

1 The case for a New Frontiers-class Uranus Orbiter: System science at an  
2 underexplored and unique world with a mid-scale mission  
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Abstract

Current knowledge of the Uranian system is limited to observations from the flyby of Voyager 2 and limited remote observations. However, Uranus remains a highly compelling scientific target due to the unique properties of many aspects of the planet itself and its system. Future exploration of Uranus must focus on cross-disciplinary science that spans the range of research areas from the planet's interior, atmosphere, and magnetosphere to the its rings and satellites, as well as the interactions between them. Detailed study of Uranus by an orbiter is crucial not only for valuable insights into the formation and evolution of our solar system but also for providing ground truths for the understanding of exoplanets. As such, exploration of Uranus will not only enhance our understanding of the Ice Giant planets themselves but also extends to planetary dynamics throughout our solar system and beyond. The timeliness of exploring Uranus is great as the community hopes to return in time to image unseen portions of the satellites and magnetospheric configurations. This urgency motivates evaluation of what science can be achieved with a lower-cost, potentially faster-turnaround mission, such as a New Frontiers (NF)-class orbiter mission. This paper outlines the scientific case for and the technological and design considerations that must be addressed by future studies to enable a NF-class Uranus orbiter with balanced cross-disciplinary science objectives. In particular, studies that trade scientific scope and instrumentation and operational capabilities against simpler and cheaper options must be fundamental to the mission formulation.

39 Uranus presents a compelling scientific target, providing a unique  
40 opportunity to explore an Ice Giant system with its five classical satellites,  
41 potential ocean worlds with drastic surface features, and dynamically full and  
42 apparently haphazard system of rings and small moons, in addition to the  
43 planetary and magnetospheric effects of its highly-tilted rotational axis being  
44 almost in Uranus' orbit plane and its strongly multipolar intrinsic magnetic  
45 field. Uranus, and its Ice Giant neighbor Neptune, represents a distinct class  
46 of planets in the solar system and beyond. Whereas Jupiter and Saturn are made  
47 mostly of hydrogen, the bulk compositions of Uranus and Neptune are dominated  
48 by heavier "ices" such as water, methane, hydrogen sulfide, and ammonia. These  
49 "Ice Giants" may be representative of similarly-sized planets common throughout  
50 the galaxy (Batalha et al. 2011; Wakeford & Dalba 2020), but remain the least-  
51 investigated planets in the solar system. The observations from Voyager 2 have  
52 left us with many outstanding mysteries about the Uranian system (e.g., Fletcher  
53 et al. 2020a; 2020c). As such, the study of the solar system's Ice Giants is a  
54 crucial step for providing ground truths for the understanding of Ice Giant-  
55 sized exoplanets (Rymer et al. 2018; Fortney et al. 2021; Wakeford & Dalba 2021)  
56 as observations show that Neptune-sized planets are the most abundant population  
57 of exoplanets (Zhu & Dong 2021).

58 The 2011 National Research Council Planetary Science Decadal Survey *Vision*  
59 *and Voyages for Planetary Science in the Decade 2013-2022* states: "The ice  
60 giants are thus one of the great remaining unknowns in the solar system, the  
61 only class of planet that has never been explored in detail" (National Research  
62 Council 2011). Underscoring the importance of studying the Ice Giants, the 2013  
63 Decadal Survey recommended a Uranus Orbiter and Probe as the third-highest  
64 priority "large-class" mission (National Research Council 2011). The mission  
65 summarized here would explicitly address the design considerations necessary to  
66 formulate an orbiter mission to Uranus within a future New Frontiers cost cap  
67 (assumed to be approximately \$1B USD). A recommendation for a similar mission  
68 concept was submitted by the Outer Planets Assessment Group (OPAG) for  
69 consideration in the last Planetary Science Decadal Survey (McKinnon et al.  
70 2009), but no such mission was formulated. With potential interest from  
71 international partners such as the European Space Agency (European Space Agency  
72 2021), there is potential scope for combining resources from agencies to achieve  
73 flagship-level science with more modest missions. This article specifically  
74 presents the case for a potential US-only New Frontiers-class mission.

76 Voyager 2's brief encounter with Uranus provided a tantalizing glimpse of  
77 the complexity and uniqueness of the planet and its wider system of rings and  
78 satellites, but ultimately supplied many more questions (e.g., Stone & Miner  
79 1986; Arridge et al. 2014; Beddingfield et al. 2021). The currently limited  
80 understanding of Uranus is analogous to that of other planets after our initial  
81 flyby encounters (e.g., the Mariner missions to Mercury, Venus, and Mars; the  
82 Pioneer and Voyager missions to Jupiter and Saturn). Just as our understanding  
83 of those planets was transformed after sending dedicated orbiter missions (e.g.,  
84 MESSENGER (Solomon et al. 2019), Pioneer Venus Orbiter (Colin 1980), the Viking  
85 missions (Soffen et al. 1976), Galileo (Johnson et al. 1992), Juno (Bolton et  
86 al. 2017), Cassini (Spilker 2019)), so too will our knowledge of Uranus expand  
87 tremendously from such long-term measurements and investigations. In  
88 particular, magnetospheric and atmospheric conditions can change rapidly  
89 compared to interior or surface conditions of the planet and satellites. For  
90 example, due to its unique extreme dipole tilt, the entire configuration of the  
91 Uranian magnetosphere varies drastically in a single (17.-hr) Uranian day;  
92 likewise, many plasma transport processes at play in the magnetosphere occur on  
93 the timescales of minutes or hours (e.g., injections, particle drifts, etc.).  
94 Furthermore, because observed changes in in-situ conditions may be the result  
95 of time-dependent dynamic processes or transition of the spacecraft into a  
96 different region of space, flybys are limited to snapshots of a planetary space  
97 environment. A similar case can be made for the atmospheric phenomena, which  
98 display a range of timescales, from hours (the eruption of convective plumes  
99 and interactions with the surrounding zonal winds; de Pater et al. 2015), to  
100 weeks (the evolution of rare dark ovals; Hammel et al. 2009), to years (the  
101 development of polar aerosol collars and caps, and associated changes in the  
102 polar windfield, Sromovsky et al., 2019). The only way to address this issue is  
103 with an orbiting spacecraft, as demonstrated by the results from previous  
104 orbital missions.

105 The first orbiters at every other planetary system also revealed many  
106 surprises that were not expected from the limited information gleaned by the  
107 flyby encounters of their predecessors. For example, one of the greatest  
108 discoveries of Cassini was the eruption of material from the subsurface ocean  
109 of Enceladus (Dougherty et al. 2006; Porco et al. 2006), a phenomenon unnoticed  
110 by the previous flybys of Pioneer 11 and the Voyagers. Future missions should  
111 yield similarly surprising results, especially given that the flyby measurements  
112 from Voyager 2 at Uranus may not have been representative (Kollmann et al.  
113 2020). Thus, any orbiter mission at Uranus could be expected to provide a

114 substantial advancement in our understanding of the system relative to the  
115 Voyager 2 flyby. While a New Frontiers-class Uranus orbiter mission may not  
116 result in investigations as comprehensive as larger-class missions like Cassini  
117 at Saturn or Galileo at Jupiter, successful and transformative smaller-class  
118 missions (e.g., MESSENGER at Mercury and Juno at Jupiter) highlight the  
119 significant advancement in understanding of systems that can be obtained by  
120 targeted orbital missions.

121 Additionally, information on whether the classical Uranian satellites are  
122 ocean worlds provides direct complements to investigations of the New Horizons,  
123 Europa Clipper, and JUICE missions. Finally, perhaps most significantly, a New  
124 Frontiers-class Uranus orbiter mission would complement any potential mission  
125 to the Neptune system (e.g., Rymer et al. 2021) by providing additional  
126 information about both Ice Giant planets and thus enabling comparisons and  
127 contrasts between the two planets and their systems. There is also strong  
128 interest from the international community for collaboration on such a mission  
129 (Arridge et al. 2012; Fletcher et al. 2020a; Blanc et al. 2021; European Space  
130 Agency 2021).

131 It is unclear whether a large-scale strategic mission would be able to make  
132 the 2030-2034 launch window needed to take advantage of a Jupiter gravity assist  
133 to reach Uranus before it reaches equinox in 2050; after 2050, the northern  
134 hemispheres of the satellites not imaged by Voyager 2 will gradually recede  
135 into darkness and the magnetospheric configuration will again evolve back  
136 towards what was observed by Voyager 2. The timeliness of a Uranus orbiter  
137 mission is a primary motivation for evaluating what science can be done with a  
138 lower-cost, faster-turnaround mission within the New Frontiers class. To  
139 maximize the prospects of meeting launch opportunities by 2034, this mission  
140 concept omits scientific objectives that are only achievable by an atmospheric  
141 probe (e.g., Orton et al., 2021) and focus instead on the excellence of the  
142 achievable science in the broader Uranian system as well as cross-cutting  
143 heliophysics and astrophysics opportunities (e.g., Cohen & Rymer 2020).

144 Previous studies of potential future Uranus missions have been conducted and  
145 outlined the broad science that should be targeted by a large strategic mission  
146 (e.g., Hofstadter et al., 2019). These provide a solid foundation from which to  
147 focus a smaller-class New Frontiers mission, but have made assumptions about  
148 multiple aspects of the mission design (i.e., communications, power, orbit and  
149 spacecraft design) that may not be applicable or appropriate for a lower-cost  
150 mission. To date, no NASA-funded study has explored the trades necessary to  
151 construct a mission with high science return for <\$1B, though many such concepts

152 have been proposed (e.g., Elder et al. 2018; Jarmak et al. 2020; Leonard et al.  
153 2021).

154 In 2010, an Ice Giants mission concept study was conducted for the Planetary  
155 Science Decadal Survey (Hubbard 2010). The aim of this study was “to define a  
156 preferred concept approach along with the risk/cost trade space for a Uranus or  
157 Neptune Mission launched in the 2020–2023 timeframe and within a cost range of  
158 \$1.5B–\$1.9B in FY15\$”. Though the study “developed a concept that can achieve  
159 very robust science at Uranus at a cost below flagship mission levels”, the  
160 target cost range was ~50% higher than the modern NF cost cap. Notably, the use  
161 of a Jupiter gravity assist was not considered in this study because of the  
162 unfavorable trajectories during the targeted launch window. Ultimately, the  
163 study concluded that the identified science objectives could be achieved for  
164 \$1.894B (FY15\$), including an enhanced orbiter payload and six-month satellite  
165 tour, use of a solar electric propulsion (SEP) stage, and delivery of a 127-kg  
166 atmospheric entry probe. The “Uranus Orbiter and Probe” mission that resulted  
167 from this study was ranked as the third-highest-priority large-class mission in  
168 the 2011 Planetary Science Decadal Survey (National Research Council 2011).

169 A more recent Ice Giants Pre-Decadal Survey Mission Study was conducted  
170 looking at potential mission architectures to both Uranus and Neptune  
171 (Hofstadter et al. 2017; Hofstadter et al. 2019). Unlike its 2010 predecessor,  
172 this study targeted launch dates within the purview of the 2023 Planetary  
173 Science Decadal Survey (i.e., 2024–2037) and was charged to “[i]dentify missions  
174 across a range of price points, with a full life cycle cost not to exceed \$2B  
175 (FY15\$)” with no identified lower cost limit. Although the Science Definition  
176 Team explored over thirty architectures, neither a strawman payload was  
177 recommended nor was any explicit effort made to explore the New Frontiers trade-  
178 space. The lowest-cost option given a fully refined point design was a Uranus  
179 flyby that cost nearly \$1.5B (FY15\$); the Uranus orbiter point design with the  
180 lowest cost (\$1.7B FY15\$) carried an atmospheric probe and only a ~50-kg orbiter  
181 payload. Furthermore, the 2018 Decadal Survey mid-term review found “[t]he  
182 objectives of the mission concept described in the 2017 ice giants predecadal  
183 study have been changed significantly from the original *Vision and Voyages*  
184 science objectives”, prompting a recommendation that “NASA should perform a new  
185 mission study based on the original ice giants science objectives identified in  
186 *Vision and Voyages* to determine if a more broad-based set of science objectives  
187 can be met within a \$2 billion cost cap.” (National Research Council 2018).

188 3 Science Objectives

189       The “proto” Science Traceability Matrix (STM) in Figure 1 summarizes a broad  
190 array of potential science objectives and outstanding mysteries, covering all  
191 areas of the system (satellites, magnetosphere, atmosphere, interior, and  
192 rings). These potential science objectives generally align with those of the  
193 Uranus Orbiter & Probe mission recommended in the 2013 Planetary Science Decadal  
194 Survey. Overall, the mission aims to address the overarching science goal to  
195 “*Explore the Uranian system to solve known mysteries and address*  
196 *multidisciplinary objectives relevant to the rings, satellites, magnetosphere,*  
197 *interior, and atmosphere.*” Measurement types (denoted in matrix form with a key  
198 at the bottom) are also provided for each objective.

	Outstanding Mystery	Science Objective (Relevant V&V Science Goal)	Potential Observables
SATELLITES	<i>Do any of Uranus' classical satellites sustain a subsurface ocean?</i>	Determine whether the classical Uranian satellites have signatures indicative of subsurface oceans. (6)	<ul style="list-style-type: none"> <li>Tectonic and geomorphologic structures, tidal flexing, plume activity, physical libration, and thermal anomalies</li> <li>Topography</li> <li>Spectroscopic indications of outsourcing from interior</li> <li>Induced magnetic field and satellite tidal number/degree of compensation</li> </ul>
	<i>Which processes formed the extremely dark and resurfaced terrains of the five classical Uranian satellites?</i>	Determine the surface compositions of the classical Uranian satellites. (4)  Understand what processes formed and modify the surfaces of the classical Uranian satellites. (4 & 5)	<ul style="list-style-type: none"> <li>Compositional mapping and associations (or lack) with geologic features/topographic lows</li> <li>Regional distributions (leading versus trailing hemisphere) of dark material</li> <li>Compositional trends with distance from Uranus</li> <li>Units and surface features/structures</li> <li>Topography and stratigraphy</li> <li>Relative age of units and features (estimated from cross-cutting relations and crater density)</li> <li>Incident plasma and energetic particle spectra (moon-magnetosphere interactions)</li> </ul>
MAGNETOSPHERE	<i>How does plasma transport work in Uranus' unique magnetospheric configuration?</i>	Understand the fundamental structure and dynamics of Uranus' magnetosphere and the importance of internal versus external drivers. (1 & 3)	<ul style="list-style-type: none"> <li>Temporal and spatial variabilities in plasma and magnetic fields</li> <li>Plasma and energetic ion composition</li> <li>Particle energization and acceleration</li> <li>Times, durations and depths of satellite/ring microsignatures</li> </ul>
	<i>How does Uranus generate such an intense electron radiation belt?</i>	Understand what processes generate Uranus' intense electron radiation belt. (1 & 3)	<ul style="list-style-type: none"> <li>Plasma and low-frequency waves and wave power distributions</li> <li>Plasma and energetic electron and ion pitch-angle distributions and energy spectra</li> </ul>
INTERIOR	<i>How is Uranus' interior structured below the clouds and how does it behave?</i>	Understand the configuration and evolution of Uranus' magnetic field. (1 & 3)	<ul style="list-style-type: none"> <li>Map of the intrinsic magnetic field, including spherical harmonic coefficients</li> <li>Temporal evolution of the intrinsic magnetic field</li> <li>Low-degree (&lt;10) odd and high degree (&gt;10) even gravitational harmonics</li> <li>Internal heat flux as a function of latitude</li> </ul>
		Determine the bulk composition and the distribution of materials within Uranus. (1 & 2)	<ul style="list-style-type: none"> <li>Noble gas abundances (incl. He) – <i>requires entry probe</i></li> <li>Bulk enrichments of C, N, and S (<i>requires entry probe</i>) and remote sensing above clouds</li> <li>Low-degree (&lt;10) even gravitational harmonics</li> <li>Map and temporal evolution of the intrinsic magnetic field</li> </ul>
		Understand Uranus' global energy balance and internal heat flow. (1)	<ul style="list-style-type: none"> <li>Reflectivity at multiple phase angles and latitudes</li> <li>Thermal emission at multiple latitudes</li> <li>Temperature/density profiles</li> <li>Distribution of absorbers and temperature lapse rate in upper troposphere/stratosphere</li> </ul>
ATMOSPHERE	<i>What mechanisms drive Uranus' large- and small-scale atmospheric dynamics?</i>	Understand Uranus' atmospheric heat transport mechanisms. (1 & 3)	<ul style="list-style-type: none"> <li>Mapping of entire planetary "surface"</li> <li>Upper atmospheric density and wave inventory</li> <li>Tracking of storms, clouds, and eddies in reflected sunlight</li> <li>Thermal profile, upward and downward radiative flux – <i>requires entry probe</i></li> </ul>
		Understand Uranus' zonal and meridional circulation patterns. (1 & 3)	<ul style="list-style-type: none"> <li>Temperature and ortho/para-H<sub>2</sub> mapping</li> <li>Tracking of clouds</li> <li>3D maps of key volatiles and tracers (e.g., CH<sub>4</sub>, H<sub>2</sub>S, NH<sub>3</sub>, H<sub>2</sub>O, CO, para-H<sub>2</sub>)</li> <li>2-cm brightness temperature</li> </ul>
		Determine the thermodynamics and chemistry of Uranus' clouds and hazes. (1 & 3)	<ul style="list-style-type: none"> <li>Aerosol structure mapping</li> <li>3D maps of key volatiles and tracers (e.g., CH<sub>4</sub>, H<sub>2</sub>S, NH<sub>3</sub>, H<sub>2</sub>O, CO, para-H<sub>2</sub>)</li> <li>Abundances of hydrocarbons in upper atmosphere</li> </ul>
RINGS	<i>Why is the architecture of the Uranian ring-moon system so dynamically full and haphazard?</i>	Determine the processes that sculpt and maintain Uranus' ring-moon system. (1)	<ul style="list-style-type: none"> <li>Ring particle size distribution, planet/moon tidal parameters</li> <li>Ring internal structures (e.g., density waves and satellite wakes)</li> <li>Rings' non-circular shapes and pattern speeds</li> <li>Discovery of new moons and moon shapes, light-curves, and orbital elements</li> <li>Dusty ring spatial density and periodic structures</li> <li>Magnetic field orientation, components, and periodicities</li> </ul>
		Determine the composition and origin of Uranus' rings and small satellites. (1)	<ul style="list-style-type: none"> <li>Spectral absorption in moon and ring spectra</li> <li>Crater density on small moons</li> <li>Micrometeoroid impact flux and composition</li> <li>Radiation belt location and flux</li> </ul>

**Figure 1.** A summary of the outstanding science mysteries, science objectives (including linkages to the 2013 Planetary Science Decadal Survey goals), and potential observables that could be addressed by a future New Frontiers-class Uranus orbiter mission.

199 The science objectives presented here all address at least one of several  
200 science goals for giant-planet system or satellite exploration outlined in the  
201 2011 Planetary Science Decadal Survey (National Research Council 2011): 1) *Giant*  
202 *planets as ground truth for exoplanets*; 2) *Giant planets' role in promoting a*  
203 *habitable planetary system*; 3) *Giant planets as laboratories for properties and*  
204 *processes on Earth*; 4) *Formation and evolution of the satellites of the outer*  
205 *solar system*; 5) *Processes controlling the present-day behavior of the*  
206 *satellites of the outer solar system*; and 6) *Processes that result in habitable*  
207 *environments*. Each science objective's relevance to these overarching goals is  
208 explicitly identified in Figure 1 along with potential observables.

Science Objective	Potential Measurement Types											
	Imaging/Spectroscopy				Gravity Science	Radio Occultations	Magnetic Field	Plasma	Energetic Particles	Plasma/low-frequency waves	Dust	In-situ atmospheric probe
	NIR	Thermal IR	Visible	UV								
Determine whether the classical Uranian satellites have signatures indicative of subsurface oceans.		X	X	X	X		X	X				
Determine the surface compositions of the classical Uranian satellites.	X	X	X									
Understand what processes formed & modify the surfaces of the classical Uranian satellites.	X	X	X					X	X		X	
Understand how internal & external drivers generate plasma structures & transport within Uranus' magnetosphere.							X	X	X			
Understand what processes generate Uranus' intense electron radiation belt.							X	X	X	X		
Understand the configuration & evolution of Uranus' magnetic field.		X		X	X		X	X	X	X		
Determine the bulk composition & the distribution of materials within Uranus.		X	X		X	X	X			X		X
Understand Uranus' global energy balance & internal heat flow.	X	X	X		X	X	X					
Understand Uranus' atmospheric heat transport mechanisms.	X	X	X	X		X						X
Understand Uranus' zonal & meridional circulation patterns.	X	X	X	X	X	X	X					X
Determine the thermodynamics & chemistry of Uranus' clouds and hazes.	X	X	X	X		X			X			X
Determine the processes that sculpt & maintain Uranus' ring-moon system.	X		X	X	X	X	X	X	X		X	
Determine the composition & origin of Uranus' rings & small satellites.	X	X	X	X				X	X		X	

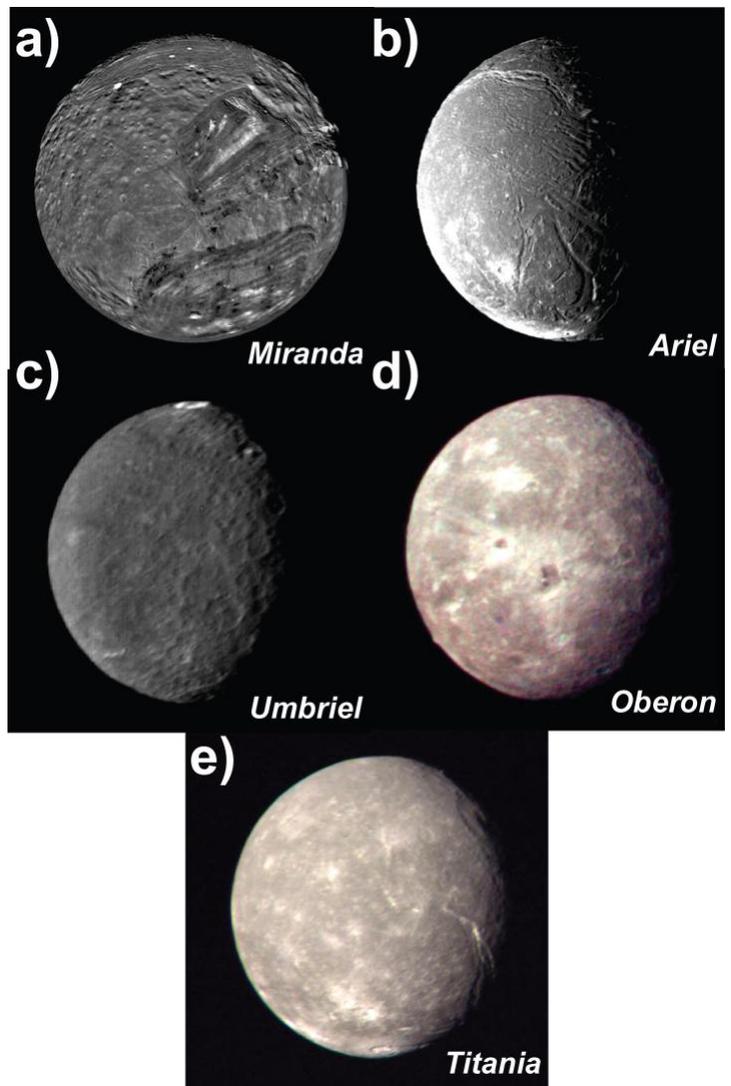
**Figure 2.** Mapping of the potential science objectives of a New Frontiers-class Uranus orbiter mission to different measurement types. This underscores the broad, cross-disciplinary science that can be achieved given that many instruments can provide observations relevant to multiple aspects of the science investigation.

209 Since the Uranian system provides a multitude of outstanding mysteries and  
 210 unique characteristics to investigate, there are multiple possible complements  
 211 of instruments that could deliver revolutionary science measurements as  
 212 showcased in Figure 2. Despite the cost constraints, a New Frontiers-class  
 213 Uranus orbiter mission is expected to achieve many of the science objectives  
 214 outlined below.

### 215 3.1 Satellite Science

216 Determine whether the classical Uranian satellites have signatures  
 217 indicative of subsurface oceans and determine their surface compositions. Uranus  
 218 has five mid-sized classical satellites (Ariel, Miranda, Umbriel, Oberon, and  
 219 Titania) in addition to its thirteen small moons. These moons have surface ices  
 220 of common composition to those of the Pluto-Charon system - i.e., widespread  
 221 H<sub>2</sub>O ice, CH<sub>4</sub> and other volatiles, hints of NH<sub>3</sub>-hydrates and the possible detection  
 222 of tholins (Grundey et al. 2016; Cartwright et al. 2018; Schenk & Moore 2020).  
 223 However, further investigation of these moons may provide insight on an icy  
 224 evolution very different than those of Kuiper Belt Objects (KBOs), mainly due  
 225 to the limited knowledge of CO<sub>2</sub> as a volatile ice at Uranus (Cartwright et al.

226 2015; 2020a), rather than carbon  
227 monoxide on KBOs (Grundy et al.  
228 2020). The widespread evidence  
229 for resurfaced terrains from  
230 tectonism and cryovolcanism on  
231 the classical Uranian  
232 satellites, hypothesized global  
233 heating events, and the possible  
234 presence of NH<sub>3</sub>-hydrates on  
235 their surfaces indicate that  
236 these moons are possible ocean  
237 worlds (Hendrix et al. 2019;  
238 Schenk & Moore 2020; Ćuk et al.  
239 2020, Beddingfield & Cartwright  
240 2021; Cartwright et al. 2021).  
241 Heat flux estimates for Miranda  
242 (Beddingfield et al. 2015) and  
243 Ariel (Peterson et al. 2015)  
244 indicate that these moons  
245 experienced heating events in  
246 the past (Ćuk et al. 2020),  
247 possibly sustaining subsurface  
248 liquid water. For example, the  
249 estimated heat flux in the past  
250 on Miranda is broadly consistent  
251 with the heat flux generated by  
252 Europa's current orbital  
253 resonance (Hussman et al. 2002;  
254 Ruiz et al. 2005). In addition, ground-based spectroscopic observations of the  
255 Uranian satellites hint at the presence of ammonia-bearing species on the  
256 surfaces of these moons (Bauer et al. 2002; Cartwright et al. 2018; 2020b). If  
257 present in the lithosphere, ammonia-rich material would dramatically lower the  
258 interior freezing temperature (compared to pure H<sub>2</sub>O ice), assisting in the  
259 sustainability of subsurface oceans. If oceans are present in these satellites'  
260 interiors, either globally or locally, they may have interacted, or currently  
261 interact with the surface in the form of plumes, cryovolcanic flows, and/or  
262 tectonic features indicative of nonsynchronous rotation. Images of the satellite  
263 surfaces can be used to obtain surface compositions indicative of subsurface



**Figure 3.** Voyager 2 images revealed surface features that have raised multiple mysteries regarding the composition, evolution, formation, and structure of the classical Uranian satellites. (Images from NASA/JPL)

264 ocean-surface interaction (infrared) and topographic information to investigate  
265 tectonics and geodynamics associated with a subsurface ocean, as well as map  
266 and analyze geologic units and surface features (visible). Observations of an  
267 induced magnetic field associated with any of the moons would also be indicative  
268 of a subsurface ocean (e.g., Arridge & Eggington, 2021; Weiss et al. 2021).

269 Understand what processes formed and modify the surfaces of the classical  
270 Uranian satellites. The geologic processes operating on the Uranian satellites  
271 are complex, as indicated by large tectonic and possibly cryovolcanic features  
272 imaged by Voyager 2 (Schenk 1991; Beddingfield & Cartwright, 2020; 2021; Schenk  
273 & Moore 2021). On Miranda and Ariel, tectonic and possibly cryovolcanic features  
274 extend well past the terminator in the Voyager 2 imaging dataset, as revealed  
275 by enhanced nightside "Uranus-shine" processing techniques (Stryke & Stooke  
276 2008). Miranda (Figure 3a) exhibits three unique "coronae", large polygonal  
277 shaped regions of deformed surface containing subparallel ridges and troughs  
278 that are highlighted by high and low albedos. These are made up of complex sets  
279 of tectonic features (Smith et al. 1986; Schenk 1991; Pappalardo et al. 1997)  
280 and may also contain cryovolcanic flows in one corona (Jankowski & Squyres 1988;  
281 Beddingfield & Cartwright, 2020) and the large Global Rift System cuts across  
282 the ancient cratered terrain. Ariel (Figure 3b) exhibits complex canyon systems,  
283 which are thought to be a result of internal processes driving tectonism  
284 (Johnson et al. 1987; Croft & Soderblom 1991), and possible cryovolcanic  
285 features including lobate flow-like features and double ridges (Beddingfield &  
286 Cartwright, 2021). Umbriel, Oberon, and Titania (Figures 3c-e) also exhibit  
287 large canyons, similar to those seen on some icy satellites elsewhere. However,  
288 the formation of these features is not well understood, and various mechanisms  
289 have been proposed (McKinnon 1988; McKinnon et al. 1991; Greenberg et al. 1991;  
290 Janes & Melosh 1988; Sori et al., 2017), which can only be tested through  
291 investigations such as mapping of surface features, compositions, and cratering  
292 densities, and obtaining topographic information from visible images. These  
293 images can also be used to map and analyze geologic units and surface features  
294 and compare them with weathering patterns to be expected from different plasma,  
295 particle or dust populations (e.g., Hendrix et al. 2012; Cartwright et al.  
296 2015). They can also be used to perform crater density studies to estimate  
297 surface ages. Compositional trends and regolith properties can be investigated  
298 using infrared spectra, providing key insight into the origin of the mysterious  
299 dark material. Since spectra depend both on surface composition and grain size  
300 (e.g., Hapke 2012), independent information on energetic particles is needed  
301 that affect grain size (e.g., Raut et al. 2008; Howett et al., 2020) and can

302 drive the chemical formation of the dark material (e.g., Lanzerotti et al. 1984)  
303 or other changes in color (e.g., Stephan et al. 2010; Hibbits et al. 2019).

### 304 *3.2 Magnetospheric Science*

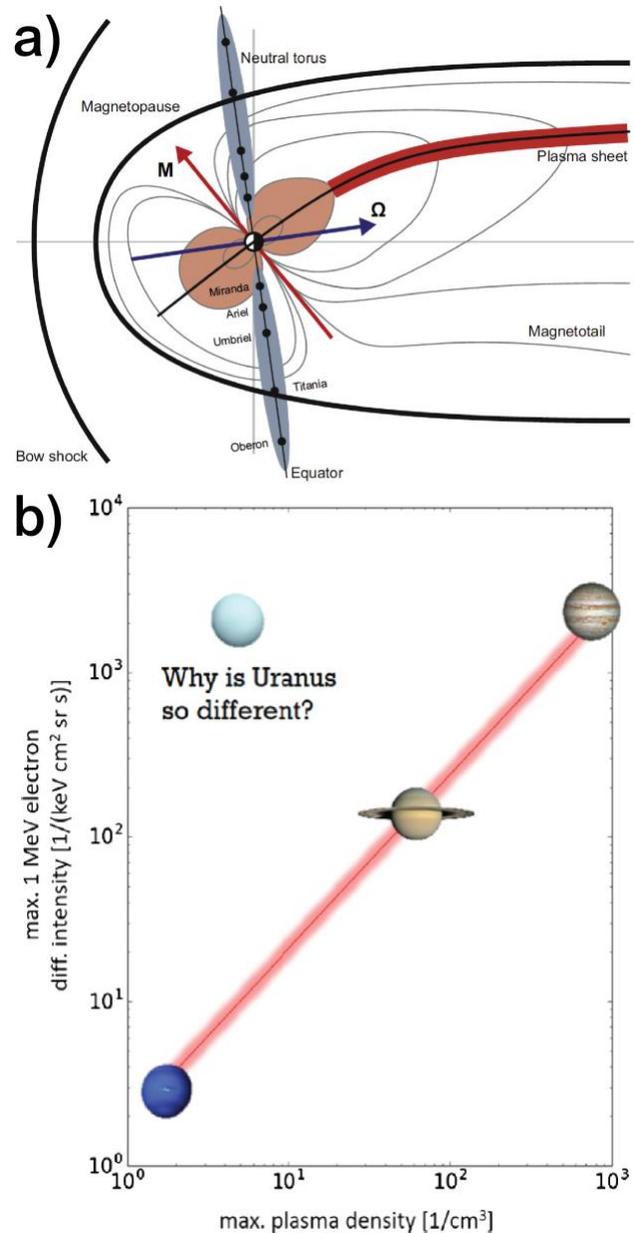
305 Understand how internal & external drivers generate plasma structures and  
306 transport within Uranus' magnetosphere. The magnetosphere of Uranus (Stone &  
307 Miner 1986; Paty et al. 2020) offers a unique configuration that provides an  
308 opportunity to understand the drivers of magnetospheric dynamics throughout the  
309 solar system. With the planetary rotation axis tilted by  $98^\circ$  relative to the  
310 ecliptic plane and a magnetic field axis tilted by  $\sim 59^\circ$  with respect to Uranus'  
311 rotation axis, the orientation of the magnetic field (Figure 4a) presents an  
312 asymmetrical obstacle to the impinging solar wind (Cao & Paty 2017; Paty et al.  
313 2020), which changes continuously during the 17.2-h Uranian day. Furthermore,  
314 the Uranian magnetic field requires higher-degree multipoles near the planet to  
315 adequately model the internal planetary field. This multipolar structure sets  
316 Uranus and Neptune apart from the Gas Giants, Jupiter and Saturn (e.g., Stanley  
317 & Bloxham 2006; Soderlund & Stanley 2020; Paty et al. 2020).

318 Plasma transport within a planetary magnetosphere may generally be driven by  
319 external and/or internal forces. External forcing would suggest that Uranus'  
320 magnetosphere becomes connected to the solar wind, whereas an internally-driven  
321 system would be subjected to centrifugal forces as the plasma is accelerated  
322 and energized. The magnetospheres of terrestrial planets with an intrinsic  
323 magnetic field (i.e., Earth and Mercury) are primarily driven by solar wind  
324 forcing, whereas the magnetospheres of gas giants Jupiter and Saturn are  
325 dominated by forces driven by internal plasma sources and fast planetary  
326 rotation. *Voyager 2* observations suggest that Uranus may be solar wind-driven  
327 (Mauk et al. 1987). However, this runs contrary to *Voyager 2* observations that  
328 revealed an apparent lack of solar wind alpha particles at higher energies  
329 (Krimigis et al. 1986); future measurements of suprathermal particle populations  
330 may yet reveal them. Given the unique combination of its extreme obliquity and  
331 the large offset of its magnetic field, Uranus' magnetic configuration varies  
332 between open and closed to the solar wind over a relatively fast (17.2-hr)  
333 Uranian day; this suggests that internal drivers must play a role, even though  
334 plasma transport due to the solar wind is decoupled from that due to rotation  
335 near the solstices (Selesnik & Richardson 1986; Vasyliuñas 1986). Depending  
336 where Uranus is in its orbit, the solar wind would approach along the direction  
337 of the rotation axis, or perpendicular to it (or somewhere in between) because  
338 Uranus' rotation axis is almost aligned with its orbital plane. This will have  
339 a strong effect on the interaction of the solar wind plasma with the

340 magnetosphere of Uranus and the  
 341 resulting current system. A mission  
 342 arriving within a decade of 2050 would  
 343 have the chance to observe a very  
 344 different configuration relative to  
 345 the solar wind than Voyager 2 as the  
 346 alignment of the planet's rotation  
 347 axis changes seasonally, and thus may  
 348 expect to observed very different  
 349 magnetospheric dynamics.

350 Understand what processes generate  
 351 Uranus' intense electron radiation  
 352 belt. Planetary radiation belts  
 353 provide an in-situ laboratory to study  
 354 the universal process of particle  
 355 acceleration, providing conditions  
 356 that are hard to reproduce on Earth  
 357 and remain inaccessible in  
 358 astrophysical phenomena. Radiation  
 359 belts magnetically trap and energize  
 360 charged particles around a planet and  
 361 are as diverse as the planets they  
 362 encompass. Uranus' radiation belts  
 363 are especially interesting as Voyager  
 364 2 observations did not confirm our  
 365 expectations (Kollmann et al. 2020;  
 366 Paty et al. 2020). For the particles  
 367 to accumulate to high intensities, the  
 368 radiation belts need to draw from a  
 369 large reservoir of lower energy plasma  
 370 (as illustrated in Figure 4b) and/or  
 371 lose the accelerated particles very  
 372 slowly. Neither appeared to be the  
 373 case at Uranus, which features an  
 374 almost particle-free "vacuum"

375 magnetosphere with little source plasma  
 376 to be accelerated (McNutt et al., 1987),  
 377 slow acceleration through radial diffusion (Cheng et al., 1987), and where waves  
 are thought to result mostly in particle losses (Coroniti et al., 1987). Thus,



**Figure 4.** Uranus' asymmetric magnetosphere (a, from Arridge et al. 2014) presents a unique opportunity to test our understanding of magnetospheric physics. In particular, it remains unclear how and why the relationship between Uranus' 1 MeV electron intensities and the amount of potential source plasma in its magnetosphere stands out so starkly from the rest of the Giant planets (b, from Kollmann et al. 2020).

378 it remains a mystery why Uranus' electron belts appear surprisingly intense  
379 (e.g., compared to Saturn & Neptune (Mauk & Fox 2010)) whereas its ion belts  
380 show low intensities, despite sharing several physical processes (Mauk 2014).

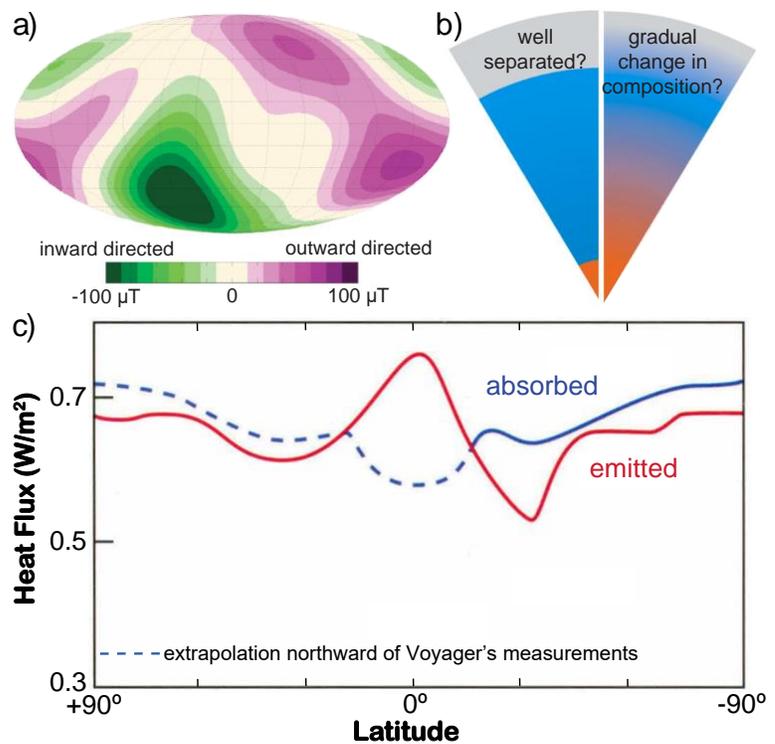
381 Wave observations may hold the key to a possible explanation, as the  
382 whistler-mode hiss and chorus wave intensities that Voyager 2 measured at Uranus  
383 were surprisingly higher than those it observed at any other planet (Kurth &  
384 Gurnett 1991); this suggests that such waves may play an important role in the  
385 system. In general, whistler-mode waves may play a role in both electron  
386 acceleration and loss, depending on the specific plasma conditions, a fact that  
387 has been of increasing interest (e.g., Thorne et al. 2013; Allison et al. 2019).  
388 Past studies at Uranus have suggested that the waves are causing a net loss  
389 (Tripathi & Singhal 2008), yet results may be biased by the limited temporal  
390 and spatial coverage of the available Voyager 2 measurements. A very different  
391 explanation why Uranus may behave so unexpectedly is because its unique  
392 magnetospheric configuration results in the dominance of processes that have  
393 been observed to play lesser roles at other planets. For example, the non-  
394 dipolar field near the planet could trap charged secondaries from cosmic rays  
395 hitting the atmosphere, which does not occur in other planets' more dipolar  
396 fields (Kollmann et al. 2020).

### 397 *3.3 Interior Science*

398 Understand the configuration & evolution of Uranus' magnetic field. Voyager 2  
399 showed that the intrinsic magnetic field of Uranus is multipolar (i.e., not  
400 dominated by the dipole component) and has no symmetries along any axis (e.g.,  
401 the dipole is tilted by  $59^\circ$  relative to the rotational axis, as previously  
402 mentioned (Figures 4a and 5a; Holme & Bloxham 1996; Soderlund & Stanley 2021)).  
403 Magnetic field measurements during the Voyager 2 flyby in combination with  
404 auroral observations allowed the large-scale field to be estimated up to  
405 spherical harmonic degree  $l=4$  (Herbert 2009); in contrast, the dipole-dominated  
406 magnetic fields of Jupiter and Saturn are known to  $l>10$  and surprises such as  
407 the north-south asymmetry and temporal variability of the Jovian field were  
408 discovered as they were characterized in greater detail (Cao et al. 2019;  
409 Connerney et al. 2021). Even more discovery awaits at Uranus (and Neptune) where  
410 the magnetic field is more spatially, and likely temporally, complex. Long-term  
411 in-situ measurements of the local magnetic field as well as energetic particles  
412 tracing global field properties (e.g., location and field strength of the foot  
413 points of field lines) will resolve both large- and small-scale fields over  
414 time thus enabling characterization of Uranus' dynamo to a level commensurate  
415 with Jupiter and Saturn (Cao et al. 2019; Connerney et al. 2021), which would

416 not only test hypotheses for  
 417 how its multipolar magnetic  
 418 field is generated but also  
 419 help explain why the dynamos  
 420 of gas and ice giant planets  
 421 differ so substantially.  
 422 Potential explanations for  
 423 Uranus' unique magnetic field  
 424 configuration relate to the  
 425 presence of a deep stably-  
 426 stratified layer (e.g.,  
 427 Stanley & Bloxham 2004), the  
 428 relatively weak influence of  
 429 rotation on deep convective  
 430 flows (Soderlund et al.  
 431 2013), the interplay of  
 432 density versus electrical  
 433 conductivity variations with  
 434 depth (Soderlund & Stanley  
 435 2020), among others. Thus, in  
 436 addition to characterizing  
 437 the magnetic field, Uranus'  
 438 interior structure, composition, heat flow, and dynamics must also be determined  
 439 in order to resolve the mystery of how the dynamo operates.

440 Determine the bulk composition and the distribution of materials within  
 441 Uranus. Standard three-layer structure models of Uranus infer that the planet  
 442 consists of ~2 Earth masses of hydrogen-helium; although this estimate puts  
 443 important limits on the planetary metallicity, it is not known which elements  
 444 dominate the deep interior (Nettelmann et al. 2013; Helled & Fortney 2021;  
 445 Teanby et al. 2021). Alternative structure models suggested that Uranus could  
 446 have a density profile without discontinuities (Helled et al. 2011) and that a  
 447 large fraction of water is not needed to fit the observed properties  
 448 (Figure 5b). It is of particular importance to determine the global ice-to-rock  
 449 ratio, which can also be used to address Uranus' formation - a long-standing  
 450 problem for planet formation theory (Helled & Bodenheimer 2014; Helled et al.  
 451 2020; Mousis et al 2021). Currently, the ice-to-rock ratio of Uranus remains  
 452 only loosely constrained (Helled et al. 2011; Nettelmann et al. 2013). It is  
 453 therefore clear that more accurate measurements of the gravity field and



**Figure 5.** The peculiarities of Uranus' interior are showcased by the (a) multipolar intrinsic magnetic field, (b) unknown internal structure and bulk composition, and (c) energy balance with comparable absorbed and emitted heat fluxes. Adapted from Soderlund & Stanley (2020), Helled & Guillot (2017), and Ingersoll (1999), respectively.

454 estimates of the depth to the dynamo region from magnetic field measurements  
455 (e.g., Tsang & Jones 2020; Connerney et al. 2021; Masters & Soderlund 2022) are  
456 required to determine Uranus' bulk composition and its depth dependence.

457 Abundances of key species such as helium would tell us about the environment  
458 in which Uranus formed, and bulk enrichments of carbon, nitrogen, and sulfur  
459 would provide additional information on the planet formation process. However,  
460 it must again be noted that compositional determination can only be obtained by  
461 in-situ observations from an atmospheric probe, which is not considered within  
462 the scope of the New Frontiers-class orbiter mission promoted here due to cost  
463 cap limitations. Unfortunately, ground-based attempts to constrain aspects of  
464 the composition from measurements of atmospheric disequilibrium species (such  
465 as CO) have thus far been inconclusive (e.g., Cavalie et al. 2014).

466 Understand Uranus' global energy balance & internal heat flow. Uranus is the  
467 only outer planet in the solar system that is in approximate equilibrium with  
468 solar insolation (Pearl et al. 1990; Pearl & Conrath 1991), suggesting that its  
469 interior may not be fully convective and/or contains composition gradients that  
470 hinder convection (e.g., Nettelmann et al. 2016; Podolak et al. 2019; Scheibe  
471 et al. 2019; Vazan & Helled 2020), although atmospheric phenomena may also be  
472 responsible (Gierasch & Conrath 1987; Kurosaki & Ikoma 2017). Given the large  
473 uncertainties in the Voyager 2 measurements of Uranus' bond albedo and thermal  
474 emission, and the potential for temporal variability in the reflectivity and  
475 emission, a more precise energy balance measurement is necessary (Figure 5c).  
476 This requires mapping the reflectivity at multiple phase angles and latitudes  
477 (which can only be done with an orbiting spacecraft), and measuring the thermal  
478 emission at multiple latitudes. Furthermore, if convective inhibition is at  
479 play, then Uranus' internal heat flux may vary with time, and given that recent  
480 ground-based observations reveal many episodic convective events, an orbiter  
481 mission arriving during an active period may measure a higher heat flux.

482 Interior structure models use gravitational constraints to link planet  
483 composition, density, pressure, and temperature as a function of radius, albeit  
484 with non-unique solutions including 'hot' and 'cold' Uranus scenarios that may  
485 or may not be fully adiabatic (Helled et al. 2011; Nettelmann et al., 2013;  
486 Bethkenhagen et al. 2017; Podolak et al. 2019). As a result, improved  
487 measurements of the planet's composition, luminosity, and gravity field will  
488 reduce the uncertainty in interior heat flow. Moreover, variation of electrical  
489 conductivity with depth depends strongly on the planet's temperature structure,  
490 leading to potential interactions between the zonal winds and magnetic field in  
491 the semiconducting region of the atmosphere (Soyuer et al. 2020). These

492 interactions are expected to produce perturbations in the poloidal magnetic  
493 field that may further test modeled temperature profiles (Soyuer & Helled 2021).

#### 494 *3.4 Atmospheric Science*

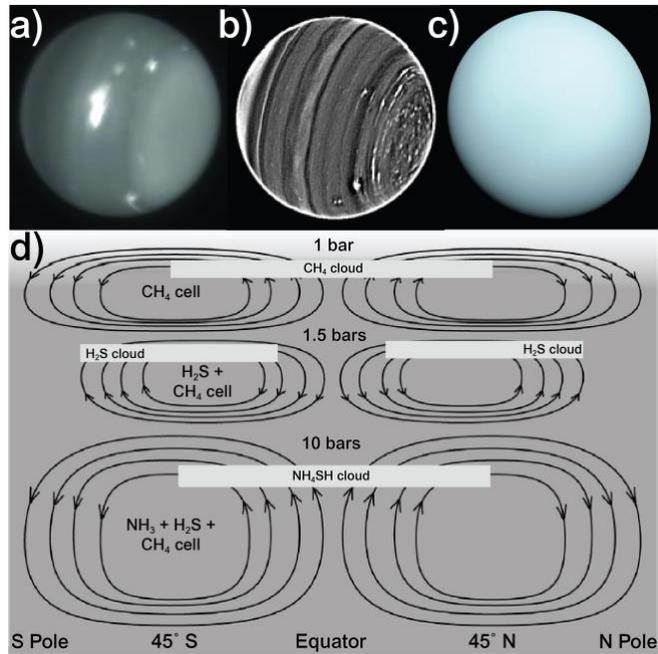
495 Understand Uranus' atmospheric heat transport mechanisms. Many atmospheric  
496 processes cause downward (e.g., solar insolation) and upward (e.g., thermal  
497 radiation, cumulus convection, and vertically propagating waves) radiation of  
498 energy. These processes provide local perturbations that shape atmospheric  
499 features such as cloud bands and vortices. Furthermore, the total upward heat  
500 flux in the atmosphere is the sum of such local processes. The connection  
501 between local atmospheric events and the global energy balance remains an  
502 outstanding question. Because the molecular weight of condensable species is  
503 heavier than the background hydrogen-helium atmospheric mixture, moist  
504 convection is generally inhibited and tends to happen in episodic bursts (Li &  
505 Ingersoll 2015; Friedson & Gonzales 2017; Leconte et al 2017; Li et al. 2018;  
506 Guillot 2021). Given this time-variability, a new mission may find that local  
507 episodic convection leads to a higher global heat flux. Even if a new mission  
508 arrives at a quiescent time, recent work presents specific testable predictions  
509 for the thermal stratification in observable layers during an inter-storm period  
510 (Li & Ingersoll 2015).

511 In the middle and upper atmosphere, our ignorance of heat transport processes  
512 is symptomized as the "energy crisis": Voyager 2 stellar occultations revealed  
513 that Uranus' thermosphere is hot (Broadfoot et al. 1986; Herbert et al. 1987;  
514 Stevens et al. 1993), although ground-based studies have revealed that these  
515 temperatures are declining over time (Melin, 2021). Although all four giant  
516 planets exhibit this "crisis", it is particularly surprising for Uranus because  
517 of its large axial tilt; given that the thermosphere is hot in both summer and  
518 winter hemispheres, solar heating cannot be the cause (Stevens et al. 1993).  
519 The vertical temperature gradient may point to the nature of the unknown heating  
520 (Clarke et al. 1987; Stevens et al. 1993; Waite et al. 1997; Raynaud et al.  
521 2003), but Voyager 2 occultations cannot distinguish between candidate heating  
522 mechanisms. New occultation measurements (including those relatively deep, down  
523 to several bars) with modern instrumentation from an orbiter should shed light  
524 on this long-standing mystery.

525 Understand Uranus' zonal and meridional circulation patterns. These  
526 circulations are critical for understanding the previously-discussed vertical  
527 heat transport and energy balance as well as producing a coherent model of  
528 atmospheric dynamics and how they extend into the interior (Hueso et al. 2021).  
529 Both Uranus' zonal wind profile (retrograde (westward) winds blowing at the

530 equator and a single prograde  
 531 (eastward) peak in each hemisphere),  
 532 and its tropospheric temperatures  
 533 (cool mid-latitudes contrasted with  
 534 a warm equator and pole), are in  
 535 stark contrast to the finely-banded  
 536 winds and temperatures of Jupiter  
 537 and Saturn. The penetration depth of  
 538 these winds is not well constrained,  
 539 but gravitational and ohmic  
 540 dissipation models suggest they are  
 541 limited to within the outermost 10%  
 542 of the planet (Kaspi et al. 2013;  
 543 Soyuer et al. 2020) Uranus' winds  
 544 also exhibit a surprising  
 545 hemispheric asymmetry near the poles  
 546 (Sromovsky et al. 2014; Karkoschka  
 547 2015), which may be seasonally  
 548 driven. Whereas the cloud bands of  
 549 Jupiter and Saturn are loosely  
 550 associated with the zonal jets due  
 551 to eastward jet peaks acting as  
 552 transport barriers, Uranian cloud bands are seemingly not tied to the smooth  
 553 wind structure (Fletcher et al. 2020b), which may hint at unresolved peaks in  
 554 the zonal wind structure. Temporal tracking of cloud features in high-resolution  
 555 images would reveal any such peaks, as well as any seasonal changes since  
 556 Voyager 2.

557 The structure of Uranus' overturning meridional circulation remains unknown.  
 558 Depletion of gases such as methane (observed in the near-infrared) and H<sub>2</sub>S  
 559 (observed in the microwave) around the poles seems to suggest that Uranus has  
 560 a single deep circulation cell in each hemisphere, in which air rises from the  
 561 deep atmosphere at low latitudes, clouds condense out, and dry air is  
 562 transported to high latitudes where it descends (Sromovsky et al. 2015).  
 563 However, such a circulation pattern is inconsistent with observed cloud and  
 564 temperature distributions in the upper troposphere, implying that the meridional  
 565 circulation must be more complex, perhaps involving multiple stacked cells  
 566 (Figure 6d, Fletcher et al. 2020b). High-resolution maps of temperature and key  
 567 chemical tracers of vertical mixing are necessary to unravel the meridional



**Figure 6.** Remote observations of Uranus in the (a-b) near-infrared (from de Pater et al. 2015 and Sromovsky et al. 2015, respectively) have shown the Uranian atmosphere to be much more interesting than the (c) classic Voyager 2 image (NASA/JPL). It remains unclear whether (d) a three-layer overturning meridional circulation model accurately describes Uranus' zonal and meridional circulation patterns (adapted from Sromovsky et al. 2014).

568 circulation. As for the Gas Giants, high-resolution measurements of the wind  
569 field may reveal coupling between zonal and meridional circulation via eddies  
570 (Salyk et al. 2006; Del Genio & Barbara 2012). This can be supported by remote  
571 microwave observations of H<sub>2</sub>S in the deep Uranian atmosphere (e.g., ALMA, VLA)  
572 (de Pater et al. 2021; Molter et al. 2021).

573 Determine the thermodynamics and chemistry of Uranus' clouds and hazes.

574 During the *Voyager 2* flyby, Uranus appeared almost featureless. The subsequent  
575 presence of unexpected bright storms (Figure 5a; de Pater et al. 2015) has  
576 revealed that Uranus has an active, temporally dynamic, and poorly understood  
577 weather layer. Clouds and hazes occur preferentially at specific latitudes, and  
578 the banding pattern of tropospheric hazes is apparently not tied to the zonal  
579 wind structure. Vertically, clouds and tropospheric hazes are *not* found at the  
580 altitudes predicted by thermochemical models (de Pater et al. 1991); in fact,  
581 the compositions of Uranus' upper cloud layers remain unclear (Figure 5b;  
582 Sromovsky et al. 2015), although ices of H<sub>2</sub>S and CH<sub>4</sub> are promising candidates  
583 (Irwin et al. 2018). The thermodynamics and chemistry of the clouds have far-  
584 reaching implications for connecting the atmosphere to the planet's bulk  
585 composition, and for understanding the global energy balance (Moses et al.  
586 2021). A deeper understanding of cloud properties can be achieved with three-  
587 dimensional spectroscopic mapping of para-H<sub>2</sub>, CH<sub>4</sub>, H<sub>2</sub>S, and the spatial and  
588 vertical distributions of aerosols from near-infrared spectroscopy.

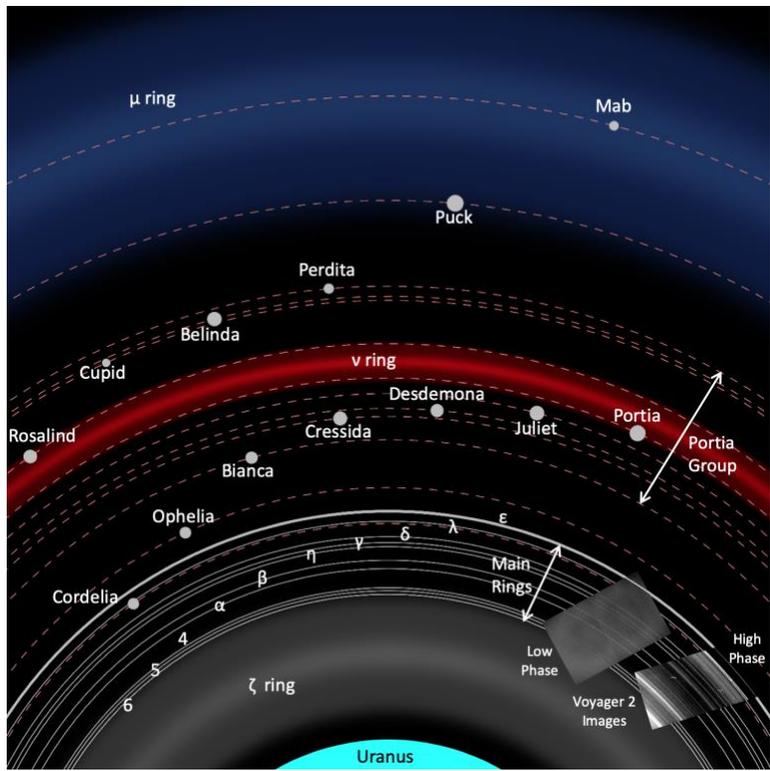
589 *Voyager 2*/UVS measurements (Broadfoot et al. 1986; Herbert et al. 1987;  
590 Bishop et al. 1990; Stevens et al. 1993) showed that Uranus' upper atmosphere  
591 was remarkably "clear", with hydrocarbon densities much lower than those found  
592 for any other giant planet (Melin 2021). Deeper in the stratosphere,  
593 hydrocarbons derived from methane photochemistry (Moses et al. 2021) are the  
594 main source of photochemical haze, act as continuum absorbers in the extreme  
595 ultraviolet, and serve as key tracers of vertical transport. For example, the  
596 spatial distribution of acetylene gas hints at a coupling between circulation  
597 patterns in the troposphere and stratosphere (Roman et al. 2020). Better  
598 constraints on their distributions can be determined by solar and stellar  
599 ultraviolet occultations (Smith & Hunten 1990; Herbert & Sandel 1998). A future  
600 New Frontiers-class orbiter mission could potentially host a breadth of multi-  
601 wavelength remote sensing instruments to optimizing its capability to addressing  
602 the science objectives in Figure 1.

603 *3.5 Ring Science*

604 Determine the processes that sculpt and maintain Uranus' ring-moon system.

605 Since their discovery (Elliot et al. 1977), scientists have puzzled over how

606 the Uranian rings maintain  
 607 their narrow and non-circular  
 608 structures (French et al.  
 609 1991) but sometimes also show  
 610 striking changes (de Pater et  
 611 al., 2007). Voyager 2 and  
 612 Earth-based observations  
 613 have revealed that Uranus  
 614 hosts a system of dense  
 615 narrow rings lacking  
 616 meaningful spacing, diverse  
 617 broad and finely structured  
 618 dusty rings, and the most  
 619 tightly-packed system of  
 620 small moons in our solar  
 621 system (Figure 7; Nicholson  
 622 et al. 2018; Showalter 2021).



*Figure 7. It remains unclear why the Uranian ring-moon system shown here is so dynamically full and apparently haphazard.*

623 This "dynamically full"  
 624 system is known to be  
 625 unstable and is brimming with interesting interactions and dynamics including  
 626 overlapping resonant interactions between multiple moons (French et al. 2015).  
 627 The system is also "full" in the sense that it likely has no room for additional  
 628 moons, as multiple pairs are probable to cross orbits and collide in the near  
 629 future - in some cases, possibly as little as just thousands of years (French  
 630 & Showalter 2012). The ring-moon system contains important information about  
 631 its formation and evolution, and it can provide clues to the unique dynamical  
 632 history of Uranus (Ćuk et al. 2020; Hsu et al. 2021).

633 The most prominent features in the Uranian ring system are the ten narrow  
 634 and oddly-shaped main rings (French et al. 1988). Four of the main rings are  
 635 associated with resonances of small moons that likely play a role in shepherding  
 636 them (Porco & Goldreich 1987; Chancia et al. 2017). The mechanisms confining  
 637 the remaining ring edges and the nature of their present locations remain a  
 638 mystery (Esposito et al. 1991). We could further our understanding of how these  
 639 unique rings function by obtaining high-resolution images and occultation  
 640 profiles to reveal their detailed structures. These data could provide more  
 641 precise information on the rings' non-circular shapes, evidence of accretion  
 642 and/or fragmentation, density waves resulting from satellite resonances or  
 643 planetary interior oscillations, wakes of nearby satellites, and structures

644 such as the propellers found in Saturn's rings (Tiscareno et al., 2008). There  
645 may also be undiscovered small moons we could detect and find to play a role in  
646 maintaining the narrow rings (Murray & Thompson 1990, Chancia & Hedman 2016).

647 Uranus also features a complex system of faint dusty rings (Ockert et al.  
648 1987; de Pater et al. 2006; Hedman & Chancia 2021). We know very little about  
649 the structures and properties of these dusty rings. They likely originate from  
650 micrometeoroid bombardment ejecta of the small inner moons and the dense rings  
651 themselves (Esposito & Colwell 1989). The ejecta then evolves under Uranus'  
652 oblateness, electric and various magnetic forces, and radiation pressure that  
653 will mostly affect the sub-micron grains (e.g., Juhaz & Horanyi 2002).  
654 Understanding the rates and sources of the dusty ring production and  
655 distribution throughout the system will help to determine the lifecycle of ring  
656 and moon material; this information is needed to understand the formation of  
657 the rings, their dynamics, and their current characteristics - including their  
658 differences in color (bluish for the  $\mu$ -ring and reddish for the others). This  
659 information requires high-phase-angle images of the dusty rings and high-  
660 resolution images of the small moons' surfaces for signs of cratering and  
661 accretion.

662 Thirteen small moons orbit between the main rings and the larger main moons  
663 of Uranus (Smith et al. 1986; Karkoschka 2001b; Showalter & Lissauer 2006).  
664 Nine of the moons' orbits are radially spaced within under 18,000 km. This  
665 arrangement is unstable on relatively short timescales and depends on the moons'  
666 unknown masses (Duncan & Lissauer 1997; French & Showalter 2012; French et al.  
667 2015). Many of these moons orbit inside Uranus' corotation radius. Thus, these  
668 moons' tidal interactions with Uranus cause inward migration towards the Roche  
669 limit, where they may fragment into new rings or interact with existing rings.  
670 They may also be driven outward through strong resonant torques if a more  
671 massive ring develops, like at Saturn (Charnoz et al. 2018). In this way, the  
672 ring-moon system may undergo recycling throughout its lifetime (Hesselbrock &  
673 Minton 2019). Determining how this process works is fundamental to understanding  
674 how planetary ring-moon systems operate under a variety of configurations.

675 Determine the composition and origin of Uranus' rings and small satellites.

676 The rings and small moons of Uranus are dark, and their compositions are unknown  
677 (Karkoscka 2001). Observations (Grundy et al. 2006) have revealed H<sub>2</sub>O and CO<sub>2</sub>  
678 ice spectral features on Uranus' larger moons, whereas the rings' spectra are  
679 flat (de Kleer et al. 2013). Limited observations of the small moons have not  
680 revealed if they are more akin to the larger moons or the rings. Thus, improved  
681 near-infrared spectra of the small moons and rings are needed to determine both

682 their origins and the darkening mechanism(s) in the system. Observations of  
683 Uranus' unique magnetic field and magnetospheric particle environment would  
684 provide insight into the interaction between the plasma in Uranus' magnetosphere  
685 and the regoliths of its moons and ring particles and its potential to alter  
686 their compositions.

#### 687 4 Required Mission Design Scope and Considerations

688 Although a New Frontiers-class orbiter mission would by definition likely  
689 achieve less science than those targeted by previously-studied large strategic-  
690 class missions, such a mission should put an emphasis on maintaining balance  
691 across the research disciplines as significant system science should be  
692 achievable. Results from previous larger studies suggest the feasibility of a  
693 New Frontiers-class orbiter mission to Uranus. For example, the costs in the  
694 Hubbard (2010) Decadal study suggest ~\$1.1B (FY15\$) for Phases A-D for an  
695 orbiter mission with a flagship-class payload without an atmospheric probe  
696 (assuming 30% reserves) without the launch vehicle costs. Appropriately scoping  
697 the payload to accommodate New Frontiers-class science would reduce both the  
698 payload and spacecraft costs. From a mission design standpoint, the potential  
699 use of a SEP stage with a cruise of ~14 years could reduce the spacecraft's  
700 chemical propulsion burden, while still leaving enough radioisotope power system  
701 (RPS) lifetime for the baseline mission, to be feasible within the New Frontiers  
702 cost cap. Furthermore, a New Frontiers-class Uranus orbiter mission could be  
703 implemented with current technologies, given appropriate trades in design and  
704 scope; however, multiple technologies under development could enhance and expand  
705 the scope and capability of such a mission (e.g., Spilker 2021).

706 Power is perhaps the most limiting constraint on a Uranus orbiter mission,  
707 and addressing power within cost is the primary obstacle to the feasibility of  
708 a New Frontiers-class Uranus orbiter mission. This plays into not only the  
709 extent of the payload and spacecraft subsystems, but also the power required  
710 for deep-space communications, specifically downlink. Previous Ice Giant  
711 mission studies (Hubbard 2010; Hofstader et al. 2017) have resulted in  
712 architectures requiring >350 W-e end-of-life power, which required three or  
713 more now-cancelled Enhanced Multi-Mission Radioisotope Thermoelectric  
714 Generators (eMMRTGs). Owing to the relative inefficiency and significant cost  
715 of current RPS, any design should attempt to reduce the needed end-of-life  
716 power; this will have significant impact on both the spacecraft and orbit design  
717 as well as the communication subsystem and payload. Hence, accelerating the  
718 development and expanding the efficiency and lifetime (and potentially reducing  
719 the cost) of next-generation RPS would significantly enhance the mission. For

Instrument Type	Representative Heritage Instrument	Mass (kg)	Power (W)
Wide-angle Camera	MESSENGER/MDIS [Hawkins et al. 2007]	4.6	10.0
Visible/Near-infrared Imaging Spectrometer	New Horizons/Ralph [Reuter et al. 2008] & Lucy/L/Ralph	19.0	7.1
Magnetometer	MESSENGER [Anderson et al. 2007]	4.7 (incl. boom)	4.2
Plasma Spectrometer	MESSENGER/FIPS (ions) [Andrews et al. 2007]	1.4	2.1
	Parker Solar Probe/SPAN-B (electrons) [Kasper et al. 2016]	2.5	2.0
Energetic Particle Sensor	Parker Solar Probe/EPI-Lo [McComas et al. 2017]	3.9	4.3
Ultra-stable Oscillator	New Horizons/REX [Tyler et al. 2008]	0.1	2.1
Narrow-angle Camera	New Horizons/LORRI [Cheng et al. 2007]	9.0	5.5
Ultraviolet Imaging Spectrometer	New Horizons/Alice [Stern et al. 2008b]	5.0	5.8
Thermal Infrared Imager	Lunar Reconnaissance Orbiter/Diviner [Paige et al. 2009]	11.5	18.4
<b>TOTAL</b>		<b>52.1</b>	<b>62</b>

**Figure 8.** Example of an instrument complement that would enable broad, cross-disciplinary science return for New Frontiers-class Uranus orbiter mission. White rows indicate a notional baseline payload that may be feasible given realistic cost and power constraints; gray rows provide additional high-impact instruments that could be included if resources and operations allow. Duty cycles and operations would be dependent on the mission and spacecraft designs.

720 example, the recent Neptune Odyssey mission concept uses three next-generation  
721 RPS (Rymer et al. 2021), suggesting that a New Frontiers-class Uranus mission  
722 could be implemented with fewer. This of course assumes that a sufficient supply  
723 of plutonium is available for future space exploration missions, which could  
724 potentially be achieved with early enough planning and investment (Zakrajsek  
725 2021). It is also important to emphasize that future RPS needs may come from  
726 outside the Planetary Science community - e.g., the Heliophysics concept for an  
727 Interstellar Probe mission (Kinnison et al. 2021).

728 With current technology, a typical baseline New Frontiers-class Uranus  
729 orbiter mission would target a less than twelve-year cruise (potentially with  
730 a Centaur flyby en route to Uranus) and a two-year mission at Uranus with a  
731 system tour that enables surface mapping of the large satellites as well as  
732 spatial coverage of the planet, rings, and small moons; this baseline could be  
733 significantly lengthened if the lifetime of future RPS were improved. Previous  
734 studies (e.g., McAdams et al. 2011) have demonstrated that such short-duration  
735 trajectories are feasible.

736 Another significant driver is determining the total mass that can be put  
737 into Uranus orbit within the New Frontiers cost cap given the significant  
738 propellant required to achieve orbit insertion (approximately 3 kg of propellant  
739 required to deliver 1 kg of payload into orbit) and to maintain pointing for  
740 both downlink and targeting of scientific objectives, mass efficiency will be  
741 critical. This mission uses chemical propulsion, though an ion engine, like

742 that used on the Dawn mission, could be considered as a potential future trade;  
743 the use of a SEP stage, as has been explored by previous studies, could be  
744 considered, but would likely be difficult to fit within the New Frontiers cost  
745 cap. A realistic ~50-kg payload using current technologies would provide closure  
746 to numerous scientific mysteries summarized in Figure 1; however, cost and power  
747 limitations of course add additional limitations, though the latter could be  
748 addressed with a creative concept of operations that varies instrument duty  
749 cycles. A summary of a notional baseline payload and representative heritage  
750 instruments is presented in Figure 8. Because of the potential mass limitations,  
751 a New Frontiers-class Uranus orbiter is unlikely to have the resources to carry  
752 an atmospheric probe of the size and capability proposed by previous studies  
753 (e.g., Hubbard 2010; Hofstadter et al. 2017; Hofstadter et al. 2019) and that  
754 would fully obtain all of the potential observables listed in Table 1. However,  
755 such a mission might be able to consider inclusion of a smaller, more focused  
756 atmospheric probe (e.g., Sayanagi et al. 2020), which would likely be able to  
757 make a subset of the identified probe potential observables (e.g., thermal  
758 profile) in Table 1. Fortunately, cost reduction and increases in the capability  
759 and availability of launch vehicles (e.g., SLS) could significantly enhance the  
760 deliverable mass and thus scope of a New Frontiers-class Uranus orbiter mission,  
761 as well as potentially enabling contributed elements from other agencies, while  
762 also adding the capability to launch outside of windows with Jupiter gravity  
763 assists. Furthermore, the risk-versus-benefit of using aerocapture for orbit  
764 insertion should be analyzed as it can strongly increase the mass of the  
765 delivered payload and shorten flight times (Hall et al. 2005; Spilker et al.  
766 2016; Giriya et al. 2020; Dutta et al. 2021).

767 Another primary design driver for a New Frontiers-class Uranus orbiter  
768 mission will be limitations on the total mission duration resulting from the  
769 nominal fourteen-year flight design life of currently-available RPS (Lee &  
770 Bairstow 2015); however, future RPS are targeting and recent pre-Decadal mission  
771 studies designed baseline missions based on longer lifetimes (e.g., Howett et  
772 al. 2021; Rymer et al. 2021). The preliminary design is a two-year baseline  
773 mission in orbit at Uranus with a system tour that enables sufficient surface  
774 mapping of the large satellites as well as imaging coverage of the planet, its  
775 rings, and the small moons. Furthermore, the mission will be designed to  
776 complete its baseline mission by Uranus spring equinox (2050), allowing for  
777 imaging of the northern hemispheres of the satellites that were not illuminated  
778 during the Voyager 2 flyby. This, of course, constrains the launch vehicle  
779 selection and propulsion. The initial assumption is that the spacecraft will be

780 designed to accommodate both three-axis and spin-stabilization to enable simpler  
781 operations during the long cruise, as was done on New Horizons (Stern 2008).

782 As previously discussed, the architecture summarized here does not include  
783 any mission critical technologies below TRL6 and baselines high-heritage  
784 instrumentation and spacecraft subsystems. However, the mission could benefit  
785 from significant enhancement by using aerocapture (TRL~3), which is under  
786 current NASA-funded development (Spilker et al. 2018). Likewise, any mission to  
787 Uranus will likely require a nuclear power system, though deep-space use of  
788 solar power could also be considered (e.g., Piszczor et al. 2008). The baseline  
789 mission can use currently-available MMRTGs (Lee & Bairstow 2015). However, the  
790 ability to use either the next-generation RTG (NG-RTG; Matthes et al. 2018) or  
791 the dynamic RPS (DRPS; Qualls et al. 2018) systems currently under development  
792 by NASA with estimated launch availability dates of 2026 and 2030, respectively  
793 - which were baselined for the recent Neptune Odyssey mission concept (Rymer et  
794 al. 2021) - would provide 20-380% greater end-of-life power than the MMRTGs and  
795 significantly enhance the capability of a New Frontiers-class Uranus orbiter.

## 796 5 Summary and Conclusion

797 Uranus presents a unique and tantalizing, yet woefully underexplored,  
798 destination due to the unique properties of it and its system and additionally  
799 provides an opportunity to explore the currently underexplored category of Ice  
800 Giants. Compelling characteristics of the Uranian system that are unlike other  
801 planets that have been studied in detail include: 1) five major satellites -  
802 potential ocean worlds with drastic surface features; 2) a unique magnetosphere  
803 with a dramatic configuration that features highly-tilted rotation and magnetic  
804 axes driven by a non-dipole-dominated interior dynamo as well as unexpectedly  
805 strong radiation belts and plasma wave activity; 3) a bulk planetary composition  
806 thought to be dominated by heavier "ices" (e.g., H<sub>2</sub>O, CH<sub>4</sub>, H<sub>2</sub>S, and NH<sub>3</sub>) and a  
807 poorly constrained amount of rocky material; 4) climate with unique atmospheric  
808 circulation, winds, chemistry, and cloud formation; and 5) a dynamically full  
809 and apparently haphazard ring-moon system.

810 As has been demonstrated by previous missions to other planets, orbiting  
811 missions are necessary to truly characterize a world, especially for  
812 magnetospheric and atmospheric studies focusing on processes with timescales  
813 shorter than or comparable to the duration of a flyby. Likewise, close periapse  
814 passes across a wide range of planetary latitudes and longitudes enabled by a  
815 sustained orbiter mission are required to intimately probe the interior of  
816 Uranus, which may hold keys to understanding the formation of our solar system  
817 as well as providing ground truths for the understanding of exoplanets with

818 similar mass and radii, and potentially those with similar chemical enrichments,  
819 axial tilts, low-temperature conditions, and higher-order magnetic fields. As  
820 such, exploration of Uranus will not only enhance our understanding of the Ice  
821 Giant planets themselves but also extends to planetary dynamics throughout our  
822 solar system and beyond.

823 While we are pushing the frontier of exploration further out within our solar  
824 system and discover more and more Ice Giant-sized exoplanets, a mission to  
825 Uranus is becoming timely. Because of the strong desire to revisit the Uranian  
826 system before the unimaged hemispheres of the satellites recede back into  
827 darkness (equinox is in early 2050), there is an imperative to explore any and  
828 all options. In particular, a mid-cost New Frontiers-class orbiter mission -  
829 such as the one described in this article - could achieve many significant and  
830 interdisciplinary system science questions with currently-available technology,  
831 if appropriate care is taken in the mission design. For example, the technical  
832 challenges of flying to and entering orbit around Uranus and sustaining  
833 operations at a distance of 20 AU must all be carefully considered and traded  
834 against the overall mission feasibility, impact, and cost. As this paper shows,  
835 a mid-scale (e.g., New Frontiers-class) mission could achieve significant and  
836 high-impact cross-disciplinary science observations using current technology.

837

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