1 TORSION FREE ENDOTRIVIAL MODULES FOR FINITE GROUPS 2 OF LIE TYPE

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ABSTRACT. In this paper we determine the torsion free rank of the group of endotrivial modules for any finite group of Lie type, in both defining and non-defining characteristic. Equivalently, we classify the maximal rank 2 elementary abelian ℓ subgroups in any finite group of Lie type, for any prime ℓ . This classification may be of independent interest.

1. INTRODUCTION

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Endotrivial modules play a significant role in the modular representation theory of 5 finite groups; in particular, they are the invertible elements in the Green ring of the 6 stable module category of finitely generated modules for the group algebra. Tensoring 7 with an endotrivial module is a self equivalence of the stable module category and 8 these operations generate the Picard group of self equivalences of Morita type in this 9 category. The endopermutation modules, defined for finite groups of prime power 10 order, are the sources of the irreducible modules for large classes of finite groups, and 11 these endopermutation modules are built from the endotrivial modules. 12

Let G be a finite group and let k be a field of prime characteristic ℓ that divides the 13 order of G. A finitely generated kG-module M is endotrivial if its k-endomorphism 14 ring $\operatorname{Hom}_k(M, M)$ is the direct sum of a trivial module and a projective module. The 15 isomorphism classes in the stable category of such modules form an abelian group 16 T(G) under the tensor product \otimes_k , where $M \otimes_k N$ is equipped with the diagonal G-17 action. The group has identity [k] and the inverse to a class [M] is the class $[M^*]$, 18 where M^* is the k-dual of M. As T(G) is finitely generated it is isomorphic to the 19 direct sum of its torsion subgroup TT(G), and a finitely generated torsion free group 20 TF(G) = T(G)/TT(G). We define the torsion free rank of T(G) to be the rank of 21 TF(G) as a Z-module. In [29], the second author used homotopy theory to describe 22 TT(G), tying the structure of TT(G) to that of G itself, and in doing so, he also proved 23 a conjecture by the first author and Thévenaz [16]. In a forthcoming article [12], we 24 will provide a description of the torsion subgroup TT(G) for G a finite group of Lie 25 type for all primes, using homotopy theoretic methods. For more information on the 26

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history and applications of endotrivial modules, see the survey papers [11, 40], and thebook by the third author [33].

We recall that, for any finite group G, there is a distinguished element in T(G), namely the class of the shift of the trivial module, defined to be the kernel of the map from a projective cover of k to k. It is easily verified to be endotrivial. Moreover, by elementary homological algebra, the class of this element has infinite order in TF(G)if and only if G contains a subgroup isomorphic to $\mathbb{Z}/\ell \times \mathbb{Z}/\ell$.

⁸ Our main theorem of this paper determines the rank of TF(G) for G any finite group ⁹ of Lie type of characteristic p. We show that it is generated by the class of the shift of ¹⁰ the trivial module except in a few low-rank cases, that we describe explicitly. Before ¹¹ stating the precise version of the main theorem, we need to make clear what we mean ¹² by a finite group of Lie type.

13 Definition 1.1 (Finite group of Lie type). By a *finite group of Lie type* in characteristic 14 p we mean a group $G = \mathbb{G}^F$ for \mathbb{G} a connected reductive algebraic group over an 15 algebraically closed field of characteristic p, and F a Steinberg endomorphism, i.e., an 16 endomorphism of \mathbb{G} such that F^s is a standard Frobenius map F_q , for $q = p^r$ and some 17 $s, r \geq 1$.

This definition is a bit more general than that of [32, Definition 21.6] in that we only assume \mathbb{G} to be reductive instead of semisimple. For example, this includes the classical group $\operatorname{GL}_n(q)$. We now present our main theorem:

Theorem A. Let G be a finite group of Lie type in characteristic p as in Definition 1.1. The group TF(G) of torsion free endotrivial modules over a field of characteristic ℓ , with $\ell \mid |G|$, is zero or infinite cyclic generated by the class of the shift of the trivial module, except when G is on the following list:

25 (1) $\ell \neq p$ and $G \cong H \times K$, where $\ell \nmid |K|$, and H is either

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(a) $\operatorname{PGL}_{\ell}(q)$ with $\ell \mid q-1$, (b) $\operatorname{PGU}_{\ell}(q)$ with $\ell \mid q+1$, or

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(c) ${}^{3}D_{4}(q)$ with $\ell = 3$.

29 (2) $\ell = p \text{ and } G/Z(G)$ is either $PSU_3(p)$ for $p \ge 3$ and $3 \mid p+1$, $PSL_3(p)$ for $p \ge 2$, 30 $PGL_3(p)$ for $p \ge 2$, $PSpin_5(p)$ for $p \ge 5$, $SO_5(p)$ for $p \ge 5$, or $G_2(p)$ for $p \ge 7$.

In case (1), $TF(G) \xrightarrow{\cong} TF(H)$ has rank 3 if $H \cong PGL_{\ell}(q)$ or $PGU_{\ell}(q)$ and $\ell > 2$, and rank 2 if $\ell = 2$ or $H \cong {}^{3}D_{4}(q)$; see Theorems 3.1 and 6.1. In case (2) the ranks are listed in the tables in Section 7; see Theorem 7.1.

The quotient groups G/Z(G) occurring above as the classical groups $PSL_3(p) =$ 34 $SL_3(p)/C_3$, $PSU_3(p) = SU_3(p)/C_3$, and $PSpin_5(p) = Spin_5(p)/C_2$ are in fact not them-35 selves finite groups of Lie type; see Remark 2.5 and Section 5 for more about this sub-36 tlety. Section 5 also contains analogous results for all groups of the form $\mathbb{G}^F/Z(\mathbb{G}^F)$, 37 for simply connected simple \mathbb{G} , i.e., the *finite simple groups* associated to finite groups 38 of Lie type. Special cases of the above results can be found in [13, 14, 15]. Note that 39 40 the rank of TF(G) depends on the characteristic ℓ of k, but not on the finer structure of k. 41

An elementary abelian ℓ -subgroup of G is a subgroup isomorphic to an \mathbb{F}_{ℓ} -vector space. Its ℓ -rank is its \mathbb{F}_{ℓ} -vector space dimension. The ℓ -rank of G, denoted $\mathrm{rk}_{\ell}(G)$, 1 is the maximum of the ℓ -ranks of elementary abelian ℓ -subgroups of G. The groups in 2 (1a) and (1b) of Theorem A have ℓ -rank $\ell - 1$ when ℓ is odd, while all other groups 3 listed in (1) and (2) have ℓ -rank 2.

By a well-known correspondence, recalled in Theorem 1.2 below, our main result 4 translates into a purely local group theoretic statement, Theorem B, which is in 5 fact what we prove. Let $\mathcal{A}_{\ell}^{\geq 2}(G)$ denote the poset of noncyclic elementary abelian 6 ℓ -subgroups of G, ordered by subgroup inclusion. We say that an elementary abelian 7 ℓ -subgroup of G is maximal if it is maximal in $\mathcal{A}_{\ell}^{\geq 2}(G)$, i.e., if it is not properly contained 8 in any other elementary abelian subgroup of G. The poset $\mathcal{A}_{\ell}^{\geq 2}(G)$ has a G-action by 9 conjugation, and we can also consider the orbit space $\mathcal{A}_{\ell}^{\geq 2}(G)/G$. For any poset X, we 10 can define its set of connected components $\pi_0(X)$, as equivalence classes of elements 11 generated by the order relation, and note that, for a G-poset, $\pi_0(X)/G \xrightarrow{\cong} \pi_0(X/G)$. 12 The following theorem states the correspondence. 13

Theorem 1.2 ([1, Theorem 4] [13, Theorem 3.1]). For any finite group G and prime ℓ dividing the order of G, the rank of the group TF(G) is equal to the number of connected components of the orbit space $\mathcal{A}_{\ell}^{\geq 2}(G)/G$. This number is 0 if $\mathrm{rk}_{\ell}(G) = 1$; it is equal to the number of conjugacy classes of maximal elementary abelian ℓ -subgroups in G if $\mathrm{rk}_{\ell}(G) = 2$; and it is equal to 1 more than the number of conjugacy classes of maximal elementary abelian ℓ -subgroups of rank 2, if $\mathrm{rk}_{\ell}(G) > 2$.

The theorem above is Alperin's [1] original calculation of the torsion free rank of T(G) in the case that G is a finite ℓ -group. The proof for arbitrary finite groups is given in [13] and uses very different methods. With this dictionary in place, we can state a local group theoretic version of our main result:

Theorem B. Let G be a finite group of Lie type in characteristic p (see Definition 1.1) and ℓ an arbitrary prime.

- (1) If $\operatorname{rk}_{\ell}(G) > 2$, then G does not have a maximal elementary abelian ℓ -subgroup of rank 2, unless $\ell > 3$, $\ell \neq p$, and G has the form given in Theorem A(1a) or (1b) (where $\operatorname{rk}_{\ell}(G) = \ell - 1$).
- 29 (2) If $\operatorname{rk}_{\ell}(G) = 2$, then all elementary abelian ℓ -subgroups of G of rank 2 are con-30 jugate unless G has the form given in Theorem A(2), in Theorem A(1c), or in 31 Theorem $A(1a)(1b), \ell \leq 3$.

To provide additional context to Theorem B, recall that G can only have a maximal 32 elementary abelian ℓ -subgroup of rank 2 when $\operatorname{rk}_{\ell}(G) \leq \ell$ for ℓ odd, and $\operatorname{rk}_{2}(G) \leq 4$ 33 when $\ell = 2$, by a theorem of Glauberman–Mazza [24] and MacWilliams [31] (restated 34 as Theorem 2.3). Theorem B pins down exactly the cases where this does in fact 35 occur for finite groups of Lie type. The study of elementary abelian ℓ -subgroups of \mathbb{G} 36 and \mathbb{G}^F has a long history, with close relationship to cohomology and representation 37 theory; see e.g., [6, 7, 34, 35, 39]. When $\ell \neq p$, conjugacy classes of elementary abelian 38 ℓ -subgroups of G identify with those of the corresponding complex reductive algebraic 39 40 group, or compact Lie group (see [3, Section 8]). In fact, they only depend on the ℓ local structure as encoded in the ℓ -compact group $(B\mathbb{G})_{\ell}^{2}$ obtained by ℓ -completing the 41 classifying space $B\mathbb{G}$ in the sense of homotopy theory [28]. Similarly, the elementary 42 abelian ℓ -subgroups of G are determined by BG_{ℓ} , an ℓ -local finite group [9] describable 43

from the action of F on $B\mathbb{G}_{\ell}$; see e.g., [30, Appendix C] for a summary. The question 1 of existence of maximal rank 2 elementary abelian ℓ -subgroups can thus be asked more 2 generally in the context of homotopy finite groups of Lie type, i.e., homotopy fixed-3 points of Steinberg endomorphisms on connected ℓ -compact groups [10, 30]. In fact we 4 expect Theorem B to generalize to this setting, with the same conclusion, as simple 5 ℓ -compact groups not coming from a compact connected Lie group are centerless and 6 have a unique maximal elementary abelian ℓ -subgroup (see [3, Theorems 1.2 and 1.8] 7 and [2, Theorem 1.1]). We do not pursue the details here, but see Remark 3.4. 8

9 One may similarly wonder if TF(G) of Theorem A only depends on the ℓ -local 10 structure in the stronger sense that if $H \to G$ induces an isomorphism of ℓ -fusion 11 systems, is the map $TF(G) \to TF(H)$ an isomorphism? That question, however, has 12 a negative answer in general, and we need to replace ℓ -fusion by a stronger ℓ -local 13 invariant [5].

14 Structure of the paper. Section 2 collects background results needed later, including 15 the aforementioned general Theorem 2.3 that gives conditions on $\operatorname{rk}_{\ell}(G)$ ensuring no 16 maximal elementary abelian ℓ -subgroups of rank 2.

In Sections 3–7, we determine TF(G) when $G = \mathbb{G}^F$, and \mathbb{G} is simple. The cases when $3 \leq \ell \neq p$ are handled in Sections 3 and 4. In many cases it is known that the orbit space $\mathcal{A}_{\ell}^{\geq 2}(G)/G$ is connected (see [27, Section 4.10]). This allows us to reduce to examining some groups of small Lie rank, in Proposition 3.3, and these are then analyzed in Section 4. In Section 5, we extend the results of the previous sections to also compute TF(G), for G a group closely associated to a group of Lie type such as $PSL_n(q)$ or $PSp_n(q)$, in the case that $\ell \geq 3$.

The case where $2 = \ell \neq p$ is handled in Section 6. Section 7 investigates the final case when $\ell = p$, extending work in [13]. In the case that $\ell = 2$ the associated groups are included in the analysis of Section 6.

Finally, in Section 8, we prove Theorems A and B in the general case where G is a connected reductive algebraic group.

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35	2. Preliminaries
36	Throughout the paper G is finite group (maybe subject to more assump-
37	tions, specified locally) and k is a field of some positive characteristic
38	ℓ , dividing the order of G.

In this section we provide some background material used throughout this paper.

Definition 2.1. A finitely generated kG-module M is *endotrivial* if $\text{Hom}_k(M, M) \cong$ **4** $k \oplus P$ where P is a projective kG-module and k is the trivial kG-module. Thus, **4** Hom $(M, M) \cong k$ in the stable category of kG modules module projectives. The set

42 Hom_k $(M, M) \cong k$ in the stable category of kG-modules modulo projectives. The set

1 T(G) of stable isomorphism classes of endotrivial kG-modules forms a group under 2 $-\otimes_k -$, called the group of endotrivial kG-modules.

Recall that in this context, $\operatorname{Hom}_k(M, M) \cong M^* \otimes_k M$ as kG-modules, and therefore the endotrivial modules are the invertible objects under tensor product in the stable module category of kG-modules modulo projectives.

6 The group T(G) is a finitely generated abelian group ([13, Corollary 2.5]) hence 7 $T(G) \cong TT(G) \oplus TF(G)$, for TT(G) the torsion subgroup of T(G), a finite group, and 8 TF(G) = T(G)/TT(G), a finitely generated free abelian group. In Theorem 1.2, the 9 rank of TF(G) is stated to be equal to the number of conjugacy classes of maximal 10 elementary abelian ℓ -subgroups of G of rank 2 if $rk_{\ell}(G) = 2$, or that number plus 1 in 11 case $rk_{\ell}(G) > 2$.

12 We start with a few elementary but useful observations.

13 Lemma 2.2. Let P be a finite ℓ -group.

- (a) If P has a normal elementary abelian l-subgroup H of l-rank l+1 or more,
 then P has no maximal elementary abelian subgroups of rank 2.
- (b) If P has l-rank 2 and the center of P is not cyclic, then P has exactly one
 maximal elementary abelian subgroup with l-rank 2.
- (c) If P has l-rank at least 3 and the center of P is not cyclic, then P has no maximal elementary abelian subgroups of l-rank 2.

Proof. The proofs of parts (b) and (c) are straightforward. For (a), let x be a noncentral 20 element of P of order ℓ . If $x \in H$, then $C_P(x) \geq H$ has ℓ -rank at least 3 by assumption 21 and the statement holds. If $x \notin H$, then the conjugation action of x on H can be 22 regarded as a linear action on an \mathbb{F}_{ℓ} -vector space of dimension at least $\ell + 1$, and 23 therefore must have at least two linearly independent eigenvectors for the eigenvalue 1. 24 That is, conjugation by x fixes two nontrivial distinct generators of H in some suitable 25 generating set, and since $x \notin H$, we conclude that the subgroup of P generated by 26 x and these two elements is elementary abelian of rank 3. So x is not contained in a 27 maximal elementary abelian subgroup of P of rank 2, and part (a) follows. 28

For our analysis, we employ results of Glauberman–Mazza and MacWilliams that guarantee, under suitable conditions on the ℓ -rank of the finite group G, that the group has no maximal elementary abelian ℓ -subgroups of rank 2. The sectional ℓ -rank of a group G is the maximal ℓ -rank of any section of G. A section of G is the quotient of a subgroup of G by a normal subgroup of that subgroup.

Theorem 2.3. Let G be a finite group and let ℓ be a prime.

- (a) [24, Theorem A] If $\ell \geq 3$ and $\operatorname{rk}_{\ell}(G) \geq \ell+1$, then G has no maximal elementary abelian ℓ -subgroups of rank 2.
- 37

(b) [31, Four Generator Theorem] Suppose that G has sectional 2-rank at least 5. Then a Sylow 2-subgroup of G has a normal elementary abelian subgroup with

- 38
- 2-rank 3. In such a case G has no maximal elementary abelian 2-subgroup of
 rank 2.

Part (b) in Theorem 2.3 is a reformulation, which better suits our analysis, of [31,
Four Generator Theorem]. The theorem (which was part of the program to classify

finite simple groups) asserts that, in a finite 2-group G with no normal elementary 1 abelian subgroup of rank 3, every subgroup can be generated by at most four elements. 2 Thus, if the sectional 2-rank of a 2-group G is 5 or more, then some Frattini quotient 3 $P/\Phi(P)$, for P a subgroup of G, has 2-rank 5 or more. By the theorem, G has a 4 normal elementary abelian subgroup with 2-rank 3, implying that G has no maximal 5 elementary abelian subgroup of rank 2, by Lemma 2.2. Our interpretation follows 6 because, for any ℓ , the sectional ℓ -rank of a finite group is equal to that of its Sylow 7 *l*-subgroups. 8

9 We also record the following result, which is used to relate the torsion free ranks10 of groups of endotrivial modules of finite groups of Lie type arising from isogenous11 algebraic groups.

Proposition 2.4. Let

 $1 \longrightarrow Z \longrightarrow H \longrightarrow G \longrightarrow K \longrightarrow 1$

12 be an exact sequence of finite groups where Z and K have order prime to ℓ , and Z 13 central in H. Then the induced map $\mathcal{A}_{\ell}^{\geq 2}(H)/H \twoheadrightarrow \mathcal{A}_{\ell}^{\geq 2}(G)/G$ is a surjection, which is 14 an isomorphism of posets if the image of H in G controls ℓ -fusion in G. In particular 15 $TF(H) \cong \mathbb{Z}$ implies $TF(G) \cong \mathbb{Z}$, with the converse also true if the image of H in G 16 controls ℓ -fusion in G (e.g., if K = 1).

17 Proof. Since K and Z have orders that are prime to ℓ , the map $H \to G$ induces a 18 bijection of ℓ -subgroups. Furthermore, conjugacy in H implies conjugacy in G, with 19 the converse also being true if the image of H in G controls ℓ -fusion in G. Note that 20 the image of H in G is isomorphic to H/Z. The statement about torsion free ranks 21 follows using the standard translation by Theorem 1.2.

We conclude this section with a discussion of our conventions for finite groups of Lie type.

Remark 2.5 (Finite groups of Lie type). As stated in Definition 1.1 we take a finite 24 group of Lie type to mean a group of the form $G = \mathbb{G}^{F}$, for \mathbb{G} a connected reductive 25 algebraic group over an algebraically closed field of positive characteristic p, and F a 26 Steinberg endomorphism. We refer to [32], or the original [38], for a thorough descrip-27 tion of properties of such groups, but quickly go through a few key points to aid to 28 the reader: A connected reductive algebraic group \mathbb{G} over an algebraically closed field 29 is classified by its root datum \mathbb{D} (which is field independent). The action of F on \mathbb{G} 30 (up to inner automorphisms) is also determined by its effect on \mathbb{D} (up to Weyl group 31 conjugation) allowing for a "combinatorial" classification of finite groups of Lie type 32 \mathbb{G}^{F} . It is most explicit when \mathbb{G} is further assumed simple, see [32, Table 22.1]. In 33 this case \mathbb{G}^F is "close" to being simple, in the following sense: A formula of Steinberg 34 [38, Corollary 12.6(b)] says that $G/O^{p'}(G) \xrightarrow{\cong} \pi_1(\mathbb{G})_F$, the coinvariants of the action 35 of F on the fundamental group $\pi_1(\mathbb{G})$. (As usual $O^{p'}(-)$ denotes the smallest normal 36 subgroup of p' index, and $O_{p'}(-)$ denotes the largest normal subgroup of p' order.) 37 Thus, subgroups H with $O^{p'}(G) \leq H \leq G$ can be parametrized by "Lie theoretic" 38 data consisting of \mathbb{G} , F, and a subgroup of $\pi_1(\mathbb{G})_F$. They are hence "close" to finite 39 groups of Lie type, though, e.g., the order formula [32, Corollary 24.6] does not hold — 40

1 some books dealing with finite *simple* groups, e.g., [27, Definition 2.2.1], instead refer 2 to groups of the form $O^{p'}(\mathbb{G}^F)$ as finite groups of Lie type. Dual to p'-quotients we 3 have that

(2.1)
$$Z(G) = O_{p'}(G) = Z(\mathbb{G})^F$$

4 (see [32, Lemma 24.12]). Normal p'-subgroups and quotients are related, as

(2.2)
$$\mathbb{G}_{sc}^{F}/Z(\mathbb{G}_{sc}^{F}) \xrightarrow{\cong} O^{p'}((\mathbb{G}/Z(\mathbb{G}))^{F}),$$

5 for \mathbb{G}_{sc} the simply connected cover of \mathbb{G} (see [32, Proposition 24.21]). With a few small 6 exceptions [32, Theorem 24.17], this is a finite simple group, if \mathbb{G} is simple. For example 7 $\mathrm{PSL}_n(q) \cong O^{p'}(\mathrm{PGL}_n(q))$ is simple unless (n,q) is (2,2) or (2,3). We determine TF(H)8 for for such groups H in Section 5.

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3. When \mathbb{G} is simple, $3 \leq \ell \neq p$: Generic case

In this section G is a finite group of Lie type as in Definition 1.1, where we furthermore assume that the ambient algebraic group \mathbb{G} is simple (and hence determined by an irreducible root system and an isogeny type). The aim of Sections 3 and 4 is to prove the following.

Theorem 3.1. Let $G = \mathbb{G}^F$ be a finite group of Lie type where \mathbb{G} is a simple algebraic group. Assume that $3 \leq \ell \neq p$ and that $\operatorname{rk}_{\ell}(G) \geq 2$. Then $TF(G) \cong \mathbb{Z}$ except in the following cases:

(a) $\ell \geq 3$ and G is isomorphic to either $\mathrm{PGL}_{\ell}(q)$ with ℓ dividing q-1 or $\mathrm{PGU}_{\ell}(q)$ with ℓ dividing q+1. In these cases, $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$.

(b) $\ell = 3$ and G is isomorphic to ${}^{3}D_{4}(q)$. In this case, $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}$.

The proof of Theorem 3.1 entails a reduction, accomplished in this section, to some cases of small rank and specific types. The analysis of the small rank cases is done in Section 4.

The following is taken from [27, Theorem 4.10.3].

Theorem 3.2. Let $G = \mathbb{G}^F$ be a finite group of Lie type arising from a simple algebraic group \mathbb{G} with a Steinberg endomorphism F, and $\ell \neq p$, and write $\mathbb{G} \cong \mathbb{G}_{sc}/Z$ for a finite central subgroup Z. Assume that

(i) the prime ℓ does not divide the order of Z^F . This is true if $\ell \nmid |Z(\mathbb{G}_{sc})^F|$.

(ii) the prime ℓ is odd and good for \mathbb{G} (meaning that $\ell > 3$ if the type of \mathbb{G} is E_6 , 29 E_7 , F_4 or G_2 , $\ell > 5$ if the type of \mathbb{G} is E_8).

Then any elementary abelian ℓ -subgroup A of G is contained in an elementary abelian ℓ -subgroup of maximal rank. Also, any two elementary abelian ℓ -subgroups of maximal rank are conjugate except possibly if $\ell = 3$ and $G \cong {}^{3}D_{4}(q)$.

³³ Proof. Assume first that G is simply connected, i.e., Z is trivial. Under condition (ii), ³⁴ [27, Theorem 4.10.3(e)] says that every elementary abelian ℓ -subgroup of G is contained ³⁵ in an elementary abelian ℓ -subgroup of maximal rank. Finally [27, Theorem 4.10.3(f)] ³⁶ implies that all maximal elementary abelian ℓ -subgroups of G are conjugate, unless ³⁷ $G \cong {}^{3}D_{4}(q)$, again using (ii). This proves the theorem in the simply connected case.

Because $|Z^F|$ is assumed prime to ℓ , the conclusion for G follows from that of G_{sc} by Proposition 2.4 applied the exact sequence

$$(3.1) 1 \longrightarrow Z^F \longrightarrow G_{sc} \longrightarrow G \longrightarrow Z_F \longrightarrow 1 ,$$

3 of [32, Lemma 24.20], where $|Z^F| = |Z_F|$ and $|G_{sc}| = |G|$ by [32, Corollary 24.6].

The next proposition builds on Theorem 3.2 and handles many of the cases in Theorem 3.1, with the rest being postponed to the next section. In the proof we employ the non-standard notation, where e.g., $B_2(p)$ without subscript "sc" or "ad", denotes *any* group arising from a simple algebraic group \mathbb{G} over an algebraically closed field of characteristic p with root system B_2 , and $F = F_p$ is the standard Frobenius given by raising to the pth power.

10 **Proposition 3.3.** Let ℓ be an odd prime, $\ell \neq p$. Suppose that $G = \mathbb{G}^F$ is a finite group 11 of Lie type where \mathbb{G} is a simple algebraic group and F is a Steinberg endomorphism. 12 Assume that the ℓ -rank of G is at least 2, and G does not have one of the forms $A_{n-1}(q)$ 13 with ℓ dividing both q-1 and n, ${}^{2}A_{n-1}(q)$ with ℓ dividing both q+1 and n, or ${}^{3}D_{4}(q)$ 14 with $\ell = 3$. Then $TF(G) \cong \mathbb{Z}$.

Proof. Let $Z = Z(\mathbb{G}_{sc})$, whose order is given in [32, Table 9.2] (the order of " $\Lambda(\Phi)$ "). 15 The order of $Z^F = Z(G_{sc})$ is given in [32, Table 24.2]. It follows from Theorem 3.2 16 that $TF(G) \cong \mathbb{Z}$ if ℓ is odd and good for $\mathbb{G}, \ell \nmid |Z^F|$, and G is not isomorphic to 17 ${}^{3}D_{4}(q)$. Consequently, it remains to discuss the cases that either (i) ℓ divides $|Z^{F}|$, (ii) 18 $\ell = 3$ and \mathbb{G} has exceptional type or (iii) $\ell = 5$ and \mathbb{G} has type E_8 . We show, by 19 explicit arguments, that in those cases there are also no maximal elementary abelian 20 ℓ -subgroups of rank 2, unless the ℓ -rank of the group is 2, in which case there is a 21 unique one. This shows that $TF(G) \cong \mathbb{Z}$ by Theorem 1.2. 22

First note that case (i) is basically ruled out by the hyphotheses. That is, if \mathbb{G} has type B_n, C_n or D_n , then |Z| is a power of 2 and hence is not divisible by ℓ . If \mathbb{G} has type A_{n-1} then the only cases where $\ell \mid |Z^F|$ are exactly the ones we exclude in our formulation of the proposition. Finally if \mathbb{G} is of exceptional type and $\ell \mid |Z|$, then the only possibility is \mathbb{G} having type E_6 and $\ell = 3$, which is covered under (ii) below.

This leaves (ii) and (iii), i.e., the exceptional types with $\ell = 3$ and E_8 with $\ell = 5$. In other words, by the classification of Steinberg endomorphisms [32, Theorem 22.5], the groups we need to consider are $G_2(q)$, $F_4(q)$, ${}^2F_4(q)$, $E_6(q)$, ${}^2E_6(q)$, $E_7(q)$ and $E_8(q)$ at $\ell = 3$ and $E_8(q)$ at $\ell = 5$. (Note that ${}^2F_4(q)$ only exists in characteristic 2 and ${}^2G_2(q)$ does not appear on the list as we assume $q \neq 3$.) We handle these groups on a case-by-case basis:

 $F_4(q)$, $E_6(q)$, ${}^2E_6(q)$, $E_7(q)$, and $E_8(q)$ with $\ell = 3$: We claim that in all these 34 cases, there is an elementary abelian 3-subgroup of rank at least 4, in fact inside a 35 maximal torus, which then shows $TF(G) \cong \mathbb{Z}$ by Theorem 2.3(a). When $\ell \nmid |Z^F|$ 36 it is enough to see that the multiplicity of the cyclotomic polynomials Φ_1 and Φ_2 in 37 the order polynomial of the complete root datum ${}^{d}\mathbb{D}$ is (at least) 4, by [27, Theorem 38 4.10.3(b)]. (Recall that a complete root datum ${}^{d}\mathbb{D}$ consists of a root datum \mathbb{D} together 39 with the twisting "d", see [32, Definition 22.10] and [27, Definition 2.2.4].) This follows 40 by inspecting [26, Part I, Table 10:2]. The only cases where we can have $\ell \mid |Z^F|$ 41 are (again by [32, Table 24.2]) when either $E_6(q)$ with $q \equiv 1 \pmod{3}$ or ${}^2E_6(q)$ with 42

1 $q \equiv -1 \pmod{3}$. But as the multiplicity of Φ_1 , respectively Φ_2 , in the order polynomial 2 of the complete root datum E_6 , respectively 2E_6 , is 6, we have that the ℓ -rank of G_{sc} is 3 (at least) 6 for these groups (again by [27, Theorem 4.10.3(b)]), and hence the ℓ -rank 4 of G is at least 5.

5 $G_2(q)$ with $\ell = 3$: We give a direct argument that all elementary abelian 3-subgroups 6 of rank 2 are conjugate. By [4, Lemma 4], the commutator subgroup of the centralizer 7 of the center of a Sylow 3-subgroup of G is isomorphic to $SL_3(q)$ if $q \equiv 1 \pmod{3}$, 8 respectively to $SU_3(q)$ if $q \equiv -1 \pmod{3}$. In either case, any two elementary abelian 9 3-subgroups of rank 2 are conjugate by Theorem 3.2.

¹⁰ ${}^{2}F_{4}(2^{2a+1})$ with $\ell = 3$: It follows from [26, Proofs of (10-1) and (10-2), p. 118] ¹¹ that ${}^{2}F_{4}(2^{2a+1})$ contains $SU_{3}(2^{2a+1})$ of index prime to 3. All rank 2 elementary abelian ¹² 3-subgroups are conjugate in $SU_{3}(2^{2a+1})$ by Theorem 3.2, and hence this holds for ¹³ ${}^{2}F_{4}(2^{2a+1})$ as well.

14 $E_8(q)$ with $\ell = 5$: From [26, Proofs of (10-1) and (10-2), p. 118] we see that $E_8(q)$ 15 contains $SU_5(q^2)$ as a subgroup of index prime to 5 (the coefficients are in \mathbb{F}_{q^4}). Hence, 16 every elementary abelian 5-subgroup of G is contained in one of rank 4 by Theorem 3.2. 17 Consequently, there are no maximal elementary abelian 5-subgroups of rank 2.

Remark 3.4. For the interested reader, we briefly sketch how Proposition 3.3 (and 18 Theorem 3.2) could alternatively be obtained via homotopy theory. If ℓ does not 19 divide the order of the fundamental group of a connected ℓ -compact group BG, then 20 every elementary abelian ℓ -subgroup of rank at most 2 is conjugate into a torus by [3, 21 Theorem 1.8], generalizing Borel and Steinberg's classical theorem [39, Theorem 2.27]. 22 The homotopical Lang square of Friedlander–Quillen [10, (1)] now relates elementary 23 abelian ℓ -subgroups in BG to those in the homotopical finite group of Lie type BG^{hF} . 24 When F is the standard Frobenius with q congruent to 1 modulo ℓ this shows that 25 the centralizer of every element of order ℓ in BG^{hF} has ℓ -rank at least the Lie rank of 26 the ℓ -compact group BG. For general F one first uses untwisting [30, Theorem C.8] to 27 reduce to the previous case, now inside another ℓ -compact group. Note that untwisting 28 assumes that the order of the twisting is prime to ℓ , which explains why ${}^{3}D_{4}(q)$ when 29 $\ell = 3$ needs to be treated separately. Indeed the conclusion that TF(G) has rank 2 in 30 this case shows that this is not only a technical limitation. 31

32

4. When \mathbb{G} is simple, $3 \leq \ell \neq p$: Specific cases

In this section, we examine the cases not covered by Proposition 3.3, thereby completing the proof of Theorem 3.1. The analysis is case by case, and we assume $\ell \neq p$ throughout.

Proof of Theorem 3.1. First consider $G = {}^{3}D_{4}(q)$, with $\ell = 3 \nmid q$. By [26, Part I, 10-36 1(4)], a Sylow 3-subgroup S of G has the form $(C_{3^{a+1}} \times C_{3^a}) \rtimes C_3$, where $3^a = |q^2 - 1|_3$. 37 From [21, Theorem 5.10], we also know that $S \cong B(3, 2(a+1); 0, 0, 0)$ is a 3-group of 38 maximal nilpotency class of 3-rank 2 and order 3^{2a+2} . Let A be the maximal subgroup 39 of S of the form $C_{3^{a+1}} \times C_{3^a}$, let B be the subgroup of A formed by the elements of order 40 3, and let V_1 be any non-normal maximal elementary abelian subgroup of S (necessarily 41 of rank 2). The subgroups B and V_1 are those denoted likewise in [21]. In [21, Theorem 42 5.10, the authors prove that all the non-normal maximal elementary abelian subgroups 43

1 of S are G-conjugate. They also show that V_1 is the Sylow 3-subgroup of $C_G(V_1)$, and 2 from the description of S, it is clear that B is not a Sylow 3-subgroup of $C_G(B)$. 3 Therefore, B and V_1 cannot be G-conjugate, and it follows that $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}$.

For the remainder of the proof assume that G has type either $A_{n-1}(q)$ with $\ell \geq 3$ and 4 $\ell \mid q-1 \text{ or } {}^2A_{n-1}(q)$ with $\ell \geq 3$ and $\ell \mid q+1$. We assume also that ℓ divides the order 5 of Z^F and thus n is a multiple of ℓ . If $n > \ell$, then $TF(G) \cong \mathbb{Z}$ by Theorem 2.3(a). 6 Thus, we are reduced to consider the cases $G = A_{\ell-1}(q)$ with $q \equiv 1 \pmod{\ell}$, and 7 $G = {}^{2}A_{\ell-1}(q)$ with $q \equiv -1 \pmod{\ell}$. Because ℓ is prime there are exactly two distinct 8 isogeny types. If \mathbb{G} is simply connected, the asserted result follows by Theorem 3.2. 9 We are left with the cases $G = \mathrm{PGL}_{\ell}(q)$ and $G = \mathrm{PGU}_{\ell}(q)$ with the appropriate 10 congruences of q modulo ℓ . Because the ℓ -local structures of the two groups are almost 11 identical, we consider only $G = \mathrm{PGL}_{\ell}(q)$. 12

Let $\widehat{G} = \operatorname{GL}_{\ell}(q)$ with ℓ dividing q-1. We choose a Sylow ℓ -subgroup of \widehat{G} to be a subgroup of the normalizer of a maximal torus of diagonal matrices (see Theorem 3.2). The normalizer of the torus is a wreath product, of the form $N \cong \operatorname{GL}_1(q)^{\times \ell} \rtimes \mathfrak{S}_{\ell}$, where \mathfrak{S}_{ℓ} is the symmetric group on ℓ letters. That is, it is the subgroup of diagonal matrices with an action by the group of permutation matrices. Let ζ be a primitive ℓ^{th} root of unity in \mathbb{F}_q . Let γ be a generator for the Sylow ℓ -subgroup of $\operatorname{GL}_1(q)$, so that $\zeta = \gamma^{\ell^{s-1}}$ for some s and $\gamma^{\ell^s} = 1$. Let x be the $\ell \times \ell$ permutation matrix

$$x = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 \\ 0 & 0 & 1 & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & 1 \\ 1 & 0 & 0 & \dots & 0 \end{bmatrix},$$

20 let y be the diagonal matrix (of size ℓ) with diagonal entries $\gamma, 1, \ldots, 1$, and let $z = \gamma I$ 21 be the scalar matrix. A Sylow ℓ -subgroup \widehat{S} of \widehat{G} is generated by x and y. Then 22 a Sylow ℓ -subgroup of G is $S \cong \widehat{S}/\langle z \rangle$. The subgroup \widehat{S} has a maximal subgroup 23 $T = \langle y, xyx^{-1}, \ldots, x^{\ell-1}yx^{1-\ell} \rangle$, which is abelian.

Let $\phi: \widehat{S} \to S$ be the quotient map. We note that two subgroups E and F in S are conjugate in G if and only if their inverse images $\phi^{-1}(E)$ and $\phi^{-1}(F)$ are conjugate in \widehat{G} . Consequently, to find the maximal elementary abelian subgroups of rank 2 in S, it suffices to look for the subgroups E of order ℓ^{s+2} in \widehat{S} that contain z and have the property that $E/\langle z \rangle$ is elementary abelian. For the sake of this proof, call such a group Q2-elementary.

For our analysis, we identify three subgroups. Let $a = y^{\ell^{s-1}}$ and let b be the diagonal matrix with diagonal entries $1, \zeta, \zeta^2, \ldots, \zeta^{\ell-1}$. Notice that $xbx^{-1}b^{-1} = \zeta \cdot I = z^{\ell^{s-1}}$. Let

$$E_1 = \langle a, xax^{-1}, \dots, x^{\ell-1}ax^{1-\ell}, z \rangle, \qquad E_2 = \langle x, b, z \rangle, \qquad \text{and} \quad E_3 = \langle ax, b, z \rangle$$

We claim that every Q2-elementary subgroup of \widehat{S} is either conjugate to one of E_2 or E_3 or is conjugate to a subgroup of E_1 . Note that E_1 is abelian whereas the other two are not. Also, every element of order ℓ in E_2 has determinant 1, but this is not true of E_3 . Hence, E_2 and E_3 are not conjugate, and neither is conjugate to a subgroup of E_1 . Note first that any Q2-elementary subgroup of T must be contained in E_1 as E_1 is a direct product of ℓ cyclic subgroups of order ℓ and $\langle z \rangle$ is a direct factor. In particular, $E_1/\langle z \rangle$ contains all elements of order ℓ in $T/\langle z \rangle$. Suppose that H is a Q2-elementary subgroup that is not in T. Then H contains an element of the form tx for some $t \in T$. By a direct calculation, we notice that the centralizer in $T/\langle z \rangle$ of the class of x is a direct factor of $T/\langle z \rangle$ that is cyclic of order ℓ^s . It is generated by the image in $T/\langle z \rangle$ of diagonal matrix u with entries $1, \gamma, \ldots, \gamma^{\ell-1}$. The subgroup of elements of order ℓ in this group is generated by $b = u^{\ell^{s-1}}$. So we can assume that $H = \langle tx, b, z \rangle$.

It remains to find the conjugacy classes. Suppose that $w \in T$ is diagonal with entries 9 w_1, \ldots, w_ℓ . Then $wxw^{-1} = vx$ where v has diagonal entries $w_1w_2^{-1}, w_2w_3^{-1}, \ldots, w_\ell w_1^{-1}$. 10 In other words, x is conjugate in \widehat{S} to vx for v any diagonal matrix with entries 11 v_1,\ldots,v_ℓ satisfying the condition that the product $v_1\cdots v_\ell = 1$. It follows that any 12 possible conjugacy class of Q2-elementary subgroups not in T has a representative of 13 the form $H = \langle a^i x, b, z \rangle$ for $i = 1, \dots, \ell^s - 1$. Now, $(a^i x)^\ell = z^i$. If $i = m\ell$ for some 14 $m \geq 1$, then $v = a^i x z^{-m}$ has the property that $v^{\ell} = 1$. In this case v = tx where $t \in T$ 15 has the property that the product of its (diagonal) entries is 1. Thus, v is conjugate 16 to x by an element in T, and H is conjugate to $\langle x, b, z \rangle$. 17

So we are down to the situation that $H = \langle a^i x, b, z \rangle$, for $i = 0, 1, \ldots, \ell - 1$. But now notice that x is conjugate to x^j for $j = 1, \ldots, \ell - 1$ by a permutation matrix, an ℓ -cycle, that centralizes a and normalizes $\langle b, z \rangle$. It follows that if $i \neq 0$, then $a^i x$ is conjugate to $a^i x^{-i}$ and $H = \langle a^i x, b, z \rangle$ is conjugate to E_3 . This proves the claim.

Recall that $E_1/\langle z \rangle$ has ℓ -rank $\ell \geq 3$. It follows that $E_1/\langle z \rangle$, $E_2/\langle z \rangle$ and $E_3/\langle z \rangle$ are in three distinct connected components of the orbit poset $\mathcal{A}_{\ell}^{\geq 2}(G)/G$ of noncyclic elementary abelian ℓ -subgroups and that there are no other components containing subgroups of rank 2. In other words, TF(G) has rank 3.

We now establish the rank of TF(G) in some specific cases that are useful in Section 5.

Proposition 4.1. Suppose that $\ell \geq 3$, and either $G \cong PSL_{\ell}(q)$ with $q \equiv 1 \pmod{\ell}$, or $G \cong PSU_{\ell}(q)$ with $q \equiv -1 \pmod{\ell}$. Assume that if $\ell = 3$, then $q \equiv 1 \pmod{9}$ in the first case and $q \equiv -1 \pmod{9}$ in the second. Then TF(G) has rank $\ell + 1$.

³¹ *Proof.* The ℓ -local structures of $PSL_{\ell}(q)$ with ℓ dividing q-1 and $PSU_{\ell}(q)$ with ℓ ³² dividing q+1 are very similar. We give the proof only in the case that $G = PSL_{\ell}(q)$. ³³ The proof in the case of $PSU_{\ell}(q)$ follows by the same line of reasoning. We include a ³⁴ complete analysis, though much of the information in the proof is in the more general ³⁵ paper [20].

We continue mostly with the notation introduced in the proof of Theorem 3.1 for 36 $G = A_{\ell-1}(q)$, except that we let $H = \mathrm{SL}_{\ell}(q)$ and $G = \mathrm{PSL}_{\ell}(q) = H/\langle z \rangle$ where $z = \zeta I$ 37 generates the center of H (not the same z as in the previous proof). A Sylow ℓ -subgroup 38 of H has the form $S = T \rtimes \langle x \rangle$, where T is the collection of diagonal ℓ -elements having 39 determinant 1. Any element of S that is not in T is a power of an element of the 40 form ax for some $a \in T$. We note that the diagonal element y as above, with entries 41 $\gamma, 1, \ldots, 1$, is not in H. The subgroup S is generated by x and $w = x^{-1}y^{-1}xy$ which 42 is diagonal with entries $\gamma, \gamma^{-1}, 1, \ldots, 1$, and T is generated by the conjugates of w by 43 powers of x. 44

A Q2-elementary subgroup, if it is not contained in T, must have the form $J_a =$ 1 $\langle ax, b, z \rangle$ for some a in T. That is, these are the nonabelian subgroups J such that $J/\langle z \rangle$ 2 is elementary abelian of rank 2. Note that $J_a = J_{a'}$ if and only if $a'a^{-1} \in \langle b, z \rangle$. So there 3 are $|T|/\ell^2$ such subgroups. A direct calculation shows that $N_S(J_a)$ has order $|S|/\ell^4$. 4 Thus, there are exactly ℓ S-conjugacy classes of such subgroups. Let $E_i = \langle w^i x, b, z \rangle$, 5 for $i = 0, \ldots, \ell - 1$. All of these subgroups are conjugate in $\widehat{G} = \operatorname{GL}_{\ell}(q)$ by some power 6 of the element y. Our purpose is to show, however, that no two of them are conjugate in 7 H. The theorem then follows, because our observation implies that the classes $E_i/\langle z \rangle$ 8 for $0 \le i \le \ell$ are distinct conjugacy classes of maximal elementary abelian ℓ -subgroups 9 of $PSL_{\ell}(q)$ of rank 2. The subgroup $T/\langle z \rangle$ also has a maximal elementary abelian 10 subgroup $E/\langle z \rangle$, and none of the E_i 's is conjugate to a subgroup of E since the latter 11 is abelian. 12

Consider the subgroup $N = N_H(E_0)$, the normalizer in $SL_{\ell}(q)$ of $E_0 = \langle x, b, z \rangle$. The subgroup E_0 is an extraspecial group of order ℓ^3 and exponent ℓ . Its outer automorphism group is isomorphic to $GL_2(\ell)$ (see the discussion in [41]). Because the centralizer of E_0 in H is the center of H, N is an extension

$$1 \longrightarrow E_0 \longrightarrow N \longrightarrow U \longrightarrow 1$$

where U is isomorphic to a subgroup of $SL_2(\ell)$ since it must also centralize $\langle z \rangle$.

Observe that E_0 is a proper subgroup of $N_S(E_0)$. In particular, there is an element 18 u of T whose class generates the center of $S/\langle b, z \rangle$ that is in $N_S(E_0)$. Hence, U has 19 an element of order ℓ . Moreover, $N_H(T)/T$ is isomorphic to the symmetric group on 20 ℓ letters. This group has an $\ell - 1$ cycle that normalizes the subgroup generated by 21 the class of the element x. It must also normalize $\langle b, z \rangle$ and $\langle u, b, z \rangle$. Consequently, 22 U contains the subgroup B of upper triangular matrices in $SL_2(\ell)$. Because B is a 23 maximal subgroup of $SL_2(\ell)$, we need only show that U has at least one element that 24 is not in B to conclude that $U \cong SL_2(\ell)$. 25

26 Let v be the Vandermonde matrix

$$v = \begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & \zeta & \zeta^2 & \dots & \zeta^{\ell-1} \\ 1 & \zeta^2 & \zeta^4 & \dots & \zeta^{2(\ell-1)} \\ \vdots & \vdots & \vdots & \dots & \vdots \\ 1 & \zeta^{\ell-1} & \zeta^{2(\ell-1)} & \dots & \zeta^{(\ell-1)^2} \end{bmatrix} \quad \text{so that} \quad v^2 = \begin{bmatrix} \ell & 0 & \dots & 0 & 0 \\ 0 & 0 & \dots & 0 & \ell \\ 0 & 0 & \dots & \ell & 0 \\ \vdots & \vdots & \dots & \vdots \\ 0 & \ell & \dots & 0 & 0 \end{bmatrix}.$$

Note that the columns (and also the rows) are eigenvectors for the matrix x with corresponding eigenvalues $1, \zeta, \zeta^2, \ldots, \zeta^{\ell-1}$. Thus, we have that xv = vb. The computation of the matrix v^2 is straightforward as each row is orthogonal (under the usual dot product) to all but one of the columns.

Next we note that the determinant of v^2 is $\varepsilon \ell^{\ell} = (\varepsilon \ell)^{\ell}$ where $\varepsilon = \pm 1$, the sign depending on the parity of $(\ell - 1)/2$. Because the group \mathbb{F}_q^{\times} is cyclic and ℓ is prime to 2, the determinant of v is also an ℓ^{th} -power. That is, there is some μ in \mathbb{F}_q^{\times} such that $\operatorname{Det}(v) = \mu^{\ell}$ and $\mu^2 = \varepsilon \ell$. Let h be the product of v with the scalar matrix $\mu^{-1}I$. Then $\operatorname{Det}(h) = 1$, $h \in H$ and xh = hb. In addition, h^2 has the same form as v^2 except that the nonzero entries that are equal to ℓ in v^2 are replaced by ε in h^2 . That is, 1 $h^2 = (1/\varepsilon \ell)v^2$. So we find that $h^2 x h^{-2} = x^{-1}$ by direct calculation. Also, we have that $h^{-1}xh = b$ and $h^{-1}bh = x^{-1}$. So h is in N and its class in U, identified in a subgroup 2 of $SL_2(\ell)$, is the matrix 3

$$\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$$

This element is not in the subgroup B, and hence we have shown that $U \cong \mathrm{SL}_2(\ell)$. 4

Because $N_H(E_0)/E_0$ is the outer automorphism group of E_0 we have that $N_{\widehat{G}}(E_0) =$ 5 $N_H(E_0)\widehat{Z}$, where \widehat{Z} denotes the center of $\widehat{G} = \operatorname{GL}_{\ell}(q)$. The same holds if we replace 6 E_0 by E_i since they are conjugate in \widehat{G} . Thus, we have that if $g \in N_{\widehat{G}}(E_i)$, then the 7 determinant of g is an ℓ^{th} power of some element in \mathbb{F}_{q}^{\times} . 8

Finally, suppose that there is an element g in H such that $gE_ig^{-1} = E_j$ for i < j. 9 We know also that $y^{j-i}E_iy^{i-j} = E_j$. Therefore, $y^{i-j}g \in N_{\widehat{G}}(E_i)$. However, this is 10 not possible. The reason is that γ is a generator of the Sylow ℓ -subgroup of the 11 multiplicative group \mathbb{F}_q^{\times} and $0 < i - j < \ell$, the determinant of $y^{i-j}g$, which is equal to 12 γ^{i-j} , is not an ℓ^{th} power. Hence, if $i \neq j$, then E_i is not *H*-conjugate to E_j and then 13 $E_i/\langle z \rangle$ is not G-conjugate to $E_i/\langle z \rangle$. This proves the proposition. 14

15

5. Groups associated to finite groups of Lie type for $\ell \geq 3$

In this section we are interested in some of the groups associated to finite groups of 16 Lie type. Suppose that $G_0 = G_{sc}$ is a finite group of Lie type arising from a simply 17 connected simple algebraic group \mathbb{G} . If $G_0 = \mathrm{SL}_n(q)$ or $\mathrm{SU}_n(q)$, let $G_1 = \mathrm{GL}_n(q)$, or 18 $\mathrm{GU}_n(q)$, respectively. If \mathbb{G} is symplectic or orthogonal, take G_1 to be the conformal 19 group of that type (cf. [32, pp. 7-8] and [27, Section 2.7]). For example, if $G_0 = \text{Sp}_{2n}(q)$, 20 then $G_1 = CSp_{2n}(q)$, the group of all $2n \times 2n$ -matrices X with the property that 21 $XfX^{tr} = af$ for some $a \in \mathbb{F}_q$, where f it the matrix of the symplectic form. If 22 $G_0 = \operatorname{Spin}_{2n}^+(q)$, then G_1 is the conformal group $\operatorname{CSpin}_{2n}^+(q)$. 23

We see below that if G_0 , the fixed points of a simply connected algebraic group under 24 a Steinberg endomorphism, has trivial center, then we may assume that $G_0 = G_1$ and 25 any associated group is a direct product of G_0 with some abelian group. For that 26 reason we concentrate on the classical groups. For the groups of type E_6 , 2E_6 and E_7 , 27 we have the following. This applies also in the case that $\ell = 2$. 28

Proposition 5.1. Suppose that G is the simple finite group of type E_6 , 2E_6 or E_7 . 29 Then for any prime ℓ we have that $TF(G) \cong \mathbb{Z}$ provided G has ℓ -rank at least 2. 30

Proof. In the case that the group has type E_6 or 2E_6 , the center of G_{sc} , coming from 31 the simply connected algebraic group of the same type, has order 1 or 3. If $\ell \neq 3,$ then 32 any inflation of an endotrivial kG-module to G_{sc} is also endotrivial, and the proposition 33 follows from known results. If $\ell = 3$, then the 3-rank of G is greater than 4 and we are 34 done by Theorem 2.3. The center of the group G_{sc} of type E_7 has order 1 or 2. The 35 same argument as above works in this case. 36

For the remainder of the section, assume that $G_0 = G_{sc}$ is a classical group, thus 37 having one of the types A_n , 2A_n , B_n , C_n , D_n or 2D_n . We see from Tits' Theorem [32, 38 Theorem 24.17]) that G_0 is a perfect group, unless G_0 is isomorphic to one of $SL_2(2)$, 39

1 SL₂(3), SU₃(2) or Sp₄(2). Moreover, except in those cases, $|G_1/G_0| = |Z(G_1)|$, and 2 because G_1/G_0 is abelian, $G_0 = [G_1, G_1]$.

By an associated group of G_0 , we mean a group G = H/J, where $G_0 \leq H \leq G_1$

- 4 and $J \leq Z(H) \leq Z(G_1)$ such that G contains the group $G_0/Z(G_0)$ as a section. For
- 5 example, in type A_{n-1} , an associated group is a quotient G = H/J where $SL_n(q) \leq C$
- 6 $H \leq \operatorname{GL}_n(q)$ and $J \leq Z(H) \leq Z(\operatorname{GL}_n(q))$. The simple group $\operatorname{PSL}_n(q)$ is an example.
- 7 In any type, a diagram for such groups has the form



8 where the associated group is G = H/J for J some subgroup of Z(H). Note that J 9 may or may not contain $Z(G_0)$.

Our analysis will entail understanding the structure of G, and will benefit substantially from knowing when G is isomorphic to a product of groups.

12 Lemma 5.2. In addition to the above notation, assume that $G_0 = [G_1, G_1]$ is a perfect 13 group. Let π be the set of primes that divide the order of $Z(G_0)$. Let G = H/J be a 14 section of G_1 as above so that $G_0 \leq H$, $J \leq Z(G_1) \cap H$. Then there exist subgroups 15 $H' \leq H$, $J' \leq Z(H)$ and $V \leq Z(H/J)$ such that

$$G = H/J \cong \hat{G} \times V$$

16 where $\hat{G} \cong H'/J'$, $Z(\hat{G})$ and $\hat{G}/[\hat{G},\hat{G}]$ are π -groups and V is a π' -group.

17 Proof. Write $G_1/G_0 \cong U_1 \times V_1$ and $Z(G_1) \cong U_0 \times V_0$ where U_i is a π -group and V_i is 18 a π' -group for i = 0, 1. Let $\phi : G_1 \to V_1$ be the quotient by G_0 composed with the 19 projection onto V_1 . Let X denote the kernel of ϕ . Note that $G_0 \cap V_0 = \{1\}$ since $Z(G_0)$ is 20 a π -group. Moreover, since $|G_1/G_0| = |Z(G_1)|$, we have that $|V_0| = |V_1|$. Consequently, 21 the restriction of ϕ to V_0 gives an isomorphism from V_0 to V_1 , and $G_1 \cong X \times V_0$. 22 The subgroup H contains G_0 , and hence it is the inverse image under the quotient

23 map $G_1 \to G_1/G_0$ of a subgroup $U'_1 \times V'_1$ for $U'_1 \leq U_1$, $V'_1 \leq V_1$. Thus, $H \cong H' \times V'_0$ 24 where H' is the inverse image under ϕ of U'_1 and $V'_0 \cong V'_1$ is the inverse image of V'_1 under 25 the restriction of ϕ to V_0 . It follows that $Z(H) = Z(H') \times V'_0$ where $Z(H') \leq Z(X)$ 1 is a π -group. Thus, $J = J' \times V_0''$ for $J' \leq Z(H')$ and $V_0'' \leq V_0'$. The lemma follows by 2 letting $V = V_0'/V_0''$.

3 The main aim of the section is to prove the following theorem.

 Theorem 5.3. Let $G_0 = \mathbb{G}^F$ be a finite group of Lie type, where \mathbb{G} is a classical, simple 5 and simply connected algebraic group. Let G be one of the associated finite groups of G_0 . Assume that $\ell \geq 3$ does not divide p and that the ℓ -rank of G is at least 2. Then $TF(G) \cong \mathbb{Z}$ except in the following cases.

8 (a) If $G \cong PSL_{\ell}(q)$ with $q \equiv 1 \pmod{\ell}$ if $\ell > 3$, and with $q \equiv 1 \pmod{9}$ if $\ell = 3$, 9 then TF(G) has rank $\ell + 1$.

10 (b) If $G \cong \text{PSU}_{\ell}(q)$ with $q \equiv -1 \pmod{\ell}$ if $\ell > 3$, and with $q \equiv -1 \pmod{9}$ if 11 $\ell = 3$, then TF(G) has rank $\ell + 1$.

12 (c) If $\ell = 3$ and $G \cong {}^{3}D_{4}(q)$, then TF(G) has rank 2.

13 *Proof.* The last case (c) was treated in Section 4 (see also Theorem 3.1).

Assume that the group has the form G = H/J as in the previous notation of the 14 section. We prove the theorem for groups of Lie type B_n , C_n , D_n and 2D_n , by noticing 15 that $G_0 = G_{sc}$ has center that has order either 2 or 4 (see [32, Table 24.2]). Conse-16 quently, if ℓ divides the order of Z(G) = Z(H)/J then G has a direct factor that is a 17 cyclic ℓ -group. In such a case the center of a Sylow ℓ -subgroup of G has ℓ -rank at least 18 2 and we are done. On the other hand, if ℓ does not divide the order of Z(G), then 19 by Lemma 5.2, a Sylow ℓ -subgroup of G is isomorphic to that of G_0 . These cases have 20 already been considered. 21

A similar thing happens in types A_n and 2A_n . That is, if ℓ does not divide the order of $Z(G_0)$, then regardless of whether ℓ divides |Z(G)|, we are done by the same arguments as above. Consequently, we can assume that ℓ divides the order of $Z(G_0)$, requiring that ℓ divides both n+1 and q-1 in type A_n , and that ℓ divides both n+1and q+1 in type 2A_n .

For the untwisted type A_n , we need to consider the case when ℓ divides both n + 1and q - 1. However, by Theorem 2.3, if $n + 1 > \ell$, then the ℓ -rank of G is greater than ℓ , and therefore G cannot have any maximal elementary abelian ℓ -subgroup of rank 2. So it remains to consider the case $\ell = n + 1$ with $q \equiv 1 \pmod{\ell}$. Similarly, in the twisted case ${}^{2}A_{n}$, we may assume that $\ell = n + 1$ with $q \equiv -1 \pmod{\ell}$. In addition, by Lemma 5.2, we may assume that the orders of J and H/G_{0} are powers of ℓ .

If $J = \{1\}$, then $G \leq \operatorname{GL}_{\ell}(q)$ or $G \leq \operatorname{GU}_{\ell}(q)$. In either case, an eigenvalue argument tells us that any element of order ℓ is conjugate to an element of the diagonal torus. Hence, we are done in this case, and we may assume that $J \neq \{1\}$.

If $J \neq Z(H)$, then there exists an element x in Z(H) such that $x \notin J$ but $x^{\ell} \in J$. Also, because J is not trivial, there exists an element of order ℓ in the diagonal torus in H whose class in H/J is central in a Sylow ℓ -subgroup. Thus, in such a case, the center of a Sylow ℓ -subgroup of H/J has ℓ -rank 2 and we are done by Lemma 2.2. So assume that J = Z(H). Thus, G is a subgroup of $PGL_{\ell}(q)$ or $PGU_{\ell}(q)$.

In the untwisted situation, we are down to two possibilities. First if H/G_0 is a Sylow ℓ -subgroup of G_1/G_0 then J is a Sylow ℓ -subgroup of $Z(G_1)$. In such a case $G = H/J \cong PGL_{\ell}(q)$. This case has been treated in Section 4. In the other case, that

1 $J < Z(G_1)$, we have that $G \cong PSL_{\ell}(q)$ and ℓ divides q-1. Similarly, in the twisted 2 case we are down to the situation that $G \cong PSU_{\ell}(q)$ and ℓ divides q+1.

Observe that if $\ell = 3$, with 3 dividing q - 1 and 9 not dividing q - 1, then a Sylow 3-subgroup of $PSL_3(q)$ is elementary abelian of order 9. The same holds for $PSU_3(q)$ if 3 divides q + 1 and 9 does not divide q + 1. Hence, TF(G) has rank 1 in both of these 6 cases. Thus, it remains to calculate the ranks of TF(G) in the cases (a) and (b) of the 7 theorem. These cases are covered by Proposition 4.1.

8

6. When G is simple, $2 = \ell \neq p$

9 The goal of this section is to establish Theorems 6.1 and 6.2. Some results of this10 section will also be used in Section 8.

Theorem 6.1. Let G be a finite group of Lie type (see Definition 1.1) with the ambient group G a simple algebraic group. Suppose $\ell = 2 \neq p$ and that TF(G) has rank greater than 1. Then G has nonabelian dihedral Sylow 2-subgroups, $G \cong PGL_2(q) \cong PGU_2(q)$ for q odd, and $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}$

We also calculate the ranks of TF(G) when G is one of the associated groups in the case that $\ell = 2$ is not the defining characteristic of the group. The notion of an associated group was introduced in Section 5. We adopt the notation used at the beginning of Section 5. In particular, G_1 is one of the general linear or conformal group such as $\operatorname{GL}_n(q)$, $\operatorname{GU}_n(q)$ or $\operatorname{CSp}_n(q)$ and $G_0 = G_{sc}$. The group G = H/J is a section of G_1 such that $G_0 \leq H \leq G_1$ and $J \leq Z(H)$.

The groups of endotrivial modules for the associated groups of type A_n are determined in the paper [15]. Our aim in this section is to take a more conceptual and less technical approach. For this reason some arguments from [15] are included here. In particular, exceptional cases occur when $G_0 \cong SL_2(q)$, and some additional explanation is provided.

Our main theorem to address the associated groups is the following.

Theorem 6.2. Let $G \cong H/J$ be an associated group of a finite group of Lie type as defined above with q odd, and let $\ell = 2$. Then $TF(G) \cong \mathbb{Z}$ is cyclic except in the following cases.

30 (a) $G = \operatorname{SL}_2(q) \cong \operatorname{SU}_2(q)$.

(b) $G = PSL_2(q) \times C \cong PSU_2(q) \times C$ with $q \equiv \pm 1 \pmod{8}$ and C a cyclic group of odd order. (See Lemma 5.2.)

33 (c) $G = PGL_2(q) \times C \cong PGU_2(q) \times C$, where C is a cyclic group of odd order.

In case (a), a Sylow 2-subgroup of G is quaternion and $TF(G) = \{0\}$. In cases (b) and (c), Z(H)/J has odd order, a Sylow 2-subgroup of G is (nonabelian) dihedral and $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}$.

In the proof, we first show that the theorem holds for groups of large Lie rank. The groups of small Lie rank are considered on a case by case inspection. The main reduction theorem is taken from [25]. **Theorem 6.3.** Let $\widehat{G} = \mathbb{G}^F$ be a finite group of Lie type in odd characteristic, with \mathbb{G} simple and simply connected, and set $\ell = 2$. Then $TF(G) \cong \mathbb{Z}$, for G any associated

3 group to \widehat{G} , as defined above, provided that \widehat{G} is not one of the following types.

4	(a)	$A_1(q), A_2(q), {}^2A_2(q),$	8	(e)	${}^{2}A_{4}(q) \text{ for } q \equiv 1 \pmod{4},$
5	(b)	$A_3(q) \text{ for } q \not\equiv 1 \pmod{8},$	9	(f)	$B_2(q),$
6	(c)	$A_4(q) \text{ for } q \equiv -1 \pmod{4},$	10	(g)	$^{3}D_{4}(q),$
7	(d)	${}^{2}A_{3}(q) \text{ for } q \not\equiv 7 \pmod{8},$	11	(h)	$G_2(q), \ or \ ^2G_2(q).$

12 Proof. Recall that by Tits' theorem [32, Theorem 24.17] $\widehat{G}/Z(\widehat{G})$ is simple, except in a 13 few cases which are among the cases excluded above. In [25, Main Theorem], all finite 14 simple groups having sectional 2-rank at most 4 are listed. If the finite simple group 15 associated to \widehat{G} is not on the above list, then G has sectional 2-rank greater than 4. 16 (See [19, Section 3.5] or [27, Theorem 2.2.10] for a list of isomorphisms between finite 17 groups of Lie type.) So G has no maximal elementary abelian 2-subgroups of rank 2, 18 by Theorem 2.3(b) as desired. \Box

We may now complete the proofs of the main theorems of this section. For the proof, recall that if $G \cong A \times B$, with B of order prime to ℓ , then $TF(G) \cong TF(A)$, by Proposition 2.4.

22 Proof of Theorems 6.1 and 6.2. By Theorem 6.3, we need only deal with the groups 23 listed. The Sylow 2-subgroups of finite groups of Lie type are known to be cyclic only 24 when G is associated to a finite group of Lie type $A_1(2)$. The groups $SL_2(q) \cong SU_2(q)$ 25 have quaternion Sylow 2-subgroups, and hence $TF(G) \cong \{0\}$ in those cases.

Recall that for any finite group G with (nonabelian) dihedral Sylow 2-subgroup we have $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}$ as it is not possible for the two S-conjugacy classes of elementary abelian subgroups of order 4 in S to fuse in G (cf. [33, Section 3.7]). The Sylow 2-subgroups of the groups in Theorem 6.2(b) are nonabelian dihedral. Note that if $q \equiv \pm 3 \pmod{8}$ then the Sylow 2-subgroups of $PSL_2(q)$ are elementary abelian of order 4, and $TF(PSL_2(q)) \cong \mathbb{Z}$. It is easily verified that the Sylow 2-subgroups of $PGL_2(q) \cong PGU_2(q)$ are dihedral and not abelian. So $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}$ in this case.

An eigenvalue argument tells us that any involution in H for either $SL_2(q) \leq H \leq$ GL₂(q) or $SU_2(q) \leq H \leq GU_2(q)$ is conjugate to a diagonal matrix. In the unitary case, note that the eigenspaces of an involution are orthogonal to each other, so that we can construct a change of basis matrix that is unitary. Hence, $TF(G) \cong \mathbb{Z}$ if Jhas odd order. Therefore, for the proof for groups of type A_1 , we need only consider quotients G = H/J where J has even order.

Note that $\operatorname{GL}_2(q)$ is not isomorphic to $\operatorname{GU}_2(q)$. However, arguments for these cases are almost identical. That is, we can find q' with $q' \equiv -q \pmod{4}$ such that $\operatorname{SL}_2(q')$ or $\operatorname{GL}_2(q')$ have isomorphic Sylow 2-subgroups to those of $\operatorname{SU}_2(q)$ or $\operatorname{GU}_2(q)$, respectively (cf. [18, Section 1]). So we prove only the linear case.

1 If $q \equiv 3 \pmod{4}$, then 4 does not divide the order of $Z(\operatorname{GL}_2(q))$. By our assumptions, 2 Z(H)/J has odd order, and hence, by Lemma 5.2, Z(H)/J is a direct factor of H/J3 and we are done. So we may assume that $q \equiv 1 \pmod{4}$ and that Z(H)/J has even 4 order. Then there is an element z in Z(H) that represents a nontrivial involution in 5 H/J. In addition, the diagonal matrix with entries 1 and -1 is an involution whose 6 image in H/J is central in a Sylow 2-subgroup and distinct from the image of z. Thus, 7 the center of a Sylow 2-subgroup of H/J has 2-rank equal to 2 and $TF(H/J) \cong \mathbb{Z}$ by 8 Lemma 2.2.

9 **Types** A_2 , A_4 , 2A_2 and 2A_4 . The proofs that $TF(G) \cong \mathbb{Z}$ for groups of type A_2 and A_4 are given in [15, Sections 6 and 9]. The structure of the Sylow 2-subgroups are very similar for the twisted and untwisted cases [18]. Hence, we leave the proofs of the twisted cases, 2A_2 and 2A_4 , to the reader. We note that centers for all finite groups G_{sc} of these types have odd order. Consequently, by Lemma 5.2, the Sylow 2-subgroup of Z(H)/J of these types is a direct factor, which can be assumed to be trivial for the purposes of the proof.

Types A_3 , ${}^2\!A_3$ and B_2 . We prove the results only for groups of type A_3 and B_2 , 16 because the proofs for groups of type ${}^{2}A_{3}$ are very similar to those of type A_{3} (in 17 the ${}^{2}A_{3}$ case, we take the matrix of the hermitian form to be the identity matrix). 18 Following the notation introduced at the beginning of Section 6, let G_0 be $SL_4(q)$ or 19 $\operatorname{Sp}_4(q) \cong \operatorname{Spin}_5(q)$ in type A_3 or B_2 , respectively. Let $G_1 = \operatorname{GL}_4(q)$ in the first case 20 and $G_1 = CSp_4(q)$ in the second. Here, $CSp_4(q)$ is the group of 4×4 matrices X 21 with entries in \mathbb{F}_q having the property that $X^{tr}fX = af$ for some $a \in \mathbb{F}_q^{\times}$, f being 22 the matrix of the symplectic form. For the purposes of this proof assume that the 23 symplectic form is given as 24

$$f = \begin{bmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \end{bmatrix}.$$

Let G = H/J be a group associated to G_0 . That is, $G_0 \leq H \leq G_1$ and $J \leq Z(H)$. Then a Sylow 2-subgroup $S = S_G$ of G is a section of a Sylow 2-subgroup S_{G_1} of G_1 . Indeed, a Sylow 2-subgroup S_H of H is subgroup of a Sylow 2-subgroup R of $GL_4(q)$. The group R is isomorphic to a wreath product $R = (U_1 \times U_2) \rtimes C_2$ where U_1, U_2 are Sylow 2-subgroups of $GL_2(q)$ [18]. In particular, we use the following notation:

$$s(A,B) = \begin{bmatrix} A & 0\\ 0 & B \end{bmatrix}, \qquad t(A,B) = \begin{bmatrix} 0 & B\\ A & 0 \end{bmatrix} = ws(A,B),$$

where these are matrices of 2×2 blocks, A and B are elements of $\operatorname{GL}_2(q)$ and w = t(I, I). Then R is generated by all s(A, B) for A and B in $S_{\operatorname{GL}_2(q)}$ and the element t(I, I) where I is the 2×2 identity matrix. Note that an element of J must be a scalar matrix $s(\zeta I, \zeta I)$ for some J. Because of the choices of the form, there are Sylow 2-subgroups of $\operatorname{CSp}_4(q)$ that respect this structure

Note that there exist subgroups D_J and M_H of \mathbb{F}_q^{\times} that determine J and H. That is, J is the set of all scalar matrices with diagonal entry in D_J . In type A_3 , H is the subgroup of all elements in $\mathrm{GL}_4(q)$ with determinant in M_H . In type B_2 , H is the subgroup of all X with $X^{tr} f X = af$ for some $a \in M_H$.

³ Suppose that J has odd order. Then, by an eigenvalue argument (cf. [14, Lemma (3.3]), any involution in H is conjugate to a diagonal matrix. Note that in type B_2 (and ${}^{2}A_{3}$), the eigenspaces V_1 and V_{-1} corresponding to the eigenvalues 1 and -1 of an

6 involution u are orthogonal to each other. Consequently, there exists a change of basis
7 matrix that conjugates u into a diagonal matrix and also preserves the form, and it is
8 an element of H. It follows that every elementary abelian 2-subgroup in G is conjugate
9 to a subgroup of the image modulo J of the group of diagonal elements of order 2 in
10 H. Hence, in this case we are finished. For the rest of the proof assume that J has
11 even order.

Next suppose that $S_J \neq S_{Z(H)}$. That is, suppose that there is an element of the center of H whose order is a power of 2, and that is not in J. In particular there exists a scalar element of H whose square is in J. In addition, because the order of J is even, the element s(I, -I) is central in $S = S_G$. Thus, Z(S) has 2-rank 2 and we are done by Lemma 2.2.

We have reduced the proof to the situation in which $S_J = S_{Z(H)}$. Our aim is to show that the centralizer of every involution in S has 2-rank at least 3. This will complete the proof in the cases of types A_3 and B_2 (and 2A_3).

First consider involutions represented modulo J by a matrix of the form s(A, B) in the case that $q \equiv 1 \pmod{4}$ and the type is A_3 or B_2 . (The argument in the case or type 2A_3 with $q \equiv 3 \pmod{4}$ is very similar.) In this case, a Sylow 2-subgroup of GL₂(q) is generated by the elements

$$W = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \quad Y = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \quad \text{and} \quad X_{\zeta} = \begin{bmatrix} \zeta & 0 \\ 0 & 1 \end{bmatrix}$$

for ζ a generator of the Sylow 2-subgroup of \mathbb{F}_q^{\times} . Let T be the subgroup of $S_{\mathrm{GL}_2(G)}$ 24 generated by the scalar matrices of the form $WX_{\zeta^m}WX_{\zeta^m}$ for any m. If the class of 25 $u = s(A, B) \in H$ is an involution in H/J, then $A^2 = B^2 = \mu I$ for some $\mu \in \mathbb{F}_q^{\times}$. 26 The quotient $S_{\mathrm{GL}_2(q)}/T$ is a dihedral group generated by the classes of W and X_{ζ} . An 27 involution in this group must be represented by either W or X_{ζ^m} for some m. Then if 28 the class of u = s(A, B) is an involution in H/J, it has either the form $s(X_{\zeta^m}, X_{\zeta^m})$ or 29 s(A, B) with A and B in the subgroup $V = \langle X_{-1}, W \rangle$. Now notice that the subgroup 30 generated by w and all s(A, B) with $A, B \in V$ is elementary abelian of 2-rank at least 31 3. If $u = s(X_{\zeta^m}, X_{\zeta^m})$ is in H, then so also is w and s(I, -I), and the classes of these 32 elements generate a subgroup of H/J having 2-rank 3. So we are done in this case. 33 Next suppose that the class of s(A, B) is an involution in H/J, in the case that $q \equiv 3$ 34

(mod 4) and the type is A_3 or B_2 . (The same argument works when the type is 2A_3 35 with $q \equiv 1 \pmod{4}$.) In this case $J = Z(GL_4(q))$ has order 2 and is generated by 36 $-I_4$, where I_4 is the 4 × 4 identity matrix. A Sylow 2-subgroup $S_{\text{GL}_2(q)}$ is semidihedral. 37 In this case one of two things can happen. The first is that A and B are actual 38 involutions. If A is a noncentral involution, the subgroup generated by the classes of 39 w, s(A, A) and s(I, -I) has 2-rank 3 in H/J. The other possibility is that A and B 40 have order 4 and commute modulo J. The only possibility here is that A and B are 41 contained in a quarternionic subgroup of order 8 in $S_{GL_2(q)}$. If A is not contained in 42 1 the subgroup generated by B then the classes of w, s(A, B), and s(B, A) generate an elementary abelian subgroup in H/J of order 8. Otherwise, let X be another generator 2 of the quaternionic subgroup. Then the classes of w, s(A, B) and s(X, X) generate an 3 elementary abelian subgroup of order 8. So we are done in this case. 4

19

Finally, suppose that the class of u = t(A, B) = ws(A, B) is an involution in H/J. It must be that $AB = BA = \mu I$ for some $\mu \in \mathbb{F}_q^{\times}$. That is, $B = \mu A^{-1}$. In the case that the type is A_3 , then $s(A, I)^{-1}t(I, \mu I)s(A, I) = t(A, B)$. So every such involution is conjugate to one of the form $y_{\mu} = t(I, \mu I)$. In turn, any y_{μ} commutes with any involution s(A, A) for A not central in $S_{\mathrm{GL}_2(q)}$. Thus, in type A_3 , the centralizer of uhas 2-rank at least 3, and we are done.

So suppose the type is B_2 . We have that $ufu^{tr} = \mu f$ implying that $AYA^{tr} = Y$, 11 as expected. A set of representatives of the generators of $S_{GL_2(q)}$ can be chosen so 12 that their product with their transpose is a scalar matrix (see the above descriptions 13 in addition to [18]). The implication is that v = t(y, y) commutes with u. Thus, the 14 centralizer of u has 2-rank at least 3, as it contains the image in H/J of $\langle u, j, t(-I, I) \rangle$. 15 To summarize, we have proved that the centralizers of the involutions in a group 16 associated to a finite group of Lie type A_3 , 2A_3 and B_2 have 2-rank at least 3, and so 17 there are no maximal elementary abelian 2-subgroups of rank 2. 18

Types ${}^{3}D_{4}$, G_{2} and ${}^{2}G_{2}$. Fong and Milgram [22] studied in great detail the 2-local structure of G in the case that G has type ${}^{3}D_{4}$ or G_{2} , and described the structure of 19 20 the centralizers of the Klein four groups in a fixed Sylow 2-subgroup of G. They proved 21 that these split into two conjugacy classes and that their centralizers both have 2-rank 22 3. While they assumed that $q \equiv 1 \pmod{4}$, the Sylow 2-subgroups are isomorphic 23 to those in the case where $q \equiv 3 \pmod{4}$. So the same conclusion is reached. A 24 detailed description in the general case is in the paper by Fong and Wong [23]. Note 25 that $G_2(q)$ embeds in ${}^{3}D_4(q)$ as a subgroup of odd index, and hence their Sylow 2-26 subgroups are isomorphic (see also [23, Theorem]). We are left with the case of the 27 groups ${}^{2}G_{2}(3^{2n+1})$. By [27, Theorem 4.10.2(e)] (see also [36, Theorem 8.5]), a Sylow 28 2-subgroup of ${}^{2}G_{2}(3^{2n+1})$ is elementary abelian of order 8, and so there are no maximal 29 elementary abelian 2-subgroups of rank 2. 30

This completes the proof of Theorems 6.1 and 6.2.

32

7. When \mathbb{G} is simple, $\ell = p$

When $\ell = p$, the structure of a Sylow ℓ -subgroup of G does not depend on the isogeny type. However, TF(G) can and does depend on the isogeny type because of the fusion of ℓ -subgroups. The following theorem summarizes the calculation of TF(G)in the defining characteristic.

Theorem 7.1. Let G be a finite group of Lie type, as in Definition 1.1. Assume that the ambient algebraic group \mathbb{G} is simple, and $\ell = p$. Then $TF(G) \cong \mathbb{Z}$, provided G is not one of the following types.

40	(a)	$A_1(p),$	1	(e) $A_2(p)$,
		$^{2}A_{2}(p),$	2	(f) $B_2(p)$ and
42	(c)	$^{2}B_{2}(2^{2a+1})$ (for $a \ge 1$),	3	(g) $G_2(p)$.
43	(d)	${}^{2}G_{2}(3^{2a+1}) \ (for \ a \geq 0),$		

4 In these exceptions, TF(G) is given in Tables 7.1 and 7.2.

⁵ We proceed to justify this result. For the simple algebraic group \mathbb{G} fix an *F*-stable ⁶ maximal split torus \mathbb{T} . Let Φ be the root system associated to (\mathbb{G}, \mathbb{T}) . The positive ⁷ (resp. negative) roots are Φ^+ (resp. Φ^-), and Δ is a base consisting of simple roots.

8 Let \mathbb{B} be an *F*-stable Borel subgroup containing \mathbb{T} corresponding to the positive 9 roots, and \mathbb{U} be the unipotent radical of \mathbb{B} . Then $\mathbb{B} = \mathbb{U} \rtimes \mathbb{T}$ with \mathbb{B} and \mathbb{U} being 10 *F*-stable. Set $B = \mathbb{B}^F$ and $U = \mathbb{U}^F$.

There are three kinds of finite groups of Lie type G according to the type of F: 11 (i) the untwisted groups, (ii) the twisted (Steinberg) groups and (iii) the very twisted 12 groups (cf. [13, Section 4], [27, Section 2.3]). In case (ii), F involves a nontrivial 13 graph automorphism τ of order d of the underlying Dynkin diagram, as well as the 14 Frobenius map. The automorphism τ induces a map from Φ to the *twisted root system* 15 Φ of G. Furthermore, we can define an equivalence relation on Φ by identifying positive 16 colinear roots, and let $\widehat{\Phi}$ be the set of equivalence classes. Therefore, we have mappings 17 $\Phi \to \widetilde{\Phi} \to \widehat{\Phi}$. Let $\widehat{\Delta}$ be the image of Δ under this composition of maps and $\widetilde{\Delta}$ be 18 the image of Δ under $\Phi \to \widetilde{\Phi}$. There are root subgroups of G and these are indexed 19 by the elements of $\widehat{\Phi}$. In the case that G is untwisted then $\Phi = \widehat{\Phi} = \widehat{\Phi}$. In case G 20 is a Steinberg group but not ${}^{2}A_{2m}(q)$ we have $\widetilde{\Phi} = \widehat{\Phi}$ (cf. [27, Section 2.3] for more 21 details). 22

As stated in the proof of [32, Proposition 24.21], there is a short exact sequence of groups

$$1 \longrightarrow Z^F \longrightarrow G_{sc} \longrightarrow G \longrightarrow Z_F \longrightarrow 1 .$$

In the case that $\ell = p$, U is a Sylow *p*-subgroup of G. From [32, Table 24.2], p does not divide $|Z^F|$. Therefore, the Sylow *p*-subgroups of G_{sc} and of G are isomorphic for any isogeny type, and so $TF(U_{sc}) \cong TF(U)$.

Given a finite group of Lie type G where the underlying algebraic group is simple 28 when $\ell = p$, one can make reductions to analyzing TF(G) in specific cases as follows. 29 First, $TF(G) \cong \mathbb{Z}$ when $|\Delta| \ge 3$ by [13, Theorems 7.3 and 7.5]. Note that the proofs of 30 these results depend only on the structure of the Sylow ℓ -subgroups. In the case when 31 $|\widehat{\Delta}| = 2$, by [13, Theorems 7.3 and 7.5], $TF(G) \cong \mathbb{Z}$ unless G is $A_2(p), B_2(p)$ or $G_2(p)$. 32 (Recall that we use the non-standard notation that e.g., $B_2(p)$ without any subscript 33 denotes any group in this isogeny class.) The computation for TF(G) for these groups 34 is given in Table 7.1. 1

Table 7.1: $ \widehat{\Delta} = 2$				
G		rank $TF(G)$		
$A_2(p)_{sc}$	p = 2	2		
$A_2(p)_{sc}$	$p \ge 3, p \not\equiv 1 \pmod{3}$	3		
$A_2(p)_{sc}$	$p \ge 3, p \equiv 1 \pmod{3}$	5		
$A_2(p)_{ad}$	p = 2	2		
$A_2(p)_{ad}$	$p \ge 3$	3		
$B_2(p)$	p = 2, 3	1		
$B_2(p)$	$p \ge 5$	2		
$G_2(p)$	p = 2, 3, 5	1		
$G_2(p)$	$p \ge 7$	2		

Finally, in the case that $|\widehat{\Delta}| = 1$, the Sylow ℓ -subgroups are trivial intersection 3 subgroups. The groups G with $|\widehat{\Delta}| = 1$ are $A_1(q)$, ${}^2A_2(q)$, ${}^2B_2(2^{2a+1})$, and ${}^2G_2(3^{2a+1})$. 4 If $G = A_1(q)$ or ${}^2A_2(q)$ with q > p, the Sylow *p*-subgroups of G have a noncyclic center, and therefore $TF(G) \cong \mathbb{Z}$ by Theorem 1.2. For the rest of the cases when $|\widehat{\Delta}| = 1$, 6 TF(G) is given in Table 7.2 (cf. [13, Section 5]). 7

Table 7.2: $ \widehat{\Delta} = 1$			
G		rank $TF(G)$	
$A_1(p)$	$p \ge 2$	0	
$^2A_2(p)_{sc}$	p = 2	0	
${}^{2}A_{2}(p)_{sc}$	$p \ge 3, p \not\equiv -1 \pmod{3}$	1	
$^{2}A_{2}(p)_{sc}$	$p \ge 3, p \equiv -1 \pmod{3}$	3	
$^{2}A_{2}(p)_{ad}$	p = 2	0	
$^{2}A_{2}(p)_{ad}$	$p \ge 3$	1	
$^{2}B_{2}(2)$		0	
$^{2}B_{2}(2^{2a+1})$	a > 0	1	
$^{2}G_{2}(3^{2a+1})$	$a \ge 0$	1	

There is still some explanation needed to justify the data in the tables. We rely on 9 some of the computations in [13] in cases where there is one isogeny type. The results 10 in [13] were only stated for the finite groups of Lie type arising from groups of adjoint 11 isogeny type. Our new result, Theorem 7.1, extends to all finite groups of Lie type. 12 We now proceed to dissect the cases when there is more than one isogeny type. 13

For $A_1(p)$ a Sylow p-subgroup is cyclic of order p, and so TF(G) does not depend 14 on the isogeny type. For $B_2(p) = C_2(p)$, we can use the calculations in [13, Section 8] 15 which handle $B_2(p)_{sc}$ and $B_2(p)_{ad}$. 16

Next we consider the case of $A_2(p)$ where there are two isogeny types. Let $U \cong$ 17 $U_{sc} \cong U_{ad}$ denote a Sylow *p*-subgroup in either type. The Sylow *p*-subgroup U of G 18 is an extraspecial p-group of order p^3 and exponent p, if p > 2. Moreover, if p = 219 then $SL_3(2) \cong PSL_2(7)$ so U is a dihedral group of order 8, and has two maximal 20 elementary abelian 2-subgroups which are not conjugate in U or in G. Consequently, 21 $TF(G) \cong \mathbb{Z} \oplus \mathbb{Z}.$ 1

If p > 2 when G is of type $A_2(p)$, then all the elements of U have order p, and the maximal elementary abelian p-subgroups have rank 2. Set

$$x_{\alpha+\beta} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}, \ x_{\alpha}^{i} x_{\beta}^{j} = \begin{bmatrix} 1 & 0 & 0 \\ i & 1 & 0 \\ 0 & j & 1 \end{bmatrix}$$

The maximal elementary abelian p-subgroups of B all contain the central subgroup 2 generated by $x_{\alpha+\beta}$, and one can choose as the other generator an element of the form 3 $x^i_{\alpha} x^j_{\beta}$ (i.e., elements in the Frattini quotient of $U, U/\Phi(U)$). 4

Since $B \cong U \rtimes T$ stabilizes the central subgroup of U, it follows that the B-conjugacy 5 classes of maximal elementary abelian p-subgroups are in one to one correspondence 6

with the T-conjugacy classes on $X = U/\Phi(U)$. 7

8

Consider the action by conjugation of the group $T = \{t_{a,b,c} \mid a, b, c \in \mathbb{F}_p^{\times}\}$ where $t_{a,b,c}$ is the 3×3 diagonal matrix with entries a, b, c. Let |X/T| be the number of T-conjugacy classes on X. Then by a well-known lemma stated by Burnside (due to Frobenius):

$$|X/T| = \frac{1}{|T|} \sum_{t \in T} |X^t|.$$

8 where $X^t = \{x \in X \mid t : x = x\}$. In this case, a direct computation shows that

(7.1)
$$|X^{t_{a,b,c}}| = \begin{cases} 0 & a \neq b \text{ and } b \neq c, \\ p^2 - 1 & a = b = c, \\ p - 1 & [a = b \text{ and } b \neq c] \text{ or } [a \neq b \text{ and } b = c]. \end{cases}$$

By keeping track of the number of elements that occurs in each case of (7.1), it follows that

$$X/T| = \frac{1}{(p-1)^3} [(p-1)(p^2-1) + 2(p-1)(p-2)(p-1)] = 3.$$

9 Consequently, for $G = \operatorname{GL}_3(p)$, $TF(B) = \mathbb{Z}^{\oplus 3}$. The argument can be easily adapted 10 to also show that for $G = \operatorname{PGL}_3(p)$, and for $\operatorname{SL}_3(p)$ when $p \not\equiv 1 \pmod{3}$, one has

11 |X/T| = 3, and $TF(B) = \mathbb{Z}^{\oplus 3}$.

Now, set $T = \{t_{a,b,c} \mid abc = 1\}$ and consider $SL_3(p)$ for $p \equiv 1 \pmod{3}$. Then (7.1) yields

$$|X/T| = \frac{1}{(p-1)^2} [3(p^2 - 1) + 2(p-4)(p-1)] = 5.$$

¹² Consequently, $TF(B) = \mathbb{Z}^{\oplus 5}$. Finally, for all the cases when $G = A_2(p)$ one has ¹³ $TF(G) \cong TF(B)$ by using the Bruhat decomposition.

Next we consider the case of ${}^{2}A_{2}(p)$. When p = 2, U is a quaternion group and the 2-rank of U is 1. Therefore, in this case $TF(G) = \{0\}$.

Now assume that $p \ge 3$. The case where $G = SU_3(p)$ was done in [13, Section 5]. This corresponds to ${}^{2}A_2(p)_{sc}$ (not ${}^{2}A_2(p)_{ad}$ which is incorrectly stated in [13, Section 5]).

Now consider $G = \operatorname{PGU}_3(p)$ for $p \geq 3$. We will use explicit matrices in $\operatorname{GU}_3(p)$ and the conventions in [13, Section 5]. As in the untwisted case we consider $D = \{t_{a,b,c} \mid a, b, c \in \mathbb{F}_{p^2}^{\times}\}$, and $D \cap \operatorname{GU}_3(p)$. The relations we obtain by intersecting are $ac^p = 1$, $b^{p+1} = 1$, and $ca^p = 1$. In U there are p + 1 elementary abelian p-subgroups of p-rank given by $E_i = \langle x_i, z \rangle$, $1 \leq i \leq p + 1$. Let t be a generator for $\mathbb{F}_{p^2}^{\times}$. The elements x_i and z are defined by

(7.2)
$$x_{i} = \begin{pmatrix} 1 & 0 & 0 \\ t^{i} & 1 & 0 \\ b_{i} & t^{ip} & 1 \end{pmatrix} \text{ with } b_{i} + b_{i}^{p} = t^{i(p+1)} ,$$
$$z = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ u & 0 & 1 \end{pmatrix} \text{ where } u \in \mathbb{F}_{p^{2}} \text{ satisfies } u + u^{p} = 0.$$

For any j, we can find $a \in \mathbb{F}_{p^2}^{\times}$ and b, c such that $a^{-1}b = t^j$ satisfying the aforementioned relations as follows. Set $a = t^{(p-1)-j}$, $b = t^{p-1}$ and $c = t^{-((p-1)-j)p}$. Then

(7.3)
$$t_{a,b,c}x_it_{a,b,c}^{-1} = \begin{bmatrix} 1 & 0 & 0 \\ a^{-1}bt^i & 1 & 0 \\ a^{-1}cb_i & b^{-1}ct^{ip} & 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ t^{i+j} & 1 & 0 \\ a^{-1}cb_i & t^{(i+j)p} & 1 \end{bmatrix} .$$

7 One can verify that $a^{-1}cb_i$ satisfies the equation in (7.2) with *i* replaced with i+j. This 8 shows that under conjugation by elements in $D \cap \text{GU}_3(p)$, there is a single conjugacy 9 class among $\{E_i \mid 1 \leq i \leq p+1\}$. Hence, for $G = \text{PGU}_3(p)$ with $p \geq 3$, $TF(G) \cong \mathbb{Z}$.

10

8. Extending the results from simple to reductive groups

Let $G = \mathbb{G}^F$ be a finite group of Lie type arising from a connected reductive algebraic group \mathbb{G} and a Steinberg endomorphism F of \mathbb{G} . In this section, we show that the torsion free rank of the group of endotrivial modules of G can be obtained by considering the components of the decomposition of \mathbb{G} as a product of simple algebraic groups. Our detailed analysis completes the proofs of Theorems A and B.

From [17, 1.8], we have that $\mathbb{G} = [\mathbb{G}, \mathbb{G}] \cdot \mathbb{S}$ where the derived subgroup $[\mathbb{G}, \mathbb{G}]$ is semisimple and $\mathbb{S} = Z(\mathbb{G})^0$ is the connected center of \mathbb{G} . The intersection of these groups $Z = [\mathbb{G}, \mathbb{G}] \cap \mathbb{S}$ is a finite group. Therefore, we have an exact sequence

$$(8.1) 1 \longrightarrow Z \longrightarrow [\mathbb{G}, \mathbb{G}] \times \mathbb{S} \longrightarrow \mathbb{G} \longrightarrow 1.$$

19 Set $G = \mathbb{G}^F$ and $G_{ss} = [\mathbb{G}, \mathbb{G}]^F$. Upon taking fixed points, one obtains an exact 20 sequence (cf. [32, Lemma 24.20])

$$(8.2) 1 \longrightarrow Z^F \longrightarrow G_{ss} \times \mathbb{S}^F \xrightarrow{\psi} G \longrightarrow Z_F \longrightarrow 1$$

with Z_F denoting co-invariants. Here, ψ is injective on restriction to both G_{ss} and \mathbb{S}^F . Since $[\mathbb{G}, \mathbb{G}]$ is semisimple one can express $[\mathbb{G}, \mathbb{G}] = \mathbb{H}_1 \cdots \mathbb{H}_s$ where each \mathbb{H}_i is a central product of n_i isomorphic simple algebraic groups \mathbb{K}_i where F preserves \mathbb{H}_i and $\mathbb{H}_i^F \cong \mathbb{K}_i^{F^{n_i}}$ [27, Proposition 2.2.11], the fixed points of \mathbb{K}_i under F^{n_i} . So there is an exact sequence

$$(8.3) 1 \longrightarrow A \longrightarrow \mathbb{H}_1 \times \cdots \times \mathbb{H}_s \longrightarrow [\mathbb{G}, \mathbb{G}] \longrightarrow 1$$

2 for a finite abelian group A of order prime to p. Once again, we apply [32, Lemma 3 24.20] to get the exact sequence

$$(8.4) 1 \longrightarrow A^F \longrightarrow \mathbb{H}_1^F \times \cdots \times \mathbb{H}_s^F \longrightarrow G_{ss} \longrightarrow A_F \longrightarrow 1.$$

4 For each *i*, set $H_i = \mathbb{H}_i^F \leq G_{ss}$. In addition, we have the following statements.

5 (i)
$$|Z_F| = |Z^F|$$
 and $|A_F| = |A^F|$

- 6 (ii) Suppose that x is an element in G that is not in G_{ss} . For any i, conjugation 7 by x preserves H_i . Moreover, if H_i is isomorphic to $\mathrm{SL}_n(q)$, $\mathrm{SU}_n(q)$ or $\mathrm{Sp}_n(q)$, 8 then x induces on H_i an automorphism that coincides with conjugation by an
- element in (respectively) $\operatorname{GL}_n(q)$, $\operatorname{GU}_n(q)$ or $\operatorname{CSp}_n(q)$.

The equalities in (i) follow from the fact that the order of a finite group of Lie 10 type is independent of the isogeny type, which is a consequence of the order formula 11 [32, Corollary 24.6]. For (ii), let $x \in G$ with $x \notin G_{ss}$. From (8.1), x = gz where 12 $g \in [\mathbb{G},\mathbb{G}]$ and $z \in \mathbb{S}$ with $z \neq 1$. Here F(x) = x, so that $g^{-1}F(g) = zF(z^{-1})$. 13 Moreover, from (8.3), $g = h_1 h_2 \dots h_s$ with $h_j \in \mathbb{H}_j$ for $j = 1, 2, \dots, s$. Because z is 14 central and $H_1 \cdots H_s$ is a central product, action of conjugation by x on H_i is the same 15 as conjugation by h_i . Thus, h_i is an element of \mathbb{H}_i that normalizes H_i . As explained 16 in [27, Proposition 2.5.9(b)], this means that h_i lies in the preimage of $(\mathbb{H}_i/Z)^F$ in 17 \mathbb{H}_i , with Z a central subgroup of \mathbb{H}_i . Now, if H_i is $\mathrm{SL}_n(q)$, $\mathrm{SU}_n(q)$ or $\mathrm{Sp}_n(q)$, then 18 we can without restriction assume that \mathbb{K}_i is either SL_n or Sp_n . Let \mathbb{K}_i be GL_n and 19 CSp_n respectively, and let $\tilde{\mathbb{H}}_i$ be the corresponding central product, constructed as for 20 \mathbb{H}_i . Note that $\mathbb{H}_i \leq \tilde{\mathbb{H}}_i$, that the central subgroup \tilde{Z} of $\tilde{\mathbb{H}}_i$ is connected, and that 21 $(\mathbb{H}_i/Z)^F \cong (\tilde{\mathbb{H}}_i/\tilde{Z})^F$. The preimage of $(\tilde{\mathbb{H}}_i/\tilde{Z})^F$ in $\tilde{\mathbb{H}}_i$ equals $\tilde{\mathbb{H}}_i^F \tilde{Z}$, as \tilde{Z} is connected, 22 so $h_i \in \tilde{\mathbb{H}}_i^F \tilde{Z}$. Hence, h_i , and therefore x, induce the same conjugation on H_i as an 23 element in \mathbb{H}_{i}^{F} , which is what we claimed in (ii). The main theorem of this section is 24 the following. 25

Theorem 8.1. Suppose that G is a finite group of Lie type with $G = \mathbb{G}^F$ for \mathbb{G} a connected reductive algebraic group over an algebraically closed field of characteristic p, and F a Steinberg endomorphism. Assume that TF(G) has rank greater than 1. If $\ell \neq p$ then $G \cong U \times V$ where V has order prime to ℓ and $TF(G) \cong TF(U)$. Moreover,

(a) if $2 < \ell \neq p$ then U is one of the groups listed in Theorem 3.1, and (b) if $\ell = 2 \neq p$ then U is one of the groups listed in Theorem 6.1 and V is abelian.

In the event that $\ell = p$, then $G/Z(G) \cong H/Z(H)$, where H is one of the groups in Tables 7.1 and 7.2.

The proof is divided into three cases. First we deal with $\ell = p$, and then with $\ell \neq p$, which is again divided into two steps depending on whether ℓ is odd or even. Throughout the proof we employ the conventions introduced prior to the theorem.

Observe first that if $G = U \times V$, and ℓ does not divide |V|, then the restriction map provides an isomorphism $TF(G) \xrightarrow{\cong} TF(U)$. This is because, in this case, any endotrivial kU-module becomes an endotrivial kG-module on inflation, so the restriction map $T(G) \to T(U)$ is surjective; and it has finite kernel, again because the index of Uin G is prime to ℓ .

Proof of Theorem 8.1 when $\ell = p$. In this case the groups Z^F and Z_F have order relatively prime to ℓ . Hence, ψ induces an isomorphism on Sylow ℓ -subgroups. Note that, as we are in the defining characteristic, ℓ divides the order of each H_i . However, then s = 1 in (8.4), as otherwise a Sylow ℓ -subgroup S of G would split as a non-trivial direct product implying $TF(G) \cong \mathbb{Z}$ by Lemma 2.2. This also means that A = 1, and $G_{ss} = H_1$. We have a central extension $1 \to \mathbb{S} \to \mathbb{G} \to \mathbb{G}/\mathbb{S} \to 1$ producing on fixed-points another central extension

$$1 \to \mathbb{S}^F \to \mathbb{G}^F \to (\mathbb{G}/\mathbb{S})^F \to 1$$

where $(\mathbb{G}/\mathbb{S})^F \cong \mathbb{K}^{F^{n_1}}$ for some simple algebraic group \mathbb{K} by [27, Proposition 2.2.11]. 2

Now set $H = \mathbb{K}^{F^{n_1}}$ so that $G/Z(G) \cong H/Z(H)$. Observe that $TF(G) \xrightarrow{\cong} TF(H)$ by 3

Proposition 2.4. Hence, Theorem 7.1 says that H is one of the groups listed in Tables 4

7.1 and 7.2. 5

Proof of Theorem 8.1 when $3 \leq \ell \neq p$. Assume that TF(G) is not cyclic. 6

STEP 1: We prove first that the prime ℓ does not divide $|H_i|$ for more than one *i*. 7 Assume that TF(G) is not cyclic and that there is more than one H_i whose order 8 is divisible by ℓ . Note that ℓ has to divide $|Z(H_i)|$ every time it divides $|H_i|$, since 9 otherwise a Sylow ℓ -subgroup S of G splits as a non-trivial direct factor implying 10 that Z(S) has ℓ -rank at least 2. This means that we are done by Lemma 2.2. The 11tables of centers of the finite groups of Lie type (cf. [32, Table 24.2]) show that if ℓ 12 divides $|Z(H_i)|$, then H_i has one of the types: $A_{n-1}(q)$ for $\ell \mid (n, q-1), {}^{2}A_{n-1}(q)$ for 13 $\ell \mid (n, q+1), E_6(q)$ with $\ell = 3$, or ${}^2E_6(q)$ with $\ell = 3$. Hence, we can assume that H_i 14 is one of these types when ℓ divides $|Z(H_i)|$. The two last cases, involving the groups 15 of type E, can furthermore be eliminated, using Theorem 2.3, as the 3-ranks of $E_6(q)$ 16 and ${}^{2}\!E_{6}(q)$ are 6. 17

We now deal with the groups of type A. Because ℓ divides n, the ℓ -ranks of these 18 groups are at least $\ell - 1$. Therefore, if we have more than one H_i of order divisible 19 by ℓ , and none of the groups splits off as a direct factor, the ℓ -rank of the resulting 20 group will be at least $(\ell - 1) + (\ell - 1) - 1 = 2\ell - 3$. This number has to be at most 21 ℓ by Theorem 2.3. So we conclude that the only possibility is that $\ell = 3$ and n = 2, 22 assuming that ℓ divides the order of the center of H_i . 23

Note that if there is an H_i whose order is not divisible by 3, then H_i is a Suzuki 24 group (Lie type ${}^{2}B_{2}$), and these groups have trivial centers. So for the purposes of 25 our argument, we may assume that there are exactly two components H_1 and H_2 both 26 having order divisible by 3. Moreover, because $Z(H_1)$ and $Z(H_2)$ are not trivial we 27 have that these groups must be the finite groups arising from the simply connected 28 algebraic groups: $H_i = SL_3(q_i)$ where 3 divides $q_i - 1$, or $H_i = SU_3(q_i)$ with 3 dividing 29 $q_i + 1$. Let 3^{t_i} be the highest power of 3 dividing $q_i - 1$ in the first case and dividing 30 $q_i + 1$ in the second. 31

In the exact sequence (8.4), the image of the group A^F is central in $H_1 \times H_2$ and 32 hence it must have order either 1 or 3. Similarly in sequence (8.2), the image of Z^F in 33 $H_1H_2 = G_{ss}$ is central and its order is either 1 or 3. We claim first that if $A^F = \{1\}$, 34 then we are done. The reason is that then $G_{ss} \cong H_1 \times H_2$ which has 3-rank 4. The 35 map ψ is injective on G_{ss} , so that G also has 3-rank 4, and we are finished by Theorem 1 2.3(a). Hence, $G_{ss} = H_1 H_2$ is the central product of H_1 and H_2 over a central subgroup 2 of order 3. 3

Let S_i be a Sylow 3-subgroup of H_i and S a Sylow 3-subgroup of G. Each S_i can be 4 chosen to have a maximal toral subgroup $T_i = C_{3^{t_i}} \times C_{3^{t_i}}$ of diagonal matrices with an 5 element of order 3 in the form of a permutation matrix acting on it. Thus, its center 6 has order 3^{t_i} . 7

Suppose that $|Z^F| = 1$. In the event that both t_1 and t_2 are greater than 1, there are 8 elements $y_1 \in Z(S_1)$ and $y_2 \in Z(S_2)$ having order 9 such that $y_1^3 = z_1$ and $y_2^3 = z_2$ are 9 the central elements in H_1 and H_2 that are identified when A^F is factored out. Thus, 10

the classes of $y_1y_2^{-1}$ and z_2 modulo A^F are in the center of S and the center of S has 11 3-rank equal to 2. Consequently, we are done in this case and we may assume that 12 $t_1 = 1.$ 13

Still assuming that $|Z^F| = 1$, we are down to the situation that S_1 is an extraspecial 14 group of order 27 and exponent 3. If the class of $(x, y) \in S_1 \times S_2$ modulo A^F has order 15 3, then $(x,y)^3 = (1,y^3) \in A^F$ and y has order 3. Thus, the class of (x,y) modulo A^F 16 commutes with those of (x, 1) and (1, y). In this way we see that the centralizer of 17 every element of order 3 in S has 3-rank at least 3, and we are done with this case. 18 19

We conclude that $|Z^F| = 3$ and we can assume that S is an extension:

$$1 \longrightarrow S_1 S_2 \longrightarrow S \longrightarrow Z_F \longrightarrow 1$$

where Z_F is cyclic of order 3. From the above arguments, we know that the centralizers 20 of elements of order 3 in S_1S_2 have 3-rank 3. For the purposes of this proof, assume 21 that $H_i \cong SL_3(q_i)$. Let $x \in S$ be an element of order 3 that is not in S_1S_2 . Then x 22 must act on S_1 as conjugation by an element \hat{x} of $GL_3(q_1)$. So \hat{x} is conjugate (by an 23 element $SL_3(q_1)$ to an element of the diagonal torus. Therefore, its centralizer K_1 in 24 $H_1 \cong SL_3(q_1)$ has 3-rank 2. The same happens for the centralizer K_2 of its action on 25 H_2 . By a similar argument, the same condition holds when H_1 or H_2 is isomorphic 26 to $SU_3(q)$. It follows that the subgroup of G generated by x, K_1 and K_2 has 3-rank 27 at least 4. Hence, G has 3-rank at least 4 and we are done by Theorem 2.3(a). This 28 completes the first step. 29

STEP 2: In this step we complete the proof assuming that ℓ divides $|H_1|$ and does not 30 divide $|H_i|$ for i > 1. Assume that TF(G) has rank greater than 1. We wish to show 31 that G has the form $U \times V$, where V has order prime to ℓ and U is one of the groups 32 listed in Theorem 3.1. 33

If $\ell \nmid |Z(H_1)|$, then a Sylow ℓ -subgroup of H_1 is a direct factor in some Sylow ℓ -34 subgroup of G. As the ℓ -part of the center of a Sylow ℓ -subgroup of G is cyclic if the 35 rank of TF(G) is greater than one, we conclude that $|\mathbb{S}^F|$ is prime to ℓ . Hence, G has 36 the same ℓ -local structure as H_1 . Theorem 3.1 now shows that H_1 is isomorphic to one 37 of the groups listed in that theorem. In particular $Z(H_1) = 1$, so $G \cong H_1 \times V$ for some 38 ℓ' -group V, as asserted. 39

Next suppose that $\ell \mid |Z(H_1)|$. Our aim is to prove that there are no groups with 40 TF(G) having rank greater than 1 that can occur, thus finishing the proof in the case 41 that $\ell \geq 3$. First note that, with our assumptions, G has the same ℓ -local structure 42 as $(\mathbb{G}/(\mathbb{H}_2\cdots\mathbb{H}_s))^F$, and that the ℓ -part of \mathbb{S}^F is cyclic as the ℓ -part of Z(G) is. The 43 rank argument from Step 1 shows that H_1 must have Lie type A. More precisely, we 1 must have $H_1 \cong \mathrm{SL}_{\ell}(q)$ with $\ell \mid (q-1)$ or $H_1 \cong \mathrm{SU}_{\ell}(q)$, with $\ell \mid (q+1)$. The sequence 2 (8.2) shows that the ℓ -local structure of G must agree with that of a central product 3 $\langle H_1, \zeta \rangle \Delta$ where ζ is an element with determinant of order ℓ inside $\operatorname{GL}_{\ell}(q)$ or $\operatorname{GU}_{\ell}(q)$, 4 Δ is cyclic of order ℓ^t , for some t, and $\langle H_1, \zeta \rangle \cap \Delta$ has order ℓ . However, such a group 5 has the same poset of conjugacy classes of elementary abelian ℓ -subgroup as $\langle H_1, \zeta \rangle$, 6 which is an associated group as defined in Section 5. Hence, the torsion free rank of 7 the group of endotrivial modules cannot be larger than 1, as the group does not appear 8 in Theorem 5.3. 9

10 Proof of Theorem 8.1 when $2 = \ell \neq p$. Assume first that s > 1 and that TF(G) has 11 rank greater than 1. We want to show that this case cannot occur. Observe first that 12 every factor H_i , being a nonabelian finite group of Lie type, has even order, as does 13 $H_i/Z(H_i)$. In addition, the order of the center of any factor must be even, as otherwise 14 a Sylow 2-subgroup of H_i is a direct factor of some Sylow 2-subgroup of G and hence 15 its center has 2-rank greater than 1. As a result we can assume that every H_i has type 16 A_n , for n odd, B_n , C_n , D_n or E_7 by the table of orders of centers in [32, Table 24.2].

Recall that by Theorem 2.3, the sectional 2-rank of G can not be 5 or more. The 17 group G contains the direct product $H_1/Z(H_1) \times \cdots \times H_s/Z(H_s)$ as a section. From 18 the proof of Theorem 6.2, we know that the sectional 2-rank of a group of type A_1 or 19 ${}^{2}A_{1}$ is 2, while the sectional 2-rank of a group of type A_{n} or ${}^{2}A_{n}$ for $n \geq 3$ is at least 3. 20 In addition, the sectional 2-ranks for groups of types B_n , C_n , D_n and E_7 are at least 3. 21 As a result, the only possible situation with sectional 2-rank less than 5 occurs when 22 there are exactly two components H_1 and H_2 both of type A_1 or 2A_1 . We henceforth 23 assume that this is the situation. 24

Because ψ is injective on restriction to \mathbb{S}^F . It must be that Z^F is either trivial or has order 2. In addition, the image W of the inclusion of Z^F into $G_{ss} \times \mathbb{S}^F$ followed by the 25 26 projection onto \mathbb{S}^F must be the Sylow 2-subgroup of \mathbb{S}^F . The reason is that otherwise, 27 the quotient group $G_{ss}/Z(G_{ss}) \times \mathbb{S}^F/W$, which is a section of G, has sectional 2-rank 28 5 and by Theorem 2.3(b), $TF(G) \cong \mathbb{Z}$. If Z^F is trivial, then so is Z_F and a Sylow 2-29 subgroup S of G is either a direct product or a central product of quaternion groups. In 30 the first case, Z(S) has 2-rank 2 and we are done by Lemma 2.2. A direct calculation 31 shows that the all maximal elementary abelian 2-subgroups of a central product of 32 quaternion groups have 2-rank 3. 33

Hence, we may assume that Z^F has order 2 and that S is an extension (cf. the exact sequence (8.2))

$$1 \longrightarrow S_1 S_2 \longrightarrow S \longrightarrow C_2 \longrightarrow 1$$

where S_1 , S_2 are normal quaternion subgroups and S_1S_2 is a central product. We have noted already that the centralizer of any involution in S_1S_2 has 2-rank 3. We need only show the same for any involution x not in S_1S_2 . The involution x must act on each S_i as an element of $GL_2(q)$, which means that it must normalize, but not centralize, some (necessarily cyclic, since S_i are quaternion) subgroup $\langle y_1 \rangle$ of order 4 in S_1 and another $\langle y_2 \rangle$ in S_2 . But then $y_1^2 = y_2^2$ is the nontrivial central element in S_1S_2 , and hence y_1y_2 is a noncentral involution in the centralizer of x. So we have shown $c_G(x)$ has 2-rank at least 3. Therefore, we have reduced ourselves to situation where s = 1.

Now assume that s = 1. We follow the pattern of Step 2 of the proof in the case 2 that $p \neq \ell \geq 3$. As shown in that proof, we may assume that $\ell = 2$ divides the order of 3 $Z(H_1)$, as otherwise $G \cong H_1 \times V$ where H_1 is one of the listed groups. In addition we 4 may assume that H_1 has sectional 2-rank at most 4. The combination of the conditions 5 that $2 \mid |Z(H_1)|$ and that the sectional rank be less than 5, means that H_1 must have 6 one of the types A_1 , 2A_1 , A_3 , 2A_3 or B_2 (see Theorem 6.3 and [32, Table 24.2]). Then 7 as in Step 2 of the odd characteristic case, the 2-local structure of H_1 is that of a 8 central product. Note that in the case that H_1 has type B_2 and $H_1 = \text{Sp}_4(q)$, then 9 the element ζ has order 2 in $CSp_4(q)$. We note also that if H_i has type A_3 , and $q \equiv 1$ 10

modulo 4, then a Sylow 2-subgroup of H_1 has a rank 3 torus that is a characteristic 11 subgroup. It follows that $TF(G) \cong \mathbb{Z}$, as we have seen before. The same happens if 12 H_1 has type 2A_3 and $q \equiv 3 \pmod{4}$. Hence, the only possibilities are that H_1 is one 13 of $SL_2(q) \cong SU_2(q)$, $SL_4(q)$ with $q \equiv 3 \pmod{4}$, $SU_4(q)$ with $q \equiv 1 \pmod{4}$ or $Sp_4(q)$. 14 As before we conclude that the group G has the same poset of conjugacy classes of 15 elementary abelian 2-subgroups as an associated group to H_1 as defined in Section 5. 16 In the case that $\ell = 2$ these groups were treated in Section 6. In particular, Theorem 17 6.2 is sufficient to finish the proof. 18

¹⁹ This finishes the proof of Theorem 8.1. We now verify that this indeed proves the ²⁰ main theorems.

21 Proof of Theorems A and B. First recall that Theorem B is equivalent to Theorem A 22 by Theorem 1.2, where in Theorem B we have sorted the list by ℓ -rank instead of by 23 prime. To verify Theorem A, suppose that TF(G) has rank greater than 1.

If $\ell \neq p$ and $\ell > 2$, then Theorem 8.1(a) says that $G \cong H \times K$ where $\ell \nmid |K|$ and His listed in Theorem 3.1, which is the list in Theorem A(1) with $\ell \neq 2$.

If $\ell \neq p$ and $\ell = 2$ then Theorem 8.1(b) tells us that $G \cong H \times K$ with $\ell \nmid |K|$ and *H* \cong PGL₂(*q*) \cong PGU₂(*q*), which is the list in Theorem A(1) with $\ell = 2$.

Now suppose that $\ell = p$. Then the last part of Theorem 8.1 says that $G/Z(G) \cong$ H/Z(H), where H is one of the groups in Theorem 7.1 with the rank of TF(H)greater than 1. An inspection of Tables 1 and 2 now shows that H is either ${}^{2}A_{2}(p)_{sc}$ with $3 \mid p+1, A_{2}(p)_{sc}, A_{2}(p)_{ad}, B_{2}(p)_{sc}$ with $p \geq 5, B_{2}(p)_{ad}$ with $p \geq 5$, or $G_{2}(p)$ with $p \geq 7$. This produces the list for $G/Z(G) \cong H/Z(H)$ given in Theorem A(2), by translating into classical group notation.

The theorems and tables quoted in Theorem A give the indicated ranks, finishing the proof of that theorem. $\hfill \Box$

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