTL dynamics during the COVID-19 pandemic across
948 42 countries, showing that government policy stringency exerted a large and statistically
949 significant influence on NTL reductions ($\beta \sim 4.0\text{--}4.7$, $p < 0.001$), with marginal R^2 values up to
950 0.6 and conditional R^2 values up to 0.980, indicating substantial explanatory power. Recently, a
951 global pandemic (COVID-19) shocked the world and lockdown measures were implemented
952 almost in every country.

953 **2.5 NTL-based assessment of urban resilience to COVID-19 lockdowns**

954 It is becoming increasingly evident that outbreaks of diseases, as well as other long-lasting
955 disasters, are occurring with higher frequency in densely populated areas and interconnected cities
956 (Elmqvist et al., 2019; Menoni et al., 2024), with the 2020s global COVID-19 pandemic being one
957 such event. Considerable research has been undertaken using NTL illumination to evaluate the
958 repercussions of pandemic lockdowns. Studies have looked at city-scale lockdowns and activity
959 changes to monitor NTL dynamics through time, as evidenced by analyzes of Middle Eastern
960 metropolitan areas and worldwide (Stokes and Román, 2022; Xu et al., 2021). These studies
961 focused mainly on the immediate impact of the lockdowns at the city level. While few studies have
962 performed subnational-level research (Y. Liu et al., 2023), country-scale evaluations, such as those
963 conducted in mainland US, have examined larger effects and the connection between NTL and
964 economic metrics like GDP (Lin and Rybnikova, 2023).

965 NTL data have been used to assess lockdown impacts and economic resilience in regional studies,
966 including those carried out in the province of Hubei and the Xinjiang area (Jiang and Liu, 2024;
967 Z. Wang et al., 2024) with an emphasis on dynamic evaluation of the lockdown period. These
968 coarse level analyzes, while offering insights into the impacts of the lockdown on countries and
969 cities, fail to capture intra-urban variations. Furthermore, pixel-scale analysis has been conducted
970 to provide a thorough grasp of urban resilience and particularly recovery trends (Ma et al., 2023).
971 The aforementioned study focused solely on the recovery period. A study by Li et al. (2024),
972 focused on Beijing, is illustrative of much research that has concentrated primarily on the
973 economic recovery phase following the pandemic. Huang et al. (2024) analyzed resilience during
974 the course of the COVID-19 pandemic for urban commercial districts in a specific city, but this
975 study concentrated exclusively on a single sector.

976 In conclusion, the above studies employed NTL to shed light on how the pandemic has impacted
977 urban activity and economic dynamics at various scales by examining both the immediate effects
978 and the recovery stages and assessing resilience using a range of indicators. Nevertheless, the
979 following has not yet been fully explored by current research: 1) the resilience of different sectors
980 on a global scale; 2) studies concentrating on more than one aspect of urban resilience (such as

981 urban recovery or the immediate impact) and 3) studies focused on the within-city level; finer
982 spatial resolution data are needed for this scale of analysis due to the current NTL data's coarse
983 spatial resolution. Sharpening the current NTL data is crucial since researchers have stated that
984 data with approximately 100 m spatial resolution should be used for city-scale applications
985 (Elvidge et al., 2007).

986 **2.6 Downscaling in remote sensing**

987 In the study of global urban resilience, spatial scale is critical. It is imperative to note that
988 widespread economic shocks of the magnitude associated with a pandemic transpire on a global
989 scale; nevertheless, the multifaceted and heterogeneous repercussions, in conjunction with the
990 disparate resilience dynamics-particularly the discrete responses manifested within urban centers-
991 manifest themselves through meticulous, sector-specific analysis. The aggregation of statistical
992 and social data at the sector scale poses significant challenges, particularly during periods of
993 economic downturn. The temporal proximity to the occurrence of a shock makes it particularly
994 difficult to obtain a comprehensive and clear image of the impact and recovery patterns of the
995 sectors (Cheng et al., 2024). Earth observed NTL data, on the other hand do not suffer from the
996 above issues. Yet, the spatial resolution of NTL data hinders their ability to quantify resilience
997 accurately at the scale of individual cities. Thus, increasing their spatial resolution is essential.

998 Downscaling is the process of increasing spatial resolution. In remote sensing, downscaling refers
999 to the technique of reducing the pixel size of remotely sensed images (Atkinson, 2013). This
1000 objective has been achieved using a variety of approaches, which amounts to an implicit
1001 presumption that the downscaled images' information richness will increase (Atkinson, 2013). A
1002 variety of techniques exist for downscaling both continua and categories; the specific technique to
1003 employ depends on the anticipated type of variable. The latter, referred to as super-resolution
1004 mapping (and sub-pixel mapping), has its own research system and is commonly used to produce
1005 land use or cover maps. The former is more commonly utilized and it has the potential to produce
1006 categorical rasters, post-downscaling, through classification.

1007 There are several methods developed for downscaling continuous data and these can be divided
1008 into the following categories: (1) techniques for machine learning, (2) general statistics, (3)
1009 wavelet-based, (4) fractal-based, (5) process-based and (6) hybrid methods, as well as (7) spatial
1010 statistical analysis (Jin et al., 2018b). One significant advantage of spatial statistical methods -
1011 more specifically, geostatistical-based methods - is that they preserve the spectral properties of the
1012 observed coarse imagery. This means that the downscaled image will perfectly reproduce the
1013 original coarse resolution imagery when it is upscaled to the original coarse spatial resolution, a
1014 term called perfect coherence (Wang et al., 2016a). By utilizing the spatial autocorrelation among
1015 geographical data, spatial statistical analysis has advanced in downscaling continuous variables,
1016 especially in terms of spatial interpolation.

1017 Area-to-point Kriging (ATPK) interpolation is an alternative to general spatial interpolation for
1018 addressing the modifiable areal unit problem, when the support (the region that defines each
1019 observation) before and after downscaling differs (Atkinson, 2013). Yoo and Kyriakidis improved
1020 ATPK by considering the inequality constraints in spatial interpolation (Kyriakidis and Yoo, 2005)
1021 while Goovaerts, proposed ATP Poisson Kriging as a means of eliminating noise caused by the

1022 small number problem (Goovaerts, 2006). To downscale with several variables, Pardo-Igúzquiza
1023 et al. (2006) proposed downscaling coKriging for image sharpening applications. Atkinson et al.
1024 (2008) then applied this technique and showed that it could be utilized to downscale continua in
1025 remote sensing images. Yet, coKriging is computationally intensive (Wang et al., 2016a). As a
1026 result, the ATP regression Kriging (ATPRK) approach was created. Because it incorporates
1027 regression kriging and ATPK, it considers both the correlated variables and the change-of-support
1028 issue throughout the downscaling process. Furthermore, it has been shown that ATPRK can
1029 downscale irregular geographic units (Liu et al., 2008). However, comparable studies that focus
1030 on NTL remote sensing imaging are few.

1031 **2.6.1 Downscaling NTL**

1032 The majority of the methods used today to fuse remote sensing images were developed for fusing
1033 optical daytime images (K. Li et al., 2024). In contrast, NTL data captures the spatial distributions
1034 of residential and commercial sectors in cities and towns, as well as nighttime road network
1035 lighting, and as a consequence, they are dark in areas outside of cities, including rural regions, and
1036 even within city limits, such as parks. This results in a significantly higher prevalence of black
1037 pixels (i.e., pixels with 0 values, which reduces the accuracy of some statistically based fusion
1038 methods (Li et al., 2024). Furthermore, NTL data have coarser spatial resolution than daytime
1039 optical remote sensing images, and they are deteriorated by background noise. These limitations
1040 are compounded by the number of studies conducted on this subject being limited.

1041 Zhang et al. (2024) utilized geographically weighted regression (GWR) and neural networks to
1042 downscale VIIRS data. Multiscale GWR and GWR combined with ATPK were used to sharpen
1043 NTL data with other variables (Liu et al., 2022; Ye et al., 2021). When compared to Luojia NTL
1044 data, their results demonstrated greater accuracy than alternative approaches and functioned as a
1045 more accurate proxy for socioeconomic problems. As the aforementioned examples illustrate,
1046 these methods may be divided into two families: (1) deep learning-based (DL) methods and (2)
1047 geostatistical-based methods. The main drawback of DL-based methods is that the accuracy of the
1048 results is greatly impacted by the quantity and representativeness of the training data, which can
1049 be a time-consuming process (Guo et al., 2024). In comparison with DL methodologies, machine
1050 learning (ML) techniques, particularly the random forest (RF), exhibit a significantly reduced
1051 computational burden. For regression tasks, its main advantages include (1) the flexibility to add
1052 or remove variables according to user preferences and the availability of data; (2) the ability to
1053 include continuous and categorical predictors; (4) the minimal risk of overfitting; (3) the
1054 comparatively small number of model parameters (hyperparameters) the user must specify; and
1055 (5) the automatic generation of a variable importance score which assesses each predictor's
1056 contribution to the final model (Hutengs and Vohland, 2016). Consequently, the integration of
1057 sophisticated machine learning with a geostatistical approach constitutes an uncharted domain
1058 within the realm of remote sensing. However, this amalgamation presents a viable methodology
1059 for enhancing the spatial resolution of NTL in the context of urban research.

1060 **2.7 Summary of NTL-based urban resilience assessment to COVID-19 lockdowns**

1061 This section presents a synopsis of the NTL-based urban resilience assessment methods that have
1062 been formulated in response to the lockdowns imposed by the pandemic, with the objective of

1063 methodically integrating the extant literature on the subject. The aim and breadth of the studies
1064 differed. While some have focused on the recovery phase in one or a small number of cities, others
1065 have focused on certain industries within a single city. Global-scale research has mostly
1066 concentrated on city-level evaluations of the effects of lockdowns, frequently restricted to the first
1067 few months of the pandemic. With emphasis on dynamic assessment of the lockdown period,
1068 research has investigated GDP implications and economic resilience. While some studies have
1069 examined the whole recovery cycle in specific cities, others have concentrated on the immediate
1070 effects of the entire lockdown period worldwide. Subsequent studies that examined a single sector
1071 within a specific city investigated resilience within that sector. Studies conducted at the city level
1072 monitored NTL dynamics over time, showing changes in human activity and the effects of
1073 lockdowns. At a few metropolitan agglomerations, other research shed light on the broader impacts
1074 and relationships between NTL and economic measures like GDP. A thorough grasp of urban
1075 resilience throughout the recovery phase was made possible by some research.

1076 Despite the above, significant gaps still exist. For example, to date, there has been no
1077 comprehensive, global evaluation of urban resilience at the sector level. Previous studies have
1078 concentrated mostly on fragmented methodologies, ignoring the complex interactions of distinct
1079 industries, such as the retail, airport and industrial sectors, to lockdowns generated by the COVID-
1080 19 pandemic. Furthermore, the coarse spatial resolution of the NTL data utilized in these studies
1081 constrained their ability to identify localized impacts and prevented a comprehensive examination
1082 of resilience specific to any given sector. Given the known heterogeneity across sectors in terms
1083 of economic activity, labor structures, reliance on supply networks and consumer demand, it is
1084 anticipated that these sectors will experience disruptions and recover at different rates. For these
1085 reasons, it is imperative to examine urban resilience at the sector level. For example, although
1086 different levels of damage and recovery trajectories may be anticipated in retail, airports and
1087 industrial regions; they may be hidden in evaluations conducted at the city or national level. A
1088 more thorough knowledge of how disturbances spread through various urban functions and how
1089 their recovery dynamics develop may be possible by measuring resilience at a more detailed level.
1090 This research aims to fill these research gaps and offer insights that are essential for focused urban
1091 planning and policy interventions by examining several sectors. The research also analyzes
1092 multiple cities from a comparative viewpoint and includes intra-urban differences in resilience.

1093 **Chapter 3 Downscaling satellite nighttime lights imagery to support**
1094 **within-city applications using a spatially non-stationary model¹**

¹ This chapter is based on the published paper: Tziokas, N., Zhang, C., Drolias, G.C., Atkinson, P.M., 2023, Downscaling satellite nighttime lights imagery to support within-city applications using a spatially non-stationary model. JAG International Journal of Applied Earth Observation and Geoinformation, 122:103395. 10.1016/j.jag.2023.103395

1095 **Abstract**

1096 For mapping and monitoring socioeconomic activities in cities, nighttime lights (NTL) satellite
1097 sensor images are used widely, measuring the light intensity during the night. However, the main
1098 challenge to mapping human activities in cities using such NTL satellite sensor images is their
1099 coarse spatial resolution. To address this drawback, spatial downscaling of satellite nighttime lights
1100 images is a plausible solution. However, common approaches for spatial downscaling employ
1101 spatially stationary models that may not be optimal where the data are spatially heterogeneous. In
1102 this research, a geostatistical model termed Random Forest area-to-point regression Kriging
1103 (RFATPK) was employed to disaggregate coarse spatial scale VIIRS NTL images (450 m) to a fine
1104 spatial scale (100 m). The RF predicts at a coarse resolution from fine spatial resolution variables,
1105 such as a Population raster. ATPK then downscales the coarse residuals from the RF prediction. In
1106 numerical experiments, RFATPK was compared with three benchmark techniques, including the
1107 simple Allocation of pixel values from the coarse resolution NTL data, Machine Learning with
1108 Splines and Geographically Weighted Regression. The downscaled results were validated using
1109 fine resolution Luojia satellite sensor imagery. RFATPK produced more accurate disaggregated
1110 images than the three benchmark approaches, with mean root mean square errors (RMSE) for the
1111 year 2018 of 13.89 and 6.74 $nWcm^{-2}sr^{-1}$, for Mumbai and New Delhi, respectively. Also, the
1112 property of perfect coherence, measured by the Correlation Coefficient, was preserved consistently
1113 when applying RFATPK and was almost 1 for all years. The applicability of the disaggregated
1114 NTL data to monitor socioeconomic activities at the within-city scale against the reference NTL
1115 was illustrated by utilizing them as a proxy for the Gross National Income (GNI) *per capita* and
1116 the Night Light Development Index. The GNI estimation from the downscaled NTL outperformed
1117 the coarse resolution NTL when examining their coefficients of determination, with R^2 of 0.7 and
1118 0.5 for the GNI estimation using the fine and coarse resolution NTL data, respectively. For the
1119 Night Light Development Index (NLDI), the results of the index were compared by measuring
1120 their correlation with the Human Development Index (HDI). The NLDI from the downscaled NTL
1121 outperformed the coarse resolution NTL when measuring the correlation with the HDI, with
1122 Pearson's correlation coefficients of -0.48 and -0.35 for the NLDI using the fine and coarse
1123 resolution NTL data, respectively, for New Delhi. The outcomes indicate that RFATPK provides
1124 more accurate predictions than the three benchmark techniques and the downscaled NTL data are
1125 more suitable for fine scale socioeconomic applications, as demonstrated by the NLDI and GNI.
1126 This research, thus, shows that the RFATPK solution for NTL disaggregation can facilitate data
1127 enhancement for fine-scale sub-national applications in social sciences and can be generalized
1128 worldwide by including other cities as well as other applications.

1129 **Keywords:** satellite nighttime lights, downscaling, random forest regression, area-to-point
1130 Kriging, spatial non-stationarity, socioeconomic applications

1131 **3.1 Introduction**

1132 Human development is a crucial factor to consider when assessing a nation's degree of
1133 development since it gives inhabitants equal chances and fair choices, extends their lives, and
1134 improves their living conditions, health care, and education (Wang et al., 2021). In September
1135 2016, the world committed to implementing the 2030 Agenda for Sustainable Development. The

Chapter 3 Downscaling satellite nighttime lights imagery to support within-city applications using a spatially non-stationary model

1136 Sustainable Development Goals (SDGs), according to Reid et al. (2017), strike a balance between
1137 the economic, social, and environmental dimensions of sustainable development. Despite decades
1138 of tremendous progress in eradicating poverty and fostering wealth, a sizable segment of the
1139 world's poorest population still encounters difficulties to maintain an acceptable standard of living
1140 in emerging nations, particularly Asia, Africa, and Latin America and the Caribbean. It appears
1141 that regional and national differences have led to the unequal reduction of severe poverty in these
1142 areas (Georgeson et al., 2016; Omar and Inaba, 2020). To achieve the goals of SDGs, we need
1143 better ways to collect and interpret information about many aspects of human development in a
1144 timely, accurate and appropriate manner.

1145 The traditional approach to examining human growth and well-being is based mostly on survey
1146 data, which includes information on income, consumption, health, education, and housing. These
1147 surveys are usually carried out every three to five years, but collecting survey data is expensive
1148 and tedious process. Between surveys, detailed socioeconomic data are still needed (Watmough et
1149 al., 2013). Moreover, countries in war or extreme poverty may even lack these survey data for
1150 years (Zhao et al., 2019). In addition, fewer than two census surveys in many developing nations,
1151 such as African nations, were carried out in the decade leading up to 2000, limiting the construction
1152 of nationally representative human development metrics (Jean et al., 2016). Additionally, several
1153 nations, like India, have suspended measures like unemployment (Dasgupta, 2022). Another
1154 limitation of these censuses is that population sizes between censuses are projected, frequently
1155 with linear yearly growth rates, despite the fact that censuses are expensive and may only be
1156 undertaken at sporadic intervals when resources are scarce. Despite the high levels of uncertainty
1157 in the estimates, they are utilized to evaluate, for example, the dangers to public health and need
1158 for health services. Additionally, censuses are unable to reflect accurately intra-annual changes in
1159 a nation's socioeconomic conditions since they are not designed to do so (Bharti and Tatem, 2018).

1160 Using new passively gathered data sources, such as information from satellite sensors, provides an
1161 alternate method of monitoring socioeconomic processes. Such data can help address the challenge
1162 of scaling up (i.e., increasing the temporal resolution of) traditional data collection efforts which
1163 are generally very limited in frequency due to financial cost (Jean et al., 2016). Early studies used
1164 satellite "nightlights" data to demonstrate that areas with more economic output tended to emit
1165 more artificial light (Head et al., 2017). Nighttime lights images, such as the Day-Night Band
1166 (DNB), from the Visible Infrared Imaging Radiometer Suite (VIIRS) is a valuable source of
1167 satellite imagery. The VIIRS is onboard the Suomi National Polar-orbiting Partnership (SNPP)
1168 satellite. The ability for researchers to track socioeconomic activity is made possible by the
1169 worldwide coverage and coarse spatial resolution of these data, which have pixels that are less
1170 than one square kilometer in size. Additionally, nighttime lighting is consistently assessed across
1171 nations with extremely diverse institutional capacity and is not prone to manipulation for political
1172 reasons (Zhang and Gibson, 2022). When compared to commercial fine-resolution images like
1173 EROS-B or JL1-3B, NTL products (like VIIRS DNB images) are available for free and have a
1174 considerably larger swath (Levin et al., 2014). The NPP-VIIRS NTL has a spatial resolution of 15
1175 arc seconds (or approximately 500 m at the Equator), which has the potential to support several
1176 practical applications like mapping at the country level, detecting military conflicts and assessing
1177 poverty (Levin et al., 2020; Gibson et al., 2021).

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1178 NTL has achieved extensive research and applications in socioeconomic fields. The so-called
1179 Night Light Development Index (NLDI), proposed by Elvidge et al. (2012) from nighttime satellite
1180 sensor images and population density, evaluates disparities in the local population's geographical
1181 distribution of night light. Using deep learning techniques, Bruederle and Hodler (2018)
1182 demonstrated that NTL data are a suitable proxy for wealth and human development in 29 African
1183 countries. Similar to the previous study, Yeh et al. (2020) estimated the wealthiness of 20,000
1184 African villages using a combination of NTL data and daylight satellite sensor optical data, and
1185 found that their technique could account for 70% of the variation in ground-measured village
1186 wealth. Gosh et al. (2013) provided examples of numerous ways to gauge one's level of wellbeing.
1187 Elvidge et al. (2011) used NTL satellite sensor imagery and population data to estimate the number
1188 of people worldwide who have (or do not have) access to electricity. This is done because a lack
1189 of electricity is a sign of poverty and is associated with conditions that are detrimental for health
1190 and wellbeing, including the inability to refrigerate food, have access to clean water, and have
1191 adequate sanitary facilities. NTL and artificial neural networks were utilized by Jasiński (2019) to
1192 gauge electricity usage at the Nomenclature of territorial units for statistics (NUTS) 2 level.

1193 Mapping and monitoring complex urban socioeconomic processes, particularly those that take
1194 place within cities, can be challenging with NTL images since they often have a coarse spatial
1195 resolution (Levin et al., 2020; Ye et al., 2021). According to Elvidge et al. (2007), the coarsest
1196 acceptable spatial resolution of a satellite sensor image should exceed 100 m to research
1197 socioeconomic issues at the city scale. Moreover, it can be important to track human development
1198 over time to determine if it is improving or developing. For example, a finer spatial resolution is
1199 typically needed than the 450 m pixel size of VIIRS to accurately quantify development growth
1200 rates at the scale of individual cities. These problems significantly hinder NTL applications,
1201 especially at the city-scale. Enhancing the spatial resolutions of NTL satellite sensor imagery
1202 products is increasingly urgent because the majority of the world's population, after 2007, is
1203 concentrated in urban areas (Marlier et al., 2016).

1204 In remote sensing, spatial downscaling can be categorized in two classes based on their output
1205 prediction, namely downscaling continua and sub-pixel mapping (SPM) (Wang et al., 2020).
1206 Whereas the first class predicts continua (e.g., in units of reflectance, brightness, etc.), the latter
1207 class, also known as super resolution mapping in the remote sensing literature, predicts categories
1208 (i.e., land cover class labels) (Wang et al., 2020). Downscaling continua can create categorical
1209 products by classification and is more often used. Generally, the methods for disaggregating
1210 continua can be classified into the following classes: general statistical, spatial statistical, machine
1211 learning, process-based, wavelet-based techniques, fractal techniques and hybrid methods (Park et
1212 al., 2019). Spatial statistical analysis has advanced the downscaling of raster images (i.e., satellite
1213 remote sensing images), notably in terms of spatial interpolation, by taking advantage of the spatial
1214 autocorrelation among geographical data. Area-to-point (ATP) interpolation, as opposed to generic
1215 spatial interpolation, can address the problem of changeable areal units, when the supports before,
1216 and during, downscaling are different (Kerry et al., 2012; Wang et al., 2016a). By making sure, for
1217 instance, that the total of the downscaled forecasts within each region equals the initial aggregated
1218 count, ATP Kriging (ATPK) assures the coherence of predictions (Kyriakidis and Yoo, 2005). Yoo
1219 and Kyriakidis (2006) expanded on ATPK by taking the inequality limitations in spatial

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1220 interpolation into account. ATP interpolation emphasizes utilizing the information offered by
1221 correlated variables since it can help in exploring the spatial variation of response variables at a
1222 higher spatial resolution.

1223 Wang et al., (2016b) further extended ATPK by introducing a regression term and they named the
1224 method area-to-point regression Kriging (ATPRK). ATPRK is a geostatistical technique used
1225 frequently for downscaling day-time satellite sensor images. For example, Wang et al. (2015)
1226 used ATPRK to downscale MODIS data and further employed it to downscale Landsat satellite
1227 sensor images and Worldview-2 images (Wang et al., 2016a). Using multispectral satellite sensor
1228 imagery, Zhang et al. (2021) developed object-based ATPRK to disaggregate IKONOS images.
1229 Wang et al. (2017) implemented a spatio-temporal fusion method by combining MODIS and
1230 Landsat data, downscaling 500 m MODIS data into 250 m as an initial step. Jin et al. (2018b)
1231 utilized Geographically Weighted Area-to-Point Regression Kriging (GWATPRK), a spatially
1232 non-stationary method, to create a 1 km Surface Soil Moisture product. Xu et al. (2020)
1233 downscaled ASTER thermal images using Random Forest ATPK. The majority of the above
1234 research, as stated in the examples, aimed to downscale day-time satellite sensor data. However,
1235 the remote sensing of nighttime lights has the potential to capture human socioeconomic
1236 activities and/or track human development compared to day-time satellite sensor data, which is
1237 critical in modelling complex urban environments for certain applications (Elvidge et al., 2017)
1238 and downscaling is potentially useful in this context. Thus, there exists a gap in the literature.
1239 However, the spatial pattern of NTL is diverse. For example, the light intensity differs depending
1240 on the land use (Ye et al., 2021), and the spatial pattern of NTL intensity varies from geographic
1241 region-to-region, even within the same area (e.g., city).

1242 Earth-observed variables also may exhibit spatial heterogeneity in addition to spatial
1243 autocorrelation (Jin et al., 2018b). For such spatially diverse variables, the global model used in
1244 ATPRK may be unable to adequately capture local characteristics in the multivariate data. In
1245 essence, the global ATPRK model assumes that the process under inquiry is constant across space.
1246 Where the data exhibit spatial heterogeneity a more flexible model is needed; one that permits
1247 spatial non-stationarity in some model parameters.

1248 Wang et al. (2016a) extended the ATPRK by incorporating an adaptive window for the regression
1249 part in order to account for the data's spatial heterogeneity. An ordinary linear regression model
1250 was fitted using a coarse target variable and covariates within a local window, that is, a global
1251 regression model within the constricted region. On the other hand, Random Forest regression (RF)
1252 is a well-known non-stationary regression technique that takes into account non-linear correlations
1253 between variables and has been frequently utilized for spatial analysis, either alone or in
1254 combination (Xu et al., 2020; Tang et al., 2021). Xu et al. (2020) proposed RF area-to-point
1255 regression Kriging (RFATPK) for downscaling ASTER land surface temperature data. Cheng et
1256 al., (2022) utilized a RF and ATPK to estimate monthly population distribution in China. However,
1257 only a few related studies exist focusing on downscaling satellite nighttime lights images, mainly
1258 for impervious surface identification (Ye et al., 2021). To the authors' knowledge, there hasn't been
1259 any prior research that specifically addresses NTL continua for socioeconomic applications.

1260 To account for the issue of local heterogeneity and multivariate non-linearity, this research
1261 proposes the combination of RF and ATPK for disaggregating NTL using fine-spatial-resolution
1262 predictors (e.g., WorldPop products). The suggested RFATPK technique captures the spatially
1263 non-linear correlations between the dependent and auxiliary variables while preserving the benefits
1264 of ATPRK. The advantages of the proposed algorithm are: (1) RF can process high-dimensional
1265 data. (2) Overfitted phenomena do not easily occur, because the final estimation is made through
1266 the average prediction of the decision trees. (3) For a large number of remote sensing images and/or
1267 observations, training a RF model is fast and efficient. (4) RF is immune to statistical assumptions
1268 compared to the original ATPRK. (5) Another advantage is its ability to capture complex and non-
1269 linear relationships between predictors and the response variable (Brokamp et al., 2017). By
1270 downscaling NTL satellite sensor images from the VIIRS sensor from 450 m to 100 m, two
1271 practical socioeconomic applications were executed to test this approach. The applications
1272 involved the Gross National Income (GNI) and Night Light Development Index (NLDI) for New
1273 Delhi and Mumbai, two megacities of the developing world. Disaggregated NTL data at this spatial
1274 resolution have the potential to be utilized for monitoring such human development indicators at
1275 the city-scale. The research's contributions are, thus, two-fold.

1276

- 1277 1. The geostatistical approach, ATPRK, combined with a spatially non-stationary model, was
1278 applied to downscale NTL images for the first time. To show that RFATPK is superior than
1279 the three previous well-known downscaling methodologies, a benchmark comparison
1280 between the three approaches and RFATPK was performed.
- 1281 2. The spatial downscaled NTL data were further applied to estimate the GNI as well as to
1282 measure light inequality at the within-city scale by comparing them with equivalents using
1283 the coarse spatial resolution NTL.

1284

1285 The remainder of this research paper is organized as follows. The research areas and the data used
1286 are described in Section 3.2. The suggested downscaling technique is described in Section 3.3. We
1287 give the results in Section 3.4. We expand on the suggested downscaling approach in Section 3.5
1288 before presenting our conclusions in Part 3.6.

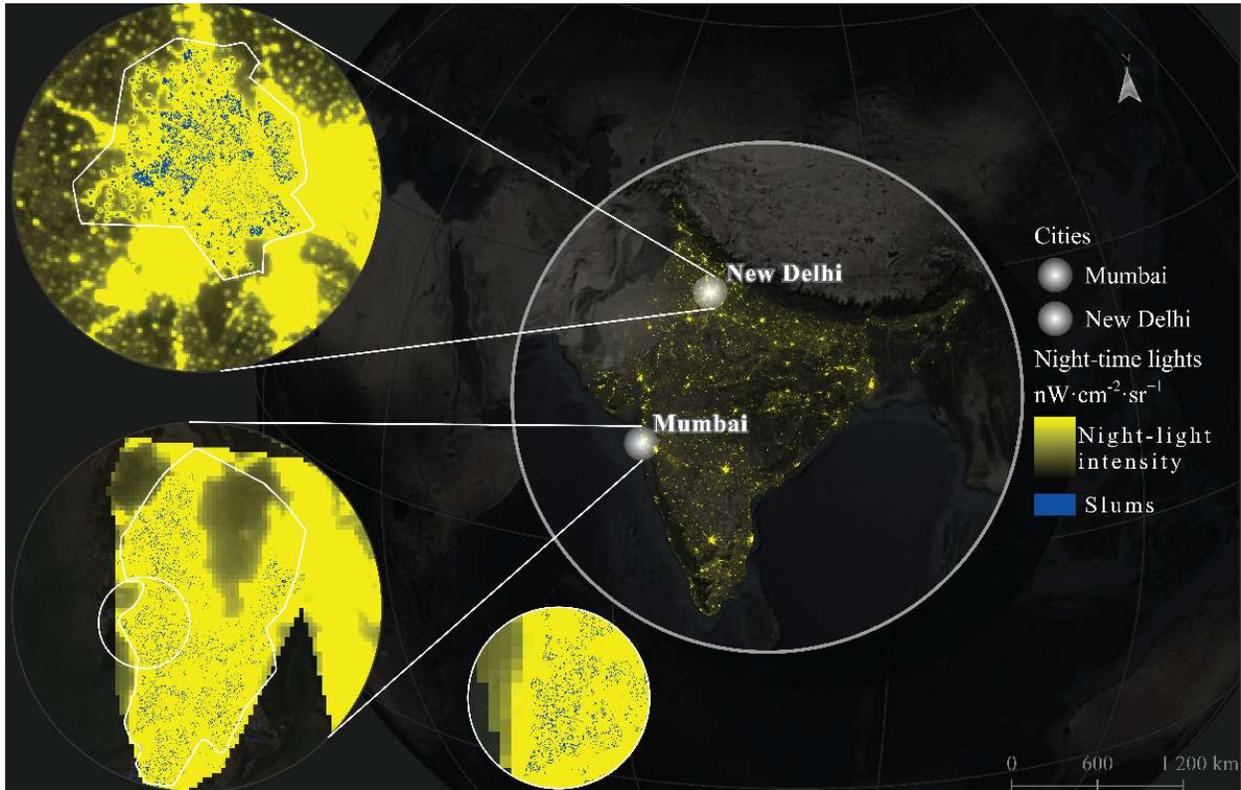
1289 **3.2 Study areas and data**

1290 **3.2.1 Study area**

1291 New Delhi and Mumbai were chosen as the areas under investigation to manifest the utility of the
1292 technique (Figure 3-1). New Delhi is India's capital and a densely populated metropolis (~10,400
1293 people per km²) with a population of about 16 million people and it is a key international hub on
1294 the Asian sub-continent. The city has experienced rapid urbanization and industrialization, with
1295 93% of the population residing in urban areas compared to the national average of 31.16%. There
1296 are 675 slum clusters in New Delhi (Figure 3-1) (Bhanarkar et al., 2018; Malik et al., 2022). The
1297 Mumbai Metropolitan Region, including Mumbai and its surrounding suburban area, is known as
1298 India's economic engine as it accounts for over 6.16% of India's GDP, providing 10% of industrial
1299 jobs. More than 20 million people live in this territory today and this amount is predicted to

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1300 increase by 10.36 million by the end of 2036. Mumbai, like New Delhi, has a large number of
1301 slums, with an estimated number of 67 (Nijman, 2010; Vinayak et al., 2021).



1302

1303 Figure 3-1: Location and maps of the two cities of New Delhi and Mumbai.

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1316 **3.2.2 Datasets**

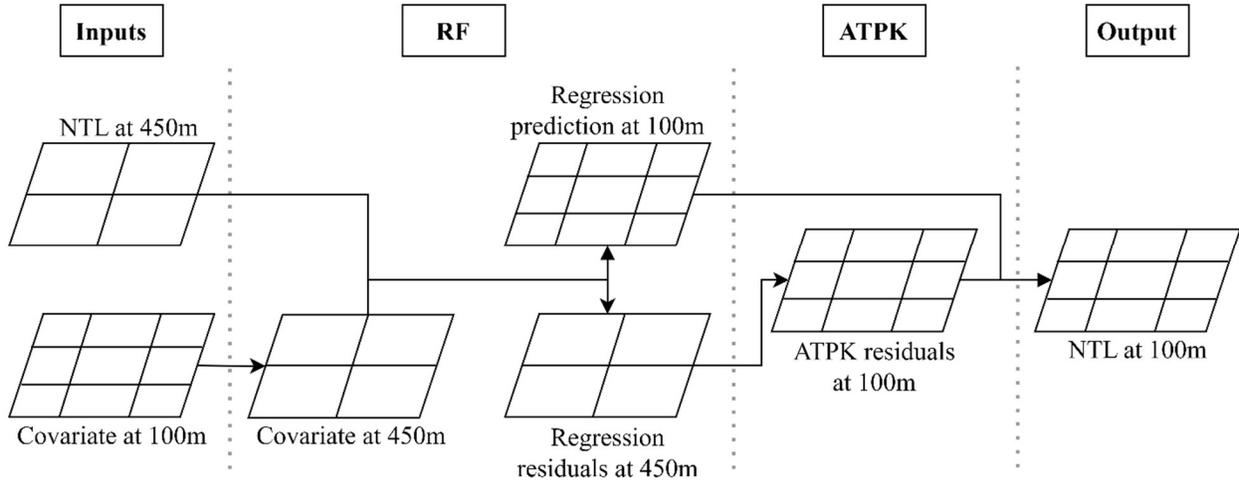
1317 Remote sensing nighttime lights images, population count, Landsat's 8 thermal band and the global
1318 human settlement layer data were used. A summary of these follows below:

1319 Table 3-1: Spatial datasets used in nighttime lights mapping and downscaling for Mumbai and
1320 New Delhi.

Dataset	Spatial resolution (m)	Year	Data source
Version 2.1 VIIRS DNB (mean)	450	2013 - 2020	(Elvidge et al., 2021) (accessed 10/01/2023).
WorldPop count (contstrained)	100	2013 - 2020	(Tatem, 2017) (accessed 10/01/2023)
Median Landsat 8 (TIRS)	100	2013 - 2020	Google Earth Engine (Gorelick et al., 2017)
Global Human Settlement (GHS)	100, 10	2015, 2018	(Pesaresi et al., 2024) (accessed 10/01/2023)
Luojia	120	2018	http://59.175.109.173:8888/index_en.html (accessed 10/01/2023)

1321 **3.3 Methodology**

1322 The Methodology is organized as follows: (1) Firstly, a brief introduction of the ATPRK is given.
1323 (2) A detailed explanation of the proposed RFATPK and its parts (i.e., RF regression and ATPK)
1324 follows. Additionally, a description of the benchmark methods is given and lastly the two
1325 socioeconomic applications. Figure 3-2 summarizes the methodology as a series of successive
1326 steps designed to meet the research objectives. The first part includes selection of the Inputs (the
1327 target variable and the covariates), namely the NTL data, WorldPop product, the LST band from
1328 Landsat 8 and the GHS and AGBH layers, respectively. Then, the data were regressed utilizing RF
1329 regression, and the predictions were separated from the residuals. In the third part, the residuals
1330 from the RF model were downscaled using ATPK. Finally, the prediction was added to the
1331 downscaled residuals and the NTL raster layer at 100 m spatial resolution was produced.



1332

1333 Figure 3-2: Flowchart of RFATPK. The first part includes the Inputs as the target variable and the
 1334 covariate. In the second part, the input data are regressed using Random Forest regression. The
 1335 third part involves ATPK-based downscaling of the residuals. Finally, the prediction is added to
 1336 the downscaled residuals and the NTL raster layer is produced at 100 m spatial resolution.

1337 **3.3.1 Downscaling**

1338 ATPRK is a spatial downscaling method that applies a regression model to coarse spatial resolution
 1339 data and subsequently applies ATPK to enhance the spatial resolution of the residuals (Wang et al.,
 1340 2016a). The regression component alone is insufficient for disaggregation because it does not
 1341 utilize fully the spectral characteristics in the observed low-resolution data. As an addition to the
 1342 regression step, ATPK-based residual downscaling is utilized to account for the spectral
 1343 characteristics of the coarse data. The ATPK component is a sharpening method that predicts
 1344 values on a smaller pixel (i.e., support) than the original, coarse scale, data (Kyriakidis, 2004;
 1345 Kyriakidis and Yoo, 2005; Atkinson, 2013). It varies from conventional Kriging in that it takes
 1346 into account the observation's spatial support, and it accounts for the size of support, spatial
 1347 correlation and the point spread function (PSF) of the sensor, instead of treating each observation
 1348 as a centroid. Moreover, a crucial advantage of ATPK is, the so-called, property of perfect
 1349 coherence (Kyriakidis, 2004; Kyriakidis and Yoo, 2005): that is, it can maintain accurately the
 1350 spectral features of the nominal coarse data.

1351 The regression model in ATPRK has two parts, the prediction and the residuals. The residuals can
 1352 be extracted as follows:

$$e(S_i) = z(S_i) - [\beta_0 + \sum_{k=1}^K \beta_k * h_k(S_i)] \quad (1)$$

1353 where $e(S_i)$ are the coarse residuals, β_0 and β_k are coefficients of the linear regression, $z(S_i)$ is the
 1354 target random variables of coarse pixel S_i and $h_k(S_i)$ is the aggregated fine pixel within the coarse
 1355 one.

1356 The coarse residuals are downscaled using ATPK. The residual of a fine-resolution pixel s_j is
1357 estimated as a linear fusion of $e(S_l)$ ($l = 1, \dots, L$) in L nearest coarse pixels, via ATPK:

$$e(s_j) = \sum_{l=1}^L \lambda_{jl} e(S_l) \quad (2)$$

1358 where λ_{jl} represents the weights for the prediction at fine scale that honor the sum-to-one constraint
1359 $\sum_{l=1}^L \lambda_{jl} = 1$. The weights can be calculated by lessening the error variance of the prediction. The
1360 analogous Kriging matrix is depicted in Equation 6:

$$\begin{bmatrix} \gamma_{11}^{SS} & \gamma_{1L}^{SS} & 1 \\ \vdots & \ddots & \vdots \\ \gamma_{L1}^{SS} & \gamma_{LL}^{SS} & 1 \\ 1 & 1 & 0 \end{bmatrix} \begin{bmatrix} \lambda_{1j} \\ \vdots \\ \lambda_{Lj} \\ \mu_j \end{bmatrix} = \begin{bmatrix} \gamma_{1j}^{SS} \\ \vdots \\ \gamma_{Lj}^{SS} \\ 1 \end{bmatrix} \quad (3)$$

1361 where γ_{ij}^{SS} is the block-to-block (i.e., area-to-area) variogram among coarse pixels S_i and S_j , C_{jj}^{SS} is
1362 the point-to-point covariance between fine spatial resolution pixels s_j and s_j , γ_{lj}^{SS} is the ATP
1363 variogram between high resolution pixel s_j and coarse resolution pixel S_l and the μ_j are Lagrange
1364 multipliers. The covariance can be produced from the variogram.

1365 The error variance δ of the ATPK prediction for the s_j at fine-resolution can be calculated as
1366 follows:

$$\delta_{ATPK}(s_j) = C_{jj}^{SS} - \sum_{l=1}^L \lambda_{jl} * C_{lj}^{SS} - \mu_j \quad (4)$$

1367 is C_{lj}^{SS} the area-to-point covariance between coarse spatial resolution pixels S_l and fine spatial
1368 resolution pixels s_j .

1369 The generation of the point support variogram is considered the most crucial step in area-to-point
1370 Kriging method, for which Wang et al., (2016b) provides the necessary details, including an
1371 explanation of how to employ a deconvolution process. The target fine pixel and the original coarse
1372 pixel can be used as point and area supports, respectively, in the ATPRK prediction, which can be
1373 described as follows:

$$z(s_j) = \hat{\beta}_0 + \sum_{k=1}^K \hat{\beta}_k * h_k(s_j) + \sum_{l=1}^L \lambda_{jl} * [z(S_l) - (\hat{\beta}_0 + \sum_{k=1}^K \hat{\beta}_k * h_k(S_l))] \quad (5)$$

1374 3.3.2 Random Forest area-to-point Kriging

1375 In the presence of spatial variability in a region, the global regression approach in the original
1376 ATPRK implementation is unsuitable for characterizing this variability. A non-stationary model is
1377 more appropriate when the association between the target and variable and the covariates varies
1378 geographically (Jin et al., 2018b, 2018a). The global regression residuals, on the other hand, may

1379 not meet stationarity criteria (such as second-order stationarity), making Kriging interpolation hard
1380 to implement (Jin et al., 2018b). Moreover, to account for the spatial variability in the correlation
1381 between the variables, RF regression generates local coefficients (Jin et al., 2018b; Pereira et al.,
1382 2018).

1383 RFATPK is proposed in this research to increase downscaling accuracy by taking into account
1384 spatial non-stationarity. The trend and residuals are likewise included in RFATPK, with the trend
1385 being fitted utilizing the RF approach (Equation 2). To predict the spatial trend at fine scale,
1386 RFATPK first fits the RF regression model between the response variable and the covariates at the
1387 coarse spatial resolution. The regression residuals are then disaggregated at the desired pixel size
1388 using ATPK (Xu et al., 2020; Cheng et al., 2022). After the regression using RF, the model's errors
1389 (i.e., residuals) are expected to be less heterogeneous and assure the requirements for
1390 semivariogram estimation (i.e., sufficiently large and homogeneous areas) (Jin et al., 2018b). In
1391 this research, a deconvolution procedure was utilized to implement the ATPK predictions and the
1392 spherical model was fitted to the experimental variograms (Goovaerts, 2008). This algorithm for
1393 enforcing ATPK requires inversion of a large matrix, which is computationally expensive. For the
1394 downscaling process the R (R Core Team, 2025) software and the package *atakrig* (Hu and Huang,
1395 2020) were utilized.

1396 To generate the downscaled 100 m NTL, the RFATPK disaggregating approach of combining the
1397 RF (Breiman, 2001) and ATPK (Kyriakidis, 2004) methods was developed. The spatial non-
1398 stationarity of the regression's residuals was taken into account by the RFATPK, as well as the
1399 nonlinear association between NTL and the covariates.

1400 Suppose $Z_C^l(x_i)$ are the pixel values (i.e., gray value) of pixel C located at x_i ($i=1, \dots, M$, where
1401 M is the number of pixels) in coarse image I ($I=1, \dots, B$, where B represents the amount of
1402 images) and $Z_F(x_j)$ is the value of pixel F centered at x_j ($j=1, \dots, MG^2$, where G is the zoom factor
1403 between the coarse and fine bands) in the stack layers. The letters F and C represent the fine and
1404 coarse pixels, respectively. The goal of sharpening is to predict response variables $Z_F^l(x)$ for all
1405 fine pixels in all B coarse images. RFATPK consists of two steps: RF regression and residual
1406 downscaling using ATPK. Assume $\hat{Z}_{F1}^l(x)$ and $\hat{Z}_{F2}^l(x)$ are predictions of the RF regression and
1407 ATPK parts, the RFATPK forecast is:

$$\hat{Z}_F^l(x) = \hat{Z}_{F1}^l + \hat{Z}_{F2}^l \quad (6)$$

1408 3.3.2.1 Random Forest Regression modelling for the trend prediction

1409 The RF is a non-parametric machine learning (ML) method for regression tasks (Breiman, 2001),
1410 which has been applied to fields such as, population mapping and properties relating to the soil
1411 (Cheng et al., 2022; Takoutsing & Heuvelink, 2022). Based on bagging method of the training
1412 data, the RF constructs an ensemble or forest of individual and non-correlated trees, saves the best
1413 randomly chosen variable combination for each node of each tree, and then uses an average of the
1414 individual trees' predictions to make the final prediction (Cheng et al., 2022).

1415 Since they offer more useful higher spatial resolution and richer textural information than the
1416 response low resolution variable, the covariate(s) in RFATPK (e.g., the Population raster) are
1417 utilized to detrend the $Z_F^l(x)$ and are crucial in sharpening. The regression stage aims to fully use

1418 the fine spatial resolution textural and geographic information in the given data by characterizing
1419 the correlation between each coarse response image and the fine predictors.

1420 A fine-scale predictor (e.g., Population raster) Z_F is initially aggregated to Z_C to match the pixel
1421 size of the coarse response image (Wang et al., 2016a). The relationship between Z_C and each
1422 coarse band l is then established by RF regression.

1423 The generic equation of the RFATPK involves two parts, the trend component and the residuals
1424 component, and can be written as:

$$Z_C^l(x) = f(B(x_i|\theta) + R(x)) \quad (7)$$

1425
1426 Where f is a RF model, $B(x_i)$ represents the predictors at location x_i , θ constitutes the model's
1427 parameters and $R(x)$ are the residuals, or model error. The RF-based nonlinear regression model
1428 (θ) in Equation 7 can receive the fine resolution predictors directly, based on the scale-invariance
1429 assumption. The NTL spatial trend can then be produced at a downscaled 100 m spatial resolution.
1430 Due to the availability of the predictors at the fine spatial scale, the RF regression prediction at a
1431 location x at the fine spatial scale, that is, $\hat{Z}_{F1}^l(x_0)$, is calculated as:

$$\hat{Z}_{F1}^l(x_0) = f(B(x_i|\theta)) \quad (8)$$

1432
1433 It is crucial, when using RF, to fine-tune the model parameters (Takoutsing and Heuvelink, 2022).

1434 **3.3.2.1.1 Default Random Forest regression model parameters at the coarse spatial scale**

1435 First, we used R's (R Core Team, 2025) *caret* (Kuhn, 2008) package to conduct RF regression
1436 using all the covariates and the default model settings. 500 trees, a node size value of 5 and a third
1437 of the total number of covariates (*mtry*) were included in the default model parameters. The entire
1438 study region was considered in this step.

1439 **3.3.2.1.2 Model calibration and fitting**

1440 The study region was divided initially into two sets, the training and a test set. The splitting of the
1441 two sets was conducted based on a stratified random sampling. This is an efficient sampling
1442 method because it captures the variability of multiple inputs of auxiliary information in the feature
1443 space (Getis and Ord, 1992). At the 450 m, the training and test samples for Mumbai were 1617
1444 and 450, respectively, while for New Delhi there were 5852 and 450. For the 2025 m scale, the
1445 splitting sets for Mumbai were 79 and 20, while for New Delhi there were 285 and 72 for the
1446 training and test set, respectively. The RF model was then calibrated using the training data and its
1447 performance was assessed using the test set. We applied the model to the entire region if the R^2
1448 difference between the training and test sets was minimal. Two user-defined arguments (the
1449 number of trees (*ntree*) and the number of variables chosen at each split (*mtry*) were used to
1450 calibrate the RF model (Probst and Boulesteix, 2018). For the *ntree* parameter, we investigated a
1451 range starting at 500 and increasing to 9000 with a step of 500. The default setting for *mtry* was
1452 the third the total number of covariates, rounded down. With the help of the R (R Core Team, 2025)
1453 package *ranger* (Wright and Ziegler, 2017), we fitted a final RF model for each annual NTL image

1454 using all of the pixel data, the predictors and the chosen fine-tuned arguments for the *n*tree and
1455 *m*try.

1456 3.3.2.3 Spatial prediction at the fine spatial scale

1457 The average of all measurements embedded in one of the end nodes of the tree serves as the
1458 forecast of a single decision tree of RF for a new site x_0 . By branching through the tree depending
1459 on the covariate values at x_0 , the end node may be located.

1460 The RF prediction can be calculated by taking the mean of all tree forecasts. Because it is a
1461 weighted linear combination of the measurements, it can be represented as:

$$\hat{Z}_{F1}^l(x_0) = \sum_{i=1}^n w_i * y_i \quad (9)$$

1462 where $\hat{Z}_{F1}^l(x_0)$ stands for the prediction, n , w_i and y_i are the number of measurements, the weights
1463 and the NTL measurements, respectively. Note that the weights are obtained from the variables at
1464 the observed and predicted location, even though this isn't stated explicitly in Equation 1.
1465 (Takoutsing and Heuvelink, 2022).

1466 3.3.3 Benchmark methods

1467 In this research, the proposed approach was compared to three benchmark methods, namely GWR,
1468 Machine Learning with Splines and the Allocation of raster values. The benchmark methods are
1469 described below.

1470 Prior to GWR, simple linear regression models were, thereafter, fitted to reveal the model's R^2 and
1471 AIC (Middya and Roy, 2021; Wang et al., 2015). The covariates that contributed to the linear model
1472 with the largest R^2 were also used for GWR. The GWR model, can be represented as follows:

$$z(s_j) = \hat{\beta}_0(s_j) + \sum_{k=1}^K \hat{\beta}_k(s_j) * h_k S_j \quad (10)$$

1473 where, $\hat{\beta}_0(\cdot)$ and $\hat{\beta}_k(\cdot)$ represents the estimated GWR coefficients with spatial locations centered
1474 at fine pixel s_j and coarse pixel S_j , respectively.

1475 For GWR's kernel a Gaussian function was selected and the width of the kernel was determent
1476 using an adaptive spatial kernel function (Chen et al., 2015). The Gaussian function describes the
1477 relationship between the weight W_{ij} and distance from center d_{ij} and is a continuous monotonically
1478 decreasing function. The Gaussian function is used widely:

$$W_{ij} = \exp(-d_{ij}^2/b^2) \quad (11)$$

1479 where b and d_{ij} are the kernel bandwidth and the distance between two locations i and j ,
1480 respectively. According to Chen et al. (2015) the regression results are sensitive to parameter b
1481 which can, thus, be obtained by cross-validation.

1482 Machine Learning with Splines (ML with Splines), in order to predict the dependent variable, the
1483 algorithm tries many ensembles of six and giving one ensemble as an output, weights them
1484 differently and evaluates the fit. Six algorithms are included in this approach, namely: 1) boosted
1485 regression trees, 2) generalized additive model, 3) multivariate adaptive regression splines, 4)
1486 neural networks, 5) RF, 6) support vector machines. The algorithm interpolates noisy multivariate
1487 data through ensemble machine learning (EML). Additionally, using thin-plate-smoothing splines,
1488 the residuals of the final model are interpolated from the full training dataset. In the final ensemble
1489 model, this produces a continuous error surface that is used to eliminate the majority of the
1490 remaining errors (Bullock et al., 2020). The R (R Core Team, 2025) package *MACHISPLIN*
1491 (Brown, 2023) was utilized.

1492 With the allocation-based method, a new fine spatial resolution raster (i.e., 100 m pixel size) is
1493 created with null cell values, but with the same spatial reference system as the coarse resolution
1494 raster and then the two rasters are properly overlaid. Then, the pixels of the newly created empty
1495 raster are given a value corresponding to the pixel value of the overlaid coarse spatial resolution
1496 raster. This approach, thus, represents the “do nothing” or “null” baseline and all other methods of
1497 allocation should improve on this baseline if they add any useful information.

1498 3.3.4 Two socioeconomic criteria

1499 The use of NTL as a proxy to various socioeconomic indexes is a major application. Therefore,
1500 the application of downscaled imagery to proxy the Gross National Income *per capita* and the
1501 Night Light Development Index (NLDI) is meaningful to illustrate the necessity of downscaling.

1502 Payments go toward a country's Gross National Income (GNI), which is comprised of the GDP
1503 plus net revenues from employee compensation and foreign property income. The money that
1504 foreign migrants send to their home nations is known as remittances (Ghosh et al., 2009). To
1505 measure the association between the GNI and the NTL at the city scale, we sum all the lit pixels
1506 of the NTL, where “lit pixel” means a radiance value equal to or greater than $1 \text{ nWcm}^{-2}\text{sr}^{-1}$. Then
1507 we computed two linear regression models, one using the coarse resolution NTL as explanatory
1508 variable and one linear model using the disaggregated NTL and compared their R^2 values (Gibson
1509 and Boe-Gibson, 2021). The dependent variable in both cases was the GNI and it was measured
1510 in 1000 US dollars.

1511 The NLDI varies from 0 to 1, representing perfect equality and inequality, respectively. The two
1512 geo-referenced gridded layer inputs to the NLDI were the population count raster and the NTL
1513 image.

1514 The brightness (NTL's pixel value) and population count were associated in tables created using
1515 crosstabulation. In order to compute the NLDI, the two rasters were stacked and the joint
1516 distribution of brightness and population count in cell was calculated. To measure equality in the
1517 geographic distribution of lights, the Gini index was computed based on the statistical distribution
1518 (i.e., the table containing the pixel values of NTL and Population, sorted by the NTL) according
1519 to the formula:

$$R = 1 - \frac{2 \sum_{i=1}^{n-1} Q_i}{n - 1}, 0 \leq R \leq 1 \quad (12)$$

1520 where R and n represents the NLDI and the number of raster images, respectively, $Q_i =$
1521 $\sum_{j=1}^i x_j / \sum_{j=1}^n x_j$ is the number of lights corresponding to the raster with the proportion P_i of
1522 population count in which x_j is the value of light intensity class. Moreover, $P_i = \sum_{j=1}^i x_j / n$.

1523 3.4 Results

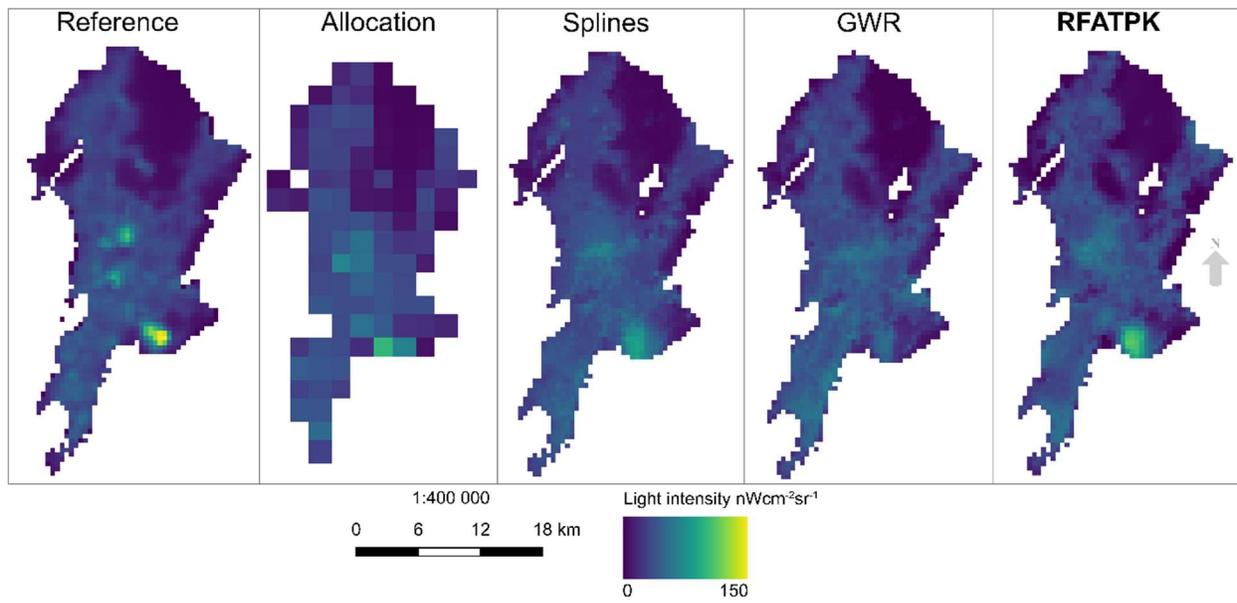
1524 The experiments were conducted in the two mega-cities each month between 2013 and 2020. To
1525 evaluate the results of downscaling, due to a lack of validation data at 100 m for each year, we
1526 upscaled the NTL observations to 2025 m spatial resolution and used the original NTL data at 450
1527 m spatial resolution as the reference (Ge et al., 2019). In the downscaling stage, the coarse 2025
1528 m NTL data were disaggregated to the initial finer spatial scale (450 m) and were validated using
1529 the raw NTL data.

1530 Lastly, the sharpening was conducted to the 450 m data to predict NTL at the 100 m. For the year
1531 2018 the downscaled results were compared against Luojia as an extra validation step.
1532 Additionally, because the variogram can reflect the benefits of downscaling prediction, it can be
1533 used as an assessment metric when there is no reference data available at the fine spatial resolution.
1534 Thus, here, the downscaling predictions at 100 m spatial resolution were also evaluated using the
1535 variogram (Wang et al., 2020).

1536 3.4.1 Comparison with other downscaling methods

1537 3.4.1.1 Downscaling prediction (2025 m to 450 m)

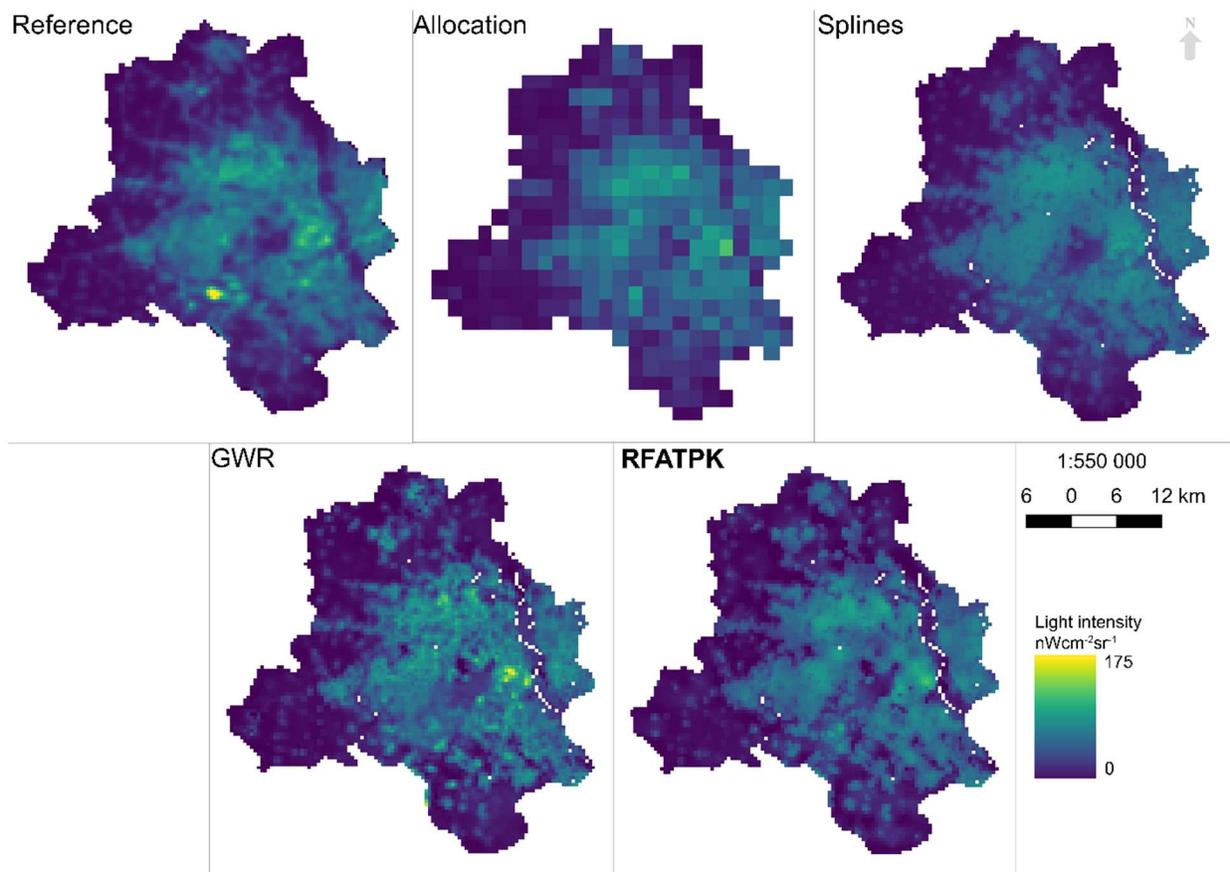
1538 To validate the proposed approach, the predicted NTL images were compared against the
1539 predictions of three benchmark methods, and the results were illustrated in Figure 3-3 and Figure
1540 3-4 for Mumbai and New Delhi, respectively. It can be shown that RFATPK and GWR-based
1541 downscaling achieved good agreement with the original NTL product when comparing the spatial
1542 patterns of the downscaling results with the Reference image. Although local detailed variance
1543 may be seen, the RFATPK prediction shows it more clearly. In comparison, the blocky artifacts
1544 are highly noticeable and Machine Learning with Splines and Allocation-based downscaling failed
1545 to maintain the patterns in NTL. For the instance of Machine Learning using Splines, over-fitting
1546 issues can be used to explain this outcome. Since the raw NTL coarse reference data are known
1547 perfectly in the experiment, preservation of the original patterns is the desired target.



1548

1549 Figure 3-3: Downscaling results of NTL at 450 m for Mumbai, 2018. From left to right, the
1550 Reference NTL, Allocation-based downscaled NTL, Machine Learning with Splines-based
1551 downscaled NTL, GWR-based and RFATPK-based downscaled NTL. **Bold** shows our proposed
1552 method.

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1553
1554 Figure 3-4: Downscaling results of NTL at 450 m for New Delhi, 2018. From left to right, the
1555 Reference NTL, Allocation-based downscaled NTL, Machine Learning with Splines-based
1556 downscaled NTL, GWR-based and RFATPK-based downscaled NTL. **Bold** shows our proposed
1557 method.

1558 Table 3-2 and Table 3-3 provide a quantitative comparison of the downscaling methods using three
1559 indices: Root Mean Square Error (RMSE), Mean Square Error (MSE) and Correlation Coefficient
1560 (CC). RFATPK demonstrated improved performance over the three benchmark methods across all
1561 three indices. This is due to the fact that the scenes under study are highly developed metropolitan
1562 environments with a variety of impervious surfaces (such as buildings, roads, and vegetation),
1563 which are better suited to being well described by a spatially non-stationary model. Machine
1564 Learning with Splines yielded greater accuracy compared to GWR and Allocation-based
1565 downscaling. The least accuracy resulted in GWR-based downscaling, in terms of all three indices.

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Chapter 3 Downscaling satellite nighttime lights imagery to support within-city applications using a spatially non-stationary model

1570 Table 3-2: Performance metrics (Root Mean Squared Error (RMSE), Mean Squared Error (MSE),
 1571 Correlation Coefficient (CC)) for quantitative comparison of downscaling approaches at 450 m
 1572 resolution in Mumbai, using original NTL data as reference. **Bold** values indicate the best-
 1573 performing method for each metric.

		2013	2014	2015	2016	2017	2018	2019	2020
RMSE	Allocation	10.1172	14.2775	10.9951	11.7604	11.8663	12.9181	15.5305	16.1761
	ML with splines	10.3092	16.5331	10.5267	11.0395	11.3876	12.1660	15.4108	15.8129
	GWR	11.3387	17.8948	11.9249	12.9232	13.3665	14.0287	17.8138	17.9765
	RFATPK	1.7165	2.7673	2.0354	2.1364	2.7848	2.4980	4.1899	3.4906
MSE	Allocation	102.3590	203.8480	120.8930	138.3070	140.8100	166.8770	241.1980	261.6680
	ML with splines	106.2790	273.3430	110.8110	121.8700	129.6780	148.0130	237.4940	250.0490
	GWR	128.5670	320.2240	142.2050	167.0090	178.6640	196.8040	317.3320	323.1570
	RFATPK	2.9464	7.6583	4.1429	4.5645	7.7555	6.2403	17.556	12.1843
CC	Allocation	0.8187	0.7839	0.7893	0.7918	0.7817	0.7818	0.7610	0.7254
	ML with splines	0.8041	0.6724	0.8005	0.8116	0.7911	0.8002	0.7515	0.7224
	GWR	0.7566	0.5985	0.7342	0.7295	0.6961	0.7224	0.6470	0.6181
	RFATPK	0.9950	0.9923	0.9932	0.9935	0.9887	0.9923	0.9838	0.9884

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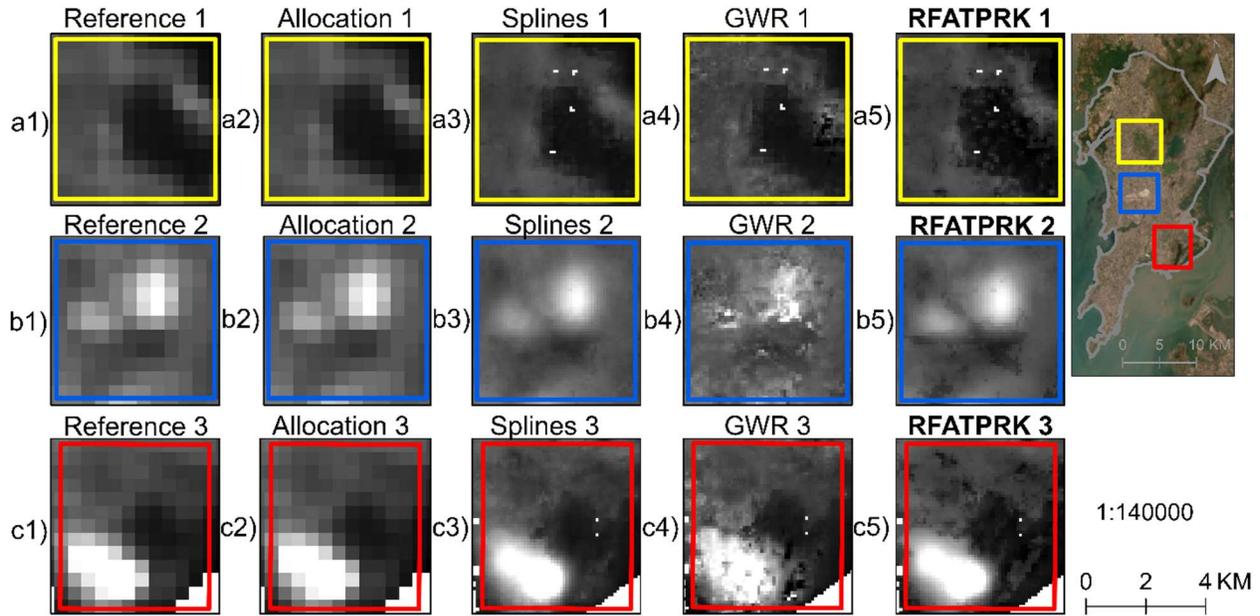
1583 Table 3-3: Performance metrics (Root Mean Squared Error (RMSE), Mean Squared Error (MSE),
 1584 Correlation Coefficient (CC)) for quantitative comparison of downscaling approaches at 450 m
 1585 resolution in New Delhi, using original NTL data as reference. **Bold** values indicate the best-
 1586 performing method for each metric.

		2013	2014	2015	2016	2017	2018	2019	2020
RMSE	Allocation	9.4589	9.0099	9.1661	8.1967	8.5255	8.3571	8.4641	7.5097
	ML with splines	9.0594	9.1414	8.0654	7.8573	7.7100	7.5779	8.5324	6.5307
	GWR	9.8107	10.057	10.7049	8.9059	9.6294	8.9825	9.3896	8.5971
	RFATPK	2.5113	2.2719	2.5727	2.1916	2.3779	2.1511	1.8615	2.0369
MSE	Allocation	89.4710	81.1797	84.0189	67.1861	72.6850	69.8423	71.6414	56.3963
	ML with splines	82.0733	83.5664	65.0510	61.7373	59.4446	57.4259	72.8033	42.6505
	GWR	96.2505	101.1540	114.5960	79.3157	92.7269	80.6858	88.1650	73.9109
	RFATPK	6.3068	5.1617	6.6191	4.8033	5.6546	4.6276	3.4655	4.1491
CC	Allocation	0.9157	0.9234	0.9189	0.9165	0.9094	0.9068	0.8953	0.9091
	ML with splines	0.9233	0.9214	0.9381	0.9241	0.9270	0.9245	0.8941	0.9325
	GWR	0.9095	0.9041	0.8882	0.9014	0.8832	0.8922	0.8702	0.8799
	RFATPK	0.9943	0.9953	0.9938	0.9943	0.9932	0.9941	0.9952	0.9936

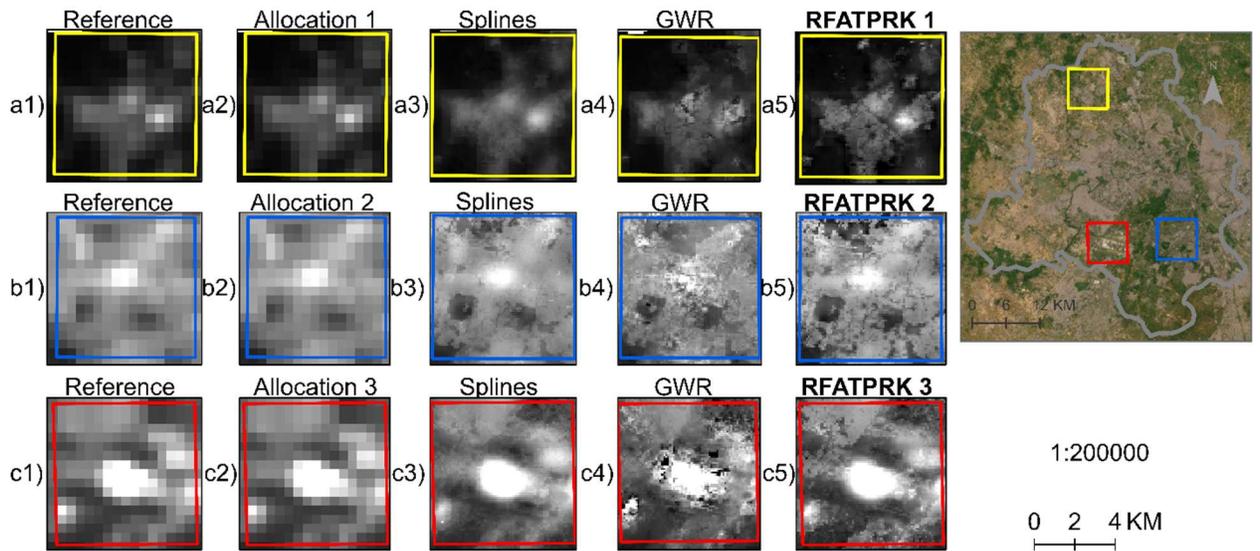
1587 **3.4.1.2 Downscaling prediction (450 m to 100 m)**

1588 To facilitate visual comparison, three zoomed sub-areas selected randomly and their corresponding
 1589 results are shown in Figure 3-5 and Figure 3-6 for Mumbai and New Delhi, respectively. The sub-
 1590 areas include landscapes with a mix of dense and less dense urban structures. The disaggregating
 1591 findings demonstrate that RFATPK renders those landscapes well. Due to poor prediction, the
 1592 GWR approach distorts the borders, whereas Machine Learning with Splines excessively smooths
 1593 the boundaries. When it comes to preserving spectral characteristics and recovering both dense
 1594 and less dense textures, RFATPK performs satisfactorily.

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1598 Figure 3-5: Downscaling visual results (100 m) in three sub-areas for Mumbai, 2018. From left to right: Raw NTL, Allocation-based, Machine Learning with Splines, GWR, **RFATPRK**. Each column illustrates one of the three selected random areas. **Bold** shows the proposed method.

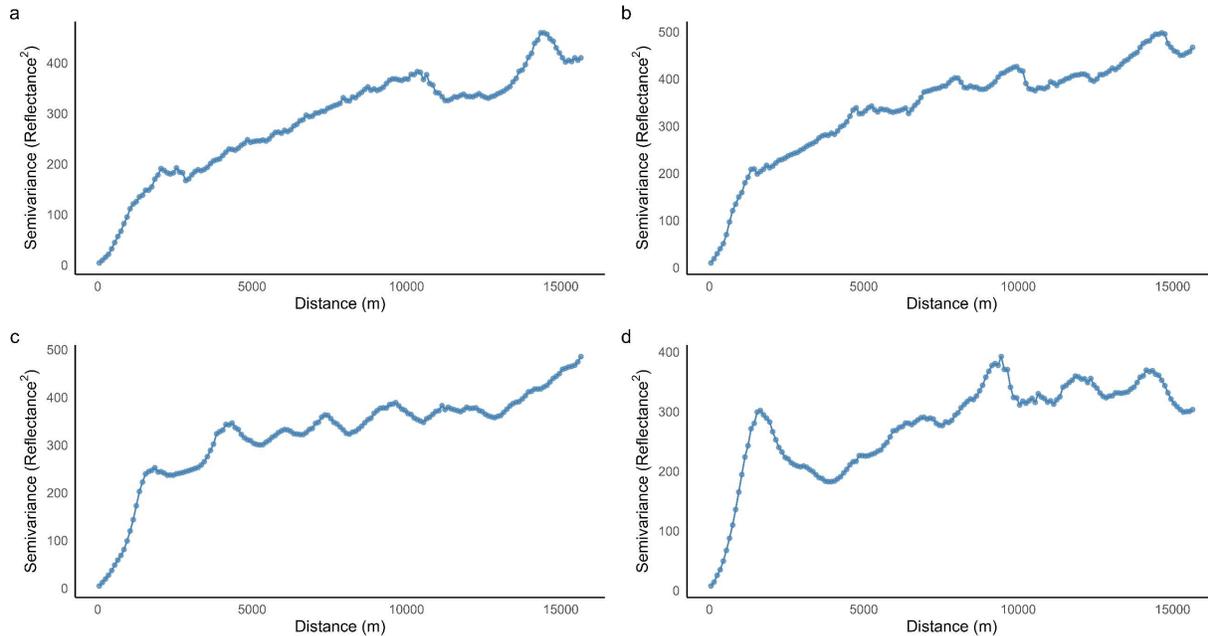


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1600
1601
1602 Figure 3-6: Downscaling visual results (100 m) in three sub-areas for New Delhi, 2018. From left to right: Raw NTL, Allocation-based, Machine Learning with Splines, GWR, **RFATPRK**. Each column illustrates one of the three selected random areas. **Bold** shows the proposed method.

1603 The variograms were compared for the different downscaling methods. Due to large volume of
1604 images produced regarding the comparison of the variograms for all the years, a single example
1605 for the year 2018 is shown for every region. Variograms were computed directly from the
1606 downscaled NTL images at 100 m resolution, as this approach allows direct comparison of the
1607 spatial variance introduced by each method. Larger semivariance values indicate greater
1608 reconstructed spatial variability, whereas smaller values reflect less reconstructed spatial
1609 variability. Compared to the other approaches, the Allocation-based downscaling method's

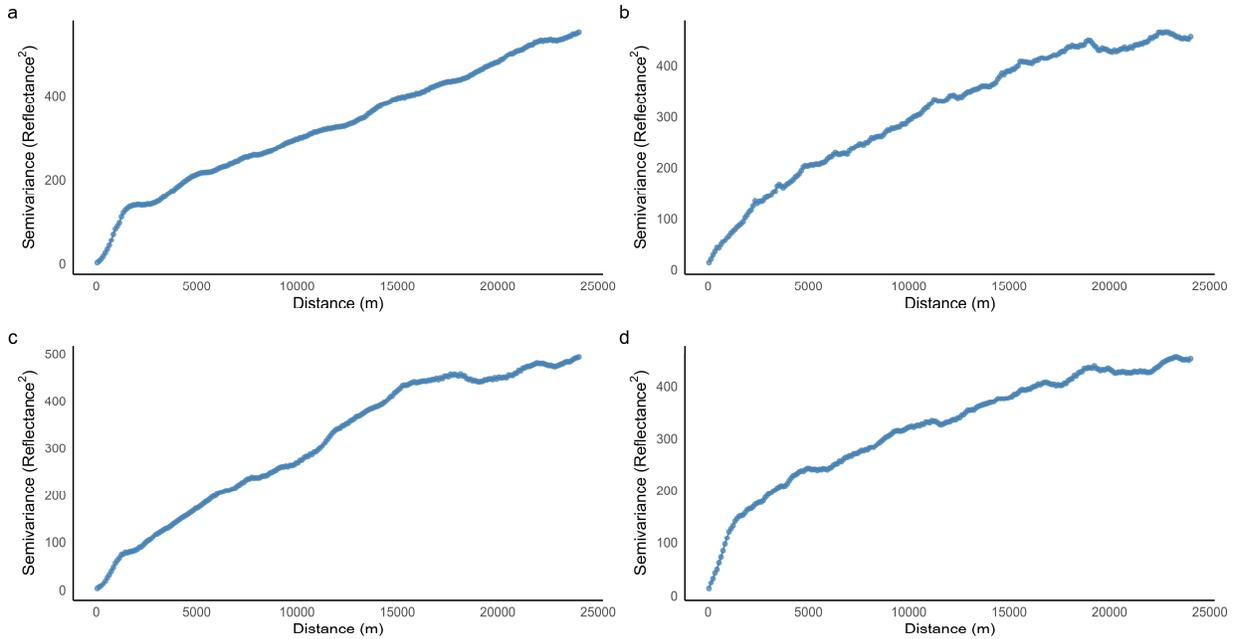
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1610 variogram exhibited the highest semivariance (Figure 3-7). The GWR-based downscaling
1611 approach in Figure 3-8 provided the highest semivariance, while the Machine Learning with
1612 Splines-based downscaling method produced the lowest semivariance.



1613 Figure 3-7: Variograms of the downscaling methods for Mumbai, 2018. a) Allocation-based
1614 prediction, b) Machine Learning with Splines-based prediction, c) GWR-based prediction and d)
1615 **RFATPK**-based prediction.
1616

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1617

1618 Figure 3-8: Variograms of the downscaling methods for New Delhi, 2018. a) Allocation-based
 1619 prediction, b) Machine Learning with Splines-based prediction, c) GWR-based prediction and d)
 1620 **RFATPK**-based prediction.

1621 In comparison to GWR and Machine Learning with Splines, the suggested RFATPK generated the
 1622 best visual outcome among the three downscaling techniques and also had the attribute of perfect
 1623 coherence (Table 3-4). Allocation-based downscaling also preserves the property of perfect
 1624 coherence, but no new information is added and there is, consequently, no spatial variability in the
 1625 NTL intensity within the fine resolution pixels.

1626 Table 3-4: Measurement of perfect coherence, of the four downscaling methods for Mumbai and
 1627 New Delhi for all years under investigation. **Bold** shows the largest results in terms of coherence.

		2013	2014	2015	2016	2017	2018	2019	2020
Mumbai	Allocation	0.9957	0.9907	0.9958	0.9958	0.9950	0.9954	0.9949	0.9927
	ML with splines	0.9916	0.9869	0.9919	0.9917	0.9922	0.9925	0.9921	0.9897
	GWR	0.9756	0.9582	0.9797	0.9798	0.9784	0.9731	0.9744	0.9636
	RFATPK	0.9950	0.9923	0.9932	0.9935	0.9987	0.9923	0.9938	0.9984
New Delhi	Allocation	0.9980	0.9994	0.9980	0.9979	0.9978	0.9979	0.9978	0.9979
	ML with splines	0.9967	0.9967	0.9949	0.9964	0.9954	0.9948	0.9966	0.9946
	GWR	0.9943	0.9947	0.9877	0.9931	0.9857	0.9879	0.9856	0.9872
	RFATPK	0.9943	0.9953	0.9938	0.9943	0.9932	0.9941	0.9952	0.9936

1628

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1629 According to Table 3-4, the property of perfect coherence was achieved in all years for both regions
 1630 only for the RFATPK and Allocation-based methods. RFATPK had the highest Correlation
 1631 Coefficient (CC) index for the years 2014, 2017 and 2020, while the Allocation-based method
 1632 produced the maximum for the rest of the years, for Mumbai. For New Delhi, the Allocation
 1633 method had the highest CC value for all years. In summary, the proposed method was the only one
 1634 to achieve perfect coherence consistently throughout the years across all regions, despite the fact
 1635 ML with Splines had higher values of CC for New Delhi compared to RFATPK. ML with Splines
 1636 was inconsistent in achieving perfect coherence across the regions and for all years as can be seen
 1637 for the year 2014 for Mumbai.

1638 Table 3-5 and Table 3-6 show the quantitative comparison of each method with the Luojia used as
 1639 a reference.

1640 Table 3-5: Quantitative comparison of the downscaling approaches at 100 m for Mumbai, 2018
 1641 (reference is the Luojia). **Bold** shows the best results.

		Allocation	ML with splines	GWR	RFATPK
Mean	RMSE	13.9105	16.8635	15.7574	13.8938
	MSE	196.1515	286.4333	248.6675	193.2563
	PCC	0.6056	0.5274	0.5408	0.6757
StD	RMSE	1.8192	1.6027	0.6798	0.5219
	MSE	50.9233	54.305	21.4063	14.7106
	CC	0.0893	0.1044	0.0660	0.0204

1642

1643 Table 3-6: Quantitative comparison of the downscaling approaches at 100 m for New Delhi, 2018
 1644 (reference is the Luojia). **Bold** shows the best results.

		Allocation	ML with splines	GWR	RFATPK
Mean	RMSE	7.4432	7.7110	8.5856	6.7488
	MSE	55.4679	59.4932	73.7905	45.8890
	PCC	0.9268	0.9225	0.9062	0.9392
StD	RMSE	0.2868	0.2044	0.3108	0.6541
	MSE	4.2883	3.1429	5.4010	8.8968
	CC	0.0045	0.0048	0.0045	0.0068

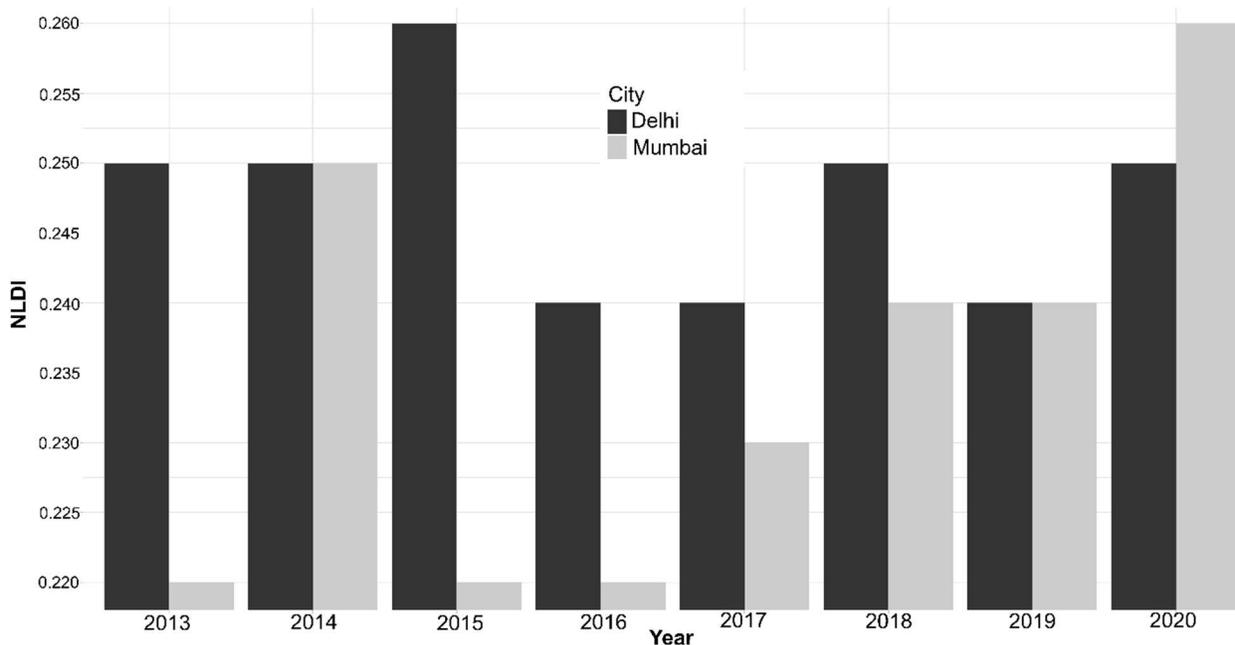
1645

1646 It can be seen in Table 3-5 and Table 3-6 that the proposed method produced the smallest mean
 1647 RMSE and mean MSE in each of the 50 iterations (2000 random samples in each iteration) as well

1648 as the largest mean correlation coefficient with Loujia. More specifically, the RFATPK method,
1649 for Mumbai, produced the smallest average RMSE of $13.8938 \text{ nWcm}^{-2}\text{sr}^{-1}$, while the other
1650 methods produced larger RMSEs, with the Machine Learning with Splines being the largest. The
1651 same is true for MSE, where RFATPK produced the smallest average MSE compared to the other
1652 three methods. Finally, the correlation coefficient was the largest for RFATPK, at 0.6757 for
1653 Mumbai. For New Delhi, again RFATPK produced the most precise predictions compared to the
1654 other approaches with mean RMSE, MSE and CC of $6.7488 \text{ nWcm}^{-2}\text{sr}^{-1}$, $45.8890 \text{ nWcm}^{-2}\text{sr}^{-1}$ and
1655 0.9392, respectively. Considering that the LouJia1-01 imagery is an external (unseen) validation
1656 dataset produced with different sensing characteristics than the NTL imagery and that the
1657 prediction is made at a four point fine-fold finer spatial resolution than the original data, this result
1658 can be considered promising for a range of applications.

1659 3.4.2 Night Light Development Index

1660 The index was calculated utilizing the coarse-resolution nighttime lights data ($\text{NLDI}_{\text{coarse}}$) and the
1661 disaggregated NTL ($\text{NLDI}_{\text{fine}}$). To validate the results, the values of the Human Development Index
1662 for New Delhi for the years 2013 to 2019 were acquired from the Global Data Lab website
1663 (<https://globaldatalab.org/>) (accessed 05/02/2023). The relationship between the NLDI and HDI is
1664 well established in literature. Hence, this index was selected for validation (Elvidge et al., 2012).
1665 Using the $\text{NLDI}_{\text{coarse}}$ the Pearson's correlation coefficient with the HDI was -0.35 while when using
1666 the $\text{NLDI}_{\text{fine}}$ the association with the HDI was -0.48. These results are impressive, indicating that
1667 the downscaled annual mean NTL data were more correlated with human development compared
1668 to the raw annual mean NTL data at 450 m.



1669 Figure 3-9: Night Light Development Index plotted through time for Mumbai (grey line) and New
1670 Delhi (dark grey line), based on single annual mean NTL images from 2013 to 2020.
1671

1672 Figure 3-9 illustrates the evolution of the NLDI for the period 2013-2020 for the cities of Mumbai
1673 and New Delhi. It can be seen that the index for Mumbai shows an upward trend which means that
1674 light inequality is increasing. On the contrary, the NLDI index for New Delhi is decreasing with
1675 the exception for 2015. The data are consistent with the HDI index from Global Data Lab which
1676 reveals an increase in the index through time (Table 3-7).

1677 Table 3-7: The HDI and NLDI indexes for New Delhi.

Year	HDI	NLDI
2013	0.72	0.25
2014	0.73	0.25
2015	0.73	0.26
2016	0.73	0.24
2017	0.74	0.24
2018	0.74	0.25
2019	0.75	0.24

1678
1679 According to many authors, there is an inverse relationship between the two indices (Elvidge et
1680 al., 2012; Ghosh et al., 2013). There are no yearly measures of HDI for Mumbai to validate the
1681 results, but many newspapers highlighted the rise in inequality in this region (Bendix, 2018; Ashar,
1682 2019).

1683 3.4.3 Gross National Income *per capita*

1684 Gross National Income (GNI) *per capita* for New Delhi (2013–2019) was compared against
1685 coarse-resolution (N_{TL_c}) and fine-resolution (N_{TL_f}) NTL using annual mean composite Sum of
1686 Lights (SOL) values. Both GNI (in 1,000 USD) and SOL values were logarithmically scaled. Table
1687 3-8 presents the results of linear regressions between GNI and NTL, summarizing model fit (R^2),
1688 statistical significance (p -value), and confidence intervals (C.I.).

1689 Table 3-8: The statistic results of the comparison of the linear model between Gross National Index
1690 *per capita* (GNI) and coarse-resolution NTL (N_{TL_c}) and fine-resolution NTL (N_{TL_f}). C.I. stands
1691 for Confidence Intervals. SOL values are derived from mean annual NTL composites.

Model	R^2	p -value	C.I.	Sample size
GNI ~ N_{TL_c}	0.5	0.084	-5.4 - 0.5	7
GNI ~ N_{TL_f}	0.7	0.023	-5.4 - -0.6	7

1692
1693 The regression results indicate that N_{TL_f} provides a substantially stronger and statistically robust
1694 predictor of GNI than N_{TL_c} . While the regression of GNI on N_{TL_c} explains 50% of the variance
1695 ($R^2 = 0.50$), this relationship is not statistically significant at the 5% level ($p = 0.084$). In contrast,
1696 N_{TL_f} explains 70% of the variance in GNI ($R^2 = 0.70$) and yields a statistically significant
1697 association ($p = 0.023$). This represents a 20% increase in explained variance, demonstrating that

1698 NTL_f data not only improve model fit, but also yield statistically reliable estimates of economic
1699 activity.

1700 **3.5 Discussion**

1701 **3.5.1 Random Forest area-to-point regression Kriging (RFATPK)**

1702 RFATPK is analogous to the AATPRK reported by Wang et al., (2016a). However, a different non-
1703 stationary model was implemented in this study. The results show a notable improvement of the
1704 merged images both visually and quantitatively resulting from the adoption of the spatial non-
1705 stationary regression model, reflected in a correlation coefficient larger than 0.84 in the regression
1706 part for all months and cities, with the exception of Mumbai 2020. In RFATPK, residual sharpening
1707 was conducted by ATPK which considered a global method and it is different from the approach
1708 proposed by Pardo-Iguzquiza et al. (2011), who developed a local scheme for Kriging
1709 interpolation. For each coarse pixel in local ATPK interpolation, semivariogram deconvolution is
1710 used to parameterize the regression model and the Kriging weights are calculated. This requires a
1711 lot of computational power, especially for areas with many pixels. We instead used global ATPK,
1712 which does not require the same computational cost. Since RFATPK is an extension of ATPRK, it
1713 benefits from ATPRK's advantages as it takes into consideration both the size of the support and
1714 the spatial correlation. Additionally, it can accurately maintain the spectral characteristics of the
1715 original coarse data, as illustrated in Table 3-4. The experimental results showed that RFATPK
1716 predicted more accurately than the three benchmark techniques. The resulting residuals may differ
1717 significantly from region to region if the global regression model in ATPRK is unable to represent
1718 adequately the relationship between the coarse and fine images when the observed scene varies
1719 locally (i.e., requiring a spatially non-stationary method). Thus, the residuals produced by the local
1720 non-stationary regression model are likely to be more suited for subsequent manipulation using a
1721 stationary downscaling model. Another point of consideration is the scale effect. It is
1722 acknowledged that due to the scale effect there exists differences in the two downscaling processes,
1723 that is, from 2025 m to 450 m and from 450 m to 100 m spatial resolution (Zhou et al., 2016; Pu,
1724 2021). The scaling effect in downscaling NTL from a coarse spatial resolution to a high spatial
1725 resolution is beyond the scope of this research.

1726 **3.5.2 RFATPK and benchmark comparison**

1727 When the area of interest is spatially heterogeneous, the RFATARK technique yields more precise
1728 predictions. Comparing the proposed RFATPK method against three other image fusion techniques
1729 (GWR from regression-based methods, machine learning with splines from hybrid-based
1730 techniques and allocation of raster value) is of great interest. Since all computations are undertaken
1731 independently in each coarse band, RFATPK is substantially faster than the hybrid technique since
1732 it needs to model only the auto-semivariogram for each low-resolution image. The Kriging system
1733 in Equation 6's matrices now have substantially smaller sizes. Consequently, RFATPK is more
1734 user-friendly and much simpler to automate. Although the regression-based method is similar to
1735 RFATPK, the latter has the appealing property of perfect coherence, which is inherent with ATPK.
1736 Compared to the simple allocation of raster values, the geostatistical solution preserved fairly
1737 accurate, both visually and quantitatively, the spatial patterns of NTL intensity, a property which

1738 simple allocation of raster values does not have. In conclusion, the suggested RFATPK method has
1739 the following features and benefits.

- 1740 1. Regression modelling can employ fines-resolution predictors to lessen the uncertainty in
1741 spatial downscaling, improving the fused images visually and quantitatively;
- 1742 2. RFATPK clearly takes into consideration the spatial (auto) correlation between the data and
1743 the size of the support (pixel) by inheriting the advantages of ATPRK;
- 1744 3. RFATPK, can precisely preserve the spectral features;
- 1745 4. Unlike machine learning with splines, which executes six regression models, RFATPK
1746 executes only one local model and incorporates a global method (ATPK), calculates the
1747 Kriging weights only one time for the entire region and uses considerably smaller matrices
1748 in the Kriging method; this makes it faster for downscaling images.

1749 **3.5.3 Use case studies**

1750 Monitoring socioeconomic indicators at the city-scale is of great importance for governments and
1751 policy makers. As such unbiased data at fine spatial resolution are a critical input to support policy
1752 development and decision-making. To highlight the applicability of the downscaling method, two
1753 socioeconomic applications were considered at the city-scale.

1754 **3.5.3.1 Night Light Development Index**

1755 The index is an estimation for economic and human development in a region. The relatively strong
1756 association between the NLDI and the HDI (Table 3-7) suggests the former index measures human
1757 development, which is consistent with Elvidge et al. (2012). The results using the fused NTL are
1758 encouraging and we suggest the downscaled data are suitable for measuring human development
1759 at the city-scale.

1760 **3.5.3.2 Gross National Income *per capita***

1761 The reference NTL data were less accurate at predicting yearly GNI than the downscaled NTL at
1762 the city scale. The application of studies that demonstrate the efficiency of estimating such
1763 socioeconomic indicators at the city level is called into question by the poor association between
1764 coarse resolution nighttime lights data and GNI and makes it difficult to understand how such data
1765 may serve as a reliable indicator of changes in city-scale economic activity. The results provided
1766 here, on the other hand, point to the downscaled NTL as a far more accurate way to quantify GNI
1767 and a viable substitute for the index. The non-significant relationship for coarse-resolution NTL
1768 highlights the importance of fine-scale spatial detail in capturing economic variation within cities.
1769 Downscaling NTL via RFATPK not only increases R^2 , but also produces statistically robust
1770 relationships, demonstrating that fine-resolution satellite sensor data better reflect intra-urban
1771 economic structure.

1772 **3.5.4 Future research**

1773 The point spread function (PSF) exists in every satellite sensor imagery. It has a significant impact
1774 on image quality and sets a strict cap on how much information is included in satellite sensor
1775 images (Wang et al., 2020). It is clear that the PSF can affect the downscaling process because
1776 disaggregating methods aim to increase the pixel size by creating more (sub-) pixels than the
1777 original image and thus, better describing the spatial content of a region. A variety of PSFs will be

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1778 evaluated in a future effort to reduce the uncertainty in the downscaling procedure. Another
1779 important limitation is that NTL values cannot be determined from a single covariate, as shown by
1780 the global model. This means that, more ancillary variables are more suitable for charactering NTL
1781 intensity and may lead to more accurate prediction of the trend (Ye et al., 2021). Future research
1782 will focus on incorporating more ancillary variables, mainly from the so called ‘social pixels’, for
1783 example, geo-tagged Twitter data or geo-located POIs. Thus, fusion with social data at a fine
1784 resolution should be tested in future. Lastly, as mentioned in the Discussion, Section 5.1, this
1785 research did not take into account the scale effect. Therefore, studies in the future should need to
1786 be designed for and check if the by accounting for the scale effect will improve the downscaling
1787 predictions.

1788 **3.6 Conclusions**

1789 Spatial downscaling is widely used to transform remotely sensed images from coarse resolution to
1790 fine resolution in order to track human activity. For the first time, a strategy for spatially
1791 downscaling nighttime lights pictures was presented in this study using RF and ATPK. The
1792 RFATPK approach has the advantage of taking into consideration both the spatial correlation
1793 between the response variable and the predictors as well as local spatial variation. To show the
1794 effectiveness of this approach, it was used on yearly coarse NTL products in two separate Indian
1795 megacities.

1796 The geostatistical RFATPK solution was compared against three benchmark algorithms in
1797 experiments conducted on one experimental case in the two mega-cities. The results are
1798 summarized as follows: 1) The three benchmark methods were outperformed by RFATPK,
1799 demonstrating the utility of this technique for spatial sharpening; 2) RFATPK, consistently, assures
1800 total coherence with the original coarse data, in contrast to two of the benchmarks, and 3) due to
1801 its spatially non-stationary nature, RFATPK was able to lower the residual variance in comparison
1802 to a single, global regression model. The encouraging results suggest that RFATPK can produce
1803 images that are suitable for socioeconomic analysis at the city-scale, as illustrated when comparing
1804 a human development index using coarse-resolution NTL data against fine-resolution nighttime
1805 lights. Indeed, the GNI index was better approximated using the downscaled NTL data. Another
1806 application suggesting that the disaggregated NTL are more suitable for fine scale (social)
1807 applications was the measurement of wellbeing by means of light inequality. The results implied
1808 that using the proposed solution, the nighttime lights satellite sensor data are closer to the values
1809 of the official statistics (i.e., HDI). According to the results, our method can be generalized
1810 worldwide (i.e., to other cities) and for a variety of social science applications.

1811 **Chapter 4 Downscaling satellite nighttime light imagery while**
1812 **addressing the blooming effect²**

² This chapter is based on the published paper: Tziokas, N., Zhang, C., Tziokas, A., Wang, Q., Atkinson, P.M., 2024, Downscaling Satellite Nighttime Light Imagery While Addressing the Blooming Effect. JSTARS IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing, PP(99):1-18. 10.1109/JSTARS.2024.3429244.

1813 **Abstract**

1814 In the past 20 years, improvements in nighttime light (NTL) remote sensing have spurred a
1815 resurgence of interest in the mapping of human economic activity. Nevertheless, the full potential
1816 of NTL data for urban research is constrained by a relatively coarse spatial resolution and the
1817 blooming effect. Downscaling NTL data is a potential solution, aiming to obtain fine-resolution
1818 nighttime lights data with high accuracy. Most existing remotely sensed image fusion techniques
1819 were developed for optical remote sensing images taken during the day. When NTL images are
1820 compared to optical images, they exhibit a greater quantity of dark (low value) pixels, higher levels
1821 of background noise, and a more obvious blooming effect. In this paper, we proposed a spatially
1822 non-stationary, geostatistical-based downscaling technique (random forest area-to-point Kriging)
1823 to downscale NTL data while accounting explicitly for the point spread function, thus, dealing
1824 with the blooming effect specific to NTL data. We compared several image fusion algorithms for
1825 downscaling while reducing the blooming effect. Numerical experiments on two megacities
1826 showed that downscaling was improved both numerically and visually by taking the PSF into
1827 consideration. During the RF regression, the R^2 increased and the RMSE decreased for both study
1828 regions, when accounting for the PSF. For the ATPK-based residual part, considering the PSF led
1829 to increased accuracy of prediction. The suggested methodology has the potential to increase the
1830 detail and accuracy of the NTL data available for modeling socioeconomic phenomena at the city
1831 scale, with wide potential for application in future socioeconomic research.

1832 **Keywords** - Downscaling; Point spread function; Random forest; area-to-point Kriging; Satellite
1833 nighttime light imagery; Urban remote sensing

1834 **4.1 Introduction**

1835 Nighttime light (NTL) remote sensing data are acknowledged widely as being human-oriented,
1836 indicating the distribution and intensity of human activities. This contrasts with the more common
1837 optical remote sensing data, such as from Landsat and Sentinel, which focus primarily on the
1838 natural environment (Levin et al., 2020). NTL remote sensing has gained significant interest due
1839 to its ability to bridge the gap between socioeconomic activity and the benefits of remote sensing
1840 data (standardization, repeat measurement, complete coverage). It is frequently utilized to derive
1841 objective and third-party socioeconomic indicators, such as on poverty (Jean et al., 2016),
1842 populations (Stathakis and Baltas, 2018), urban built-up areas (Ye et al., 2021), GDP and energy
1843 consumption (Zheng et al., 2023). Urban areas, where economic activity tends to concentrate, are
1844 ideal places to study with the aim of addressing human-oriented issues such as sustainable
1845 development.

1846 The Visible Infrared Imaging Radiometer Suite (VIIRS) Day/Night Band (DNB) is one of the most
1847 utilized sources of NTL data (Feng et al., 2020). VIIRS offers fine temporal resolution (daily,
1848 monthly and yearly images), on-board calibration and the avoidance of saturated pixels, and it is
1849 free to acquire. In comparison, alternative NTL satellite products such as LuoJia, Jinlin and DMSP-
1850 OLS, which have the advantages of either a finer spatial resolution or they extend further back in
1851 time, are commonly commercial products and expensive to purchase (Abascal et al., 2023).
1852 Notwithstanding the advantages of VIIRS, the utility of the data for scientific and practical
1853 applications is limited because of their ~500 m spatial resolution. Elvidge et al. (Elvidge et al.,

1854 2007) stated that a minimum spatial resolution of ~100 m should be used in urban related
1855 applications. This has led to issues (such as low accuracy and small correlation) when utilizing
1856 NTL imagery for mapping populations, built-up areas and economic indicators (Feng et al., 2020).
1857 Moreover, nighttime imaging has the blooming (or overglow) effect, in which light from bright
1858 sources spreads into neighboring pixels, creating an overestimation of brightness in surrounding
1859 areas; this is equivalent to a large point spread function (PSF).

1860 Downscaling is the term used to describe a reduction in the pixel size of remotely sensed images
1861 in the context of remote sensing (Atkinson, 2013). Downscaling has been undertaken using many
1862 methodologies and strategies, essentially aiming to increase the information richness of the
1863 downscaled images. Area-to-point Kriging (ATPK) is one such method (Kyriakidis, 2004). It has
1864 the advantage of absolute coherence, which means that when the downscaled image is upscaled to
1865 the original coarse spatial resolution the results are identical. By including a (global) regression
1866 term, Wang et al. (2016a) expanded ATPK and called it area-to-point regression Kriging (ATPRK).
1867 In ATPRK, covariates at a finer spatial resolution are employed in a (linear) regression model to
1868 predict the response variable. Then, the regression model's residuals are downscaled using ATPK.
1869 More recently, alternative regression methods have been used in the ATPRK model; nonetheless,
1870 the majority of the current algorithms for fusing remote sensing images are designed to fuse optical
1871 remote sensing images taken during the day. When NTL images are compared to optical remote
1872 sensing, they exhibit a greater quantity of dark pixels (low values) and more background noise (H.
1873 Li et al., 2024; Tziokas et al., 2023). Consequently, it is of great interest to confirm the efficacy of
1874 conventional ATPK-based optical remote sensing techniques for VIIRS image downscaling.

1875 Sufficiently large correlations between NTL and biophysical and/or socioeconomic covariates at a
1876 finer spatial resolution are required for multivariate downscaling. Population data, spectral indices
1877 and transport network data are examples. Regression models are fitted between the NTL data and
1878 aggregated (coarse resolution) covariates and then used with the fine-resolution covariates as
1879 inputs to predict NTL at the fine pixel size. According to Ye et al. (2021) and Liu et al. (2022),
1880 there is often a non-linear relationship between NTL and its covariates, which may render global
1881 models unsuitable. The capacity of machine learning (ML) algorithms to capture non-linear
1882 relations between NTL and fine-resolution covariates has been demonstrated recently (Guo et al.,
1883 2023; Liu et al., 2022; Tziokas et al., 2023; Ye et al., 2021). With several independent factors, deep
1884 neural network (DNN) and RF algorithms produced accurate predictions among the benchmarked
1885 techniques.

1886 The point spread function (PSF) effect is widely seen in NTL remote sensing images (Zheng et al.,
1887 2020). It denotes that the signal for a certain pixel is the weighted sum of the contribution from its
1888 surrounding and internal pixels. This leads to a basic constraint on the information content of
1889 remote sensing images. Both the across-track and along-track directions are taken into
1890 consideration by the two-dimensional PSF function (Wang et al., 2020). The instrument's optics,
1891 the detector, the electronics, atmospheric influences, and picture resampling are the primary causes
1892 of the PSF effect (Cao et al., 2019). In places that are homogenous, the PSF effect might not be a
1893 major concern, but in diverse landscapes where mixed pixels predominate, it is essential. To the
1894 best of our knowledge, the PSF impact in NTL downscaling has not been studied yet. Therefore,

1895 searching for practical ways to lessen the PSF impact in NTL downscaling could provide guidance
1896 and potentially increase the accuracy for prediction.

1897 In downscaling, the PSF of interest is not the measurement PSF, but rather the transfer function
1898 between images at the original coarse and target fine spatial resolutions (Wang et al., 2020). The
1899 transfer function is different subtly to the measurement PSF. It is equivalent to the difference in
1900 convolution between the two PSFs used for measurement (Wang et al., 2020). In the multi-variate
1901 scenario, additional data covering the same scene at the desired fine spatial resolution are available,
1902 despite the data being collected using several sensors or at different wavelengths. An important
1903 source of information for calculating the effective transformation PSF is the relationship between
1904 the coarse and fine resolution data. Research has established several approaches for PSF estimation
1905 (Wang et al., 2020). However, the techniques were designed primarily for optical remote sensing.
1906 Solutions need to be identified that can both estimate the PSF for NTL data and work well in
1907 scenarios involving several fine covariates.

1908 The major contributions of this paper include:

- 1909 1. Random forest area-to-point Kriging (RFATPK) was demonstrated for downscaling NTL
1910 continua while reducing the PSF effect (multivariate case) and producing more accurate
1911 downscaling NTL predictions.
- 1912 2. An existing solution was applied to estimate the effective transformation PSF for the multi-
1913 variate case of NTL downscaling. The solution estimates the PSF for the NTL band and is
1914 also suitable for dealing with multiple fine bands.
- 1915 3. The proposed solution was applied in two case studies with different dominant land uses
1916 and different climate profiles to provide important guidance for their use in future
1917 applications.

1918 The remainder of this paper is divided into four parts as follows. The concepts of RFATPK are
1919 introduced in Sections 4.3.2, followed by the method of transformation PSF estimation. Section
1920 4.4 presents the findings of the experiments conducted on two megacities. The results and
1921 associated problems are covered in Section 4.5, along with potential directions for future study.
1922 Section 4.6 draws conclusions of the paper.

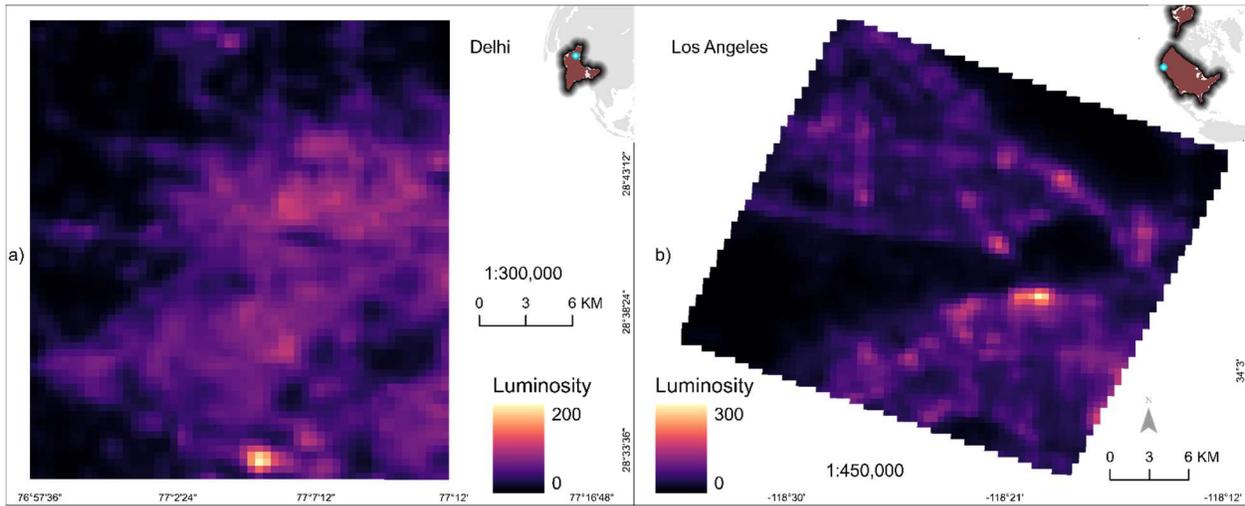
1923 **4.2 Study area and datasets**

1924 **4.2.1 Study areas**

1925 Case study 1: New Delhi, the capital of India, is situated along the Aravalli range, with Yamuna in
1926 the center and the Ganga River on its eastern border. New Delhi has a semi-arid climate. It is a
1927 major international center and a highly populated metropolis on the Asian subcontinent, being
1928 home to over 16 million people (~10,400 people per km²). Compared to the national average of
1929 31.16%, 93% of the population lives in urban areas, indicating the city's fast industrialization and
1930 urbanization (Malik et al., 2022; Naikoo et al., 2020).

1931 Case study 2: As one of the largest metropolitan regions worldwide, the Los Angeles (LA)
1932 metropolitan area is the second largest in the USA. The U.S. Office of Management and Budget
1933 defines the Metropolitan Statistical Area (MSA) as the combination of Los Angeles and Orange

1934 counties, with a population of 9,819 million and a land area of 12,562 km² (Gurney et al., 2019).
1935 Because single-family detached homes are so common, the LA metropolitan region has a high
1936 degree of urbanization, a low population density, and fragmented retail centers that depend on
1937 intricate road networks (Hulley et al., 2019). Based on income, LA is classified by the World Bank
1938 as a high-income megacity (Rubinton and Isaacson, 2023). The two study regions are shown in
1939 Figure 4-1. To demonstrate the methods more clearly in this paper we used subsets of the two
1940 megacities as the study regions.



1941
1942 Figure 4-1: The VNP46A3 NTL image for a) New Delhi and b) Los Angeles (LA). The darker
1943 colors indicate areas with no NTL luminosity while the brighter colors indicate areas with higher
1944 NTL luminosity.

1945
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1957 **4.2.2 Datasets**

1958 Multiple sources of data were used in this research.

1959 Table 4-1: Spatial datasets used in nighttime lights mapping and downscaling for New Delhi and
 1960 Los Angeles. WorldPop was utilized for New Delhi whereas LandScan for Los Angeles. For the
 1961 calculated spectral indices, refer to Appendix Table A-1.

Dataset	Spatial resolution (m)	Year	Data source
VNP46A3	~ 500	Monthly	(Marty and Stefanini Vicente, 2025)
WorldPop (constrained)	~100	2015 - 2020	(Tatem, 2017)
LandScan Pop (night)	~ 100	2015 - 2020	(“ORNL LandScan Viewer - Oak Ridge National Laboratory,” n.d., accessed on June 10, 2023)
Average building height (AGBH)	~ 100	2018	(Pesaresi et al., 2024)
Building volume (GHV)	~ 100	2015, 2020	
Surface (GHS)	~100, ~10, ~100	2015, 2018, 2020	
Mean Landsat 8 spectral indices (Appendix Table A-1) and TIRS	~ 30	Monthly	(Gorelick et al., 2017)
Road network	Vector layer	2015 - 2020	(“Geofabrik Download Server,” n.d., accessed on June 10, 2023)
Human footprint (HFP)	~ 100	2016 - 2020	(Williams et al., 2020)
Digital Surface Model (DSM)	~ 90	2015 - 2020	(Agency, 2024, accessed on June 10, 2023.
Luojia	130	2018	http://59.175.109.173:8888/index_en.html (accessed June 10, 2023)

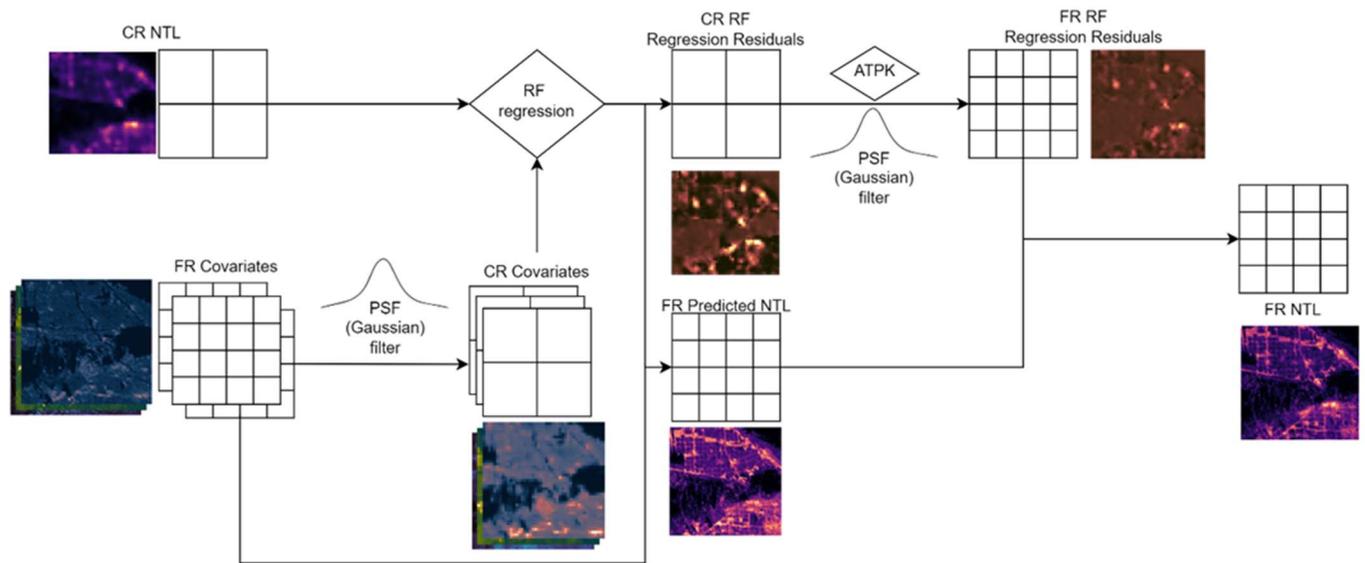
1962 **4.3 Methodology**

1963 The proposed methodology is shown in Figure 4-2 as a sequence of actions intended to achieve
 1964 the study goals. For downscaling without accounting for the PSF, the target variable and covariates
 1965 were chosen first, and the covariates were upscaled to match the spatial resolution of the target
 1966 variable (NTL). The residuals were then extracted as the difference between the RF regression

1967 model predictions and the observations. Third, ATPK was used to downscale the RF model
 1968 residuals. Finally, the NTL raster layer with a spatial resolution of 130 m was created by combining
 1969 the model prediction with the downscaled residuals.

1970 To estimate the PSF (more specifically transfer function) of the NTL data the following steps were
 1971 taken:

- 1972 1. All fine spatial resolution covariates were convolved with a Gaussian PSF (with scale
 1973 parameter σ_i) and spatially aggregated using a mean function to the coarse spatial
 1974 resolution of the reference NTL.
- 1975 2. For the NTL band, a RF regression model was fitted between the multiple aggregated
 1976 covariates and the observed coarse image (e.g., the NTL). The R^2 was calculated.
- 1977 3. Step 2 was conducted for all parameter candidates of σ (from 0.3 to 2 standard deviations
 1978 * the pixel size, with step 0.1). For the visited coarse band, the optimal σ was estimated as
 1979 the one leading to the largest R^2 in step 2.



1980
 1981 Figure 4-2: The methodology for applying RFATPK for downscaling NTL imagery. The acronyms
 1982 CR and FR represent coarse resolution and fine resolution, respectively.

1983 **4.3.1 Preprocessing of spatial data**

1984 All data were re-projected to the EPSG:7760 and EPSG:3309 reference systems, for New Delhi
 1985 and LA, respectively. We removed tunnels from the provided road network vector data as we
 1986 hypothesized that no light inside a tunnel can be detected from the sensor (Wu et al., 2021).
 1987 Moreover, using a brute-force approach, we searched all the possible combinations of road classes
 1988 and found the optimal one that maximizes the correlation with the NTL. Then, we rasterized the
 1989 data using a 130 m-by-130 m pixel size raster.

1990 Because the Luojia satellite imagery has a similar spectral range to the NPP-VIIRS NTL, it was
 1991 used to validate the downscaled NTL data. Then, Luojia imagery was, first, georeferenced using

1992 30 carefully selected control points throughout each study region. The images were then re-
 1993 projected to each of the projection systems, contingent upon the study region, and resampled using
 1994 the spatial average to 130 m (Liu and Li, 2023). The following equation was then used to convert
 1995 the image DN to radiance values, based on laboratory calibration data from the satellite data
 1996 website:

$$r = DN^{3/2} \cdot 10^{-10} \cdot w \quad (1)$$

1997 where w is the bandwidth, measured in $Wm^{-2}sr^{-1}$, DN is the digital number acquired by LuoJia, and
 1998 r is the radiance value. Since LuoJia's radiometric range is 0.46-0.98 μm , w equals 0.52 μm .

1999 The following data pre-processing procedures were applied to the monthly NTL product: (1) cloud
 2000 removal and (2) outlier detection. In line with the cloud quality flag, we filtered the NTL radiance
 2001 to retain only pixels with no cloud or cloud shadow detected. Moon illumination fractions were
 2002 corrected, and anomalous pixels were examined using DNB quality flags once the clouds were
 2003 cleared.

2004 In this research, we used a variable selection approach to exclude covariates with low prediction
 2005 power, even though RF can handle uncorrelated covariates with the response variable. This was
 2006 accomplished using the R (R Core Team, 2025) package *VSURF* (Genuer et al., 2015). It involves
 2007 a two-step process where the variable selection procedure begins by ranking variables using raw
 2008 variable importance (VI) scores obtained from repeated random forests and those exhibiting low
 2009 importance across these repetitions are eliminated. This data-driven criterion, avoids reliance on
 2010 normalized VI scores or assumptions of normality, ensuring robustness and reproducibility across
 2011 varying model configurations. Second, a final subset is obtained by adding the sorted variables
 2012 one after the other to the regression model. For further information, interested readers may consult
 2013 Genuer et al. (2015).

2014 4.3.2 RFATPK

2015 Because global regression models, such as the one used in ATPRK, makes the assumption that
 2016 parameters are geographically invariant, it cannot fit relationships locally. Furthermore, it is
 2017 possible that the global regression residual does not satisfy stationarity requirements (e.g., second-
 2018 order stationarity), which would complicate the Kriging interpolation. The Random Forest (RF) is
 2019 a non-parametric ensemble learning approach with high accuracy and resilience to
 2020 multicollinearity, that was developed from the bagging algorithm (Xu et al., 2020). Using a
 2021 nonlinear regression model, the RFATPK approach considers both the geographical non-
 2022 stationarity of the calculated residual NTL and the nonlinear correlation between NTL and the
 2023 covariates. RFATPK formulation consists of a trend component as well as a regression residual
 2024 component.

2025 In the RFATPK technique, a regression model based on RF and independent variables at the coarse
 2026 resolution is used initially to predict the geographic trend of NTL at the fine resolution. Next, a
 2027 fine-scale prediction of the residual NTL is obtained by downscaling the coarse residual NTL using
 2028 ATPK.

2029 **4.3.2.1 RF to estimate the spatial trend**

2030 Due to its high accuracy and low risk of overfitting, RF has been used frequently in regression
 2031 tasks (Hutengs and Vohland, 2016). A random vector generated separately and uniformly for every
 2032 tree in the forest determines the values of each tree in a RF, which is a mixture of uncorrelated
 2033 decision regression tree covariates. The RF regression approach was used in this research to
 2034 increase the spatial resolution of NTL from 430 m to 130 m. Based on the nonlinear connection
 2035 between the covariates calculated at 130 m spatial resolution from a variety of environmental and
 2036 socioeconomic determinants, the original NTL data were downscaled. Following Wang et al.
 2037 (2020) the 130 m spatial trend of NTL was predicted using the RF-based regression method which
 2038 is summarized as follows:

2039 Initially, we applied a Gaussian filter to the covariates and then we spatially averaged them to
 2040 match the NTL resolution. The filter's standard deviation ranged from 0.3 to 2 times the size of the
 2041 coarse pixel, with a step of 0.1.

2042 The RF regression approach, which can be stated using the following equation, was used to create
 2043 the multivariate nonlinear regression model between the coarse NTL and the aggregated
 2044 covariates:

$$\hat{Z}_{\text{Regression}}^l(x_0) = \text{RF}(\mathbf{ZK}_1, \mathbf{ZK}_2, \dots, \mathbf{ZK}_l) + \mathbf{R} \quad (2)$$

2045 The function $\text{RF}(\cdot)$ represents the multivariate nonlinear regression algorithm between NTL and
 2046 the K covariates (showed in Table 4-2, depending on the megacity) constructed with the RF
 2047 regression model and \mathbf{R} are the coarse-resolution regression residuals. \mathbf{ZK}_l is the coarse covariate
 2048 produced by upscaling the corresponding fine resolution covariate (or spectral band) k using a
 2049 PSF:

$$\mathbf{Zk}_l = \mathbf{Zk}_l * h_k \quad (3)$$

2050 where h_k designates the transformation PSF between the fine and coarse resolution covariates.
 2051 The RF algorithm was implemented using the R (R Core Team, 2025) package, *ranger* (Wright
 2052 and Ziegler, 2017).

2053 Two-thirds of the covariates for each tree in the model were chosen as training samples using a
 2054 bootstrap-based sampling technique to build the RF-based function approximation model. For each
 2055 bootstrap-based sampling procedure, the remaining one-third of the inputs served as out-of-bag
 2056 (OOB) data, meaning they were not used in the training process (Hu et al., 2020). An important
 2057 parameter is the number of regression trees (*n_{tree}*) in the forest. As the number of trees increases,
 2058 Oshiro et al. (Oshiro et al., 2012) claim that the model's performance eventually reaches a breaking
 2059 point. Thus, the moment the OOB error reached its minimum, we stopped adding extra regression
 2060 trees (Hutengs and Vohland, 2016). In addition, the splitting rule played an important role in
 2061 accuracy improvement, and an appropriate significance threshold to allow splitting had to be found
 2062 (Borup et al., 2023). The RF-based nonlinear regression model in Eq. 2 can receive the 130 m
 2063 independent variables as direct input. Next, it is possible to compute the NTL's downscaled spatial
 2064 trend at a resolution of 130 m.

2065 **4.3.2.2 ATPK for downscaling residuals**

 2066 In the next step of RFATPK, the coarse-resolution residuals \mathbf{R} yielded in the regression model in
 2067 the initial step are sharpened to a fine resolution using the ATPK algorithm:

$$\hat{\mathbf{Z}}_{Residuals}^l(x_0) = \sum_{i=1}^N \lambda_i \mathbf{R}(x_i), \text{ s. t. } \sum_{i=1}^N \lambda_i = 1 \quad (4)$$

 2068 where $\mathbf{R}(x_i)$ is the residual for the i th neighbor. The weights are calculated according to the Kriging
 2069 matrix:

$$\begin{bmatrix} \boldsymbol{\gamma}_{CC} & \mathbf{1}^T \\ \mathbf{1} & 0 \end{bmatrix} \begin{bmatrix} \boldsymbol{\lambda} \\ \theta \end{bmatrix} = \begin{bmatrix} \boldsymbol{\gamma}_{FC} \\ \mathbf{1} \end{bmatrix} \quad (5)$$

 2070 where $\boldsymbol{\gamma}_{CC} = [\gamma_{CC}(\mathbf{s}_{ij}), i = 1, \dots, N, j = 1, \dots, M]$ is an $N \times N$ matrix of coarse-to-coarse semivariances
 2071 between the coarse resolution pixels centered at \mathbf{x}_i and \mathbf{x}_j (\mathbf{s} is the Euclidean distance between the
 2072 centroids of any random two pixels), $\boldsymbol{\gamma}_{FC} = [\gamma_{FC}(\mathbf{s}_{0i}), i = 1, \dots, N]^T$ is an $N \times 1$ vector of fine-to-
 2073 coarse semivariances between the fine and coarse resolution pixels centered at \mathbf{x}_0 and \mathbf{x}_i , $\boldsymbol{\lambda} = [\lambda_i, i$
 2074 $= 1, \dots, M]^T$ is an $N \times 1$ vector of weights for the N coarse resolution neighbors, $\mathbf{1}$ is a $1 \times N$ vector
 2075 of ones, and θ denotes the Lagrange multiplier (Wang et al., 2020).

 2076 Assume that h_k is the PSF utilized for transforming the fine-resolution covariates to the coarse
 2077 spatial resolution. The semivariograms $\gamma_{FC}(\mathbf{s})$ and $\gamma_{CC}(\mathbf{s})$ used in Eq. 5 are computed by
 2078 convolving the fine-to-fine semivariogram (represented as $\gamma_{FF}(\mathbf{s})$) between any two fine resolution
 2079 pixels. The $\gamma_{FF}(\mathbf{s})$, $\gamma_{FC}(\mathbf{s})$ and $\gamma_{CC}(\mathbf{s})$ semivariograms are a function of the distance and direction
 2080 vector \mathbf{s} (which is called lag in the field of geostatistics), that is, they are influenced specifically
 2081 by separation distance and direction and not by the specific locations of the pixels. Also, the
 2082 semivariogram can be seen as a 2-D image centered at $(0, 0)$, which is built from values in all
 2083 directions and at multiple distances. In this sense, $\gamma_{FC}(\mathbf{s})$ and $\gamma_{CC}(\mathbf{s})$ are computed as:

$$\begin{aligned} \gamma_{FC}(\mathbf{s}) &= \gamma_{FF}(\mathbf{s}) * h_C(\mathbf{s}) = \int_{\mathbf{x} \in V(\mathbf{s})} \gamma_{FF}(\mathbf{x}) \cdot h_C(\mathbf{s} - \mathbf{x}) d\mathbf{x} \\ &= \sum_{x_1=s_1-w_1}^{s_1+w_1} \sum_{x_2=s_2-w_2}^{s_2+w_2} \gamma_{FF}(x_1, x_2) \cdot h_C(s_1 - x_1, s_2 - x_2) \end{aligned} \quad (6)$$

$$\begin{aligned} \gamma_{CC}(\mathbf{s}) &= \gamma_{FF}(\mathbf{s}) * h_C(\mathbf{s}) * h_C(-\mathbf{s}) = \gamma_{FC}(\mathbf{s}) * h_C(-\mathbf{s}) \\ &= \int_{\mathbf{x} \in V(\mathbf{s})} \gamma_{FC}(\mathbf{x}) \cdot h_C(\mathbf{x} - \mathbf{s}) d\mathbf{x} \\ &= \sum_{x_1=s_1-w_1}^{s_1+w_1} \sum_{x_2=s_2-w_2}^{s_2+w_2} \gamma_{FC}(x_1, x_2) \cdot h_C(x_1 - s_1, x_2 - s_2) \end{aligned} \quad (7)$$

 2084 where $*$ indicates the convolution operator, $V(\mathbf{s})$ is the spatial coverage (with an extent of $2w_1 +$
 2085 1 by $2w_2 + 1$ fine resolution pixels) of the PSF centered at point $\mathbf{s} = (s_1, s_2)$, and $\mathbf{x} = (x_1, x_2)$ is
 2086 the center of the fine resolution pixel within coverage $V(\mathbf{s})$ in the 2-D semivariogram image: $\gamma_{FF}(\mathbf{s})$
 2087 or $\gamma_{FC}(\mathbf{s})$.

 2088 The fine-to-fine semivariogram $\gamma_{FF}(\mathbf{s})$ is unknown and it can be approximated by deconvolution
 2089 of the areal semivariogram calculated from the original coarse spatial resolution image (Goovaerts,
 2090 2008; Pardo-Iguzquiza et al., 2011; Wang et al., 2015). The prime solution is determined as the

2091 one that, once convolved according to Eq. 7, is the same as the known areal semivariogram. It
 2092 should be noted that here the corresponding semivariograms are of the residuals as in ATPRK, not
 2093 of the original variable as in ATPK. Readers interested in a more in-depth discussion of the
 2094 deconvolution methodology utilized in this research can be found in Wang et al. (2016a).

2095 The benefit of ATPK, namely the perfect coherence property, which was illustrated theoretically
 2096 by Wang et al. (2015), is carried over to RFATPK:

$$\hat{\mathbf{Z}}_F^l * h_C = \mathbf{Z}_C^l \quad (8)$$

2097 The PSF effect is considered in both regression modeling (see Eq. 3) and ATPK-based residual
 2098 downscaling. With respect to the former, different PSFs will result in different upscaled images \mathbf{Z}_C^k
 2099 and different regression models (i.e., different predictions from $\hat{\mathbf{Z}}_{\text{Regression}}^l$). This means that,
 2100 different residuals, \mathbf{R}_C^l , will be produced from the regression model conditional upon the choice of
 2101 PSF. This will have a direct effect on the prediction of $\hat{\mathbf{Z}}_{\text{Residuals}}^l(\mathbf{x})$ in ATPK-based residual
 2102 downscaling.

2103 It is clear from the previously discussed downscaling approach utilizing RFATPK that the
 2104 transformation PSF relating images at different resolutions to each other, rather than the PSF of
 2105 the original measurements, plays a crucial role in modeling semivariograms at different spatial
 2106 scales and should not be disregarded. It is crucial to ascertain the transformation PSF for
 2107 downscaling in advance because it may not be known in real-world situations. Finding methods
 2108 that approximate the appropriate transformation PSF for the multivariate scenario, while taking
 2109 into account the availability of auxiliary data at the requisite fine spatial resolution, is a more
 2110 feasible strategy.

2111 4.3.2.3 PSF estimation

2112 It is known that there always exists a statistical relation among different spectral bands
 2113 covering the same region, and largely for spectral bands with similar wavelengths. It is
 2114 hypothesized that the single coarse resolution image $\mathbf{Z}K_l$ can be defined as a linear combination
 2115 of the set of bands $\mathbf{Z}K_l(k=1, \dots, K)$ created by upscaling the existing fine spatial resolution images
 2116 $\mathbf{Z}k_l(k=1, \dots, K)$ at other wavelengths:

$$\mathbf{Z}K_l = \sum_k a_k (\mathbf{Z}k_l * h_k) + \epsilon \quad (9)$$

2117 As such, the best scale transformation PSF h_k for image (or spectral band) $\mathbf{Z}K$ can be
 2118 determined as the one reducing the fitting error ϵ :

$$\hat{h}_c^l = \underset{h_c^l}{\operatorname{argmin}f} \left(\mathbf{z}_c^l - \sum_k a_k (\mathbf{Z}k_l * h_k) \right) \quad (10)$$

2119 where f is an operator for determining the fitting error and it is computed by the fitting regression
 2120 model (e.g., the classical global linear regression model, RF regression, etc.). Each coarse band is
 2121 assigned a distinctive optimal PSF h_k , which varies for the several coarse resolution bands.

2122 **4.3.3 Urban socioeconomic applications**

2123 A major application of NTL imagery is its use as a proxy measure with which to study
 2124 socioeconomic disparities. Here, we use the sharpened NTL imagery as a proxy for such
 2125 phenomena to illustrate the benefits of our proposed approach to downscaling NTL data.

2126 Previous research has already highlighted the superiority of the downscaled NTL compared to
 2127 coarse scale counterparts, to some extent (Tziokas et al., 2023). In this study, to further highlight
 2128 the benefits of the geostatistical model, RFATPRK, we conducted experiments using two
 2129 socioeconomic variables. These were (1) the Human Development Index (HDI) and (2) income,
 2130 measured in \$1,000. More specifically, a linear regression model was fitted using socioeconomic
 2131 variables and the (downscaled with and without a PSF) NTL data, and their coefficients of
 2132 determination (R^2) were compared. In this section, the entire megacities were utilized, and the
 2133 downscaling parameters were extracted from the model of the smaller regions, due to the fact that
 2134 the smaller regions incorporate a mix of land uses.

2135 Yearly data for the two indices for both megacities were acquired from the Global Data Lab website
 2136 (“Global Data Lab - Innovative Instruments for Turning Data into Knowledge,” n.d.), accessed
 2137 September 10, 2023). Then, using the LC data and the built-up class as a mask, we removed the
 2138 non-built-up areas from the NTL images. The reason for doing so is that the exclusion of non-
 2139 residential areas can increase the accuracy of predicting of socioeconomic variables (Pérez-Sindín
 2140 et al., 2021).

2141 **4.4 Results**

2142 **4.4.1 Significance of the selected variables for the RF regression**

2143 Table 4-2 shows the variable importance derived from RF in two megacities. It is important to note
 2144 that while this importance score provides a relative evaluation of the contributions of the input
 2145 variables, it is not the same as the correlation coefficient. Different covariates were used for the
 2146 two megacities, with some similarities.

2147 Table 4-2: RF regression variable importance scores across all megacities. IMP (Imperviousness),
 2148 MNDWI (Modified Normalized Difference Water Index), BRBA (Band Ratio for Built-up Area),
 2149 BAEI (Built-up Area Extraction Index), AVG AREA (Average Area), POP (Population Count),
 2150 AGBH (Average Global Building Height), GNDVI (Green Normalized Difference Vegetation
 2151 Index), DSM (Digital Surface Model), GHS (Global Human Settlement), TIRS (Thermal Infrared).

City	Covariates							
LA	IMP	MNDWI	BRBA	BAEI	AVG AREA	POP		
	652.43	405.86	236.47	195.87	148.61	82.00		
New Delhi	AGBH	POP	BRBA	HFP	GNDVI	DSM	GHS	TIRS
	157.97	139.06	138.07	104.78	101.96	81.84	73.11	46.18

2152
 2153 Across both city-specific models, built-up indices exhibit the highest variable importance scores,
 2154 with the exception of MNDWI, indicating that built-environment predictors account for a

2155 substantial share of NTL variability within each model. This pattern is observed consistently in
2156 both Los Angeles and New Delhi.

2157 In the LA model, population (POP) has the lowest importance score (82.00) among the predictors,
2158 while MNDWI and built-up indices (e.g., BRBA and BAEI) dominate the ranking. In the New
2159 Delhi model, average building height (AGBH) ranks as the most influential covariate, followed by
2160 built-up indices and additional predictors including HFP, GNDVI, DSM, GHS, and TIRS.
2161 Compared to LA, a broader set of variables contributes to NTL variation in the New Delhi model.
2162 Variable importance scores differ between the two city-specific models; however, these scores are
2163 reported for each model independently. The models were estimated separately, employ different
2164 sets of predictors, and use different population datasets. Accordingly, the reported importance
2165 rankings represent within-model, city-specific results rather than statistically tested differences
2166 between cities.

2167 Because variable importance values depend on the composition and inter-correlation of input
2168 variables, the rankings should be interpreted as relative measures within each model.

2169 **4.4.2 Validation of the PSF approximation**

2170 The proposed technique was used to fuse monthly NTL composites from 2015 to 2020. The goal
2171 of this experiment was to fuse the fine resolution 130 m covariates with the monthly NTL images
2172 to downscale NTL to 130 m. For the NTL, the Gaussian PSF was used.

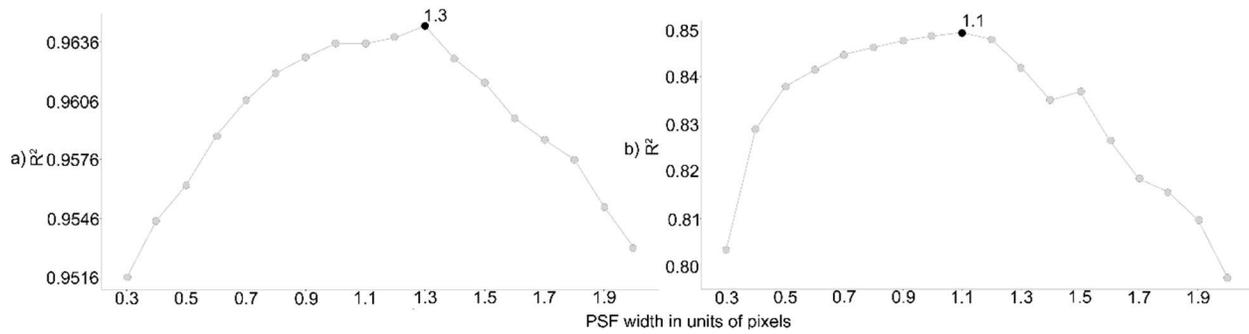
2173 LA and New Delhi served as the research locations for validating the suggested geostatistical filter-
2174 based image enhancement technique. With this design, that is, two megacities located in different
2175 geographic regions with different climates, as well as different numbers of images used for creation
2176 of the monthly composite, it is possible to explore the different effects that these parameters (i.e.,
2177 climate and number of images) have on the capability of the proposed method. The minimum
2178 number of clear pixels per monthly composite pixel was at least seven.

2179 The RF regression model was evaluated quantitatively using the R^2 , and the RFATPK-based
2180 downscaling strategy was evaluated using the root-mean-squared error (RMSE).

2181 **4.4.3 RF regression**

2182 Based on Wang et al. (2020), the Gaussian filter's ideal σ (standard deviation) is the one that
2183 produces the largest R^2 in the (RF) regression. The results for the cities of New Delhi and LA in
2184 2018 as an example year are listed below.

2185 Figure 4-3 illustrates the R^2 of the RF model plotted against PSF size (i.e., σ) for the megacities
2186 of New Delhi (plot a) and LA (plot b), respectively. RF could explain more than 96% of the
2187 variation in NTL intensity for New Delhi and more than 85% for LA.



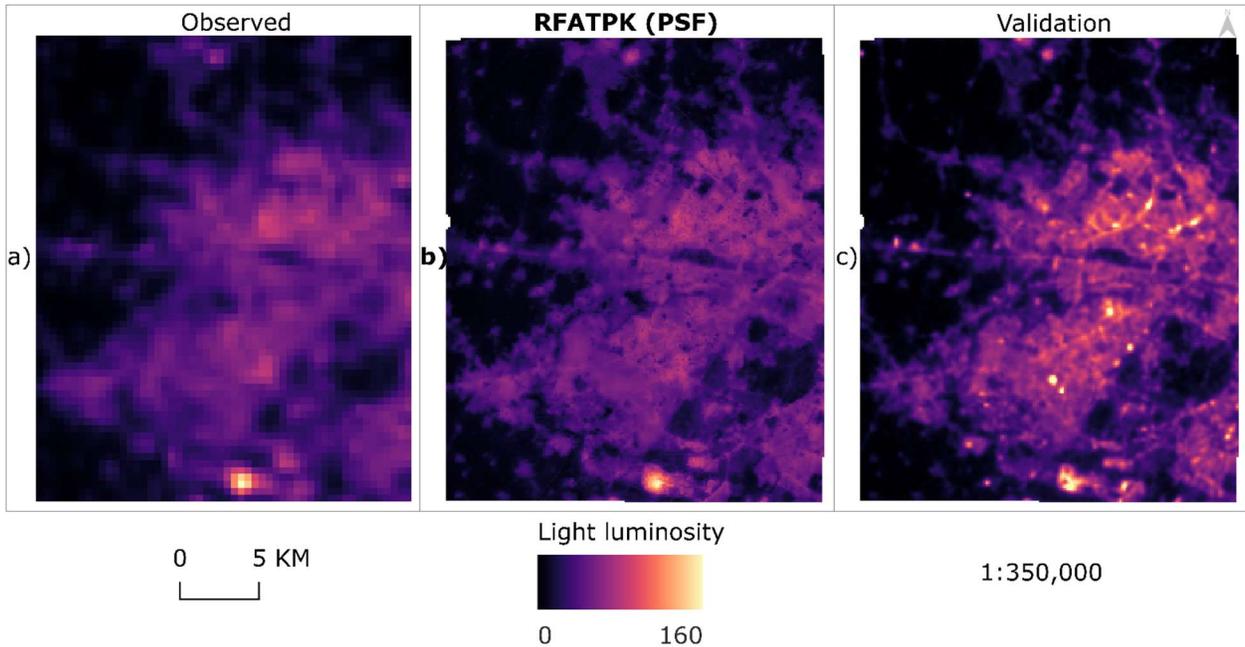
2188

2189 Figure 4-3: Plot of the RF R^2 (y-axis) against PSF width (x-axis). The PSF width that yielded the
 2190 largest R^2 is plotted in black. a) R^2 for New Delhi, b) R^2 for LA.

2191 The optimal parameter maximizing the R^2 was selected from the pool composed of 17 values (0.3,
 2192 0.4, ..., and 2 coarse pixels). For LA, the largest R^2 value in the RF regression model was $\sigma = 1.1$
 2193 while for New Delhi it was $\sigma = 1.3$. The results indicate that after a certain value (1.1 and 1.3
 2194 coarse pixels) the larger the width of the PSF the smaller the R^2 . The results are comparable to the
 2195 work of Wang et al. (2020) where they downscaled day-time satellite sensor imagery and found
 2196 similar behavior.

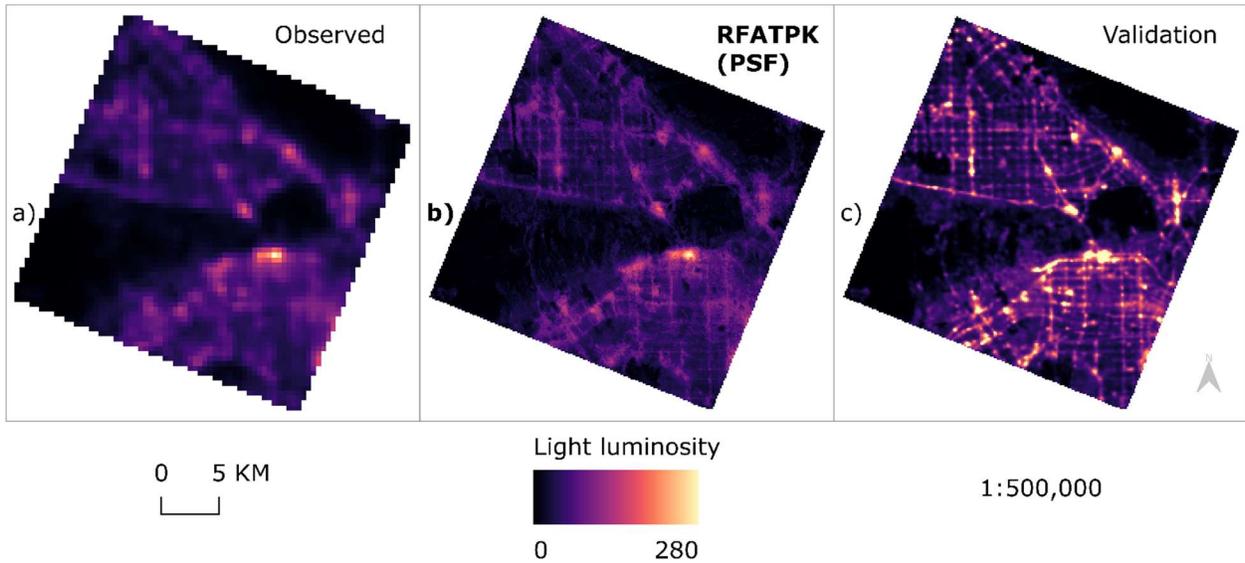
2197 **4.4.4 RFATPK results**

2198 Luojia NTL data were used to assess the PSF parameter estimation. The assessment was carried
 2199 out using a two-step process. The preservation of spatial patterns in the downscaled NTL, the
 2200 validation data (i.e., Luojia's NTL), and the original coarse resolution NTL data were first visually
 2201 inspected and compared. After that, the downscaled NTL data were subjected to a Gaussian filter
 2202 (with σ varying from 0.4 to 2), and the RMSE was computed using the validation data. This was
 2203 done because, despite the validation data being regarded as "true" data (i.e., perfect data without
 2204 errors) the measurement PSF effect also has a significant impact on Luojia's images (Bu et al.,
 2205 2019). Figure 4-4 and Figure 4-5 for New Delhi and LA, respectively, demonstrate this, where it
 2206 can be seen that the downscaled NTL images are clearly sharper than the Luojia reference images.



2207

2208 Figure 4-4: Downscaling results of the NTL imagery (New Delhi) using the selected PSF width
 2209 (1.3 coarse pixel size). a) is the reference NTL image at the coarse spatial scale, b) is the
 2210 downscaled NTL image, c) is the validation image, Luojia. **Bold** shows the proposed method.



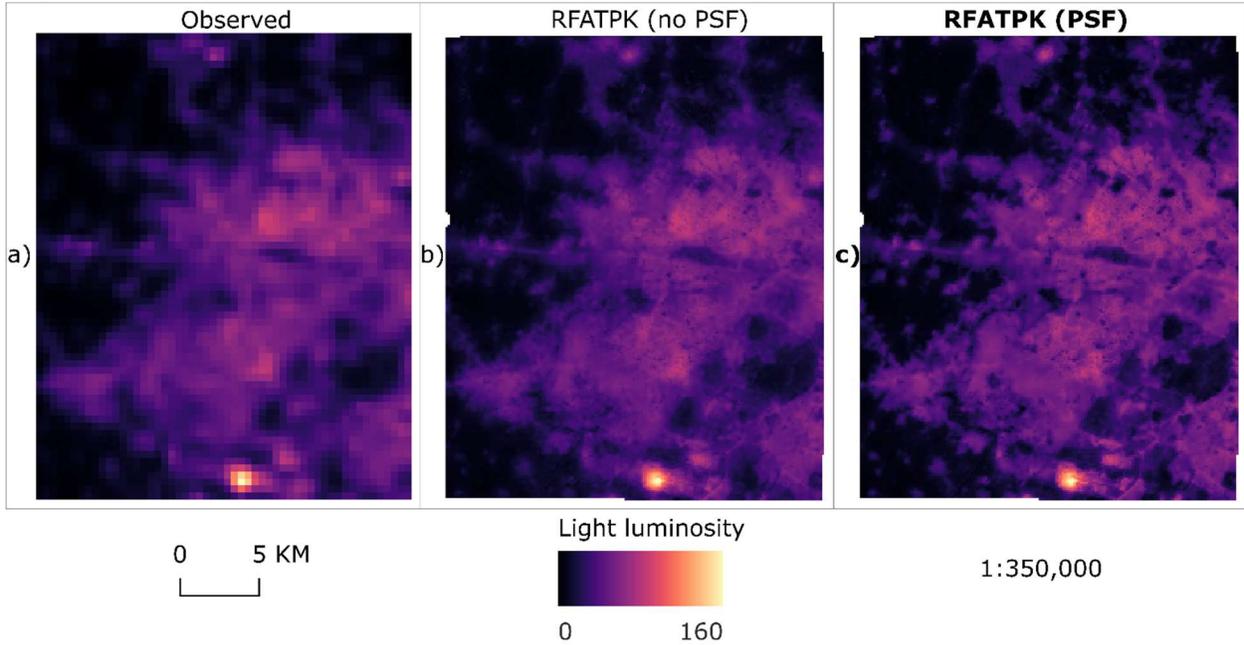
2211

2212 Figure 4-5: Downscaling results of the NTL imagery (LA) using the selected PSF width (1.1 coarse
 2213 pixel size). a) is the reference NTL image at the coarse spatial scale, b) is the downscaled NTL
 2214 image, c) is the validation image, Luojia. **Bold** shows the proposed method.

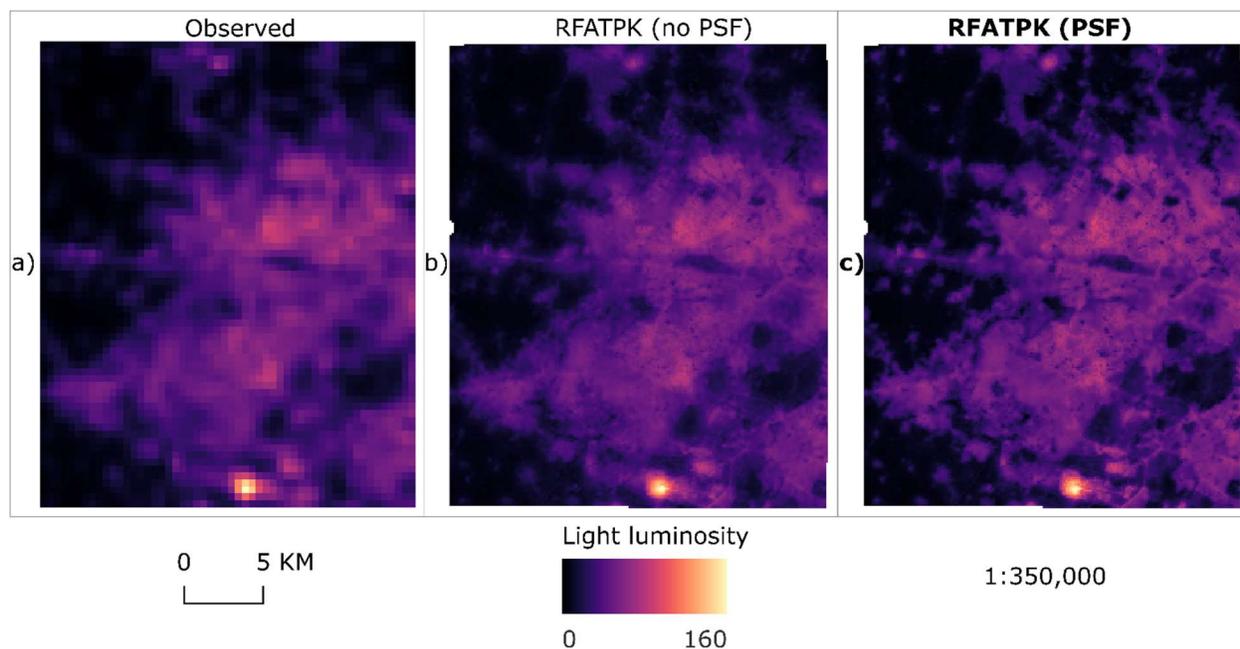
2215 From Figure 4-4b and Figure 4-5b, the downscaling results can be compared to the reference NTL
 2216 (a) and the validation NTL (c). In both megacities the predicted NTL captures the spatial variability
 2217 of brightness reasonably well, and visually the results are more comparable to the validation NTL.

2218 Moreover, the spatial structure of the study sites (e.g., road network) is well defined in the
 2219 downscaled images and is even more obvious in the maps (b), compared to the validation data.

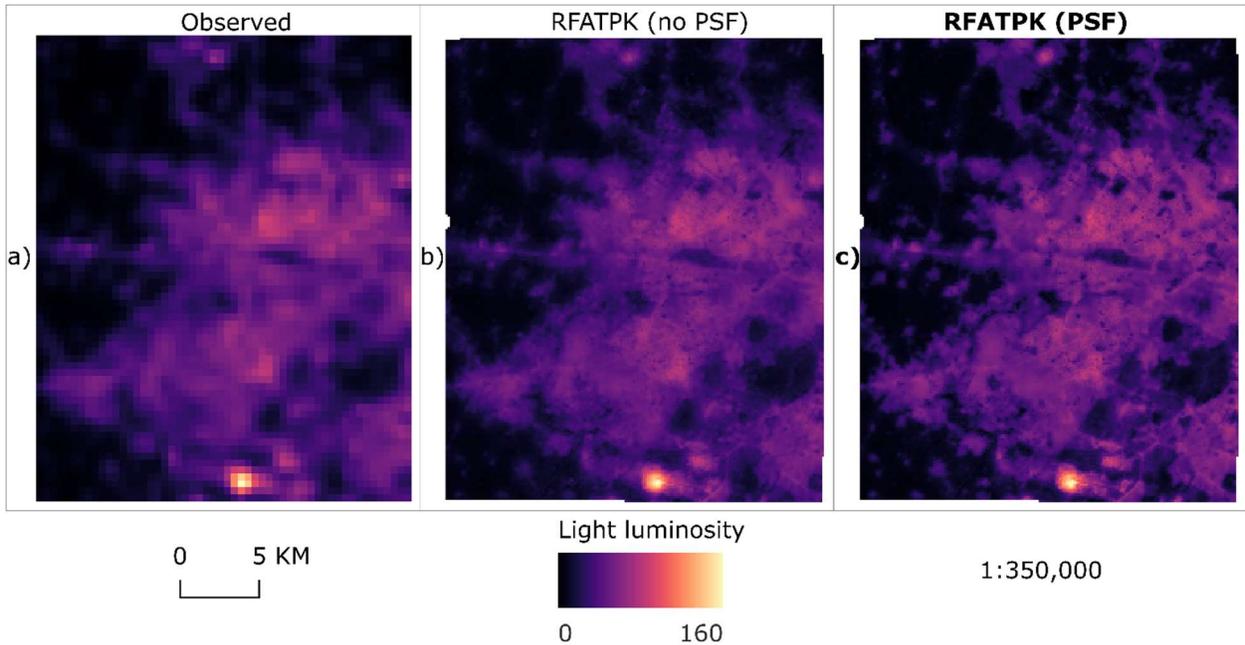
2220 A highlight is the areas with high light intensity. For both megacities these areas represent the
 2221 airport: for New Delhi this is located in the south part of the image (Figure 4-4 and



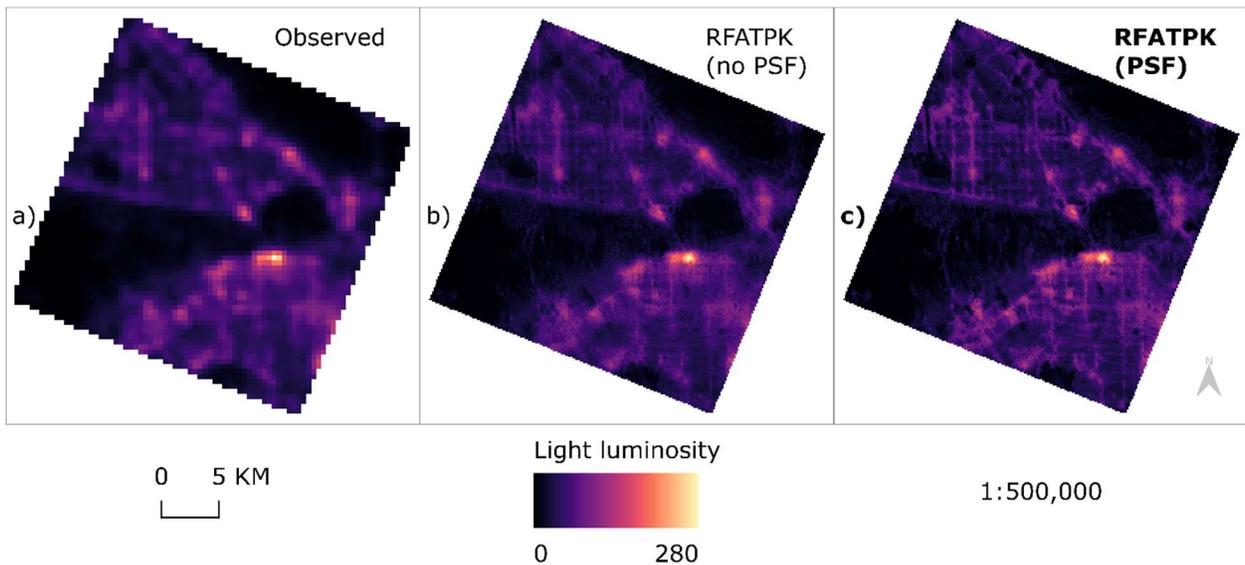
2222 Figure 4-6, (a)), while for LA the airport is in the west of the image (Figure 4-5 and Figure 4-7,
 2223 (a)). The other bright spots in LA are dense road networks. In the downscaled images (maps (b) in
 2224 Figure 4-5 and Figure 4-7) the bright spots were maintained, but their difference in brightness
 2225 compared to other land uses is not as obvious as in the Luojia images. The results indicate that
 2226 over complex terrains (i.e., different land uses) RF struggles to model the differences in brightness.
 2227 The results are in line with other research downscaling land surface temperature data where similar
 2228 behavior was observed (Ebrahimi et al., 2021).
 2229



2230
2231 Figure 4-6 and Figure 4-7 illustrate the differences between the downscaled NTL images without
2232 accounting for the PSF and with the PSF for New Delhi (Figure 4-6) and LA (Figure 4-7),
2233 respectively.



2234
2235 Figure 4-6: Downscaling results for the 130 m NTL image for New Delhi. (a) 430 m coarse image.
2236 (b) RFATPK with PSF. (c) RFATPK without PSF. **Bold** shows the proposed method.



2237
2238 Figure 4-7: Downscaling results for the 130 m NTL image for LA. (a) 430 m coarse image. (b)
2239 RFATPK with PSF. (c) RFATPK without PSF. **Bold** shows the proposed method.

2240 Predictions made with the PSF considered are visually much clearer than those made without it
2241 and shows more spatial information (such as the road network). Additionally, there is a greater
2242 contrast between parts that are lit and those that are not, indicating that the blooming effect has
2243 been lessened by taking the PSF into account.

2244 Moreover, we provided the results without ATPK-based residual downscaling to further validate
2245 the effectiveness of RFATPK. The validation was done using Luojia images as reference.

2246 Table 4-3: Comparison of the RFATPK and RF (without ATPK-based residual downscaling)
 2247 methods using a Luojia image as reference. **Bold** indicates the best model.

Megacity	Method	Metric		Slope
		R^2	RMSE	
New Delhi	RFATPK	0.94	9.92	1.42
	RF	0.83	15.57	1.38
LA	RFATPK	0.80	42.18	1.87
	RF	0.70	50.26	1.81

2248

2249 As shown in Table 4-3, the predictions of both mega-regions are more accurate when considering
 2250 ATPK-based residual downscaling in terms of R^2 and RMSE. More specifically, the R^2 for New
 2251 Delhi and LA was 0.94 and 0.80 for the RFATPK method, whereas the RF method was 0.83 and
 2252 0.70, respectively. For the city of LA, the R^2 difference was 0.1, and the RFATPK produced more
 2253 accurate predictions. The same trend was observed for the RMSE, low for the RFATPK method in
 2254 both areas. Additionally, the slope values for RFATPK were 1.42 and 1.87, compared to 1.38 and
 2255 1.81 for the RF model in New Delhi and LA. Such difference demonstrates that the RFATPK is
 2256 more accurate than RF when downscaling NTL.

2257 4.4.5 Quantitative validation

2258 For quantitative comparison of the downscaled NTL with the transformation PSF (denoted as
 2259 RFTP_U), we used the predictions proposed in our method (i.e., the ones presented in Figure 4-4
 2260 and Figure 4-5 (b)) and the predictions without accounting for the PSF (denoted as RFTP_D).
 2261 Moreover, we downscaled the NTL using ATPR_D and an allocation of pixel values of the coarse
 2262 resolution NTL to a grid of 130 m (called Allocation-based downscaling). Also, recent studies have
 2263 proposed methods for downscaling NTL data, such as Geographically Weighted Regression
 2264 (GWR) (Ye et al., 2021) and Multiscale Geographically Weighted Regression (MGWR) (Wu et
 2265 al., 2022). To further highlight the effectiveness of the proposed method, we included the above
 2266 approaches in comparison with RFATPK. For the ATPR_K, GWATPK and MGWATPK, we
 2267 removed predictors which had a Variance Inflation Factor greater than 10 since those methods are
 2268 sensitive to multi-collinearity (Zhong et al., 2024). Luojia's NTL is used as the validation dataset
 2269 and the results are presented in Table 4-4.

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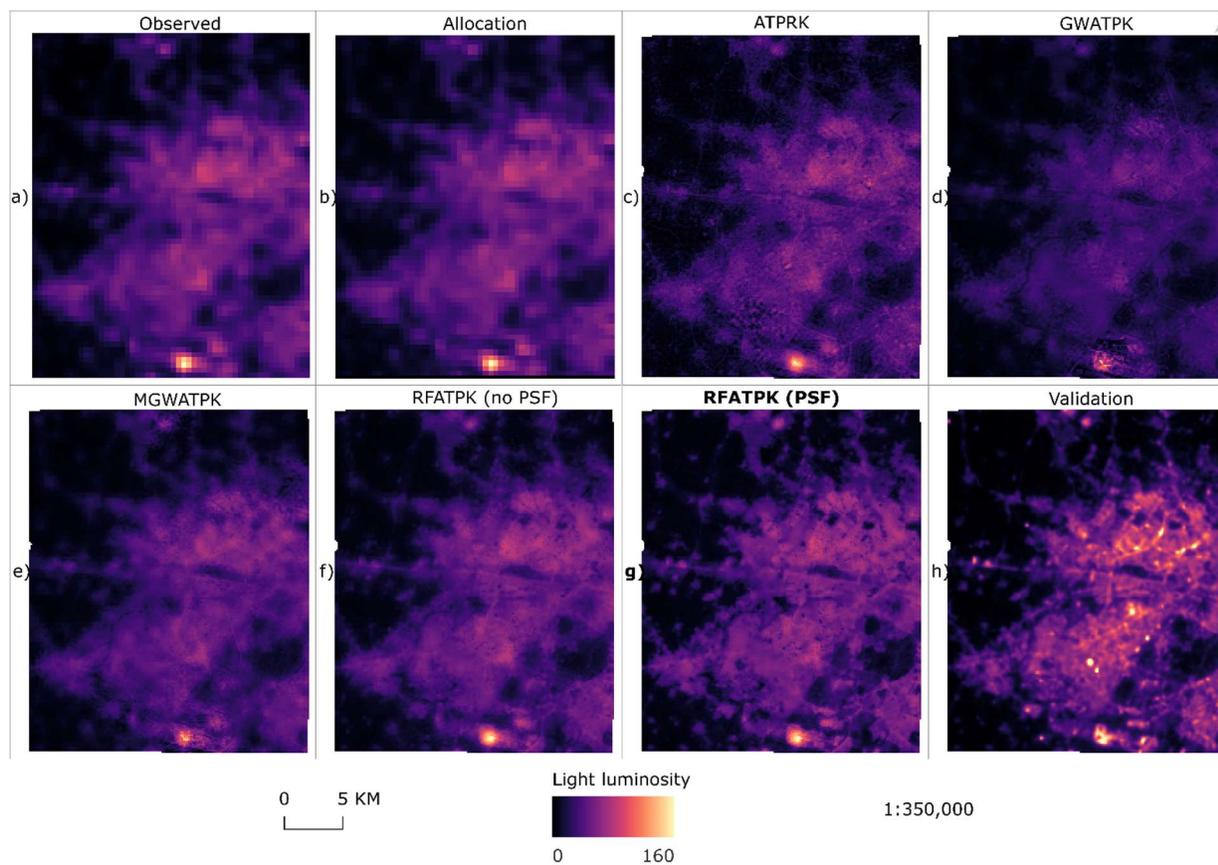
2277 Table 4-4: Quantitative comparison (in terms of R^2 and RMSE) of the downscaling results for
 2278 the NTL images for the two megacities. In **bold** are the results with the largest R^2 and smallest
 2279 RMSE.

Megacity	Method	Index	
		R^2	RMSE
New Delhi	RFATPK _D	0.9281	10.4564
	RFATPK_U	0.9355	9.9216
	ATPRK _D	0.8955	13.8664
	GWR	0.8981	13.7053
	MGWR	0.9091	11.7018
	Allocation	0.9002	13.5680
LA	RFATPK _D	0.7988	42.2587
	RFATPK_U	0.7997	42.1826
	ATPRK _D	0.7723	44.6239
	GWR	0.7711	44.7264
	MGWR	0.7832	43.6784
	Allocation	0.7744	44.4478

2280

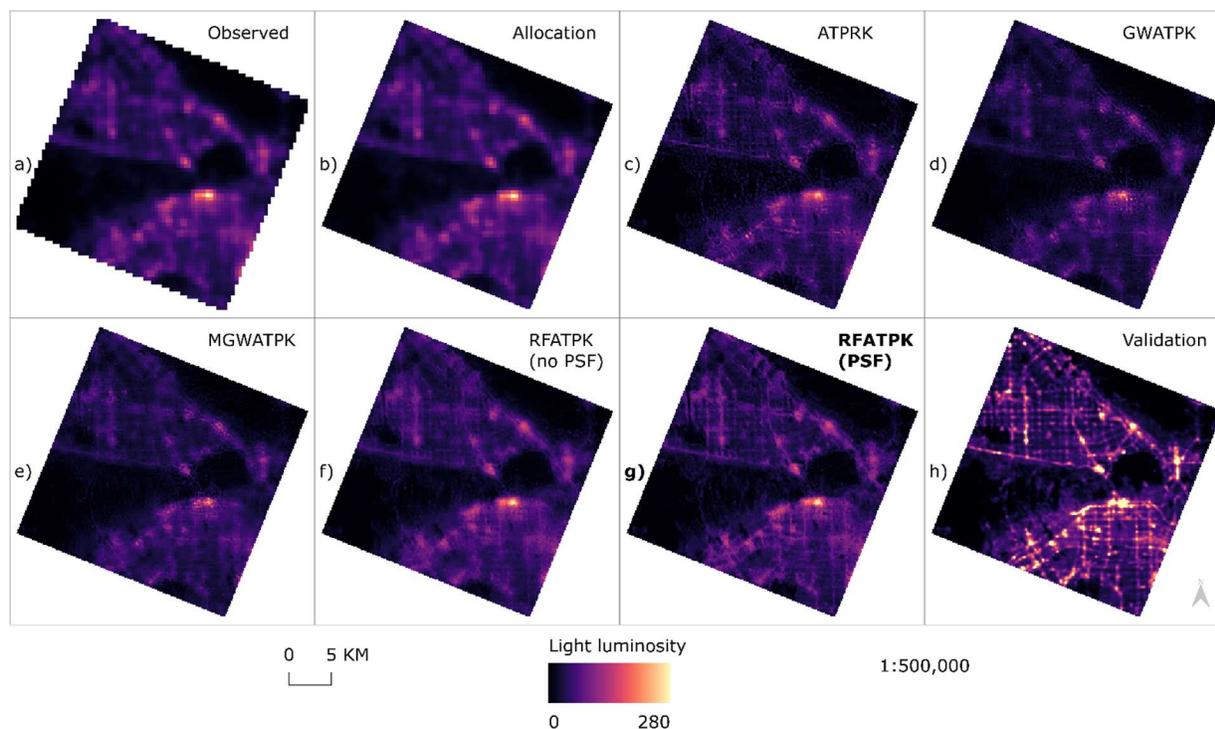
2281 Based on Table 4-4, for the megacity of New Delhi, it can be observed that the highest R^2 and
 2282 lowest RMSE was achieved when using the proposed approach (RFATPK_U). 0.9355 and 9.9216
 2283 were the values for R^2 and RMSE, respectively. For LA, again, RFATPK_U achieved the best results,
 2284 with 0.7997 and 42.1825 for the R^2 and RMSE. Apart from the quantitative comparison a visual
 2285 one was considered. Moreover, the Allocation-based downscaling performed better than ATPRK
 2286 in LA, but RFATPK (with and without the PSF) outperformed the other methods in both
 2287 megaregions. This is because the study sites there are multiple land cover/uses (e.g., airports, water
 2288 bodies, vegetation), thus, a global regression model, such as the linear regression model in
 2289 ATPRK_D, cannot capture the local spatial variability adequately. RF on the other hand, as a local
 2290 model, can model the variations.

2291 The maps below depict a visual comparison of the downscaling approaches for New Delhi (Figure
 2292 4-8) and LA (Figure 4-9).



2293

2294 Figure 4-8: Downscaling results for the various downscaling approaches for the subregion of New
 2295 Delhi's megacity. a) Observed NTL data, b) Allocation-based downscaling, c) ATPRK, d)
 2296 GWATPK, e) MGWATPK, f) RFATPK (no PSF), **g) RFATPK (PSF)**, h) validation NTL data
 2297 (Luojia). With **bold**, the proposed method.



2298

2299 Figure 4-9: Downscaling results for the various downscaling approaches for the subregion of LA's
 2300 megacity. a) Observed NTL data, b) Allocation-based downscaling, c) ATPRK, d) GWATPK, e)
 2301 MGWATPK, f) RFATPK (no PSF), **g) RFATPK (PSF)**, h) validation NTL data (Luojia). With
 2302 **bold**, the proposed method.

2303 Local spatial differences could be noticed for the ATPK-based-downscaling techniques when
 2304 compared with the validation product, revealed good agreement between the ATPRK and RFATPK
 2305 downscaling results and Luojia for both megacities. In contrast, the patterns of validation data were
 2306 not observed via Allocation-based downscaling. Pattern preservation is crucial since the validation
 2307 data are taken as “true”. For both megaregions, although the ATPRK and RFATPK (with and
 2308 without the PSF) achieved satisfactory visual results, in the disaggregated NTL images using the
 2309 RFATPK method, the spatial pattern appears clearer. ATPRK downscaled nighttime light imagery
 2310 shows some outliers in the image for New Delhi, indicating areas where the model could not
 2311 capture well the relationship between the data. RFATPK (PSF), shows spatial details (e.g., road
 2312 network) clearer compared to the ATPRK and RFATPK (no PSF).

2313 4.4.6 Urban socioeconomic applications

2314 The results of linear regression between the socioeconomic indices, the coarse resolution NTL the
 2315 downscaled NTL data with and without accounting for the PSF are presented in Table 4-5. For
 2316 both megacities the R^2 between the indices and the downscaled NTL is larger than for coarse
 2317 resolution NTL (denoted with the subscript C next to the index).

2318 More precisely, for the megacity of New Delhi, the R^2 between the HDI and the NTL without the
 2319 PSF (denoted with subscript D) was 0.4478 while for the NTL where the blooming effect was
 2320 mitigated (denoted with subscript U) was 0.4383, a difference of 0.0095. This suggests that when

2321 not accounting for the PSF, the estimated human development is slightly higher compared to the
 2322 approach when we proxy the index using the RFATPK_U but the difference is small. The income
 2323 values for New Delhi are very close to each other, indicating that the method of downscaling NTL
 2324 has a minimal, but noticeable, impact on the estimated income levels.

2325 The HDI values for Los Angeles also exhibit a small range, with HDI_U being the highest. This
 2326 implies that accounting for the PSF leads to a slightly higher estimation of human development
 2327 compared to the other methods. For Los Angeles, leads to a higher estimation of income compared
 2328 to the estimation when we use the coarse spatial resolution NTL and is almost identical when we
 2329 use the downscaled NTL with the blooming effect being mitigated.

2330 Table 4-5: R^2 between socioeconomic indices and luminosity (linear regression). In **bold** are the
 2331 results with the largest R^2 . The subscripts C, D, U means coarse resolution NTL, downscaled NTL
 2332 without accounting for the PSF and downscaled NTL with the PSF being mitigated, respectively.

Megacity	Index					
	HDI _U	HDI _D	HDI _C	Income _U	Income _D	Income _C
New Delhi	0.4383	0.4478	0.4358	0.2474	0.2471	0.2465
LA	0.2499	0.2426	0.2240	0.2566	0.2567	0.2455

2333 **4.5 Discussion**

2334 Very few satellite sensor image enhancing techniques take into account how the neighbors
 2335 physically influence the central pixel via the PSF effect. While taking the PSF into consideration,
 2336 the suggested RFATPK method enabled the prediction of NTL at a fine spatial resolution (130 m)
 2337 with highly satisfactory results. The downscaled data generated have a wide range of potential
 2338 applications, including those that rely on image processing for remote sensing. The ability to
 2339 discern the borders more clearly between land cover and land use classes is a significant gain that
 2340 results from filtering, or minimization of the PSF effect. Two techniques of validation were used
 2341 to assess the 130 m downscaled predictions: visual and quantitative.

2342 **4.5.1 Targeting predictors in RF**

2343 Although RF is applicable in high-dimensional settings due to its potential to identify informative
 2344 predictors automatically, our results highlight the need to target a subset of predictors before final
 2345 predictions. This is because the predictive power of the covariates is location dependent and
 2346 different factors contribute to the spatial variation of NTL. An initial targeting step (i.e., variable
 2347 selection) has been added to the RF algorithm, acting as an important complement to the prediction
 2348 itself. Reducing the number of predictors has two benefits. Firstly, as mentioned in Borup et al.
 2349 (2023), RF might fail in situations with high dimensionality, thus, reducing the number of
 2350 predictors while keeping the strong ones only serves as a critical step. Secondly, predictors with
 2351 high prediction power in one city might not be good covariates for another city, thus, with the
 2352 variable selection we exclude the independent variables with poor predictive power from the
 2353 subsequent analysis.

2354 **4.5.2 Downscaled Results**

2355 The 130 m RFATPK predictions for the two experimental regions of New Delhi and LA are shown
2356 in Figure 4-4 and Figure 4-5, respectively. While there are differences overall between the 130 m
2357 predictions and the validation data, the bright areas and NTL-free areas have a similar spatial
2358 structure. Even while the regression model in the RFATPK technique captured local spatial
2359 heterogeneity, it missed certain spatial information, particularly in the NTL extremes, that is the
2360 bright areas. This is because RF cannot model extremes well, especially when the distribution is
2361 heavily skewed (Heuvelink and Webster, 2022). Predictions in such areas with very high brightness
2362 are under-predicted, a phenomenon known as conditional bias (Takoutsing and Heuvelink, 2022).

2363 RFATPK has three significant benefits. First, since it is nonparametric, incorporates prediction
2364 accuracy, and is resilient to noise, overfitting, and outliers, it can handle huge datasets with
2365 associated conditional variables. The built-in variable importance evaluation is the second. Each
2366 variable may be compared to the prediction results, and its significance is assessed by randomly
2367 permuting the variables. Theoretically, RFATPK should be suitable for downscaling and capable
2368 of resolving multivariable and nonlinear problems. Lastly, the scale effect of the residuals NTL
2369 when downscaling the VIIRS NTL was taken into account by the RFATPK.

2370 As shown in Figure 4-4 and Figure 4-5, the road network for LA and the land cover for New Delhi
2371 played a crucial role in discriminating NTL luminosity. The results suggest that the method can be
2372 generalized to other megacities worldwide where the spatial structure of the road network can be
2373 readily obtained either from the fine resolution covariates or the land cover.

2374 **4.5.3 Fitting model for PSF estimation**

2375 The RF model was chosen for estimating the relationship between the coarse and fine bands in Eq.
2376 13. Theoretically, other options like local regression or even spatially weighted regression can be
2377 used to quantify the non-linear relationship. When the research area is broad and the data gathering
2378 conditions (e.g., viewing angle) vary locally or regionally, these models could be more beneficial
2379 since the effective PSF becomes location-dependent (Wang et al., 2020). In this instance, an object-
2380 or pixel-based estimation of the PSF is possible. However, this spatially adaptive technique could
2381 be highly costly to compute, particularly if the research region is very large. In accordance with
2382 user needs, the computational burden and PSF estimation accuracy must be suitably balanced.

2383 **4.5.4 Comparison of the accuracy of the predictions with other methods**

2384 The performance of the RFATPK approach was compared with RF, GWATPK, MGWATPK,
2385 ATPRK, and Allocation of pixel values in two different geographic regions. Despite the simplicity
2386 of all models, GWATPK and MGWATPK require much more computational time compared to the
2387 rest of the methods, with the Allocation of pixel values being the fastest. This is because GWR and
2388 MGWR fit a separate regression model at each location. ATPRK, although computationally is fast,
2389 when the data exhibit local variations, such as the NTL, the global model cannot capture the
2390 changes in brightness in the study areas. In addition, GWATPK, MGWATPK and ATPRK cannot
2391 handle multicollinearity (Zhong et al., 2024). Allocation of pixel values, being the simplest form
2392 of downscaling, cannot increase the information content at fine spatial scale.

2393 RF (without residuals downscaling) is a non-spatial model, and it ignores the geographical
2394 locations of the measurements. This can limit the prediction accuracy of the model. Another
2395 disadvantage of the RF approach is that the high spatial resolution predictions are not consistent
2396 with the observed coarse resolution NTL, because there is no constraint in the RF regression that
2397 ensures that the process is ideal (i.e., the model fully explains the NTL variability).

2398 RFATPK, inherent the advantages of RF (i.e., can deal with large datasets, can handle
2399 multicollinearity, extreme values, and has good predictive power), and the advantages of ATPK
2400 (treats each observed data as a centroid by taking account of the spatially surrounding coarse pixels
2401 and size of support, holds the key superiority of coherence between the observed data and the
2402 predicted data, and does not require much computational time) (Yihang Zhang et al., 2021).

2403 **4.5.5 Describing the NTL blooming effect**

2404 This research presented a geostatistical solution (RFATPK) that can be used to address the PSF
2405 effect on NTL images. There is always a difference in PSF between bands and sensors. To ensure
2406 the effectiveness of the geostatistical solutions, the PSF must be characterized precisely. Since the
2407 majority of the research currently published claims that the Gaussian filter approximates the true
2408 PSF well, we assumed this filter for PSF estimation (Cao et al., 2019; Zheng et al., 2020). However,
2409 in practice, the true PSF can be more complicated than the Gaussian filter. The general strategy
2410 presented in this paper can be applied to other scenarios with different PSFs, as the implementation
2411 of RFATPK is not affected by the particular form of PSF (Wang et al., 2020).

2412 It would be intriguing to examine various PSFs for both the along-track and across-track
2413 orientations (Wang et al., 2020). Additionally, hierarchical models may be considered to
2414 characterize the PSF. The PSF, for example, may consist of a combination of several filters, such
2415 as the triangular and Gaussian filters, or other filters (such as the ideal square wave filter).
2416 However, it should be noted that when downscaling, what matters is not the PSF of the original
2417 measurements (that is, the path from a point on the ground to a pixel in the image), but rather the
2418 transformation PSF between the original coarse and target fine spatial resolution (Wang et al.,
2419 2020). This implies that PSF characterization and estimation depend on the quality of the observed
2420 coarse and fine bands as well as the sensor; hence, estimation must be done case-by-case. The
2421 extent to which prospective alternatives, including nested models, may improve PSF
2422 characterization reliability, and how well they can generalize to handle data from other sensors, is
2423 unclear.

2424 A small discrepancy was observed between the optimal R^2 in the regression among the megacities.
2425 This difference can be explained by the different atmospheric conditions and the number of images
2426 involved in the monthly composite. As mention by Bu et al. (2019), among the contributors to the
2427 PSF are atmospheric effects and the atmospheric conditions of the particular month (March for
2428 New Delhi, February for LA). In this research, the focus was the applicability of the method in
2429 general, that is, to mitigate the blooming effect during downscaling, and it should be noted that
2430 daily images (i.e., not a composite) should be used, where available, to model the *ideal* PSF of the
2431 NTL imagery. As stated in Section 2.2, we did not use the daily NTL product here because of the
2432 lack of pixels in the study regions due to cloud cover or other quality parameters. In future, we

2433 will utilize the daily NTL product from Black Marble (VNP46A2) to investigate the true
 2434 measurement PSF of a range of NTL sensors.

2435 **4.5.6 Computational cost**

2436 The PSF effect causes a pixel's signal to be tainted by its surrounding pixels. More neighboring
 2437 pixels are involved when taking the PSF effect into account while downscaling, than when not
 2438 accounting for the PSF. This is accomplished for ATPK-based solutions using the semivariogram
 2439 modeling procedure. As seen by Eqs. 8 and 9, convolution considers additional fine pixels from
 2440 neighbors within the spatial coverage of the PSF, as opposed to standard ATPK, which considers
 2441 only the center coarse pixel (i.e., without the PSF). The cost of computing will increase as more
 2442 pixels are considered. The computing time for the two NTL images is displayed in Table 4-6.

2443 Table 4-6: Processing time of RFATPK for the NTL images for the two megacities. VS stands for
 2444 Variable Selection.

Megacity	Method	Time (minutes)	VS (minutes)
New Delhi	ATPK _U	6.45	0.36
	ATPK _D	6.27	
LA	ATPK _U	25.45	1.16
	ATPK _D	24.46	

2445
 2446 The computation time increases from around 28 seconds for New Delhi and 1 minute for LA when
 2447 the PSF is considered. This shows that, to provide more accurate downscaling forecasts, computing
 2448 performance is in fact compromised when taking the PSF effect into account; yet the overall
 2449 processing cost (a few seconds) may be considered acceptable. Because of the high level of
 2450 parallelization of the R (R Core Team, 2025) package *atakrig* (Hu and Huang, 2020) and the
 2451 coarser spatial resolution of NTL compared to the satellite sensor images, these findings differ
 2452 from those of Wang et al. (2020). Here, fewer pixels cover the research area(s) compared to the
 2453 data utilized by Wang et al. (2020) (10 m pixel size).

2454 Moreover, the variable selection step, although adds more computational cost to the analysis (1
 2455 minute and 16 seconds for LA and 36 seconds for New Delhi), is a valuable step when there are a
 2456 lot of predictors and, potentially, if include them all could reduce ability of RF model for prediction
 2457 due to the use of weak predictors.

2458 **4.5.7 Ambiguities in spatial downscaling**

2459 Covariates must resemble the brightness in the study region in some way (such as similar spatial
 2460 structure) to be useful to aid in downscaling. We purposefully avoided additional types of
 2461 covariates (such as land use data) in this study where the relation with NTL could not be
 2462 hypothesized explicitly, even though they may have increased the accuracy of downscaling in some
 2463 cases. We may consider such data in the future because other authors have claimed to be able to
 2464 enhance regression prediction accuracy (Chen et al., 2021).

2465 As mentioned previously, RFATPK tends to underpredict regions with extremely high values. It
 2466 was observed that downscaled NTL was biased in the highest brightness ranges. The difficulty of

2467 the RFATPK-based downscaling technique to replicate extremely high value light intensity is
2468 probably due to a lack of training data in these brightness ranges, which prevents the RF regression
2469 model from being properly calibrated. Extreme gradient boosting, or a different local model like
2470 the spatially weighted random forest, or a subset of machine learning known as deep learning (DL),
2471 might all be potential solutions for this specific problem. To the best of our knowledge, Wang et
2472 al. (2022) is the only example of downscaling NTL imaging using DL. Nevertheless, these methods
2473 are often computationally costly and need many training points. Although DL techniques have
2474 limitations, they are a viable option for modeling non-linear connections and should be considered
2475 in further research.

2476 **4.5.8 Urban socioeconomic applications**

2477 Effective criteria must be established to evaluate the differences in socioeconomic development
2478 between megacities and track advancement towards the global sustainable development goals. The
2479 potential utilization of fine resolution NTL data, which has not been employed widely in related
2480 studies, is enhanced by our findings and methodology. The experiments undertaken here
2481 demonstrated that downscaled NTL data represents a suitable proxy for measuring socioeconomic
2482 differences within megacities. The downscaled NTL data, which accounted for the PSF, reduced
2483 the blooming effect inherent in NTL data and increased the ability to represent socioeconomic
2484 variables relative to not accounting for the PSF. This means that NTL imagery at a fine resolution
2485 produced through the geostatistical approach for sharpening offers a valuable resource for
2486 socioeconomic applications, and an alternative to classical methods of measuring human activities.

2487 The small correlation between the socioeconomic indices and the NTL (both at the coarse and fine
2488 spatial scales) was due to the fact that few observations (6) were used in the linear model. This
2489 small sample size limits the statistical power and the generalizability of the results, meaning that
2490 the findings should be interpreted with caution. Despite that, the results indicate that the
2491 disaggregated nighttime light data offers a better proxy than their coarse-resolution counterparts.

2492 **4.6 Conclusion**

2493 Due to their accessibility and usefulness as proxies, nighttime illumination data are utilized
2494 frequently in socioeconomic research. However, their spatial resolution is too coarse for many
2495 socioeconomic applications, and they are prone to the blooming effect which reduces even further
2496 the variation in brightness across pixels within cities and, thus, ultimately reduces the utility of the
2497 NTL data. Methods for downscaling remotely sensed images offer a possible solution to the former
2498 problem, but until now, the blooming effect in NTL data due to the PSF has not been addressed
2499 when downscaling NTL data. To improve downscaling in the multivariate case and account for the
2500 PSF impact while downscaling NTL, RFATPK, a geostatistical-based method, was applied in this
2501 research. The experimental findings showed that taking the PSF into account when downscaling
2502 generates more accurate predictions at the fine resolution. This benefit was shown to propagate
2503 forward to when evaluating social and economic phenomena at the urban scale using the
2504 downscaled NTL images.

2505 **Chapter 5 Uneven urban resilience across economic sectors revealed**
2506 **by satellite nighttime lights³**

³ This chapter is based on the *under-review* paper: Tziokas, N., Zhang, C., Tziokas, A., Atkinson, P.M., 2026, Uneven urban resilience across economic sectors revealed by satellite nighttime lights. *Nature Cities*

2507 **Abstract**

2508 Urban resilience is critical in the context of global disruptions such as the COVID-19 pandemic,
2509 yet recovery processes are rarely uniform across urban economies. How resilience varies across
2510 economic sectors and geographical contexts, and the extent to which it is structured by business
2511 essentiality, remains poorly understood at the global scale. Here, we use the COVID-19 lockdowns
2512 as a natural experiment and analyze monthly satellite-derived nighttime light trajectories for 98
2513 city–sector combinations across 48 global cities between 2018 and 2023. We identify four
2514 archetypal sectoral trajectories that capture distinct resilience responses and reveal two dominant
2515 dimensions structuring recovery outcomes: economic essentiality and sectoral structure.
2516 Resilience is strongly polarized by essentiality, with resilient trajectories concentrated in essential
2517 economic sectors, while chronic decline is disproportionately associated with non-essential
2518 sectors, particularly in Europe. A pronounced geographic divergence emerges, as cities in Latin
2519 America and Asia consistently exhibit resilient or full recovery trajectories, in contrast to
2520 widespread and persistent sectoral decline across European cities. These findings show that urban
2521 resilience is neither uniform nor city-wide, but instead emerges from geographically contingent,
2522 sector-specific dynamics strongly conditioned by essentiality. Our findings call for a rethinking of
2523 urban resilience frameworks, moving beyond city-level aggregates toward sector-sensitive
2524 recovery strategies in an era of increasingly uneven urban transitions.

2525 **5.1 Introduction**

2526 Cities are often treated as cohesive systems when confronted with disruption, with recovery
2527 assessed through aggregate indicators at the city or regional level (J. Liu et al., 2024; Y. Zhang et
2528 al., 2024a). Yet major shocks rarely affect urban economies uniformly. As the immediate crisis
2529 receded following the COVID-19 pandemic, some cities rebounded quickly, while others
2530 experienced prolonged stagnation or decline (Liu et al., 2023; Yoruk et al., 2023; Lowe et al.,
2531 2024). The pandemic made these uneven recoveries observable at an unprecedented global scale
2532 (Bourdin and Levratto, 2024; Rao et al., 2024; Sun et al., 2024). These geographic disparities pose
2533 a central challenge for urban resilience research: whether cities recover as integrated wholes, or as
2534 assemblages of economic sectors with fundamentally different capacities to absorb and respond to
2535 shocks.

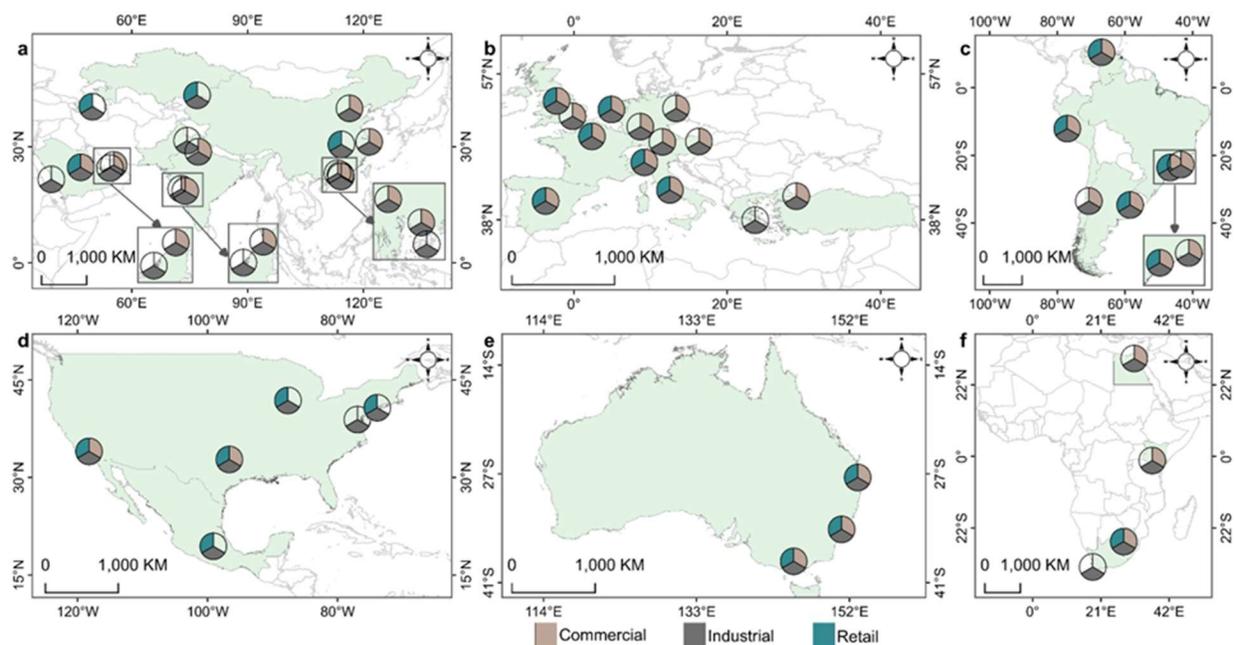
2536 Recovery often fractures along internal economic lines, with different sectors following sharply
2537 divergent trajectories (Liu et al., 2023; Yuan and Hu, 2023; Sánchez and Cuadrado-Roura, 2024).
2538 While prior research has documented substantial variation in post-shock recovery across cities and
2539 regions (Li and Wei, 2023; Menoni et al., 2024; Zhang et al., 2024b), less is known about how
2540 these geographic disparities are produced through sector-specific dynamics within cities. City-
2541 level aggregates can signal stabilization even as some sectors stagnate or decline (Liu et al., 2024),
2542 potentially reinforcing pre-existing inequalities (Wang et al., 2024; Yoneoka et al., 2024; Wu et al.,
2543 2025b). Understanding urban resilience therefore requires moving beyond city-wide indicators to
2544 examine how recovery is distributed within cities.

2545 Economic sectors constitute a critical but underexplored dimension through which urban resilience
2546 is produced and distributed. Ignoring sectoral dynamics risks obscuring structural vulnerabilities
2547 that shape post-shock trajectories and condition long-term recovery. A sectoral perspective is thus

2548 essential for identifying both vulnerable components of urban economies and the structural factors
 2549 that shape recovery. Whether sectoral recovery trajectories exhibit generalizable patterns across
 2550 cities worldwide remains an open question, and the role of sector essentiality in structuring
 2551 resilience outcomes has yet to be examined systematically.

2552 Progress on understanding sectoral resilience at global scales has been limited. Official economic
 2553 statistics are often temporally lagged, spatially coarse, and inconsistent across national contexts
 2554 (Chen and Quan, 2021; Du et al., 2024; Han et al., 2024; Hassankhani et al., 2021; Watmough and
 2555 Marcinko, 2024; Yao et al., 2023). These constraints hinder their ability to capture dynamic, sector-
 2556 specific responses to disruption that shape post-shock recovery (Ma et al., 2023; Z. Wang et al.,
 2557 2024). As a result, empirical analysis of urban economic resilience has remained largely confined
 2558 to aggregated city-level indicators or to narrowly defined case studies, limiting comparative insight
 2559 into sectoral dynamics across diverse urban contexts.

2560 Here, we use the COVID-19 lockdowns as a global disruption to examine resilience across key
 2561 economic sectors within 48 globally distributed cities (Figure 5-1). By tracing post-disruption
 2562 trajectories using monthly fine-resolution nighttime light (NTL) brightness as a proxy for sectoral
 2563 activities, we identify archetypal patterns of recovery that reveal the dimensions distinguishing
 2564 resilient from vulnerable sectors. Specifically, we ask why some urban economic sectors
 2565 consistently recover while others experience persistent decline, and how these trajectories are
 2566 shaped by sectoral function, essentiality, and geographic context. We show that urban resilience is
 2567 neither uniform nor evenly distributed, but instead structured by sector-specific, and regionally
 2568 contingent dynamics, with resilience outcomes strongly polarized by essentiality. These findings
 2569 reposition sectoral structure and essentiality as central to understanding uneven urban resilience
 2570 and its implications for post-shock recovery and global urban inequality.



2571
 2572 Figure 5-1: Study cities and sectoral delineations. Global distribution of the 48 study cities
 2573 included in the analysis of sectoral resilience. Panels a—f show regional views for (a) Asia, (b)

2574 Europe, (c) South America, (d) North America, (e) Australia, and (f) Africa. Each city is
2575 represented by a pie chart indicating the presence of commercial (brown), industrial (dark grey),
2576 and retail (green) sectors; blank slices denote the absence of a given sector in that city. Light green
2577 shading indicates the country of each sector. Insets highlight densely clustered cities to improve
2578 legibility at regional scales. Sectoral boundaries were delineated using OpenStreetMap data
2579 (<https://download.geofabrik.de/>), and continental outlines and national borders were obtained from
2580 World Bank open data (<https://data.worldbank.org/>).

2581 **5.2 Results**

2582 We identify four archetypal resilience trajectories in urban economic sectors following the
2583 COVID-19 lockdowns, defined by characteristic patterns in nighttime light (NTL) brightness
2584 (Appendix Figures A1-A4). These include Chronic Decline, marked by persistent post-lockdown
2585 reductions in NTL; Partial Recovery, characterized by incomplete rebounds that remain below pre-
2586 pandemic levels; Full Recovery, in which NTL returns to baseline conditions; and Resilient
2587 trajectories, where brightness is maintained or increases throughout the disruption period.

2588 Using monthly fine-resolution NTL time-series from 2018 to 2023, we constructed trajectories for
2589 98 city–sector combinations across 48 global cities (Methods; $R^2 = 0.6\text{--}0.7$ across periods;
2590 Appendix Table A-2). These combinations cluster into the four archetypes as follows: Chronic
2591 Decline (32.4%), Partial Recovery (13.3%), Full Recovery (22.9%), and Resilient (31.4%).
2592 Overall, only 54.3% of sectors achieve either sustained resilience or complete recovery by the end
2593 of the study period, indicating that prolonged vulnerability is a common outcome. The distribution
2594 of these trajectories reveals pronounced geographic differentiation across global urban systems.

2595 **5.2.1 Geographic divergence in sectoral resilience**

2596 Sectoral resilience patterns vary systematically across regions. European cities display widespread
2597 Chronic Decline across the commercial, industrial, and retail sectors, indicating broad-based and
2598 persistent post-pandemic contraction. In contrast, Latin American cities consistently exhibit
2599 Resilient trajectories across all sectors, while North American cities show greater sector-dependent
2600 variability. Cities in China and India achieve predominantly Resilient or Full Recovery outcomes,
2601 whereas Gulf cities present mixed trajectories across economic activities.

2602 The above geographic contrasts are evident in the spatial distribution of recovery archetypes across
2603 the commercial, industrial, and retail sectors (Figure 5-2a–c) and are reinforced by continent-level
2604 net changes in NTL brightness (Table 5-1). European cities classified under Chronic Decline
2605 exhibit consistently negative net changes across sectors, with particularly large losses in retail
2606 activity (reaching $-19.9 \text{ nW cm}^{-2} \text{ sr}^{-1}$). In contrast, Resilient trajectories in South and North
2607 America are associated with substantial net gains in NTL brightness, especially in the commercial
2608 and retail sectors (reaching $+20.9 \text{ nW cm}^{-2} \text{ sr}^{-1}$). Full Recovery outcomes show moderate positive
2609 net changes across continents, reflecting intermediate recovery trajectories. Notably, these patterns
2610 do not align with a simple Global North–South divide, but instead indicate region-specific
2611 configurations of resilience and vulnerability across urban economies.

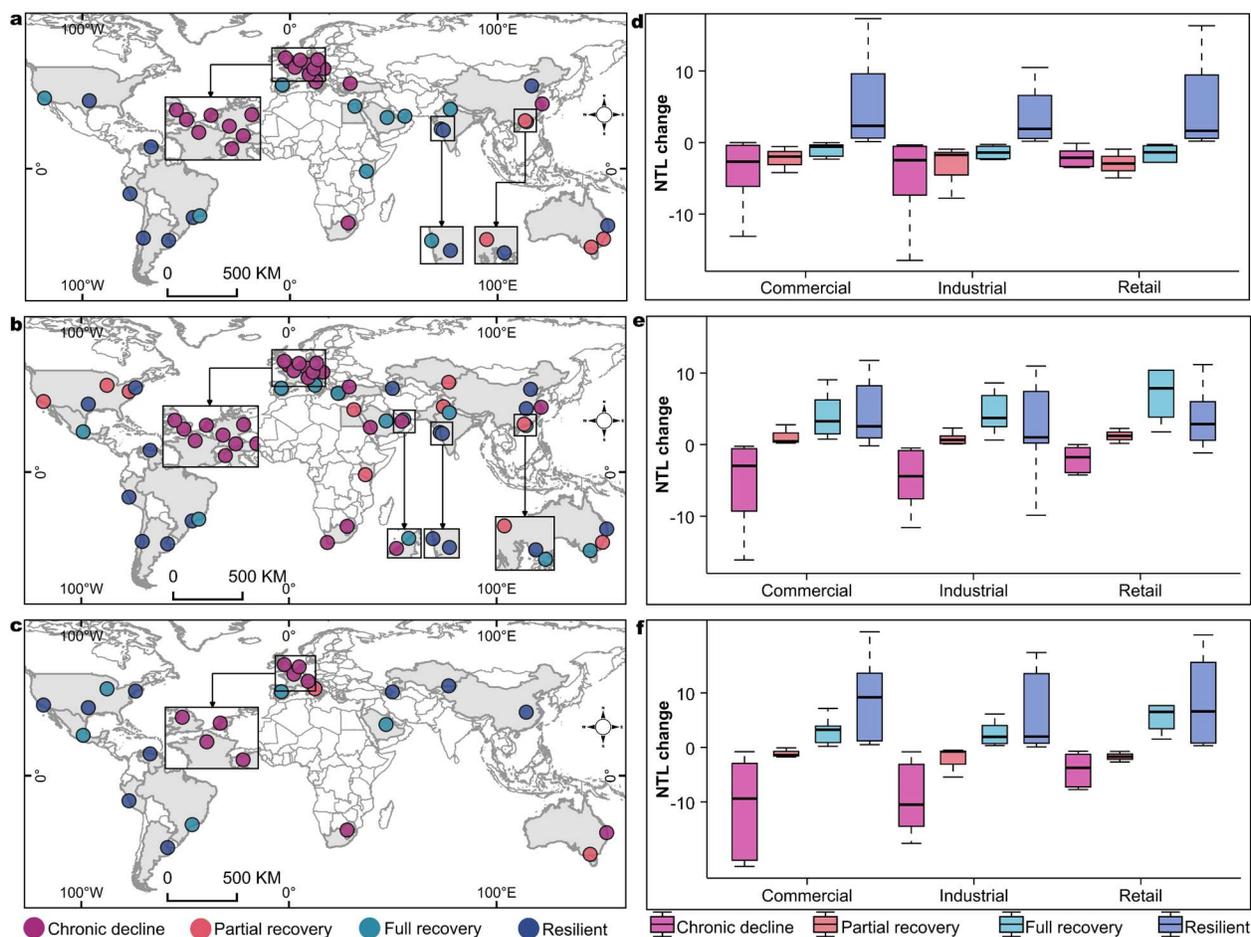


Figure 5-2: Sectoral polarization and geographic divergence in recovery archetypes. Spatial and temporal patterns of recovery archetypes across 98 city-sector combinations in 48 global cities between 2018 and 2023. **a—c**, Global distribution of recovery archetypes for the **(a)** Commercial, **(b)** Industrial, and **(c)** Retail sectors. Points are color-coded by archetype: Chronic Decline (purple), Partial Recovery (orange), Full Recovery (cyan) and Resilient (blue). Insets highlight densely clustered cities to improve legibility at regional scales. **d—f**, Sector-specific distributions of nighttime light changes across three recovery phases: Gross Impact (**d**; Lockdown minus Pre lockdown), Recovery (**e**; Post-lockdown minus Lockdown), and Net Impact (**f**; Post-lockdown minus Pre-lockdown). Boxplots are grouped by sector on the *x*-axis and colored by archetype, illustrating systematic differences in both shock exposure and recovery magnitude across sectoral trajectories. Center lines denote medians; box bounds represent the interquartile range; whiskers extend to 1.5× the interquartile range. National boundaries in **a—c** are based on public domain vector data by World Bank (<https://data.worldbank.org/>).

2630 Table 5-1: Net sectoral change by resilience archetype and continent. Mean net change in nighttime
 2631 light (NTL) brightness ($\text{nW cm}^{-2} \text{sr}^{-1}$) from pre-lockdown to post-lockdown periods, summarized
 2632 by resilience archetype, continent, and economic sector. Archetypes are assigned at the city-sector
 2633 level; continental values represent averages across observed city-sector combinations within each
 2634 archetype. Negative values (purple shading) indicate sustained dimming in NTL brightness,
 2635 whereas positive values (green shading) indicate net increases in activity. Cells marked with “—”
 2636 denote continent-archetype combinations not observed in the dataset.

Archetype	Continent	Commercial	Industrial	Retail
Chronic decline	Africa	-0.8	-6.8	-1.6
	Asia	-15.8	-7.9	—
	Europe	-13.5	-17.4	-19.9
	Oceania	—	—	-5.9
Partial recovery	Africa	—	-0.6	—
	Asia	-1.4	-3.5	—
	Europe	—	—	-2.7
	North America	—	-1.7	—
	Oceania	-0.9	-0.7	-0.8
Full recovery	Africa	+4.0	—	—
	Asia	+5.1	+1.8	+15.4
	Central America	—	+6.1	+6.5
	Europe	+3.2	+2.2	+3.4
	North America	+0.9	—	+7.6
	Oceania	—	+0.3	—
	South America	+3.3	+4.2	+1.5
Resilient	Asia	+5.0	+4.9	+4.9
	North America	+13.0	+8.0	+7.7
	Oceania	+5.8	+2.0	—
	South America	+15.8	+8.1	+20.9

2637

2638 **5.2.2 Sectoral polarization of resilience outcomes**

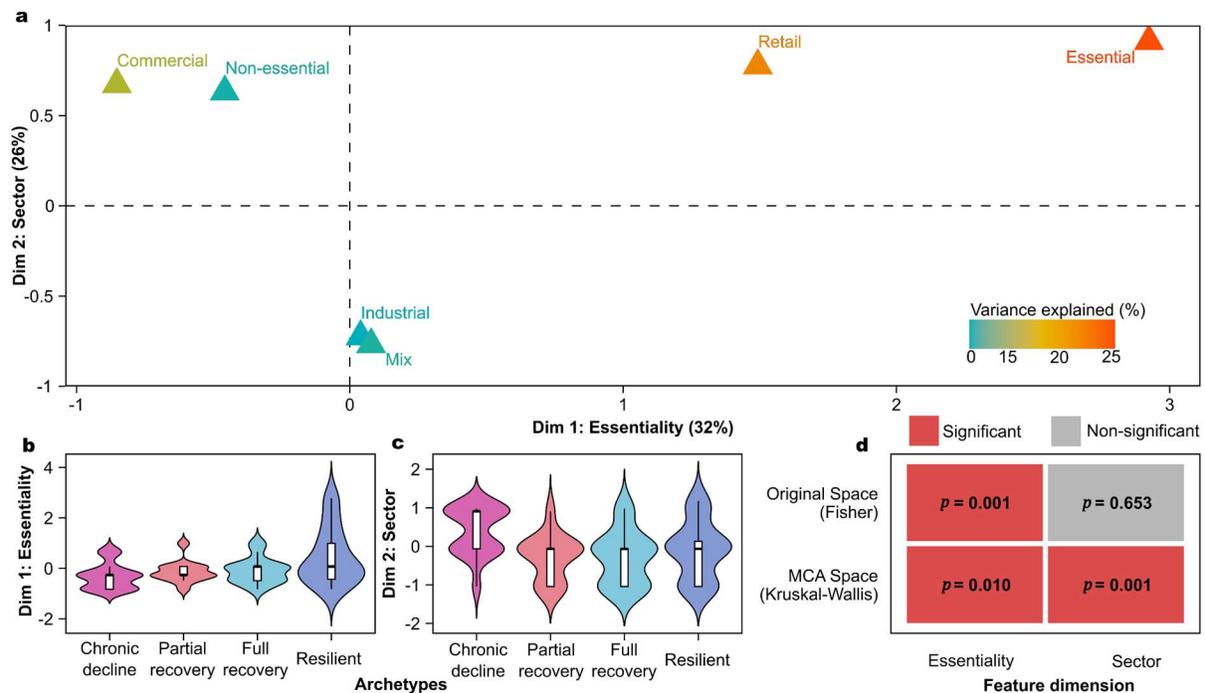
2639 Within this globally differentiated landscape, resilience trajectories diverge sharply across
 2640 economic sectors. Commercial activities exhibit the most polarized responses, with 37%
 2641 experiencing Chronic Decline, concentrated in European cities such as Amsterdam, Berlin, and
 2642 Frankfurt (Appendix Figure A-1), while 29% follow Resilient trajectories, notably in Buenos
 2643 Aires, Beijing, and Brisbane (Figure 5-2a; Appendix Figure A-4). Industrial sectors display a more
 2644 balanced distribution across archetypes, including Chronic Decline (31%), Partial Recovery
 2645 (19%), Full Recovery (21%), and Resilient (29%) (Figure 5-2b). Retail sectors demonstrate the
 2646 highest overall resilience, with 41% maintaining Resilient trajectories, particularly in North and
 2647 South American cities including New York, Los Angeles, Buenos Aires, and Caracas (Appendix
 2648 Figure A-4), although 27% experience Chronic Decline, predominantly in Europe (Figure 5-2c;
 2649 Appendix Table A-2).

2650 Differences between archetypes emerge during lockdown periods and intensify thereafter. During
 2651 lockdowns, Resilient sectors maintain positive NTL changes across all sector types (mean change:
 2652 +5.32 Commercial, +3.68 Industrial, +4.91 Retail), whereas Chronic Decline sectors experience
 2653 substantial contractions (−4.29 Commercial, −4.60 Industrial, −2.92 Retail) (Figure 5-2d;
 2654 Appendix Table A-3). Post-lockdown trajectories diverge further: Full Recovery sectors rebound
 2655 strongly (mean change: +5.50 Commercial, +4.34 Industrial, +10.9 Retail), while Chronic Decline
 2656 sectors continue to deteriorate (−8.38 Commercial, −9.47 Industrial, −11.6 Retail) (Figure 5-2e).
 2657 Net changes from pre-pandemic baselines to the study endpoint reveal sustained gains in Resilient
 2658 sectors and comparably large cumulative losses in Chronic Decline sectors (Figure 5-2f).

2659 **5.2.3 Essentiality and sectoral structure as dimensions of resilience**

2660 Resilience outcomes across cities and sectors are structured along two dominant and interpretable
 2661 dimensions, revealing a latent resilience space shaped jointly by economic essentiality and sectoral
 2662 structure. Multiple Correspondence Analysis identifies a first dimension (32% of explained
 2663 variance) separating essential from non-essential activities, and a second dimension (26%)
 2664 differentiating Commercial and Retail sectors from Industrial activity (Figure 5-3a).

2665 Resilience archetypes segregate significantly along the essentiality dimension ($\chi^2 = 11$, $p = 0.01$),
 2666 with Resilient trajectories disproportionately associated with essential services and Chronic
 2667 Decline concentrated among non-essential activities (Figure 5-3b). Segregation is greater along
 2668 the sectoral structure dimension, ($\chi^2 = 16$, $p = 0.001$), where Chronic Decline clusters within
 2669 Commercial and Retail sectors and Full Recovery trajectories are associated predominantly with
 2670 Industrial sectors (Figure 5-3c). Joint consideration of both dimensions reveals distinct
 2671 configurations of vulnerability and robustness: essential Industrial sectors occupy the most
 2672 resilient region of the resilience space, whereas non-essential Commercial and Retail activities
 2673 form the most vulnerable cluster (Figure 5-3a, Figure 5-3d). Sectoral structure explains a greater
 2674 share of variance in resilience outcomes ($\eta^2 = 0.17$) than essentiality alone ($\eta^2 = 0.12$), indicating
 2675 that urban resilience is shaped not only by whether activities are deemed essential, but also by how
 2676 sectoral functions are embedded within urban economic systems.



2677

2678 Figure 5-3: Essentiality and sectoral structure organize resilience archetypes. Multiple
 2679 Correspondence Analysis (MCA) of resilience archetypes across 98 city–sector combinations,
 2680 showing how recovery outcomes are structured by economic essentiality and sectoral
 2681 configuration. **a**, MCA variable contribution showing the two principal dimensions of resilience
 2682 space: Dimension 1 (Essentiality; 32% of variance explained) and Dimension 2 (Sector; 26% of
 2683 variance explained). Points represent categorical variables, positioned according to their
 2684 association with each dimension; color intensity indicates relative contribution to dimensional
 2685 structure (red = high contribution, blue = low contribution). **b**, **c**, Distributions of resilience
 2686 archetypes along Dimension 1 (**b**) and Dimension 2 (**c**), demonstrating systematic separation of
 2687 archetypes along the essentiality and sectoral axes, respectively. Boxplots show median values
 2688 (center lines), interquartile ranges (boxes), and 1.5× interquartile ranges (whiskers). **d**, Statistical
 2689 tests supporting these separations: Fisher’s exact tests indicate significant associations between
 2690 archetypes and both essentiality and sector type in the original categorical space, while Kruskal–
 2691 Wallis tests confirm significant differentiation of archetypes along the MCA dimensions.

2692 5.3 Discussion

2693 This study demonstrates that urban economic resilience to global disruption is neither uniform nor
 2694 city-wide, but instead structured by sectoral function, essentiality, and geography. Using COVID-
 2695 19 lockdowns as a natural experiment, we show that urban sectors follow distinct resilience
 2696 archetypes, revealing systematic divergence rather than convergence (Glückler, 2007; John, 1993)
 2697 in post-shock trajectories. Chronic Decline remains widespread, particularly in European cities,
 2698 while Resilient and Full Recovery outcomes cluster in specific sectors and regions. Together, these
 2699 findings challenge prevailing resilience frameworks that assume either rapid rebound (Glückler,
 2700 2007; John, 1993) or path-dependent (Bourdin and Levratto, 2024) recovery at the city scale, and
 2701 instead point to a sectoral differentiated resilience landscape.

2702 Our results reveal that three interrelated dimensions—essentiality, sectoral structure, and
2703 geographic context—jointly shape resilience outcomes. While each dimension has been discussed
2704 independently in prior work (OECD, 2020; W. Wang et al., 2022; Y. Zhang et al., 2024a), our
2705 analysis integrates them within a single empirical framework, demonstrating how their interaction
2706 produces patterned configurations of vulnerability and robustness. Essentiality emerges as a
2707 primary axis of resilience, with sectors linked to indispensable provisioning and production
2708 maintaining activity during disruption and recovering more rapidly thereafter. Sectoral structure
2709 further differentiates outcomes: industrial activities embedded in stable value chains are more
2710 resilient than commercial and discretionary retail sectors reliant on mobility and non-essential
2711 consumption. Geographic context moderates both dimensions, amplifying or dampening
2712 vulnerabilities depending on regional economic structures and institutional capacity.

2713 These interacting dimensions explain why resilience and decline coexist within urban economies.
2714 Industrial sectors demonstrate robustness not simply because they are essential, but because their
2715 functions are supported by redundancy, substitutability, and continuity within broader production
2716 networks. In contrast, commercial and discretionary retail sectors experience chronic decline
2717 where demand is structurally contingent on physical co-presence (Huang et al., 2024) and
2718 discretionary spending. Under such conditions, firm-level adaptation cannot compensate for the
2719 absence of essential demand, revealing limits to resilience strategies that focus narrowly on
2720 flexibility or innovation without addressing structural exposure (Setyadi et al., 2025). Retail
2721 sectors illustrate this bifurcation most clearly, with essential provisioning maintaining throughput
2722 while non-essential formats remain persistently vulnerable.

2723 Geographic divergence further underscores that resilience is not governed by universal urban
2724 mechanisms. European cities exhibit disproportionately high rates of Chronic Decline across
2725 sectors, particularly in commercial and retail activities, reflecting region-specific structural
2726 constraints (Dascher-Preising and Greiner, 2023) rather than intrinsic urban fragility. In contrast,
2727 cities in Latin America and Asia display more resilient trajectories across sectors despite
2728 comparable exposure to global disruption, suggesting that economic diversity and functional
2729 composition can offset shock impacts. These patterns highlight the importance of context in
2730 resilience assessment and caution against generalized interpretations of post-pandemic recovery.

2731 The findings carry important implications for urban resilience policy. Rather than pursuing
2732 uniform recovery strategies, interventions should be attuned to sectoral vulnerability and structural
2733 exposure (Dascher-Preising and Greiner, 2023). Policies that strengthen essential provisioning,
2734 support diversification within sectoral systems, and enable functional reconfiguration of
2735 vulnerable commercial spaces are more likely to enhance resilience than place-based strategies
2736 alone (Z. Liu et al., 2024; Z. Wang et al., 2024). Crucially, resilience planning should recognize
2737 divergence as a structural condition of urban economies rather than an anomaly to be corrected.

2738 Several limitations warrant consideration. Nighttime light data capture activity intensity rather
2739 than economic value, precluding direct comparison with conventional indicators such as GDP or
2740 Gross Value Added. Nevertheless, fine-resolution NTL enables consistent global comparison of
2741 sectoral dynamics that remain poorly observed in official statistics. Interpretation of European
2742 Chronic Decline should also consider policy- and technology-driven factors that may reduce

2743 observed illumination without implying proportional economic contraction, including remote
2744 working practices (Fellnhofer et al., 2025), emissions-reduction strategies, and the widespread
2745 transition to energy-efficient, directional LED street lighting (Kyba et al., 2021). In addition,
2746 sectoral aggregation relies on OpenStreetMap land-use polygons, which distinguish broad
2747 functional classes, but which do not encode higher-order differentiation within sectors (e.g.,
2748 banking or financial services within commercial land use), potentially grouping activities with
2749 distinct operational roles and lighting behaviors. Future research should address these limitations
2750 by integrating NTL data with higher-resolution functional classifications derived from national
2751 land-use datasets, administrative records, or firm-level information, and by jointly examining
2752 policy, energy, and institutional drivers of observed NTL trajectories. Future research could
2753 integrate sectoral NTL trajectories with economic, institutional, and governance indicators, extend
2754 analysis to neighborhood and firm scales, and examine whether similar resilience spaces emerge
2755 under other forms of disturbance, including climate-related or financial shocks.

2756 Taken together, this study suggests that urban resilience is best understood as plural rather than
2757 singular: a configuration of sector-specific and context-dependent trajectories shaped by
2758 essentiality, structure, and geography. Recognizing this plurality is essential for rethinking how
2759 cities adapt to global disruption and for designing resilience strategies that acknowledge, rather
2760 than obscure, uneven urban transitions.

2761 **5.4 Methods**

2762 We analyze sectoral urban resilience using archetypal representations derived from nighttime light
2763 (NTL) trajectories. Monthly fine-resolution NTL brightness is used as an indicator of sectoral
2764 activity intensity, enabling systematic and comparable analysis of disruption and recovery
2765 trajectories across cities and economic sectors.

2766 **5.4.1 Study area and sectoral delineation**

2767 We conducted a comparative analysis of sectoral resilience across 48 global cities spanning all
2768 inhabited continents (Figure 5-1). Three urban economic sectors—commercial, industrial, and
2769 retail—were selected due to their central role in post-COVID urban economies and their
2770 contrasting functional characteristics. Sectoral polygons were delineated using OpenStreetMap
2771 (OSM) land-use features (“OpenStreetMap,” n.d.) and ESRI Land Cover data (“Esri Land Cover,”
2772 n.d.). To ensure temporal consistency, only polygons present in both 2018 and 2023 were retained,
2773 excluding areas that underwent functional conversion (Liu et al., 2019). Polygons smaller than 25
2774 ha were removed to align with the spatial resolution of nighttime light data. This process yielded
2775 98 city–sector combinations for analysis.

2776 Cities were selected through a sequential filtering procedure based on the Globalization and World
2777 Cities (GaWC) classification (“World Cities 2024 – GaWC,” n.d.) to ensure global coverage while
2778 avoiding regional over-representation (Xu et al., 2021). Cities were excluded if they exhibited
2779 persistent cloud contamination, ambiguous nighttime light patterns, or insufficient data quality.
2780 Only cities that experienced documented COVID-19 restriction policies, as recorded by the Oxford
2781 COVID-19 Government Response Tracker (OxCGRT) (Hale et al., 2021), were retained.

2782 **5.4.2 Nighttime lights (NTL) data**

2783 Monthly nighttime light (NTL) data were obtained from NASA’s Black Marble product
 2784 (VNP46A3), which provides globally consistent, cloud-masked observations at a native spatial
 2785 resolution of 500 m (Román et al., 2018). Quality flags were applied to exclude low-quality
 2786 observations. To improve spatial precision and better align with sectoral polygons, NTL data were
 2787 downscaled to 130 m resolution using Random Forest Area-to-Point Kriging (RFATPK) (Tziokas
 2788 et al., 2024). Mean NTL brightness was calculated for each sectoral polygon using area-weighted
 2789 aggregation, generating monthly time series from January 2018 to December 2023.

2790 To isolate resilience-related brightness changes from urban expansion, we applied a stable built-
 2791 up mask using ESRI 10 m land cover data for 2018 and 2023. Only pixels classified as impervious
 2792 in both years were retained, ensuring that observed NTL changes reflect sectoral activity rather
 2793 than land-use change (Liu et al., 2019).

2794 **5.4.3 Time-series decomposition using STL**

2795 Sector-level NTL time series were decomposed using Seasonal-Trend decomposition via LOESS
 2796 (STL) (Cleveland, B et al., 1990; Zhao et al., 2020) to separate long-term trends from seasonal and
 2797 irregular components. The trend component was retained as an indicator of underlying sectoral
 2798 activity. For each city–sector combination, piecewise linear regressions were fitted to pre-
 2799 lockdown, lockdown, and post-lockdown periods, defined using the “Stay-at-home requirements”
 2800 indicator (C6) from the Oxford COVID-19 Government Response Tracker (Hale et al., 2021).
 2801 Midpoint values of each segment were extracted to characterize trajectory shifts across periods.
 2802 Temporal sequences of NTL brightness (y_t) were divided into trend (T_t), seasonal (S_t), and
 2803 remainder (R_t) additive components:

$$y_t = T_t + S_t + R_t \quad (1)$$

2804

2805 **5.4.4 Resilience archetype classification**

2806 We adopted an archetypal approach (Cutler and Breiman, 1994) to characterize extreme patterns
 2807 of sectoral response. Four archetypes were defined based on relative changes in NTL trends across
 2808 the three periods: Chronic Decline, Partial Recovery, Full Recovery, and Resilient. City–sector
 2809 combinations were assigned to archetypes using explicit, rule-based criteria based on the relative
 2810 ordering of segment midpoints, ensuring transparency and reproducibility.

2811 **5.4.5 Sector classification and essentiality**

2812 Sectoral polygons were classified through systematic visual inspection using high-resolution
 2813 imagery in Google Earth Pro (Google LLC, 2025), cross-referenced with OSM attributes.
 2814 Classification criteria were applied consistently across cities. Essentiality was categorized as
 2815 essential, non-essential, or mixed based on whether sectoral functions were designated as
 2816 indispensable during COVID-19 restrictions. Sectoral structure and essentiality were treated as
 2817 categorical variables in subsequent analysis.

2818 **5.4.6 Multiple Correspondence Analysis (MCA)**

2819 To examine how essentiality and sectoral structure relate to resilience archetypes, we applied
 2820 Multiple Correspondence Analysis (MCA) (D’Esposito et al., 2014) to the 98 city–sector
 2821 combinations. MCA was used to identify latent dimensions structuring categorical associations
 2822 among sector type, essentiality, and resilience archetype. The first two dimensions, which captured
 2823 the largest share of explained variance, were retained for interpretation and hypothesis testing.
 2824 MCA builds a disjunctive matrix of polygons and categories, where distances between polygons
 2825 are characterized by the infrequency of shared categories. Formally, the Chi-square distance
 2826 between two polygons (i) and (i') is given in Eq. 2:

$$d(i, i') = \sqrt{\sum_j \left(\frac{(p_{ij} - p_{i'j})^2}{p_{+j}} \right)} \quad (2)$$

2827 where p_{ij} and $p_{i'j}$ are relative frequencies for row i and i' in column j and p_{+j} is the marginal
 2828 relative frequency, or “mass” as it is called in MCA, for column j . Polygons possessing identical
 2829 categories aggregate proximate to the origin of the dimensional space, whereas less frequent
 2830 categories are positioned farther along the axes. Singular value decomposition produces principal
 2831 axes that optimize captured variance, enabling categorical diversity to be visualized within a
 2832 condensed dimensional framework. The first dimension was retained as the data-driven index of
 2833 resilience divergence, capturing the greatest variability among city–sector combinations.

2834 **Chapter 6 Discussion and Conclusions**

2835 Leveraging nighttime light data to assess sector-level urban resilience comprehensively on a global
2836 scale poses a formidable challenge, necessitating scalable methodologies capable of encompassing
2837 all the dimensions of resilience. The primary challenges in evaluating sector-level urban resilience
2838 using nighttime lights data are the coarse spatial resolution of current NTL datasets, which
2839 obscures fine-grained sector-specific fluctuations, and the dynamic character of urban economic
2840 activity, which necessitates real-time analysis. The development of sophisticated analytical
2841 frameworks that incorporate fine-resolution NTL data and dynamic analysis is necessary to assess
2842 sector-specific urban resilience accurately and to bridge the gap between satellite-derived metrics
2843 and ground-truth socioeconomic datasets.

2844 Fine-resolution NTL data offers a promising systematic approach for sector-level urban resilience
2845 assessment, as this approach utilizes alterations in brightness, which serve as indicators of sector-
2846 specific reactions to external disturbances, and the integration of this approach enables the
2847 monitoring of economic and infrastructural activity, offering a multifaceted framework for
2848 understanding and addressing complex urban resilience challenges. This strategy is informed by
2849 the broader concept of urban resilience, which posits that various components of a city demonstrate
2850 disparate recovery patterns in the aftermath of shocks, such as the novel COVID-19 pandemic (Z.
2851 Liu et al., 2024). The capacity of discrete urban sectors, such as retail, airports and industrial, to
2852 withstand and recover from adverse impacts is referred to as sectoral resilience (Fromhold-
2853 Eisebith, 2015). This concept is manifested in the temporal variations in NTL brightness, as they
2854 are frequently influenced by a combination of sector-specific traits, policy actions and general
2855 economic conditions which, in turn, drive patterns of resilience. Cities may be categorized into
2856 different resilience trajectory scenarios according to sectoral performance throughout time by
2857 methodically examining these trends, offering a uniform yet adaptable framework for worldwide
2858 investigation and enables an organized comparison of urban resilience across various
2859 circumstances. As a result, combining sectoral resilience indicators with fine-resolution NTL data
2860 provides a scalable and data-driven approach for comprehending urban resilience dynamics at a
2861 never-before-seen degree of detail.

2862 This thesis developed a novel analytical framework that utilizes fine-resolution NTL data to
2863 classify cities into distinct urban resilience scenarios based on sector-based NTL trajectories,
2864 enabling comprehensive evaluation of sectoral performance through clearly defined metrics. To
2865 ensure a detailed knowledge of sectoral resilience dynamics, the research was carried out
2866 independently for the three main urban sectors: retail, industrial and airports. The main drivers of
2867 the resilience trends were determined by combining sector-specific features, and qualitative
2868 evaluations. Mitigation of the blooming effect while downscaling nighttime lights data and their
2869 validation as a proxy for sectoral productivity were also crucial methodological considerations for
2870 the use of fine-resolution NTL data, which produced a large correlation between the NTL trends
2871 and sector-specific economic performance. By addressing the shortcomings of traditional
2872 resilience assessment techniques that depend on surveys or nation-specific economic data, these
2873 methodological advancements provided a framework that is both scalable and globally applicable.
2874 In addition, the classification of urban resilience trajectories was designed to provide policymakers

2875 with a simple yet accurate way to rapidly determine which sectors of a city were most affected -
2876 either positively or negatively - by shocks like the COVID-19 pandemic lockdowns. More
2877 informed decision-making is made possible by this methodical, data-driven approach, which also
2878 advances our worldwide understanding of urban resilience. In conclusion, this thesis makes the
2879 following significant contributions:

- 2880 1. Implementing a geostatistical downscaling method for NTL data, which has been utilized
2881 for daytime satellite images, with great potential for use in NTL-based urban research.
- 2882 2. Enhancing the quality of NTL imagery and increasing its accuracy for urban research and
2883 applications by mitigating the blooming effect in downscaled NTL data, for the first time.
- 2884 3. Developing a method that is sector-specific, globally scalable, and which does not rely on
2885 traditional data, and is of outmost importance for targeted economic policies at the
2886 operational level in the “New Normal” that societies are now living in.

2887 This chapter presents the primary conclusions derived from the research, while addressing the
2888 research issues posed and offering suggestions for the future.

2889 **6.1 Research findings and conclusions**

2890 This section of the study presents the findings and conclusions of the research, which are supported
2891 by the objectives outlined in Section 1.5.

2892 **Objective 1: Apply a modern geostatistical solution, named RFATPK, which specifically has**
2893 **been applied to daytime imagery, to downscale a nighttime imagery.** This objective examined
2894 whether a modern geostatistical analysis model could be adapted from daytime satellite imagery
2895 to nighttime light (NTL) data without sacrificing spatial or radiometric accuracy. The RFATPK
2896 technique combines machine learning modeling with geostatistical residuals downscaling,
2897 allowing it to account for local variations while maintaining perfect coherence across different
2898 levels.

2899 Applying RFATPK to satellite NTL data in differing major urban hubs (New Delhi and Mumbai)
2900 showed that the approach steadily surpassed other downscaling methods in both statistical testing
2901 and expert observation. In comparison to alternative regression-based methods, RFATPK
2902 generated more smooth and coherent lighting layouts while maintaining fidelity to the original
2903 coarse-resolution data.

2904 This is vital for urban resilience research, where random local noise can be mistakenly viewed as
2905 financial shifts. The results confirm that RFATPK is an effective and transferable solution for NTL
2906 downscaling, enabling fine-resolution representations of urban economic activity that are robust
2907 enough for subsequent sector-level analysis.

2908 **Objective 2: Mitigate the blooming effect, inherent in every nighttime imagery, while**
2909 **downscaling it to enhance the downscaled imagery both visually and quantitatively.** This
2910 objective tackled a major flaw of nighttime light data: the blooming effect, which consistently
2911 “bleeds” light from bright locations into nearby zones. If left unchecked, spillover creates
2912 geographic distortion that exaggerates perceived growth in crowded city centers and hides poverty
2913 or downturns elsewhere.

2914 Blooming reduction was directly built into both phases of the analytical framework—during the
2915 statistical modeling phase and within the downscaling process. By factoring in the light diffusion
2916 patterns into the spatial analysis workflow, the technique fixed light bleed while maintaining
2917 radiometric accuracy.

2918 Although gains in overall accuracy metrics (e.g., R^2) appear statistically small, they signify the
2919 removal of predictable spatial bias rather than random noise. For measuring economic wealth, such
2920 adjustments are practically impactful: small changes in measured brightness can shift growth
2921 categories, risk rankings, and government focus. The findings show that adjusting for blooming
2922 improves the clarity and trustworthiness of satellite-based economic indicators, thereby increasing
2923 their value for urban resilience studies.

2924 **Objective 3: Using the downscaled nighttime imagery, monitor urban resilience at the sector**
2925 **level and understand the factors behind it.** Drawing upon the methodological progress of
2926 Objectives 1 and 2, the current objective created a structured model to assess urban resilience at
2927 the sector level using fine-resolution nighttime light data. Rather than viewing cities as uniform
2928 entities, the research analyzed commercial, industrial, and retail sectors separately, acknowledging
2929 that industries vary in vulnerability, and exposure to mobility restrictions.

2930 Sectoral light-activity trends during and after COVID-19 lockdowns were grouped into four
2931 archetypes—Chronic Decline, Partial Recovery, Full Recovery and Resilient—offering a scalable
2932 and clear depiction of resilience patterns. The findings demonstrate that resilience is not evenly
2933 distributed: essential sectors consistently show stable NTL trajectories, while non-essential ones,
2934 particularly in European cities, undergo lasting decline in NTL brightness.

2935 By connecting archetypal outcomes to essentiality, sectoral structure, and geographic setting, this
2936 chapter demonstrates that urban resilience is determined by foundational economic factors rather
2937 than general urban processes. These discoveries provide practical insights for government officials
2938 by pinpointing which economic sectors are inherently at risk and which setups enable adaptive
2939 capacity under global shocks.

2940 **6.2 Reflections**

2941 This core of this thesis is organized into three primary chapters (Chapters 3 to 5), each of which
2942 focuses on a distinct aspect of the research, which were the development and enhancement of
2943 downscaling methods for NTL data, the integration of blooming effect within the framework of
2944 downscaling, and the application of fine-resolution NTL data for urban resilience analysis. This
2945 thesis is primarily based on the following logical progression: initially, fine-resolution NTL serve
2946 as a better proxy for urban studies, then, by mitigating an effect that exists ubiquitously in NTL
2947 imagery (i.e., the blooming effect) researchers can further enhance the suitability of nighttime
2948 lights data for modelling social and economic phenomena related to cities, and finally, using these
2949 fine-resolution NTL (with the blooming effect being mitigated), researchers can monitor urban
2950 resilience at the sector level. Detailed links are shown as follows:

2951 When addressing the challenge of downscaling NTL data to enhance its applicability for
2952 socioeconomic studies, chapters 3 and 4 collectively illustrate how methodological advancements
2953 can serve as a conduit to bridge the prevailing gap between coarse-resolution satellite data and

2954 urban-scale analysis. The coarse spatial resolution of NTL imaging is a basic drawback that limits
2955 its use to fine-scale urban studies. In diverse urban settings, “traditional” ATPRK is not the optimal
2956 approach since it assumes spatial stationarity. Hence, to generate fine-resolution NTL data, Chapter
2957 3 presented Random Forest Area-to-Point Kriging (RFATPK), a novel geostatistical downscaling
2958 approach that combines machine learning with geostatistical interpolation. This approach
2959 maintained the property of perfect coherence while demonstrating superior accuracy when
2960 compared to benchmark techniques. Nevertheless, the PSF of the NTL data was not specifically
2961 taken into consideration by RFATPK in chapter 3. This is a problem that is especially pertinent in
2962 cities where there is concentrated artificial illumination. By incorporating the PSF into the
2963 downscaling process, Chapter 4 overcame this limitation and ensured that the geographical
2964 redistribution of light more accurately reflects the actual spatial distribution of socioeconomic
2965 activity. The accuracy of downscaled NTL data was increased both visually and numerically by
2966 simulating explicitly the PSF, especially in high-density urban environments. Although more effort
2967 is required to generalize the methodology across various urban morphologies and lighting
2968 conditions, these methodological developments helped to increase the usefulness of NTL for fine-
2969 scale urban research.

2970 Chapter 5 applies the improved nighttime light data developed in Chapters 3 and 4 to examine how
2971 different urban economic sectors responded to and recovered from the COVID-19 pandemic.
2972 Using monthly satellite observations for 98 city–sector combinations across 48 global cities, the
2973 chapter shows that recovery is not uniform within cities, but varies systematically across sectors
2974 and regions. Essential sectors consistently maintained activity or recovered quickly, while non-
2975 essential sectors—particularly in European cities—experienced persistent decline. These patterns
2976 demonstrate that urban resilience cannot be understood at the city level alone, but must be assessed
2977 at the level of economic function. For decision makers, this finding is important because it shows
2978 that uniform recovery policies risk overlooking structurally vulnerable sectors. The chapter
2979 demonstrates that fine-resolution nighttime light data provide a scalable and globally comparable
2980 way to identify where resilience is strong, where it is weak, and which sectors require targeted
2981 intervention, even in contexts where detailed economic statistics are unavailable.

2982 These methodological advancements reflect a systematic refinement process focused on enhancing
2983 both precision and interpretability of the fine-resolution NTL data. The initial focus on spatial
2984 resolution and spectral coherence in Chapter 3 formed the groundwork for the PSF correction
2985 analyzed in Chapter 4, ultimately enabling the sector-level urban resilience monitoring introduced
2986 in Chapter 5. Each advancement built upon the previous work, creating increasingly accurate
2987 spatial representations of urban illumination. The spatial scales of study also grew over the
2988 chapters. To validate the downscaling technique, experiments were carried out in particular urban
2989 case studies (such as Mumbai, New Delhi and Los Angeles) in Chapters 3 and 4. In order to
2990 evaluate sectoral resilience, Chapter 5 then expanded the methodology globally, including 48
2991 cities. The suggested framework's scalability is highlighted by this transition from methodological
2992 development to worldwide use.

2993 Future studies might consider including more socioeconomic datasets, enhancing robustness
2994 across various urban contexts, and modifying the approach for real-time applications, that is,

2995 building on the results in this thesis which show the promise of fine-resolution NTL for monitoring
2996 urban resilience. The implementation of the downscaling approaches detailed in chapters 3 and 4
2997 relied exclusively on open-source software tools, namely the R (R Core Team, 2025) platform
2998 which underscores the potential for the development of technically rigorous and replicable
2999 methodologies for NTL sharpening through the utilization of accessible computing resources and
3000 these findings underscore the feasibility of implementing analogous approaches in diverse
3001 institutional or resource-constrained contexts. Downscaling the data required for Chapter 5,
3002 Lancaster’s High-End Computing (HEC) was used, which can process large volumes of data
3003 through parallel computing. This enabled the research focus to shift from local (i.e., a couple of
3004 cities studied in Chapters 3 and 4) to global analysis, where such compute resource is needed for
3005 quantifying global responses to lockdown policies at fine-spatial scales.

3006 In conclusion, the creation of techniques that allow for precise sector-level evaluations of urban
3007 resilience monitoring using fine-resolution nighttime light data can make a substantial contribution
3008 to international efforts to promote urban sustainability by providing insightful information for
3009 well-informed policy decisions and focused interventions.

3010 **6.3 Recommendations**

3011 This thesis developed a novel approach for sector-level urban resilience assessment using
3012 downscaled nighttime light data, addressing the inherent limitations of coarse-resolution imagery
3013 and the blooming effect. While this research provides a foundation for global-scale analysis, many
3014 aspects of the approach warrant further investigation. First, while NTL data serve as a useful proxy
3015 for human activity and urban vibrancy (Yatao Zhang et al., 2021), they inherently lack
3016 completeness due to factors such as missing data caused by weather conditions and the focus on
3017 nighttime activities. This means that NTL data may not capture urban resilience comprehensively
3018 during adverse weather conditions or the daytime. An important direction is the integration of
3019 broader datasets beyond the data used in this study, enabling a more comprehensive understanding
3020 of the multi-dimensional nature of urban resilience. Furthermore, this study used broad sectors
3021 (e.g., retail, industrial) for the analysis. Future research should include more detailed functional
3022 land uses (e.g., types of industrial sectors). Moreover, this study utilized monthly composites of
3023 NTL. It would be of great interest to adopt the same methodology using nightly NTL and monitor
3024 resilience on that basis.

3025 Apart from the previous recommendations discussed beforehand, the proposed downscaling
3026 methods could also be developed further from multiple perspectives, including data sources,
3027 techniques and applications. Detailed recommendations are made as follows:

3028 1. Data sources

3029 The thesis focused on downscaling NTL imagery using specific ancillary variables to assist the
3030 downscaling. However, many other data sources exist in the field of remote sensing, such as
3031 hyperspectral, synthetic aperture radar (SAR) and LiDAR, which have not been used in this
3032 research, and the fusion of these multiple data sources would be a potential future direction.
3033 Moreover, land use and land cover data could also be incorporated along with other socioeconomic

3034 variables, like points of interest (POIs), as they reflect certain elements of human activity and they
3035 are closely linked to NTL (Ye et al., 2021).

3036 2. Techniques

3037 Deep learning is a common subfield of machine learning that has been researched extensively for
3038 downscaling (Zhang et al., 2024). The primary benefits of deep learning-based techniques are
3039 similar to those of conventional learning-based techniques in that they can successfully decrease
3040 downscaling uncertainty by using spatial structure information in real fine spatial resolution
3041 images (i.e., training data) to train a model. Future studies should investigate this kind of approach
3042 given deep learning's potent non-linear fitting capability for challenging issues. The spatial
3043 variability of the PSF with the sensor's viewing angle (VA) is a crucial component that is frequently
3044 ignored by the state-of-the-art in downscaling remote sensing imaging, especially when it comes
3045 to NTL data. Although previous research recognizes the significance of taking the PSF into
3046 consideration (Wang et al., 2020), it always assumes a static, or "fixed," PSF for the whole image.
3047 This simplification ignores the intrinsic spatial variability of the PSF and is usually expressed as a
3048 single value, such as the standard deviation of a Gaussian filter. In practice, the PSF fluctuates as
3049 the sensor's VA departs from the nadir. A key optical characteristic of the sensor, the angular
3050 dependency of the PSF, has important ramifications for downscaling accuracy, particularly when
3051 taking into account the blooming effect in NTL data.

3052
3053 The blooming effect will unavoidably be more noticeable with a broader PSF, which means the
3054 sensor disperses light from a point source across a larger region. On the other hand, less blooming
3055 occurs with a narrower PSF, when the light is more narrowly concentrated. As a result, the PSF's
3056 size and shape directly affect how much blooming is realized. Because the PSF itself changes
3057 depending on the viewing angle of the sensor, this connection is essential.

3058 To account for the shifting PSF and how it affects the measured brightness, the transfer function
3059 used in downscaling should ideally be a function of the VA. The transfer function may dynamically
3060 adapt to the image's fluctuating levels of blurring by integrating the VA into the downscaling
3061 procedure. This would make it possible to recreate the underlying light distribution more precisely,
3062 especially in regions where there are notable VA changes.

3063 A notable gap in existing approaches is highlighted by the lack of previous work in both daytime
3064 and nighttime remote sensing that specifically takes into consideration the sensor's VA and its
3065 impact on the PSF during downscaling.

3066 3. Applications

3067 Implementation of the proposed NTL downscaling techniques holds potential to enhance the utility
3068 of nighttime lights data by introducing increased spatial granularity, thereby facilitating the
3069 realization of significant practical applications. Enhancing urban studies in fast growing
3070 metropolitan areas is an important avenue. In these areas, a variety of stakeholders, such as city
3071 administrations, infrastructure planners and business sectors, need comprehensive geospatial data
3072 for well-informed urban management and sustainable development projects. To improve the
3073 downscaled NTL products for more accurate examination of socioeconomic processes and human
3074 settlements, more sophisticated approaches should be investigated, such as integration with fine-

3075 resolution demographic information and the use of super-resolution algorithms. The application
3076 was mainly focused on showcasing increased spatial detail in diverse urban environments, building
3077 on the research's emphasis on VIIRS NTL data. Future research will aim to downscale NTL in
3078 rural settings and compare the outcomes with recent, publicly available, SDGSAT-1 NTL data.

3079 **6.4 Conclusions**

3080 The present study sought to assess urban resilience across the globe and in specific sectors. To this
3081 end, a set of innovative techniques for downscaling and using satellite NTL data were adopted and
3082 modified. A more sophisticated understanding of urban responses to disruptive events, particularly
3083 the COVID-19 pandemic lockdowns, was facilitated by major advances in both spatial resolution
3084 and the analytical methodology. The findings of this study are as follows: (1) to enhance the spatial
3085 resolution of the NTL data, this thesis adopted and utilized a modern geostatistical approach for
3086 the first time. Fine-resolution NTL imagery was produced by the combination of random forest
3087 regression with area-to-point Kriging, a technique specially tailored for NTL data. This method
3088 offers a more thorough and precise depiction of light emissions during the night in cities, marking
3089 a substantial breakthrough in NTL data processing. The increased spatial resolution of the
3090 downscaled data is essential for facilitating later assessments of localized urban dynamics and
3091 urban studies. (2) The technique created in this thesis successfully reduced the blooming effect, a
3092 ubiquitous occurrence in all NTL satellite sensor images. This study is the first to successfully
3093 implement a method to deal with this phenomenon during NTL downscaling. The overestimation
3094 of light emissions in metropolitan areas was reduced by combining a geostatistical-based
3095 methodology with an advanced machine learning algorithm, producing downscaled NTL data that
3096 were more accurate and reliable. The validity of the ensuing studies depends on this blooming
3097 effect mitigation, especially when socioeconomic phenomena are being proxied at the city level.
3098 (3) Leveraging the downscaled and blooming-effect-mitigated NTL data, this thesis conducted the
3099 first global-scale assessment of urban resilience at the sector level. By analyzing the differential
3100 impacts of COVID-19 lockdowns on various urban functional sectors, this research provides
3101 critical insights into the unique vulnerabilities and recovery patterns of urban economies. The
3102 integrated methodology, combining a novel downscaling technique with sector-wise resilience
3103 assessment, represents a significant contribution to NTL-based urban resilience studies. This
3104 approach opens new avenues for targeted policy interventions and resilience planning, offering a
3105 more granular and timely understanding of urban dynamics during disruptive events. The increased
3106 spatial resolution and accuracy of the processed NTL data, achieved through the methodologies
3107 described in the previous paragraphs, were fundamental to the success of this sector-wise analysis,
3108 enabling a more nuanced and insightful examination of urban resilience.

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- 3843

3844 **Appendix**

3845 Table A-1: Calculated spectral indices for the study areas.

Index	Formula
The Enhanced Built-up and Bareness Index (EBBI)	$(SWIR1 - NIR)/(10 * \sqrt{SWIR1 + Thermal})$
The Built-up Area Extraction Index (BAEI)	$(Red + 0.3)/(Green + SWIR1)$
The Built-up Index (BUI)	$\frac{(SWIR1 - NIR)}{(SWIR1 + NIR)} - \frac{(NIR - Red)}{(NIR + Red)}$
The New Built-up Index (NBI)	$(Red - SWIR1)/NIR$
The Normalized Built-up Area Index (NBAI)	$\frac{(\frac{SWIR2 - SWIR1}{Green})}{(\frac{SWIR2 + SWIR1}{Green})}$
The Band Ratio for Built-up Area (BRBA)	$Red/SWIR1$
The Normalized Difference Built-up Index (NDBI)	$(SWIR1 - NIR)/(SWIR1 + NIR)$
The Modified Built-up Area Index (MBAI)	$\frac{[NIR + (1.57 * Green) + (2.4 * SWIR1)]}{(1 + NIR)}$
The Dry Built-up Index (DBI)	$\frac{(Blue - Thermal)}{(Blue + Thermal)} - \frac{(NIR - Red)}{(NIR + Red)}$
The Green Normalized Difference Vegetation Index (GNDVI)	$(NIR - Green)/(NIR + Green)$
The Normalized Difference Vegetation Index (NDVI)	$(NIR - Red)/(NIR + Red)$
The Enhanced Vegetation Index (EVI)	$2.5 * ((NIR - Red)/(NIR + 6 * Red - 7.5 * Blue + 1))$
Modified Normalized Difference Water Index (MNDWI)	$(Green - SWIR1) / (Green + SWIR1)$

3846

3847 Table A-2: Model diagnostics for sectoral nighttime light trajectories across lockdown periods.
 3848 This table reports sample size (*N*), goodness-of-fit (*R*²), and associated *p*-values for piecewise
 3849 linear regressions fitted to sector-level nighttime lights (NTL) representations in pre-lockdown,
 3850 lockdown, and post-lockdown periods. Results are reported for transparency of model fitting and
 3851 temporal segmentation only. Resilience archetypes are derived from the relative configuration of
 3852 trajectory segments rather than from individual regression coefficients or statistical significance.

Sector	City	<i>N</i>			<i>R</i> ²			<i>p</i> -values		
		Pre	During	Post	Pre	During	Post	Pre	During	Post
Commercial	Amsterdam	27	13	32	0.57	0.82	0.11	0.00	0.00	0.07
	Buenos Aires	27	18	27	0.93	0.10	0.45	0.00	0.21	0.00
	Beijing	26	35	11	0.96	0.33	0.87	0.00	0.00	0.00

Appendix

Sector	City	<i>N</i>			<i>R</i> ²			<i>p</i> -values		
		Pre	During	Post	Pre	During	Post	Pre	During	Post
	Berlin	27	17	28	0.24	0.97	0.71	0.01	0.00	0.00
	Brisbane	27	17	28	0.60	0.43	0.13	0.00	0.00	0.06
	Cairo	27	3	42	0.29	0.62	0.02	0.00	0.42	0.42
	Caracas	27	19	26	0.90	0.66	0.95	0.00	0.00	0.00
	Dallas	27	8	37	0.15	0.98	0.86	0.05	0.00	0.00
	Delhi	27	22	23	0.88	0.93	0.99	0.00	0.00	0.00
	Dubai	27	2	43	0.37		0.48	0.00		0.00
	Frankfurt	27	17	28	0.90	0.94	0.57	0.00	0.00	0.00
	Guangzhou	25	35	12	0.69	0.66	1.00	0.00	0.00	0.00
	Istanbul	27	15	30	0.22	0.10	0.00	0.01	0.26	0.74
	Johannesburg	27	21	24	0.95	0.93	0.84	0.00	0.00	0.00
	Los Angeles	27	13	32	0.74	0.00	0.89	0.00	0.98	0.00
	Lima	27	22	23	0.57	0.76	0.05	0.00	0.00	0.32
	London	27	12	33	0.88	0.89	0.07	0.00	0.00	0.13
	Madrid	27	18	27	0.79	0.00	0.57	0.00	0.80	0.00
	Manchester	27	12	33	0.16	0.78	1.00	0.04	0.00	0.00
	Melbourne	27	19	26	0.90	0.76	0.86	0.00	0.00	0.00
	Milan	26	23	23	0.12	0.95	0.83	0.08	0.00	0.00
	Mumbai	27	22	23	0.25	0.72	0.77	0.01	0.00	0.00
	Munich	27	17	28	0.82	0.96	0.61	0.00	0.00	0.00
	Nairobi	27	19	26	0.40	0.35	0.61	0.00	0.01	0.00
	Paris	27	15	30	0.39	0.97	0.01	0.00	0.00	0.67
	Pune	27	22	23	0.99	0.13	0.92	0.00	0.10	0.00
	Rio de Janeiro	29	12	31	0.79	0.96	0.57	0.00	0.00	0.00
	Riyadh	27	3	42	0.75	0.97	0.76	0.00	0.11	0.00
	Rome	26	23	23	0.89	0.92	0.95	0.00	0.00	0.00

Appendix

Sector	City	<i>N</i>			<i>R</i> ²			<i>p</i> -values		
		Pre	During	Post	Pre	During	Post	Pre	During	Post
	Santiago	27	18	27	0.78	0.70	0.80	0.00	0.00	0.00
	Shanghai	27	26	19	0.01	0.01	0.44	0.63	0.60	0.00
	Shenzhen	25	35	12	0.83	0.69	0.99	0.00	0.00	0.00
	Sao Paulo	38	5	29	0.28	1.00	0.82	0.00	0.00	0.00
	Sydney	27	19	26	0.50	0.94	0.89	0.00	0.00	0.00
	Vienna	27	21	24	0.39	0.07	0.94	0.00	0.26	0.00
	Mean (Sector-Period)	27	18	27	0.60	0.66	0.64	0.02	0.11	0.07
	Mean (Sector Overall)		24			0.63			0.07	
Industrial	Abu Dhabi	27	2	43	0.75		0.60	0.00		0.00
	Almaty	27	22	23	0.32	0.86	0.92	0.00	0.00	0.00
	Amsterdam	27	13	32	0.64	0.87	0.13	0.00	0.00	0.04
	Athens	27	25	20	0.22	0.89	0.98	0.01	0.00	0.00
	Buenos Aires	27	18	27	0.28	0.34	0.58	0.00	0.01	0.00
	Baku	27	10	35	0.43	0.98	0.08	0.00	0.00	0.09
	Beijing	26	35	11	0.08	0.84	0.98	0.17	0.00	0.00
	Berlin	27	17	28	0.16	0.97	0.00	0.04	0.00	0.78
	Brisbane	27	17	28	0.77	0.25	0.84	0.00	0.04	0.00
	Cairo	27	3	42	0.49	0.85	0.04	0.00	0.26	0.23
	Cape Town	27	21	24	0.10	0.89	0.77	0.12	0.00	0.00
	Caracas	27	19	26	0.25	0.57	0.90	0.01	0.00	0.00
	Chicago	27	3	42	0.57	0.98	0.39	0.00	0.10	0.00
	Dallas	27	8	37	0.08	0.98	0.64	0.16	0.00	0.00
	Delhi	27	22	23	0.94	0.30	0.79	0.00	0.01	0.00
	Dubai	27	2	43	0.53		0.75	0.00		0.00
Frankfurt	27	17	28	0.95	0.88	0.43	0.00	0.00	0.00	

Appendix

Sector	City	<i>N</i>			<i>R</i> ²			<i>p</i> -values		
		Pre	During	Post	Pre	During	Post	Pre	During	Post
	Guangzhou	25	35	12	0.03	0.23	1.00	0.40	0.00	0.00
	Hong Kong	25	35	12	0.55	0.43	0.96	0.00	0.00	0.00
	Istanbul	27	15	30	0.23	0.94	0.27	0.01	0.00	0.00
	Jeddah	27	3	42	0.96	0.79	0.02	0.00	0.30	0.40
	Johannesburg	27	21	24	0.81	0.96	0.01	0.00	0.00	0.72
	Los Angeles	27	13	32	0.46	0.44	0.85	0.00	0.01	0.00
	Lahore	27	15	30	0.82	0.18	0.12	0.00	0.11	0.07
	Lima	27	22	23	0.39	0.09	0.76	0.00	0.16	0.00
	London	27	12	33	0.82	0.92	0.04	0.00	0.00	0.24
	Madrid	27	18	27	0.80	0.01	0.45	0.00	0.68	0.00
	Manchester	27	12	33	0.72	0.59	0.66	0.00	0.00	0.00
	Melbourne	27	19	26	0.86	0.63	0.75	0.00	0.00	0.00
	Mexico City	27	23	22	0.32	0.52	0.00	0.00	0.00	0.99
	Milan	26	23	23	0.25	0.98	0.15	0.01	0.00	0.07
	Mumbai	27	22	23	0.21	0.01	0.86	0.02	0.61	0.00
	Munich	27	17	28	0.97	0.93	0.47	0.00	0.00	0.00
	Nairobi	27	19	26	0.20	0.66	0.01	0.02	0.00	0.71
	New York	27	15	30	0.89	0.02	0.33	0.00	0.60	0.00
	Paris	27	15	30	0.02	0.97	0.02	0.53	0.00	0.48
	Pune	27	22	23	0.97	0.36	0.87	0.00	0.00	0.00
	Rio de Janeiro	29	12	31	0.85	0.99	0.15	0.00	0.00	0.03
	Riyadh	27	3	42	0.75	0.89	0.62	0.00	0.21	0.00
	Rome	26	23	23	0.57	0.64	0.02	0.00	0.00	0.57
	Santiago	27	18	27	0.96	0.96	0.86	0.00	0.00	0.00
	Shanghai	27	26	19	0.57	0.35	0.94	0.00	0.00	0.00
	Shenzhen	25	35	12	0.87	0.52	0.99	0.00	0.00	0.00

Appendix

Sector	City	<i>N</i>			<i>R</i> ²			<i>p</i> -values		
		Pre	During	Post	Pre	During	Post	Pre	During	Post
	Sao Paulo	38	5	29	0.00	0.96	0.73	0.86	0.00	0.00
	Sydney	27	19	26	0.35	0.89	0.77	0.00	0.00	0.00
	Vienna	27	21	24	0.80	0.81	0.95	0.00	0.00	0.00
	Washington DC	27	14	31	0.00	0.66	0.85	0.81	0.00	0.00
	Wuhan	26	34	12	0.23	0.40	0.95	0.01	0.00	0.00
	Mean (Sector-Period)	27	18	27	0.52	0.67	0.55	0.07	0.07	0.11
	Mean (Sector Overall)		24			0.58			0.08	
Retail	Almaty	27	22	23	0.16	0.59	0.29	0.04	0.00	0.01
	Amsterdam	27	13	32	0.20	0.89	0.18	0.02	0.00	0.02
	Buenos Aires	27	18	27	0.17	0.94	0.45	0.03	0.00	0.00
	Baku	27	10	35	0.01	0.98	0.73	0.61	0.00	0.00
	Brisbane	27	17	28	0.96	0.75	0.49	0.00	0.00	0.00
	Caracas	27	19	26	0.73	0.73	0.97	0.00	0.00	0.00
	Chicago	27	3	42	0.48	0.90	0.29	0.00	0.20	0.00
	Dallas	27	8	37	0.92	0.87	0.12	0.00	0.00	0.04
	Johannesburg	27	21	24	0.90	0.95	0.57	0.00	0.00	0.00
	Los Angeles	27	13	32	0.43	0.86	0.98	0.00	0.00	0.00
	Lima	27	22	23	0.26	0.11	0.51	0.01	0.13	0.00
	Madrid	27	18	27	0.56	0.00	0.68	0.00	0.81	0.00
	Manchester	27	12	33	0.86	0.93	0.82	0.00	0.00	0.00
	Melbourne	27	19	26	0.95	0.59	0.88	0.00	0.00	0.00
	Mexico City	27	23	22	0.33	0.52	0.02	0.00	0.00	0.54
Milan	26	23	23	0.91	0.02	0.85	0.00	0.49	0.00	
New York	27	15	30	0.91	0.54	0.79	0.00	0.00	0.00	
Paris	27	15	30	0.46	0.83	0.10	0.00	0.00	0.09	

Appendix

Sector	City	N			R ²			p-values			
		Pre	During	Post	Pre	During	Post	Pre	During	Post	
	Riyadh	27	3	42	0.88	0.86	0.68	0.00	0.24	0.00	
	Rome	26	23	23	0.87	0.73	0.66	0.00	0.00	0.00	
	Sao Paulo	38	5	29	0.88	0.96	0.68	0.00	0.00	0.00	
	Sydney	27	19	26	0.12	0.88	0.72	0.08	0.00	0.00	
	Wuhan	26	34	12	0.12	0.62	0.99	0.08	0.00	0.00	
	Mean (Sector-Period)		27	16	28	0.57	0.70	0.59	0.04	0.08	0.03
	Mean (Sector Overall)			24			0.62		0.05		

3853

3854 Table A-3: City–sector impacts underlying resilience archetype classification. Gross Impact
 3855 (Lockdown minus Pre-lockdown), Recovery (Post-lockdown minus Lockdown), and Net Impact
 3856 (Post-lockdown minus Pre-lockdown) in nighttime light (NTL) brightness for each city–sector
 3857 combination used in the archetype classification. Values are reported for transparency and
 3858 traceability of the trajectory summaries. Essentiality is categorized as Essential, Non-essential, or
 3859 Mixed. Summary rows (sector and archetype means) are provided for reference; empty cells
 3860 indicate that no city–sector combinations were observed for that pairing.

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
Chronic decline	Commercial	Africa	Johannesburg	Mix	-0.36	-0.43	-0.80
		Asia	Istanbul	Non-Essential	-2.68	-0.26	-2.94
		Asia	Shanghai	Non-Essential	-13.10	-2.67	-15.77
		Europe	Amsterdam	Non-Essential	-0.16	-48.99	-49.15
		Europe	Berlin	Non-Essential	-6.14	-7.30	-13.43
		Europe	Frankfurt	Non-Essential	-11.11	-10.72	-21.83
		Europe	London	Non-Essential	-0.01	-2.98	-2.99

Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
		Europe	Manchester	Non-Essential	-4.58	-16.12	-20.71
		Europe	Milan	Non-Essential	-0.39	-0.59	-0.97
		Europe	Munich	Non-Essential	-11.89	-9.29	-21.18
		Europe	Paris	Non-Essential	-0.85	-0.23	-1.08
		Europe	Rome	Non-Essential	-3.36	-1.00	-4.36
		Europe	Vienna	Non-Essential	-1.09	-8.32	-9.41
			Sectoral Mean			-4.29	-8.38
	Industrial	Africa	Cape Town	Non-Essential	-2.47	-10.28	-12.74
		Africa	Johannesburg	Non-Essential	-0.35	-0.48	-0.83
		Asia	Abu Dhabi	Mix	-1.82	-1.82	-3.64
		Asia	Istanbul	Non-Essential	-2.13	-0.50	-2.63
		Asia	Jeddah	Non-Essential	-6.78	-5.66	-12.44
		Asia	Shanghai	Non-Essential	-5.40	-2.15	-7.54
		Europe	Amsterdam	Non-Essential	-7.90	-82.29	-90.20
		Europe	Berlin	Non-Essential	-10.72	-5.49	-16.21
		Europe	Frankfurt	Non-Essential	-16.47	-0.97	-17.44
		Europe	London	Non-Essential	-0.35	-4.43	-4.78

Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
		Europe	Manchester	Non-Essential	-0.48	-11.61	-12.10
		Europe	Milan	Non-Essential	-0.55	-0.74	-1.29
		Europe	Munich	Non-Essential	-9.30	-8.30	-17.60
		Europe	Paris	Mix	-0.53	-0.49	-1.01
		Europe	Vienna	Non-Essential	-3.68	-6.83	-10.51
		Sectoral Mean				-4.60	-9.47
	Retail	Africa	Johannesburg	Non-Essential	-1.28	-0.35	-1.62
		Europe	Amsterdam	Non-Essential	-8.55	-61.24	-69.79
		Europe	Manchester	Non-Essential	-3.49	-4.25	-7.74
		Europe	Milan	Non-Essential	-0.10	-0.62	-0.72
		Europe	Paris	Non-Essential	-1.15	0.00	-1.16
		Oceania	Brisbane		-2.97	-2.91	-5.88
		Sectoral Mean				-2.92	-11.56
Archetype Mean					-4.18	-9.42	-13.60
Partial recovery	Commercial	Asia	Guangzhou	Non-Essential	-4.19	2.77	-1.42
		Oceania	Melbourne	Mix	-0.56	0.48	-0.08
		Oceania	Sydney	Mix	-1.96	0.19	-1.78
		Sectoral Mean				-2.24	1.15

Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
	Industrial	Africa	Cairo	Non-Essential	-1.37	0.70	-0.67
		Africa	Nairobi	Non-Essential	-1.73	1.20	-0.53
		Asia	Almaty	Mix	-4.54	0.16	-4.37
		Asia	Guangzhou	Non-Essential	-7.78	2.32	-5.45
		Asia	Lahore	Mix	-0.92	0.13	-0.79
		North America	Chicago	Mix	-6.58	5.03	-1.55
		North America	Los Angeles	Mix	-1.05	0.53	-0.51
		North America	Washington DC	Non-Essential	-3.18	0.09	-3.09
		Oceania	Sydney	Non-Essential	-1.36	0.65	-0.71
			Sectoral Mean			-3.17	1.20
	Retail	Europe	Rome		-4.95	2.27	-2.68
		Oceania	Melbourne	Mix	-0.93	0.17	-0.75
			Sectoral Mean		-2.94	1.22	-1.72
			Archetype Mean	-2.94	1.19	-1.74	
Full recovery	Commercial	Africa	Cairo	Mix	-0.70	1.49	0.79
		Africa	Nairobi	Mix	-1.93	9.05	7.12
		Asia	Delhi	Mix	-0.58	0.76	0.19
		Asia	Dubai	Non-Essential	-6.94	21.67	14.74
		Asia	Mumbai	Non-Essential	-0.32	1.97	1.65
		Asia	Riyadh		-2.33	6.24	3.91

Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
		Europe	Madrid	Mix	-0.03	3.26	3.23
		North America	Los Angeles	Mix	-0.48	1.36	0.87
		South America	Rio De Janeiro	Mix	-0.34	3.67	3.33
		Sectoral Mean				-1.52	5.50
	Industrial	Asia	Delhi	Mix	-0.28	0.64	0.36
		Asia	Dubai	Non-Essential	-2.39	7.13	4.74
		Asia	Hong Kong	Mix	-7.39	8.62	1.23
		Asia	Riyadh	Non-Essential	-2.33	3.37	1.04
		Central America	Mexico	Mix	-0.94	7.05	6.11
		Europe	Athens	Mix	-1.62	2.21	0.60
		Europe	Madrid	Mix	-0.37	3.63	3.26
		Europe	Rome	Mix	-1.18	3.79	2.61
		South America	Rio De Janeiro	Mix	-2.06	6.30	4.24
		Oceania	Melbourne	Mix	-0.30	0.65	0.35
	Sectoral Mean				-1.89	4.34	2.45
	Retail	Asia	Riyadh		-15.13	30.56	15.43
		Central America	Mexico	Non-Essential	-1.36	7.86	6.50
		Europe	Madrid	Mix	-0.45	3.85	3.40
		North America	Chicago	Mix	-2.76	10.40	7.64
South America		Sao Paulo		-0.27	1.76	1.50	

Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact	
				Sectoral Mean	-3.99	10.89	6.89	
				Archetype Mean	-2.19	6.14	3.95	
Resilient	Commercial	Asia	Beijing		0.64	-0.17	0.48	
		Asia	Pune	Non-Essential	0.14	0.76	0.89	
		Asia	Shenzhen	Non-Essential	10.61	3.15	13.76	
		North America	Dallas	Mix	6.59	6.46	13.04	
		South America	Buenos Aires	Mix	0.24	0.58	0.82	
		South America	Caracas	Mix	17.31	24.99	42.30	
		South America	Lima	Non-Essential	12.38	8.81	21.19	
		South America	Santiago	Mix	0.77	11.77	12.54	
		South America	Sao Paulo		0.61	1.47	2.08	
		Oceania	Brisbane	Mix	3.89	1.94	5.82	
						Sectoral Mean	5.32	5.98
	Industrial	Asia	Baku	Mix	2.97	10.58	13.55	
		Asia	Beijing	Essential	0.77	0.29	1.06	
		Asia	Mumbai	Mix	10.47	-9.87	0.61	
		Asia	Pune	Mix	0.17	0.52	0.70	
		Asia	Shenzhen	Non-Essential	9.85	3.49	13.34	
		Asia	Wuhan	Mix	0.86	-0.76	0.09	

Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
		North America	Dallas	Mix	6.15	8.79	14.95
		North America	New York	Non-Essential	0.74	0.22	0.96
		South America	Buenos Aires	Mix	0.29	0.20	0.49
		South America	Caracas	Essential	3.22	10.97	14.19
		South America	Lima	Mix	6.73	-0.38	6.35
		South America	Santiago	Essential	8.66	8.73	17.38
		South America	Sao Paulo	Mix	0.20	1.74	1.94
		Oceania	Brisbane	Mix	0.51	1.50	2.00
		Sectoral Mean				3.68	2.57
	Retail	Asia	Almaty	Essential	0.59	6.00	6.59
		Asia	Baku	Essential	2.09	5.49	7.58
		Asia	Wuhan		1.64	-1.15	0.49
		North America	Dallas	Mix	9.44	11.18	20.62
		North America	Los Angeles	Mix	0.34	1.74	2.09
		North America	New York	Mix	0.90	-0.60	0.30
		South America	Buenos Aires	Mix	0.20	0.59	0.79
		South America	Caracas	Mix	16.32	30.07	46.39
		South America	Lima	Essential	12.71	2.86	15.57

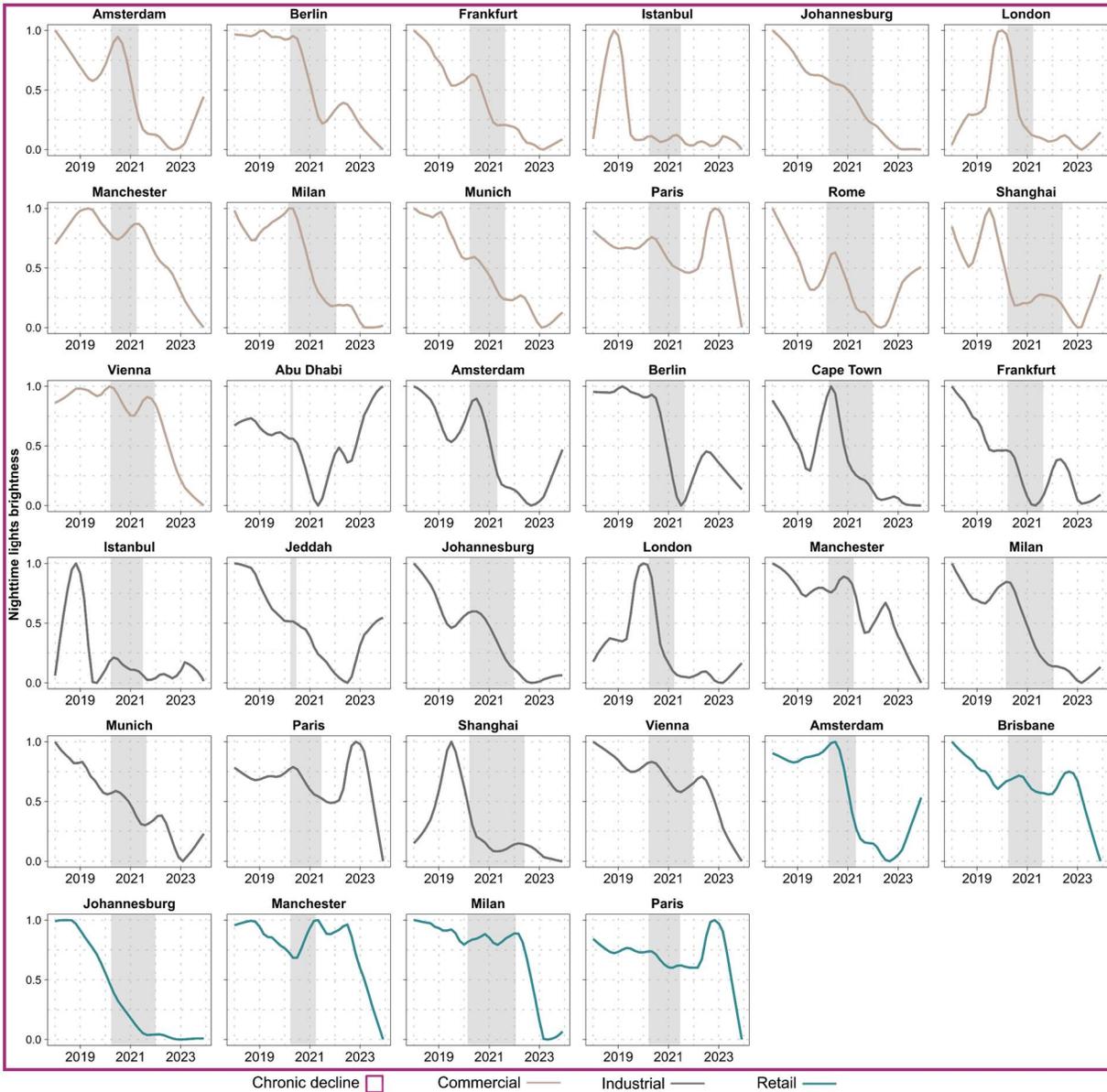
Appendix

Archetype	Sectoral	Continent	City	Essentiality	Gross Impact	Recovery	Net Impact
			Sectoral Mean		4.91	6.24	11.16
			Archetype Mean		4.51	4.60	9.12

3861

3862 Figures A1–A4 illustrate representative nighttime light trajectories for city–sector combinations
 3863 assigned to each resilience archetype. Archetypes are defined by relative differences in segment
 3864 midpoints derived from fitted linear models across the pre-lockdown, lockdown, and post-
 3865 lockdown periods, rather than by the visual “shape” of individual time-series (Chapter 5 Methods,
 3866 Resilience archetype classification). As a result, individual trajectories may display heterogeneous
 3867 temporal patterns while still satisfying the formal criteria for archetype assignment. These figures
 3868 therefore emphasize within-archetype variability rather than implying uniform recovery dynamics
 3869 across cities.

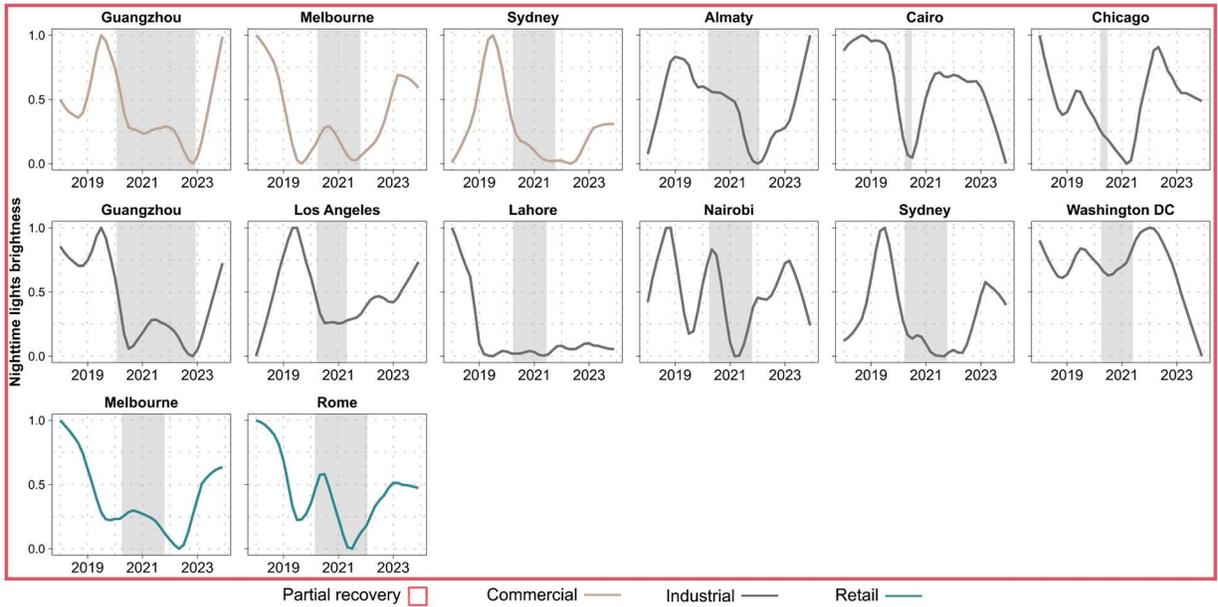
Appendix



3870

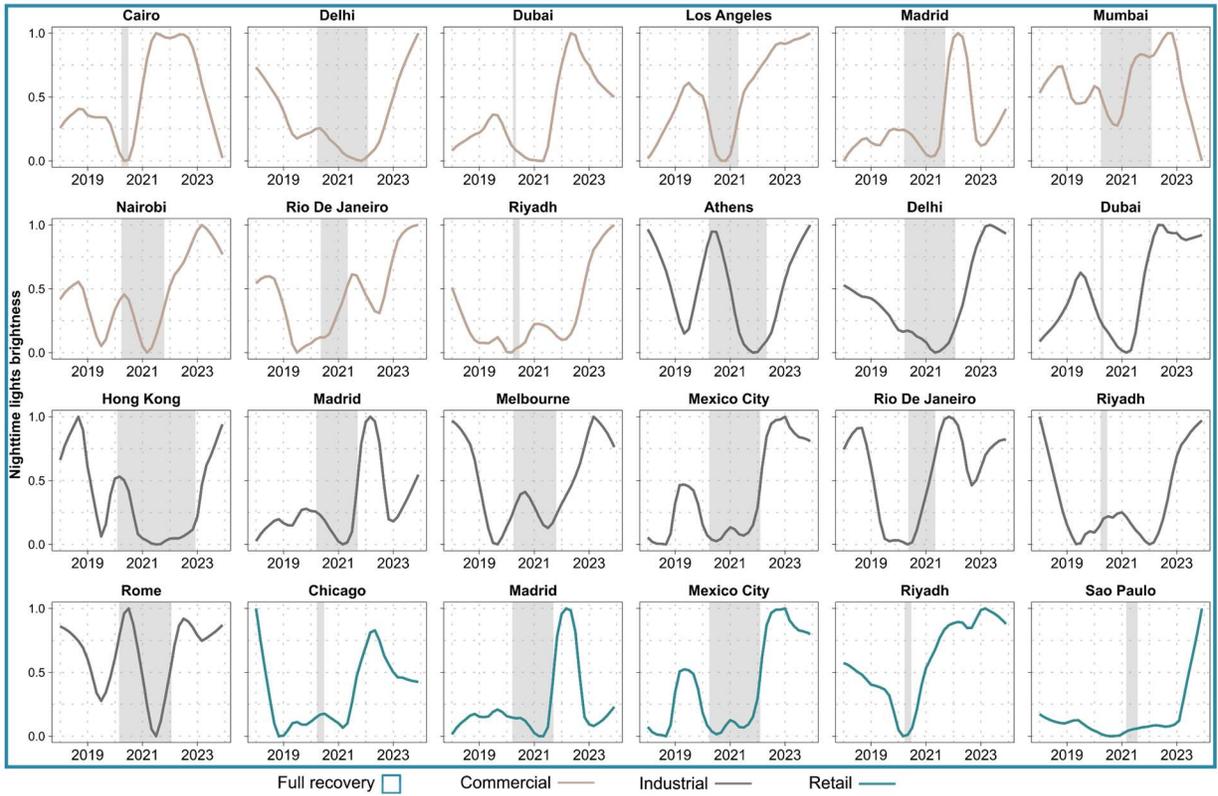
3871 Figure A-1: Nighttime light trajectories for cities classified under the chronic decline archetype.
 3872 Scaled nighttime light (NTL) intensity (0–1) from 2018 to 2023 is shown for city-sector
 3873 sector combinations classified as Chronic decline, plotted separately for commercial (brown), industrial
 3874 (grey), and retail (blue) sectors. Grey shaded areas indicate city-specific COVID-19 lockdown
 3875 periods. Cities in this archetype experienced sustained declines in nighttime brightness that began
 3876 during the lockdown and persisted throughout the study period, with minimal evidence of
 3877 recovery. The purple border delineates all cities within this archetype. Trajectories are normalized
 3878 within each city–sector combination to facilitate comparison of temporal patterns rather than
 3879 absolute brightness levels.

Appendix



3880

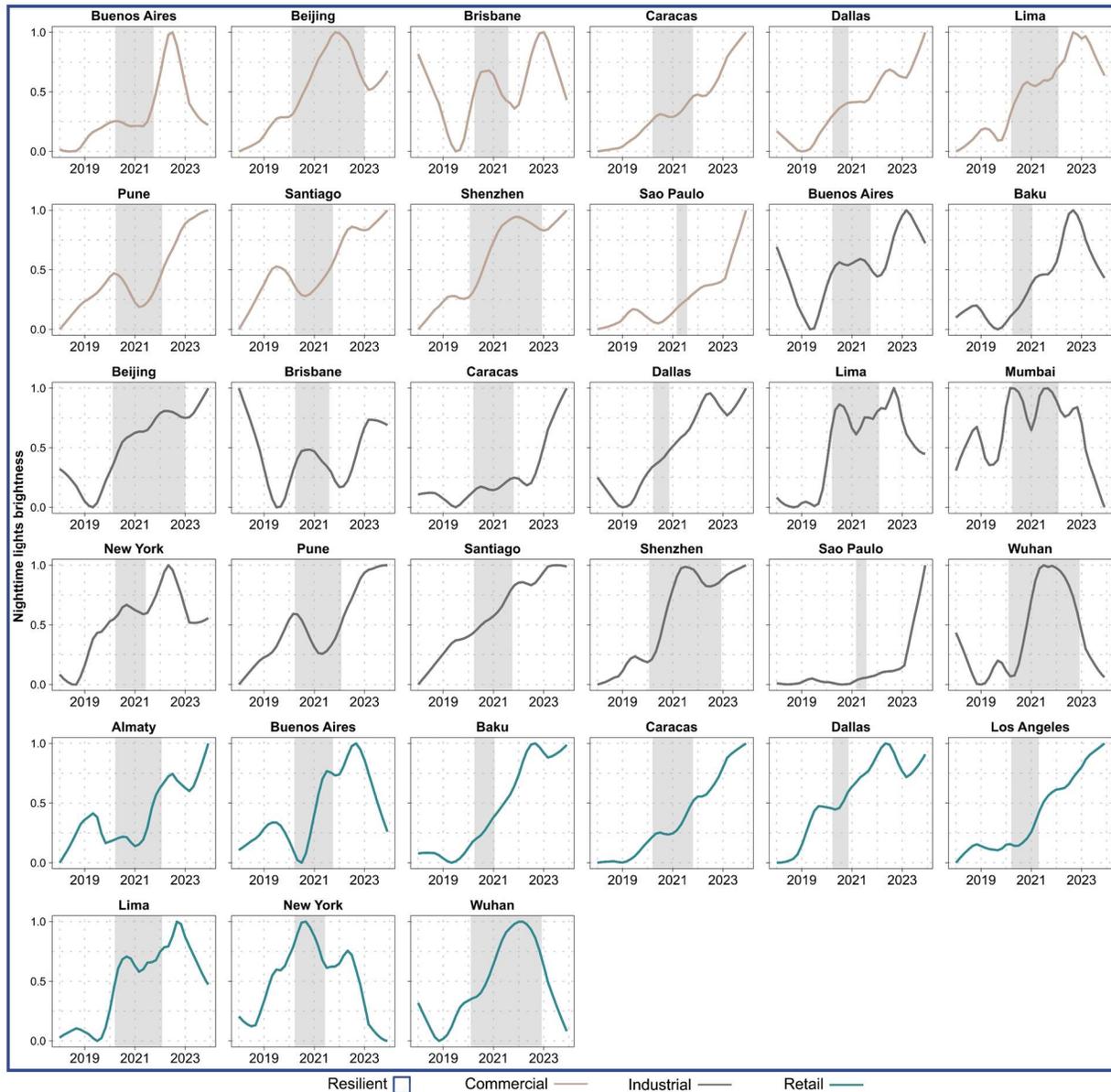
3881 Figure A-2: Nighttime light trajectories for cities classified under the partial recovery archetype.
 3882 Scaled nighttime light (NTL) intensity (0–1) from 2018 to 2023 is shown for city-sector
 3883 combinations classified as Partial recovery, plotted separately for commercial (brown), industrial
 3884 (grey), and retail (blue) sectors. Grey shaded areas indicate city-specific COVID-19 lockdown
 3885 periods. Cities in this archetype experienced declines in nighttime economic activity during
 3886 lockdown periods, followed by partial recovery that remained below pre-pandemic baselines. The
 3887 red border delineates all cities within this archetype. Trajectories are normalized within each city–
 3888 sector combination to facilitate comparison of temporal patterns rather than absolute brightness
 3889 levels.



3890

3891 Figure A-3: Nighttime light trajectories for cities classified under the full recovery archetype.
 3892 Scaled nighttime light (NTL) intensity (0–1) from 2018 to 2023 is shown for city-sector
 3893 combinations classified as Full recovery, plotted separately for commercial (brown), industrial
 3894 (grey), and retail (blue) sectors. Grey shaded areas indicate city-specific COVID-19 lockdown
 3895 periods. Cities in this archetype experienced declines in nighttime economic activity during
 3896 lockdown periods, followed by full recovery to pre-pandemic baselines. The cyan border
 3897 delineates all cities within this archetype. Trajectories are normalized within each city–sector
 3898 combination to facilitate comparison of temporal patterns rather than absolute brightness levels.

Appendix



3899

3900 Figure A-4: Nighttime light trajectories for cities classified under the resilient archetype. Scaled
 3901 nighttime light (NTL) intensity (0–1) from 2018 to 2023 is shown for city-sector combinations
 3902 classified as Resilient, plotted separately for commercial (brown), industrial (grey), and retail
 3903 (blue) sectors. Grey shaded areas indicate city-specific COVID-19 lockdown periods. Cities in this
 3904 archetype experienced maintained or increased their nighttime brightness throughout the study
 3905 period. The blue border delineates all cities within this archetype. Trajectories are normalized
 3906 within each city–sector combination to facilitate comparison of temporal patterns rather than
 3907 absolute brightness levels.

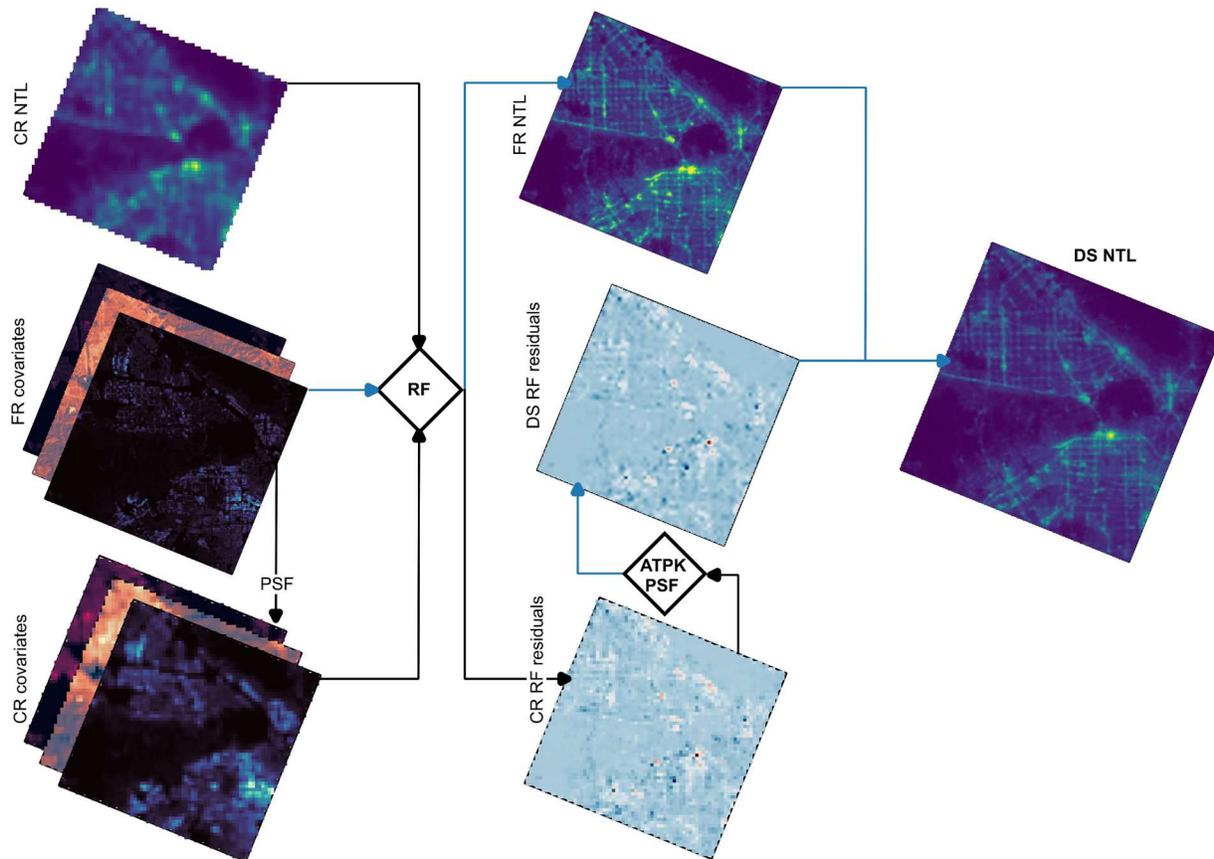
3908

3909 **Random forest area-to-point Kriging (RFATPK)**

3910 Random Forest area-to-point Kriging (RFATPK) was used to downscale monthly nighttime light
3911 (NTL) data from coarse to fine spatial resolution (Figure A-5). The method combines nonlinear
3912 regression with geostatistical residual downscaling to account for both the point spread function
3913 (PSF) (i.e., the blooming effect in NTL imagery) and spatial non-stationarity.

3914 Predictor variables were first convolved with a Gaussian filter to account for the PSF and
3915 resampled using the mean to match the original coarse resolution NTL data. A Random Forest (RF)
3916 model was trained at this scale, to capture nonlinear relationships between NTL brightness and
3917 auxiliary covariates, thereby estimating the spatial trend component. Under the assumption of scale
3918 invariance, the trained RF model was then applied to the fine resolution to predict the trend
3919 component in NTL.

3920 Model residuals computed at the coarse resolution were subsequently downscaled using area-to-
3921 point kriging (ATPK) and added to the RF-based trend prediction to obtain the fine-resolution NTL
3922 estimates (Tziokas et al., 2024). This formulation decomposes NTL into a trend component and a
3923 regression residual component, allowing RFATPK to jointly capture nonlinear covariate effects
3924 and spatial autocorrelation while satisfying the second-order stationarity assumption required for
3925 Kriging.



3926

3927 Figure A-5: Conceptual workflow of Random Forest area-to-point Kriging (RFATPK) for
 3928 nighttime light downscaling. Schematic overview of the RFATPK approach used to predict fine-
 3929 resolution nighttime light (NTL) intensity from coarse-resolution NTL and auxiliary covariates.
 3930 This workflow was applied for every monthly NTL image. Black and blue lines indicate data
 3931 processed at coarse and fine spatial resolutions, respectively. Acronyms: NTL, nighttime lights;
 3932 CR, coarse resolution; FR, fine resolution; PSF, point spread function; RF, Random Forest; ATPK,
 3933 area-to-point kriging; DS, downsampled.

3934 Spatial trend prediction using RF

3935 The spatial trend component of nighttime light (NTL) brightness was estimated using a Random
 3936 Forest (RF) regression model. RF is an ensemble learning approach that captures nonlinear
 3937 relationships between dependent and independent variables through aggregation of decision trees
 3938 (Breiman, 2001), and has been applied in NTL downscaling due to its robustness to
 3939 multicollinearity, missing data, and spatial heterogeneity in predictor relevance (Tziokas et al.,
 3940 2024).

3941 RF was selected for its strong generalization performance across heterogeneous urban
 3942 environments, which reduces the risk of overfitting when modeling complex and spatially variable
 3943 brightness patterns. Consistent with prior evidence that NTL intensity is most associated with
 3944 socioeconomic activities and the built environment (Xu et al., 2024), these covariates were
 3945 excluded in the regression. The incorporation of fine-resolution land cover information further

3946 mitigated overglow effects by increasing discrimination between built-up and non-built-up areas,
3947 as well as between illuminated and non-illuminated surfaces.

3948 The RF regression approach was used to increase the spatial resolution of NTL from its native ~
3949 500 m resolution to 130 m. Following our previous research (Tziokas et al., 2024), predictor
3950 variables were first smoothed and aggregated to the coarse NTL resolution to account for spatial
3951 spillover effects, after which the trained RF model was applied to generate fine-resolution
3952 estimates of the NTL spatial trend. The RF method was implemented using the R (R Core Team,
3953 2025) package, *ranger* (Wright and Ziegler, 2017).

3954 **Downscaling the RF residuals using ATPK**

3955 In the second stage of the RFATPK framework, residuals obtained from the coarse resolution RF
3956 regression were downscaled to fine spatial resolution using area-to-point kriging (ATPK).
3957 Regression-based approaches alone are insufficient for downscaling because they do not fully
3958 exploit the spatial and spectral information contained in the observed coarse-resolution data. ATPK
3959 is therefore employed to complement the RF regression, explicitly preserving the spatial structure
3960 and spectral consistency of the original nighttime light observations.

3961 ATPK is a geostatistical downscaling method designed to estimate values at a spatial resolution
3962 finer than the support of the original observations (i.e., pixel) (Zhang et al., 2021). Unlike
3963 conventional point-based Kriging, which treats each observation as a centroid value and neglects
3964 its spatial support, ATPK accounts explicitly for the size of the support, spatial autocorrelation,
3965 and the sensor's point spread function through spatial covariance modeling. This allows residual
3966 variability unresolved by the regression model to be redistributed in a spatially coherent manner.
3967 A key property of ATPK is its coherence constraint (Kyriakidis, 2004; Kyriakidis and Yoo, 2005),
3968 which guarantees that the aggregation of fine-resolution predictions reproduces exactly the original
3969 coarse-resolution observations. This ensures that the spectral characteristics of the nighttime light
3970 data are preserved during downscaling, preventing artificial inflation or attenuation of brightness
3971 values. ATPK was applied to the RF residuals using the R (R Core Team, 2025) package *atakrig*
3972 (Hu and Huang, 2020), and the resulting fine-resolution residuals were added back to the RF-
3973 predicted spatial trend to obtain the final downscaled NTL estimates.

3974

3975 Table A-4: Dataset/Covariates used for the downscaling process. LST (Land Surface
3976 Temperature), NDCCI (Normalized Difference Concrete Condition Index), BLFEI (Built-up Land
3977 Features Extraction Index), WSF (World Settlement Footprint), GAIA (Global Artificial
3978 Impervious Area), GISA (Global Impervious Surface Area), GEE (Google Earth Engine), Pop
3979 (Population Count), Pop dens (Population Density), LC (Land Cover), CLMS (Copernicus Land
3980 Monitoring Service), GHS (Global Human Settlement) and GDP (Gross Domestic Product).

Name	Format	Temporal coverage
NTL (Marty and Stefanini Vicente, 2025)	Raster	2018 – 2023 (monthly)
LST (Gorelick et al., 2017)	Raster	2018 – 2023 (monthly)
BLFEI (Gorelick et al., 2017)	Raster	2018 – 2023 (monthly)

Appendix

NDCCI (Gorelick et al., 2017)	Raster	2018 – 2023 (monthly)
WSF (“EOC Download - WSF2019,” n.d.)	Raster	2019 (yearly)
GAIA (Gong et al., 2020)	Raster	2018 – 2023 (yearly)
GISA (Huang et al., 2022)	Raster	2019 (yearly)
CLMS (“Imperviousness Density 2018 (raster 10 m and 100 m), Europe, 3-yearly — Copernicus Land Monitoring Service,” n.d.)	Raster	2018 (yearly)
GHS (Pesaresi and Politis, 2022)	Raster	2018, 2020, 2023 (yearly)
GDP (Paprotny and Mengel, 2023)	Raster	2018 – 2020 (yearly)
Pop (Weber et al., 2022)	Raster	2018 – 2021 (yearly)
Pop dens (Gorelick et al., 2017)	Raster	2018 or 2019 or 2020 or 2021 (yearly)
LC (Gorelick et al., 2017)	Raster	2018 – 2023 (yearly)
Road (“OpenStreetMap,” n.d.)	Vector	2018 – 2023 (yearly)

3981

3982 Table A-5: Spectral indices derived from Landsat 8 imagery. Definitions of spectral indices used
 3983 as covariates in the nighttime light downscaling framework. BLFEI and NDCCI denote the Built-
 3984 up Land Features Extraction Index and the Normalized Difference Concrete Condition Index,
 3985 respectively. Band names follow standard Landsat 8 Operational Land Imager (OLI) conventions.

Spectral index	Formula
BLFEI (Bouhennache et al., 2019)	$\left(\frac{\text{Green} + \text{Red} + \text{SWIR2}}{3} - \text{SWIR1}\right) / \left(\frac{\text{Green} + \text{Red} + \text{SWIR2}}{3} + \text{SWIR1}\right)$
NDCCI (Samsudin et al., 2016)	$(\text{NIR} - \text{Green}) / (\text{NIR} + \text{Green})$

3986