AfriMTE and AfriCOMET: Empowering COMET to Embrace Under-resourced African Languages

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Abstract

Despite the progress we have recorded in scaling multilingual machine translation (MT) models and evaluation data to several under-resourced African languages, it is difficult to measure accurately the progress we have made on these languages because evaluation is often performed on n-gram matching metrics like BLEU that often have worse correlation with human judgments. Embedding-based metrics such as COMET correlate better; however, lack of evaluation data with human ratings for under-resourced languages, complexity of annotation guidelines like Multidimensional Quality Metrics (MQM), and limited language coverage of multilingual encoders have hampered their applicability to African languages. In this paper, we address these challenges by creating high-quality human evaluation data with a simplified MQM guideline for error-span annotation and direct assessment (DA) scoring for 13 typologically diverse African languages. Furthermore,

we develop AFRICOMET—a COMET evaluation metric for African languages by leveraging DA training data from high-resource languages and African-centric multilingual encoder (AfroXLM-Roberta) to create the state-of-the-art evaluation metric for African languages MT with respect to Spearman-rank correlation with human judgments ($+0.406$).

1 Introduction

Recent advances in machine translation (MT) have focused on scaling multilingual translation models and evaluation data to hundreds of languages, including multiple under-resourced languages (Fan et al., 2021a; NLLB-Team et al., 2022; Bapna et al., 2022; Kudugunta et al., 2023). However, it is difficult to measure accurately the progress we have made on these under-resourced languages because popular n-gram matching metrics like BLEU (Papineni et al., 2002), METEOR (Banerjee and Lavie, 2005), and ChrF (Popović, 2015) fail to capture se-

mantic similarity beyond the lexical level. Variants of these metrics have been developed when scaling to many languages like spBLEU (Fan et al., 2021a) but they often achieve worse correlation to human judgements (Freitag et al., 2022) when compared to embedding-based metrics like BERTScore (Zhang et al., 2020), BLEURT (Sellam et al., 2020) and COMET (Rei et al., 2020).

While embedding-based metrics are currently favored for evaluation in machine translation (Freitag et al., 2022), the application of these metrics to under-resourced languages faces three challenges: (1) lack of high-quality training and evaluation data for these languages significantly hampers the development of reliable metrics; (2) the complexity of the Multidimensional Quality Metrics (MQM) framework (Lommel et al., 2014), presents a steep learning curve for non-expert bilingual evaluators, complicating the process of obtaining accurate human assessments; and (3) the limited language coverage of multilingual large language models such as XLM-Roberta (Conneau et al., 2020), restricts their applicability to various low-resource languages, as discussed in Alabi et al. (2022).

Addressing these challenges, recent works have utilized the Direct Assessment (DA) scoring annotations (Graham et al., 2013) collected by the organisers of WMT (Rei et al., 2022a) and leveraged the transfer learning capabilities of multilingual encoders to evaluate unseen languages (Rei et al., 2022b; Zerva et al., 2022a). However, the dearth of evaluation data for under-resourced languages such as African languages still remains a significant hurdle in validating these methods. What's worse, as Rei et al. (2020) highlighted, the performance of these approaches is often unpredictable for languages that were not included in the pre-training phase of multilingual language models.

In this paper, we address the challenges of empowering the COMET evaluation metric—the state-of-the-art evaluation metric (Rei et al., 2022a) to various under-resourced African languages. To overcome the scarcity of evaluation datasets, we create AFRIMTE—a human evaluation dataset focusing on MT adequacy evaluation for 13 typologically diverse African languages. This is achieved through a participatory research methodology, ensuring a comprehensive and representative data collection process (Nekoto et al., 2020). To address the complexities associated with the MQM guidelines for MT adequacy, we introduced a more simplified version harmonized with the principles of

Direct Assessment, specifically adapted to suit non-expert evaluators. This adaptation aims to enhance usability and accessibility, making the evaluation process more approachable for a broader range of evaluators. Finally, we tackle the challenge of empowering the COMET evaluation metric, recognized as the foremost metric in the field according to Rei et al. (2022a), for a range of under-resourced African languages. We develop the first COMET model specifically designed for African languages, which were previously uncovered by the available state-of-the-art COMET models.

To summarise, our contributions are as follows:

1. **Development of a simplified MQM Guideline**: We propose a simplified Multidimensional Quality Metrics (MQM) framework tailored for non-expert translators. This initiative aims to standardize and elevate the quality of human evaluation of machine translation (MT) models.

2. **Creation of African-centric Human Evaluation Datasets**: We develop high-quality human evaluation datasets focusing on machine translation adequacy for 13 typologically-diverse African languages. This endeavor enriches the resources available for evaluating MT models in underrepresented languages.

3. **Benchmark Models for COMET**: We establish benchmark COMET systems for African languages by employing transfer learning techniques from existing, well-resourced Direct Assessment data and utilizing multilingual pre-trained language models.

4. **Open Access to Resources**: In our commitment to fostering ongoing research in the domain of African machine translation evaluation, we are releasing all evaluation datasets, code, and models publicly [1]. This move ensures that researchers and practitioners in the field can easily access and leverage these resources for future advancements.

2 AFRIMTE: African Machine Translation Evaluation Dataset

The section outlines the data and machine translation engines utilized for annotation and details

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[1]The resources will be publicly available at `https://github.com/masakhane-io/africomet`.

the design of our annotation guidelines, the conduct of the annotation procedure, the data quality assurance process, the quantitative analysis on the collected data.

2.1 Dataset and MT Engine

Our annotation efforts focus on the **dev** and **devtest** subsets from the FLORES-200 dataset (NLLB-Team et al., 2022), covering 13 language pairs: Darija-French (ary-fra), English-Egyptian Arabic (eng-arz), English-French (eng-fra), English-Hausa (eng-hau), English-Igbo (eng-ibo), English-Kikuyu (eng-kik), English-Luo (eng-luo), English-Somali (eng-som), English-Swahili (eng-swh), English-Twi (eng-twi), English-Xhosa (eng-xho), English-Yoruba (eng-yor), and Yoruba-English (yor-eng) [2]. Moreover, to assess the performance of machine translation evaluation across diverse domains, we extend our annotation collection to include texts from News, Ted talk, Movie, and IT domains for English-Yoruba translation. This aspect of our study follows the methodologies established in prior research by Adelani et al. (2021) and Shode et al. (2022), ensuring a comprehensive and domain-varied evaluation.

To acquire machine translation outputs, we employed two open-source translation engines: NLLB-200 (NLLB-Team et al., 2022) and M2M-100 (Fan et al., 2021b). For the English-French and English-Swahili language pairs, we generated translations using M2M-100, while for all other language pairs, we utilized NLLB-200. This decision was informed by the notably high quality of the NLLB-200 translations for English-French and English-Swahili, which were so proficient that our evaluators found minimal errors. However, for certain languages, such as English-Xhosa, we continued to use the high-quality translations provided by NLLB-200. This scenario of near-flawless machine translation outputs from NLLB-200 offers a valuable context for testing the robustness and sensitivity of our evaluation methods in scenarios where translation errors are minimal.

2.2 Annotation Guidelines and Tool

Recent findings (Freitag et al., 2021) have indicated that DA annotations sourced from non-professional crowd annotators tend to be inconsistent and unreliable for assessing the quality of high-performing

machine translation (MT) systems. This led us to consider adopting the standardized MQM guideline framework. This framework provides an extensive methodology for assessing translation errors, by defining various error dimensions, collected alongside the severity and priority of translation errors. However, the complex nature of the MQM framework presents a significant learning hurdle for non-expert evaluators, which was recognized during the training phase of annotation. To address this issue, we develop a novel approach that combines the strengths of MQM and DA annotations. We propose a simplified version of the MQM guidelines, designed to be more accessible to non-expert evaluators. This approach involves evaluators identifying error spans using the simplified MQM framework before assigning DA scores. This integration of MQM-based error detection aims to enhance the quality and accuracy of DA annotations, while making the process more manageable for non-expert evaluators.

In our study, leveraging our simplified multidimensional MQM guideline for machine translation adequacy, we prompt evaluators to conduct a detailed error span highlighting for each translation. Afterwards, evaluators are asked to assign a DA score by closely adhering to our guideline for scoring similarly as outlined in Adelani et al. (2022). The step of error-span highlighting precedes the assignment of DA scores, allowing for a more nuanced and precise evaluation process.

2.2.1 Annotation Guidelines

We guide our evaluators to evaluate the adequacy of translations along two dimensions—error highlighting and overall Direct Assessment (DA) score assignment. The process involves presenting the evaluators with both the source text and the machine-translated output. They are instructed to identify and highlight text spans that contain errors in the source text, such as omissions and mistranslations, as well as in the target text, including additions, mistranslations, and untranslated segments. These specific error categories are derived and adapted from the original MQM framework [3], providing a structured approach to error identification and assessment. Subsequently, evaluators were instructed to assign a value between 0 and 100 to indicate the extent to which the original meaning was preserved in the translation. In this scale, "0" is defined as "Nonsense/No meaning preserved," while "100"

Figure 1: The annotation guidelines for error span highlighting [the first part] and DA score assignment [the second part].

Figure 2: The screenshot of the user interface with an annotated task comprising the source sentence and its corresponding translation in English-Yoruba.

signifies "Perfect meaning." Echoing the insights from Adelani et al. (2022), we acknowledged that such an evaluation scale is inherently subjective. To mitigate this subjectivity and provide clearer benchmarks, we established two intermediate levels within the rating scale: one at 33, labeled as "Some meaning preserved," and another at 67, designated as "Most meaning preserved". The guidelines are shown in Figure 1. The first part is the guideline for error span highlighting, and the second part is the guideline for DA score assignment.

2.2.2 Annotation Tool

For the purpose of collecting annotations in accordance with our tailored annotation guidelines, we extended Annopedia, [4] an open-source tool [5] to suit the needs of MT evaluation for adequacy. The customized tool provides a user-friendly interface specifically designed for machine translation evaluation tasks. It is adept at accommodating both the DA scoring and the error-span highlighting for our simplified MQM annotation guideline. Evaluators can intuitively highlight fine-grained error spans in presented texts and assign DA scores on an assessment scale bar, and they will be informed of the chosen DA score once they finish annotations for a specific translation. A screenshot of the interface is displayed in Figure 2. Each evaluator worked with the tool independently.

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[4] https://github.com/marek357/best-dissertation-frontend
[5] Tool is accessible online at https://mt.annopedia.marekmasiak.tech
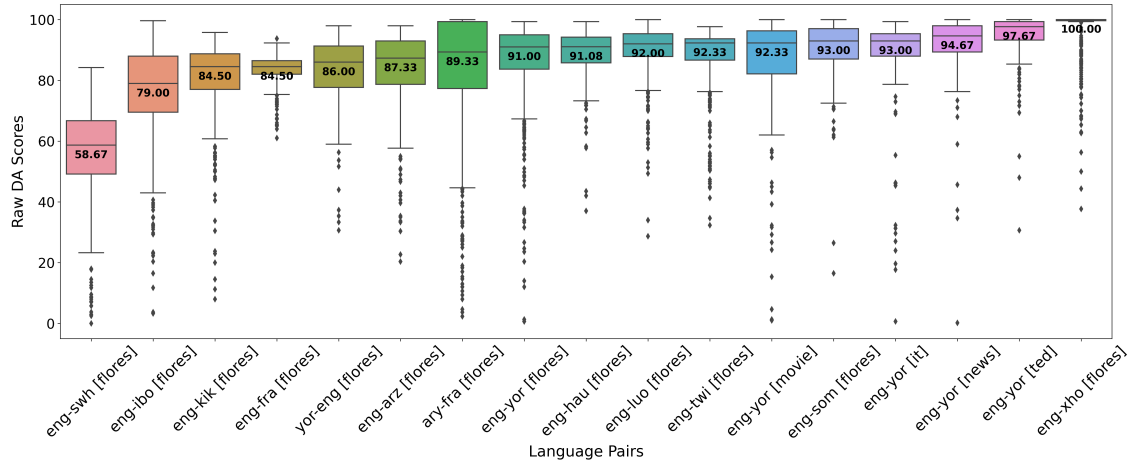
Figure 3: Translation quality of **all** qualified annotated translations as measured by raw DA scores across all language pairs and domains in ascending order, with medians displayed in the plot.

LP	original #	qualified #	dev #	devtest #
ary-fra	520	394	207	187
eng-arz	520	518	268	250
eng-fra	520	515	265	250
eng-hau	520	490	250	240
eng-ibo	520	240	120	120
eng-kik	520	410	208	202
eng-luo	520	499	257	242
eng-som	520	434	208	226
eng-swh	520	352	195	157
eng-twi	520	516	269	247
eng-xho	520	494	251	243
eng-yor	520	484	245	239
eng-yor (it)	250	217	-	217
eng-yor (movie)	250	219	-	219
eng-yor (news)	250	237	-	237
eng-yor (ted)	250	224	-	224
yor-eng	520	439	227	212

Table 1: Counts of qualified annotations for language pairs in dev and devtest sets, with English-Yoruba exclusively as devtest in domain-Specific datasets.

2.3 Quality Assurance

In this study, we implemented a stringent evaluation protocol for each translation result, involving a minimum of **two** bilingual native speakers as evaluators, each with a Bachelor's degree or higher. The evaluators were presented solely with the source text and its corresponding translation. They were encouraged to highlight specific error spans in line with our simplified MQM guidelines first, and then provide a relevant DA score based on the DA guidelines before submission. To prepare for the official annotation process, each evaluator annotated 20 random samples from all language pairs and domain-specific datasets. Following the individual evaluations, we organized a discussion among the evaluators to review any annotation errors and to address any inconsistencies in their assessments. This preliminary step was designed to familiarize them with the annotation guidelines and the range and nature of translations in the datasets. It is important to note that, despite strong encouragement, not all evaluators consistently highlighted error spans. As a result, some annotations may have low DA scores but lack corresponding error span highlightings. In our data analysis, particularly when exploring the relationship between detailed issue detection and overall DA scoring, such annotations without error-span highlightings will be excluded.

After all evaluators completed their annotations, we compiled the data and excluded translations exhibiting DA score inconsistencies beyond a 34-point range. This threshold, established by our DA guidelines, was critical for ensuring the reliability of our annotation outcomes. To reduce biases among evaluators, we normalized the DA scores at the evaluator level to get the z-scores. The final scores for our benchmark modeling were determined by averaging these z-scores across evaluators for each translation.

After filtering out inconsistencies among evaluators, we present the counts of qualified translation annotations across each language pair within the dev and devtest sets in Table 1: annotations for English-Egyptian Arabic exhibit the highest consistency among evaluators, whereas annotations for English-Igbo show the lowest.

We calculate inter-annotator agreement using the method outlined in (Pavlick and Tetreault, 2016).

For each instance, we randomly designate one annotation as Annotator 1, and the average of the other annotations represents the assessment of label 2. Next, we calculate the Pearson correlation coefficient between the two sets of annotations. This procedure of annotation assignment and correlation calculation is repeated 100 times. The resulting inter-annotator agreement for our gathered assessments is 0.797, indicating a strong and consistent agreement among the evaluators.

2.4 Quantitative Analysis

Overall Translation Quality Since the datasets, apart from the domain-specific English-Yoruba ones, are multi-way parallel and originate from the same sources, comparing the DA scores across different languages is a reasonably controlled comparison. We show the distribution of the raw DA scores across all language pairs and domains in Figure 3 [6]. Notably, English-Swahili translations generated by the M2M-100 engine exhibit the lowest translation quality (median DA: 58.67), whereas the English-Xhosa translations produced by the NLLB-200 engine demonstrate the highest translation quality (median DA: 100). Additionally, the Darija-French translations display the greatest variance in translation quality.

Error Counts vs. DA score Equipped with annotation datasets predominantly comprising both overall DA scores and fine-grained error span detections, we aim to investigate the correlation between these two aspects. As previously mentioned in Section 2.3, we encountered instances where annotations had low DA scores without associated error span highlighting. To ensure a focused analysis on the relationship between detailed error spans and overall DA scores, we have selectively filtered out any annotations with DA scores below 80 that do not include error span highlighting. Subsequent to this filtering, we illustrate the counts of error words within each issue category and the associated sentence-level quality scores measured by DA scores in Figure 4. Mistranslation emerges as the most common error category across all language pairs, and therefore as the primary culprit for lower DA scores. Interestingly, compared with translations across other language pairs and domains, translations of the English-Yoruba Movie dataset exhibit a higher incidence of Omission er-

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[6]We still include domain-specific English-Yoruba annotations in the plot

rors, whereas translations of English-Yoruba IT are more prone to Addition errors.

CRITERIA	SPEARMAN		KENDALL	
	DA score	Z-score	DA score	Z-score
Mistranslation	-0.675	-0.544	-0.546	-0.422
Omission	-0.318	-0.304	-0.263	-0.246
Addition	-0.207	-0.211	-0.172	-0.172
Untranslated	-0.156	-0.119	-0.130	-0.097
Total Issue	-0.791	-0.687	-0.640	-0.533

Table 2: Correlation between error counts and sentence-level translation quality across various issue categorizes.

In order to better understand how error categories at the span level influence annotators' judgment at the sentence level, we have calculated and reported Spearman-rank and Kendall-rank correlation coefficients between various error counts and assessment scores (raw DA scores and normalized z-scores) in Table 2. These coefficients suggest that Mistranslation, as the most prevalent error type, exhibits a moderate to high negative correlation with raw DA scores, indicating its significant influence on the sentence-level DA evaluations of annotators. Furthermore, the total counts of issues correlate strongly and negatively with both raw DA scores and normalized z-scores, further affirming the significance of our adapted simplified MQM guidelines.

3 AFRICOMET: Benchmark System

In this section, we will introduce how we develop our MT evaluation systems for African languages. In our comparative analysis, we benchmark our systems against (1) the widely recognized n-gram and character-based evaluation metrics, SacreBLEU (Post, 2018) and chrf++ (Popović, 2017), and (2) the cutting-edge neural, pre-trained language model-based COMET metric (Rei et al., 2020, 2022a), that has not been trained on African languages.

3.1 Experimental Settings

3.1.1 Training Data

Beginning in 2017, the organizers of the WMT News translation tasks have been gathering annotations using the Direct Assessment (DA) method (Graham et al., 2013). We employ these DA datasets, which are also utilized by the COMET metric (COMET22) (Rei et al., 2022a), as training data for our systems. In addition, another
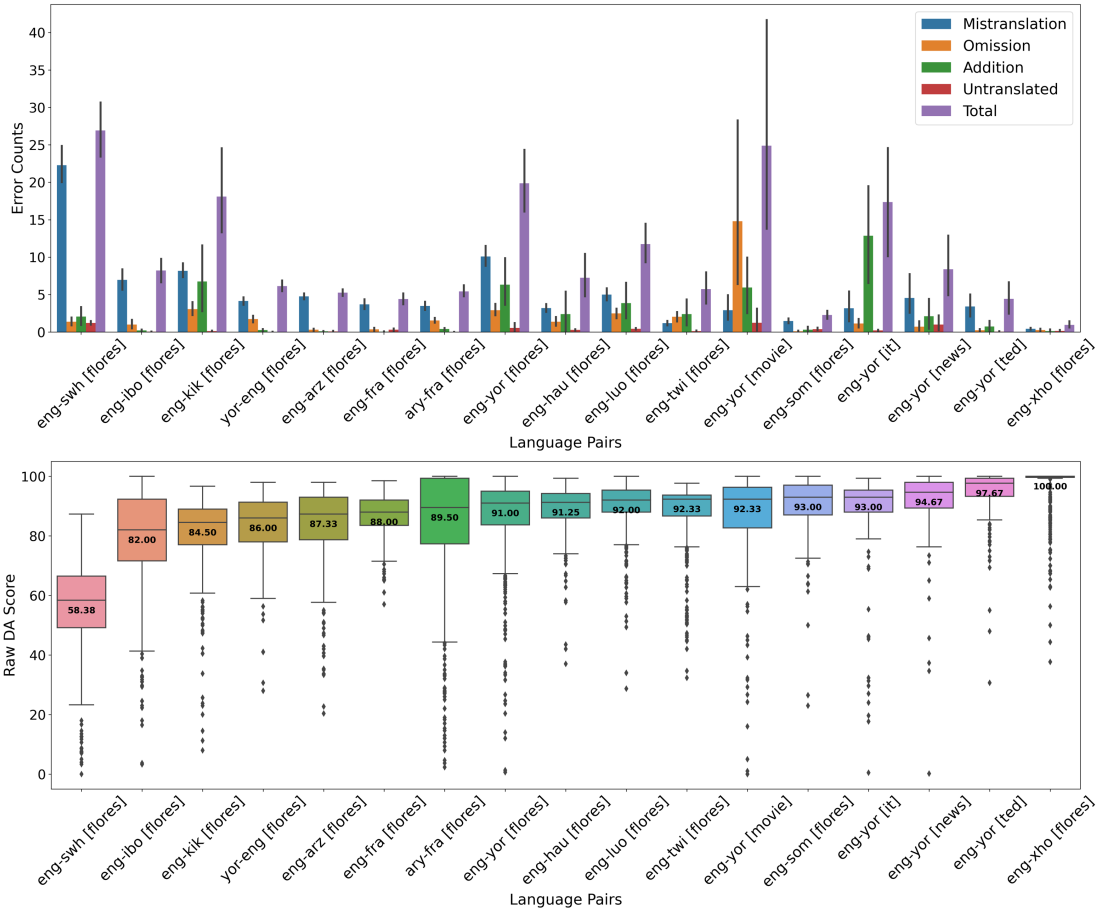
Figure 4: Error Counts with each issue category and sentence-level translation quality measured by DA scores across all language pairs and domains.

large sourced DA annotation is the MLQE-PE datasets (Fomicheva et al., 2020), which is typically used for WMT Quality Estimation Shared Tasks (Specia et al., 2020, 2021; Zerva et al., 2022b). The training corpus comprised of DA annotations from the 2017 to 2020 WMT News translations and the MLQE-PE dataset is collectively referred to as **"WMT Others"**.

Moreover, a more recent and relevant DA dataset is the human evaluation dataset from the WMT 2022 Large-Scale Machine Translation Shared Task for African Languages (Adelani et al., 2022), comprising human evaluations of 99 source segments from the FLORES-101 test set across eight translation systems for 46 language pairs using the Direct Assessment method. We refer to this annotation dataset as **"WMT African"**.

Statistical summaries of the "WMT Others" and "WMT African" datasets are provided in Table 6 and Table 7, respectively, located in Appendix A. Any duplicate annotations have been excluded from these training sets. During preprocessing, to facil-

itate interpretability and manage the unbounded nature of the quality scores, we apply the min-max scaling on the normalized z-scores adjusting their range to fall between 0 and 1.

3.1.2 Model configurations

Our investigation revolves around three key questions: (1) the feasibility of constructing an MT evaluation system that leverages transfer learning from other languages to African languages, (2) the potential benefits of an additional MT evaluation dataset in African languages for modeling, and (3) the impact of using African language-enhanced pre-trained models on the performance of MT evaluation.

Our MT evaluation model takes as input the triplets consisting of the source sentence, its machine translation, and the corresponding reference, training a regression model to predict normalized scores that have been scaled between 0 and 1. The dev subsets within AFRIMTE will serve as validation sets in the development of our benchmark MT

evaluation system for African languages, with the devtest sets designated as test sets.

We construct our models upon the Estimator framework (Rei et al., 2020), utilizing a variety of pre-trained language models as the underlying encoders: XLM-Roberta-large (XLM-R-L) (Conneau et al., 2019), InfoXLM-large (InfoXLM-L) (Chi et al., 2020), and two African language enhanced pre-trained models AfriBERTa-large (AfriBERTa-L) (Ogueji et al., 2021) and AfroXLM-Roberta-large (AfroXLM-R-L) (Alabi et al., 2022). Among these, XLM-R-L and InfoXLM-L have been used in the development of COMET22 (Rei et al., 2022a) and CometKiwi (Rei et al., 2022b) for the WMT 2022 MT Evaluation and Quality Estimation Shared Tasks; AfriBERTa-L and AfroXLM-R-L are both based on the XLM-Roberta-large architecture, with the former being a multilingual model trained from scratch on texts in 11 African languages, and the latter adapted the XLM-Roberta model on data from 17 African languages.

We train our models with the open-sourced codebase of COMET metric [7]. Training for each model is executed on a single NVIDIA A100-SXM4-80GB graphics card, with a configured batch size of 16 and a gradient accumulation across 2 batches. We follow the default settings of other hyper-parameters of the COMET metric.

3.1.3 Evaluation

We report Pearson correlation, Spearman-rank correlation, and Kendall-rank correlation coefficients to assess the correlation between automated scores predicted by our models and the human-annotated scores, with the Spearman-rank correlation coefficient designated as our primary monitoring metric. Additionally, to ensure statistical significance, we use Perm-Both hypothesis test (Deutsch et al., 2021), using 200 re-sampling runs, and setting $p = 0.05$. These evaluations are specifically conducted on the devtest subsets of the AFRIMTE dataset across various language pairs and domains.

3.2 Main findings

In this section, we will present our experimental results for our investigations around three key questions: (1) the feasibility of constructing an MT evaluation system for African languages that leverages transfer learning from other languages; (2) the benefits of an additional MT evaluation dataset in African languages; and (3) the impact of African

language-enhanced pre-trained models on MT evaluation performance.

3.2.1 Transfer learning with African validation data only

Initially, we develop our MT evaluation systems that leverage transfer learning from a variety of other languages to African languages. Essentially, we train our models on the "WMT Others" dataset and employ the dev sets within our AFRIMTE dataset as validation sets. As outlined in Section 3.1.2, to explore the impact of different pre-trained models on building MT evaluation systems, we conduct experiments based on XLM-R-L, InfoXLM-L, AfriBERTa-L, and AfroXLM-R-L for comparison. In addition, we benchmark our models against the COMET metric, specifically COMET22 (Rei et al., 2022a), which uses the same training data but differs in validation, employing additional MQM data for English-German, Chinese-English, and English-Russian from the WMT 2021 News Shared Task.

Results of Spearman-rank correlation coefficients and Perm-Both hypothesis test results are shown in Table 3. Given that "WMT Others" dataset does not include any African language, the results in Table 3 illuminate the effectiveness of different pre-trained models in zero-shot scenarios involving African languages when we compare "Ours" with COMET22. Among various pre-trained models, AfroXLM-R-L demonstrates a promising ability to transfer learning from other languages to African languages. It achieves the highest Spearman-rank correlation among various pre-trained models considered on a considerable portion of language pairs.

Analyzing Spearman-rank correlations within four **domain-specific** English-Yoruba datasets show that models trained based on AfroXLM-R-L and InfoXLM-L have the potential to surpass the performance of COMET22. When utilizing the 13 FLORES development sets in African languages as validation sets, these models further illustrate the benefit of targeted pre-trained language models in enhancing domain-specific machine translation evaluation.

Moreover, out of the 17 devtest sets evaluated, AfroXLM-R-L achieves the top rankings in 12 language pairs, surpassing other models except for InfoXLM-L. This underlines the significance of tailored, language-specific pre-trained models in improving downstream NLP performance, espe-

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LP	N-gram based Metrics		Baseline	Models based on various Pre-trained Encoders (Ours)			
	SacreBLEU	chrf++	COMET22	XLM-R-L	AfroXLM-R-L	AfriBERTa-L	InfoXLM-L
ary-fra	0.332	0.328	0.533	0.551	0.567	0.387	**0.627**
eng-arz	0.324	0.321	0.503	0.486	0.532	0.336	**0.596**
eng-fra	0.246	0.280	**0.489**	**0.510**	**0.495**	**0.446**	**0.525**
eng-hau	0.200	0.301	**0.430**	0.401	**0.515**	0.394	0.378
eng-ibo	0.339	0.424	0.373	0.413	**0.592**	0.453	0.229
eng-kik	0.273	**0.295**	0.202	0.281	**0.389**	**0.298**	**0.303**
eng-luo	0.182	**0.279**	0.062*	**0.201**	**0.283**	**0.239**	**0.232**
eng-som	0.161	0.279	0.474	0.466	**0.554**	0.340	0.412
eng-swh	0.481	0.565	**0.738**	**0.739**	0.688	0.603	**0.773**
eng-twi	**0.204**	0.178	0.096*	0.103*	0.157	**0.223**	0.145
eng-xho	0.090*	**0.161**	0.071*	0.070*	**0.191**	**0.151**	0.071*
eng-yor	0.210	0.204	0.150	0.193	**0.287**	**0.270**	**0.313**
eng-yor (it)	0.295	0.346	0.334	0.256	0.266	0.374	**0.487**
eng-yor (movie)	0.238	0.221	**0.334**	**0.338**	**0.372**	**0.325**	**0.353**
eng-yor (news)	**0.114**	**0.122***	**0.168**	**0.196**	**0.200**	0.100	**0.129**
eng-yor (ted)	0.027*	0.002*	0.123*	0.177	**0.324**	0.227	**0.280**
yor-eng	0.308	**0.408**	**0.502**	**0.460**	**0.490**	0.405	**0.461**
Avg. (Spearman / Perm-Both)	0.237 / 2.47	0.277 / 2.06	0.328 / 1.82	0.344 / 1.76	**0.406 / 1.35**	0.328 / 1.88	0.371 / 1.35

Table 3: Spearman-rank correlation coefficients for models trained on the "WMT Others" training set using various pre-trained encoders. Values marked with * indicate a p-value greater than 0.05. For each devtest set, values in **bold** represent the highest ranking obtained from the Perm-Both hypothesis test (Deutsch et al., 2021). Comprehensive results of this test are detailed in Table 8. Averaged Spearman-rank correlation and Perm-Both rankings are presented in the last row.

cially in linguistically diverse contexts such as those found in African languages.

Another interesting observation is that despite AfriBERTa-L being pre-trained exclusively in African languages, suggesting potential under-representation of other languages, it nonetheless proves capable of facilitating transfer learning when trained on languages outside of its initial pre-training scope. However, its overall performance lags notably behind that of AfroXLM-R-L, likely owing to its smaller, Africa-specific pre-training data. To delve deeper into this issue, we conducted experiments using a variety of training data settings, the results of which are detailed in Table 11 in Appendix A. The outcomes of these experiments suggest that exclusive training in African languages does not inherently improve model performance and remains inferior to transferring from a broader range of other languages with larger datasets.

3.2.2 Impact of an additional African MT evaluation dataset

To discuss the potential benefits of an additional MT evaluation dataset in African languages, we carry out experiments based on AfroXLM-R-L across three distinct training data configurations: (1) **"WMT African"**, (2) **"WMT Others"**, and (3) a merged dataset of WMT African and WMT Others, which we refer to as **"WMT Combined"**.

The results, including Pearson, Spearman-rank, Kendall-rank correlation coefficients, and Perm-Both hypothesis test results, are detailed in Table 4.

Surprisingly, the "WMT Others" dataset alone yields highest Spearman-rank and Kendall-rank correlations than the "WMT Combined" dataset. While "WMT Combined" secures the highest Pearson correlation, it slightly negatively impacts both Spearman-rank and Kendall-rank correlations. Examining all of the three correlation coefficients and the Perm-Both hypothesis test results reveals that models trained on "WMT Others" and "WMT Combined" significantly outperform the model trained solely on "WMT African". The difference in performance is likely due to the relatively limited size of "WMT African", indicating a scarcity of data when compared to "WMT Others".

3.3 The benchmark system

We develop a MT evaluation system that is benchmarked against the state-of-the-art COMET metric (COMET22), achieving a Spearman-rank correlation with human judgments of 0.406 for African languages. This system is trained using the "WMT Others" datasets, with the AfroXLM-Roberta-large model serving as the pre-trained foundation for model training. The established benchmark emerges as the state-of-the-art systems that can be recommended for future use.

| | Training Data Settings | | | | | | | | |
| | WMT African | | | WMT Others | | | WMT Combined | | |
LP	Pearson	Spearman	Kendall	Pearson	Spearman	Kendall	Pearson	Spearman	Kendall
ary-fra	0.307	0.287	0.201	**0.595**	**0.567**	**0.406**	**0.567**	**0.547**	**0.388**
eng-arz	0.215	0.270	0.177	**0.526**	**0.532**	**0.371**	**0.517**	0.506	0.351
eng-fra	0.380	0.276	0.190	**0.515**	**0.495**	**0.351**	**0.545**	**0.501**	**0.355**
eng-hau	0.676	0.354	0.240	0.682	**0.515**	**0.365**	**0.764**	0.489	0.342
eng-ibo	0.357	0.406	0.290	**0.551**	**0.592**	**0.435**	0.452	**0.562**	**0.417**
eng-kik	**0.618**	0.256	0.172	0.582	**0.389**	**0.270**	**0.654**	0.368	0.254
eng-luo	**0.416**	**0.255**	**0.181**	0.427	0.283	0.191	0.404	0.275	0.187
eng-som	0.479	0.388	0.271	0.470	**0.554**	**0.398**	**0.590**	**0.546**	**0.390**
eng-swh	0.642	0.533	0.373	0.729	**0.688**	**0.508**	**0.735**	**0.692**	**0.515**
eng-twi	**0.436**	**0.124***	**0.082***	0.396	0.157	0.104	**0.484**	0.203	0.139
eng-xho	**0.519**	0.092*	0.072*	0.473	**0.191**	**0.150**	**0.573**	0.200	0.155
eng-yor	0.597	0.127*	0.083*	0.463	**0.287**	**0.201**	**0.668**	0.285	0.202
eng-yor (it)	0.712	**0.251**	**0.172**	0.590	0.266	0.183	**0.797**	0.247	0.172
eng-yor (movie)	0.550	0.274	0.188	0.464	**0.372**	**0.261**	**0.613**	0.349	0.242
eng-yor (news)	0.468	0.066*	0.045*	**0.508**	0.200	0.136	**0.614**	0.204	0.141
eng-yor (ted)	0.404	0.084*	0.058*	**0.539**	**0.324**	**0.224**	**0.608**	0.220	0.151
yor-eng	0.406	0.386	0.256	**0.512**	**0.490**	**0.345**	**0.511**	**0.495**	**0.346**
Avg. (Corr / Perm-Both)	0.481 / 1.94	0.261 / 1.94	0.179 / 2.12	0.531 / 1.65	**0.406 / 1.00**	**0.288 / 1.12**	**0.594 / 1.06**	0.393 / 1.12	0.279 / 1.18

Table 4: Correlation coefficients (Pearson, Spearman-rank, Kendall-rank) for models trained based on AfroXLM-Roberta-Large with varied training data settings. Values marked with * indicate a p-value greater than 0.05. For each devtest set, values in **bold** represent the highest ranking obtained from the Perm-Both hypothesis test (Deutsch et al., 2021). Comprehensive results of this test are detailed in Table 9. The average of correlation coefficient (Corr) and Perm-Both rankings are presented in the last row.

	Baseline		Models based on Various Pre-trained Encoders (Ours)							
	CometKiwi		InfoXLM-L				AfroXLM-R-L			
			WMT Others		WMT Combined		WMT Others		WMT Combined	
LP	Pearson	Spearman	Pearson	Spearman	Pearson	Spearman	Pearson	Spearman	Pearson	Spearman
ary-fra	0.517	**0.495**	0.578	**0.526**	0.591	0.515	0.475	**0.507**	0.398	0.459
eng-arz	**0.611**	0.592	**0.612**	**0.616**	0.593	0.599	0.551	0.516	0.538	0.509
eng-fra	**0.527**	0.495	**0.552**	**0.522**	0.553	0.523	0.418	0.478	0.391	0.399
eng-hau	0.314	0.245	0.364	0.241	**0.737**	0.275	0.652	**0.482**	0.670	0.435
eng-ibo	0.205	0.188	0.175	0.181	0.287	0.200	**0.644**	**0.631**	**0.628**	**0.631**
eng-kik	0.277	0.247	0.322	0.283	**0.642**	0.307	0.631	**0.415**	**0.687**	0.449
eng-luo	0.237	0.161	**0.253**	0.171	**0.397**	**0.190**	**0.333**	0.217	**0.344**	0.211
eng-som	0.266	0.357	0.281	0.327	**0.394**	0.353	**0.302**	**0.482**	0.260	0.442
eng-swh	**0.787**	**0.756**	0.803	0.763	0.790	0.750	0.644	0.587	0.596	0.541
eng-twi	0.097*	0.026*	0.135	0.061*	**0.546**	**0.136**	0.290	0.061	**0.390**	0.058*
eng-xho	0.127	-0.030*	0.151	0.006*	**0.543**	**0.071***	**0.437**	**0.085***	0.447	0.085*
eng-yor	0.327	0.231	0.354	0.280	**0.767**	0.232	0.738	**0.392**	0.763	0.437
eng-yor (it)	0.375	**0.388**	0.385	0.402	**0.822**	0.328	0.654	0.318	0.730	0.311
eng-yor (movie)	0.151	0.041*	0.215	0.087*	**0.710**	0.336	0.557	0.314	0.611	0.353
eng-yor (news)	0.104*	0.078*	0.130	**0.088***	**0.563**	-0.035*	**0.508**	0.186	**0.529**	0.208
eng-yor (ted)	0.217	**0.289**	0.223	0.274	**0.480**	0.082*	0.518	0.189	0.535	0.202
yor-eng	0.070*	0.098*	0.097	0.122*	**0.342**	**0.265**	0.181	0.208	0.284	0.295
Avg. (Corr / Perm-Both)	0.306 / 2.65	0.274 / 2.12	0.331 / 2.41	0.291 / 1.76	**0.574 / 1.18**	0.302 / 1.59	0.502 / 1.76	**0.357 / 1.35**	0.518 / 1.59	0.354 / 1.53

Table 5: Correlation coefficients (Pearson, Spearman-rank) for reference-free QE models trained based on AfroXLM-Roberta-Large and InfoXLM-Large with varied training data settings. Values marked with * indicate a p-value greater than 0.05. For each devtest set, values in **bold** represent the highest ranking obtained from the Perm-Both hypothesis test (Deutsch et al., 2021). Comprehensive results of this test are detailed in Table 10. The average of correlation coefficient (Corr) and Perm-Both rankings are presented in the last row.

4 Reference-free Evaluation

Utilizing the annotated AFRIMTE dataset, we are able to develop reference-free models that predict the quality of machine translations in the absence of reference texts. This approach aligns with the research domain of machine translation quality estimation (QE), as explored in works by Specia et al. (2010), Fan et al. (2019), Kepler et al. (2019), Chatzikoumi (2020), and Ranasinghe et al.

(2020). For this purpose, we adopt the reference-free COMET architecture (Rei et al., 2020), albeit without including references in the input. Selecting AfroXLM-R-L and InfoXLM-L as pre-trained models, we train reference-free models under the "WMT Others" and "WMT Combined" data settings. We compare our developed reference-free QE systems with CometKiwi (Rei et al., 2022b), which also adopts "WMT Others" for training data and is built based on the InfoXLM-L architecture.

QE systems are typically evaluated based on Pearson and Spearman-rank correlations, as highlighted by Zerva et al. (2022b), and our experimental results are presented in Table 5. The results demonstrate that the model trained on the "WMT Others" dataset substantially surpasses the baseline CometKiwi system under the same pre-trained model setting, namely InfoXLM-L in terms of both Pearson and Spearman-rank correlations. This underscores the viability of applying transfer learning from DA datasets in other languages to African languages for the MT quality estimation task. Additionally, incorporating DA datasets in African languages notably enhances the Pearson correlation across both training data settings, with a more pronounced improvement using InfoXLM-L and a slight enhancement with AfroXLM-R-L. Moreover, employing African language-enhanced pre-trained models further boosts performance in both Pearson and Spearman-rank correlations.

Finally, a key area of our research involves examining the disparity between the more challenging reference-free models and the simpler reference-based models in MT quality estimation, to deepen our understanding and bridge this gap. As illustrated in Tables 3 and 4, there is a Spearman-rank correlation gap of 0.04 $(0.371 - 0.331)$ when using InfoXLM-L as the pre-trained model and training on the 'WMT Others' dataset, in comparison to reference-based models. Additionally, when utilizing AfroXLM-R-L as the pre-trained model, the gaps in Pearson correlation are 0.029 $(0.531 - 0.502)$ and 0.076 $(0.594 - 0.518)$ for the "WMT Others" and "WMT Combined" training datasets, respectively. Similarly, the gaps in Spearman-rank correlation are 0.049 $(0.406 - 0.357)$ and also 0.049 $(0.393 - 0.354)$ for training on "WMT Others" and "WMT Combined", respectively. All these gaps in correlation coefficients are less than 0.05, indicating a relatively close performance between the MT evaluation models and the MT quality estimation models, highlighting key areas for further development in efficient and accurate MT quality estimation models. Overall, both InfoXLM-L and AfroXLM-R-L are promising in building superior QE systems compared to the state-of-the-art CometKiwi system.

5 Conclusion

This study tackles the challenges of adapting the COMET metric for machine translation evalua-

tion in various under-resourced African languages. We have developed a simplified MQM annotation guideline, created the AFRIMTE dataset encompassing 13 typologically-diverse African languages, and established benchmark COMET systems AFRICOMET, thereby addressing pivotal issues in this domain. Based on our experimental results, it is feasible to employ transfer learning techniques from existing, well-resourced Direct Assessment data and utilize African language enhanced multilingual pre-trained language models to build MT evaluation systems for African languages. Our dedication to open access, demonstrated by the release of all datasets, code, and models, aims to bolster ongoing research and development in the field of machine translation evaluation.

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A   Example Appendix

This is an appendix.

LP	Annotation Count	Median	Mean	Std
ces-eng	27847	75.00	69.12	25.18
deu-ces	13804	56.00	53.35	32.97
deu-eng	99183	81.00	73.00	27.06
deu-fra	6691	78.00	71.04	27.44
eng-ces	60937	69.00	62.48	29.09
eng-deu	121420	90.00	80.79	23.2
eng-est	13376	51.00	51.82	29.83
eng-fin	34335	53.00	53.04	30.3
eng-guj	6924	48.50	49.70	28.16
eng-jpn	9578	72.67	68.31	20.45
eng-kaz	8219	57.50	54.16	28.86
eng-lit	8959	60.00	57.40	29.77
eng-lvs	5810	40.00	43.09	29.36
eng-mar	26000	71.75	70.08	10.15
eng-pol	10572	74.00	69.57	22.36
eng-rus	62749	75.00	67.98	27.26
eng-tam	7890	74.00	70.06	19.14
eng-tur	5171	50.00	48.10	33.92
eng-zho	90805	77.00	73.65	20.27
est-eng	29496	70.00	63.48	28.85
fin-eng	46145	75.00	66.29	29.17
fra-deu	3999	83.00	76.13	23.86
guj-eng	9063	58.00	55.70	29.61
jpn-eng	8939	76.00	70.72	24.8
kaz-eng	6789	72.00	64.72	28.09
khm-eng	4722	69.00	61.60	28.01
lit-eng	10315	77.00	70.23	25.31
npi-eng	9000	33.67	37.92	19.51
pol-eng	11816	80.12	76.14	21.62
pbt-eng	4611	70.00	64.14	25.61
ron-eng	9000	76.33	68.76	27.31
rus-eng	79280	84.00	75.38	25.24
sin-eng	9000	50.00	50.45	28.33
tam-eng	7577	72.00	65.45	26.68
tur-eng	30186	71.00	63.51	29.17
zho-eng	126947	79.00	73.37	24.67
Total Count	1027155			

Table 6: Statistical summary of **WMT Others** across language pairs: annotation counts, and the median, mean, and standard deviation of the DA scores. Language codes correspond to those specified in FLORES-200 (Goyal et al., 2022).

LP	Annotation Count	Median	Mean	Std
afr-eng	778	78.0	64.14	32.1
afr-ssw	594	68.0	55.32	29.76
amh-eng	594	72.5	60.32	33.4
eng-afr	593	63.0	62.23	30.74
eng-amh	594	55.0	48.37	27.87
eng-hau	592	69.0	58.58	38
eng-ibo	593	71.0	53.59	42.6
eng-kin	594	57.5	53.60	38.32
eng-lug	594	60.0	51.05	38.02
eng-nya	594	81.0	60.44	39.92
eng-orm	594	43.5	43.80	34.17
eng-sna	593	92.0	75.79	36.3
eng-ssw	594	58.0	50.87	33.69
eng-swh	591	85.0	71.13	32.83
eng-tsn	792	80.0	64.48	35.6
eng-xho	594	87.5	61.87	37.56
eng-yor	594	71.0	57.79	35.29
eng-zul	792	84.0	66.19	38.45
fra-lin	594	89.0	70.83	36.68
fra-swh	592	65.0	56.70	30.04
hau-eng	789	83.0	69.94	32.36
hau-ibo	594	48.0	46.74	38.42
ibo-eng	790	82.0	61.38	38.45
ibo-hau	593	69.0	51.78	37.19
ibo-yor	594	52.0	45.48	36.52
kin-eng	590	84.0	65.21	38.05
lin-fra	592	86.5	69.66	36.5
lug-eng	792	42.0	45.95	35.54
nya-eng	594	70.0	58.20	34.64
orm-eng	594	23.0	40.93	39.88
sna-eng	784	91.0	78.65	31.58
som-eng	594	70.0	58.17	34.95
ssw-eng	791	80.0	62.11	40.01
ssw-tsn	594	75.5	66.37	28.07
swh-eng	779	86.0	71.26	33.02
swh-fra	591	83.0	68.68	31.65
swh-lug	594	14.0	30.40	33.41
tsn-eng	791	63.0	54.25	35.24
tsn-tso	594	70.5	63.66	29.68
tso-eng	787	70.0	59.34	36.18
xho-eng	789	85.0	71.72	31.83
xho-zul	594	68.0	49.45	36.56
yor-eng	792	63.0	57.45	33.69
yor-ibo	594	80.0	67.69	33.09
zul-eng	788	90.0	68.47	38.54
zul-sna	593	82.0	64.89	42.39
Total	30022			

Table 7: Statistical summary of **WMT African** across language pairs: annotation counts, and the median, mean, and standard deviation of DA scores. Language codes correspond to those specified in FLORES-200 (Goyal et al., 2022).

LP	N-gram based Metrics		Baseline	Models based on various Pre-trained Encoders (Ours)			
	SacreBLEU	chrf++	COMET22	XLM-R-L	AfroXLM-R-L	AfriBERTa-L	InfoXLM-L
ary-fra	3	3	2	2	2	3	1
eng-arz	4	4	2	3	2	4	1
eng-fra	2	2	1	1	1	1	1
eng-hau	4	3	1	2	1	2	2
eng-ibo	2	2	2	2	1	2	3
eng-kik	2	1	3	2	1	1	1
eng-luo	2	1	3	1	1	1	1
eng-som	4	3	2	2	1	3	2
eng-swh	4	3	1	1	2	3	1
eng-twi	1	1	2	2	2	1	2
eng-xho	2	1	2	2	1	1	2
eng-yor	2	2	3	2	1	1	1
eng-yor (it)	2	2	2	3	3	2	1
eng-yor (movie)	2	2	1	1	1	1	1
eng-yor (news)	1	1	1	1	1	2	1
eng-yor (ted)	3	3	2	2	1	2	1
yor-eng	2	1	1	1	1	2	1
Average	2.47	2.06	1.82	1.76	1.35	1.88	1.35

Table 8: Detailed rankings from the Perm-Both hypothesis test (Deutsch et al., 2021) of Spearman-rank correlation coefficients for models trained on the "WMT Others" training set using various pre-trained encoders. The averaged ranks are presented in the last row.

	Training Data Settings								
	Pearson			Spearman			Kendall		
LP	WMT African	WMT Others	WMT Combined	WMT African	WMT Others	WMT Combined	WMT African	WMT Others	WMT Combined
ary-fra	3	1	1	2	1	1	2	1	1
eng-arz	3	1	1	3	1	2	3	1	2
eng-fra	2	1	1	2	1	1	2	1	1
eng-hau	2	2	1	2	1	1	3	1	1
eng-ibo	3	1	2	2	1	1	2	1	1
eng-kik	1	2	1	2	1	1	2	1	1
eng-luo	1	1	1	1	1	1	1	1	1
eng-som	2	2	1	2	1	1	2	1	1
eng-swh	2	1	1	2	1	1	3	2	2
eng-twi	1	2	1	1	1	1	2	2	1
eng-xho	1	2	1	2	1	1	2	1	1
eng-yor	2	3	1	2	1	1	2	1	1
eng-yor (it)	2	3	1	1	1	1	1	1	1
eng-yor (movie)	2	3	1	2	1	1	2	1	1
eng-yor (news)	2	1	1	2	1	1	2	1	1
eng-yor (ted)	2	1	1	3	1	2	3	1	2
yor-eng	2	1	1	2	1	1	2	1	1
Average	1.94	1.65	1.06	1.94	1	1.12	2.12	1.12	1.18

Table 9: Detailed rankings from the Perm-Both hypothesis test (Deutsch et al., 2021) of Pearson, Spearman-rank, Kendall-rank correlation coefficients for models trained based on AfroXLM-Roberta-Large with varied training data settings. The averaged ranks are presented in the last row.

Correlation	LP	Baseline CometKiwi	InfoXLM-L WMT Others	InfoXLM-L WMT Combined	AfroXLM-R-L WMT Others	AfroXLM-R-L WMT Combined
Pearson	ary-fra	2	1	1	2	3
	eng-arz	1	1	2	2	2
	eng-fra	1	1	1	2	2
	eng-hau	4	3	1	2	2
	eng-ibo	3	4	3	1	1
	eng-kik	4	3	1	2	1
	eng-luo	2	1	1	1	1
	eng-som	2	2	1	1	2
	eng-swh	1	1	1	2	3
	eng-twi	3	3	1	2	1
	eng-xho	2	2	1	1	1
	eng-yor	3	3	1	2	1
	eng-yor (it)	4	4	1	3	2
	eng-yor (movie)	5	4	1	3	2
	eng-yor (news)	3	3	1	1	1
	eng-yor (ted)	2	2	1	1	1
	yor-eng	3	3	1	2	1
	Average	2.65	2.41	1.18	1.76	1.59
Spearman	ary-fra	1	1	1	1	1
	eng-arz	2	1	2	3	3
	eng-fra	2	1	1	2	3
	eng-hau	3	3	3	1	2
	eng-ibo	3	3	3	1	1
	eng-kik	2	2	2	1	1
	eng-luo	2	2	1	1	1
	eng-som	2	3	2	1	2
	eng-swh	1	1	1	2	3
	eng-twi	2	2	1	2	2
	eng-xho	3	2	1	1	1
	eng-yor	3	2	2	1	1
	eng-yor (it)	1	1	1	1	1
	eng-yor (movie)	3	2	1	1	1
	eng-yor (news)	2	1	2	1	1
	eng-yor (ted)	1	1	2	1	1
	yor-eng	3	2	1	2	1
	Average	2.12	1.76	1.59	1.35	1.53

Table 10: Detailed rankings from the Perm-Both hypothesis test (Deutsch et al., 2021) of Pearson and Spearman-rank correlation coefficients for reference-free QE models trained based on AfroXLM-Roberta-Large and InfoXLM-Large with varied training data settings. The averaged ranks are presented in the last row.

	Training Data Settings								
	WMT African			WMT Others			WMT Combined		
LP	Pearson	Spearman	Kendall	Pearson	Spearman	Kendall	Pearson	Spearman	Kendall
ary-fra	0.255	0.214	0.154	0.409	0.387	0.275	0.385	0.384	0.275
eng-arz	0.091*	0.111*	0.075*	0.305	0.336	0.226	0.307	0.312	0.205
eng-fra	0.341	0.292	0.202	0.430	0.446	0.315	0.418	0.429	0.301
eng-hau	0.608	0.328	0.224	0.573	0.394	0.276	0.722	0.399	0.276
eng-ibo	0.292	0.300	0.211	0.379	0.453	0.317	0.340	0.475	0.327
eng-kik	0.559	0.229	0.155	0.463	0.298	0.207	0.477	0.231	0.160
eng-luo	0.285	0.146	0.099	0.364	0.239	0.163	0.378	0.189	0.127
eng-som	0.361	0.256	0.180	0.332	0.340	0.240	0.380	0.302	0.212
eng-swh	0.522	0.464	0.323	0.665	0.603	0.434	0.660	0.558	0.394
eng-twi	0.411	0.223	0.150	0.367	0.223	0.150	0.381	0.224	0.150
eng-xho	0.391	0.104*	0.082*	0.370	0.151	0.119	0.461	0.122	0.096
eng-yor	0.564	0.180	0.122	0.388	0.270	0.186	0.615	0.218	0.148
eng-yor (it)	0.721	0.430	0.303	0.595	0.374	0.257	0.766	0.300	0.207
eng-yor (movie)	0.511	0.320	0.217	0.447	0.325	0.227	0.588	0.364	0.252
eng-yor (news)	0.339	0.043*	0.029*	0.313	0.100	0.066	0.488	0.105	0.068
eng-yor (ted)	0.403	0.159	0.109	0.357	0.227	0.154	0.534	0.166	0.114
yor-eng	0.215	0.218	0.144	0.397	0.405	0.279	0.377	0.390	0.267
Avg. (Corr)	0.404	0.236	0.163	0.421	**0.328**	**0.229**	**0.487**	0.304	0.211

Table 11: Correlation coefficients (Pearson, Spearman-rank, Kendall-rank) for models trained based on AfriBERTa-L with varied training data settings. Values marked with * indicate a p-value greater than 0.05. The average of correlation coefficient (Corr) are presented in the last row.