1 The UK National Screening Committee, the Newborn Genomes Programme, and the

2 Ethical Conundrum for UK Newborn Screening

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32 Abstract

Countries in the Global North use biochemical tests to screen for at least 20 diseases in 33 34 newborns, while in the UK, only 10 diseases are screened for. The United Kingdom National Screening Committee (UKNSC) is the entity responsible for making recommendations to the 35 government with regards to which conditions should be included in the Newborn Screening 36 (NBS) programme. Examination of the meeting minutes of the UKNSC between 2015 and 37 2022 revealed that no new diseases were recommended for NBS during this period. If there 38 was no 'effective treatment' for the disease it was rejected for NBS. In 2022, the Newborn 39 40 Genomes Programme (NGP) was announced; a research study aiming to screen for over 223 rare genetic diseases using whole genome sequencing technology in newborns. While this 41 could lead to a seismic expansion of NBS in the UK, many of the diseases included in the 42 programme are currently considered 'actionable' rather than 'treatable' conditions. This poses 43 an ethical conundrum for the UKNSC, which is involved in both NBS and NGP, given that it 44 45 has thus far made recommendations against the expansion of the NBS programme using 46 available biochemical assays, contrary to what has been implemented in other countries in the Global North. In this paper, we aim to critically examine the processes and circumstances that 47 have held back the expansion of the NBS programme in the UK, as compared with other 48 countries, focusing on the period 2015 - 2022, when no new diseases were added to the UK 49

50	NBS programme, and contrast them with the drivers that have led to the support and funding
51	for the NGP during this same time.
52	
53	Keywords
54	Newborn screening, genomic sequencing, newborn screening policy
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57 58	
59	Introduction
60	There are over 7000 diseases, that are classified as rare genetic diseases. These are diseases
61	that affect 1 or less people in every 2000 of the population. However, because there are
62	thousands of different rare diseases, it is estimated that 1 in 17 people in the UK have a rare
63	disease, this is equivalent to over 3.5 million people (UK Health Security Agency, 2018;

Genetic Alliance UK, 2019). 75% of people affected by a rare genetic disease are children, with more than 30% of these children dying before their fifth birthday (Genetic Alliance UK, 2019). Living with a rare genetic disease very often requires complex care and can be life limiting for the individual but also have a significant impact on carers in terms of financial stability and mental health (United Kingdom National Screening Committee, 2019; European Organisation for Rare Diseases, 2017; Delaye et al., 2022).

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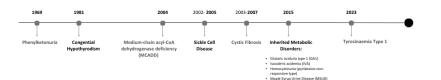
In 1969 Newborn Screening (NBS) for phenylketonuria was introduced in the UK, in what was considered one of the earliest and most ground-breaking public health initiatives established to identify and manage rare diseases in infants shortly after birth (Downing and Pollitt, 2008). In the UK, NBS primarily involves biochemical analysis of blood spots collected via heel-prick on day five after birth and has been extended to 10 diseases since 1969 (Figure 1). The

76	introduction of tandem mass spectrometry (MS/MS) in the 1990s provided the opportunity to
77	screen blood spots for approximately 60 metabolites related to 50 different diseases in a cost-
78	effective way (Carlie Driscoll C and McPherson B, 2010). Since the introduction of this
79	technology, many countries have expanded their NBS programmes. For example, the United
80	States now includes 35 core conditions, Italy screens for 40 conditions, Australia includes 25
81	conditions, and both Japan and New Zealand screen for 20 conditions. In contrast, the UK
82	currently screens for 10 conditions (Therrell et al., 2024).
83	

- 84
- 85

86 Fig. 1 Conditions currently included in the United Kingdom newborn screening

87 programme



- 89 While the UK was an early adopter of NBS, it has not expanded its NBS programme at the
- same rate as other countries in the Global North. Consequently, the UK has been criticised by
- 91 many experts including paediatricians, obstetricians and clinical geneticists, as lagging
- 92 behind.
- 93
- The United Kingdom National Screening Committee (UKNSC) is the entity responsible for
 making recommendations to Government ministers and NHS Chief Medical Officers (CMOs)

with regards to which conditions should be included in the NBS programme. They are the 96 gatekeepers when it comes to expanding the NBS programme. Genetic Alliance UK (GAUK) 97 - a group of scientists, clinicians, and charities for rare genetic diseases - criticised the approach 98 taken by the UKNSC in relation to the NBS programme in a report published in 2019 (Genetic 99 Alliance UK, 2019). Criticism stems from considerable frustration that NBS for many rare 100 101 metabolic diseases has been held back, when cost-effective screening tests exist. GAUK has argued that this has prevented many patients with rare genetic diseases from obtaining an early 102 103 diagnosis over several decades.

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Recent developments in "low-cost" genomic sequencing technologies provide an alternative 106 methodology to identify rare genetic diseases. While they are considered 'low-cost" in relation 107 to the cost of sequencing technology a decade ago, they are still prohibitively expensive for 108 109 UK-wide NBS. However, a more targeted application of these sequencing technologies has 110 been assessed in critically unwell babies and children; with many studies demonstrating its effectiveness in establishing diagnoses and influencing clinical management in this population 111 112 (Group et al., 2021; Mestek-Boukhibar et al., 2018; Chung et al., 2020; Dimmock et al., 2020; Horton and Lucassen, 2023). Consequently, under the new Wales Infants and children's 113 Genome Service (WINGS), NHS Wales became the first country in the UK to introduce whole 114 115 genome sequencing to rapidly diagnose rare diseases in critically ill babies and children (All Wales Medical Genomics Service, 2019). In the first two years of the WINGS service, 116 pathogenic or likely pathogenic variants were identified in 17 children from 45 families tested 117 (Murch O, 2021). The service has demonstrated significant health benefits for these patients, 118 119 including changes to clinical management. (Jezkova et al., 2022; Murch O, 2021). A similar programme in California showed the huge cost benefit of early diagnosis of critically ill 120

children with rare genetic diseases, leading to the introduction of the "Ending the Diagnostic
Odyssey Act 2021". As a result, all 50 States' Medicaid programmes now cover the cost of
whole genome sequencing for critically ill children (Jezkova et al., 2022).

124

In 2022, the UK government announced the launch of the Newborn Genomes Programme 125 126 (NGP), a project costing £105 million, which aims to sequence the genomes of 100,000 newborns, as part of an NHS-embedded study, for genetic conditions that may impact their 127 health in the early years of life (Genomics England, 2023c). The NGP is led by Genomics 128 129 England, which was originally set up in 2013 by the United Kingdom Department of Health and Social Care to deliver the 100,000 Genomes Project (Genomics England, 2025). While the 130 UKNSC is not a direct partner in delivering the NGP, it has been involved in its development. 131 In 2021, the UKNSC and Genomics England jointly commissioned a public dialogue to explore 132 the programme's implications for newborns (Genomics England, 2021; Pichini et al., 2022). 133 Experts from UKNSC are also members of Genomics England's Clinical Assurance Group, 134 135 which aims is to provide assurances that appropriate care and treatment for each condition in the study is accessible across the NHS (Genomics England, 2023b). 136

137

Communications on Genomics England's website state that the results of this study "will add 138 to evidence that will inform future decisions on using whole genome sequencing to support 139 140 newborn screening" (Genomics England 2023c). In October 2023, Genomics England 141 published a list of 223 individual genetic conditions that will be included in the NGP (Genomics England, 2023a). Many of the listed diseases have been previously rejected by the UKNSC 142 from inclusion in NBS programme. This creates a contradictory position for the UKNSC; if the 143 144 primary goal of the NGP is to expand NBS, the UK could achieve this by extending the biochemical analysis of blood spots similar to other countries in the Global North. The NGP 145

146	also raises many questions on the scope of the programme, informed consent and interpretation
147	of uncertain findings (The Lancet, 2023; Page, 2023; Horton and Lucassen, 2023). In this paper,
148	we aim to critically examine the processes and circumstances that have held back the expansion
149	of the NBS programme in the UK, as compared with other countries, as well as briefly consider
150	the ethical aspects of the NGP. Specifically, we will focus on the period $2015 - 2022$ when no
151	new diseases were added to the UK NBS programme and contrast them with the drivers that
152	have led to the support and funding for the NGP during this same time.

154 Methods

A review of the literature was conducted focusing on NBS policy in the UK. This included a 155 review of the relevant grey literature such as blogs published on the UKNSC official website, 156 evidence maps conducted by commissioned external consultants published on the UKNSC 157 website, and reports published by relevant organisations and rare disease patient advocacy 158 groups such as Genetic Alliance UK and the European Organisation for Rare Diseases 159 160 (EURORDIS). A critical analysis of the meeting minutes published on the UKNSC website was conducted for the period 2015 - 2022. Meeting minutes were reviewed and analysed to 161 plot key points in the evolution of NBS policy, map the diseases submitted for screening 162 163 recommendations, identify the most common reasons for disease rejection as per UKNSC criteria and construct a case study to demonstrate the current recommendation process for NBS 164 165 in the UK and its outcomes in comparison to other European countries and the US. To note, the UKNSC meeting minutes are only available publicly from 2015. Meeting minutes prior to this 166 167 date have not been published.

168

169 Results

170	The United Kingdom National Screening Committee and the evolution of the UK screening	
171	criteria	
172	Established in 1996, the UKNSC serves to advise the NHS and ministers in all four countries	
173	of the UK with regards to all aspects of population screening and has responsibility for	
174	making recommendations with respect to which conditions are included in the screening	
175	programme. The UKNSC is accountable to the four CMOs, and currently recommends	
176	screening for 10 conditions via dried blood spots collected by a nurse, midwife or health	
177	visitor, five days following birth and sent to one of thirteen laboratories in the UK for testing	
178	(United Kindgom Government, 2022b). In contrast to the UK, many other countries collect	
179	newborn screening samples within the first 24–48 hours of life to ensure timely detection of	
180	potentially serious conditions such that could manifest within the first week of life. While the	
181	UK approach may help reduce false-positive results for certain conditions, it also raises	
182	concerns regarding potential delays in diagnosing time-critical disorders such as MSUD and	
183	CAH, which require urgent intervention, as results can take six weeks to become available	
184	(Therrell et al., 2024). While these are legitimate concerns, they fall outside the scope of this	
185	paper.	
186		
187	The conditions included in the UK NBS programme have been determined based on a set of	
188	criteria, derived from the principles originally developed by Wilson and Jungner in 1966 for	
189	general population screening (United Kingdom National Screening Committee, 2022;	
190	Jungner G and Wilson JMG, 1966). The criteria have evolved since the establishment of the	
191	UKNSC in 1996, with a revised list of 20 criteria published two years later by the UKNSC in	
192	their first report (Table 1) (United Kingdom National Screening Committee, 1998). Whilst	
193	the same set of screening criteria is currently in use, the process shifted in 2015, with annual	
194	calls put out for proposals to screen specific diseases (United Kingdom National Screening	

Commented [LH1]: In response to reviewer 1 comment on testing at day 5 versus first 24 hours. Thought inclusion in discussion as reviewer suggested may be distracting as the focus of the paper is not on criticising the current NBS programme and the process of how it is conducted but rather the UKNSC approach with re to its recommendations for NBS vs. NGP. The other option is to include in discussion (see discussion section below). But again, may be distracting from main focus. Thoughts?

195	Committee, 2023a). Valid proposals are taken forward by commissioning an evidence map
196	from an external consultant (eg Costello Medical), whereby published research related to a
197	particular proposed disease is reviewed against the 20 criteria set by the UKNSC to
198	recommend screening. There is also public consultation, and anyone can submit a response to
199	the call, including learned scientific or medical societies and individuals such as medical
200	experts, scientists, patients, carers, and parents.
201	
202	Table 1. Comparison of National Screening Committee Criteria for Population
203	Screening Programme with Original Wilson and Jungner Principles of Disease
204	Screening
205	
206	Our analysis of meeting minutes and evidence maps of the 20 diseases put forward to the
207	UKNSC reveals that none were recommended for NBS between 2015 and 2022.
208	Tyrisonaemia Type 1 was recommended in early 2023 and only Severe Combined
209	Immunodeficiency Disorder (SCID) has progressed to a pilot screen (Mackie A., 2023). Table
210	3 provides the list of criteria (from those cited in Table 2) not met for each of these 20
211	diseases. The three most common reasons for the UKNSC not recommending NBS for a
212	specific disease are lack of a specific test (cited in seven cases), lack of high-quality
213	randomised-controlled trials showing that the screening programme is effective in reducing
214	mortality or morbidity (cited in five cases), and lack of UK-specific prevalence data (cited in
215	five cases).
216	
217	Table 1. Diseases reviewed for newborn screening in the UK since 2015
218	Comparison of newborn screening criteria in the United States, the United Kingdom, and
219	other European Countries

220	In 2003, the Advisory Committee on Heritable Disorders in Newborns and Children
221	(ACHDNC) was formed to advise the Secretary of Health and Human Services (SHHS)
222	about newborn and childhood screening. In 2004, the ACHDNC reviewed the panel of
223	conditions recommended for national implementation. The American College of Medical
224	Genetics (ACMG, now the American College of Medical Genetics and Genomics) was
225	tasked with collecting expert opinions and analysing scientific literature on newborn
226	screening (Health Resources and Services Administration - Advisory Committee on Heritable
227	Disorders in Newborns and Children, 2023). These findings were intended to inform
228	recommendations, including the establishment of a standardised panel of conditions. The
229	panel was finalised in 2005 and subsequently recommended to the SHHS, which officially
230	approved it in 2008 (Health Resources and Services Administration - Advisory Committee on
231	Heritable Disorders in Newborns and Children, 2023). The initial Recommended Uniform
232	Screening Panel (RUSP) included 29 core conditions and 25 secondary conditions. Core
233	conditions were those deemed suitable for immediate implementation, while secondary
234	conditions were those that could be detected during screening for a core condition but
235	required further research due to insufficient scoring. In 2010, severe combined
236	immunodeficiency (SCID) was added, and by 2016, the panel had expanded to 35 core
237	conditions and 26 secondary conditions (Health Resources and Services Administration -
238	Advisory Committee on Heritable Disorders in Newborns and Children, 2023).
220	The ACHDNC follows a structured, evidence-based approach for evaluating conditions
239	
240	nominated for inclusion in the RUSP. After a condition gets nominated by researchers or
241	advocacy groups, an external group compiles and analyses data for the ACHDNC, drawing
242	from systematic literature reviews, decision-analytic modelling, and stakeholder input
243	(Goldenberg et al., 2016). This process is structured around the chain of evidence,
244	encompassing newborn screening, follow-up diagnostics, and treatment outcomes

- 245 (Goldenberg et al., 2016). The ACHDNC then evaluates the net benefit of screening based on
- 246 health outcomes, benefits, harms, and screening effectiveness, assigning a rating from A
- 247 (high benefit) to L (low certainty of benefit) (Kemper et al., 2014). In 2013, the decision-
- 248 making process was revised to include an assessment of the capability of newborn screening
- 249 programmes to implement the test, evaluating feasibility and readiness (Kemper et al., 2014).
- 250 The Decision Matrix integrates these ratings to guide recommendations, with conditions rated
- 251 A1 or A2 being strongly recommended, while others may require further research or system
- 252 improvements (Kemper et al., 2014). The final decision is submitted to the SHHS, who
- 253 provides guidance for state-level implementation (Table 4).

Table 2. Decision-Making Process for Conditions Nominated to the Recommended Uniform Screening Panel (RUSP)

Step	Description
Nomination	A condition is proposed for inclusion in the RUSP by researchers,
	advocacy groups, or other stakeholders.
Evidence Review	An external evidence review group gathers data on screening benefits and
	harms from published and unpublished sources.
Evidence Report	A systematic review and decision analytic model is externally conducted
	to estimate potential benefits and risks of screening.
Assessment of	The ACHDNC assigns a rating based on health outcomes, treatment
Net Benefit	benefits, and potential harms: (A) High certainty of significant benefit.
Net Bellent	(B) Moderate certainty of significant benefit, but further research may refine findings.
	(C) Small to zero net benefit.
	(D) Negative net benefit, meaning screening could do more harm than good.
	(L) Low certainty due to insufficient evidence.

Assessment of	Evaluates whether state newborn screening programs can implement
Assessment of	81 8 1
	testing, assigning:
Capability to	(1) Ready for implementation within a year.
	(2) Developmentally ready, but requires 1–3 years.
Screen	(3) Feasible, but unprepared, requiring more than 3 years.
	(4) Low feasibility, making implementation impractical.
Decision Matrix	Combines the Net Benefit and Capability Ratings to guide decisions:
	(A1) or (A2) Strong recommendation for inclusion.
Evaluation	(A3) or (A4) Considered for inclusion, but improvements in readiness
	may be needed.
	(B), (C), (D), or (L) Not recommended, but future research may change
	eligibility.
Final	The ACHDNC submits its recommendation to the Secretary of Health
Recommendation	and Human Services.

256 Unlike a nationally mandated screening programme, the RUSP serves as a federal guideline

257 for NBS. Individual states retain the authority to determine which conditions to include in

258 their programmes. However, several states have enacted laws that align their NBS

259 programmes with the RUSP, ensuring that any condition added to the federal panel is

260 promptly included at the state level (Salova, 2025).

261

- 262 Of note, in seven cases noted in Table 3, where the UKNSC did not recommend screening
- 263 due to lack of a specific test, these diseases are currently screened for in the US programme
- 264 (Biotinidase deficiency, Congenital Adrenal Hyperplasia, Galactosaemia, Long-chain 3-
- 265 hydroxyacyl dehydrogenase deficiency, Mitochondrial Trifunctional Protein,
- 266 Mucopolysaccharidosis I) (Health Resources and Services Administration Advisory
- 267 Committee on Heritable Disroders in Newborns and Children, 2023). Indeed, eleven of the

268 diseases not recommended by the UKNSC for newborns in UK are part of the NBS

269 programme in the US, which highlights the dramatic difference in the approval process

- 270 between the US and the UK. The UKNSC utilises this difference to claim that the UK process
- 271 is more rigorous, whereas others argue that the UK screening criteria are not appropriate for
- all diseases, specifically rare genetic diseases (Genetic Alliance UK, 2019; Page, 2023;

273	Downing and Pollitt, 2008). It could be argued that the difference in the scope of the NBS
274	programme between the US and the UK may be explained by variations in health economics
275	and the contrast between public and private healthcare systems. However, the argument of
276	cost versus benefit is not part of the criteria used by the UKNSC to make its initial
277	recommendations to ministers and CMOs.
278	
279	NBS programmes across European countries exhibit significant variability in both the
280	number of conditions screened and the decision-making processes governing their inclusion.
281	While some countries, such as the Netherlands (23 conditions) and Poland (29 conditions),
282	have extensive screening panels, others, such as Greece (5 conditions) have more limited
283	programmes (Therrell et al., 2024; Loeber et al., 2021). The governance over the screening
284	policy also differs, with centralised bodies similar to the UKNSC such as Germany's Federal
285	Joint Committee and Netherland's Centre for Population Screening of the National Institute
286	for Public Health and the Environment overseeing inclusion based on predefined criteria,
287	whereas countries like Italy and Spain allow regional health authorities to determine
288	screening policies. Despite these differences, many countries in Europe still screen for
289	considerably more conditions than the UK. For example, Sweden, Portugal and Austria
290	screens for 24 conditions, highlighting the relative restrictiveness of the UK's approach
291	(Therrell et al., 2024; Loeber et al., 2021).
292	

294 Case Study – Biotinidase Deficiency

295 Biotinidase deficiency (BD) is an autosomal recessive metabolic disorder that affects the

- 296 BTD gene; this gene is responsible for producing an enzyme called biotinidase (Online
- 297 Mendelian Inheritance in Man (OMIM), 2023). The disorder occurs due to an absence of

298	biotinidase activity, which results in the body's inability to breakdown and recycle biotin, a B
299	vitamin (Online Mendelian Inheritance in Man (OMIM), 2023). In the absence of normal
300	biotinidase activity, babies tend to develop primary neurologic symptoms such as seizures,
301	hypotonia, vision problems and hearing loss, along with cutaneous abnormalities, including
302	skin rashes, alopecia and recurrent viral or fungal infections (Chedrawi et al., 2008; Yang et
303	al., 2020). Treatment consists of lifelong oral supplementation with unbound (free) biotin
304	(Dahiphale et al., 2008). Children diagnosed before symptom manifestation generally remain
305	asymptomatic and appear to have a normal development if adequate adherence to biotin
306	supplementation is maintained (Dahiphale et al., 2008; Szymanska et al., 2015). If babies are
307	not diagnosed and treatment is delayed, children suffer different degrees of irreversible
308	neurologic symptoms such as hearing loss, visual abnormalities, and developmental delays
309	(Liu et al., 2023).
310	
311	The 2021 evidence map concludes that whole population screening for BD in newborns
312	should not be introduced in the UK and that the current recommendation should be retained
313	(Costello Medical., 2021). The justification for this decision was based on two observations;

314 firstly, while some evidence on the prevalence and incidence of BD in high-income countries

316 (Costello Medical., 2021). Secondly, while evidence is available on the accuracy of current

317 screening tests using the dried blood spots for BD in high-income countries, no UK-specific

evidence was found (Costello Medical., 2021). It was then established that the limited

number of studies currently available, the heterogeneity in the index tests examined, and the

320 lack of consistency in the outcomes reported limited the comparability of the evidence

321 available (Costello Medical., 2021).

323	On the basis of this evidence map, the UKNSC concluded that the volume and type of
324	evidence related to screening for BD is currently insufficient to justify an update review at
325	this stage and should be reconsidered in three-years time. Thus, while the UK still does not
326	screen for BD, it is screened for in over 30 other countries, including the US
327	(Wolf et al., 1985; Costello Medical., 2021; Therrell et al., 2024). Importantly the decision,
328	not to recommend screening for BD, moved forward despite consultation responses from the
329	Royal College of Paediatrics and Child Health and University College London Great Ormond
330	Street Institute of Child Health urging for early screening for BD and citing evidence on
331	improved outcomes when early treatment is initiated (Wolf, 1993; Costello Medical., 2021).
332	Barry Wolf, the pioneer of BD newborn screening, published in 2017 on the successful long-
333	term outcomes of adolescents and adults with profound BD who were identified through
334	newborn screening, showing normal cognitive development, academic achievement, and
335	healthy pregnancies in treated individuals (Wolf, 2017). Interestingly, BD is in the recently
336	published list of diseases to be included in the upcoming NGP, despite being rejected for
337	NBS by the UKNSC in 2012, 2018 and 2022.
338	
339	Rare diseases and the voice of patients and parents
340	Rare diseases, though individually affecting only a limited number of patients, collectively
341	impact a substantial portion of the global population. It is estimated that between three to six
342	percent of the global population suffer from a rare disease (Nguengang Wakap et al., 2020).
343	Living with a rare disease presents a lifelong challenge, encompassing complex care needs
344	that can significantly impact people's quality of life (Ferreira, 2019). Early diagnosis plays a
345	pivotal role in providing individuals with rare diseases an opportunity to be involved in
346	clinical trials and other research studies (United Kingdom Government Department of Health,

347 2013; United Kingdom Government Department of Health, 2023). Additionally, early

348	diagnosis alleviates the emotional distress of families grappling with uncertainty, reduces the
349	financial burden on the NHS by shortening an often-prolonged diagnostic odyssey, and
350	facilitates the engagement of caregivers with patient support groups, offering invaluable
351	enhancements to the quality of life for both the patients and their caregivers (Genetic Alliance
352	UK, 2019; European Organisation for Rare Diseases, 2021). It is therefore vital that the voice
353	of rare disease patients and that of their carers and family members is taken into
354	consideration when developing wider national policy.
355	
356	The report published in 2018 by GAUK was critical of the UKNSC with regards to how they
357	had modified the original Wilson and Jungner criteria in a way that would make it highly
358	unlikely to gain approval for NBS of a rare genetic disease (Genetic Alliance UK, 2019).
359	They noted that this had been done by re-wording of the original Wilson and Jungner criteria,
360	for example "suitable test" had been changed to "validated test", and "acceptable" treatment
361	had been changed to "effective treatment" (Genetic Alliance UK, 2019). Moreover, the
362	inclusion of new criteria, such as the requirement for a high quality randomised controlled
363	trial, created an additional barrier to the addition of rare genetic diseases to the UK NBS
364	programme (Genetic Alliance UK, 2019). In the UKNSC minutes published in 2020, it is
365	noted that the committee reviewed the 2018 GAUK report and sent a response to the authors,
366	but did not make any changes to the criteria with regards to NBS screening for rare genetic
367	diseases (United Kingdom National Screening Committee, 2020). Similarly, no changes were
368	made following the publication of EURORDIS recommendations in 2021 which promote
369	screening that is proportionate to the reality of evidence challenges with rare diseases, and
370	should not be unreasonable or impossible (European Organisation for Rare Diseases, 2021).
371	Nevertheless, in a blog on the website that celebrated 25 years of the UKNSC, the committee

372 contended that the UK has "the most robust screening process in the world" (United

373 Kingdom National Screening Committee, 2021).

374

375 Reform of the United Kingdom National Screening Committee

376 With the reorganisation of the UKNSC, a Blood Spot Task Group (BSTG) was established in

377 2022 consisting of paediatricians, academics, ethicists, quality assurance professionals,

378 geneticists, as well as patient and public voice representatives (United Kingdom Government,

379 2022). The task group's first aims are to compare the UK screening and implementation

380 practices with the EURODIS key principles in NBS, develop recommendations that meet the

381 challenges of finding good quality evidence on the accuracy of different tests for rare genetic

382 diseases, and develop a publication on the challenges and solutions in economic models

relating to NBS (Seedat F., 2022).

385	Review of the BSTG meeting minutes in July 2023 reveals that a manuscript comparing the
386	EURORDIS principles with UK practices was submitted for peer review, taking into
387	consideration feedback received from patient and public voice members (United Kingdom
388	National Screening Committee, 2023b). In the paper, which was published two months later
389	(Lombardo et al., 2023), the UKNSC concluded that UK practices are only partially aligned
390	with the EURORDIS first principle, which recommends identifying opportunities to support
391	the newborn and their family as broadly as possible, including making recommendations for
392	screening of actionable conditions - defined by EURORDIS as conditions where early
393	intervention leads to health benefits for the newborn, conditions where facilitation of early
394	diagnosis avoids a prolonged diagnostic odyssey, or where there are improved outcomes for
395	the family such as access to patient groups and informed reproductive rights (European
396	Organisation for Rare Diseases, 2021). The UKNSC maintains that NBS should only be

397	recommended when a disease is treatable (Lombardo et al., 2023), which is in contrast to the
398	approach of EUDORIS. It is surprising, therefore, that Genomics England has taken the
399	decision to identify 223 rare genetic diseases in babies, most of which are not treatable, but
400	are considered actionable diseases (Genomics England, 2023c).
401	
402	During 2020 and 2021, the UKNSC worked with a representative of the Nuffield Council on
403	Bioethics to review the way the committee considers the ethical aspects of the current
404	screening programme, and new members with expertise in ethics and social science were
405	recruited (Joynson, 2021). This resulted in the suggestion of four new core ethical principles
406	that should be considered in the decision-making process of the UKNSC when examining
407	new cases for NBS (Table 5) (Joynson, 2021). However, it is not clear from the UKNSC
408	minutes whether consideration of these four new ethical principles has had any influence on
409	the committee's current decision-making process.
410	the committee's current decision-making process. Table 5. The UK National Screening Committee four principles of ethical evaluation
410	
410 411	Table 5. The UK National Screening Committee four principles of ethical evaluation
410 411 412	Table 5. The UK National Screening Committee four principles of ethical evaluation In summary, the minutes of the UKNSC between 2015 and 2022 show that the UKNSC has
410 411 412 413	Table 5. The UK National Screening Committee four principles of ethical evaluation In summary, the minutes of the UKNSC between 2015 and 2022 show that the UKNSC has rigidly adhered to an algorithmic decision-making process, which requires each of the 20
410 411 412 413 414	Table 5. The UK National Screening Committee four principles of ethical evaluation In summary, the minutes of the UKNSC between 2015 and 2022 show that the UKNSC has rigidly adhered to an algorithmic decision-making process, which requires each of the 20 screening criteria (Table 2) to be met before recommending a new disease for NBS. As a
410 411 412 413 414 415	Table 5. The UK National Screening Committee four principles of ethical evaluation In summary, the minutes of the UKNSC between 2015 and 2022 show that the UKNSC has rigidly adhered to an algorithmic decision-making process, which requires each of the 20 screening criteria (Table 2) to be met before recommending a new disease for NBS. As a result, between 2015 and 2022 no new disease has been added to the UK NBS programme,
410 411 412 413 414 415 416	Table 5. The UK National Screening Committee four principles of ethical evaluation In summary, the minutes of the UKNSC between 2015 and 2022 show that the UKNSC has rigidly adhered to an algorithmic decision-making process, which requires each of the 20 screening criteria (Table 2) to be met before recommending a new disease for NBS. As a result, between 2015 and 2022 no new disease has been added to the UK NBS programme, despite the voice of parents, and medical and scientific experts. By contrast, other countries

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420 Genomics England and the introduction of the Newborn Genomes Programme

421 In 2016, CMO Dame Sally Davies entitled her annual report 'Generation Genome', setting the stage for establishing Genomics England and the 500,000 Genome Project, Genomic 422 England's first initiative to sequence adult patients affected by rare diseases or cancer (Davies 423 C. S., 2016). The main argument put forward for this work is the potential of personalised 424 medicine and prevention over cure, which is predicted to increase population health and 425 426 reduce healthcare costs. The project was funded by the Wellcome Trust (an independent 427 medical charity), UK Research and Innovation (UKRI) with four Biopharmaceutical companies (Amgen, Astra Zeneca, GSK, and Johnson & Johnson), each contributing 428 429 £120,000 million to the project (Bell, 2019). Of note, the genomic data of individuals 430 participating in the project was linked to their healthcare data, which was provided by the NHS. The full anonymised data (genomic and healthcare) from the 500,000 genomes project 431 was released by the UK Biobank in 2023 with the four BioPharmaceutical companies given 432 early access to the data, nine months before it was made public (Bell, 2019). The value of this 433 434 resource to the scientific community and businesses (eg Biopharma, healthcare and health 435 insurance) is immeasurable and data from the BioBank has already contributed to over 9000 scientific research papers (Callaway, 2023). 436 437 In 2020, Genomics England announced a public dialogue, jointly commissioned by the 438 UKNSC, to assess whether the public would support whole genome sequencing of 100,000 439 440 newborns (Hopkins Van Mil., 2021; Pichini et al., 2022). A total of 133 participants took part 441 in the public dialogue and the responses were reported to be 'largely positive" (Hopkins Van Mil., 2021). This report has been used to evidence the public's approval of genomic screening 442

and educational level was not made available in the report. This information is important for

of newborns. However, participants' demographics data such age, gender, religion, ethnicity,

445 assessing the validity of the study, and its absence limits the ability to evaluate the

446	generalisability of the findings. Moreover, the small sample size did not allow for
447	stratification of opinions according to different characteristics e.g. pregnant women, parents
448	etc. Nevertheless, based on the "largely positive" response from the public consultation, an
449	independent ethics committee was established to determine the criteria for inclusion of
450	genetic diseases in the NGP (Genomics England, 2023d). In 2022, a public survey with
451	respect to these criteria was undertaken and four ethical principles were identified to guide
452	the choice of conditions to be screened for as part of the NGP (Table 6) (Genomics England,
453	2023b).
454	

Table 6. Ethical principles guiding the selection of conditions included in the Newborn 455 **Genomes Programme** 456 These principles diverge significantly from the criteria set by the UKNSC with respect to 457 458 NBS. Firstly, the language used to describe these principles is open to interpretation, in 459 particular when determining what is considered "strong evidence" or a "high proportion" of 460 individuals. Secondly, the third principle set by Genomics England does not specify requirement for UK specific data or evidence from double-blind randomised clinical trials, 461 which are conditions that need to be met for UKNSC to recommend screening. Moreover, 462 463 whilst Genomics England published the list of conditions that will be included in the NGP, the evidence maps showing how these conditions meet the ethical criteria have not been made 464 publicly available (Genomics England, 2023a). It is clear that the ethical principles guiding 465 466 the choice of conditions the NGP aims to identify through whole genome sequencing differ significantly from those of the UKNSC. While we would expect to see a change in ethical 467 principles with time, it would not be ethically and morally acceptable to have the UKNSC 468 NBS programme and the NGP operating at the same time, given that NGP is being promoted 469 on the Genomics England website as 'an extension of the NBS programme', giving the 470

471 impression that diseases screened for in the NGP could become part of the NBS in the future

472 (Genomics England, 2023c).

473

It could be argued that Genomics England does not have to strictly adhere to the UKNSC 474 criteria. However, the NGP is a study involving 100,000 newborns and their families, and is 475 476 imbedded in, and jointly run by, the NHS. Horton and Lucassen provide a critical 477 examination of the complexities and challenges of newborn genome screening based on 478 insights from the NC NEXUS and BabySeq projects - two studies conducted in the US that 479 aimed to explore the use of genomic sequencing in newborns in identifying actionable 480 conditions and assess its impact on health outcomes. The authors highlight that the findings from these projects often identified specific risks that were difficult to quantify and required 481 resource-intensive monitoring, rather than offering straightforward diagnoses with actionable 482 treatments (Horton and Lucassen, 2023). The added costs of repeated investigations and 483 484 regular reviews over the lifetime of these patients - who may never develop these conditions 485 - will significantly impact the NHS and should be appropriately addressed prior to embarking on a study of this scale. 486 487 Another important aspect of the NGP is the nature of informed consent. Parents will have to 488 sign a consent form on behalf of their baby. It is therefore vital to determine how information 489 490 on the 223 genetic diseases will be presented to parents and at what point in time will it be 491 presented to ensure consent is informed. Information of this significance should be delivered 492 by trained professionals in the appropriate settings and at an appropriate time, with both

493 parents being present for informed consent (Science Media Center, 2022). Indeed, both

494 UKNSC and EURORDIS agree that whenever new programmes are piloted in the UK, all

495 stakeholders should be involved in the planning of and implementation of the project,

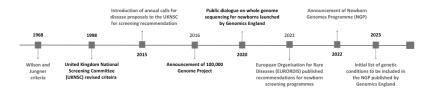
496	including designing and field-testing information and educational materials about the
497	conditions included in screening programmes, the tests, and the subsequent treatment
498	pathways, with the relevant stakeholders, modifying this information based on their feedback
499	(Genomics England, 2021; European Organisation for Rare Diseases, 2021). This is
500	considered essential for efficient implementation of the programme and to enable parents to
501	make informed decisions about NBS, or in this case, the NGP.
502	
503	

504 Discussion

505	Despite the fact that the UKNSC has promoted itself as having the most robust NBS
506	programme globally, we question whether this has been in the interests of the patients, carers,
507	and their physicians. The rigid adherence to the 1998 screening criteria created by the UKNSC
508	has held back the diagnosis of rare diseases in many newborns, by restricting NBS to only nine
509	diseases up to 2022. In certain cases, this may have resulted in irreversible disease progression
510	e.g. hearing loss due to BD, in others, the stress and expense associated with the diagnostic
511	odyssey and lack of timely access to support groups may have severely impacted the quality-
512	of-life of many patients and their families. A summary of key points in the evolution of NBS
513	policy in the UK is provided in Figure 2. To note, in contrast to the UK, many countries collect
514	newborn screening samples within the first 24-48 hours of life to ensure timely detection of
515	potentially serious conditions such that could manifest within the first week of life. While the
515 516	potentially serious conditions such that could manifest within the first week of life. While the UK approach may help reduce false-positive results for certain conditions, it also raises
516	UK approach may help reduce false-positive results for certain conditions, it also raises
516 517	UK approach may help reduce false-positive results for certain conditions, it also raises concerns regarding potential delays in diagnosing time-critical disorders such as MSUD and

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525 Fig. 2 Key points in the evolution of newborn screening policy in the United Kingdom



527	Examination of the reasons given by the UKNSC for not recommending specific conditions
528	highlights that it would be almost impossible to provide all the evidence required to meet the
529	20 criteria set in 1998, especially when the government does not provide funding to carry out
530	the necessary research to address all the criteria. Some of the listed criteria are unlikely to be
531	met for many rare diseases, other criteria prevent screening for diseases that may not be
532	treatable but are actionable. The UKNSC has thus far adopted an algorithmic decision-
533	making process. As such consistency is ensured, but many morally relevant factors are
534	excluded. Indeed, an algorithmic decision-making process does not take into account many
535	important moral arguments (Friesen et al., 2019). Instead, a discretionary decision-making
536	process takes into account complex and multi-faceted factors and includes patient and carer
537	voices and allows for certain inconsistencies in the process under certain circumstances. This
538	is akin to the justice system, whereby sentencing takes into account many different factors.
539	Having an inflexible decision-making process has led to the point where decisions are being
540	reached that seem unreasonable to experts and patients. Indeed, adopting this process resulted

in no new diseases being approved for NBS in UK between 2015 and 2022, putting the UK 541 NBS dramatically behind other countries in the Global North. 542 543 Similar to the UK, several countries have initiated pilot studies integrating genome 544 sequencing into NBS programmes. The United States (BabySeq, GUARDIAN), Australia 545 546 (BabyScreen+), and Belgium (Baby Detect) have all introduced genomic screening pilots with varying degrees of flexibility in condition selection, expanding beyond conditions 547 548 historically included in biochemical panels. Comparative analyses show substantial variation 549 in gene and disease selection across countries, highlighting a lack of consensus on which 550 conditions should be included in genomic sequencing pilot studies (Betzler et al., 2024). Nevertheless, there is a growing international trend toward less stringent inclusion criteria for 551 552 genome sequencing programmes in comparison to biochemical assays, though this divergence is more pronounced in the UK. The lack of progress in NBS creates an anomalous 553 554 position when Genomics England is just about to commence screening for over 200 rare 555 genetic diseases in 100,000 newborns enlisted into their research study. Only four ethical principles need to be met for inclusion in the NGP, as compared to 20 screening criteria for 556 557 the NBS. This raises the possibility that conditions listed in Table 3, and previously rejected by UKNSC, could be reviewed using the new NGP ethical criteria and not be rejected. This, 558 in turn, prompts the question of whether the UKNSC should automatically reassess these 559 560 diseases for inclusion in the broader NBS programme. Indeed, BD which was rejected for NBS by UKNSC three times over 10 years, is one of the diseases that will be screened for in 561 562 the NGP, making it challenging for the UKNSC to justify this position, given their involvement in both the NBS and NGP. 563

564 Communications to the public from the Government and Genomics England have

565 consistently implied that NGP is a pilot study that could ultimately extend the NBS

566 programme and that the 200 plus diseases that will be tested for are essentially treatable (Parry, 2023; Queen Mary University of London, 2022). This raises a number of ethical 567 concerns. Firstly, the diseases are being differentially described as either treatable or 568 actionable dependent on the communication. This is likely to lead to confusion with the 569 general public, who may not appreciate the critical difference in these terms. The inclusion of 570 571 actionable diseases is at odds with the principles of the UKNSC, as laid out above. However, 572 from the list of 223 genetic diseases published, it is clear that some will be actionable and not treatable and that some of the treatments involve gene editing and bone marrow transplants 573 574 which may not be available within the time frame of the programme (Bick et al., 2021). In 575 most countries as well as the UK, routine NBS is an opt-out process-parents do not need to actively consent, as it is considered a public health initiative focused on serious, treatable 576 577 conditions (Horton and Lucassen, 2023). Genomic sequencing pilots, however, require explicit informed consent. This need for informed consent should shape the disease selection 578 579 process as researchers must justify which conditions are included in a way that parents will 580 find acceptable. The terms "actionable" and "treatable" conditions should be defined, but parental perceptions of 'actionability' may still differ. Evidence maps for each disease should 581 582 therefore be publicly available to justify inclusion, particularly for actionable diseases. Parents should also be informed during the consent process of the different treatment options 583 for each disease to be tested. Secondly the communications suggest that the primary goal of 584 585 the NGP is to expand the NBS programme (Genomics England, 2023c). If this was the primary driver, as noted by others, the UK could simply extend the existing biochemical 586 587 analysis of blood spots to nationally screen, at a low cost, for up to 35 rare genetic diseases, as other countries in the Global North are currently doing (Commonwealth of Australia -588 589 Department of Health and Aged Care, 2023; National Screening Unit, 2014).

591	It is important to appreciate the current relative costs of whole genome sequencing ($\pounds 1050$ /
592	baby) versus biochemical analysis of blood spots (59p / baby) (Bessey et al., 2020). The cost
593	of the NGP, funded by the Government is £105 million (United Kindgom Government,
594	2022a). Given approximately 700,000 babies are born in the UK annually, the cost of
595	increasing biochemical analysis would be £413,000 a year, versus £735 million a year for
596	genomic screening (Bessey et al., 2020). If genomic screening became a standard screening
597	method it would require a serious commitment of funding from the NHS, a system that is
598	currently under extreme financial strain.

600	It is evident that the genetic diseases included in the NGP will not need to fulfil the 20
601	screening criteria as set out in 1998 by the UKNSC. Yet, it remains unclear how the UKNSC
602	justifies this radical shift in their decision-making process or how Genomics England's
603	comparatively light-touch approach will influence the outcome. It is possible such shifts will
604	lead to a loss in public confidence and trust in the UKNSC and its processes. Publication of
605	the evidence maps, such that scientists and parents can see the decision-making process and
606	be made aware of the treatment options for each of the 223 genetic diseases, would increase
607	public confidence. Furthermore, justification as to why £105 million is to be spent on NGP is
608	required, particularly when it would cost much less to extend the current NBS programme by
609	MS/MS, or to extend the successful targeted genetic screening programme of critically ill
610	babies and children as established in Wales, to other nations in the UK. Alternatively, if the
611	primary driver for the NGP is to create a World-leading data resource that will drive research
612	into genetic diseases and improve healthcare outcomes for the population this should be
613	clarified in all communications for the general public. Open and transparent communication
614	that the NGP is a research project and not 'an extension of NBS', as is implied on the

615 website, would increase public understanding of the project, allow for more informed public

616	engagement, and appropriately manage expectations.	
617		
618	Declarations	
619	Funding Statement	
620	This work received no specific grant from any funding agency in the public, commercial, or	
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622	Disclosure of interest	
623	The authors declare that they have no conflict of interest.	
624		
625	Compliance with Ethics Guidelines	
626	Consent Statement	
627	This study does not involve any human participants. Consequently, no consent for	
628	participation was required or obtained.	
629		
630	References	
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