

Reaction of uranium with poly-hydroxy-aromatic groups on particles through mono- and multi- dentate surface complexes on the basis of pH and redox potential: a modelling approach.

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Abstract

The analytical approach that was proposed in our recent paper has been applied to simulate effects of pH and redox potential (E) on the sorption of uranium onto potentially redox active bioorganic model particles in saline or other aquatic environments. Specifically herein, it is applied to the mono- and poly-hydroxy-aromatic (polyphenolic) sites which account for approximately 30% of bioorganic site capacity. The derived expression is aimed to avoid use of the classical approach of sorption, which requires experimental data and empirical models. The expression provides a distribution coefficient (K_d e.g. mL g⁻¹) as function of pH, E and soluble ligand concentration by considering a surface complexation model on mono- or multi-dentate complexation surface sites $>Su(OH)_c$. The application of the model uses correlations between the surface complexation constants and hydrolysis constants, for all potential species and all form of sorption sites. The model was used to quantify the uranium sorption onto hydroxy-benzene, dihydroxy-benzene, and dihydroxy-naphthalene sites with or without carbonates in solution. The latter is the primary interfering reagent in waters that decreases Log K_d . The calculated distribution coefficients were found sensitive to both pH and E and very sensitive to the presence of carbonates. The reduction of uranium U(VI), and its carbonate complexes, to U(IV) during sorption was simulated by decreasing the redox potential. It was found that the transition phase between U(VI) and U(IV) was generally below the redox stability limits of water. However, the reduction of U(VI) to U(IV) was found to be potentially associated with their reaction with the polyphenols, decreasing the redox potential subsequently. The calculated sorption coefficient values were validated using the values reported in literature for the sorption of uranium onto specific adsorbents. The methodology of the simulation is also applicable to the sorption of other redox sensitive elements, and with the addition of a scaling factor, it would allow the predictions of co-complexation phenomena by employing relevant site formulations. The oxidation of mono-hydroxy- benzene in di-hydroxy-benzene enhances the sorption of uranium by a factor 10^6 which may be applied to its extraction from seawater. **XX**

Keywords: uranium sorption coefficient; redox potential; surface complexation; metal sorption on biomass; multi-dentate surface complex; poly-hydroxy-aromatic;

1 Introduction

Natural organic matter controls metal speciation and toxicity in various bioorganic systems. The bioorganic molecules that make up natural materials have large and complex structures but are produced through an iterative mechanism using simple basic units, allowing use of simple analogues to simulate the general structure by mapping representative units of the binding site. By bulk capacity, the most common binding site in plant biomass is the carboxylic acids, as illustrated by Pontoni et al. (2022), and the closest next common acid site is the polyphenolic structure. The structure of the carboxylic acids were found to serve as barrier to harmful elements and to offer consistent binding capacity over the pH range that the biomaterial meet, as reported by McGowan et al. (2022). Alternatively, free

47 polyphenolic compounds in biomaterials are more reactive due to their labile structures and act as
48 aromatic component, compensating the lower density within the materials.

49 In addition to the direct surface complexation, many chemical structures in biomass are acting as
50 reducing agents intended to control the redox potential such as the conversion of the mobile forms of
51 uranium to immobile ones, helping acceleration of the deposition and minimizing release, and thus
52 significantly increasing the effectiveness of the materials beyond the bulk capacity, see Lovley et al.
53 (1991) and Senko et al. (2002). Furthermore, the biomaterials often include nutritional benefits for the
54 microorganisms, which boost also the deposition processes as reported by Senko et al. (2002).

55 Polyphenolics in the natural resources are attributed to four classes of hydroxy groups on aromatic rings,
56 including phenolic acids, such as flavonoids Ververidis et al. (2007), stilbenes, such as diethylstilbestrol
57 and dienestrol, see Bjorkman and Taylor (2018), and lignans, as per Saleem et al. (2005). In the context
58 of uranyl sorption on colloidal materials, surface complexation, reductive and colloid
59 aggregation/precipitation have been reported by Mathew et al. (2016) owing to the strong affinity for
60 extraction and high complexation potential, driven by their antioxidant properties (which helps reduce
61 the valence state of the adsorbed metal ion) and peptization/flocculation effect (Sakagushi and
62 Nakajima, 1987). For example, Quercetin [(H₂C₆(OH)₂)O(COHC(OH)C)(H₃C₆(OH)₂)], a polyphenol
63 present in a wide range of materials, such as citrus fruits, wine tannin, or bark tannins, binds to the ions,
64 complexes and thus bridges two or more molecules, resulting in permanent binding and enhancement
65 of the extraction. The family of tannin-loaded biomaterials through the berries, barks, malt, and tealeaf
66 biomasses have been demonstrated to load uranium at approximately 100-300 mg per kg, as per
67 McGowan et al. (2022).

68 In order to simplify estimation of mass balance in the modelling, the partition coefficient, K_d , is
69 commonly used, and much of literature on K_d of radionuclides was relevant to remediation applications
70 and inherently used conservative approaches by empirical models of sorption, as per McKinley and
71 Scholtis (1993). However, for accurate modelling, a generalised model is necessary. As K_d is an
72 emergent property that is based on molecular mechanistic approach, it is possible, using certain core
73 factors, to correlate K_d to other measured properties and model sorption of uranium onto these
74 biomaterials from an abstract general case and reduced laboratory data, as suggested by Degueldre et
75 al. (2001).

76 A potential alternative to the uranium ore mining from its classical geological formation is its extraction
77 from seawater. Uranium is in equilibrium in seawater, as detailed in Schenk et al. (1982). This trace
78 concentration is available at an average of 3.3 parts per billion (ppb) in standard seawater conditions of
79 typically 35‰ salinity and pH 8.0 and could be extracted in a renewable mode, as per Degueldre (2016)
80 and Degueldre et al. (2019). It would then be able to meet the needs of the world nuclear industry for
81 many centuries to come (NEA and IAEA, 2016).

82 The aim of this study is to systematically simulate the sorption mechanism of uranium on biomaterial
83 particles covered with polyphenolic groups subject to variations of pH, redox potential E , ligand
84 concentrations in aqueous phase. As reported in our parent paper, McGowan et al. (2023), the
85 methodology followed is anticipated to evaluate the surface complexation constants of all involved
86 species by correlations as reported earlier for mono-dentate surface complexes and to additionally apply
87 this methodology to bi-dentate surface complexes, and thus achieve the sorption study in a more
88 complete way. In the following sections, quantification of species occurrence and of their sorption
89 properties is introduced for the liquid and the sorbent phases.

90
91

92 2 Modelling species occurrence and their sorption properties

93

94 Surface complexation is the process of interactions of metal species with active surface sites of weak
95 acid groups, which fixes the species to the surface. It is best described by the distribution ratio, which
96 yields a distribution coefficient (K_d) quantified in mL g⁻¹ for the sorption/desorption at equilibrium.

97 In the wet phase conditions it is convenient to include the colloidal particle concentration and to write
98 K_d as:

$$99 \quad K_d = \frac{[M]'_{sorb}}{[M]'_{sol}} \frac{1}{[Part]} \quad (1)$$

100 Where both concentrations $[M]'_{sorb}$ and $[M]'_{sol}$ are given in mol mL⁻¹ and $[Part]$ is the particle
101 concentration (e.g. g mL⁻¹).

102

103 2.1 Site availability

104

105 For the purposes of this model implementation, the particle are assumed to be spherical in nature and
106 of fixed average radius size r (e.g. in nm). The chemical surface is covered by $>Su(OH)_c$ groups, with
107 $>Su$ the group substratum. The particle average surface area, S (e.g. nm²) and mass m_a (g) are calculated,
108 using ρ (g nm⁻³) the material specific mass as described in McGowan et al. (2023).

109 The molecular structure is abstracted to planes on which active sorption sites are attached. The sites
110 were assumed of rectangular shape and dimensions a and b (nm), in which there are c sites interacting
111 with the ion or its complexes.

112 By considering a scaling factor, I , defined as the fraction of inactive surface area in the total surface
113 area S . A molar site density N_s (mol per average particle surface area) is estimated by calculating the
114 size of the interactive plane of active surface.

$$115 \quad N_s = c S N_{Av}^{-1} a^{-1} b^{-1} (1-I) \quad (2)$$

116 where c is the number of active sites in the bound plane and N_{Av} is Avogadro's constant.

117 Total site concentration ($[>Su(OH)_c]_{Tot}$) in mol L⁻¹ is then calculated using m_a , N_s and the $[Part]$
118 concentration in g L⁻¹, as illustrated by Eq (3).

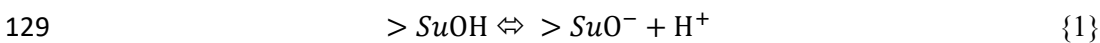
$$119 \quad [> Su(OH)_c]_{Tot} = \frac{N_s [Part]}{m_a} \quad (3)$$

120 The $[>Su(OH)_c]_{Tot}/[Part]$ ratio may also be derived experimentally using for example the specific
121 surface provided by BET measurements. Note that the site can be formulated as any valid site form,
122 such as $>Su$, $>Su(O^-)_c$, ... $>Su(OH)_c$ or any other sorption form, depending on the operating conditions.
123

124 2.2 Effect of acidity on site availability

125

126 The most common form of $[>Su(OH)_c]_{Tot}$ is $>Su(OH)_c$ as this form is function of the acidity conditions
127 of the surrounding and the resulting number of sites of a suitable state for binding. The protonation and
128 deprotonation of mono- active sites are accounted by specific acid/base reactions as follows.



130 The acid-base constant associated to these sites is defined as:

131

$$132 \quad K_a = \frac{[>SuO^-][H^+]}{[>SuOH]} \quad (4)$$

133 Since the total concentration of sites is defined in {1}, [$>SuOH$]_{tot} would be the sum of [$>SuO^-$] and
 134 [$>SuOH$], the protonated site concentration is expressed by:

$$135 \quad [> SuOH] = \frac{[>SuOH]_{Tot} [H^+]}{\frac{K_a}{1+[H^+]} \frac{K_a}{K_a}} \quad (5)$$

136 In the case where a second (and a third) site is in close proximity of the first, it is possible that the
 137 surface components experience coupled acid - base reactions as defined in {1}. This reaction becomes
 138 in a general case, of multi-site reactions:



140
 141 The corresponding cumulative multi-acid-base constant is given by:

$$142 \quad \beta_{a(c)} = \frac{[>Su(O^-)_c] [H^+]^c}{[>Su(OH)_c]} \quad (6)$$

143 with $c=1,2,3$.

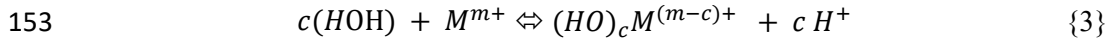
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146 2.3 Metal hydroxide complexes

147

148 In order to define the stepwise acid/base properties of metals, it is possible to use the build-up of
 149 hydroxide complexes formed from the metal ions interacting with water, exchanging with the H^+ ion
 150 to form successive hydroxide complexes, as a standardisation metric, which are formed per reaction
 151 {3}.

152



154

155 When equilibrium conditions are reached, the hydroxide complexation constants β_h associated to the
 156 reactions {3} are given as:

157

$$158 \quad \beta_{h(c=1,2,3,4)} = \frac{[(HO)_c M^{(m-c)+}] [H^+]^c}{[c(HOH)] [M^{m+}]} \quad (7)$$

159

160 The net β_h of the sequential reaction from mono-dentate to bi-dentate and beyond results in Eq.(8).

161

$$162 \quad \beta_{h(1,2,3,4)} = \prod K_{h1} \cdot K_{h2} \cdot K_{h3} \cdot K_{h4} \quad (8)$$

163

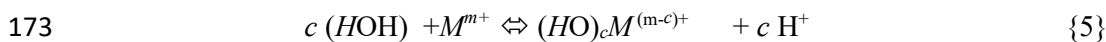
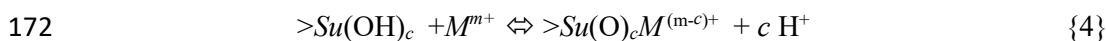
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165 2.4 Correlation between mono- or multi- dentate stability constants with their respective

166 hydroxide complexes constants.

167

168 In order for the metal or complex to react the surface of different hydrated states, the stability constant
 169 of the surface complexes K_s is estimated by correlating the hydrolysis constants K_h or β_h of the ions and
 170 complexes or the corresponding β_s involved with the surface complexation states Degueldre et al. (1994)
 171 and described by the set of reactions {4} with {5}.



174 with

$$175 \quad K_{s(c)} = \frac{[>Su(O)_c M^{(m-c)+}] [H^+]^c}{[>Su(OH)_c] [M^{m+}]} \quad (9)$$

176

177 The relation between {4} and {5} on the case $c=1$ was shown to be of the form.

$$178 \quad \text{Log } K_s = S_m \text{ Log } K_h + T_m \quad (10)$$

179 where S_m and T_m are surface-specific constants as defined in (McGowan, et al., 2023).

180 However, the analysis of reactions where $c>1$ has shown that successive multi-dentate relations have
181 the same form as (10), as long as constant c matches.

182 Several authors have shown that the multi-dentates are common for uranium e.g., Carbonaro et al.
183 (2011). High S_m and low T_m values, respectively, were reported by Pontoni et al. (2022) for details.

184

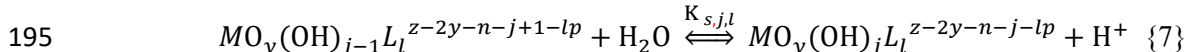
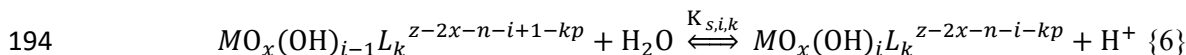
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186 2.5 Complexes formation in the redox range

187

188 The hydrolysis stability constants of both redox species ($K_{s,i,k}$ for oxidising and $K_{s,j,l}$ for reducing species)
189 can be obtained by evaluating the stepwise iterations of reaction {2}. It should be noted that the notation
190 is intended to include both oxo- and non-oxo- cation species.

191 Further complicating situation is the interference originating from the metal complexation by k -ligands
192 or l -ligands (L^{p-}) such as carbonates. The indices are ranged over the co-ordination properties of the
193 appropriate element, as illustrated in Fig. 1.



196 Therefore, it is possible to define the generalised redox couple $\text{MO}_x^{(z-2x)+}/\text{MO}_y^{(z-n-2y)+}$ (where $\text{MO}_x^{(z-2x)+}$
197 is the oxidised species and $\text{MO}_y^{(z-n-2y)+}$ the reduced one), as reaction {8} & {9} (see Fig. 1).

198 The surface complexation for the hydrolysed (and complexed) species is described (reactions {4}) by
199 considering the generalised quasi-neutral site $>Su(\text{OH})_c$. The surface complexation constants are $K_{s,i,k}$
200 for the oxidising species and $K_{s,j,l}$ for the reducing species. As stated earlier, the indices k and l refer to
201 the appropriate co-ordination number of the metal ions, in the context of those of the selected ligand.

202

203

204 **Fig. 1:** Combined mechanisms of surface complexation and redox reaction of uranium hydroxide and
205 carbonate complexes in solution. In this study $>Su(\text{OH})_c$ is the c -hydroxy-aromatic substrate and $c=c'$.

206

207 Furthermore, effects of the redox potential at the surface were also taken into account (reactions {10}
208 and {11}). The combined mechanisms of surface complexation and redox of uranium hydroxide- and
209 carbonate- complexes in solution are depicted in Fig. 1. When the reactions are written in terms of free
210 metal M^{z+} , the cumulative constants are $\beta_{s,i}$ and $\beta_{s,j}$ respectively.

211 By applying the Nernst equation to these, as detailed in Alonso and Degueldre (2003), the equilibrium
212 K_d was calculated using for the mono-dentate. To extend this to account for c dentate bonds, it becomes
213 (11) below, replacing [Part] per rearranged Eq (3).

$$K_d = \frac{\left\{ \sum_{i,k} \left[\frac{K_{s,i,k} \cdot \beta_{h,i,k} \cdot [L_k]^k}{[H^+]^i} \right] + \sum_{j,l} \left[\frac{K_{s,j,l} \cdot \beta_{h,j,l} \cdot [L_l]^l}{[H^+]^j} \right] \right\} \exp(A) \cdot \frac{[>SuOH]}{[H^+]^c}}{\left\{ \sum_{i,k} \left[\frac{\beta_{h,i,k} \cdot [L_k]^k}{[H^+]^i} \right] + \sum_{j,l} \left[\frac{\beta_{h,j,l} \cdot [L_l]^l}{[H^+]^j} \right] \right\} \cdot \exp(A) \cdot [Part]} \quad (11)$$

215

216 where $A = \frac{(E^\circ - E)nF}{RT}$ and all concentrations are molar units unless noted otherwise.

217 Since K_d is proportional to the $[>Su(OH)_c]_{Tot}/[Part]$ ratio, it is proportional to $1/r$.

218 The formulation of Eq (11) implies linear adsorption isotherms; no saturation effects, no electrostatic
219 effects and no activity corrections at this stage.

220 Any K_d calculated on this basis is one instance of binding metal or complex, in the conditions specified
221 by the E° (apparent standard redox potential) used for A , and the appropriate β_h and K_s for that complex.
222 Therefore, by using different corresponding conditions, K_d value can be calculated for each appropriate
223 state at any specific value of E .

224 Equation (10) can be further extended to consider sorption by different multi-dentate complexes, and
225 by using the appropriate cumulative total (β for bi-dentate). For the multi-dentate complexes the
226 formulation is similar however c protons are exchanged instead of 1.

227 Finally, for the shift from U(IV) to U(VI) during increase of E , the $\text{Log } K_d = f(E)$ curve undergoes a
228 change of $\text{Log } K_d$ values corresponding to the redox state change of the sorbing species. It implies an
229 inflection in the curve characterised by $\frac{\partial^2(\text{Log } K_d)}{\partial E^2} = 0$ for a potential value called here $E^{\circ\circ}$.

230 The following assumptions were made for the application of the proposed model:

- 231 • The surface complexation reactions occur under equilibrium.
- 232 • There are no kinetics effects considered.
- 233 • The sorption isotherms are of Langmuir type.
- 234 • The model applies to pure surface complexation with no change in charge effect from the equilibrium
235 (for example, no double layer effect).
- 236 • There is no reaction coupling that could lead to irreversible sorption e.g. surface complexation &
237 aggregation.

238 The model would be arranged accordingly in case of the sorption is also driven by these conditions.

239 Extension to the case of multi surface complex may be sketched by the reaction:



241 in the bi-ligand complex production, e.g. from two particles, with

$$242 \quad K_{s(c,2)} = \frac{[(>Su(O)_c M(O)_c Su'<)^{(m-2c)+}][H^+]^c}{[>Su(OH)_c][>Su(O)_c M^{m+}]} \quad (12)$$

243 This last equation cannot be used to estimate a K_d because the M^{m+} ion is blocked between two particles
244 that makes reaction {12} irreversible as discussed in Section 5.

245

246 **3. Data for model Application**

247

248 **3.1 Liquid environmental context**

249

250 As the model is intended to represent sorption of uranium in saline conditions, the concentration of
 251 uranium was taken as the average seawater value is $3 \times 10^{-9} \text{ g L}^{-1}$ (3 ppb) as reported by Millero (2013).
 252 The pH of seawater directly used for calculations is around 8.0. For example, as reported by Rérolle et
 253 al. (2012), Irish Sea seawater has a pH between 7.995 and 8.210 and a redox potential of about +0.4 V
 254 in surface conditions.

255 The molecular weight of uranium is assumed to be 238 g mol^{-1} . The pK_h for each of its hydroxide
 256 complexes and the E° for each of its redox couples are as listed in Table 1.

257

258 **Table 1:** Hydrolysis constants and standard redox potentials of uranium (NEA (2004) and Grenthe et
 259 al. (2006))

i or j	pK_h U(III)	E° (V)	pK_h U(IV)	E° (V)	pK_h U(V)	E° (V)	pK_h U(VI)	E° (V)
=1	6.80	0	0.54	-0.553	0.00	0.053	0.00	0.006
=2	7.30	0	0.70	-0.553	11.30	0.053	5.25	0.006
=3	11.60	0	3.60	-0.553	12.30	0.053	6.90	0.006
=4	14.35	0	5.30	-0.553			8.10	0.006
=5			13.10	-0.553			12.15	0.006

260

261 For the carbonated seawater environment, the total carbonate concentration has been assumed to be
 262 $[\text{CO}_3]_{\text{Tot}} = 2.2 \times 10^{-3} \text{ mol L}^{-1}$ and their first and second Log partition coefficients are 10.329 and
 263 16.681 (Sharp et al. (2017)). The pK_h for each of the hydrolysis constants and E° (V) are listed in
 264 Table 2 and ranked over an order that follows the number of carbonating- ligands.

265

266

267 **Table 2:** Hydroxide complex constants and standard redox potentials of uranium carbonated states
 268 data from NEA (2004) and Grenthe et al. (2006).

i & l (for $K_{i,l}$)	pK_h U(III)	E° (V)	j & l (for $K_{j,l}$)	pK_h U(IV)	E° (V)
i=0, l=1/ 2/ 3	5.12 /1.80 / -1.90	0	j=0, l=1/ 2/ 3/ 4	6.5/ 5.3/ 1.6/ -3.4	-0.553
i= 1, l=0	-11.3	0	j= 1, l=0/1/2	-6.8 /-5.8 /-7.8	-0.553
i= 2, l=0	-12.3	0	j= 2, l=0/1	-7.3/ -7.9	-0.553
			j= 3, l=0	-11.6	-0.553
			j= 4, l=0	-14.35	-0.553

j & l (for $K_{j,l}$)	pK_h U(V)	E° (V)	j & l (for $K_{j,l}$)	pK_h U(VI)	E° (V)
j=0, l=1/ 2/ 3/ 4	13.7/ 10.6/ 7.6/ 3.3	0.053	j=0, l=1/ 2/ 3/ 4	9.94/6.67/ 5.23/7.6/ 3.3	0.006
j= 1, l=0	-0.54	0.053	j= 1, l=0	-5.25	0.006
j= 2, l=0	-0.7	0.053	j= 2, l=0	-6.9	0.006
j= 3, l=0	-3.6	0.053	j= 3, l=0	-8.1	0.006
j= 4, l=0	-5.3	0.053	j= 4, l=0	-12.15	0.006
j= 5, l=0	-13.1	0.053			

269

270 3.2 Sorbent environmental context: phenolic phases

271

272 A review of stability constant data for solid phase phenolic acids with d and f block metal ions has been
 273 carried out, considering hydroxy-benzene, the (1,2) and the (1,3) isomers of dihydroxy-benzene, and
 274 the (1,3) isomer of dihydroxy-naphthalene. The (1,4) isomer was of dihydroxy-benzene but the data
 275 was insufficient to make a correlation. In practice, the (1,2) and (1,3) isomers of dihydroxy-benzene
 276 proved to be co-linear: and the data was combined to increase the dataset accuracy.

277 Due to the ranging of source data used to compute the relations, testing error was not considered as the
 278 sources do not follow a consistent methodology. The reported values span a (narrow) range of testing
 279 conditions (Martell and Smith (1989)). Most values reported for stability constants and hydrolysis
 280 constants were tested at 25 °C, but some variations which varied between 18°C and 32°C were included.
 281 More ranging variability stem from the range of ionic strength of solution, which would lead them to
 282 be distinct from the basis used for the hydrolysis constant. In cases where corresponding conditions
 283 were found unavailable, an interpolated value was computed from those that were available possible or
 284 discounted otherwise. This variability is only reported for the final T_m and S_m variance for simplicity.

285 Furthermore, data on multi-dentate interactions are limited, with data available for the mono- and bi-
 286 dentates of dihydroxy-benzene, and mono- only for the other two. This is likely not directly relevant in
 287 practice, as this effect results from the product compounds being too unstable to have detectable
 288 residence times in solution. This has limited the calculated T_m and S_m to the mono form, especially since
 289 dihydroxy-benzene was found of collinear trend and the reported values would be indistinguishable at
 290 the reported precision.

291

292 **Fig. 1.** Comparison of various phenolic complexation constants and hydroxide complexation
 293 constants of various metal ions, in mono-dentate (1:1) and bi-dentate (1:2) binding, data from Martell
 294 and Smith (1989).

295

296 For the purposes of mapping the relationship, the -Log of the hydrolysis constant was compared to the
 297 -Log of the stability constant. It showed that the relationship is linear with all trend line fits, exhibiting
 298 an R^2 greater than 0.85. Relationships between the different forms are rather evident. The mono- and
 299 the bi- dentate forms of dihydroxy-benzene are almost fully co- linear with the small discrepancy, likely
 300 due to measurement error. Although there is only very limited data available, dihydroxy-naphthalene
 301 also exhibits a very similar S_m . Additionally, the S_m of the mono-hydroxy-benzene form is
 302 approximately half that of the dihydroxy-aromatic form, suggesting a strongly fixed relation. These are

303 displayed in Table 3. The minimum energy threshold T_m exhibits the primary difference between forms.
 304 These are displayed in Table 3. The confidence interval of the data set from the plotted correlation
 305 reported in Fig 2 is also displayed in this table, for information.

306 Although not displayed due to lack of data, data for (1,4) dihydroxy-benzene had a T_m value similar to
 307 the displayed dihydroxy- form, but a value of S_m which is consistent with the mono-hydroxyl form,
 308 supports the hypothesis suggested above. This relation therefore is assumed to hold for the other
 309 phenolics.

310 In order to make the work relevant to our ongoing experimental work, the sorbent materials were
 311 assumed to consist of spherical particles 0.2 mm in diameter, with a total concentration of 30 g L⁻¹,
 312 Ref.: McGowan et al (2022). The characteristics of each of the three are listed in Table 3 and the values
 313 are based on standard temperature (25°C) and pressure (1 Atm) where appropriate.

314

315

Table 3. Material property values used for the simulation.

	a (nm)	b (nm)	c	(-)	Density (g cm ⁻³)	Molar Mass (g)	pKa
Hydroxy-benzene	0.43	0.57	1		1.07	94.11	9.99
(1,2) and (1,3) dihydroxy-benzene	0.55	1.01	2		1.34	154.21	9.45
(1,2) dihydroxy- naphthalene	1.1	1.0	2		1.30	160.17	9.04
	T_m (c:1//2)	S_m (c:1//2)	Confidence interval +/-	Site Density (nm ⁻²)	[>SuOH] _{Tot} (mol.g ⁻¹)		
Hydroxy-benzene	-1.85/- 1.85	0.85/0.85	1.86	4.08	9.50E-08		
(1,2) and (1,3) dihydroxy-benzene	2.56/2. 56	1.54/1.56	0.60/2.00	3.61	3.35E-08		
(1,2) dihydroxy- naphthalene	-8.15/- 8.15	1.48/1.48	5.19	1.80	1.73E-08		

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321 4.0 Results

322

323 4.1 Speciation of uranium in water, effect of pH, E and carbonate complexes

324 In aqueous environment uranium can form tetravalent, pentavalent and hexavalent species, where the
325 stability domains can be recurrently calculated as a function of pH and E for given ligand
326 concentrations. The E vs pH diagrams for uranium were replotted, as reported in Degueldre and
327 McGowan (2020). Results are presented in **Fig. 3a&b**.

328 Uranium hexavalent in water exists primarily as ionic as well as carbonate and hydroxide complexes.
329 In seawater uranium (oxidising), carbonate complexes are often found bound to calcium ions. In order
330 of prevalence, these are tri-carbonate-uranyl [$\text{UO}_2(\text{CO}_3)_3^{4-}$] (as the dominant form, constitutes 84.9%
331 of all free uranium), di-carbonate-uranyl [$\text{UO}_2(\text{CO}_3)_2^{2-}$], uranyl (VI) tri-hydroxide [$\text{UO}_2(\text{OH})_3^-$],
332 uranyl [UO_2^{2+}], uranyl hydroxide [$\text{UO}_2(\text{OH})^+$], and uranyl di-hydroxide [$\text{UO}_2(\text{OH})_2$] as reported by
333 Djogic and Branica (1993), Zhang et al. (2005), Sekiguchi et al. (1994), Aihara et al. (1992) and
334 Yamashita et al. (1980).

335

336 In reducing seawater conditions, uranium forms trivalent species which are at pH8 mainly uranium
337 tetra-hydroxide [$\text{U}(\text{OH})_4$], along with some uranium tri-hydroxide [$\text{U}(\text{OH})_3^-$]. The carbonate complexes
338 are absent under these pH – E conditions.

339

340

341 **Fig. 2.** Indicative uranium $E^{\circ} - \text{pH}$ plots for **a.** carbon free and **b.** carbonated solutions ($[\text{CO}_3]_{\text{Tot}} =$
342 $2.2 \times 10^{-3} \text{ mol L}^{-1}$) solutions. Note the oxidising (+0.4 V for sea water) and reducing (e.g. -0.4 V for
343 phenolic couple) domain are depicted.

344

345 4.2 Calculated K_d , effect of pH and E for polyhydroxy-aromatic group complexes

346

347 4.2.1 Calculation of K_d for hydroxy-benzene group complexes

348 *Effect pH and E*

349 The K_d (mL g^{-1}) for uranium surface complexation by hydroxy-benzene groups on bioorganic particles
350 in a carbonate free environment, and a $[\text{CO}_3]_{\text{Tot}} = 2.2 \times 10^{-3} \text{ mol L}^{-1}$ carbonated environment as a
351 function of redox potential for various pH values was first calculated. The surfaces of the particles of
352 0.2 mm size are covered by hydroxy-benzene groups forming multi dentate complexes with U(VI) and
353 at lower E values U(IV). In this case, calculations were done using the correlations with T_m and S_m
354 given in Table 5. The results are plotted in **Fig. 4a&b**.

355

Fig. 3 Uranium sorption coefficient K_d (mL g^{-1}) as a function of potential for various pHs on multi-
dentate (hydroxyl-benzene) group loaded particles. Conditions: particles of 0.2 mm size, potential vs
NHE, bidentate $c=2$, site density: 4.1 nm^{-2} , for site parameters see Table 3.

a. Carbonated: ($[\text{CO}_3]_{\text{Tot}} = 2.2 \times 10^{-3} \text{ mol L}^{-1}$) and **b.** carbonate free: ($[\text{CO}_3]_{\text{Tot}} = 0 \text{ mol L}^{-1}$)

356

357 The general form of the distribution is three distinct phases. Two of these are stable states, where the
358 $\text{Log } K_d$ is dominated by U(VI) and U(VI) complexes, respectively. In between the two phases there is

359 a transition phase, which is characterised by a gradual change in dominant complex, from U(IV), to
 360 U(V)/U(VI) and to U(VI) forms. The U(V) concentration does not become significant under these
 361 conditions. This transition phase is defined by the two E (V) where the stable state starts to drift Log
 362 K_d .

363 With hydroxy-benzene, the U(IV) form occurs outside the water stability zone, and therefore it is only
 364 theoretical value. In many cases, the transition phase is also outside this region. The exception is the
 365 pH 4 form, where the U(III) has a significant effect at very low E (V). However, this is also outside the
 366 water stability zone. Without the presence of ligands, the trend is found the same, but the balance is
 367 reversed, with the U(VI) form exhibiting higher K_d . The exception is again at pH 4, where the higher
 368 zone is the U(IV) phase. These values are displayed in the Table 4.

369 **Table 4.** Calculated characteristics of the K_d of hydroxy-benzene (as per Fig. 4)

pH	Log K_d U(IV)	Log K_d U(VI)	U(IV) limit (V)	U(VI) limit (V)	Water Stability (V)
4	-20.16	-18.00	-0.22	-0.02	>-0.24
6	-8.20	-9.94	-0.52	-0.29	>-0.36
8	+3.79	-0.70	-0.88	-0.59	>-0.48
10	+9.99	+8.1	-1.07	-0.92	>-0.60
pH (CO ₃ free)					
4	-2.83	-4.16	-0.26	-0.09	>-0.24
6	+2.52	+3.07	-0.46	-0.31	>-0.36
8	+6.59	+9.05	-0.74	-0.51	>-0.48
10	+9.99	12.82	-1.09	-0.80	>-0.60

370

371 ***Effect of total carbonate concentration***

372 The K_d (mL g⁻¹) for uranium surface complexes by hydroxy-benzene groups on bioorganic particles
 373 was calculated in carbonate solutions which [CO₃]_{Tot} ranges from 2.2 x 10⁻⁶ to 2.2 mol L⁻¹, as a function
 374 of the redox potential for pH 8.0. This range was selected as it reflects an order of magnitude on each
 375 side of the recorded concentration at depth in the Irish Sea (Rérolle, et al. (2012) [xix]). The surfaces of
 376 the particles of 0.2 mm size are covered by hydroxy-benzene groups, forming multi-dentate complexes
 377 with U(VI) and at lower E values U(IV). The Calculations were first completed using the correlations
 378 with T_m and S_m given in Table 5. The results are presented in Fig. 5.

379

380

381 **Fig. 4** Uranium sorption coefficient K_d (mL g⁻¹) as a function of potential for pH 8.0 on mono-
 382 hydroxy-benzene group loaded particles. Conditions: particles of 0.2 mm size, potential vs NHE,
 383 bidentate $c=2$, site molecular characteristics per Table 3, over varying [CO₃]_{Tot}.

384

385 In general, increasing the CO₃²⁻ concentration decreases the sorption of U ions, which is to be expected,
 386 since carbonate acts as an interfering ligand. The interference effect on U(IV) is lower than the effect
 387 on the U(VI) complexes, so the transition step seen in Fig. 4 is also in evidence. The exceptions are the
 388 carbon free curve and the concentration of 2.2 x 10⁻⁶ mol L⁻¹ where the stronger bonding of the U(VI)
 389 can win out, without presence of the interfering ligand. The entry point for the transition varies but the

390 limiting point is always about -0.6 V, although at low concentrations, the pH effect on the solubility of
391 the CO_3^{2-} causes a small degree of variation.

392 At pH 8, in practice, the transition is below the water stability region, so values for U(IV) follow
393 theoretical ones.

394 **Table 5.** Calculated properties of the K_d of hydroxy-benzene at pH 8 in varying $[\text{CO}_3]_{\text{Tot}}$ other
395 conditions see Fig. 4

$[\text{CO}_3]_{\text{Tot}}$ (M)	Log K_d U(IV)	Log K_d U(VI)	U(IV) limit (V)	U(VI) limit (V)
0×10^1	+6.59	+9.05	-0.74	-0.54
2.2×10^{-6}	+6.59	+7.69	-0.74	-0.58
2.2×10^{-5}	+6.59	+5.20	-0.79	-0.62
2.2×10^{-4}	+6.52	+2.29	-0.87	-0.61
2.2×10^{-3}	+3.79	-0.70	-0.88	-0.61
2.2×10^{-2}	-0.13	-3.70	-0.85	-0.61
2.2×10^{-1}	-4.13	-6.70	-0.81	-0.61
2.2×10^0	-8.18	-9.70	-0.78	-0.61

396

397 4.2.2 Calculation of K_d for dihydroxy-benzene group complexes

398 *Effect pH and E*

399 The K_d (mL g^{-1}) for uranium surface complexation by dihydroxy-benzene groups on bioorganic particles
400 in a carbonate free environment, and carbonated ($[\text{CO}_3]_{\text{Tot}} = 2.2 \times 10^{-3} \text{ mol L}^{-1}$) as a function of redox
401 potential for various pH values was first calculated. The surfaces of the particles of 0.2 mm size are
402 covered by phenolic groups forming multi-dentate complexes with U(VI) and at lower E values U(IV).
403 In this case, the calculations were carried out using the correlations for T_m and S_m given in Table 5. The
404 results are plotted in **Fig. 6a&b**.

405

406 **Fig. 5** Uranium sorption coefficient K_d (mL g^{-1}) as a function of potential for various pHs on multi-
407 dentate phenolic (dihydroxy-benzo-) group loaded particles. Conditions: particles of 0.2 mm size,
408 potential vs NHE, site density: 3.6 nm^{-2} , bidentate $c=2$, **a.** carbonate free, **b.** carbonated $[\text{CO}_3]_{\text{Tot}} =$
409 $2.2 \times 10^{-3} \text{ mol L}^{-1}$.

410

411 As with the mono-hydroxybenzene, the general form of the distribution shows three distinct phases.
412 Two of these are stable states, where the Log K_d is dominated by U (VI) and U (VI) complexes,
413 respectively. In between the two phases, there is a transition phase which is characterised by a gradual
414 change in dominant complex, from U(IV) , to U(V)/U(VI), to U(VI) forms. The U(V) form does not
415 become significant under these conditions, which means the transition follows a linear trend. This
416 transition phase is defined by the two E (V) where the stable state starts to drift from the stable Log K_d .

417 For the ligand free results, the U(VI) zone has a higher K_d than the U(IV) zone, except for pH 4. This
418 trend is mostly reversed for the carbonated environment except for pH 10, where the transition is minor
419 but in the other direction, due to the basic conditions interfering with the carbonate solubility. In general,
420 the transition zone is outside the stable water zone, so the values for the U(IV) are theoretical ones. The
421 exception is at pH 4, where the transition zone is at a similar E (V) as the U(IV) transition point.

422

Table 6. Calculated characteristics of the K_d for dihydroxy-benzene complexes

pH (CO ₃)	Log K_d U(IV)	Log K_d U(VI)	U(IV) limit (V)	U(VI) limit (V)	Water Stability (V)
4	-15.02	-11.61	-0.26	-0.05	-0.24
6	-03.03	-03.10	-0.49	-0.31	-0.36
8	+08.97	+06.74	-0.88	-0.69	-0.48
10	+15.59	+16.61	-1.11	-0.95	-0.60
<hr/>					
pH (0-CO ₃)					
4	+02.31	+02.24	-0.24	-0.18	-0.24
6	+07.70	+09.90	-0.51	-0.33	-0.36
8	+11.77	+16.48	-0.81	-0.55	-0.48
10	+15.59	+20.72	-1.11	-0.83	-0.60

423

Effect of total carbonate concentration.

424 The K_d (mL g⁻¹) for uranium surface complexation by dihydroxy-benzene groups on bioorganic particles
 425 was calculated in a carbonate suspensions where [CO₃]_{Tot} varies between 2.2 x 10⁻⁶ and 2.2 mol.L⁻¹ as
 426 a function of redox potential for pH 8.0. This range was selected as it reflects an order of magnitude on
 427 each side of the recorded concentration at depth in the Irish Sea (Rérolle, et al., 2012). The surfaces of
 428 the particles of 0.2 mm size are covered by dihydroxy-benzene groups forming multi-dentate complexes
 429 with U (VI) and at lower E values U (IV). The calculations were completed using the correlations with
 430 T_m and S_m given in Table 5. The results are plots are plotted in Fig. 7.

432

433

434 **Fig. 6** Uranium sorption coefficient K_d (mL g⁻¹) as a function of potential for pH 8.0 on multi-dentate
 435 group loaded particles. Conditions: particles of 0.2 mm size, potential vs NHE, characteristics per
 436 Table 3, over varying carbonate concentrations

437

438 In general, increasing the [CO₃]_{Tot} decreases the sorption of U ions, which is to be expected, since it is
 439 an interfering ligand. The interference effect on U(IV) is lower than the effect on the U(VI) complexes,
 440 so the transition step seen in Fig. 5 is also in evidence. The limiting point for U(IV) transition varies,
 441 but the limit potential for U(VI) is always about -0.80 V. It is notable that in comparison to the mono-
 442 hydroxy-benzene, for the [CO₃]_{Tot} 10⁻⁵ mol L⁻¹ and below, the interfering effect of the U(VI) form is
 443 insufficient to counteract the increased bonding potential of that form, compared with the U(IV) form,
 444 but at the highest concentration, this repeats, as the suppressive effect on the U(IV), form is higher.

445 At pH 8, in practice, the transition is below the water stability region, so values for U(IV) are mostly
 446 theoretical, except some results close to the limiting point.

Table 7. Calculated characteristics of the K_d for dihydroxy-benzene at pH 8 in varying [CO₃]_{Tot}

[CO ₃] _{Tot} (M)	Log K_d U(IV)	Log K_d U(VI)	U(IV) limit (V)	U(VI) limit (V)
0.0x10 ¹	+13.18	+17.90	-0.80	-0.55
2.2x10 ⁻⁶	+13.18	+16.54	-0.80	-0.59
2.2x10 ⁻⁵	+13.18	+14.05	-0.80	-0.67
2.2x10 ⁻⁴	+13.11	+11.14	-0.87	-0.69

2.2×10^{-3}	+10.38	+08.15	-0.88	-0.69
2.2×10^{-2}	+06.42	+05.15	-0.86	-0.69
2.2×10^{-1}	+02.47	+02.15	-0.81	-0.70
2.2×10^0	-01.58	-00.85	-0.79	-0.66

448

449 4.2.3 Calculation of K_d for dihydroxy-naphthalene group complexes

450 *Effect pH and E*

451 The K_d (mL g⁻¹) for uranium surface complexation by dihydroxy naphthalene groups on bioorganic
 452 particles in a carbonate free environment, and in a carbonated environment ($[\text{CO}_3]_{\text{Tot}} = 2.2 \times 10^{-3}$
 453 mol.L⁻¹) as a function of redox potential for various pH values was first calculated. The surfaces of the
 454 particles of 0.2 mm size are covered by phenolic groups, forming complexes with U(VI) and at lower
 455 E values U(IV). In this case calculations were done using the correlations with T_m and S_m given in
 456 Table 5. The results are plotted in **Fig. 8**.

457

458

459 **Fig 7** Uranium sorption coefficient K_d (mL g⁻¹) as a function of potential for various pHs on
 460 dihydroxy-naphthalene group loaded particles. Conditions: particles of 0.2 mm size, potential vs
 461 NHE, site density: 1.8 nm⁻², bidentate $c=2$,

462

463 As with the previous forms, the general form of the distribution represents three distinct phases. Two
 464 of these are stable states, where the Log K_d is dominated by U (VI) and U (VI) complexes respectively.
 465 In between the two phases, there is a transition phase, which is characterised by a gradual change in
 466 dominant complex, from U(IV), to U(V)/U(VI), to U(VI) forms. The U(V) form does not become
 467 significant under these conditions, which means the transition is of linear trend. This transition phase is
 468 defined by the two E (V) where the stable state starts to drift from the stable Log K_d . The transitions for
 469 this surface are of much softer changes than the other forms.

470 In the carbon free form, as discussed earlier, the U(VI) form is dominant, except for pH 4. With the
 471 carbonated form, this is reversed, except for at pH 10, where the interference effect is minimized and
 472 the U(VI) phase is higher. In general, the transition zone is outside the stable water zone, so the values
 473 for the U(IV) are theoretical ones. The exception is at pH 6, where the transition zone is at a similar
 474 E (V) as the transition point, and pH 4, where it precedes it. The U(IV) limiting point is consistent
 475 between the two, but the U(VI) limiting point varies less consistently.

476

477

Table 8. Calculated characteristics of the K_d of di-hydroxy-naphthalene

pH Carbonated	Log K_d U(IV)	Log K_d U(VI)	U(IV) limit (V)	U(VI) limit (V)	Water Stability (V)
4	-25.09	-21.84	-0.26	-0.03	-0.24
6	-13.09	-13.34	-0.52	-0.37	-0.36
8	-01.17	-03.57	-0.87	-0.65	-0.48
10	+03.80	+04.65	-01.10	-0.92	-0.60
pH (C free)					
4	-07.75	-08.00	-0.27	-0.12	-0.24
6	-02.37	-00.34	-0.51	-0.30	-0.36
8	+01.63	+06.17	-0.81	-0.51	-0.48
10	+03.80	+08.76	-1.10	-0.80	-0.60

478

479

Effect of total carbonate concentration

481 The K_d (mL g^{-1}) for uranium surface complexation by a dihydroxy-naphthalene group on bioorganic
 482 particles was calculated in carbonate solutions which $[\text{CO}_3]_{\text{Tot}}$ varies between 5×10^{-4} and 6×10^{-3} mol
 483 L^{-1} as a function of redox potential for pH 8.0. This range was selected as a reasonable proximity for
 484 surface carbonate concentrations in the Irish Sea (R  rolle, et al. (2012) [xix]). The surfaces of the
 485 particles of 0.2 mm size are covered by dihydroxy-naphthalene groups forming multi-dentate
 486 complexes with U(VI) and at lower E values U(IV). The calculations were first done using the
 487 correlations with T_m and S_m given in Table 5 for mono-dentate. The results are plotted in Fig. 9.

488

489

490 **Fig 8** Uranium sorption coefficient K_d (mL g^{-1}) as a function of potential for pH 8.0 on dihydroxy-
 491 naphthalene group loaded particles. Conditions: particles of 0.2 mm size, potential vs NHE,
 492 characteristics per Table 3, over varying carbonate concentrations

493

494 As the carbonate concentration increases, the interference effect in the complex formation
 495 proportionately increases. Below concentrations of 2.20×10^{-5} mol L^{-1} , the effect on the U(IV) Log K_d
 496 is negligible, with a value of +1.63. Above this concentration, the U(IV) Log K_d is reduced. The U(VI)
 497 phase would also be decreasing with increasing carbonate concentration, although the effect is not as
 498 significant as that during the U(IV) dominated phase.

499

Table 9. Calculated characteristics of the K_d of dihydroxy-naphthalene at pH 8 in varying $[\text{CO}_3]_{\text{Tot}}$

$[\text{CO}_3]_{\text{Tot}}$ (mol L^{-1})	Log K_d U(IV)	Log K_d U(VI)	U(IV) limit (V)	U(VI) limit (V)
$0.0 \times 10^{+1}$	+01.63	+06.17	-0.81	-0.55
2.2×10^{-6}	+01.63	+04.81	-0.81	-0.60
2.2×10^{-5}	+01.63	+02.33	-0.81	-0.63
2.2×10^{-4}	+01.56	-00.58	-0.86	-0.69
2.2×10^{-3}	-01.17	-03.57	-0.87	-0.68
2.2×10^{-2}	-05.09	-06.57	-0.84	-0.68
2.2×10^{-1}	-09.08	-09.57	-0.84	-0.69
2.2×10^0	-13.13	-12.57	-0.79	-0.67

501

502

503 **5 Discussion.**

504

505 As expected, the sorption ($\text{Log } K_d$) increases together with the pH. This is due to the deprotonation of
 506 the hydroxy-aromatic groups. From the redox point of view, the reduction from U(VI) species in
 507 U(IV) species appears when decreasing E . Equation (12) demonstrates clearly that K_d is proportional
 508 to the inverse of the particle sizes. This allows to increase the sorption coefficient by reducing the size
 509 of the particles.

510 Competition by sorption with other elements is not treated here because the concentration of strongly
 511 sorbing elements is at least 2 orders of magnitude below that of uranium, e.g. lanthanides, Th and Pb),
 512 the sorbing tracers such as Mn, Ni, Cu are about 1 order of magnitude below uranium, other elements
 513 such as Mg, Ca, Sr and Ba sorb more by ion exchange processes.

514 In addition when multi-particulate complexation takes place such as sketched in reaction {12},
 515 multiple reactions (e.g. H- bridging after double surface complexation) completing the simple surface
 516 complexation take place. In these conditions surface complexation involves also additional reactions
 517 that makes this surface complexation more complicated yielding an aggregate in which the metal ion
 518 escapes from is potential de-complexation making the process irreversible. In this context the K_d
 519 concept is not anymore valid. In the cases discussed below, only surface complexation takes place in
 520 the sorption process.

521

522

523 **5.1 Variation between the hydroxy-aromatic systems.**

524

525 In general, the three polyhydroxy-aromatic cases (Section 4.2-4) follow the same pattern ($\text{Log } K_d =$
 526 $f(E)$) and have relatively narrow width (ΔE) of their transition phases between the U(VI) and the U(VI)
 527 forms (between 0.06 and 0.30 V), see Table 10 and (Fig. 4, 6 & 8). All the transition points are similar
 528 between the materials, varying only over the order of 0.05 V. Except for the pH 6 curve where the
 529 mono-hydroxy-benzene has a reduced U(VI) limit for the carbonated curves, compared to the other two,
 530 where this variation is ~ 0.1 V. This is due to relatively weak concentration of U(V) forms under these
 531 conditions, which leads to a reduced transition to the U(VI) dominated phase, while the other curves
 532 experience a longer transition.

533 **Table 10:** Redox potential width (ΔE) for the U(IV)/U(VI) transition on the $\text{Log } K_d$ plots.

pH	$\Delta \text{Log } K_d$ (mL g^{-1})			ΔE (V)		
	Mono- hydroxy- benzene	Di- hydroxy- benzene	Di- hydroxy- naphthalene	Mono- hydroxy- benzene	Di- hydroxy- benzene	Di- hydroxy- naphthalene
4	+2.16	+3.41	+3.24	0.20	0.21	0.29
6	-1.73	-0.07	-0.25	0.23	0.09	0.15
8	-4.49	-2.23	-2.40	0.29	0.19	0.22
10	-1.29	+1.02	+0.85	0.18	0.16	0.18
pH (CO₃ free)						
4	-1.33	-0.07	-0.25	0.17	0.06	0.15
6	+0.55	+2.20	+2.03	0.14	0.18	0.21
8	+2.46	+4.71	+4.54	0.23	0.26	0.30
10	+2.83	+5.13	+4.96	0.29	0.28	0.30

534

535 The transitions for the carbon free curves themselves are negative for pH 4 curve and positive for the
 536 pH 6-10 curves, while $\text{Log } K_d = f(E)$ transitions for the carbonated case are negative for the pH 4 and
 537 10 curves, and positive for the pH 6 and 8 curves.

538 The exceptions to this are mono-hydroxy-benzene, where the step changes are positive for pH 10. This
 539 is due to the interference effect of the site acidic form on the low pH curves and the reduced effect
 540 on sorption by the carbonate at high pH. The lower site density for the mono-hydroxy-benzene means
 541 it is not as suppressed by the carbonate as in the di-hydroxy forms.
 542

543 Of the three substrates, the mono- form displays exceptional differentiation in the transitions. Whereas
 544 the bi-forms display broadly similar height changes at the same pH, the mono form follows a different
 545 pattern, with the effect of pH change on the separation between the U (IV) and U (VI) stable states
 546 being maximised at low pH. Increasing the pH in the mono form increases the K_d in the carbon free
 547 system, but decreases it in the carbonated system, although the step change effect is maximised for the
 548 pH 8 curve, with the height change for pH 10 being reduced.

549 Considering the three under the curve closest to natural conditions mentioned previously (pH 8.0, a
 550 potential of 0.4 V), the mono- -benzene form would estimate at 5.6, the di-hydroxy- forms are 14.6 and
 551 3.2 respectively. To adjust these to allow IV dominance, these would require a shift of over -1 V for all
 552 three. This would require a two electron redox shift to achieve (Lambert, et al. 2020)[[i]]. This is
 553 possible but unlikely to occur at the specified pH. However, in the context of a pH drop to 6.0, such as
 554 could occur during fermentation, a shift of only -0.8 V (which would correspond to a single electron
 555 redox shift), would be possible.

556

557 5.2 Variation with the carbonate concentration.

558

559 In the presence of carbonate ligands, the competition effects from the carbonates are noticeable (see
 560 Table 11). Below $[\text{CO}_3]_{\text{Tot}} = 2.2 \times 10^{-5} \text{ M}$, the interfering effect on the U(IV) phase is insignificant, but
 561 rapidly increases until there is little difference between it and the U(VI), which experiences a
 562 continually increasing interfering effect at any concentration. This would most likely be due to the
 563 stereochemistry effects limiting the return to solution of the U(IV), while the U(VI) carbonate complex
 564 is stronger, allowing rerelease if a carbonate interacted. In all three cases, the change between the two
 565 redox phases decreases from the positive $\text{Log } K_d$ for $[\text{CO}_3]_{\text{Tot}}$ of 0 mol L^{-1} , down to a maximum negative
 566 value. The di forms mirror each other, but interestingly separation occurs for surface seawater
 567 conditions ($2.2 \times 10^{-3} \text{ mol L}^{-1}$).
 568

569 **Table 10.** Calculated characteristics of the $\text{Log } K_d$ changes between redox states for varying CO_3 for
 570 the three aromatic groups

$[\text{CO}_3]_{\text{Tot}}$ (mol L^{-1})	$\Delta \text{Log } K_d$ (mL g^{-1})			ΔE (V)		
	Mono- hydroxy- benzene	Di- hydroxy- benzene	Di- hydroxy- naphthalene	Mono- hydroxy- benzene	Di- hydroxy- benzene	Di- hydroxy- naphthalene
$0.0 \times 10^{+1}$	+2.46	+4.72	+4.54	0.20	0.28	0.26
$2.2 \times 10^{(-6)}$	+1.10	+3.36	+3.18	0.16	0.23	0.21
$2.2 \times 10^{(-5)}$	-1.39	+0.87	+0.70	0.17	0.15	0.18
$2.2 \times 10^{(-4)}$	-4.23	-1.97	-2.14	0.26	0.18	0.17
$2.2 \times 10^{(-3)}$	-4.49	-2.23	-2.40	0.27	0.19	0.19
$2.2 \times 10^{(-2)}$	-3.57	-1.31	-1.48	0.24	0.16	0.16
$2.2 \times 10^{(-1)}$	-2.57	-0.32	-0.49	0.20	0.18	0.15
$2.2 \times 10^{(0)}$	-1.52	+0.73	+0.56	0.17	0.13	0.12

571

572

573

574 **5.3 Comparison of results with experimental data**

575

576 Comparing the values gained by applying the model with experimental data is challenging, as natural
577 polyphenolics are often resonant and produced by under race conditions by dynamic systems, leading
578 to picking out specific structures is next to impossible. This is why this model has been aimed towards
579 representing a median structure, whose values can be used to direct by values to mirror the real-world
580 comparisons.

581 For example, Yu *et al.* (2022) combined titanium oxide and bayberry tannins to form particles with
582 capture rates peaking at base concentration corresponding to $\text{Log } K_d$ of 4 at pH 6, although the surface
583 ratio was significantly lower than those used for the model. Despite this, their structures were different,
584 since they identified that the oxide was the primary capture mechanism at the lower pHs and the particle
585 surface concentration of tannin was only half that of the value used in the model. Their work with
586 seawater was also quite different, since the seawater was spiked to concentrations 10^6 - 10^7 natural levels
587 (e.g. 3‰). Despite all these changes, this work is one of the best for comparison, as they did identify
588 the input conditions sufficiently that it could be simulated using this model. Also recently, McGowan
589 *et al.* (2022) investigated the sorption of uranium from seawater on a variety of biomass material
590 particles of 2 mm in size e.g. orange skin, diced garlic, potato skin. They observed a very strong sorption
591 of uranium on materials with high phenolic content, particularly with uranyl complexes as reported by
592 Lucks *et al.* (2012). This data is reported in **Fig. 10**. Much of this data would be considered to be at pH
593 3-4, as the samples demonstrated fermentation effects of the organics during the sorption process. This
594 effect would also adjust the potential which was not measured at the time.

595

596

597 **Fig 9:** K_d of U for various organics from McGowan *et al.* (2022)]

598

599 Zhang *et al.* (2018), reported data on the adsorption and desorption of uranium (VI) onto humic acids
600 derived from uranium-enriched lignite's in batch experiments. These experiments showed optimum
601 adsorption of uranium (VI) ranged from 5 to 8, although these highly saturated surfaces desorbed at pH
602 values between 1 and 3. The uranium present in the humic acids may not affect the adsorption capacity
603 for U(VI), but the phenolic groups in the humic acids play a significant role in controlling the adsorption
604 capacity, approximately 20 to 30 percent (Ritchie & Perdue, 2003).

605 Investigation of surface complexation of thorium by humic acid was carried out by Szabo *et al.* (2006)
606 using chemically immobilized humic acid on silica gel. Thorium (IV) is generally considered as
607 reasonable analogue of uranium(IV). Like with Yu *et al.* (2022), this means the surface has a second
608 sorption site type, the ratios were not evaluated, the results have to be approximated, for example by
609 combining with the data calculated previously for Degueldre and McGowan (2020).

610 The Th(IV) sorption isotherm is of a Freundlich type, one can tentatively evaluate K_d of $2 \times 10^1 \text{ L g}^{-1}$
611 at pH 4 and $4 \times 10^1 \text{ L g}^{-1}$ at pH 6 for a total concentration of Th in solution of $1 \times 10^{-8} \text{ mol L}^{-1}$. In these
612 conditions (i.e. a nonlinear isotherm), the K_d data at pH 6 for a Langmuir isotherm could be estimated
613 for $K_d = \lim_{C \rightarrow 0} K_d = 8 \times 10^1 \text{ L g}^{-1} \approx 1 \times 10^5 \text{ mL g}^{-1}$ (with C the U concentration).

614 Again, the sorption on particles (inorganic, organic and bioorganic) has been investigated by Li (1981)
615 in seawater conditions. In these conditions at pH 8 and in oxidising conditions, $\text{Log } K_d$ was found equal
616 to 4 for U(VI) and 8 for Th(IV), which is generally accepted as an analogous of U(IV), both in
617 carbonated water ($[\text{CO}_3]_{\text{Tot}} = 2 \times 10^{-3} \text{ M}$). These values fit reasonably with the data calculated for U(VI)
618 and U(IV), using the values calculated for the dihydroxy-naphthalene developed in this study. It was
619 unusual in that it used similar particle sizes to those used in this model.

620

621

622 **5.4 Comparing sorption on polycarboxylics with polyhydroxy-aromatics.**

623

624 The data gained for the sorption of uranium on polycarboxylic loaded particles were reported in
 625 McGowan et al. (2023). These data may be compared with data calculated for polyhydroxy-aromatics
 626 (This study).

627 Clearly the sorption for mono- and multi- dentates surface complexes is stronger for the polyhydroxy-
 628 aromatics cases than for polycarboxylics loaded particles. In carbonate free water, at pH 8, the Log K_d
 629 are given in Table 12. The sorption coefficients are several orders of magnitude higher in the case of
 630 polyhydroxy-aromatics cases compared to the polycarboxylics loaded particles. The $E^{''\circ}$ for the couple
 631 U(VI)/U(IV) is relatively unchanged for the two classes of sorbing group but is more reducing than the
 632 E° corresponding to the soluble species (~ -0.15 V).

633
 634

635 **Table 12:** Comparison of the sorption of uranium data on polycarboxylics (see McGowan et al., 2023)
 636 and on polyhydroxy-aromatics loaded particles. Conditions: K_d (mL g^{-1}), particles of 0.2 mm size,
 637 potential vs NHE, active site density 2-4 nm^{-2} , pH = 8, in carbonated environments (2×10^{-3} M),
 638 carboxylic data corrected from site density i.e. 30%.

639

Surface site	c (-)	U(IV) Log K_d	U(VI) Log K_d	U(VI)/U(IV) $E^{''\circ}$ (V)
Mono-Carboxylic	1	-01.13	-07.22	-0.54
Bi-Carboxylic	2	-03.81	-09.30	-0.55
Tri-Carboxylic	3	-08.69	-11.18	-0.65
Mono-hydroxy-benzene	2	+03.79	-00.70	-0.59
Di-hydroxy-benzene	2	+08.97	+06.74	-0.69
Di-hydroxy-naphthalene	2	-01.17	-03.57	-0.65

640
 641

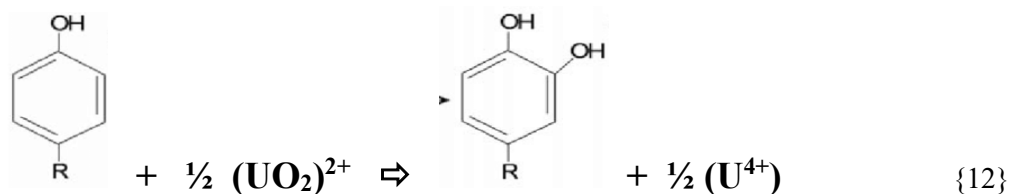
642 5.5 Factor affecting the hydroxy-aromatics.

643

644 As reported by Lund (2021) polyphenols (hydroxy-aromatics) are anti-oxidants. They react as reducing
 645 agents with several kind of oxidising reagents, see Farook et al. (2010), Sani et al. (2011), and Vieira da
 646 Silva et al. (2013). In the case of uranium, uranyl ions and their complexes yield tetravalent uranium
 647 complexes, condensing hydroxyl- groups onto the original phenolic material according to Reaction
 648 {12}:

649

650



651

652

653

654 Uranium is subsequently more strongly complexed onto the surface decorated by polyhydroxy-benzene
 655 groups with larger Log K_d values. This is experimentally confirmed by Schmeide et al. (2003) who
 656 reported the ability to form chelates for the uranium(VI) indicating a very large increase of its stability
 657 constant on coordination to uranium(VI).

658

659 6. Conclusions

660

661 A model that evaluates for a given pH the sorption coefficient with the redox potential has been
 662 developed for prediction of effects of the redox potential on the sorption of uranium onto the three bio-

663 organic substrates. The model includes surface complexation on multi-dentate surface active sites and
664 complexation with soluble ligands (carbonate) in the aqueous phase and was applied to seawater. The
665 effect of organics were discussed, considering the antagonist properties of carbonates.

666 Of the three materials, given the theoretical maximum properties described, dihydroxy-naphthalene
667 exhibits the $\log K_d$ closest to experimental data from literature, at natural pH and $[\text{CO}_3]_{\text{Tot}}$ of 8 and 2.2
668 $\times 10^{-3}$ M. This is likely due to the combination of reasonably strong, but more widely spaced bonds of
669 the sites spread over a wider area. Therefore, lower saturations of the other two bonding sites,
670 characterised by a greater l value, would also fit this.

671 Unsurprisingly, increasing $[\text{CO}_3]_{\text{Tot}}$ decreases the $\text{Log } K_d$. However, as shown experimentally with real
672 organics and in a recent modelling paper (carboxylic group), the carbonate complexes may also co-
673 sorbe under these conditions and polyhydroxy-aromatics would be expected to compensate for any loss
674 in functionality because of their stronger sorbing potential. The calculated $\text{Log } K_d$ values were in
675 relative agreement with the scarce experimental values reported in the literature. The sorption analysis
676 so far provides good predictive values for a limited subset of the experimental data, allowing reasonable
677 prediction by modelling of the partition coefficients for a variety of water and biomass sorbents. Such
678 data may rationalise the formation of organic deep sea deposits ($\text{U(VI)} \rightleftharpoons \text{U(IV)}$) while supporting the
679 oxidation of mono hydroxy- benzene in di-hydroxy-benzene enhancing the sorption of uranium by a
680 factor 10^6 . This contribute to sort out a versatile U extraction protocol from seawater. This last objective
681 would require expansion to a wider pool of absorption substrates.

682

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689 **References**

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