

1 **Photodegradation of hydroxyfluorenes in ice and water: a comparison of**
2 **kinetics, effects of water constituents, and phototransformation**
3 **by-products**

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11
12 **ABSTRACT**

13 The photochemical behavior of organic pollutants in ice is poorly studied in comparison to
14 aqueous photochemistry. Here we report a detailed comparison of ice and aqueous
15 photodegradation of two representative OH-PAHs, 2-hydroxyfluorene (2-OHFL) and
16 9-hydroxyfluorene (9-OHFL), which are newly recognized contaminants that are present in
17 the wider environment including colder regions. Interestingly, their photodegradation kinetics
18 were clearly influenced by whether they reside in ice or water. Under the same simulated
19 solar irradiation ($\lambda > 290$ nm), OHFLs photodegraded faster in ice than in equivalent aqueous
20 solutions and this was attributed to the specific concentration effects caused by freezing.
21 Furthermore, the presence of dissolved constituents in ice also influenced photodegradation
22 with 2-OHFL phototransforming the fastest in 'seawater' ice ($k = \text{xxxx}$) followed by 'pure
23 water' ice ($k = \text{xxx}$) and 'freshwater' ice ($k = \text{xxxx}$). Clearly the presence of dissolved water
24 constituents (specifically Cl^- , NO_3^- , Fe(III) and humic acid (HA)) influences the

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25 phototransformation kinetics, either enhancing (e.g. Cl⁻, Fe(III)) or suppressing (HA)
26 phototransformation, but this is based on the quantity of the constituent present in the ice, the
27 specific OHFL isomer under investigation and the matrix type (e.g. ice or aqueous solution).
28 Careful derivation of key photointermediates was undertaken in both ice and water samples
29 using tandem mass spectrometry. Ice phototransformation of the OHFLs exhibited fewer
30 by-products and ‘simpler’ pathways giving rise to a range of hydroxylated fluorenes and
31 hydroxylated fluorenones in ice. These results are of importance when considering the fate of
32 PAHs and OH-PAHs in cold regions and their presence in falling snow and the sunlit
33 snowpack.

34 *Keywords:* Ice Photochemistry; Hydroxyfluorene; photodegradation kinetics; Effects of main
35 water constituents; Intermediates; Implications for cold environments.

36

37 **1. Introduction**

38 The occurrence and relevance of organic contaminants in ice and snow, particularly in
39 remote cold environments is receiving growing attention in the scientific literature, with
40 snow/ice serving as a repository as well as a reactive surface for atmospherically deposited
41 species (Na et al. 2011, Fuoco et al. 2012) (Pućko et al. 2015) (Cipro et al. 2017) (Lebedev et
42 al. 2018). There are relatively fewer studies that have investigated pollutant
43 photochemistry in ice or snow and yet this process may be important for determining
44 chemical fate, with sunlit photochemical transformation serving as an important sink or
45 pathway for organic pollutants (Grannas 2016). Recent studies illustrate the significance of
46 ice and snow as a reactive media for environmental photochemistry Weber et al.,
47 2009(Rowland and Bausch 2011) (Kahan and Donaldson 2010). It has been shown that Arctic,
48 Antarctic and subpolar ice/snow can play an important role in the photochemical
49 transformation of anthropogenic organic contaminants, such as polycyclic aromatic

50 hydrocarbons (PAHs) (Ram and Anastasio 2009), hydroxy PAHs (OH-PAHs) (Ge et al.
51 2016a), and benzene (Kahan and Donaldson 2010). Furthermore, photoinduced production of
52 a variety of reactive oxygen species (ROS) from key water constituents, such as dissolved
53 organic matter and nitrate, and the following efficient oxidation of organic pollutants have
54 been reported to occur in sunlit ice/snow (Grannas et al. 2014) (McFall et al. 2018) (Hullar et
55 al. 2012).

56 Photochemical transformation of organic compounds in ice has been observed, although
57 there are significantly fewer published studies regarding these processes compared with
58 aquatic photochemistry. In surface waters, photochemical degradation is a key process in
59 determining the fate of organic micropollutants, including persistent organic pollutants as well
60 as pharmaceutical and personal care products (Fasnacht and Blough 2002) (Ge et al. 2016b)
61 (Ge et al. 2010) (Yan and Song 2014). In contrast, ice photochemistry is not well documented.
62 Only a few organic pollutants have been studied with regards to their photochemical
63 alteration and fate in sunlit ice (Rowland and Bausch 2011) (Corrochano et al. 2017)
64 (Grannas et al. 2014) (Hullar et al. 2018). Nevertheless, in several studies, differences in
65 photochemical behavior were observed between ice and aqueous solutions.. For example,
66 under the same light irradiation conditions, anthracene (Malley et al. 2017), phenanthrene
67 (Xue et al. 2019), aldrin (Rowland and Bausch 2011), guaiacol (Hullar et al. 2020),
68 methyl-parathion and fenitrothion (Weber et al. 2009) photodegraded more efficiently in ice
69 than in equivalent aqueous solution. Furthermore, the photolysis mechanism of anthracene at
70 ice surfaces appears to be different to that in aqueous solution, with kinetics changing from
71 first-order in water to second-order at ice surfaces (Malley et al. 2017). Given this different
72 behavior, ice photochemistry is interesting and needs to be investigated further as it likely
73 affects the fate and longevity of organic chemicals associated with snow and ice and
74 subsequently affects the quantity and type of chemical released to the wider environment

75 during melt water release.

76 The present study selected two representative OH-PAHs, 2-hydroxyfluorene (2-OHFL) and
77 9-hydroxyfluorene (9-OHFL), to compare their photodegradation kinetics, the effects of key
78 constituents, and to determine transformation products formed in both ice and water.
79 OH-PAHs are newly recognized organic contaminants derived from the hydroxylation of
80 PAHs, through abiotic processes in the atmosphere and biological cellular transformation
81 reactions They have been found in a variety of environmental matrices, such as the
82 atmosphere, surface waters, and even Arctic biota (Barrado et al. 2012) (Itoh et al. 2006)
83 (Pojana and Marcomini 2007). OH-PAHs are commonly used as biomarkers of human
84 exposure to PAHs (Guo et al. 2013), and some of them demonstrate higher toxicity than their
85 parent PAHs (Cochran et al. 2012). Of the widely detected OH-PAHs, 2-OHFL and 9-OHFL
86 are abundant in the environment (Johnson-Restrepo et al. 2008), and 9-OHFL presents
87 photomodified toxicity to *V. fischeri* (Ge et al. 2016b). They are therefore suitable candidates
88 for ice photochemistry studies with the aim of this study to observe the nature of their
89 photodegradation in ice and compare this to their aqueous behaviour.

90 **2. Materials and methods**

91 **2.1. Chemicals**

92 2-OHFL and 9-OHFL (both purity \geq 98%, chemical structures in Table S1), as well as
93 humic acid sodium salt (HASS, CAS No. 68131-04-4), were obtained from Sigma-Aldrich,
94 Inc. (Milwaukee, WI, USA). The derivatization reagent
95 *N,O*-bis-(trimethylsilyl)trifluoroacetamide (BSTFA) was provided by Agilent Technologies,
96 Inc. All organic solvents (HPLC grade) were purchased from Tedia Company, Inc. Other
97 reagents used were of guaranteed grade. Ultrapure water was prepared using a Milli-Q
98 Millipore system (Waters, USA). Local fresh water and seawater were sampled, filtered and
99 characterized as described in our previous study (Ge et al. 2010). Oasis HLB cartridges

100 (WAT106202) were purchased from Waters, USA.

101 **2.2. Photochemical experiments**

102 Aqueous OHFL solutions ($C_0 = 0.5 \mu\text{M}$) were prepared in MilliQ water with acetonitrile
103 (2% in volume) as a cosolvent, and by addition of water constituents (Cl^- , HASS, NO_3^- , and
104 Fe(III)) when needed. The solutions were divided to two aliquots. To carry out aqueous
105 photolytic reactions, one aliquot was sampled into quartz tubes (vol. 40 mL, dia. 23 mm),
106 placed in a merry-go-round apparatus, and irradiated using a Pyrex-well cooled and filtered
107 high-pressure mercury lamp (500 W) in a fume hood (25°C) (Fig. S1). Pyrex-filtered mercury
108 lamps were usually employed to simulate solar radiation ($\lambda > 290 \text{ nm}$) (Dolinová et al. 2006,
109 Ziolli and Jardim 2003, Matykiewiczová et al. 2007). Samples from another aliquot were
110 pipetted into the same tubes, and frozen at -20°C . The same apparatus and light source were
111 moved in a freezer [$-5 \pm 1^\circ\text{C}$] to perform ice photochemical experiments. The irradiated
112 samples were taken at predetermined intervals to investigate photolytic kinetics and
113 transformation products. Dark controls were carried out for each batch of the experiment. All
114 the experiments were conducted in triplicate.

115 **2.3. Analytical Determination**

116 A Waters UPLC coupled with a BEH C18 column ($50 \text{ mm} \times 2.1 \text{ mm}$, $1.7 \mu\text{m}$) and a
117 fluorescence detector was employed for the separation and quantification of the OHFL
118 isomers (Ge et al. 2016b) (Ge et al. 2016a). The retention times were 2.76 min and 1.85 min
119 for 2-OHFL and 9-OHFL, respectively. To identify photodegradation products, the sampled
120 solutions (with a volume of 2) were extracted by solid phase extraction, and one half of the
121 extracted samples were derivatized with silylation reagents. The underivatized and derivatized
122 samples were analyzed by GC-MS/MS. The detailed descriptions of sample pretreatment and
123 analytical determination are provided in the Supplementary material.

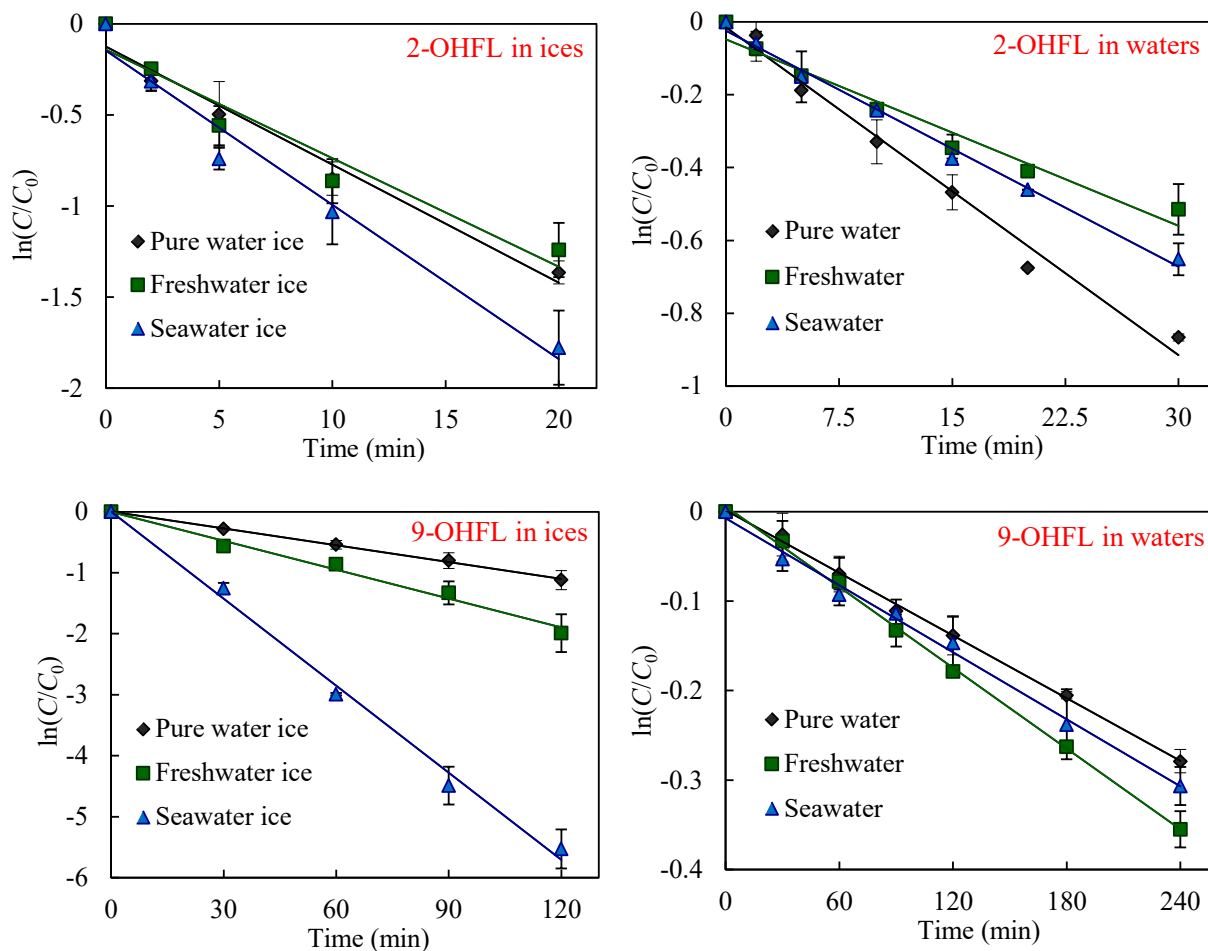
124 **3. Results and discussion**

125 **3.1. Comparison of photolytic kinetics in ices and waters**

126 There was no significant loss of 2-OHFL and 9-OHFL in the dark controls. However, the
127 two OHFLs were susceptible to photodegradation when exposed to the simulated solar
128 irradiation ($\lambda > 290$ nm). The data conformed to exponential decay, indicating that the
129 reaction was first order with respect to OHFL concentrations. The corresponding rate
130 constants (k), half-lives ($t_{1/2}$) and correlation coefficients are provided in Table S2. The k
131 values of 2-OHFL and 9-OHFL in pure-water ice were calculated to be $(8.7 \pm 0.4) \times 10^{-2}$
132 min^{-1} and $(9.2 \pm 0.7) \times 10^{-3} \text{ min}^{-1}$, respectively, indicative of the faster photodegradation of
133 2-OHFL. This is attributed to higher values of the light absorption coefficient (Fig. S1) and
134 photolytic quantum yield of 2-OHFL than those of 9-OHFL (Ge et al. 2016a).

135 Phototransformation kinetics of each OHFL in both ice and water were comparatively
136 investigated using the same experimental light irradiation. The logarithmic decay of both
137 chemicals is plotted in Fig. 1, illustrating that the OHFLs underwent faster photodegradation
138 in ice than in the equivalent aqueous solutions under the same irradiation regime. The
139 corresponding k values of 2-OHFL and 9-OHFL were 3.0 and 7.5 times faster, respectively, in
140 pure-water ice than those in pure water (Table S2). This can be attributed to the specific
141 concentration effect caused by freezing the aqueous solutions. Enhanced local concentrations
142 of the OHFL molecules at the grain boundaries of the ice crystals would intensify light
143 absorption and increase the probability of intermolecular reactions (Weber et al. 2009) (Ge
144 et al. 2016b) (Werner et al. 2006). Thus, the overall apparent photolysis was accelerated in ice
145 with the increased k values in pure-water ice compared with pure water. In previous studies,
146 more efficient photodegradation of anthracene (Malley et al. 2017), phenanthrene (Xue et al.
147 2019), aldrin (Rowland and Bausch 2011), guaiacol (Hullar et al. 2020), methyl-parathion and
148 fenitrothion (Weber et al. 2009) was also found in ice than in equivalent aqueous solutions.

149



150 Fig. 1. Logarithmic decay for the photodegradation of 2-hydroxyfluorene (2-OHFL) and 9-hydroxyfluorene
 151 (9-OHFL) in ice and water under simulated solar irradiation ($\lambda > 290$ nm)

152

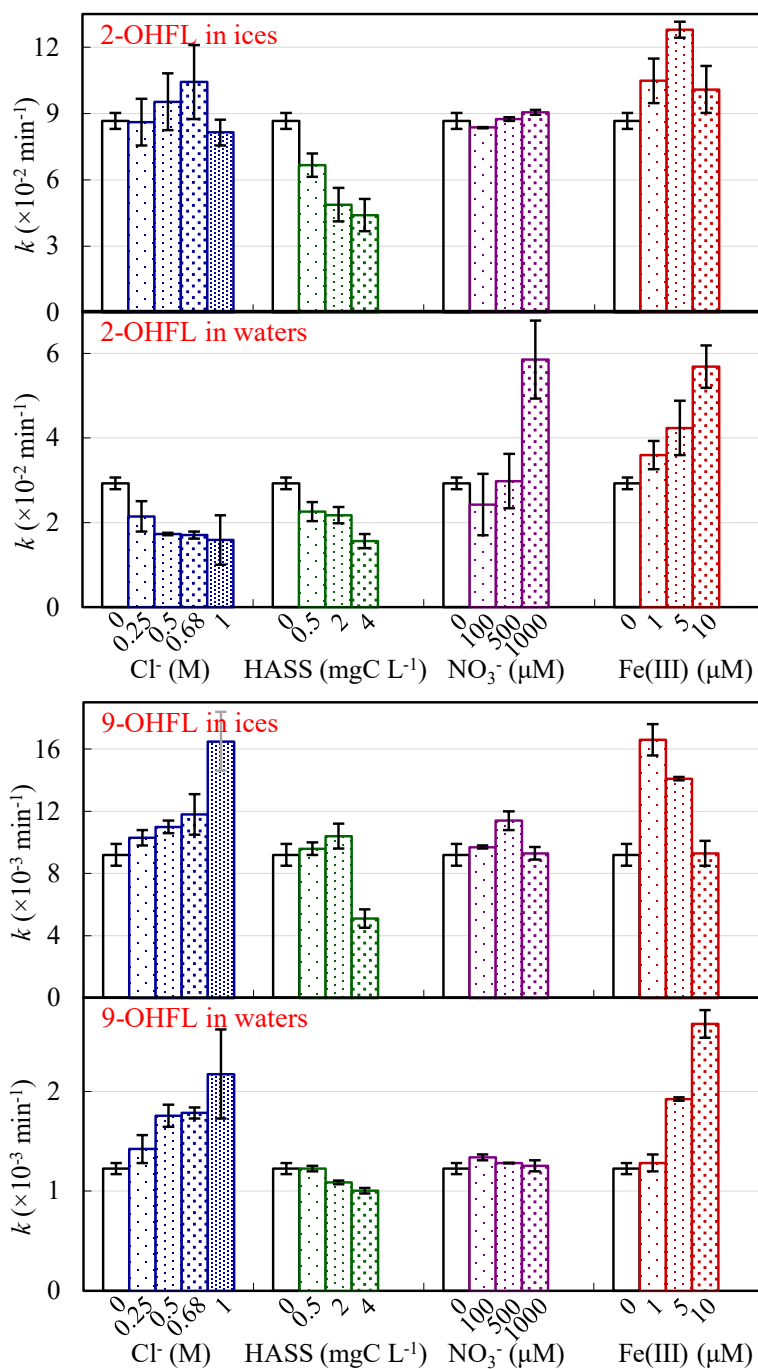
153 When comparing the photodegradation of each OHFL in different ice or water types, we
 154 found that glacial 2-OHFL photodegraded the fastest in seawater ice, followed by pure water
 155 ice and freshwater ice, whereas aqueous 2-OHFL underwent the fastest photodegradation in
 156 pure water, followed by seawater and freshwater (Fig. 1). For 9-OHFL, again the
 157 photodegradation rates varied markedly between ice and water, with the fastest
 158 photodegradation occurring in seawater ice (Fig. 1). From these observations, the
 159 photodegradation kinetics of the OHFLs appear to be dependent on the matrix (ice or water),
 160 as well as the aqueous constituents. In comparison with pure water, seawater and freshwater
 161 have varied water constituents, such as Cl^- (the dominant seawater constituent), humic acid

162 (HA, main constituent in freshwater), NO_3^- and Fe(III), which are important photoreactive
163 species in sunlit surface waters. Moreover, their photochemical reactivities will be altered
164 when water freezes (Grannas et al. 2014, Fede and Grannas 2015) (Blaszczak-Boxe and
165 Saiz-Lopez 2018). The roles of of these constituents on the OHFL photodegradation were
166 comparatively investigated in both ice and water.

167 **3.2. Effects of main water constituents in different phases**

168 Under the same irradiation conditions the ice/aqueous photodegradation of the two OHFLs, as
169 a function of Cl^- , HASS, NO_3^- and Fe(III) were studied, for which the results are presented in
170 Fig. 2 and Table S2. Based on the profiles of Fig. 2, it was found that these individual
171 constituents exhibited different effects on OHFL degradation in ice and water. For 2-OHFL,
172 Cl^- first enhanced and then suppressed the ice photolysis with a peak k value at a Cl^-
173 concentration of 0.68 M, whereas the corresponding aqueous photolysis was retarded by
174 increasing concentrations of Cl^- . Conversely, increasing concentrations of HASS suppressed
175 photodegradation in both ice and water whereas increasing concentrations of NO_3^- and Fe(III)
176 accelerated the photoreaction of 2-OHFL in water and to a lesser extent in ice. For 9-OHFL,
177 increasing concentrations of Cl^- displayed a similar accelerating effect on photolysis in ice but
178 also in water, which for the latter is in contrast to 2-OHFL. Incremental increases in the
179 concentrations of HASS and NO_3^- initially increased the rate of photolysis in ice but the
180 highest concentrations of these species suppressed photolysis. The effect of varying
181 concentrations in the aqueous solutions however had a negligible effect on aqueous
182 photodegradation for 9-OHFL, in contrast to 2-OHFL. Interestingly, compared to Fe(III) in
183 ice, that led to faster photodecay of 9-OHFL at lower Fe(III) concentrations, Fe(III) present in
184 the aqueous solutions facilitated faster photodecay at increasingly higher concentrations,
185 which was similar to the behavior of 2-OHFL.

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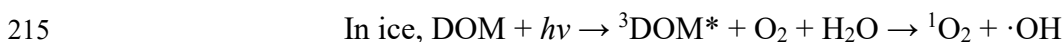
189 Fig. 2. Effects of aqueous constituents on photodegradation rate constants (k) of 2-hydroxyfluorene
 190 (2-OHFL) and 9-hydroxyfluorene (9-OHFL) under simulated sunlight ($\lambda > 290 \text{ nm}$) irradiation. HASS
 191 represents humic acid sodium salt.

192

193 In previous studies, the effects of dissolved constituents on photodegradation of many
 194 chemicals are generally reported for one phase only (ice/snow or water) (Li et al. 2018) (Ge et
 195 al. 2009) (Ge et al. 2010) (Ge et al. 2014) (Xue et al. 2019). For example, in our group, Ge et

196 al. examined the effects of key water constituents on the aquatic photochemistry of antibiotics,
197 as well as on ice photodegradation of 9-OHFL (Ge et al. 2010) (Ge et al. 2009) (Ge et al.
198 2014) (Ge et al. 2019). However, in this current study the effects of dissolved constituents on
199 photodegradation in both ice and water have been conducted together, which allows direct
200 comparisons in chemical behavior in a reproducible approach (see Figure 2) and provides
201 confidence that subtle, yet statistically significant differences in the phototransformation rates
202 do occur between ice and liquid aqueous phases.

203 The following interpretation is provided to account for the effects observed by some of the
204 dissolved constituents. Humic acid (HA) impacts the aquatic photochemical behavior of
205 organic chemicals by three processes: competitive photoabsorption; reactive oxygen species
206 (ROS) scavenging; and, sensitization effects. The competitive absorption and sensitization
207 effects could also be caused by NO_3^- , and Fe(III) or Fe(III)-aquo complexes near the light
208 wavelength of 290 nm (Mack and Bolton 1999, Neamtu et al. 2009), although the
209 solar-wavelength cutoff is 295 nm at the Earth's surface. When ice forms from freezing water,
210 these species will be enriched at the grain boundaries of the ice crystals, and their effects may
211 be enhanced in ice compared to aqueous solutions. This process of solute enrichment and
212 effect enhancement for DOM and NO_3^- during freezing has been previously demonstrated
213 (Grannas et al. 2014, Fede and Grannas 2015, Blaszczyk-Boxe and Saiz-Lopez 2018), and the
214 relevant reactions are as follows,



217 Chloride ion (Cl^-) would have the $\cdot\text{OH}$ scavenging and sensitization effects (Liu et al. 2009,
218 Chiron et al. 2006),



220 where $\cdot\text{OH}$ can be photogenerated in the self-sensitized photooxidation process of OHFLs

221 (Ge et al. 2016b). Chlorine free radicals might be more reactive toward OHFLs than $\cdot\text{OH}$
222 (Hua et al. 2019), and the effects of Cl^- might also be altered by the freezing process.

223 Besides the above four aqueous constituents, there are many less abundant and photoreactive
224 dissolved species (Table S3). While these minor constituents may also affect the
225 photodegradation kinetics of the OHFLs, it is the major constituents of Cl^- , HA, NO_3^- and
226 Fe(III), (Table S3), that are expected to account for most of the matrix-(ice, water) dependent
227 effects. The stimulatory effect of Cl^- ($2.43 \times 10^4 \text{ mg L}^{-1} \approx 0.68 \text{ mol L}^{-1}$, Table S3) and Fe(III)
228 on ice photodegradation of the OHFLs may account for the faster photodegradation observed
229 in simulated sea water ice compared with freshwater and pure water ice types. However,
230 slower photodegradation of 2-OHFL in simulated natural waters compared to pure water is
231 due to the likely suppressive effects of aqueous Cl^- and HA, although the relative abundance
232 of these constituents may determine whether photodegradation is promoted or suppressed.

233 3.3. Photochemical transformation products

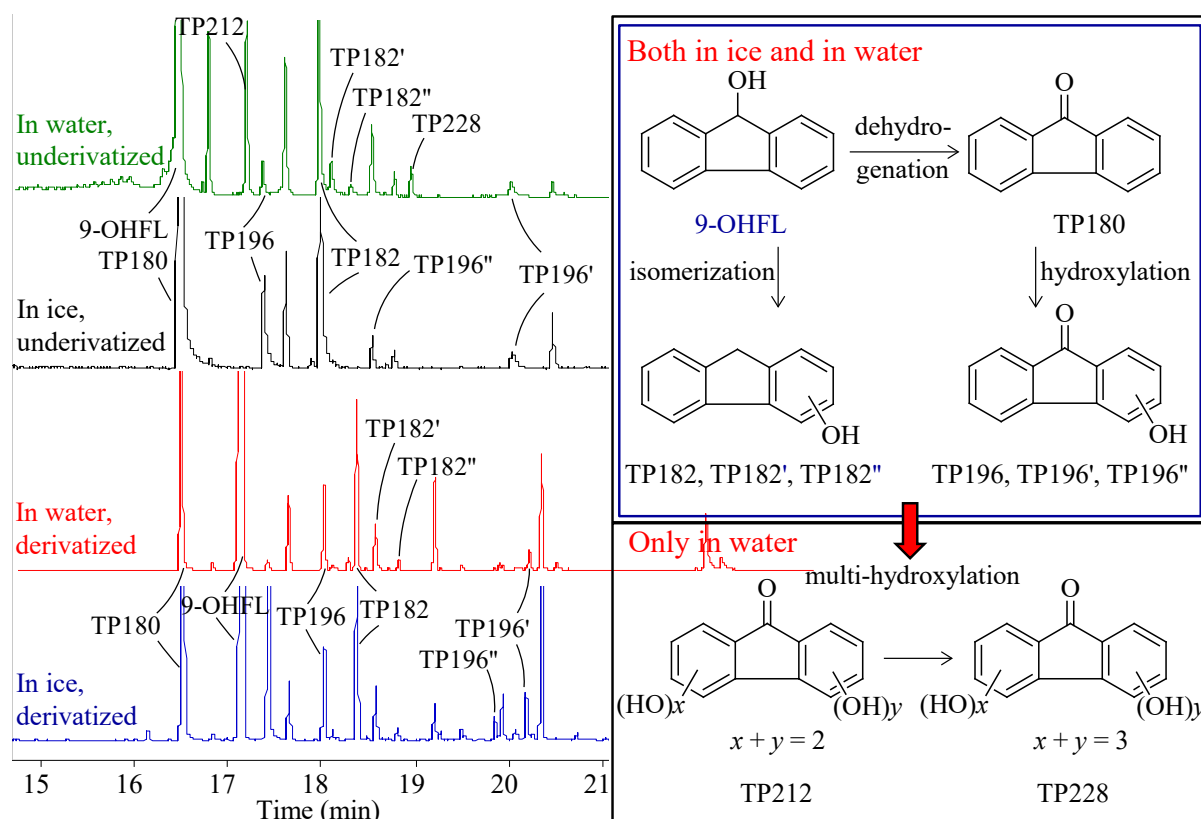
234 The model compound 9-OHFL was selected to investigate ice/aqueous phototransformation
235 byproducts and compare the main transformation pathways within ice or water based on the
236 unique GC-MS/MS analysis for both underivatized and derivatized samples. As shown in the
237 total ion chromatograms (Figs. 3 and S2), there are 9 significant transformation products
238 (TP180 ~ TP228), of which the corresponding MS and MS^2 mass spectra after sample
239 underivatization or derivatization are displayed in Fig. S3. Referring to the NIST mass
240 spectral library and the analytical approaches in previous studies (Ukpebor and Halsall 2012)
241 (Ge et al. 2016b) (Ge et al. 2016a) (Lehner et al. 2019), chemical structures were proposed
242 and tentative phototransformation pathways for ice or aqueous 9-OHFL are presented in Fig.
243 3.

244 There are similarities and also differences between the ice photochemical transformation
245 pathways and the water photodegradation pathways (Fig. 3). Under the same irradiation

246 conditions ($\lambda > 290$ nm), 9-OHFL transformed via two important initial photoreactions:
247 dehydrogenation and isomerization, both in ice and in water. Keto fluorene (TP180), and
248 single hydroxyl fluorenes (TP182, TP182' and TP182'') occurred through the two reactions,
249 respectively. In water (aqueous solutions), these intermediates underwent further
250 photoreactions through multiple hydroxylations, forming double (TP212) and triple
251 hydroxylated fluorenones (TP228) in a stepwise process. In ice, however, these
252 hydroxylated by-products were not detected.

253 Based on photochemical mechanisms proposed for other chemicals in ice and water
254 (Sanches et al. 2011, Kahan and Donaldson 2007) (Klanova et al. 2003) (Ram and Anastasio
255 2009) and the ion chromatograms generated in this study proposed phototransformation
256 pathways are presented in Figure 3. In comparison with aqueous photodegradation of
257 9-OHFL, ice phototransformation exhibited fewer byproducts and simpler pathways,
258 which may be attributed to lower mobility of the chemical molecules in ice. The organic
259 chemicals in the frozen matrix tend to be segregated from the ice phase and are dispersed at
260 the ice grain boundaries or interstitial pores. Thus, their reactivity might be limited and
261 dependent on the interactions between the host water molecules and any constituent
262 substances also present at the ice grain boundaries. (Klanova et al. 2003). This interaction
263 between H₂O and photoexcited 9-OHFL molecules may generate •OH radicals (Ge et al.
264 2016b), however compared with a liquid aqueous phase, the ice phase might not facilitate
265 the generation of sufficient •OH to induce the multiple hydroxylations observed here. For
266 other chemicals, photochemistry in ice can result in diverse photodegradation
267 intermediates and their corresponding transformation pathways have been reported for
268 monochlorophenols (Klanova et al. 2003) (Bláha et al. 2004) and *m*-cresol (Peng et al. 2012).
269 For example, these relatively smaller monoaromatic molecules, concentrated at ice grain
270 boundaries, appear to be susceptible to photopolymerization reactions (Klanova et al. 2003)

271 (Peng et al. 2012). However, given its relatively larger molecular size, polymerized products
 272 were not detected for 9-OHFL in ice.



273
 274 Fig. 3. Total ion chromatograms for underivatized and derivatized samples of 9-OHFL in ice and in water
 275 after irradiation ($\lambda > 290$ nm), as well as the corresponding phototransformation pathways. The
 276 transformation products are labeled “TP n ”, with n standing for the molecular weight.

277
 278 **4. Conclusion and environmental implications**

279 This study provides a detailed comparison of the ice and aqueous photochemical behavior of
 280 widely detected hydroxy PAHs (two hydroxyfluorenes, 2-OHFL and 9-OHFL), and shows
 281 that both their photodegradation kinetics and pathways can be greatly influenced by whether
 282 they reside in ice or water. The more efficient photodegradation of OHFLs in ice than in
 283 equivalent aqueous solutions, is probably attributable to the specific concentration effect
 284 where molecules accumulate at ice-crystal boundaries during the freezing process. .
 285 Interestingly, 2-OHFL photodegraded the fastest in seawater ice, followed by pure water ice

286 and freshwater ice, whereas aqueous 2-OHFL underwent the fastest photodegradation in pure
287 water, followed by seawater and freshwater. Different rates of photochemical degradation
288 between water and ice were also apparent for 9-OHFL as well. The experimental design and
289 data analysis demonstrate, like water, the presence of dissolved constituents in ice (Cl⁻,
290 HASS, NO₃⁻ and Fe(III)) also appear to play a significant role in the phototransformation of
291 OHFLs. Moreover, compared with aqueous photodegradation, phototransformation in ice
292 exhibited fewer by-products and hence a ‘simpler’ transformation pathway, which may be
293 attributed to lower mobility of the chemical molecules in ice.

294 Exposure to simulated sunlight facilitates the photodecay of OH-PAHs particularly in ice.
295 Therefore, environmental photodegradation in cold environments, where ice and snow
296 predominate, is likely to be an important sink, although photochemical half-lives will be
297 dependent on latitude and season (Boreen et al. 2004) (Andreozzi et al. 2004). In high altitude
298 regions at temperate latitudes (e.g. the north Qinling Mountains in China (Huai River region
299 (32°~34° N)) or the European Alps (~46°N) which are relatively close to anthropogenic PAH
300 sources), then photochemical transformation in snowfall may be an important sink relative to
301 rainfall/surface waters in adjacent low lying regions. It is plausible that photochemical decay
302 in snow and ice is the predominant sink compared to biodegradation and other removal
303 processes in colder regions. Importantly, many of the primary photo byproducts of the
304 OHFLs retain the core chemical structure of the parent OHFLs, suggesting that these
305 compounds may present similar ecotoxicity and biological activity as the parent chemicals
306 (Ge et al. 2014) (Ge et al. 2019) (Apell et al. 2020).

307

308 **Acknowledgments**

309 This study was supported by the National Natural Science Foundation of China (21976045,
310 21577029 and 22076112), CAS Key Laboratory of Coastal Environmental Processes and

311 Ecological Remediation (2020KFJJ03), China Scholarship Council (CSC) Scholarship
312 (201704180014 and 201704180009), and the Chinese Arctic and Antarctic Administration.

313 **Appendix A. Supplementary data**

314 Supplementary data to this article can be found online at <http://dx.doi.org/10.1016>.

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