



23 Audiometric testing in research and in clinical settings rarely considers frequencies above 8 kHz.  
24 However, the sensitivity of young healthy ears extends to 20 kHz, and there is increasing evidence  
25 that testing in the extended high-frequency (EHF) region, above 8 kHz, might provide valuable  
26 additional information. Basal (EHF) cochlear regions are especially sensitive to the effects of aging,  
27 disease, ototoxic drugs, and possibly noise exposure. Hence, EHF loss may be an early warning of  
28 damage, useful for diagnosis and for monitoring hearing health. In certain environments, speech  
29 perception may rely on EHF information, and there is evidence for an association between EHF  
30 loss and speech perception difficulties, although this may not be causal: EHF loss may instead be a  
31 marker for sub-clinical damage at lower frequencies. If there is a causal relation, then amplification  
32 in the EHF range may be beneficial if the technical difficulties can be overcome. EHF audiometry in  
33 the clinic presents with no particular difficulty, the biggest obstacle being lack of specialist  
34 equipment. Currently EHF audiometry has limited but increasing clinical application. With the  
35 development of international guidelines and standards, it is likely that EHF testing will become  
36 widespread in future.

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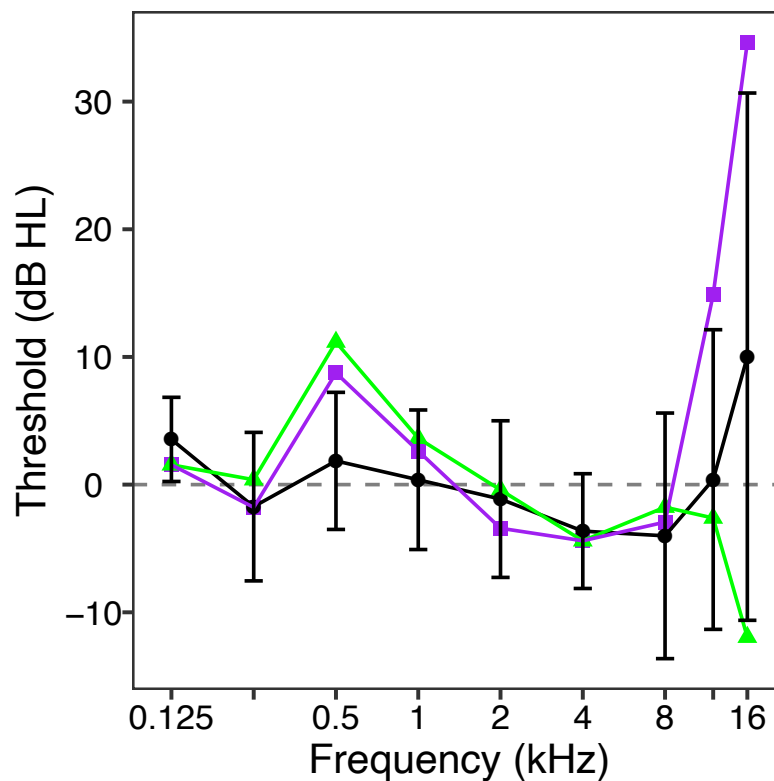
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## 44 I. INTRODUCTION

45 Pure-tone audiometry (PTA), the basis of clinical hearing testing, involves measurements of  
46 hearing thresholds for pure tones over a range of test frequencies, although frequencies above 8  
47 kHz are rarely included. For example, the British Society of Audiology (2018) recommends  
48 testing between 250 Hz and 8 kHz. Standard PTA has a wide range of practical uses, including  
49 clinical diagnosis, hearing aid fitting, and occupational hearing health monitoring. Standard PTA  
50 is also used extensively in hearing research, for assessment of hearing loss and as a screening tool  
51 for participants. However, for young people with normal hearing, sensitivity extends up to 20  
52 kHz, and there is increasing interest in examining sensitivity at frequencies above 8 kHz: the  
53 “extended high-frequency” (EHF) range (Hunter *et al.*, 2020).

54 Even among listeners with normal hearing in the standard PTA frequency range, the variability  
55 in EHF thresholds can be substantial (Lee *et al.*, 2012). The filled circles in Fig. 1 show mean  
56 hearing thresholds for a group of young listeners, measured using circum-aural headphones  
57 specialized for EHF testing. The error bars (standard deviations) plotted in the figure show that  
58 there is much more between-listener variability at EHF compared to lower frequencies. Also  
59 shown are thresholds for two listeners with similar thresholds in the standard clinical range, but  
60 with wildly different thresholds in the EHF range, particularly at 16 kHz. Both these listeners  
61 would be regarded as having “normal hearing” if they were tested in an audiology clinic, but it is  
62 obvious that their hearing sensitivities differ greatly in the EHF region. What are the causes of  
63 this variability, and what do their EHF thresholds have to tell us about the real-world hearing  
64 abilities of these individuals?

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67 FIG. 1. (Colour online). Mean hearing threshold as a function of frequency for a group of  
 68 normal-hearing listeners, aged 19-39 yrs (black circles). Error bars show +/- 1 standard  
 69 deviation. The purple squares and green triangles show the results for two listeners with very  
 70 similar thresholds up to 8 kHz, but markedly different thresholds above 8 kHz (in the EHF  
 71 range). Data from Carcagno and Plack (2020).

## 72 II. MEASURING EHF THRESHOLDS

73 A problem with measuring EHF thresholds accurately is that standing wave interference  
 74 patterns in the ear canal, which are particularly prominent in the EHF region, lead to frequency-  
 75 dependent variations in the sound pressure level at the eardrum for a given nominal input level  
 76 (Souza *et al.*, 2014; Bharadwaj *et al.*, 2019). Hence, some of the variability in EHF thresholds seen

77 in Fig. 1 may result from problems of calibration in the EHF range, due in part to individual  
78 differences in ear canal anatomy. This is particularly an issue for insert earphones, as compared  
79 to circum-aural headphones which theoretically should be less affected by the properties of the  
80 ear canal due to their lower impedance (Bharadwaj *et al.*, 2019). Thresholds for insert earphones  
81 at frequencies above 3 kHz can also be affected by insertion depth (Souza *et al.*, 2014). However,  
82 even in the case of insert earphones, calibration issues probably don't account for more than  
83 about 20 dB of the variance in thresholds (Souza *et al.*, 2014; Bharadwaj *et al.*, 2019). When using  
84 a depth-compensated calibration technique for insert earphones, Lee *et al.* (2012) found much  
85 more variability in thresholds at EHF's compared to lower frequencies, even among young  
86 listeners.

87 The standing wave confound can be avoided by using "forward pressure level (FPL)"  
88 calibration, which is based on an accurate estimation of sound level at the eardrum (Souza *et al.*,  
89 2014; Lapsley Miller *et al.*, 2018; Bharadwaj *et al.*, 2019). However, currently this requires  
90 expensive specialist equipment, such as the Etymotic ER10X system. Test-retest reliability for  
91 circum-aural high-frequency headphones, such as Sennheiser HDA 200s, is good (Frank, 2001;  
92 Hunter *et al.*, 2020), and at present it is not clear that the clinical benefits of FPL calibration  
93 outweigh the expense and technical difficulties.

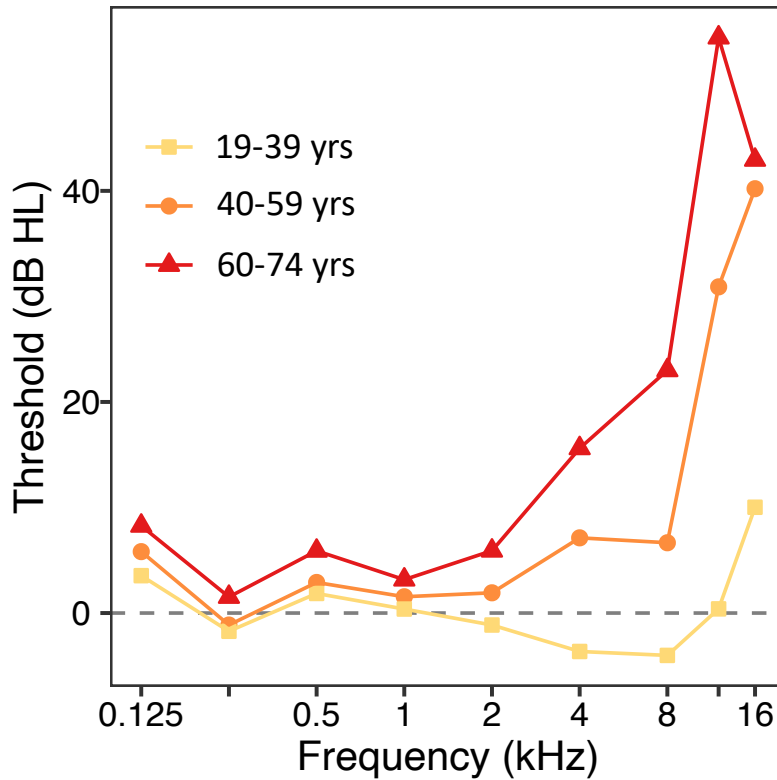
94 In some studies, bands of noise, rather than pure tones, have been used to measure EHF  
95 thresholds with circum-aural headphones (Guest *et al.*, 2017; Prendergast *et al.*, 2017). This  
96 approach is based on the assumption that thresholds for noise bands, being dependent on the  
97 response to a broad frequency range, will be less affected by variations in the frequency response  
98 of the ear canal compared to thresholds measured using pure tones.

99    **III.    CAUSES OF EHF HEARING LOSS**

100    **A. Age**

101    Hearing deteriorates as we age, from early adulthood onwards. A “ski-slope” loss in the  
102    audiogram is characteristic of the effects of aging, with high frequencies affected much more  
103    than low frequencies. Histopathological data from human temporal bones show substantial age-  
104    related loss of inner and outer hair cells, particularly in the cochlear base (Wu *et al.*, 2021). EHF  
105    thresholds are particularly sensitive to the early effects of aging, and age-related EHF threshold  
106    elevations are seen even in young populations (Stelmachowicz *et al.*, 1989; Jilek *et al.*, 2014). For  
107    example, Stelmachowicz *et al.* (1989) reported thresholds above 14 kHz to be about 10-20 dB  
108    higher for listeners aged 20-29 years compared to listeners aged 10-19 years, even though  
109    thresholds at 8 kHz were almost identical for these groups. Fig. 2 shows typical patterns of  
110    hearing thresholds as a function of age and frequency.

111    Age-related hearing loss is due in part to the age-related reduction in the endocochlear potential,  
112    which degrades hair cell function. However, the effects of age *per se* are compounded by the  
113    cumulative effects of other insults over time, which may include lifetime noise exposure and the  
114    effects of ototoxic drugs (Dubno *et al.*, 2013). These two causes tend to affect the cochlear base,  
115    and hence increase the loss at EHF’s compared to lower frequencies.



116 FIG. 2. (Colour online). Mean hearing threshold as a function of frequency for groups of young,  
 117 middle-aged, and older listeners. Data from Carcagno and Plack (2020).

118 **B. Middle ear disease, dysfunction, and surgery**

119 Otitis media is a disease of the middle ear that most commonly affects children. It can be  
 120 infective (suppurative) or non-infective (non-suppurative), acute or chronic; however, these  
 121 categories are interrelated (World Health Organization, 2021b). All forms of otitis media have  
 122 been shown to cause EHF hearing loss that persists beyond recovery of the disease (Hunter *et*  
 123 *al.*, 1996; Margolis *et al.*, 2000; Ryding *et al.*, 2002). This can occur despite negligible effects on  
 124 hearing thresholds between 250 Hz and 8 kHz. EHF hearing tends to be worse in people with  
 125 more severe disease histories, as defined by the number of acute otitis media (AOM) episodes,  
 126 for example (Laitila *et al.*, 1997), but even a single episode of AOM can cause lasting damage to

127 EHF hearing (Cordeiro *et al.*, 2018). Because the hearing loss worsens with increasing frequency  
128 and appears to be unrelated to middle ear impedance and reflectance measurements up to about  
129 10 kHz, it is speculated to be sensorineural in origin, and attributed to toxins entering the inner  
130 ear via the round window membrane (Margolis *et al.*, 2000). However, this is not unequivocal  
131 and, particularly whilst the disease is still active, other mechanisms may well contribute to the  
132 EHF hearing loss.

133 Indeed, because middle ear impedance is mass-dominated above 4 kHz (and possibly from  
134 slightly lower; Withnell and Gowdy, 2013), any structural changes as a result of disease or injury  
135 that increase the mass of the middle ear system could theoretically cause conductive hearing loss  
136 in the EHF region. The formation of scars or crusts on the eardrum subsequent to pressure  
137 equalization tube operations or traumatic eardrum perforations have been associated with  
138 poorer EHF hearing thresholds (Hunter *et al.*, 1996; Hallmo, 1997).

139 Some EHF hearing losses are iatrogenic, meaning they are inadvertently caused by medical  
140 procedures/treatment. Middle ear surgery can lead to temporary or permanent EHF hearing  
141 loss. Hunter *et al.* (1996) showed that total number of pressure equalization tube operations can  
142 predict EHF hearing thresholds, and whereas stapes surgery may lead to improvements in  
143 median air conduction thresholds  $\leq 8$  kHz, the opposite has been recorded above 8 kHz, with  
144 only partial recovery (i.e., to pre-operative levels) observed at three months (Babbage *et al.*,  
145 2017).

### 146 **C. Ototoxicity**

147 Several widely used drug treatments are ototoxic. In particular, aminoglycoside antibiotics and  
148 the chemotherapy medication cisplatin can cause loss of outer hair cells, in part through the  
149 generation of reactive oxygen species (Chen *et al.*, 2007; Rybak and Ramkumar, 2007; Jiang *et al.*,



150 2017). Cisplatin also causes damage to spiral ganglion cells and the stria vascularis (Rybak *et al.*,  
151 2007). Outer hair cell damage progresses from the basal turn of the cochlea to the apex, and  
152 hence these drugs particularly affect EHF thresholds (Konrad-Martin *et al.*, 2010; Garinis *et al.*,  
153 2017). EHF threshold monitoring is a valuable tool for early identification of hearing loss due to  
154 these drugs, at least for patients with measurable thresholds in this range (Campbell and Le Prell,  
155 2018; Konrad-Martin *et al.*, 2018).

156 Patients receiving radiotherapy for head and neck cancer are also at risk of developing  
157 permanent hearing loss. The risk appears to be dose-dependent, with increased incidence of  
158 ototoxicity with cochlear radiation doses upwards of 45-60 Gy (Mujica–Mota *et al.*, 2013). A  
159 limited number of studies report EHF audiometry findings in affected patients, and fewer  
160 present data exclusively for radiotherapy (as distinct from chemoradiotherapy). However, those  
161 that do indicate that radiation-induced hearing loss is more severe, and occurs sooner, at higher  
162 frequencies (Schot *et al.*, 1992; Mujica–Mota *et al.*, 2013; Bass *et al.*, 2018), although onset can still  
163 be delayed by months or years after completion of treatment (Jereczek-Fossa *et al.*, 2003). The  
164 loss is also likely to be asymmetric (Cheraghi *et al.*, 2015).

#### 165 **D. Noise exposure**

166 Overexposure to noise can damage the hair cells in the cochlea. Noise-induced hearing loss  
167 (NIHL) is traditionally associated with an audiometric “notch” between 3 and 6 kHz (McBride  
168 and Williams, 2001). This corresponds to the region of the cochlea that is maximally stimulated  
169 by broadband stimuli after filtering by the middle ear. However, a number of studies have found  
170 an association between noise exposure and EHF threshold elevation, even for young people  
171 with normal hearing in the standard clinical range (Le Prell *et al.*, 2013; Sulaiman *et al.*, 2014;  
172 Liberman *et al.*, 2016; Prendergast *et al.*, 2017). In other words, an EHF loss may precede the

173 notch at lower frequencies. In particular, several studies have reported an association between  
174 EHF thresholds and personal listening device use (Peng *et al.*, 2007; Le Prell *et al.*, 2013;  
175 Sulaiman *et al.*, 2014). For example, Sulaiman *et al.* (2014) reported about 10 dB worse thresholds  
176 at 16 kHz for young users of personal listening devices compared to controls who never or  
177 rarely used these devices, despite little between-group threshold differences for frequencies up to  
178 8 kHz. With respect to occupational noise exposure, Ahmed *et al.* (2001) reported that, for  
179 participants with normal thresholds up to 8 kHz, EHF thresholds were higher for those exposed  
180 to industrial noise compared to non-exposed controls.

181 However, the findings are mixed and other studies show little relation between recreational noise  
182 exposure and EHF thresholds. For example, despite reporting a relation between EHF  
183 thresholds and long-term personal listening device use, Le Prell *et al.* (2013) found little relation  
184 between EHF thresholds and noise exposure due to other activities, such as bar or club  
185 attendance, or attendance at loud sporting events. Wei *et al.* (2017) found no associations  
186 between total leisure noise exposure (including use of personal listening devices) and EHF  
187 thresholds, and Mishra *et al.* (2021) found no relation between earphone or headphone use and  
188 EHF thresholds after controlling for age.

189 A possible reason for the negative findings is the difficulty of estimating lifetime noise exposure  
190 reliably (Wei *et al.*, 2017), since the estimates are largely based on self-report and depend on what  
191 events are included and how noise levels are calculated (Guest *et al.*, 2018). It is particularly  
192 important to determine if EHF threshold elevation is a useful predictor of future NIHL in the  
193 standard clinical range. If so, this would make EHF thresholds a valuable tool for monitoring  
194 hearing health, for example, in occupational settings, and EHF testing could be used to screen  
195 for individuals at risk of losing hearing ability due to recreational activities.

196 **E. Systemic disease**

197 Systemic disease, as the name suggests, affects multiple body parts or the whole body. Patients  
198 with systemic autoimmune rheumatic diseases, such as rheumatoid arthritis, primary Sjögren  
199 syndrome, and systemic lupus erythematosus have significantly worse EHF hearing thresholds  
200 when compared to age- and sex-matched controls (Lasso de la Vega *et al.*, 2017; Galarza-  
201 Delgado, 2018). Hearing loss in patients with these diseases is also far more likely to be picked  
202 up by EHF audiometry than by conventional PTA (Lasso de la Vega *et al.*, 2017; Galarza-  
203 Delgado, 2018). Similar audiometric findings are reported for patients with polycystic ovarian  
204 syndrome, an endocrine disorder that is described as a ‘chronic proinflammatory state’ (Kucur *et*  
205 *al.*, 2013).

206 In all of the aforementioned diseases, the pathogenesis of EHF hearing loss is not well  
207 understood, although animal models and temporal bone studies report inner ear degeneration  
208 consistent with either inflammatory or ischemic mechanisms (Ruckenstein, 2004).

209 **IV. RELEVANCE OF EHF HEARING LOSS FOR PERCEPTION**

210 **A. Sound localization**

211 EHF components provide important cues for sound localization. In particular, EHF  
212 information is important for determination of sound elevation and for resolving front/back  
213 confusions. Peaks and notches in the EHF spectrum are introduced by the filtering effects of the  
214 pinna, and these patterns are dependent on the elevation angle of the sound source relative to  
215 the listener. The patterns also vary due to individual differences in pinna morphology (Otte *et al.*,  
216 2013). Low-pass filtering stimuli at 8 kHz, removing EHF components, leads to poorer  
217 elevation judgements and in more front/back confusions. This applies to both non-speech

218 sounds (Brungart and Simpson, 2009) and speech sounds (Best *et al.*, 2005). Consistent with  
219 these findings, older adults with an EHF hearing loss are worse than younger adults at  
220 determining sound elevation (Otte *et al.*, 2013).

## 221 **B. Speech perception**

222 EHF's between 8 and 10 kHz improve the quality of speech (Moore and Tan, 2003) and provide  
223 useful information for speech recognition, particularly for consonants (Monson *et al.*, 2014; Levy  
224 *et al.*, 2015). However, although speech is characterized by occasional bursts of EHF energy,  
225 such as during production of voiceless fricatives (i.e., /s/, /f/, and /v/), most speech energy  
226 occurs in the standard clinical frequency range (Byrne *et al.*, 1994; Monson *et al.* 2012b).

227 Although several studies have reported a relation between EHF loss and impaired performance  
228 on speech-in-noise tasks (Badri *et al.*, 2011; Motlagh Zadeh *et al.*, 2019; Yeend *et al.*, 2019), it was  
229 thought that the EHF region has little direct importance for speech understanding. Recent  
230 studies question this assumption (Hunter *et al.*, 2020).

231 Motlagh Zadeh *et al.* (2019) reported that performance on the popular “digits in noise” test  
232 improved (3.2 dB lower speech reception threshold) when the masking noise was low-pass  
233 filtered at 8 kHz compared to when the noise was broadband, suggesting that cochlear regions  
234 tuned above 8 kHz provide useful information. Until recently, tests of speech intelligibility in a  
235 multi-talker environment have used a target talker (who the listener is required to understand)  
236 and competing talkers directing speech towards the listener. This is a very unusual situation.  
237 Normally, competing talkers would be facing away from the listener, and directing their speech  
238 to someone else. When the competing talkers are facing away, the high frequencies from the  
239 competing speech are reduced in level, because high frequencies are produced with high  
240 directivity from the mouth and diffract less (Monson *et al.*, 2012a). This means that the high

241 frequencies in the target speech may be more audible relative to the low frequencies (which may  
242 be obscured by the low frequencies in the competing speech). Monson *et al.* (2019) found that  
243 when the interfering speech was directed away from the listener, people performed better (about  
244 2.5 dB improvement in signal-to-noise ratio at threshold) when frequencies above 8 kHz were  
245 present than when they were removed by filtering. This implies that these EHF's were  
246 contributing important information. Furthermore, Monson *et al.* found that EHF energy helped  
247 listeners to judge the orientation of the speaker, which is important when determining who is  
248 talking to you. In follow-up articles, Monson and colleagues reported that both temporal and  
249 spectral information may contribute to the EHF benefit in these masking situations (Trine and  
250 Monson, 2020), and that the benefit of having a masker orientation away from the listener  
251 decreases for people with a threshold elevation at 16 kHz (Braza *et al.*, 2022).

### 252 **C. EHF loss as a marker for damage in lower frequency regions**

253 In the previous section, it was noted that EHF hearing loss has been shown in some studies to  
254 be related to deficits in speech-in-noise perception. However, this does not imply causation. In  
255 addition to having direct effects on perception, EHF loss may be a marker for sub-clinical  
256 deficits (i.e. deficits that are not revealed by standard PTA) in the standard frequency range  
257 (Hunter *et al.*, 2020). If so, then EHF audiometry might have broad diagnostic utility.

258 Over the past decade, there has been considerable interest in cochlear synaptopathy; a loss of  
259 synapses between inner hair cells and auditory nerve fibers that is caused by noise exposure or  
260 aging in animal models (Kujawa and Liberman, 2009), and has been inferred from nerve fiber  
261 loss in human histopathological studies (Wu *et al.*, 2018; Wu *et al.*, 2021). In animal models  
262 synaptopathy can occur in the absence of any elevation in hearing threshold (i.e., the loss would  
263 be sub-clinical in humans). However, it is possible that an EHF loss is a marker for

264 synaptopathy in a lower frequency region (Lieberman *et al.*, 2016; Bharadwaj *et al.*, 2019). In other  
265 words, insults that cause synaptopathy, such as noise exposure, may also cause an EHF hearing  
266 loss, even when standard PTA is normal. If so, then EHF testing might have utility for the  
267 diagnosis of synaptopathy.

268 Similarly, EHF hearing loss may be a marker for sub-clinical hair cell loss in the standard  
269 frequency range. In animal models, up to 80% of inner hair cells can be lost without affecting  
270 threshold sensitivity (Lobarinas *et al.*, 2013), and noise exposure can cause 20-40% loss of outer  
271 hair cells in the apex of the cochlea without threshold elevation (Bohne and Clark, 1982; Clark *et*  
272 *al.*, 1987). Hence, standard PTA is likely not very sensitive to hair cell loss. It is possible that,  
273 since EHF hearing loss often appears to precede threshold elevation in the standard range, EHF  
274 thresholds may be providing important information regarding hair cell loss at lower frequencies.  
275 This is supported by Mishra *et al.* (2021), who found that listeners with an EHF loss had a  
276 greater number of absent distortion-product otoacoustic emissions (DPOAEs) between 2 and 5  
277 kHz compared to controls, and a lower average DPOAE level compared to controls when the  
278 emissions were present. Hearing thresholds in the standard frequency range were similar for the  
279 two groups. This suggests that EHF thresholds may be an early marker for outer hair cell  
280 damage affecting lower frequency regions (Mishra *et al.*, 2021).

## 281 V. USE OF EHF AUDIOMETRY IN CLINICAL TRIALS

282 The World Health Organization (WHO) defines clinical trials as prospective, interventional  
283 studies involving human participants that aim to assess the impact of the respective intervention  
284 on health outcomes (World Health Organization, 2021a). Therefore, clinical trials involving  
285 EHF audiometry may be ones in which: i) the intervention (as a diagnostic test) is EHF

286 audiometry; or ii) EHF audiometry is employed as an outcome measure for studies in which a  
287 drug, or other treatment, is the intervention.

288 A search of the following clinical trial registries, using the search term “extended high frequency  
289 audiometry,” was conducted: ClinicalTrials.gov; EU Clinical Trials Register; LRSCTN Registry;  
290 and the WHO International Clinical Trials Registry Platform (November 2021). The results  
291 comprised nine clinical trials. Five of these trials were listed as complete, but the results were  
292 only available for one of these. Expanding the search using broader search terms only increased  
293 the yield by one after the records (and associated trial protocols) were screened for relevance,  
294 completeness and accessibility. The following reasons may explain this apparent lack of  
295 registered clinical trials involving EHF audiometry:

- 296 i. Such trials have been conducted but were not registered.
- 297 ii. A registered clinical trial may include EHF audiometry as a subsidiary part of the  
298 protocol, and therefore this test is not listed explicitly. Or, the information on the  
299 registry is not detailed enough to be able to determine whether EHF audiometry is  
300 included (e.g., “audiometry” is listed but test frequencies are not specified).
- 301 iii. In clinical trials involving audiometry, only conventional frequencies are tested. This is  
302 particularly plausible given that many clinical trials utilize the Common Terminology  
303 Criteria for Adverse Events (CTCAE); EHF hearing loss does not constitute an adverse  
304 event, even in the latest version (v.5) of the CTCAE (National Cancer Institute, 2017).

305 Nevertheless, examples of the use of EHF audiometry in phase I, II and III clinical trials can be  
306 found. In phase I and IIa clinical trials, EHF audiometry has been utilized to evaluate the safety,  
307 feasibility and potential efficacy of pharmaceutical interventions (Campbell *et al.*, 2003; Peek *et*

308 *al.*, 2020; Duinkerken *et al.*, 2021). In an ongoing phase III trial of intensity-modulated proton  
309 beam therapy versus intensity-modulated radiotherapy, the TORPEdO trial (ISRCTNregistry,  
310 2020), EHF audiometry has been included in the trial protocol as a means of monitoring  
311 ototoxicity. This will ultimately contribute to our knowledge about multi-toxicity reduction in  
312 oropharyngeal cancer and should provide insight into whether EHF audiometry is a more  
313 sensitive, or useful, measure (i.e., than conventional PTA) for detecting differences in ototoxic  
314 effects between two types of radiotherapy.

## 315 VI. CURRENT CLINICAL USE OF EHF AUDIOMETRY

316 To determine the current clinical use of EHF audiometry across the globe, professional  
317 audiology societies from 55 countries (across six continents) were emailed, and asked the  
318 following two questions:

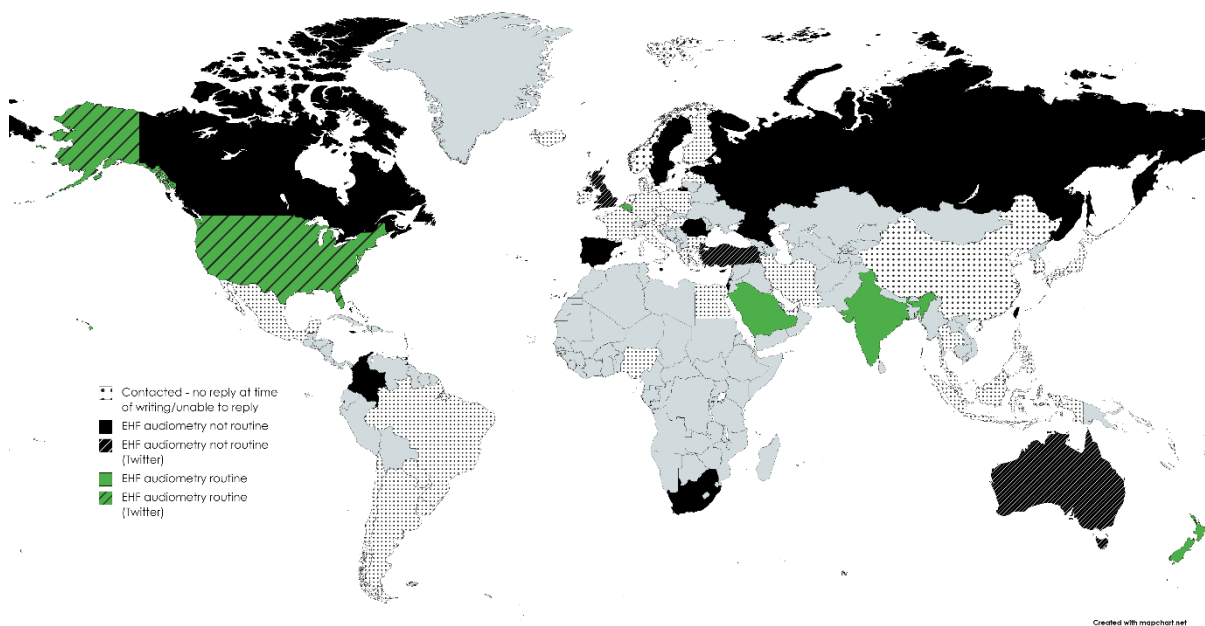
- 319 1. Is extended high-frequency audiometry performed routinely in [country]?
- 320 2. Do you have a standard protocol/procedure for doing extended high-frequency  
321 audiometry in [country]?

322 Contact details for professional audiology societies were obtained from the ASHA website.  
323 Contact details were not readily available for all countries, so to broaden the reach of our  
324 enquiry the following was also posted on Twitter:

325 “Is extended high frequency audiometry (testing >8 kHz) performed routinely in your country  
326 (excluding research)? For screening / monitoring / other? Are there standard guidelines you  
327 follow?”



328 The responses to question one, along with the anecdotal feedback from other countries via  
329 Twitter, are displayed (separately) in Fig. 3.



330  
331 FIG. 3. (Colour online). Map depicting countries in which EHF audiometry is (or is not)  
332 routinely performed.

333 Contacts in Belgium, India, New Zealand, Saudi Arabia, and the United States (US) confirmed  
334 that EHF audiometry is routinely performed in their respective countries. However, a caveat is  
335 needed here. In the majority of these countries, EHF audiometry is only routine for certain  
336 groups of patients, or within certain sectors. In New Zealand, for example, EHF audiometry is  
337 performed routinely on patients receiving ototoxic medical treatment, as well as in some clinics  
338 that provide tinnitus counselling and management, but it is rarely done outside of these settings.  
339 Similarly, in India, it is a routine procedure in the training institutions, but the situation in the  
340 private sector is unknown.

341 EHF audiometry has not been entirely neglected in other countries, although its application is  
342 certainly patchier. The results of a recent web-based survey of pediatric audiology departments  
343 in the UK showed that approximately 18% of responding services perform EHF audiometry as  
344 part of a pediatric ototoxicity monitoring protocol (Brown *et al.*, 2021). This article also raises an  
345 interesting point about inconsistencies in the test procedure employed. Even between the small  
346 number of services who reported performing EHF audiometry, there was, “no uniformity of  
347 practice or agreement” in terms of number and combination of frequencies tested (Brown *et al.*,  
348 2021). As described previously, in the UK, the scope of the recommended procedure for  
349 performing PTA does not extend to testing frequencies above 8 kHz (British Society of  
350 Audiology, 2018).

351 It is possible that the existence of a nationally recognized standard/procedure (as distinct from  
352 locally derived guidelines) is a better benchmark of the establishment of EHF audiometry within  
353 a country. Only Belgium and the US have so far been identified as having these. However, in the  
354 US, the guideline for performing PTA (American Speech-Language-Hearing Association, 2005)  
355 only alludes to EHF audiometry once, suggesting that it may be conducted for “special  
356 purposes;” further direction on dealing with the unique challenges that come with testing in the  
357 EHF, such as increased inter-subject variability, is not given.

358 Ototoxicity monitoring is an example of one such “special purpose” and this appears to be the  
359 field in which EHF audiometry has gained most traction to date. In 2009, the American  
360 Academy of Audiology (AAA) published guidelines, which propounded the incorporation of  
361 EHF audiometry within an ototoxicity monitoring test battery (American Academy of  
362 Audiology, 2009). The Health Professions Council of South Africa has published similar  
363 recommendations (Health Professions Council of South Africa, 2018). A number of the

364 professionals who were contacted by the authors provided additional contextual information;  
 365 this information hints that when EHF audiometry *is* performed, it is mostly for assessing the  
 366 effects of ototoxic treatment.

367 Other current uses of EHF audiometry, according to our international contacts, are displayed in  
 368 Table I.

369 TABLE I. Reported uses of EHF audiometry, excluding monitoring ototoxic effects of medical  
 370 treatment.

Use of EHF audiometry	Country/countries	Further detail provided
During tinnitus assessment and rehabilitation appointments.	Australia India New Zealand Romania Spain Taiwan Trinidad and Tobago Turkey	Used to pitch-match high frequency tinnitus that is outside the conventional testing range [Australia].
In cases of self-reported hearing difficulty, where thresholds in the conventional frequency range are within normal limits.	Australia Romania Turkey	EHF audiometry can form part of the test battery within an auditory processing disorder clinic, or it is performed ad hoc when patients report speech-in-noise hearing difficulties or a sensation of unilateral hearing loss.
To monitor the hearing of patients with certain (unnamed) neurological or urological diagnoses, or cytomegalovirus (CMV).	Australia Jamaica	Only performed on patients with CMV once reliable thresholds at conventional frequencies have been determined [Australia].
Where patients report a history of noise exposure.	India Trinidad and Tobago	
In cases of asymmetric hearing, and vestibular complaints.	Romania	Performed on patients whose symptoms are suggestive of unilateral vestibulopathy.
In cases of sudden hearing loss.	Israel	
When requested by parents.	Australia	Requests reported to be exclusively from parents of children who are being enrolled in a Tomatis sound therapy program.

## 371 VII. FUTURE CLINICAL USE OF EHF TESTING

### 372 A. Diagnosis

373 The preceding section shows that some countries/services are already harnessing the diagnostic  
 374 advantage of EHF audiometry, but to use this test to its full potential requires a more consistent

375 approach. Evidence already exists of the clinical usefulness of EHF audiometry for all patients  
376 presenting to an audiologist with hearing difficulties or tinnitus, in the absence of a hearing loss  
377 at the conventional PTA test frequencies (Rodríguez Valiente *et al.*, 2016). By comparing test  
378 results to age-dependent norms (e.g., Jilek *et al.* (2014) or Rodríguez Valiente *et al.* (2014)), it  
379 could help detect premature hearing loss in patients with systemic disease, as well as potentially,  
380 those with recreational/occupational noise trauma (see Sections III.D and III.E). EHF  
381 audiometry can also uncover cases of sudden hearing loss in patients with acute tinnitus that  
382 would otherwise go undetected, and untreated (Abu-Eta *et al.*, 2021). Despite being grey  
383 literature, a case report by Colucci (2016) demonstrates how the true extent of a unilateral  
384 sudden hearing loss in a 23-year-old male was only realized once EHF hearing was assessed.

385 Furthermore, from a holistic standpoint, doing a more thorough investigation when the standard  
386 tests prove unenlightening can improve the healthcare experience for the patient. Pryce and  
387 Wainwright (2008) emphasize the importance of validating a patient’s hearing difficulties; they  
388 stress that a well-meant “reassurance” that nothing is measurably wrong can have quite the  
389 opposite effect.

390 For patients with pre-existing hearing loss up to 8 kHz, a similar approach to that described  
391 above may also prove fruitful. However, the clinical utility of EHF audiometry for these patients  
392 will likely decline with increasing hearing loss, unless audiometer output limitations in the EHF’s  
393 can be overcome.

394 One (as yet) unexplored area where EHF audiometry could have future clinical utility is in the  
395 early detection of vestibular schwannoma (VS), for the following reasons:

- 396 i. The most common initial presenting symptom of VS is progressive hearing loss on the  
397 ipsilesional side (79.5% of VS patients) (Bento *et al.*, 2012).
- 398 ii. Hearing loss is of a sloping configuration (i.e., high-frequency thresholds are worse than  
399 low-frequency thresholds) in 51.7% of cases (Lee *et al.*, 2015).
- 400 iii. Hearing loss associated with VS can be attributed, *in part*, to a gradual compression of  
401 the tonotopically-formed cochlear nerve.

402 It therefore seems plausible that a certain degree of asymmetry in EHF hearing thresholds could  
403 prompt a referral for Magnetic Resonance Imaging (MRI) – the gold standard for VS diagnosis.  
404 However, MRI is expensive, and it would be essential, firstly, to develop means of differentiating  
405 other causes of EHF asymmetry (e.g., conductive EHF hearing loss) in order to prevent  
406 unwarranted medical costs or patient anxiety.

#### 407 **B. Hearing health monitoring**

408 Ototoxicity monitoring programs still appear to be the result of individual service initiatives.  
409 However, EHF audiometry is expected to increasingly feature as a key component of future  
410 programs, for three reasons:

- 411 i. It can detect hearing loss sooner than other tests of auditory function (Knight *et al.*,  
412 2007).
- 413 ii. Short-term test-retest variability is generally within 10 dB for HDA 200 earphones and  
414 ER-2 insert earphones (Schmuziger *et al.*, 2004; John and Kreisman, 2017), which is  
415 smaller than the ASHA criteria for threshold change attributed to cochleotoxicity (1994).

416       iii.    The ASHA cochleotoxicity criteria for threshold change can be applied to the EHF's  
417           (Campbell *et al.*, 2003; Knight *et al.*, 2007).

418       This is at least the case for patients who are, i) capable of giving reliable behavioral responses,  
419       and ii) likely to have some measurable hearing thresholds in the EHF's. It is already  
420       recommended as part of a comprehensive baseline assessment (prior to the administration of  
421       ototoxic treatment), as well as at multiple follow-up appointments (American Academy of  
422       Audiology, 2009). Opinions differ as to whether frequencies up to and including 14 kHz  
423       (Schmuziger *et al.*, 2004), or 20 kHz (Konrad-Martin *et al.*, 2005), should be tested. Conversely,  
424       there appears to be current consensus that the EHF audiometry procedure can be truncated  
425       after baseline assessment - as described by Fausti *et al.* (1999) – unless a change in thresholds is  
426       recorded.

427       For the reasons outlined in Section III.D, EHF audiometry might also be of use in monitoring  
428       the hearing of populations at risk of noise- or music-induced hearing loss. The AAA already  
429       recommends performing EHF audiometry, where time and equipment allow, on all  
430       musicians/music industry personnel attending audiological services (American Academy of  
431       Audiology, 2020). The benefit of being able to alert individuals about the onset of early hearing  
432       damage is that they may be encouraged to adopt more protective behaviours, such as using ear  
433       defenders. However, such subtle EHF threshold changes as those reported by Liberman *et al.*  
434       (2016) and Maccà *et al.* (2015), will be difficult to detect clinically until inter-subject variability  
435       can be better controlled for. The benefit may also be reduced for people over 30 years of age  
436       (Maccà *et al.*, 2015).

437 **C. Fitting hearing aids**

438 Articles that demonstrate how EHF audiometry can be utilised to fit hearing aids have largely  
439 been limited to the Earlens system (Arbogast *et al.*, 2019). The Earlens system comprises a  
440 behind-the-ear sound processor, a signal delivery tip (which encodes the processed sound signal  
441 into a pulsed light signal), and a custom-made lens that is positioned on the eardrum (which  
442 receives the light signal and directly vibrates the eardrum). The system is marketed as having a  
443 relatively wide bandwidth (125 Hz – 10 kHz), an attribute that is associated with better sound  
444 quality ratings by people with normal hearing and - in terms of clarity - mild-to-moderate hearing  
445 loss (Füllgrabe *et al.*, 2010), as well as by Earlens wearers comparing full-bandwidth and low-  
446 pass-filtered speech and music (Vaisberg *et al.*, 2021). As such, EHF audiometry is necessary for  
447 generating the prescription target to which the Earlens sound processor is set. The Earlens  
448 system can currently be regarded as a niche product, although it is anticipated to become more  
449 universally available over time.

450 The datasheets of many contemporary conventional hearing aids list bandwidth upper  
451 frequencies of 9-10 kHz. Although these values, which have been calculated using American  
452 National Standards Institute (ANSI) methods, may not have direct clinical applicability,  
453 Kimlinger *et al.* (2015) showed that seven of eight hearing aids they tested had a maximum  
454 audible frequency of more than 8 kHz when programed for a flat mild sensorineural hearing  
455 loss. It is relevant that in this study, the test hearing aids were selected to have a variety of ANSI  
456 bandwidth upper frequencies (i.e., not just the greatest bandwidth upper frequencies). The  
457 CAM2 prescription already gives gain recommendations for center frequencies up to 10 kHz  
458 (Moore *et al.*, 2010). Thus, in future, EHF audiometry may be clinically useful for i) determining

459 suitability for wide bandwidth amplification, or ii) programming hearing aids with such a  
460 capacity.

#### 461 **D. Obstacles to implementation**

462 It appears that the biggest obstacle to clinical implementation of EHF audiometry is a lack of  
463 necessary equipment. This point is highlighted by Brown *et al.* (2021), who report that 25% of  
464 audited services in the UK cite lack of suitable equipment as a reason for not performing EHF  
465 testing as part of an ototoxicity monitoring protocol. Information provided by UK-based  
466 participant identification sites, prior to the start of the aforementioned TORPEdO trial, gives a  
467 similar picture, with 39% of services stating they did not have the equipment to test beyond 8  
468 kHz. It should be borne in mind, however, that research-active departments may not be  
469 representative of all services, and these figures are likely optimistic. Our contacts in Australia,  
470 Jamaica, New Zealand, South Africa and Trinidad and Tobago all mentioned lack of necessary  
471 equipment as an obstacle to the clinical implementation of EHF audiometry, implying that this  
472 barrier is not confined to the UK. Analogous to this, is an account from the Colombian  
473 Association of Audiology that EHF audiometry is starting to boom in Columbia *because of an*  
474 *increased availability of audiometers with EHF testing functionality (personal communication,*  
475 *Saúl Triviño Torres).*

476 A lack of necessary equipment for performing EHF audiometry may be purely due to financial  
477 constraints or the result of a lack of perceived need for the equipment in the first place. For  
478 example, just because the preferential effects of platinum-based chemotherapy on the EHF's are  
479 well known, does not mean that audiologists (or oncologists) deem EHF audiometry necessary;  
480 this was corroborated by 16% of respondents to Brown *et al.* (2021). One reason why this view  
481 may be held, is that unless hearing loss occurs in the “speech frequencies,” the chemotherapy



482 regimen is unlikely to be altered; none of the four most widely used cochleotoxicity classification  
483 systems specifically describe how to grade EHF hearing loss (Crundwell *et al.*, 2016). Thus, the  
484 utility of EHF audiometry in monitoring chemotherapy patients is restricted to counselling them  
485 on the likelihood of, “practical speech frequency hearing loss” (Dasgupta *et al.*, 2021). Whilst this  
486 is undoubtedly realistic, the role EHF testing can play in forewarning patients should not be  
487 underestimated, as counselling about the ototoxic effects in the early stages of treatment has  
488 been shown to be particularly important for young cancer patients (Khan *et al.*, 2020). As  
489 maintained by the AAA (2009), “...that the patient may suffer a serious and possibly life-  
490 threatening illness does not diminish the importance of these [quality of life] issues”.

491 Even where motivation exists, some hesitancy about the test procedure and interpretation can  
492 prevent the translation of EHF audiometry into routine practice. For instance, concerns about  
493 normative data for hearing thresholds in the EHF range (Brown *et al.*, 2021), as well as  
494 uncertainty about how best to control for the relative variability in the EHF range, may deter  
495 services from implementing this test. The development of national/international guidelines  
496 (beyond Belgium and the US) that answer clinicians’ specific concerns, would help to provide  
497 reassurance that EHF audiometry can be performed reliably.

## 498 **VIII. CONCLUSIONS AND GAPS IN KNOWLEDGE**

499 The most basal region of the cochlea is the most vulnerable to injury, and hence hearing loss in  
500 the EHF range is an important “early warning” of cochlear damage; for example, damage caused  
501 by ototoxic drugs, disease, and possibly noise exposure. Furthermore, EHF loss impacts sound  
502 localization, and may also have direct effects on speech perception in noisy environments. For  
503 these reasons, EHF audiometry has great potential for diagnosis and hearing health monitoring,  
504 and for fitting hearing aids. Currently, however, EHF audiometry has only limited application

505 internationally, and there is a lack of clinical guidelines and standards. There are also several gaps  
506 in knowledge that limit the application of EHF audiometry.

507 First, it is unclear the extent to which noise exposure affects EHF thresholds before causing  
508 threshold elevation at lower frequencies. Reaching a firm conclusion may depend on longitudinal  
509 studies where individuals are tracked over a number of years, with more reliable estimates of  
510 noise exposure dose than are currently provided by retrospective self-report.

511 The mechanism (or mechanisms) for the relation between EHF hearing loss and speech  
512 perception difficulties has not yet been established clearly. In particular, it is unclear the extent to  
513 which EHF hearing loss may have a direct effect on speech perception in noise, or is a marker  
514 for sub-clinical deficits at lower frequencies. This is important for determining the potential  
515 benefits of amplification in the EHF range, and for understanding what EHF audiometry may  
516 tell us about cochlear health.

517 For the most commonly available EHF headphones, there is a lack of international standard  
518 reference equivalent threshold sound pressure levels (RETSPs), pediatric calibration correction  
519 factors, expected test-retest values, and interaural attenuation values. A good explanation of  
520 these issues and potential resolutions are provided by Kevin Munro in Hunter *et al.* (2020).

521 Additionally, uncomfortable loudness levels (ULLs) in the EHF range do not appear to have  
522 been reported in the literature to date. Knowing what ULLs are typical for a population is  
523 important for ensuring patient comfort during testing (Aazh and Moore, 2017), and will have  
524 implications for the recommended amplitude of any familiarization tone, as well as whether  
525 masking in the EHF is feasible. Questions concerning the interpretation of EHF hearing  
526 thresholds also remain. What degree of asymmetry in the EHF can be expected normally, and

527 what would warrant concern? How can conductive hearing losses be adequately detected in the  
528 EHF's?

529 Although it is important that these issues are resolved, it is clear that EHF audiometry has  
530 clinical utility, and the development of clinical guidelines and standards should not be delayed.  
531 These should be founded on the current evidence-base, and supplemented with consensus of  
532 expert opinion until such gaps in the knowledge are addressed.

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851 TABLE I. Reported uses of EHF audiometry, excluding monitoring ototoxic effects of medical  
 852 treatment.

Use of EHF audiometry	Country/countries	Further detail provided
During tinnitus assessment and rehabilitation appointments.	Australia India New Zealand Romania Spain Taiwan Trinidad and Tobago Turkey	Used to pitch-match high frequency tinnitus that is outside the conventional testing range [Australia].
In cases of self-reported hearing difficulty, where thresholds in the conventional frequency range are within normal limits.	Australia Romania Turkey	EHF audiometry can form part of the test battery within an auditory processing disorder clinic, or it is performed ad hoc when patients report speech-in-noise hearing difficulties or a sensation of unilateral hearing loss.
To monitor the hearing of patients with certain (unnamed) neurological or urological diagnoses, or cytomegalovirus (CMV).	Australia Jamaica	Only performed on patients with CMV once reliable thresholds at conventional frequencies have been determined [Australia].
Where patients report a history of noise exposure.	India Trinidad and Tobago	
In cases of asymmetric hearing, and vestibular complaints.	Romania	Performed on patients whose symptoms are suggestive of unilateral vestibulopathy.
In cases of sudden hearing loss.	Israel	
When requested by parents.	Australia	Requests reported to be exclusively from parents of children who are being enrolled in a Tomatis sound therapy program.

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859 FIG. 1. (Colour online). Mean hearing threshold as a function of frequency for a group of  
860 normal-hearing listeners, aged 19-39 yrs (black circles). Error bars show +/- 1 standard  
861 deviation. The purple squares and green triangles show the results for two listeners with very  
862 similar thresholds up to 8 kHz, but markedly different thresholds above 8 kHz (in the EHF  
863 range). Data from Carcagno and Plack (2020).

864 FIG. 2. (Colour online). Mean hearing threshold as a function of frequency for groups of young,  
865 middle-aged, and older listeners. Data from Carcagno and Plack (2020).

866 FIG. 3. (Colour online). Map depicting countries in which EHF audiometry is (or is not)  
867 routinely performed.

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