

# 1 Tracing seven decades of Chinese wildlife legislation from 1950 to the COVID-19 2 pandemic era

3  
4 **Keywords:** ecological civilization, environmental law, human interest, Kingdon Model,  
5 policy window, wildlife governance

## 6 7 **Abstract**

8 Due to its abundant biodiversity and active wildlife trade, China's wildlife governance has  
9 been in the spotlight, especially following the legislative reforms introduced after the  
10 COVID-19 pandemic, hailed as "a turning point for China's wildlife protection". Using  
11 Kingdon's framework, we analysed China's evolution of wildlife legislation from 1949 to  
12 2023, focusing on species protected under the Wildlife Protection Law, encompassing  
13 mammals, amphibians, reptiles, birds, fish and insects. We examined the key drivers behind  
14 critical changes in China's approach to wildlife governance, the nature of these legislative  
15 changes, and their subsequent impacts.

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17 The analysis identifies and describes three historical phases that reflect gradual but key shifts  
18 in wildlife governance, notably from one focused on wildlife utilisation and increasingly  
19 towards conservation. The recent post-COVID changes, albeit driven by public health  
20 concerns, significantly pivot towards stricter conservation practices, aligning with China's  
21 philosophical shift towards "ecological civilisation." These shifts uncovered how the key  
22 drivers shaped the relevant policy and legislation.

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24 This historical analysis offers conservationists and the broader conservation movement a  
25 valuable perspective. We suggest these individuals or groups explore the underlying factors  
26 and patterns that have influenced the evolution of conservation policy and legislation from a  
27 macro-historical scale. Such an understanding can enhance their confidence in lobbying the  
28 public and policymakers to support specific conservation proposals, strengthening the  
29 likelihood that their proposals can be accepted and translated into actionable policies.

## 30 31 **1. Introduction**

32  
33 China hosts globally unique biodiversity (Wang et al., 2020c), and a range of cultural  
34 traditions of wildlife consumption associated with domestic and international, legal and  
35 illegal wildlife trade (Jiao et al., 2021; Huang et al., 2021). The country has faced both  
36 criticism for inadequate wildlife protection (White, 2020; Huang et al., 2021; Lin, 2021) and  
37 praise for a number of recent legal reforms (Lin, 2021). The outbreak of the COVID-19  
38 coronavirus pandemic, suspected to be linked to wildlife trade, has put China's wildlife  
39 policies under global scrutiny (Huang et al., 2021; White, 2020). The Chinese government's  
40 swift legal action to enhance wildlife protection and prevent zoonotic diseases has been  
41 hailed as a (potentially) significant turning point in its conservation efforts (Huang et al.,  
42 2021; You, 2020).

43  
44 This paper seeks to understand how post-COVID legislative changes fit within longer-term  
45 trends in the development of wildlife conservation law in China. Understanding the changing  
46 trends, and tensions between wildlife conservation, utilisation and public health goals can  
47 help to better understand the drivers behind policy development. This, in turn, can help  
48 inform future reforms in wildlife legislation – both within and beyond China.

50 We used a doctrinal analysis of Chinese wildlife legislation from 1949 to mid-2023, focused  
51 on legislation governing the conservation and use of wildlife protected under Wildlife  
52 Protection Law (WPL), and a timeline of key legislative developments and significant  
53 external events (e.g., zoonotic events). We identified three phases in the development of  
54 Chinese wildlife conservation law, and employed Kingdon’s (2014) multiple streams model  
55 (MSM) of “policy windows” to help understand these transitions – including whether recent  
56 (COVID-era) developments are (likely to be) as significant as suggested in the literature (e.g.,  
57 Huang et al., 2021; You, 2020). Before expounding our methods and introducing our  
58 findings, we first outline the context of COVID and China’s intricate pathway to wildlife  
59 conservation in more detail.

## 60 **2. Evolving Strategies in Chinese Wildlife Conservation**

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### 62 **2.1 Pandemic Pivot: COVID-19’s Impact on Wildlife Legislation**

63 Following the COVID-19 pandemic, wildlife governance has faced calls for deep reforms to  
64 reduce the risks of future zoonoses, ranging from bans on the consumption of wild meat  
65 (Wang, 2020) to proposals for new global agencies to help regulate zoonotic threats  
66 (McCarthy and Gott, 2020). This is exemplified by China, which has undergone very  
67 significant, recent changes to its legislation (Huang et al., 2021). Characteristics of many  
68 post-COVID legislative changes suggest a fundamental shift – from relatively lax restrictions  
69 on trade and consumption of wildlife to a much more prohibitive approach driven by public  
70 health concerns (Wang et al., 2020a, Huang et al., 2021) that can have additional benefits for  
71 conservation (Koh et al., 2021). Often framed as a choice between using versus protecting  
72 wildlife (e.g., Ge Gabriel, 2014; Li, 2007), wildlife legislation in China, as in much of the  
73 world, are both complex and nuanced (Xiao and Li, 2021).

74

75 In the immediate aftermath of the COVID-19 outbreak, China’s legislation governing wildlife  
76 underwent unprecedented changes (Huang et al., 2021). Examples include a complete  
77 prohibition on the edible use of all terrestrial wild animals, a temporary ban on wildlife trade  
78 for the duration of the epidemic, a thorough update and expansion of the protected species  
79 lists, and a new approach to classifying certain types of livestock. Meanwhile, countless  
80 wildlife farms were forced to close by law, seriously impacting the livelihoods of nearly 14  
81 million people working in this industry (Ren, 2020). These changes reflect an apparent  
82 radical shift in China’s approach to wildlife governance, at least in relation to edible uses of  
83 wildlife, hailed as “a turning point for China’s wildlife protection” (Huang et al., 2021). Such  
84 dramatic shifts are particularly notable for China, a country that has historically prioritised  
85 traditional and economic wildlife utilisation (Zhu and Zhu, 2020). That said, some of these  
86 post-COVID changes were temporary and have already expired or been revised, leaving the  
87 legacy of COVID-19 on Chinese wildlife conservation law unclear.

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### 89 **2.2 Legacy of the Past: Historical Utilisation and Conservation**

90 However, these changes do not stand in isolation; they need to be understood in the contexts  
91 of historical practices, shifting trends in consumer demands, rural employment, international  
92 agreements and shifting conservation policies. Wildlife harvest, trade and use are deeply  
93 embedded in Chinese history and culture (Zhu and Zhu, 2020). For example, food therapy, an  
94 important part of traditional Chinese medicine (TCM) culture, was believed by most Chinese  
95 people and became a deeply entrenched part of their thought. This has driven the demand for  
96 wild animals for human consumption for a long period of time. Historically, the wet market  
97 in China can be traced back millennia (Zhu and Zhu, 2020). In a 2004 survey conducted in  
98 three wildlife-rich provinces in southwest China (Qinghai, Guangxi and Yunnan), 60% of

99 respondents indicated that they had consumed wildlife in the past two years (Zhang et al.,  
100 2008). Besides, *Shen Nong Ben Cao Jing*, the first Materia Medica book in China (Nugent-  
101 Head, 2014), created over two thousand years ago, contains 65 medicinal animals (Zhang,  
102 2013). One thousand years later, during the Ming Dynasty, another similarly influential work,  
103 *Compendium of Materia Medica*, was published, in which 444 medicines containing animal  
104 ingredients were listed (Li, 2001). Hence, much of the world’s illegal wildlife trade (IWT) is  
105 driven by China’s demand for delicacies and TCM (Mallapaty, 2020), which especially  
106 impacts its neighbours as source countries (Huang et al., 2021). Apart from these traditions of  
107 edible or medicinal utilisation, there is also demand for wildlife in other fields, like making  
108 the Erhu (a traditional musical instrument) from python skin (Jiang et al., 2013), and ivory  
109 carving, which was added to China’s National List of Intangible Cultural Heritage in 2006,  
110 further fuelling importation of ivory from Africa (Permata and Wahyuni, 2020).

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### 112 **2.3 Balancing Act: Economic Needs vs. Ecological Values**

113 Chinese wildlife legislation has thus historically followed the resource-oriented notion (Jiang  
114 and Aron, 2022). Relevant legal conservation has even been primarily motivated by the  
115 utilisation value of wildlife as a resource (Huang et al., 2021; Zhu and Zhu, 2020). There are  
116 various and complicated legal categories of wildlife in China (see Tian et al., 2023), covering  
117 not only threatened species but also species with “important ecological, scientific or social  
118 value” (termed “*Sanyou* animals”) that are nationally protected under the WPL, the main  
119 legislation governing wildlife protection in China. Some violations of the WPL are  
120 punishable under criminal law, and China has some of the harshest penalties for wildlife  
121 crimes in the world (Hu et al., 2022). Many other species are protected at provincial and local  
122 government levels (Article 10, WPL), and some iconic animals, most notably pandas, receive  
123 specific protections (Songster, 2018).

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125 Meanwhile, China has had an expanding protected area network since 1965, now covering  
126 85% of wildlife under special state protection (Yin, 2013; NFGA NPA, 2020). It has also  
127 become an active contributor to a range of international environmental conventions, including  
128 CITES and CBD (Qin, 2020). China’s national adoption of “ecological civilisation”, a  
129 concept that seeks to define a balanced relationship between humans and nature (Ferguson,  
130 2019), is further shaping shifts in the legal framework, moving from an economic focus on  
131 wildlife to recognising its inherent ecological value.

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133 These protections have become all the more important as China has experienced tremendous  
134 increases in consumption power and online trade that have increased access to wildlife,  
135 including expensive and scarce items as symbols for elite status and wealth (Wong, 2019;  
136 Zhang and Yin, 2014). These drivers are resulting in growing market demand for a range of  
137 domestic and international wildlife products in China (Zhang and Yin, 2014; Zhu and Zhu,  
138 2020).

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140 To meet the demand of the wildlife markets and protect wild populations of endangered  
141 species, Chinese legislation has strongly supported captive breeding (also known in China as  
142 artificial breeding) of wild animals (Liu et al., 2016). China has the most extensive wildlife  
143 domestication operation in the world, an important industry and poverty reduction effort (Li,  
144 2007; Rizzolo et al., 2023). Nevertheless, China faces ongoing consumer demand and  
145 preferences for wild-sourced materials (Liu et al., 2016).

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147 These factors make for a very complex legislative environment, underpinned by tensions  
148 between the competing – and often conflicting – aims of conservation and utilisation of

149 wildlife, and subject to changes over time (Tian et al. 2023). To explore how China’s policy  
150 making has changed over time and what the shifts behind these changes mean for wildlife  
151 conservation, we reviewed 147 pieces of legislation governing terrestrial wild animals in the  
152 74 years since the founding of New China in 1949.

### 153 3. Methods

#### 154 155 3.1 Data collection

156 We accessed a complete list of national legislation via the Government of China’s centralised  
157 database (Chinese version: [www.npc.gov.cn](http://www.npc.gov.cn); in English <https://hk.lexiscn.com/>) to identify  
158 legislation governing terrestrial vertebrate wildlife in China from 1949 to mid-2023. We  
159 operationalised a set of inclusion criteria/parameters (Table 1). Only national-level legislation  
160 that met these three criteria were included.

161  
162 **Table 1.** Inclusion criteria for collected legislation governing wildlife

Criteria	Interpretation
Key governance topics	wildlife conservation, wildlife utilisation, wildlife (criminal) offences, and wildlife-related public health and animal health concerns
Species involved	Terrestrial and aquatic wildlife that are protected under special state protection; terrestrial wildlife which is of important ecological, scientific, or social value
Keywords	wildlife 野生动物, animals 动物, biodiversity 生物多样性, zoonotic diseases 人畜共患疾病, endangered species 濒危物种, zoo 动物园, livestock 牲畜, ecological civilisation 生态文明

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164 We only included legislation that actively regulated wildlife resources, such as establishing or  
165 changing rules of use and conservation, while legislation that mentioned key terms (e.g.,  
166 “wildlife”) but without applying specific rules or guidance was excluded (e.g. if the mention  
167 of wildlife was simply a reference to another piece of legislation, or a notice calling for  
168 public feedback). Additionally, to keep our sample size manageable, we focused on  
169 legislation governing species protected under the WPL (see Table 1). We excluded any  
170 legislation that solely applies to aquatic and marine species that fall outside the scope of the  
171 WPL’s protection, as the former are governed by Fisheries Law, and legislation concerning  
172 the latter typically focus more on marine technology than on conservation. To avoid  
173 redundancy, we did not collect a series of routine official notices for the protection of  
174 seasonal migratory birds and the control of wildlife epidemics, which began in 2006 and are  
175 issued annually. As a result, there are taxonomic biases in the species selection (e.g., taxa not  
176 covered under the WPL), although we believe the trends described remain generally  
177 representative of trends of China’s broader wildlife policy approach. Finally, we also  
178 excluded legislation only governing single species but included several pieces of legislation  
179 regulating typical products: ivory, tiger bones, and rhino horns, the three kinds of wildlife  
180 products that have high market demand in China (McConkie, 2021).

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182 We focused on national-level legislation (Table 2) and excluded measures that applied only  
183 to specific and limited geographical areas. In addition, we also included Party Regulations (  
184 党内规章) of the Chinese Communist Party (CCP), which are independent of national  
185 legislation but play an essential role in China’s rule of law (Wei, 2018). (Appendix 1  
186 provides an overview of China’s legislative system).

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**Table 2. Hierarchy of national legislation included (following Otto and Li, 2000)**

Hierarchy	Types of Legislation
Primary legislation	Constitution, Laws, Decisions on Legal Issues and Significant Issues (有关法律问题和重大问题的决定), Legislative interpretation (立法解释), Judicial interpretation (司法解释, including “Documents of a Judicial Interpretation Nature” 司法解释性质文件 & “Working Documents of the Supreme People’s Court and the Supreme People’s Procuratorate” 两高工作文件)
Secondary legislation	Administrative regulations (行政法规), Normative documents (规范性文件)
Tertiary legislation	Departmental rules (部门规章), Departmental normative documents (部门规范性文件), Departmental working documents (部门工作文件)

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Applying these criteria, we identified 147 pieces of legislation for inclusion in our analysis from the total of 2,082 pieces of legislation initially collected (for the full list of included legislation, see Appendix 2). We included amendments to (and expiration of) existing laws, as well as new pieces of legislation.

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To ensure understanding and avoid translation errors, legislation was collected and checked both from official Chinese government websites (where Chinese versions of the legislation are available) and from the LexisNexis and the PKULAW legal databases (the latter providing both Chinese and English versions of legislation). (The lead author, xx, is a native Mandarin Chinese speaker.)

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### 3.2 Data analysis and approach

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Our analysis of the legislative documents occurred in two stages. First, we adopted a doctrinal approach focused on the content of the legal texts to identify their key legislative objectives, enabling us to create a timeline of key legislation (Fig. 2). We then applied a more socio-legal approach to understand the connections between legislation and the external social factors (Mohamed, 2016). We utilised Kingdon’s Multiple Streams Model (MSM) as an analytical framework to try to explain the broad changes in policy identified in the first stage of analysis, with a particular focus on identifying and describing “policy windows” (Kingdon, 2014).

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Kingdon’s MSM posits that significant policy changes occur not (or not just) because of an accumulation of evidence convincing policymakers that such a change is necessary, as an idealistic “evidence-based policy” model would suggest (Sanderson, 2003; De Marchi et al., 2016). Policy processes are instead viewed as much more chaotic, with many more factors than evidence contributing – including economic, ideological, political and pragmatic concerns. Legislative changes are thus best understood as occurring when a broader range of conditions (“streams”) come into alignment. The MSM identifies three main streams that shape policymaking (including legislative changes): the political stream, the policy stream, and the problem stream (Kingdon, 2014), which are not always independent of each other. When they show up together in a brief “window of opportunity”, only then does a significant change occur (Cairney and Jones, 2016) (i.e., when the “Policy Window” opens). Given the

222 recent context and boom in Chinese wildlife legislation, Kingdon’s MSM seemed to be a  
223 particularly appropriate analytical tool.

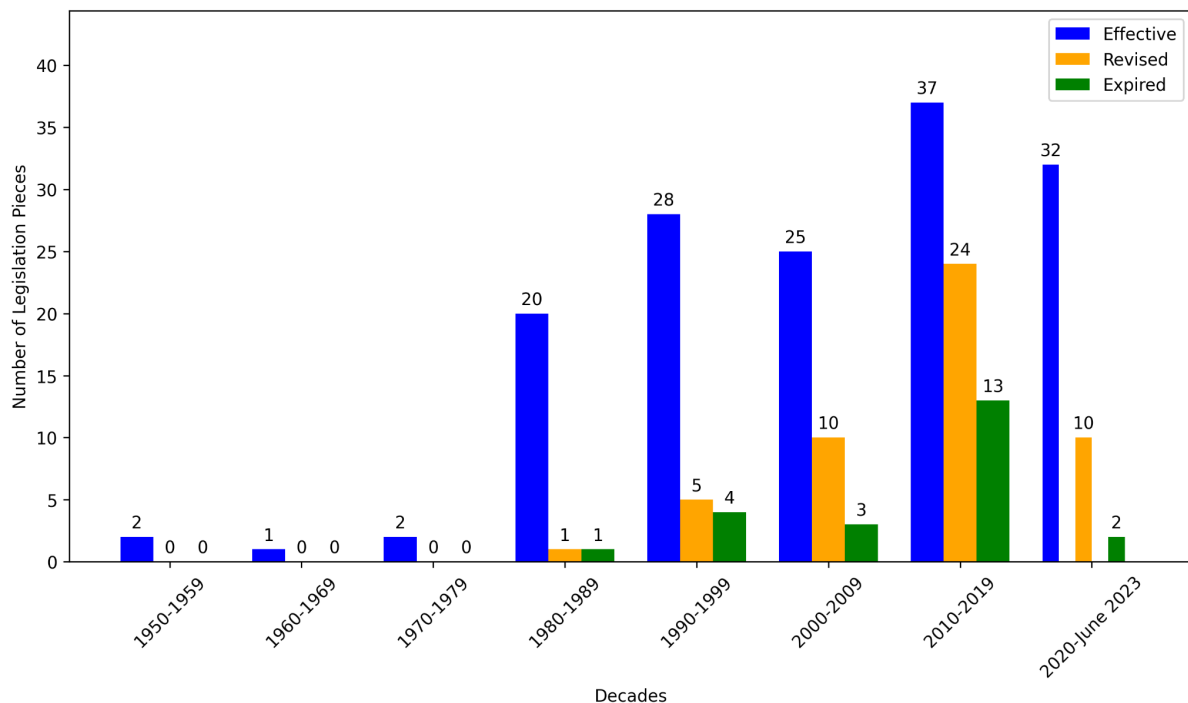
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225 In legal research, the doctrinal method can be criticised for being divorced from practical  
226 reality, while the socio-legal approach has been challenged for lack of attention to legal texts.  
227 In combining the two methods in this research, we hope to overcome some of the weaknesses  
228 inherent in using either approach on its own (Mohamed, 2016) to provide both a description  
229 and explanation of the changing trends in Chinese wildlife governance at the national  
230 legislative level.

#### 231 4. Results

232 The three decades following 1950 saw a small number of new effective pieces of wildlife  
233 legislation, marked by considerable increases over the following decades (Fig. 1). Notably,  
234 the 3.5-year period following 2020, coinciding with the COVID-19 pandemic, experienced a  
235 boom in new legislation, comparable in volume to entire previous decades.

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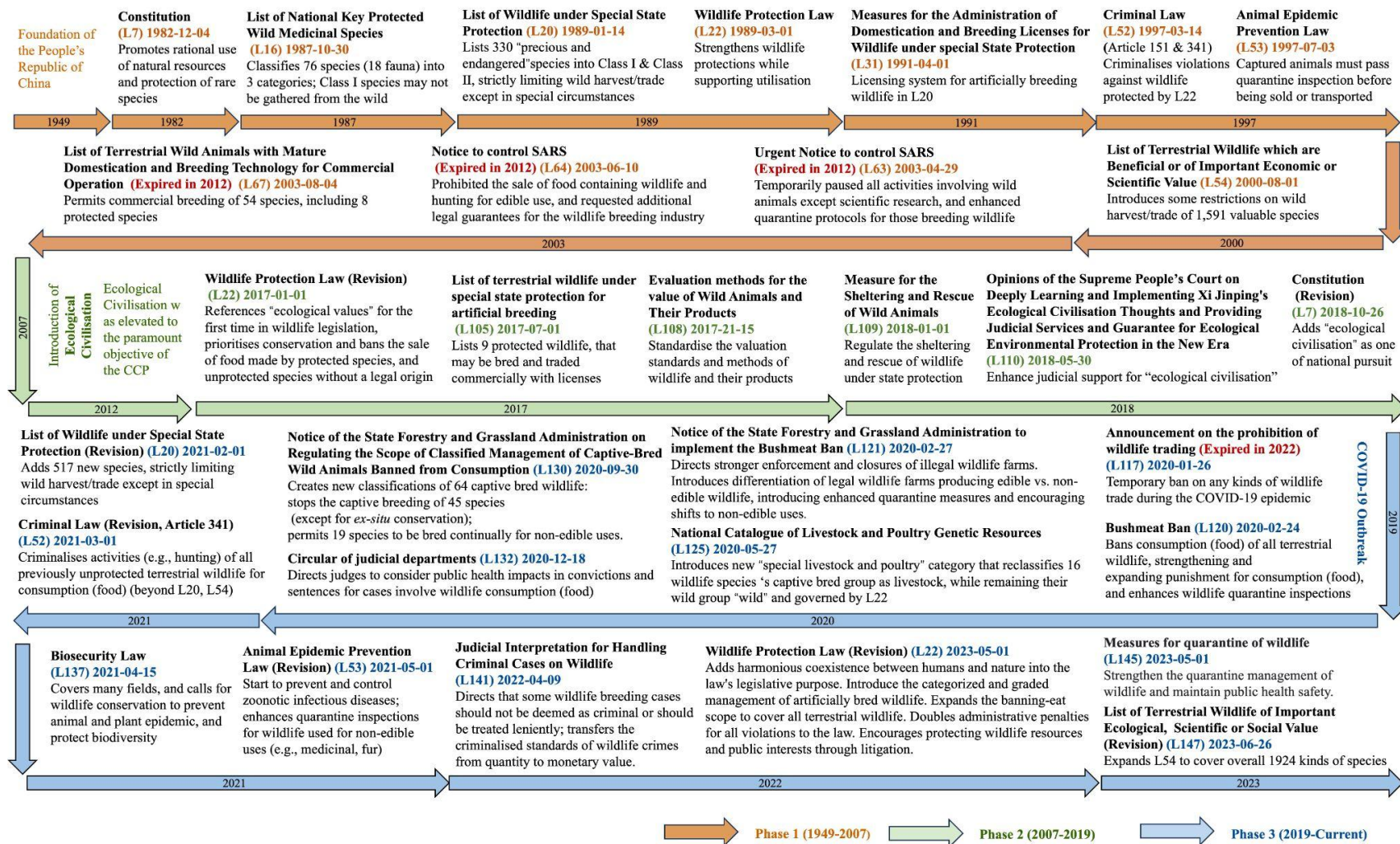
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238 **Figure 1** Number of pieces of wildlife legislation that became effective, were revised, or  
239 expired in each decade since 1950. The final thinner bars reflect only a 3.5-year period from  
240 2020.

241 (Indication: color should be used in print)

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243 We identified three phases in the legal development, which were separated by two landmark  
244 events: the introduction of ecological civilisation policies in 2007 and the outbreak of the  
245 COVID-19 epidemic in 2019 (Fig. 2). This is reflected not only in the volume of legislation  
246 around these two “landmark events”, but primarily through identifying changes in legal terms  
247 and stated objectives of new legislation and legislative revisions during these periods  
248 (discussed below). In particular, the period shows shifts in approaches to the balancing of  
249 wildlife “conservation” and “utilisation”, with a gradual shift away from prioritising the latter  
250 and towards prioritising the former.



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**Figure 2** Timeline of key Chinese wildlife legislation (with effective date), highlighting three key historical phases that reflect shifts in governance approach. (Numbers in parentheses refer to each piece of legislation, which are referenced in the text) (Indication: color should be used in print)

#### 257 **4.1 Phase 1 (1949-2007): Governance driven by scarcity of wildlife resources**

258 A close bond between conservation and utilisation characterised the first six decades. To put  
 259 it briefly, the main feature of this initial phase was conservation aimed at ensuring the  
 260 continued utilisation of wildlife. This was driven by the scarcity of endangered wildlife and  
 261 resolved by bolstering protections for wild populations and promoting the development of  
 262 captive breeding programs.

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 264 Conservation has been part of China’s policy since the early years of the CCP regime, with  
 265 initial legislation prohibiting the harvesting of rare creatures emerging in 1950 (Appendix 2  
 266 L1) but encouraging the hunting of other wildlife serving the market in 1959 (Appendix 2  
 267 L2). Yet, linked to the country’s strong traditions of wildlife use and the focus on post-war  
 268 economic recovery, the initial emphasis was on using wildlife for economic and cultural  
 269 tradition purposes, rather than on conservation for its own sake. While the governance of  
 270 wildlife trade began tightening in the 1980s, it wasn’t until the WPL (Fig. 2 L22) was enacted  
 271 in 1989, and the “Wildlife under Special State Protection” list (Fig. 2 L20) was introduced,  
 272 that wildlife management became more systematic and classified. Established in 1989, this  
 273 list only elevated the protection status of two species from Class II to Class I over more than  
 274 three decades—the musk deer in 2003 and pangolins in 2020—until it underwent significant  
 275 updates in 2021.

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 277 Throughout this phase, most legislation focused on the utilisation of wildlife: policy aimed to  
 278 protect animals to enable their use for products and trade or to prevent issues arising from the  
 279 scarcity of species for exploitation. Laws often protected wildlife for their economic value in  
 280 international trade, like exchanging wildlife products for foreign currency (Appendix 2 L3)  
 281 and safeguarding the quarantine of such products to support foreign trade (Appendix 2 L6, 9,  
 282 35, 51). Even the 1989 WPL, while conservation-oriented, included rational use of wildlife  
 283 resources as a goal. Additionally, there were laws from 1987 that protected wild animals  
 284 specifically for medicinal resources (Appendix 2 L16, 17), with their medicinal importance  
 285 also tied to economic value, including laws on the export of medicines made from animal  
 286 ingredients (Appendix 2 L24, 27). The establishment of the list of “*Sanyou* animals” in 2000  
 287 (Fig. 2 L54) was another example of prioritising utilisation, initially selecting animals based  
 288 on their “beneficial, economic, or scientific values.” Although the valuation criteria were  
 289 updated literally in the 2016 WPL to emphasise “important ecological, scientific, and social  
 290 values,” a thorough update of this list, following these new criteria, was not conducted until  
 291 2023.

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 293 During this time, the core WPL legislation also encouraged and supported wildlife breeding,  
 294 to ensure supplies for TCM and other uses. Accordingly, a new licensing system for wildlife  
 295 farms was also established in 1991 (Fig. 2 L31). Legislative support for these industries was  
 296 reaffirmed swiftly after the 2003 SARS outbreak, a severe zoonotic disease first identified in  
 297 China, affected 29 countries and infected 8,098 people, with 774 recorded deaths (CDC,  
 298 2005). This led to a temporary ban on wildlife trade, exempting the use for scientific  
 299 research, which was lifted once the SARS threat subsided (Fig. 2 L63). This was replaced  
 300 after three months by regulations promoting the breeding and domestication of wildlife, seen  
 301 at the time as a way to balance wildlife conservation and use (Lv, 2003). For example, a list  
 302 of 54 terrestrial wildlife species was declared suitable for commercial breeding (Fig. 2 L67),  
 303 including eight species under special state protection (even the civet cat *Paguma larvata*, a  
 304 potential SARS carrier, also legally categorised as a *Sanyou* animal for its economic value).



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#### **4.2 Phase 2 (2007-2019): Conservation increasingly driven by the ecological value of wildlife.**

This 12-year phase is heavily characterised by changes to wildlife legislation, including many revisions and new legislation focused on enhanced conservation. Legal use of wildlife continued to be robustly supported during this phase, but it became increasingly regulated and for a smaller number of species.

It is heavily informed by China’s 2007 introduction of the ecological civilisation concept, which was regarded as an overarching objective of the CCP in 2012 (Goron, 2018). The Supreme People’s Court also reinforced the commitment to ecological civilisation in 2018 (Fig. 2 L110), which was then incorporated into the Constitution as a national pursuit. This concept has also been reflected in many legislative and official documents concerning wildlife and biodiversity.

The 2016 amendment to the WPL introduced the ecological civilisation concept, which marked a pivotal shift in wildlife governance. It shifted away from a heavy emphasis on wildlife utilisation and breeding, specifically recognising the “ecological value” of wildlife and underscoring the importance of biodiversity and ecological balance. It established the principle of prioritising conservation over utilisation in wildlife governance. This was reflected in changes to the ban on consumption of some wildlife, notably endangered species and other species without legal origin. The amended WPL also highlighted the importance of education in wildlife protection to increase public awareness about conservation.

In parallel, this period saw strengthened wildlife enforcement legislation (although Criminal Law amendments in 2011 eliminated the death penalty for wildlife smuggling, which could potentially be considered a softening of the enforcement stance). Two Judicial Interpretations in 2014 (Appendix 2 L93, 94) provided clearer definitions of wildlife crimes to facilitate wildlife prosecutions. Other legislation included a ban on ivory trade in 2016 (Appendix 2 L104), and guidelines for wildlife rescue operations became effective in 2018 (Appendix 2 L109). In 2019, two legal documents sought to enhance wildlife conservation and tackle the unlawful use of wildlife through increased interdepartmental collaboration, improved market oversight, and heightened public awareness (Appendix 2 L112, 113).

Wildlife breeding and use remained during this period but in more restricted terms. The amended WPL referenced “regulated utilisation”, under a more specific set of circumstances (scientific research, captive breeding, public display, exhibition, and cultural heritage conservation), and focused on species with established and stable breeding techniques. For example, though the existing list of 54 wildlife species subject to commercial breeding was allowed to expire in 2012, in 2017, another list of 9 species under special state protection was brought into breeding to satisfy commercial demand (Fig. 2 L105). Additionally, legislation during this period actively supported TCM industry growth. A catalogue in 2014 encouraged the sustainable development of wildlife medicinal resources in disadvantaged areas such as Guizhou to aid in poverty alleviation (Appendix 2 L95). Moreover, the new Chinese Pharmacopeia enacted in 2015 (Appendix 2 L100) included wild animals, some endangered, as ingredients. Additionally, the 2016 strategic plan for TCM (Appendix 2 L101) highlighted the industry’s push into international markets. However, a 2018 notice planning to reopen the Chinese market for trade in rhino and tiger products under strict regulation (Appendix 2 L111) was never operationalised (WWF, 2018).

### 355 **4.3 Phase 3 (2019-Present): Conservation driven by public health concerns**

356 The third phase is characterised by a boom of 32 pieces of new and revised wildlife  
357 legislation between 2020 and mid-2023, immediately following the COVID-19 pandemic  
358 (Fig. 1), including significant amendments to the WPL. This period is characterised by  
359 legislation focused on protecting public health through measures to decrease wildlife use and  
360 enhance wildlife conservation.

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362 As the earliest COVID-19 cases were identified at the Huanan Seafood Wholesale Market in  
363 Wuhan, China, although the exact source of the virus remains unconfirmed (Guo et al., 2020;  
364 Harapan et al., 2020), the outbreak was usually linked to China's wildlife trade (Aguirre et  
365 al., 2020), particularly bushmeat consumption (Bezerra-Santos et al., 2021). Consequently,  
366 the pandemic prompted a re-evaluation of human-wildlife interactions to prevent future  
367 zoonotic diseases.

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369 Notably, legislative responses involved far more significant changes to China's wildlife  
370 governance than the 2003 SARS zoonotic outbreak (Aguirre et al., 2020; Wu et al., 2020).  
371 The COVID-19 crisis led to changes in primary legislation, reflecting the seriousness of the  
372 legislative response, notably a comprehensive Bushmeat Ban on all terrestrial wild animals  
373 (Fig. 2 L120). There was also an immediate temporary halt in wildlife trade (Fig. 2 L117;  
374 2020, expired in June 2022). In contrast, SARS era revisions involved a temporary ban  
375 implemented via tertiary legislation (Evans, 2020; Wang, 2020). Policies during the SARS  
376 era were relatively lax regarding wildlife farming. In contrast, COVID-era policies, notably  
377 the 2020 Notice implementing the Bushmeat Ban (Fig. 2 L121), introduced tougher penalties  
378 for illegal farms and distinguished between breeding animals for consumption and those for  
379 non-edible purposes (such as fur and medicine). Breeding for wildlife consumption was  
380 completely banned, while the production of non-edible species was allowed to continue under  
381 stricter quarantine measures. Additionally, another Notice in 2020 (Fig. 2 L130) reclassified  
382 the management of 64 species previously farmed for consumption; only 19 of these species  
383 are now legally permitted to be bred for non-edible wildlife products. Furthermore, a newly  
384 introduced Catalogue in 2020 (Fig. 2 L125) identified 16 terrestrial wildlife species as  
385 "special livestock and poultry," 12 of which are the only species authorised for farming for  
386 human consumption.

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388 Substantial revisions to the WPL came into force in May 2023. These focused on improving  
389 public health protections by permanently incorporating the bushmeat ban, enhancing wildlife  
390 habitat protection, refining the hunting and trading management, and introducing public  
391 interest litigation to combat wildlife-related offences. Amidst these strengthened regulations,  
392 the WPL also saw some softening of regulations by cancelling the licensing requirement on  
393 artificially bred *Sanyou* animals (Cui, 2023).

394

395 Post-SARS legislation did not result in significant legislative reforms. However, following  
396 COVID-19, criminal law changes intensified the crackdown on wildlife consumption. The  
397 pandemic also expedited the introduction of the Biosecurity Law (Fig.2 L137) aimed at  
398 preventing animal and plant diseases. Additionally, it led to updates in the Epidemic  
399 Prevention Law, which now includes quarantine inspections for captive-bred species used for  
400 non-edible purposes. Meanwhile, in 2021, the list of Wildlife under Special State Protection  
401 had its first major revision in almost 30 years, adding 517 species and increasing the total by  
402 53%. This expansion included upgrading 187 *Sanyou* animals to receive stricter legal  
403 protections. In this updated version, 63 species are marked as "limited to wild populations,"  
404 allowing for their artificial breeding (only 4 are terrestrial species, categorised as "special

405 livestock”). In 2023, the list of *Sanyou* animals also saw its first major update in over 20  
 406 years, expanding to include a total of 1,924 species, with 680 new additions.

407 **5. Understanding the changes through the Multiple Streams Model framework**

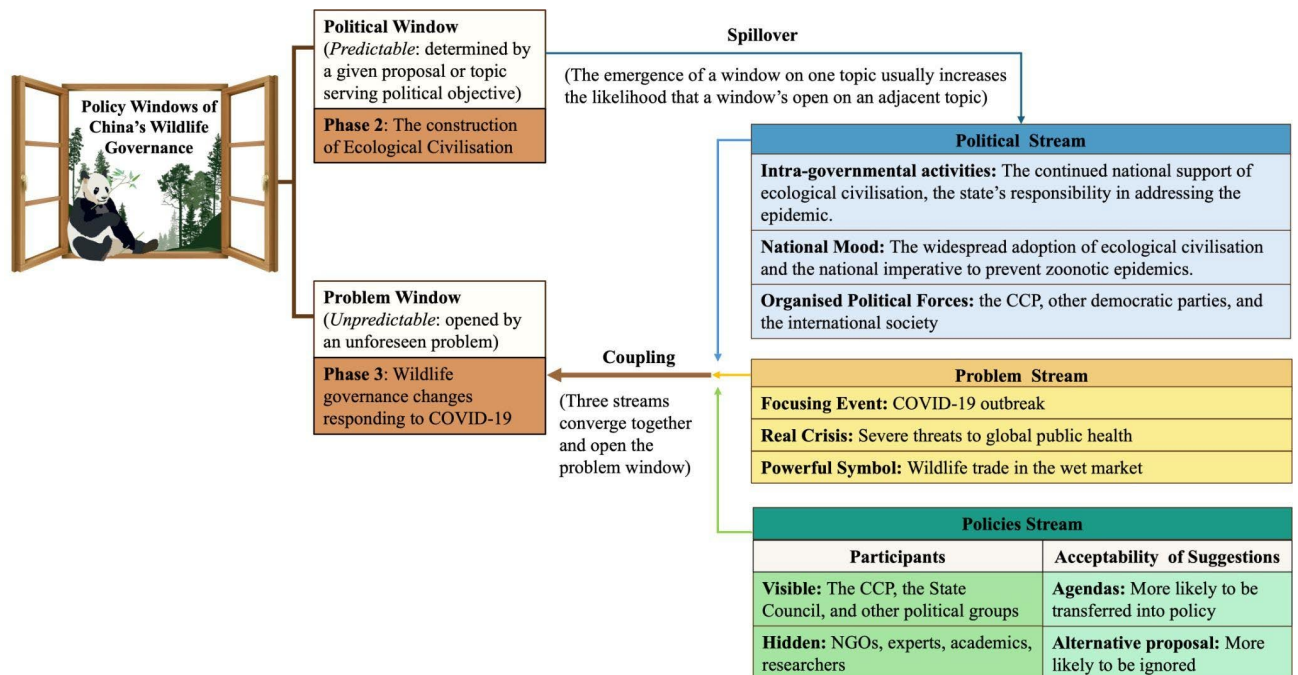
408 We used Kingdon’s Multiple Streams Model (MSM) model to understand the changes in  
 409 legislative phases that led to Phase 2 and Phase 3. We identified the introduction of the  
 410 ecological civilisation concept as a *political window*, and the COVID-19 pandemic as a  
 411 *problem window*.

412

413 **5.1 Ecological Civilisation creates a *political window* for change**

414 The introduction of the ecological civilisation concept that characterised Phase 2 reflected a  
 415 unique convergence of *problem* and *policy* streams at the highest levels of the Chinese  
 416 government, and can be seen as a *political window* that allowed for changes in wildlife policy  
 417 making. Since the economic “reform and opening up” 1978, China accelerated its  
 418 transformation from an agricultural to an industrial civilisation (Pan, 2019). Leveraging its  
 419 vast natural resources and extensive labour force, China emerged as the “factory of the  
 420 world”, a role that boosted its industrial productivity and economic growth but also hastened  
 421 an ecological crisis (Pan, 2018).

422



423

424

425 **Figure 3** Kingdon’s Multiple Streams Model (MSM) of policymaking, applied to changes in  
 426 China’s wildlife governance.

427 **(Indication: color should be used in print)**

428

429 The concept of ecological civilisation reflected an important change in that policy narrative.  
 430 Coined by a former Soviet scientist in 1984 (Gare, 2009), ecological civilisation was  
 431 introduced to Chinese political discourse in 2007 as an innovative approach to solving  
 432 environmental problems (Ferguson, 2019). It became one of the priority objectives of the  
 433 CCP in 2012 and then was central to President Xi Jinping’s ideology of “Socialism with  
 434 Chinese Characteristics for a New Era” (Goron, 2018). The concept was subsequently  
 435 integrated into several environmental laws, including the WPL in 2016 and the Chinese  
 436 Constitution in 2018 (Goron, 2018; Wei et al., 2021; see Phase 2). Such robust political

437 guarantees are why this factor can be considered a driving *political stream*. Moreover, it was  
438 closely aligned with the challenge of ecological crisis, which served as an influential *problem*  
439 *stream*. Policy solutions are proposed, with those adopted by the government forming the  
440 *policy stream*.

441  
442 Even in the context of environmental policies that are principally and ultimately driven by  
443 human interests – the Chinese perspective retains a holistic perspective that views humans  
444 and nature as linked (i.e. wholistic, but always from a human vantage). This contrasts with  
445 the Western environmentalism paradigm that often delineates a separation between nature  
446 and humans, or an explicit distinction between eco- and anthropocentric motivations and  
447 approaches (Zhu, 2023). At the heart of ecological civilisation is the definition of a new  
448 relationship between humans and nature (Ferguson, 2019; Weins et al., 2022), which is also  
449 known as a fresh, natural outlook based on Eastern wisdom, called the “unity of man and  
450 nature” (Zhang, 2021). Therefore, this ideology strongly overlaps with much older Chinese  
451 philosophical thought, such as the Taoist objection to “conquering nature” (Feng, 2015) (i.e.,  
452 “obligate to nature”) and the Confucianist emphasis on “man’s moral obligation to nature”  
453 (Liu, 2018). With the idea of the unity of nature and humanity embedded in Chinese  
454 philosophy and culture for millennia, the concept of ecological civilisation has been readily  
455 accepted by the Chinese people. This, combined with the *organised political forces* of the  
456 CCP (Xiao and Zhao, 2017), has made ecological civilisation widespread in China,  
457 influencing people’s perceptions of environmental protection (Huang and Westman, 2021;  
458 Wang et al., 2020b).

459  
460 In the context of wildlife governance, these political and problem streams aligned with a  
461 proposed solution, a *policy stream* that involved changes in Chinese legislation to prioritise  
462 species conservation. The resulting *policy window* saw significant amendments to the 2016  
463 WPL, notably prioritising species conservation over utilisation as described in Phase 2. This  
464 also established a strong *national mood* towards eco-conservation, lasting effects in the  
465 opening of the *problem window* that was driven by COVID-19 (Fig. 3).

## 467 **5.2 A COVID-19 *problem window* accelerates shifts in wildlife governance**

468 An unpredictable *problem window* drove phase 3 in the wildlife governance timeline, that  
469 was the COVID-19 pandemic (Fig. 3). It was a *real crisis*, with enormous economic and  
470 public health impacts across the globe (Laborde et al. 2020) and, thus, became a *focusing*  
471 *event* drawing global attention to the problem between public health and the environment,  
472 especially wildlife trade. Wildlife trade in China, specifically the wet market, became a  
473 *powerful symbol* that raised worldwide discussion (Roe et al., 2020), given the potential  
474 origins of the virus. As such, the pandemic became an effective *problem stream*, sparking  
475 discussions and reforms to address the conflict between wildlife management and zoonosis  
476 prevention.

477  
478 At the same time, the *political window* of ecological civilisation remained active. And given  
479 the resonance between the enhanced eco-conservation values under the concepts of  
480 ecological civilisation and the conservation crisis behind the urgency to control and prevent  
481 COVID-19, the strong political influence of the *political window* spilled over to the *political*  
482 *stream* of the new *problem window*, which greatly enriched the *political stream* beyond the  
483 ongoing efforts of ecological civilisation. Political forces exerting guidance and suggestions  
484 to address the epidemic have strengthened the *political stream* (see Fig. 3).

485

486 This is aligned with a series of proposed *policy stream* solutions, notably around the  
487 tightened governance of wildlife. Following the COVID-19 outbreak, the CCP and the  
488 government quickly declared intentions to enhance wildlife governance to combat the  
489 epidemic and protect public health (see Phase 3). Democratic parties also proposed measures  
490 for epidemic control (CPPCC, 2020). Proposals from these *visible participants* typically align  
491 with government budgetary costs and mainstream thinking (Kingdon, 2014). Given the  
492 substantial economic and human costs of COVID-19, the Chinese government’s responses  
493 appear to meet these criteria, thus gaining high *value acceptability*. The *policy stream* also  
494 drew inputs from *hidden participants*, like academic experts and NGOs, on how to reform  
495 wildlife governance (Wang, 2020). This *coupling of problem, political and policy streams*  
496 resulted in the boom of legislation seen since 2020, as described in Phase 3.

## 497 **6. Discussion**

498 Our analysis of 147 documents relevant to wildlife governance in China identified three  
499 phases marked by two distinct changes in overall legislative priorities: one brought about by  
500 the construction of the ecological civilisation and the other by COVID-19. These two waves  
501 of change can be seen as two interrelated policy windows in Kingdon’s MSM of policy  
502 making. We found that although the emphasis on wildlife conservation was present in each  
503 phase, the drivers behind the legislation were distinct.

504  
505 The primary driver of Phase 1 was the scarcity of certain wildlife that affected the functional  
506 integrity of ecosystems (wildlife protection) or human use (wildlife utilisation), so rare or  
507 precious wildlife were protected, with the primary focus of legislation across this phase  
508 prioritising utilisation. Phase 2 was characterised by ecological value as a driver: legislation  
509 began to redress the balance between conservation and utilisation with increased emphasis on  
510 the former while still enabling the latter. Phase 3 was driven by public health concerns but  
511 has arguably seen the most notable advances in conservation. Overall, our analysis revealed  
512 that the imperative to safeguard public health has driven more substantial changes in wildlife  
513 governance in China than the ecological values promoted by the concept of ecological  
514 civilisation. Given that changes driven by human interests—such as utilisation and public  
515 health—are present across all three phases, we began to question what these shifts in  
516 motivation for policy changes in wildlife governance actually mean for “wildlife  
517 conservation.”

### 518 519 **6.1 Human interest: A consistent driving force in wildlife governance across three** 520 **phases**

521 Although each phase has different drivers for establishing wildlife governance, human  
522 interest consistently parallels these forces, which is a double-edged sword. At times, it  
523 exacerbates biodiversity loss, while at other times, it enhances conservation efforts.

524  
525 Legislation in Phase 1 was primarily driven by the scarcity of wildlife, aiming to protect the  
526 integrity of the ecosystem and prevent the extinction of rare species. However, this scarcity  
527 also positioned wildlife utilisation as a crucial economic tool for boosting the economy in the  
528 country's early years. In other words, during this phase, the ecological value of wildlife was  
529 deprioritised in favour of its economic value (Wang, 2014), with human interest actually  
530 becoming the main driving force behind wildlife governance. Consequently, this period saw  
531 the rapid growth of wildlife-related industries. Unfortunately, as scarcity drives up prices  
532 (Ren, 2020), economic growth also stimulates the smuggling and poaching of endangered  
533 species, leading to significant biodiversity loss across China (Harkness, 1998).

534

535 Governance in Phase 2 was driven by ecological civilisation, indicating that China no longer  
536 prioritises development over the environment (Ranjan, 2019). Specifically, the WPL began to  
537 recognise the ecological value of wildlife. However, this reform was motivated not only by  
538 environmental conservation but also by the pursuit of human interests. President Xi  
539 emphasised that constructing ecological civilisation should also meet people's growing  
540 demands for a beautiful environment (Xi, 2017). Fortunately, this goal, aligned with  
541 environmental protection, has brought reforms that enhance biodiversity conservation and  
542 ecosystem restoration (Ranjan, 2019).

543  
544 While the ecological civilisation *political window* was influential and laid the theoretical  
545 groundwork for more radical changes seen in Phase 3 due to the COVID-19 *problem window*  
546 (Fig. 3), it still faces trade-offs inherent in socio-ecological challenges. This is because, in  
547 China, ecological progress is not an independent target but is intertwined with economic and  
548 social progress (Ranjan, 2019). Consequently, wildlife-related industries, notably those close  
549 to wildlife utilisation in TCM, continued to thrive during this period. Therefore, the challenge  
550 for China remains how to efficiently use environmental resources to achieve a win-win  
551 between a green economy and ecological security (Ranjan, 2019).

552  
553 In Phase 3, the post-COVID-19 era, wildlife utilisation for purposes such as TCM, scientific  
554 research, and fur is still permitted, and even relaxed breeding regulations on “Sanyou”  
555 animals were introduced, which raised concerns about balancing conservation and wildlife  
556 use (Cui, 2023). Similarly, conservationists have criticised the designation “limited to wild  
557 population” in the List of Wildlife under Special State Protection. They are concerned that  
558 this change could encourage the illegal breeding of endangered species, particularly the non-  
559 terrestrial wildlife categories (59 kinds of species cover Reptilia, Amphibia, Osteichthyes,  
560 etc.). The underdeveloped technology for breeding these species and the difficulty in  
561 distinguishing between bred and wild populations could lead to the laundering of protected  
562 wild animals (Gone, 2020), like the Chinese giant salamanders (*Andrias davidianus s.l.*) (Lu  
563 et al., 2020). Moreover, although the pangolin’s protection status was upgraded to Class I in  
564 2020, the Pharmacopoeia revised that same year still includes it as an ingredient in nine types  
565 of prescriptions rather than removing it entirely, retaining the threats to this endangered  
566 species (Wang et al., 2023). Despite these allowances, China’s wildlife legislation underwent  
567 unprecedented and drastic changes in response to the zoonotic epidemic. Public health  
568 concerns, one typical human interest, became the driving force, leading to significant  
569 improvements in wildlife conservation.

570  
571 These three phases illustrate that wildlife conservation is never just an ecological issue but is  
572 closely linked to human interests. The concept of ecological civilisation emphasises that all  
573 humans are part of a shared destiny. The COVID-19 outbreak has demonstrated that humans  
574 are interconnected not only with each other but also with animals and the environment,  
575 aligning with the “One Health” concept (Jenkins et al., 2015). Though pursuing human  
576 interests results in environmental harms like biodiversity loss, zoonotic diseases, and climate  
577 change, the radical shifts in Phase 3 offer a new approach to enhancing wildlife conservation:  
578 formulating wildlife policies and legislation from the perspective of human interests. The  
579 importance, urgency, and initiative to solve a problem are often driven by perceived threats to  
580 human well-being. Thus, protecting animals, plants, and the environment through a human-  
581 centred approach can achieve results that exclusive ecological conservation efforts might not.  
582 This perspective ensures that conservation measures are not only ecologically sound but also  
583 socially and economically viable.

584

585 **6.2 One-party rule: China’s unique system that influences policy windows and**  
586 **conservation strategy**

587 Under China’s system of one-party rule, the CCP and the State administrative agencies  
588 usually dominate a closed process of policy making and changing, especially the Politburo of  
589 the CCP Central Committee, which decides the major policy guidelines (Li, 2020), including  
590 environmental governance.

591  
592 Such a one-party system often faces criticism for prioritising party concerns during  
593 policymaking (Snape and Wang, 2020) and for limiting alternative viewpoints. And yet this  
594 very structure allows for policies and initiatives endorsed by the CCP and its core leaders to  
595 be thoroughly implemented across the country through top-down approaches, such as reform  
596 of legal institutions, revision of relevant legislation, and strong and effective enforcement  
597 (Ranjan, 2019). In this case of ecological civilisation, those are largely positive for  
598 conservation and the broader environment. This political system has been pivotal in opening  
599 the *political window* in Phase 2 and steering the *problem window* in Phase 3, marking the  
600 political stream’s substantial influence on shaping these policy windows.

601  
602 In political dynamics, internal government events can shape policy agendas, including  
603 leadership changes or shifts in ideological focus (Kingdon, 2014). In China, the CCP  
604 dominates, ensuring that policy directions remain relatively stable despite leadership changes  
605 (State Council Information Office, 2007). This stability means that once a political direction  
606 is set in China, it tends to endure longer than in other systems. Therefore, the philosophy of  
607 ecological civilisation, rooted in ex-President Hu Jintao’s era, has continued to grow under  
608 President Xi Jinping, further cementing itself as one of the critical political streams of the  
609 problem window in Phase 3.

610  
611 This political framework has significantly influenced Phase 3’s changes. While policy  
612 windows allow for the translation of various values into policy, in China’s system, directives  
613 from visible participants like the president and high-ranking officials more readily become  
614 policy (Kingdon, 2014). Thus, the government could swiftly enact legislation and policies to  
615 ensure strict wildlife governance after the epidemic breakout while maintaining wildlife  
616 farming and utilisation based on economic reasons. In contrast, calls from experts and the  
617 public (hidden participants) for a complete ban on wildlife trade and to end medicinal wildlife  
618 use (Wang and Jiang, 2020) were overlooked.

619  
620 However, it must be acknowledged that China’s political system has long been a powerful  
621 force binding wildlife governance and utilisation together. As previously noted, wildlife  
622 utilisation has persisted throughout all three phases, remaining constant even during the push  
623 for ecological civilisation and amidst public health crises. This enduring presence is due to  
624 the Chinese government’s designation of wildlife as a natural resource for economic  
625 development. For example, wildlife farming has been recognised and supported for its role in  
626 job creation and poverty alleviation, particularly in rural areas (Li, 2020). Though wildlife  
627 trade can benefit sustainable conservation in some ways (Roe et al., 2020), market demands  
628 for wildlife and its products in China continue unabated, posing significant challenges to  
629 wildlife conservation.

630  
631 **7. Conclusions**

632  
633 China has a uniquely rich history of wildlife use and governance and an outsized role in  
634 shaping current wildlife trade dynamics. Throughout its history, China has shown a trend of

635 increasingly striving to protect nature and wildlife, with post-COVID efforts appearing as  
636 part of this ongoing progression. Yet, significant advances in wildlife protection have often  
637 been hindered by economic and cultural pressures to use wildlife resources (Zhu and Zhu,  
638 2020). The recent legal changes after COVID-19 highlight the persistent challenges and  
639 possibilities China faces in its mission to conserve wildlife without compromising economic  
640 growth and social order. These changes call for more in-depth examinations to gauge their  
641 actual impact on preventing zoonotic diseases and conservation improvement in practice.  
642

643 Additionally, this study highlights that strong conservation policies don't always stem only,  
644 or even primarily, from a direct desire to protect wildlife. As noted, the early wildlife  
645 legislation in Phase 1 focused on the economic benefits of wildlife utilisation, whereas Phase  
646 3's substantial reforms were driven by public health concerns – both rooted in human  
647 interests. This indicates that in our human-centric world, exploring indirect methods to  
648 enhance wildlife protection could be beneficial. For instance, strategically leveraging “human  
649 interests” to gain policymaker support for conservation recommendations can be effective.  
650

651 Moreover, our finding reveals China's unique (dis)advantage in conservation efforts. China's  
652 commitment to ecological civilisation as a core governance philosophy, coupled with swift  
653 and substantial responses post-outbreak, highlights the strengths of its centralised system in  
654 enacting and enforcing new policies. The policy windows of wildlife governance in China  
655 underline that a governance philosophy supportive of conservation, within such a state  
656 system, can significantly expedite conservation efforts, emphasising the importance of  
657 aligning party values with progressive conservation strategies. However, long-standing  
658 policies that support wildlife trade and utilisation also pose significant challenges for  
659 conservation efforts.  
660

661 Overall, the evolution of wildlife conservation legislation in China over the past 70 years  
662 demonstrates that the factors influencing conservation are complex rather than  
663 straightforward, creating opportunities for those who want to bridge the gap between  
664 conservation science and policy (Troiano et al., 2024). This analysis offers both individual  
665 conservationists and broader conservation movements a valuable historical perspective,  
666 revealing trends and shifts in the motivations and discourses that shape conservation efforts.  
667 A more macro-level understanding of conservation policy is crucial for predicting how  
668 individual proposals may be received, how lobbying efforts can be most effectively framed,  
669 and how public movements can engage with policymakers.



670 **1. References**

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