

1 **Combining UAV and Sentinel-2 satellite multi-spectral images to**
2 **diagnose crop growth and N status in winter wheat at the county scale**

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23 **Abstract:**

24 Real-time and non-destructive nitrogen (N) status diagnosis is needed to support in-
25 season N management decision-making for modern wheat production. For this purpose,
26 satellite sensor imaging can act as an effective tool for collecting crop growth
27 information across large areas, but they can be challenging to calibrate with ground
28 reference data. This research aimed to calibrate satellite remote sensing-derived models
29 for crop growth estimation and N status diagnosis based on fine-resolution unmanned
30 aerial vehicle (UAV) images, thus, map wheat growth and N status at the county scale.
31 Seven wheat field experiments involving multi cultivars and different N applications
32 were conducted at four farms of Xinghua county from 2017 to 2021. A fixed-wing UAV
33 sensing system and the Sentinel 2 (S2) satellite were used to collect wheat canopy
34 multispectral images; three growth variables (plant dry matter (PDM), plant N
35 accumulation (PNA) and N nutrition index (NNI)) and weather data, synchronized with
36 spectral imagery, were obtained at the jointing and booting stages. The farm- scale PDM
37 (UAV-PDM) and PNA (UAV-PNA) maps can be derived from the UAV images at the
38 four farms, which were further upscaled to grids to match the S2 image resolution using
39 pixel aggregation method. Then, satellite- based prediction models were constructed by
40 fitting four machine learning algorithms to the relationships be-tween satellite spectral
41 indices, upscaled PDM (PNA) and weather data. Amongst the four methods tested, the
42 random forest (RF) achieved the greatest prediction accuracy for PDM ($R^2 = 0.69-0.93$)
43 and PNA ($R^2 = 0.60-0.77$). Meanwhile, an indirect diagnosis method was used to
44 calculate the NNI. The results indicated that the model derived from the S2 imagery

45 performed well for predicting NNI ($R^2 = 0.46\text{--}0.54$) at the jointing and booting stages.
46 Thereby, the NNI was used to map winter wheat N nutrition status at the county scale.
47 In summary, this research demonstrated and evaluated an approach to combine UAV
48 and satellite sensor images to diagnose wheat growth and N status across large areas.
49 **Key words:** N diagnosis, pixel aggregation, vegetation index, random forest, large
50 areas

51 **1. Introduction**

52 Wheat is one of the important crops that widely cultivated in the world, which
53 plays a vital role in ensuring the world food security. The area covered by wheat in
54 China is the fourth with 11% proportion of the global plantation area, while the wheat
55 yield accounts for appropriate 18% of the total yield in the world (Li et al., 2016; Wu
56 et al., 2022). Nitrogen (N) has a significant effect in enhancing crop growth and
57 improving grain yield formation (Miao et al., 2011). A precision N management
58 strategy (PNMS) can be used to optimize N fertilizer inputs and maximize the economic
59 benefits for producers. Such a PNMS re-quires non-destructive and effective tools for
60 crop growth prediction and N status diagnosis (Diacono et al., 2013).

61 Traditional N diagnosis methods such as leaf color-based judgement and chemical
62 analysis based for measured plant sample were relatively empirical or time-consuming
63 for evaluating the plant N nutrition status, which may not be sufficient to support
64 making in-season real-time N management decisions in modern crop production
65 (Padilla et al., 2018). At the same time, remote sensing technologies provide a non-
66 destructive approach for real-time diagnosis of crop growth and N status (Moya, 2005;

67 Dong et al., 2019). Ground sensing based on proximal sensors has been used to predict
68 plant biomass and N accumulation for various crops, including rice, wheat and maize
69 (Xia et al., 2016; Jiang et al., 2020; Zhang et al., 2020). However, the small sampling
70 area of ground sensors makes this approach laborious for crop growth estimation and
71 N diagnosis at regional scales. Satellite remote sensing can perform spectral sampling
72 for crop N status estimation over large areas, and is likely to be more suitable for
73 guiding regional crop N management (Magney et al., 2017). Common satellite missions
74 were classified according to the spatial resolution: coarse spatial resolution satellite
75 sensors such as MODIS (spatial resolution ≥ 250 m) have been used for monitoring
76 vegetation productivity and mapping foliar N in forests at broad scales (Guay et al.,
77 2014; Lepine et al., 2016). However, images with coarse resolution are insufficient to
78 detect field heterogeneity due to the lack of pure pixels during the crop growth period
79 (Lepine et al., 2016). Rapideye and IKONOS satellite sensors can produce images with
80 a fine spatial resolution of 1 m, which have been used for academic research and
81 agricultural production when combined with easy access to compute resource (Rinaldi
82 et al., 2010; Magney et al., 2017). Wang et al. (2019) indicated that vegetation indices
83 such as normalised difference vegetation index (NDVI) and normalised difference red
84 edge (NDRE) derived from RapidEye images achieved good precision ($R^2 > 0.6$) for
85 predicting wheat grain N uptake during the grain filling stage. However, the cost of
86 fine-resolution images has limited their practical application in modern crop production.
87 Freely available medium-to-fine resolution satellite sensor images from Sentinel-2 (S2)
88 have been more popular with researchers conducting regional studies for crop N

89 management. Meanwhile, the revisit period of 5 days of S2 makes it suitable for real-
90 time estimation and diagnosis of crop growth and N status. There-fore, the advantages
91 of free-access and high revisit rate from the S2 satellite sensor imagery were more
92 beneficial for facilitating the practical agricultural production. Sharifi (2020) indicated
93 that the simple ratio red-edge (SRRE) index derived from the S2 satellite sensor image
94 has a good performance for estimating maize N uptake with R^2 of 0.91 and RMSE of
95 11.34 kg ha⁻¹ at the peak greenness date. Additionally, the normalized difference red
96 edge index (NDRE) and transformed chlorophyll absorption ratio index (TCARI) from
97 the S2 images were demonstrated a good linear estimates for maize NNI ($R^2 = 0.79$)
98 and durum wheat NNI ($R^2 = 0.61$), respectively (Crema et al., 2020). Therefore, it is
99 necessary to further evaluate the utility of spectral information derived from the S2
100 satellite sensor images with medium-to-fine resolution for wheat growth estimation and
101 N status diagnosis.

102 Previous studies calibrated satellite-based models for crop growth estimation
103 mainly through single or multiple field measurements, which are laborious and difficult
104 to upscale to the same spatial resolution as the satellite sensor images (Huang et al.,
105 2017). UAV-based remote sensing systems can be operated with ease and have been
106 demonstrated to be excellent tools for diagnosing crop N status (Zhao et al., 2019).
107 Furthermore, the fine-resolution images from UAVs can detect field heterogeneity and
108 can be aggregated to grids with any desired resolution. Thus, UAVs offer an opportunity
109 to close the gap between field measurements and satellite sensor data. Revill et al. (2020)
110 coupled S2 and UAV observations to bridge the scaling gap between field data and

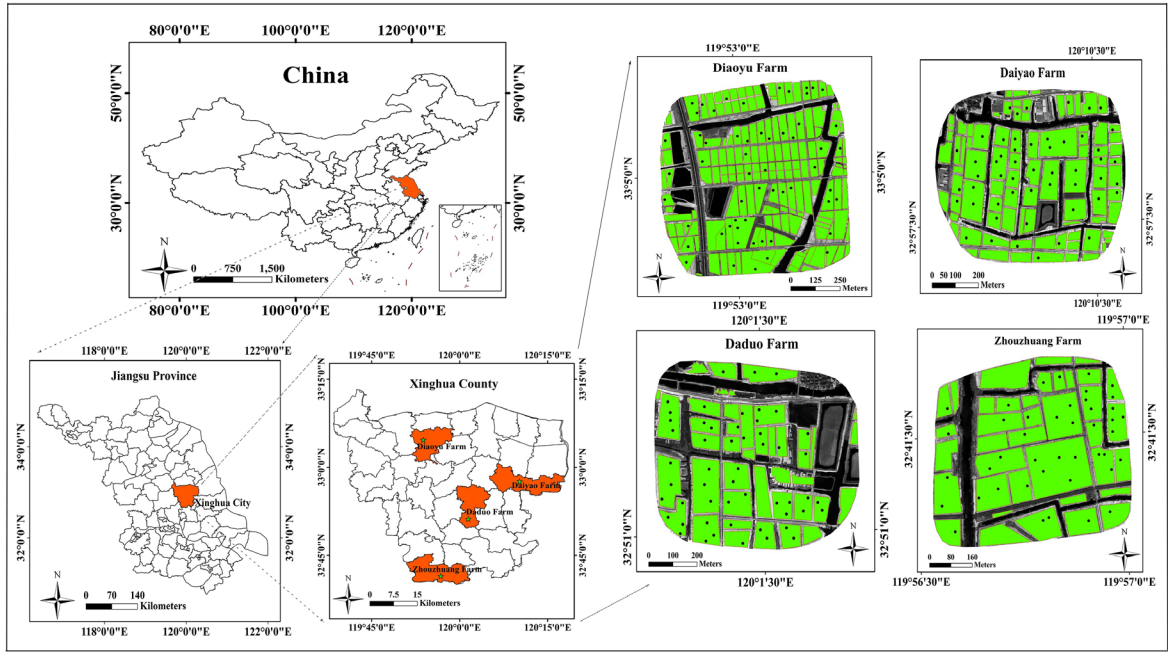
111 satellite sensor images, and the results indicated this method achieved an accurate
112 retrieval for wheat leaf area index across a large farm. Similarly, the fractional cover
113 (FCover) of tundra vegetation derived from a fine-resolution UAV RGB image was
114 aggregated to corresponding grids with same spatial resolution as Planet (3 m), S2 (10
115 m, 20 m) and Landsat 8 (30 m) images. Therefore, the FCover prediction model based
116 on satellite imagery can be constructed using the relationship between UAV-FCover
117 and satellite vegetation indices (Deviance explained =89% at best) over larger extents
118 (Riihimaäki et al., 2019). To date, little research has been performed on the integration
119 of fixed-wing UAVs and satellite sensor images to diagnose the growth and N status of
120 winter wheat at the county scale. Therefore, the objectives of this study were: (1) to
121 bridge the scale gap between field observed wheat growth parameters and satellite
122 sensor data based on fine-resolution UAV images; (2) to construct wheat growth
123 estimation and N diagnosis models using S2 satellite sensor images and (3) to map
124 wheat growth and N status temporally and spatially at the county scale.

125 **2. Materials and methods**

126 **2.1. Experimental design**

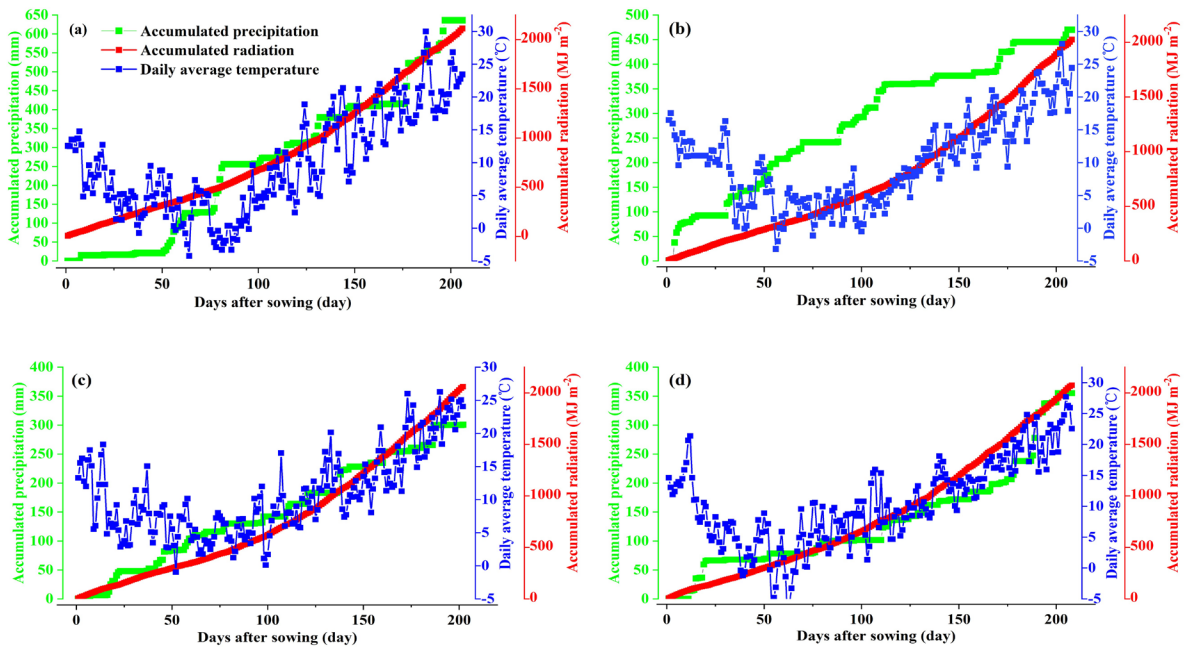
127 This study was conducted at the Xinghua experimental station in Jiangsu Province
128 of East China (Fig. 1). Experiment 1–3 were conducted using ‘Yangmai 23’ and
129 ‘Yangmai 25’ cultivar at the Diaoyu farm from 2017 to 2020. Experiment 4 was
130 conducted using ‘Nongmai 88’ cultivar at the Daiyao farm in 2019–2020. Experiment
131 5 and 6 were conducted using ‘Yangmai 25’ at the Daduo and Zhouzhuang farm,

132 respectively, in 2019–2020. Experiment 7 was conducted based on the local cultivar
133 such as ‘Yangmai 23’, ‘Yangmai 25’ and ‘Nongmai 88’ across the Xinghua county in
134 2020–2021. The fertilizer treatments of experiment 1–7 followed the local farmer’s
135 conventional approach, which were showed in Table S1 of the Supplementary file.
136 Wheat plants in experiments 1–7 were grown at a local standard density of 2.25 million
137 seedlings per hectare. Irrigation application was applied one time to ensure the seeds
138 germinated securely at the sowing stage if there was no natural rainfall. The weather
139 data was collected from the local weather station, the Fig. 2 showed the accumulated
140 precipitation, daily average temperature and accumulated radiation with days after
141 sowing during the whole wheat growing season from 2017 to 2021. No significant
142 insects, weeds and water stress were observed through the whole growing season.
143 Details of the seven wheat experiments were shown in Table 1.



144

145 **Fig. 1.** The four study sites. The green areas and black points indicate the wheat growing
 146 area and sampling points, respectively, in each farm.



147

148 **Fig. 2.** Accumulated precipitation, daily average temperature and accumulated radiation
 149 with days after sowing during the whole wheat growing season of (a) 2017–2018, (b)
 150 2018–2019, (c) 2019–2020, (d) 2020–2021 in Xinghua experimental station.

151 Table 1 Basic information describing the seven field experiments conducted in this study.

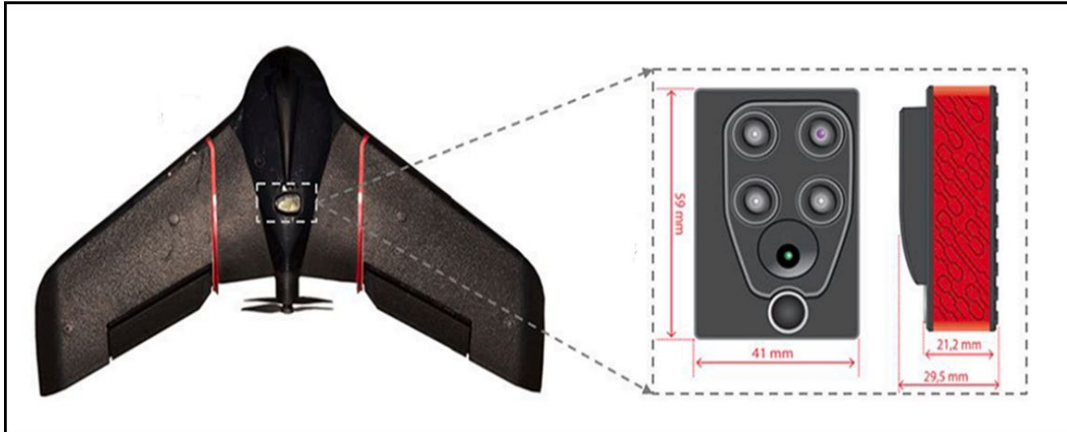
Experiment No. Year	Location	Cultivar	UAV image acquisition time	S2 image acquisition time	Plant sampling date	Sowing date	Harvest date
Experiment 1 2017-2018	Diaoyu farm (33.08°N, 119.98°E)	YM 23	22-March (JS) 16-April (BS)	23-March (JS)	None	9 Nov.	3 June
Experiment 2 2018-2019	Diaoyu farm (33.08°N, 119.98°E)	YM 25	6-March (JS) 2-April (BS)	6-March (JS) 30-March (BS)	None	2 Nov.	29 May
Experiment 3 2019-2020	Diaoyu farm (33.08°N, 119.98°E)	YM 25	16-March (JS) 2-April (BS)	17-March (JS) 3-April (BS)	None	9 Nov.	2 June
Experiment 4 2019-2020	Daiyao farm (32.96°N, 120.17°E)	NM 88	19-March (JS) 6-April (BS)	24-March (JS) 8-April (BS)	None	5 Nov.	1 June
Experiment 5 2019-2020	Daduo farm (32.85°N, 120.02°E)	YM 25	20-March (JS) 7-April (BS)	24-March (JS) 8-April (BS)	None	4 Nov.	1 June
Experiment 6 2019-2020	Zhouzhuang farm (32.69°N, 119.95°E)	YM 25	20-March (JS) 6-April (BS)	24-March (JS) 8-April (BS)	None	6 Nov.	2 June
Experiment 7 2020-2021	Xinghua county (32.65-33.25°N, 119.60-120.32°E)	YM 23, YM 25, NM 88	None	14-March (JS) 8-April (BS)	12(14)-March (JS) 8-April (BS) 31-May to 2-June (HS)	25 Oct.- 10 Nov.	29 May- 10 June

152 Note: the YM23, YM25 and NM 88 represent the Yangmai 23, Yangmai 25 and Nongmai 88 cultivars, respectively. JS, BS and HS represent the
 153 jointing, booting and harvest stages, respectively. The S2 image was not available at the booting stage in experiment 1.

154 **2.2. Spectral data collection**

155 **2.2.1. UAV images collection**

156 The Parrot Sequoia camera (MicaSense, Seattle, WA, USA; Fig. 3) was mounted
157 on the eBee UAV (senseFly, Cheseaux-Lausanne, Switzerland; Fig. 3) to collect four
158 multispectral images, including the green (G, 550 ± 40 nm), red (R, 660 ± 40 nm), red
159 edge (RE, 735 ± 10 nm) and near infrared (NIR, 790 ± 40 nm) bands. The parameter
160 setting of the UAV flights and pre-processing method of the multispectral images
161 followed Jiang et al. (2022). UAV flight was conducted at a speed of 8 m s^{-1} under
162 stable low wind, cloudless and sunny-sky conditions from 10:00 to 14:00. The overlap
163 in the flight direction and sidelap were set as 75% for each image. The spatial resolution
164 of spectral image was 10 cm when the flight height was 100 meters above the wheat
165 canopy. The radiation calibration and mosaicking of the acquired images were
166 performed in the Pix4Dmapper Ag software (Pix4D SA, Prilly, Switzerland). Several
167 ground control points (GCPs) were located using a Trimble GeoXH6000, which were
168 then used to geo-rectify the UAV orthographic image for each farm. Details of UAV
169 image acquisition times were shown in Table 1.



170

171 **Fig. 3.** The eBee fixed-wing UAV used in this study.

172 **2.2.2. Sentinel 2 images acquisition**

173 The satellite sensor imagery was acquired as close as possible to the UAV flights,
174 with a screening criteria of five days adjacent to the UAV campaign. Sentinel 2 images
175 can be downloaded from the official website (<https://scihub.copernicus.eu/>) as Level-
176 1C geometrically corrected, top-of-atmosphere reflectance products. The atmospheric
177 correction was carried out using the Sen2Cor version 02.08.00 to produce the Level-2A
178 product. The plug-in of ‘SuperResolution’ in Sentinel Application Platform (SNAP)
179 version 4.0.2 was used to downscale the Level-2A image bands with 20 m spatial
180 resolution to 10 m resolution. Each S2 image include 13 bands (Table 2) with 290 km
181 orbital swath width: three bands were designed for monitoring atmospheric conditions
182 with 60 m spatial resolution (B1, B10, B11), which were not considered in this research.
183 Meanwhile, the red edge (RE) band b5 was selected among the three RE bands, the
184 Narrow NIR band b9 was selected between the two NIR bands and the SWIR band b12
185 was selected between the two SWIR bands. The six selected bands were used to
186 calculate the spectral indices in Table 3.

Table 2 The 13 spectral bands from the S2 satellite sensor image.

	Bands	Central wavelength	Bandwidth	Spatial resolution
Band 1	Coastal aerosol	443	20	60
Band 2	Blue	490	65	10
Band 3	Green	560	35	10
Band 4	Red	665	30	10
Band 5	Red edge	705	15	20
Band 6	Red edge	740	15	20
Band 7	Red edge	783	20	20
Band 8	NIR	842	115	10
Band 9	Narrow NIR	865	20	20
Band 10	Water vapor	945	20	60
Band 11	SWIR-Cirrus	1380	30	60
Band 12	SWIR	1610	90	20
Band 13	SWIR	2190	180	20

188 Table 3 The vegetation indices used in this research.

Index name	Formula	S2	UAV	Reference
Normalised difference red edge (NDRE)	$(\text{NIR} - \text{RE})/(\text{NIR} + \text{RE})$	√	√	(Barnes et al., 2000)
Red edge soil-adjusted vegetation index (RESAVI)	$1.5*(\text{NIR} - \text{RE})/(\text{NIR} + \text{RE} + 0.5)$	√	√	(Sripada et al., 2005)
Red edge chlorophyll index (CI _{RE})	$(\text{NIR} / \text{RE}) - 1$	√	√	(Sripada et al., 2005)
DATT	$(\text{NIR} - \text{RE}) / (\text{NIR} - \text{Red})$	√	√	(Datt and B., 2010)
Modified chlorophyll absorption in reflectance index (MCARI1)	$[(\text{NIR} - \text{RE}) - 0.2(\text{NIR} - \text{G})] * (\text{NIR} / \text{RE})$	√	√	(Gitelson et al., 2005)
Ratio water index (RWI)	NIR / SWIR	√		(Fernandes et al., 2003)
Normalized difference water index (NDWI)	$(\text{NIR} - \text{SWIR}) / (\text{NIR} + \text{SWIR})$	√		(Gao, 1995)
Ratio blue index (RBI)	NIR / B	√		(This study, modified from Pearson and Miller, 1972)
Normalised difference blue index (NDBI)	$(\text{NIR} - \text{B}) / (\text{NIR} + \text{B})$	√		(This study, modified from Tucker, 1979)
Green soil adjusted vegetation index (GSAVI)	$1.5*(\text{NIR} - \text{G})/(\text{NIR} + \text{G} + 0.5)$	√	√	(Sripada et al., 2005)
Soil adjusted vegetation index (SAVI)	$1.5*(\text{NIR} - \text{Red})/(\text{NIR} + \text{Red} + 0.5)$	√		(Huete, 1988)
Normalised difference vegetation index (NDVI)	$(\text{NIR} - \text{Red})/(\text{NIR} + \text{Red})$	√	√	(Tucker, 1979)
Ratio vegetation index (RVI)	NIR / Red	√		(Pearson and Miller, 1972)

190 Note: √ represents the vegetation indices calculated based on the satellite and UAV images.

191 **2.3. Agronomic and weather data collection**

192 The GPS coordinates of each sampling point was determined by a Trimble
193 GeoXH6000 (Trimble, CA, USA). Then, 20 plants were selected randomly and
194 sampled within a range of 10*10 m centered around the sampling point. The plants
195 were separated into the stem and leaf, which were oven dried at 105° for 30 minutes
196 and then dried at 70° to a constant weight to measure the stem dry matter (SDM) and
197 leaf dry matter (LDM). The plant dry matter (PDM) was calculated by equation 1.

198
$$\text{PDM (kg} \cdot \text{ha}^{-1}) = \text{SDM (kg} \cdot \text{ha}^{-1}) + \text{LDM (kg} \cdot \text{ha}^{-1}) \quad (1)$$

199 The sub-samples of stem and leaf were later ground into a fine powder to
200 determine the stem (SNC) and leaf (LNC) N concentration using the Kjeldahl digestion
201 method (Bremner and Mulvaney, 1982). The PNA was then calculated by equation 2.
202 The plant N concentration (N_a) can be calculated as the ratio of PNA and PDM.

203
$$\text{PNA(kg} \cdot \text{ha}^{-1}) = \text{SDM(kg} \cdot \text{ha}^{-1}) \times \text{SNC(\%)} + \text{LDM(kg} \cdot \text{ha}^{-1}) \times \text{LNC(\%)} \quad (2)$$

204 The NNI (equation 3) can be calculated using actual plant N concentration (N_a) divided
205 by critical value, while the critical N concentration (N_c) can be calculated using the
206 critical N dilution curve (CNDC; equation 4) developed by Jiang et al. (2020).

207
$$\text{NNI} = N_a / N_c \quad (3)$$

208
$$N_c = 4.17 * W^{-0.39} \quad (4)$$

209 where W is the plant biomass.

210 The grain yield was collected by manually measuring 1 m² three times at each

211 sampling points in the harvest stage, and the observed value was standardized to 14%
212 grain moisture content.

213 Previous studies indicated that weather status would influence crop growth and the
214 physiological process, and so should be included to increase prediction accuracy during
215 model construction (Wang et al., 2020; Nonhebel, 1994; Verma et al., 2003). In this
216 study, average daily temperature (T_{ave}), average daily minimum temperature (T_{min}),
217 average daily maximum temperature (T_{max}), accumulated daily average temperature
218 (T_{sum}), accumulated precipitation ($Prep_{sum}$), accumulated radiation (Rad_{sum}) of 30 days
219 before measurement date, and accumulated growing degree day (AGDD) from sowing
220 to measurement date were used as model inputs to calibrate the growth and N status
221 diagnosis model.

222 **2.4. Data analysis**

223 The workflow for estimation model construction and evaluation was shown in
224 figure 4: when the UAV orthographic images were collected (Fig. 4a), the PDM and
225 PNA estimation models based on the UAV data from Jiang et al. (2022) were used to
226 derive the wheat PDM (UAV-PDM) and PNA (UAV-PNA) maps at each farm for
227 experiments 1-6 (Fig. 4b). Following the method from Riihimäkia et al. (2019), the
228 UAV-PDM and UAV-PNA maps were upscaled to the same spatial resolution as the S2
229 images (10 m) using the pixel aggregation function of ArcGIS 10.2 software (Fig. 4c).
230 In order to avoid the influence of mixing pixels from the water, road and other objects
231 for model construction, the sampling points of 57, 52, 53, and 43 that involving the pure

232 pixel inner the wheat field were determined randomly at the Diaoyu, Daiyao, Daduo,
 233 and Zhouzhang farm, respectively, to extract the upscaled PDM and PNA values (Fig.
 234 4c). Meanwhile, the S2 images from each farm of experiment 1-6 were obtained (Fig.
 235 4d), and the vegetation indices at the corresponding sampling points were extracted
 236 from the S2 imagery (Fig. 4e). Therefore, the data from experiments 1-6 and 10-fold
 237 cross-validation were used to select the optimal machine learning (ML) modeling
 238 method to integrate the S2 spectral indices, weather data (Fig. 4f), and upscaled PDM
 239 (PNA) to construct the estimation models (Fig. 4g). The methods considered were the
 240 Random Forest (RF), Lasso, artificial neural network (ANN) and partial least squares
 241 regression (PLSR). The optimal modelling method with the larger R^2 and smaller root
 242 mean square error (RMSE; equation 5) and relative error (RE; equation 6) was selected
 243 to establish the optimal satellite models for PDM and PNA prediction. Therefore, the
 244 satellite prediction models with best modeling method were established based on the
 245 data from experiments 1-6 (Fig. 4h). Independent ground sampling data from the
 246 experiment 7 was used to further validate the optimum PDM and PNA estimation
 247 models.

$$248 \quad \text{RMSE} = \sqrt{\frac{1}{n} \times \sum_{i=1}^n (P_i - O_i)^2} \quad (5)$$

$$249 \quad \text{RE}(\%) = 100 \times \sqrt{\frac{1}{n} \times \sum_{i=1}^n \left(\frac{P_i - O_i}{O_i} \right)^2} \quad (6)$$

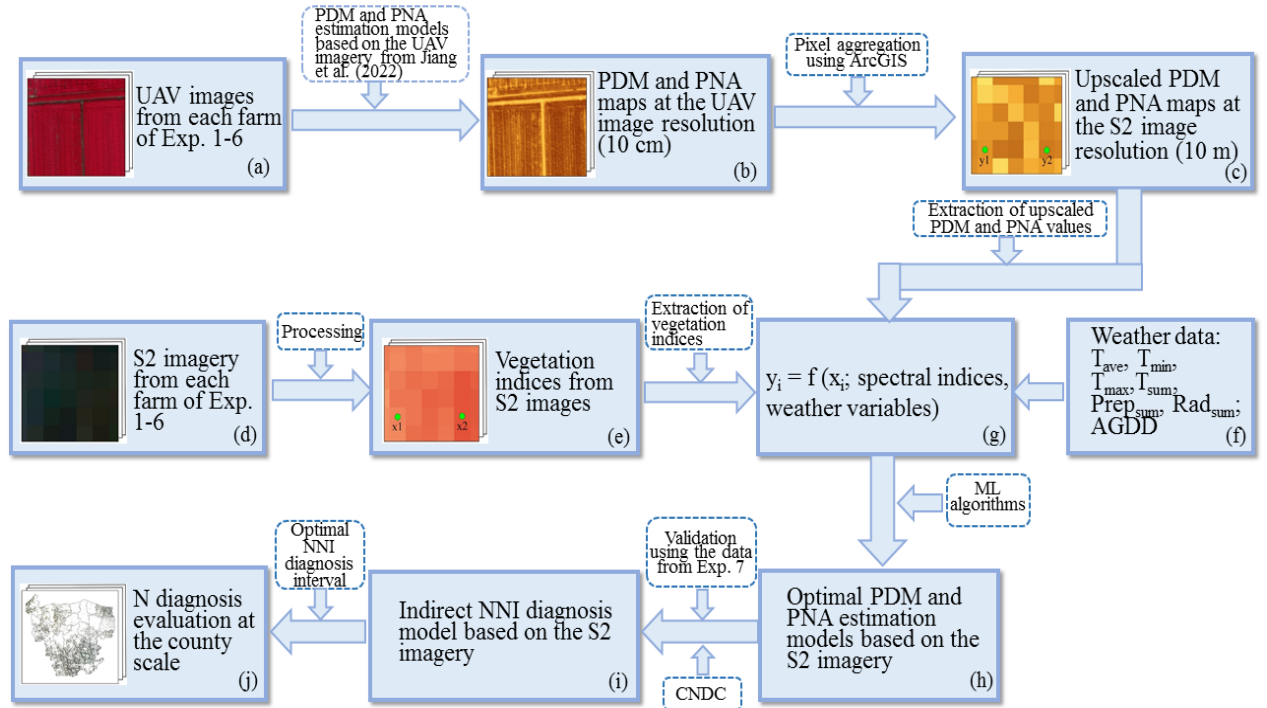
250 where n represents the number of samples, O_i and P_i represent the observed and
 251 predicted values, respectively.

252 An indirect strategy (Fig. 4i) was used to estimate the NNI in this study: when the PDM

253 and PNA were predicted, the PDM was input to the CNDC to calculate the N_c , then the
254 PNA_c can be calculated as the product of predicted PDM and N_c . Therefore, the NNI
255 was calculated as ratio of predicted PNA and PNA_c (equation 7; Jiang et al., 2022; Zha
256 et al., 2020; Xia et al., 2016). Three N status categories of N deficient, N optimal, and
257 N excessive can be divided using the predicted NNI according to the optimal NNI
258 diagnosis interval: 0.92-1.04 and 0.97-1.15 at the jointing and booting stage (Jiang et
259 al., 2022), respectively. Therefore, the wheat N diagnosis status at the Xinghua county
260 can be evaluated based on the indirect NNI diagnosis model and in-season S2 imagery
261 (Fig. 4j).

$$262 \quad \text{NNI} = \text{Predicted PNA} / (\text{Predicted PDM} * N_c) \quad (7)$$

263 The packages of ‘randomForest’, ‘glmnet’, ‘nnet’ and ‘pls’ from R software were
264 used during the process of model construction and validation. The determination of
265 wheat growing area at the Xinghua county referenced the research results from Yang et
266 al. (2022). The ArcGIS 10.2 software was used to generate the growth and N status
267 maps in each farm and for Xinghua county. The correlation map and scatter diagram in
268 this study were plotted in the Origin 2021 software.



269

270 **Fig. 4.** The research methodology. NNI: N nutrition index; PDM: plant dry matter; PNA:

271 plant N accumulation; S2: Sentinel 2; ML: machine learning; CNDC: critical N dilution

272 curve; T_{ave} , T_{min} , T_{max} , T_{sum} , $Prep_{sum}$ and Rad_{sum} represent the average daily temperature,

273 average daily minimum temperature, average daily maximum temperature,

274 accumulated daily average temperature, accumulated precipitation and accumulated

275 radiation, respectively, of the 30 days before the measurement date. AGDD represents

276 the accumulated growing degree day from sowing to the measurement date. Fig. 4b and

277 c represent the PNA maps that used as an example. The x_1 , x_2 in Fig. 4e represent the

278 sampling points for the extraction of vegetation indices from the S2 imagery, while the

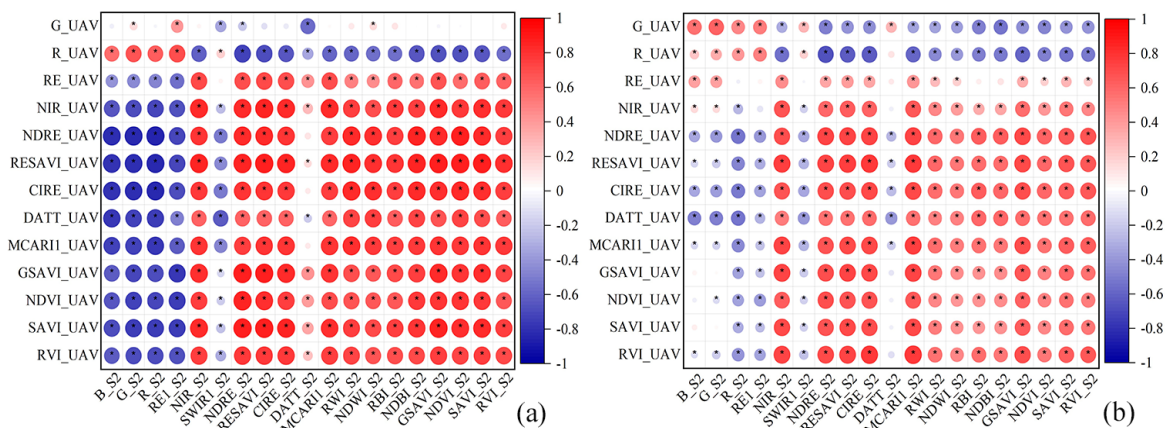
279 y_1 , y_2 in Fig. 4c represent the sampling points for the extraction of upscaled PNA from

280 the upscaled PNA maps.

281 3. Results

282 **3.1. The correlation of spectral data between UAV and S2 images**

283 The UAV images with 10 cm spatial resolution from experiments 1-6 were
 284 resampled (pixel aggregation) to grids that matched the S2 image resolution. Then, the
 285 band reflectance derived from the UAV and satellite sensor images were used to
 286 calculate the spectral indices in Table 3. A correlation analysis (Fig. 5a) between
 287 spectral data derived from the UAV images and the S2 images was performed at the
 288 jointing stage. The results showed that the G band from the UAV images, SWIR1 band
 289 and DATT vegetation index from the S2 images produced a relatively small correlation
 290 ($r < 0.50$), while most spectral bands and vegetation indices achieved a larger
 291 correlation between UAV and S2 spectral data ($r > 0.60$). The correlation between the
 292 UAV and S2 spectral data across the booting stage was generally smaller than that at
 293 the jointing stage. However, most vegetation indices produced a well correlation
 294 between the UAV and S2 spectral data at the booting stage (Fig. 5b). As a result, the
 295 relatively large correlation between the UAV and satellite sensor images can be the
 296 basis for the integration of UAV and S2 data.

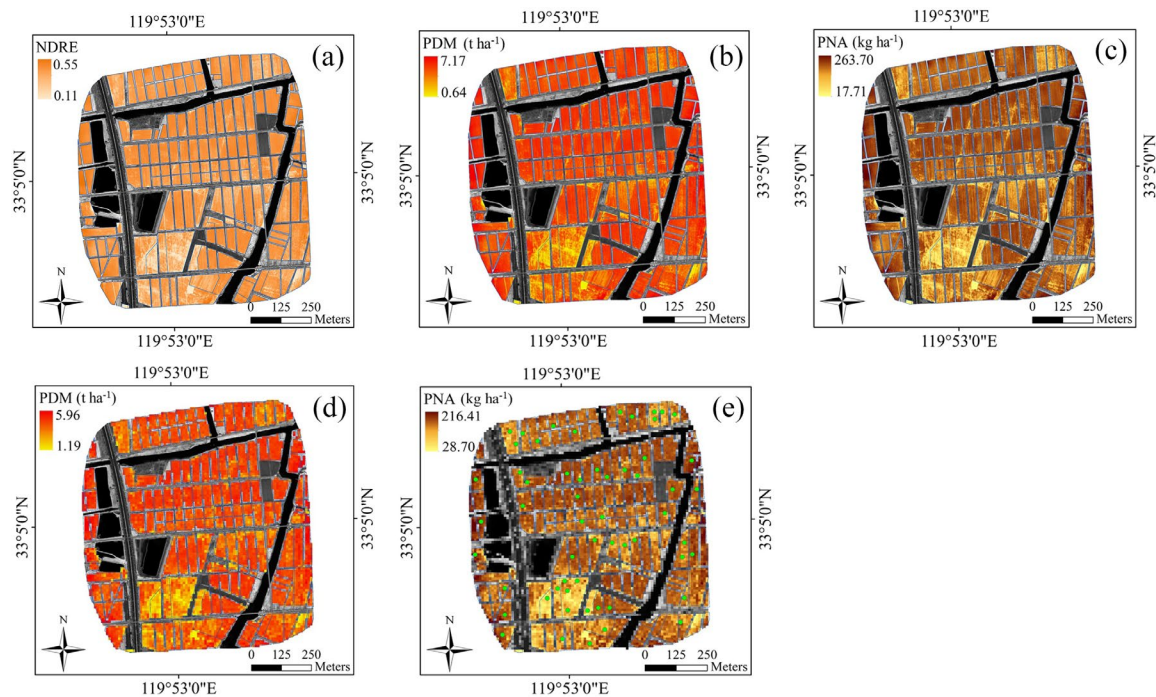


297

298 **Fig. 5.** The correlation (r) of spectral data: between UAV and S2 images at the jointing
299 (a) and booting (b) stages across experiments 1-6. Note: * means a significant difference
300 at the 0.05 probability level. The ‘Spectral band_UAV’ and ‘Vegetation index_UAV’
301 represent the spectral band and vegetation index, respectively, derived from the UAV
302 imagery. The ‘Spectral band_S2’ and ‘Vegetation index_S2’ represent the spectral band
303 and vegetation index, respectively, derived from the Sentinel-2 sensor imagery.

304 **3.2. Upscaling the PDM and PNA maps based on pixel aggregation**

305 Diaoyu farm in experiment 3 was used as an example. Seven vegetation indices
306 (Table 3) were calculated using the reflectance from the UAV multispectral images. Fig.
307 6(a) shows the NDRE (0.11-0.55) maps at the UAV image resolution (10 cm), revealing
308 a large variance in wheat growth across the whole farm. According to the UAV model
309 for PDM and PNA estimation from Jiang et al. (2022), the PDM (Fig. 6b; UAV-PDM)
310 and PNA (Fig. 6c; UAV-PNA) maps with 10 cm resolution were calculated based on
311 the seven vegetation indices extracted from the UAV images, which had a range of 0.64-
312 7.14 t ha⁻¹ and 17.71-263.70 kg ha⁻¹, respectively, at the jointing stage across Diaoyu
313 farm. Then, the UAV-PDM (UAV-PNA) maps were upscaled to grids with the same
314 spatial resolution as S2 images (10 m) based on the pixel aggregation. The upscaled
315 PDM (Fig. 6d) and PNA (Fig. 6e) maps had a range of 1.19-5.96 t ha⁻¹ and 28.70-216.41
316 kg ha⁻¹, respectively. Then, 57 sampling points were determined randomly to extract
317 the upscaled PDM and PNA values for calibrating the satellite estimation models.



318

319 **Fig. 6.** The NDRE map with (a) UAV (10 cm) image resolution; the PDM maps with
 320 (b) UAV (10 cm) and (d) S2 (10 m) image resolution; and the PNA maps with (c) UAV
 321 (10 cm) and (e) S2 (10 m) image resolution for Diaoyu farm in experiment 3 at the
 322 jointing stage. Note: the green points in Fig. 6(e) represent the randomly determined
 323 sampling points.

324 The statistical analysis was performed for the upscaled PDM and upscaled PNA at
 325 the jointing and booting stages in experiment 1-6. The results from the table 4 showed
 326 the upscaled PDM and upscaled PNA varied greatly across six experiments. The
 327 upscaled PDM ranged from 1.05 to 5.71 with the coefficient of variation (CV) of 46.05%
 328 at the jointing stage, and from 2.99 to 6.08 with the CV of 18.59% at the booting stage.
 329 Similarly, the upscaled PNA ranged from 24.93 to 136.39 with the CV of 40.18% at the
 330 jointing stage, and from 55.32 to 164.89 with the CV of 29.27% at the booting stage.
 331 The large variability in the upscaled PDM and PNA renders the dataset suitable to

332 evaluate the performance of using satellite remote sensing information to diagnose
 333 winter wheat N status.

334 Table 4 Descriptive statistics of upscaled plant dry matter (PDM) and plant N
 335 accumulation (PNA) at the jointing and booting stages across experiments 1-6.

Parameter	Growth stage	N	Min.	Max.	SD ^a	CV ^b (%)
Upscaled PDM (t ha ⁻¹)	Jointing	277	1.05	5.71	1.69	46.05
	Booting	240	2.99	6.08	0.92	18.59
Upscaled PNA (kg ha ⁻¹)	Jointing	277	24.93	136.39	34.22	40.18
	Booting	240	55.32	164.89	32.20	29.27

336 Note: SD^a indicates standard deviation of the mean; CV^b indicates coefficient of variation (%).

337 **3.3. PDM and PNA estimation based on the S2 satellite sensor images**

338 The PDM and PNA values were extracted from the upscaled PDM and PNA maps,
 339 respectively. Meanwhile, 13 vegetation indices (Table 3) were calculated based on the
 340 reflectance derived from the S2 images. Four ML methods were fitted to the
 341 relationship between the upscaled PDM, weather data and 13 spectral indices in the
 342 jointing and booting stages. The results from 10-fold cross-validation (Table 5)
 343 indicated that the RF method predicted PDM with a high accuracy among the four ML
 344 methods. The RF model based on the S2 images achieved an R^2 of 0.93 and 0.69, RMSE
 345 of 0.43 and 0.51 t ha⁻¹, and RE of 17.02% and 12.33% in the jointing and booting stage,
 346 respectively. Therefore, the RF method was selected as the PDM prediction model
 347 across experiments 1-6. Independent data from experiment 7 were used to validate the
 348 PDM prediction model based on the RF method. The results show that the PDM model
 349 based on the S2 images produced an R^2 of 0.65 and 0.42, RMSE of 0.71 and 0.59 t ha⁻¹

350 ¹, and RE of 33.85% and 11.65% in the jointing and booting stage, respectively (Table
351 6).

352 Similarly, the four ML methods were fitted to the relationships between the
353 upscaled PNA, weather data and spectral indices derived from the S2 images. The 10-
354 fold cross-validation shows that the RF method achieved accurate prediction of PNA
355 among the four ML methods (Table 5). The RF model had an R^2 of 0.77 and 0.60,
356 RMSE of 16.35 kg ha⁻¹ and 20.41 kg ha⁻¹, and RE of 24.52% and 20.69% in the jointing
357 and booting stage, respectively. Therefore, the RF method was selected as the PNA
358 prediction model across experiments 1-6. Independent data from experiment 7 were
359 used to validate the PNA prediction model using the RF algorithm. The results show
360 that PNA model based on the S2 images had an R^2 of 0.72 and 0.70, RMSE of 13.40
361 and 19.05 kg ha⁻¹, and RE of 31.54% and 15.15% at the jointing and booting stage,
362 respectively (Table 6).

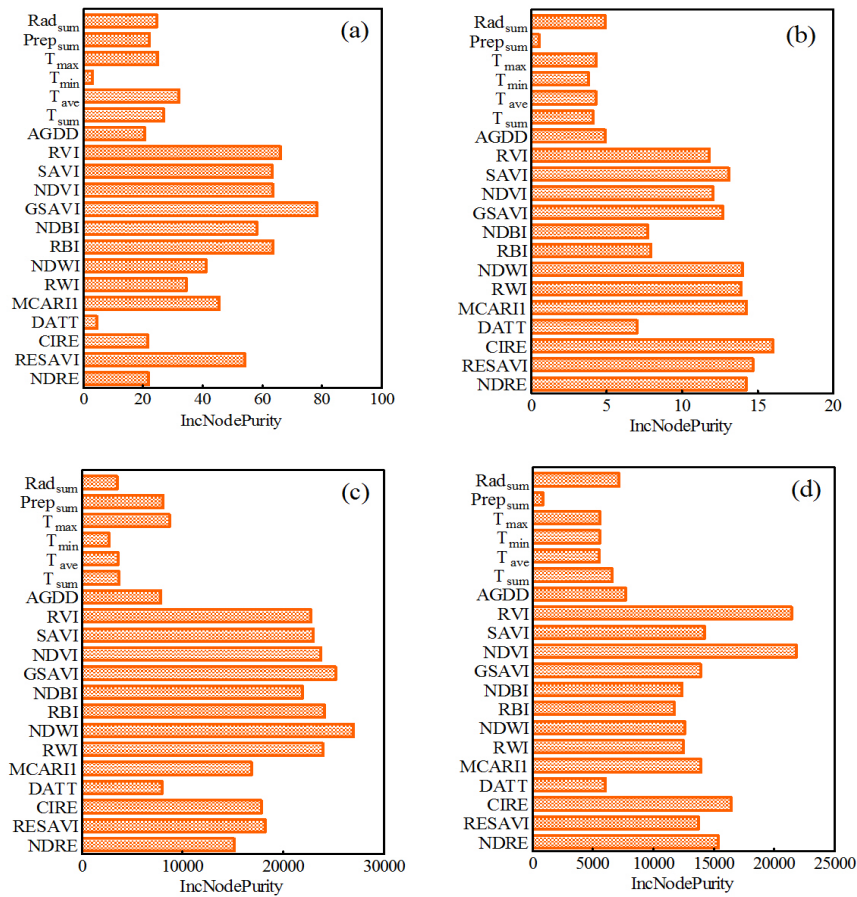
363 Based on the criterion of InNodePurity from the RF model, the relative importance
364 of each input parameter for PDM and PNA estimation can be evaluated (Fig. 7).
365 Generally, the spectral indices have a relatively higher importance than the weather
366 variables for predicting the PDM and PNA. The Rad_{sum}, T_{ave} and AGDD were more
367 important variables for PDM estimation among seven weather parameters at the
368 jointing and booting stages. The variables of T_{max} and AGDD performed a relatively
369 higher importance than other weather parameters for PNA estimation at the jointing and
370 booting stages.

371 Table 5 The 10-fold cross-validation results across experiments 1-6 using the four ML
 372 algorithms at the jointing and booting stages: for the relationship between PDM,
 373 weather data and 13 vegetation indices from S2 images; and for relationship between
 374 PNA, weather data and 13 vegetation indices from S2 images.

Parameter	Method	Jointing stage			Booting stage		
		R ²	RMSE	RE (%)	R ²	RMSE	RE (%)
PDM (t ha ⁻¹)	RF	0.93	0.43	17.02	0.69	0.51	12.33
	Lasso	0.93	0.44	17.1	0.67	0.53	12.64
	ANN	0.92	0.51	19.3	0.56	0.71	16.45
	PLSR	0.83	0.69	36.85	0.36	0.74	18.64
PNA (kg ha ⁻¹)	RF	0.77	16.35	24.52	0.60	20.41	20.69
	Lasso	0.72	18.11	27.31	0.52	22.31	22.27
	ANN	0.63	23.05	30.74	0.42	23.90	25.95
	PLSR	0.61	21.38	35.67	0.34	25.93	26.06

375 Table 6 Independent validation results of optimal PDM and PNA prediction model
 376 using the field data from experiment 7 at the jointing and booting stages.

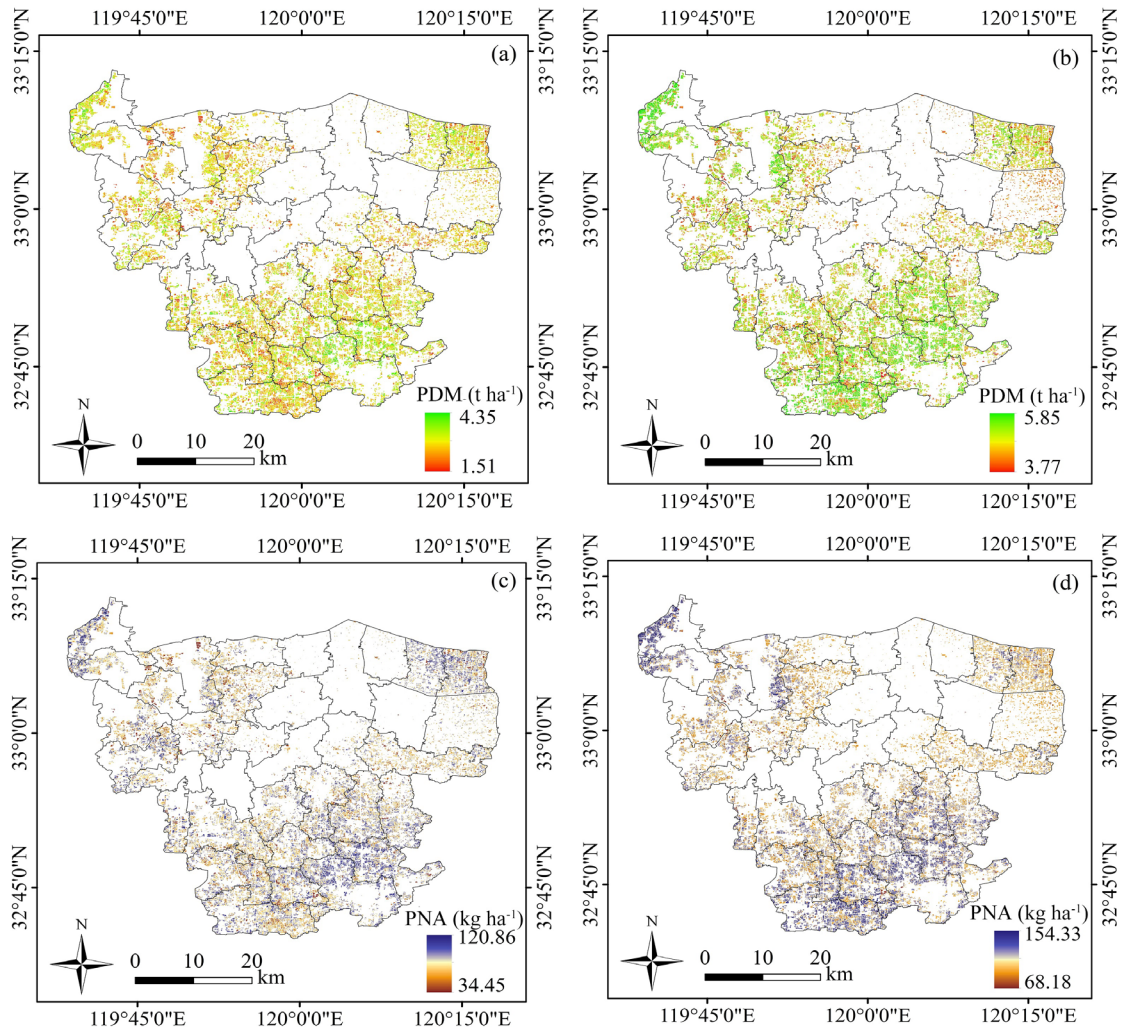
Parameter	Jointing stage			Booting stage		
	R ²	RMSE	RE (%)	R ²	RMSE	RE (%)
PDM (t ha ⁻¹)	0.65	0.71	33.85	0.42	0.59	11.65
PNA (kg ha ⁻¹)	0.72	13.40	31.54	0.70	19.05	15.15



377

378 **Fig. 7.** The importance (IncNodePurity) value of each input parameter from the RF
 379 model for PDM estimation at the (a) jointing and (b) booting stages; for PNA prediction
 380 at the (c) jointing and (d) booting stages.

381 The above analysis showed the model based on the S2 satellite images performed
 382 an accurate prediction for wheat PDM and PNA. Therefore, the S2 estimation model
 383 was used to estimate the PDM and PNA at the county scale. The Fig. 8 showed the
 384 wheat PDM value had a range of 1.51-4.35 and 3.77-5.85 t ha⁻¹ in the jointing (Fig. 8a)
 385 and booting (Fig. 8b) stage, respectively; while the PNA value had a range of 34.45-
 386 120.86 and 68.18-154.33 kg ha⁻¹ in the jointing (Fig. 8c) and booting (Fig. 8d) stage,
 387 respectively, across the Xinghua county in 2020-2021.



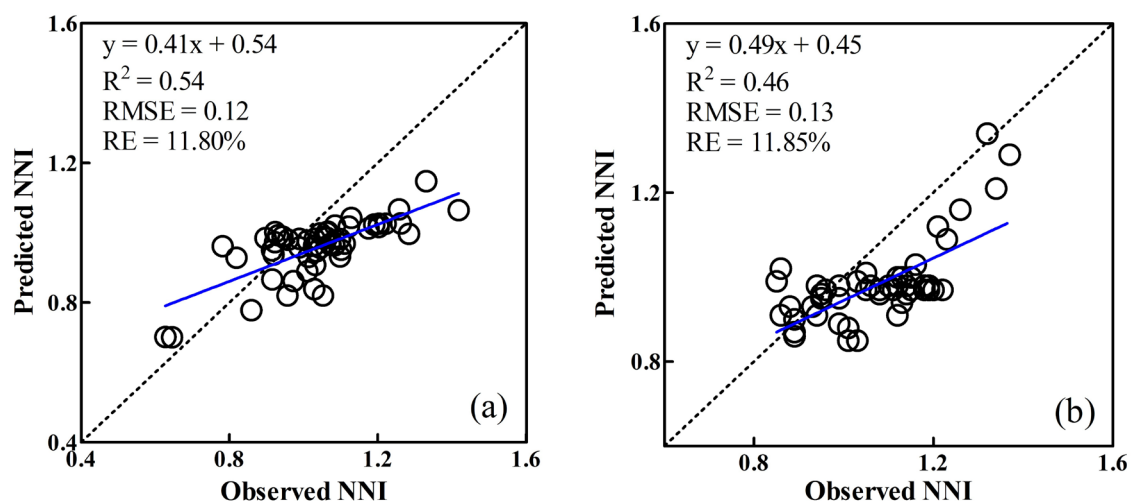
389

390 **Fig. 8.** Maps of PDM at the (a) jointing and (b) booting stages and PNA at the
 391 jointing and (d) booting stages based on S2 images in 2020-2021 across Xinghua county.

392 3.4. N nutrition diagnosis based on NNI at the county scale

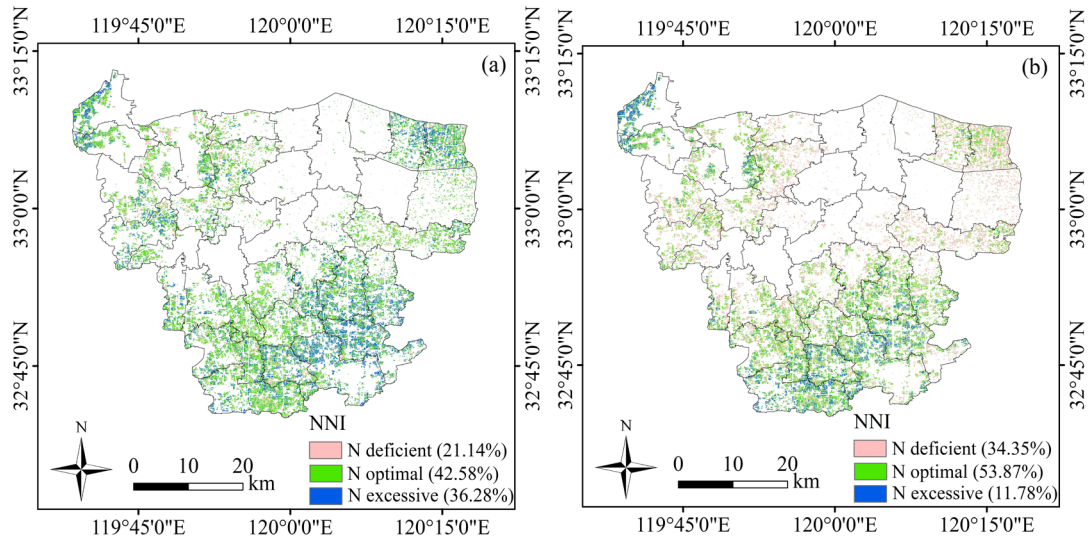
393 After the PDM and PNA were predicted, PNA_c was calculated from the predicted
 394 PDM and N_c . Then, the NNI was derived as predicted PNA/ PNA_c . Compared to the
 395 observed values of NNI from experiment 7, the results show the NNI estimation model
 396 based on the S2 images had an R^2 of 0.54 and 0.46, RMSE of 0.12 and 0.13, and RE of
 397 11.80% and 11.85% in the jointing (Fig. 9a) and booting (Fig. 9b) stage, respectively.

398 The model based on the S2 images was used to predict the NNI at the county scale.
 399 According to the optimal NNI diagnosis interval of 0.92-1.04 and 0.97-1.15 in the
 400 jointing and booting stage, respectively. Fig. 10a shows that the wheat N status had
 401 areas of 21.14%, 42.58%, and 36.28% in the N deficient, optimal and excessive
 402 categories, respectively, at the jointing stage; while the proportions of N deficient,
 403 optimal and excessive category were 34.35%, 53.87% and 11.78%, respectively, at the
 404 booting stage (Fig. 10b) for Xinghua county in 2020-2021.



405

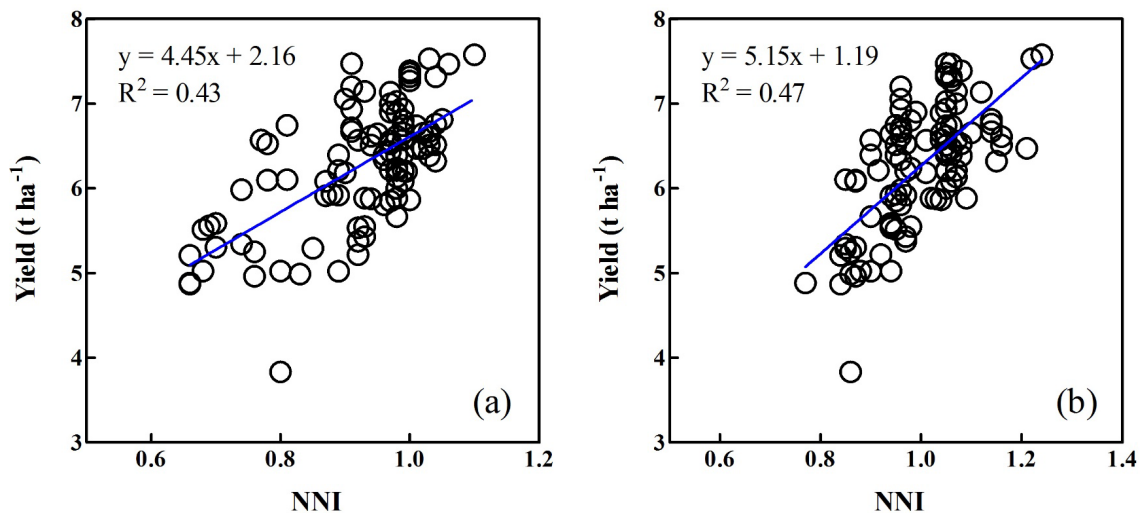
406 **Fig. 9.** Independent validation results of NNI prediction model using the field data from
 407 experiment 7 at the jointing (a) and booting (b) stages. Note: the blue line in the figure
 408 indicates the regression line.



409

410 **Fig. 10.** The N diagnosis maps based on S2 images at the (a) jointing and (b) booting
 411 stages across the Xinghua county scale in 2020-2021.

412 To further evaluate the performance of the satellite-based models and NNI
 413 diagnosis maps in experiment 7, a linear relationship was established between grain
 414 yield and predicted NNI derived from the NNI estimation model and N diagnosis map
 415 of Xinghua county (Fig. 11). The results indicated that the correlation between wheat
 416 yield and predicted NNI has R^2 of 0.43 and 0.47 in the jointing (Fig. 11a) and booting
 417 (Fig. 11b) stage, respectively.



418

419 **Fig. 11.** The linear relationship between wheat yield and predicted NNI from the N
420 diagnosis maps of the Xinghua county at the jointing (a) and booting (b) stage in 2020-
421 2021.

422 **4. Discussion**

423 **4.1. Closing the gap between the field observation and satellite data based on the** 424 **fine resolution UAV images**

425 Crop growth observation is relatively simple at the field scale, but the laborious
426 plant measurement across large areas is more challenging. Therefore, satellite remote
427 sensing can play a significant role for sampling crop information across large areas
428 (Guay et al., 2014). Single or multiple field measurement methods are commonly
429 used to calibrate satellite-based models for crop growth monitoring, which is time-
430 consuming in terms of non-destructive plant sampling. Meanwhile, it is difficult to
431 match the satellite sensor images and field observations at the same spatial resolution
432 (Huang et al., 2017). Fine-resolution UAV images offer the possibility for producing
433 crop growth maps at multiple scales, hence providing a much needed link between
434 field and satellite sensor data. Previous studies showed that tundra vegetation can be
435 classified based on UAV RGB ortho-mosaics in the arctic, which were further
436 converted to Planet (3 m), S2 (10 m, 20 m) and Landsat 8 (30 m) image grids to train
437 satellite-based models for vegetation cover monitoring (Riihimäki et al., 2019). The
438 UAV model of Jiang et al. (2022) achieved a high accuracy for predicting wheat PDM
439 ($R^2 = 0.69-0.93$) and PNA ($R^2 = 0.83-0.84$) at the farm scale. Therefore, the PDM

440 (0.64-7.17 t ha⁻¹) and PNA (17.71-263.70 kg ha⁻¹) values at Diaoyu farm of
441 experiment 3 can be derived from the UAV prediction model (Fig. 6b and 6c,
442 respectively), showing visually the large variability of wheat growth across the whole
443 farm. Furthermore, the PDM maps (0.64 -7.17 t ha⁻¹) with UAV image resolution
444 were upscaled to the S2 image resolution, while the range of PDM decreased with an
445 increase in pixel size, as expected. The upscaled PDM maps with 10 m resolution had
446 values of 1.19-5.96 t ha⁻¹. This aggregation effect arises as part of the well-known
447 Modifiable Areal Unit Problem (MAUP). Previous studies indicated that the
448 phenomenon will arise when finer resolution data are aggregated to coarser spatial
449 resolution (Dark and Bram, 2007), and similar results were demonstrated by
450 Riihimäki et al. (2019). The UAV-PDM (PNA) values with large variability over the
451 farm can be used as reference data for satellite-based model construction. Revill et al.
452 (2020) derived wheat LAI maps from the UAV model, which were then upscaled to
453 S2 grids to train satellite-based estimation models, similar to this study. The co-
454 registration error caused by the GPS deviation between the UAV-derived maps and
455 satellite sensor images should be considered during the analysis process. Therefore,
456 a certain number of control points were set at each farm to calibrate the UAV ortho-
457 mosaics to ensure the accuracy of geographic location. Additionally, more UAV and
458 satellite sensor images from different cultivars and eco-sites should be collected to
459 construct robust models for crop growth prediction and N diagnosis.

460 **4.2. Wheat growth prediction and N diagnosis models based on satellite multi-**
461 **spectral information and weather variables**

462 Previous studies demonstrated that multi-source information based on spectral
463 indices can increase the accuracy of crop growth and N status prediction, while ML has
464 been found highly suitable for integrating multi-source data (Wang et al., 2021). In this
465 research, four ML methods were used to combine satellite spectral indices and weather
466 data. The RF performed most accurately for predicting PDM ($R^2 = 0.42-0.65$) and PNA
467 ($R^2 = 0.70-0.72$), which are comparable to the results of Jiang et al. (2022) who also
468 increased the accuracy of PDM ($R^2 = 0.52-0.68$) and PNA ($R^2 = 0.67-0.82$) prediction
469 with the integration of UAV spectral indices, weather and field management data based
470 on the RF method. Nevertheless, the field management data such as N application rates
471 were not considered in this research due to the difficulty of determining it in the
472 different fields over large areas. Although the weather variables play a relatively low
473 importance for PDM and PNA estimation (Fig. 7). However, the changes for radiation
474 and precipitation were reported to affect the crop growth and N nutrition status through
475 adjusting ambient conditions such as air humidity and temperature, which can influence
476 plant stomatal conductance, water status and other physiological functions that control
477 the plant root N absorption and transfer (Naylor et al., 2020; Nonhebel, 1994).
478 Temperature information like AGDD performed a relatively high importance for PDM
479 and PNA estimation (Fig. 7), which may due to the AGDD represent the heat
480 accumulation during the crop growth period, and directly affect to plant growth rate and
481 phenological process (Santos et al., 2021; Zhou et al., 2020). Similar research was
482 conducted by Wang et al., 2021 who integrate the ground sensing information and
483 weather variables such as accumulated precipitation and growing degree day for

484 accurately monitoring the maize NNI and grain yield at V8-V9 growth stage.
485 Additionally, six bands (B, G, R, RE, NIR and SWIR) extracted from the S2 images
486 were used to construct the prediction model in this study. Abundant spectral information
487 was demonstrated to be more representative for characterizing crop growth and N
488 nutrition (Verrelst et al., 2012; 2015). Li et al. (2021) also indicated the integration of
489 multi-source information from S2 images increased the accuracy of chlorophyll
490 prediction across typical lakes in China, similar with those presented in this study.
491 Several studies indicated RF method exhibits a significant performance to integrate the
492 multi variables for predicting plant biomass, leaf area index, and N concentration in
493 wheat, rice, and soybean crops (Muharam et al., 2021; Liang et al., 2018; Maimaitijian
494 et al., 2020). During the RF model construction, multiple sample sub-sets can be
495 obtained from the original sample sets using the bootstrap re-sampling method, while
496 each sample subset was used to construct an independent decision tree for model
497 prediction. Therefore, the fusion of predictions from multiple decision trees was used
498 as the final results of RF models. The specificity of re-sampling and multiple decision
499 trees was demonstrated to well process the outliers during the model construction and
500 improve the model prediction accuracy (Svetnik et al., 2003).

501 The PDM and PNA prediction accuracies at the booting stage ($R^2 = 0.34-0.69$)
502 were lower than at the jointing stage ($R^2 = 0.61-0.93$), which may be due to the influence
503 of wheat canopy closure at the later growth stages (Cao et al., 2015). At the booting
504 stage, the winter wheat grows strongly and all leaves are grown out from the plant.
505 Meanwhile, the top leaves shelter the lower leaves and stem, which limits detection of

506 the whole plant using spectral sensors. Other researchers also demonstrated this similar
507 phenomenon when estimating aboveground biomass and N uptake of rice and wheat
508 leaf area index during the later growth stages (Cao et al., 2013; Zhang et al., 2019). The
509 harvest yield validation for the NNI prediction model and N diagnosis maps achieved
510 a comparable result at the jointing ($R^2 = 0.43$) and booting ($R^2 = 0.47$) stages, which
511 was similar with results from Crema et al. (2020) who demonstrated a relationship
512 between S2 image-derived NNI and maize yield with a correlation coefficient r of 0.6.
513 During the practical production, more factors affected the crop growth and yield
514 formation, including fertilizers other than N, water status, soil nutrition, and insect pests
515 and weeds, etc. These useful information should also be considered in model
516 construction to increase the diagnosis accuracy in future study.

517 **4.3. The potential for Sentinel 2 to diagnose crop N status across large areas**

518 Satellite remote sensing has great potential for predicting crop growth across large
519 areas due to the larger sampling extent than ground-based and aerial spectral sensing
520 systems (Zhang et al., 2020). Previous studies used satellite sensor images for applied
521 predicting crop LAI, aboveground biomass and N content in wheat at the farm scale (Li
522 et al., 2019; Fabbri et al., 2020), while not for diagnosing N status across larger areas
523 such as a county. In this research, S2 images with swath widths of 240 km were
524 demonstrated to provide large area crop information compared to the small image swath
525 widths of, for example, the Planet mission (24.6 km; Li et al., 2019). Generally, the fine
526 spatial resolution images were demonstrated to involve more crop information than at
527 coarser resolution. However, this also necessitates complex calculations during data

528 analysis when used for practical applications. On the other hand, coarse spatial
529 resolution images such as from Landsat (30 m) cannot detect field heterogeneity,
530 especially as most of the fields studied here are approximately 40 m wide. Thus,
531 medium resolution sensors such as Landsat are not suitable for developing crop
532 management strategies in each field (Huang et al., 2017) . In this regard, it was implicit
533 that the S2 images with medium-fine resolution were more feasible for characterising
534 crop N nutrition status and guiding N nutrition management at the county scale. Areas
535 of 36.28% and 21.14% belong to the N excessive and deficient categories, respectively,
536 at the jointing stage, which means less and more N demand, respectively, compared to
537 the optimal N status (Fig. 10a). However, an area of 34.35% of the N deficient category
538 was found at the booting stage, which may due to inappropriate topdressing N
539 application by farmers across the whole county (Fig. 10b). Therefore, a suitable N
540 regulation algorithm should be developed to adjust N topdressing rates on the basis of
541 farmers' N management at the jointing stage. Previous studies showed the PNMS
542 supported by UAV remote sensing data optimized crop growth and improved the NUE
543 for wheat production (Argento et al., 2021), while the relevant PNMS based on satellite
544 sensor images should also be developed and applied at the county scale. The crop
545 growth stage may vary over such a large area, and it is unwise to apply the same
546 management strategy to crops under different growth stages. As a result, the influence
547 of the growth stage should be considered for crop N status diagnosis and regulation.
548 Previous studies demonstrated that the synthetic aperture radar (SAR) and optical time-
549 series data derived from the satellite and UAV remote sensing systems have been used

550 for accurately tracking the crop phenological phrase in rice, winter wheat, maize and
551 soybean (Diao et al., 2021; Liu et al., 2022; Guo et al., 2022; Zhao et al., 2022).
552 Therefore, the integration of crop phenology estimation technology and N management
553 strategy may better facilitate to most precisely diagnose the crop N status and determine
554 the optimal N recommendation rates at the optimal growth stages, which would be
555 significant for improving the crop growth and increasing the N use efficiency. However,
556 the difference in growth period for winter wheat was not more than 5 days in Xinghua
557 county according to the survey. Therefore, the growth stages were regarded as uniform
558 across the whole county and the influence of growth stage was not considered during
559 model construction in this study. Nevertheless, it should be considered for larger areas
560 in future studies.

561 **5. Conclusion**

562 This research demonstrated the farm-scale PDM (UAV-PDM) and PNA (UAV-
563 PNA) maps derived from fine-resolution UAV images can be aggregated to grids that
564 match the S2 satellite image resolution to calibrate satellite-based models for wheat
565 growth and N status estimation. Meanwhile, the results indicated that the S2 imagery-
566 derived model based on the RF algorithm produced a high accuracy for predicting the
567 PDM, PNA and NNI in the jointing and booting stages. Thereby, wheat growth and N
568 nutrition status were mapped across Xinghua county, China. We conclude that the
569 combination of UAV and satellite images can be used to diagnose and map wheat
570 growth and N status across wide areas (the county scale).

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577 **CRedit authorship contribution statement**

578 **Jie Jiang:** Conceptualization, Methodology, Formal analysis, Software, Formal
579 analysis, Investigation, Writing–original draft, Visualization. **Peter M. Atkinson:**
580 Methodology, Writing–review & editing. **Chunsheng Chen:** Methodology,
581 Investigation. **Qiang Cao:** Methodology, Investigation. **Yongchao Tian:** Methodology,
582 Writing–review & editing. **Yan Zhu:** Investigation, Writing–review & editing.
583 **Xiaojun Liu:** Conceptualization, Methodology, Supervision, Funding acquisition,
584 Writing–review & editing. **Weixing Cao:** Supervision, Funding acquisition,
585 Writing–review & editing.

586 **Declaration of Competing Interest**

587 The authors declare that they have no known competing financial interests or personal
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592 **Conflicts of Interest**

593 The authors declare no conflict of interest.

594 **Reference**

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