

1 **Abstract**

2 Many conservation interventions are hypothesised to be beneficial for both the environment  
3 and people's wellbeing (i.e. a win-win), but this has rarely been rigorously tested. Here, we  
4 examine the effects of adoption or non-adoption of a conservation intervention on three  
5 dimensions of people's wellbeing (material, relational, subjective) over time. We focus our  
6 analysis on a fisheries bycatch management initiative recently introduced by a conservation  
7 organisation intended to reduce environmental externalities associated with resource  
8 extraction. We collect panel data from fishers (n = 250) in villages with and without the  
9 conservation intervention, encompassing three observations over two years. We found no  
10 evidence that adoption caused any reduction to the three dimensions of wellbeing the local  
11 populations affected by the intervention. Indeed, we show modest improvements in material  
12 and subjective livelihood wellbeing for adopters relative to controls over time. The variations  
13 we find in wellbeing experiences (in terms of magnitude of change) among adopters, non-  
14 adopters, and controls across the different domains over time affirms the dynamic and social  
15 nature of wellbeing.

16

17

18

19

20

21

22

23

24

25

26

27

28

29

30

31

32

33

34

35

36

37

## 38 Introduction

39 Biodiversity is in decline globally, and particularly in the tropics (Barlow et al., 2018). In  
40 response, conservationists are looking to identify successful interventions that can be scaled up  
41 (Mills et al., 2019). The success of many conservation intervention is dependent on both  
42 environmental and social outcomes (Adams et al., 2004), but evaluations of the impacts of  
43 conservation interventions on people are far less common than those focused on ecological  
44 outcomes (de Lange et al., 2016; Ban et al., 2019). Studies that have analysed the effects of  
45 conservation on people have tended to focus on monetary indicators or material measures of  
46 wellbeing (Cochrane, 2000; Charles et al., 2015), until relatively recently (e.g. Gurney et al.,  
47 2014; Beauchamp et al., 2018). A reliance on material measure was largely premised on  
48 material deprivation and a deficit centred perspective (Coulthard, 2012, Weeratunge et al.,  
49 2014).

50 It is increasingly recognised that a multi-dimensional approach to understanding wellbeing in  
51 the context of conservation and environmental management is needed (Leisher et al., 2013;  
52 Ban et al., 2019). Such an approach includes the Wellbeing in Developing Countries  
53 framework, a three dimensional framework comprised of material, relational, and subjective  
54 dimensions (Gough & McGregor, 2007; Abunge et al., 2013). Material wellbeing captures  
55 objective material resources that a person can draw upon to meet their needs, such as food,  
56 assets, employment, services and the natural environment (Gough & McGregor, 2007).  
57 Relational wellbeing entails what a person can achieve through social relationships that  
58 enables/or disables the pursuit of a good life. These connections may include relationships of  
59 care and love, social embeddedness, kinship, cultural rules and norms or forms of collective  
60 action (Gough & McGregor, 2007). Given the complexities associated with social relationships  
61 that exist in different contexts and the theoretical claim that relational wellbeing outcomes are  
62 affected by the structure of relationships and social network processes among people,  
63 researchers have begun to adopt a network perspective that emphasize on the importance of  
64 relational balance as an objective indicator of relational wellbeing. Relational balance is  
65 grounded on the notion of giving and receiving which allows relational benefits such as social  
66 capital to be shared among members of a social system through social exchange (Leana III &  
67 Van Buren, 1999). A good social relational balance is a critical component of social  
68 relationships because it underpins how peoples relationships can be evaluated especially where  
69 social connections constitutes critical pathways through which people access other human  
70 needs and benefits in the society (Sadilek et al., 2018). Indeed, relational balance can determine  
71 how individuals are socially embedded in network structures and processes (Tóth et al., 2018).  
72 Subjective wellbeing encompasses how a person thinks and feels about their life and what they  
73 have and do with what they have (White, 2010).

74 Considering and understanding the impacts of conservation interventions on material,  
75 relational, and subjective dimensions of wellbeing matters for both moral and pragmatic  
76 reasons. For example, conservation project implementers are morally responsible for ensuring  
77 conservation interventions do not undermine the wellbeing of local communities (Hutton et al.,  
78 2005). Further, negative impacts on wellbeing can erode local support and therefore jeopardize  
79 environmental outcomes (Woodhouse et al., 2015). Similarly, the interplay between people and  
80 their relational circumstances can explicitly determine their scope for personal and collective  
81 action to safeguard a common resource (Charles et al., 2015). Multidimensional wellbeing  
82 indicators not only provide a more comprehensive way to examine conservation outcomes on  
83 people, but represent an analytical lens which can help draw policy attention to the nonmaterial  
84 outcomes of conservation.

85 Despite an emerging recognition of the need to use multidimensional indicators of wellbeing  
86 in evaluating conservation outcomes, no impact evaluation study has compared changes in  
87 multidimensional wellbeing of actors involved and those not involved in a conservation  
88 initiative (but see Gurney et al., 2014 who used impact evaluation to examine the impacts of  
89 community-based conservation on multidimensional poverty). To address this gap, we examine  
90 the impacts of a conservation intervention using data collected in project and control  
91 communities three times over a period of two years. Specifically, we ask how does adoption of  
92 a conservation intervention influence people's material, relational, and subjective wellbeing?  
93 We study the example of a modified fish trap that allows juveniles and narrow-bodied, low  
94 value fish species (i.e. bycatch) to exit through an escape slot, while larger, wider-bodied target  
95 species are retained (Johnson, 2010). This intervention, heretofore called an escape slot trap,  
96 was introduced into Kenya with the explicit aim of making fisheries more sustainable by  
97 reducing the capture of juvenile/undersize fish (Condy et al., 2014).

## 98 **Methods**

99

### 100 *Conservation intervention – escape slot traps in a Kenyan fishery*

101 We studied six major fishing landing sites along the Kenyan coast. Across all sites, fishing is  
102 largely artisanal, characterised by a range of gear use and management strategies (McClanahan  
103 et al., 2008). Approximately 70% of the coastal community primarily depend on the  
104 multispecies coral reef fishery for direct employment, monetary income, and animal protein  
105 (Ochiewo, 2004). However, with almost 23,000 fishers catching over 16,000 tonnes of fish  
106 annually, the local fishery is grappling with a number of management challenges (Mbaru &  
107 Barnes, 2017). Some of the major problems facing the fishery include a rise in excessive and  
108 destructive fishing and the number of small-scale fishers (McClanahan, 2010). To deal with  
109 these problems, Kenya has prioritized a number of measures to conserve and manage the  
110 country's natural resources; these include the establishment of marine protected areas (MPAs)  
111 and beach management units (BMUs). BMUs delegate responsibility to stakeholders to  
112 administer their natural resources at the local level (Cinner et al., 2012). More recently, Kenya  
113 has also implemented gear-based management approaches by eliminating beach seines  
114 responsible for catching very small fish (McClanahan & Mangi, 2004), while also discouraging  
115 the use of spearguns that are often associated with loss of catch (Cinner et al., 2009).

116 Given the bottlenecks encountered during implementation of gear restrictions, local resource  
117 managers have recommended modifications to existing gears instead of outright prohibition  
118 (Mbaru & McClanahan, 2013). One such gear modification is the escape slot trap. This is a  
119 modified trap that allows juveniles and narrow-bodied, low value fish species (i.e. bycatch) to  
120 exit through a small gap, while larger, wider-bodied target species are retained (Johnson, 2010).  
121 This low-cost, low-tech intervention was introduced to increase fishery selectivity and  
122 sustainability by reducing high bycatch of juveniles, ornamental species, and ecologically  
123 important herbivores (Condy et al., 2014). However, it is expected that improved catches over  
124 time will translate to positive outcomes e.g., improved income and livelihoods that will  
125 continue to accrue over the long term (Christie, 2000). Indeed, fishing gear or technology-  
126 based interventions intended to reduce negative spillovers or environmental externalities  
127 associated with resource extraction has been a key part of the global marine conservation  
128 agenda (Kaiser et al., 2000). The intervention, which allows fishers to modify existing fish  
129 traps, rather than purchase new ones, was introduced in September 2015 by a non-  
130 governmental organization (NGO) based in Kenya. No payments were made for adoption.  
131 Throughout the project implementation period, we researched the adoption process and  
132 assessed the social and economic consequences associated with adoption or non-adoption.

133 *Study design*

134 To assess whether the slot traps affected wellbeing, we drew on a before-after-control-  
135 intervention (BACI) design. This method compares changes in wellbeing indicators of adopters  
136 of the escape slot trap relative to both non-adopters in project villages and fishers in control  
137 villages (where the escape slot trap was not introduced) over time. Importantly, the technology  
138 did not exist in all treatment sites, hence all fishers started at the same point. The BACI design  
139 therefore accounts for bias due to: (1) initial differences in wellbeing between adopters, non-  
140 adopters, and controls; and (2) changes in wellbeing that are a result of broader-scale trends  
141 (Ferraro & Hanauer, 2014). Controls were selected based on their similarity with the  
142 intervention sites in regards to a suite of measurable conditions such as fishing gear utilization  
143 and resource dependency. To avoid spillover effects of the project or contamination by other  
144 interventions, we selected control sites that did not have an ongoing conservation project and  
145 that were situated several kilometres away (>20km) from the intervention sites. This selection  
146 criterion is consistent with the guiding principles for evaluating impacts of conservation  
147 interventions on human wellbeing (Woodhouse et al., 2015).

148  
149 The target population was derived from active trap fishers (n = 250) because they had a realistic  
150 chance of adopting the conservation intervention. Data were collected using questionnaires  
151 administered through face-to-face interviews in six fish landing sites (two control and four  
152 experimental sites) that were dominated by trap fishers (>40). The Kenyan coastal fishery is  
153 differentiated into two main sectors; the south coast and north coast fisheries that show clear  
154 differences in socio-economic characteristics (e.g. education, religion, and ethnicity). Because  
155 there are more trap fishers in the south compared to north, we selected more sites (four) in the  
156 south than the north (two sites). In addition to the higher numbers of trap fishers, the six sites  
157 were prioritized because they had no active conservation project. Because of the differences in  
158 socio-economic conditions between the north and south, we included one control site in each  
159 of the two regions. The 249 fishers represent individuals that completed at least two rounds of  
160 surveys. A total of 259 respondents were surveyed during the three rounds of data collection  
161 (Table S4). Here, we define adoption according to use, or not, of an escape slot trap by a fishers.  
162 A fisher is considered an adopter if s/he fabricates an escape slot trap or modifies at least one  
163 existing trap by introducing the escape slots. Fishers in the experimental sites who never  
164 adopted an escape slot traps throughout the survey period were classified as non-adopters.  
165 Fishers in villages where the escape slots were not introduced were considered as controls. In  
166 other words, there were both adopters and non-adopters in experimental villages where the  
167 escape slot trap was introduced, but our controls were from villages where the escape slots  
168 were not introduced. Across all six sites, trap fishers used between three to ten traps. In  
169 experimental sites, the average number of new traps used by adopters was five, although this  
170 ranged from two to seven. In many cases, adoption process was gradual where fishers opted  
171 to modify a few traps for a start and thereafter increase their adoption intensity over time. To  
172 ascertain whether changes in wellbeing are immediately or eventually reflected in conservation  
173 outcomes we collected data between October 2015 and January 2018. We conducted a baseline  
174 survey before the conservation practice was rolled out, followed by two follow-up surveys  
175 eight and sixteen months later after the launch of the project. The same questions were asked  
176 of the same participants in experiment and control sites, in all three time periods.

177 It's worth noting that during the first follow-up survey (T<sub>1</sub>) six fishers who were surveyed  
178 during T<sub>0</sub> could not be traced. However, there were 27 new fishers that adopted the modified  
179 trap at T<sub>1</sub> but did not participate at baseline stage. We therefore administered baseline survey  
180 questionnaires to this new group of fishers during T<sub>1</sub>. During T<sub>2</sub>, 14 respondents out of the  
181 combined total of 259 surveyed during T<sub>0</sub> and T<sub>1</sub> were unavailable. However, four respondents

182 that could not be traced during  $T_1$  (but were surveyed at baseline -  $T_0$ ) were now available and  
183 were interviewed (Table S3). In all, only two respondents were surveyed once. Our analysis  
184 therefore includes responses from individuals that were interviewed at least twice.

### 185 ***Overcoming biases associated with panel data***

186 Our study used panel data – often considered the ‘gold standard’ in impact evaluation. Panel  
187 data, when the same individual is surveyed overtime, allows multiple sources of variance to be  
188 held constant (Lohse et al., 2000). Panel data is sometimes associated with attrition bias (loss  
189 of panel members overtime), panel selection bias (when people surveyed differ systematically  
190 from the population), and conditioning effects. Conditioning effects occur when the process of  
191 conducting surveys affects individuals’ responses (Lohse et al., 2000). For example, when  
192 people are asked repeatedly whether they intend to adopt a product, they may come to the  
193 conclusion that they should develop such an intention (Kinnear and Taylor, 1996). Here, panel  
194 attrition is almost negligible as only two fishers (2/259) were lost during the project  
195 implementation period. We addressed panel selection bias by sampling over 95% of the target  
196 population at each of the four villages. An eight-month interval between surveys was  
197 considered wide enough to minimise any conditioning effects.

### 198 ***Operationalising wellbeing***

#### 199 *Material wellbeing*

200 We measured one component of material wellbeing, wealth, represented by material style of  
201 life (MSL; Table 1). MSL is an indicator of wealth based on a locally grounded assessment of  
202 a wide range of household possessions and structure (Cinner et al., 2009). We used a factor  
203 analysis to create a wealth metric from the first axis of a principal component analysis (PCA).  
204 However, because each respondent had three observations in time, each with potentially  
205 different material assets, we used factor loadings created from the baseline state to weight each  
206 of the MSL items, which allowed us to create wealth scores that were directly comparable  
207 between the three sampling periods. To assess the reliability of scores across the different  
208 sampling periods, we used the Cronbach's alpha technique (Tavakol & Dennick, 2011), which  
209 yielded a value of 0.89, indicating reliability at the 5% level of significance.

#### 210 *Relational wellbeing*

211 Relational wellbeing was operationalized using a measure that captures relational balance of  
212 social relationships as elucidated in the network theory (Buunk & Schaufeli, 1999; Sadilek et  
213 al., 2018; Tóth et al., 2018). To capture network data, each respondent was asked to name up  
214 to 10 individuals with whom they fished with or exchanged important information with about  
215 fishing (SI). In the current context, these two relationships (fishing and information exchange)  
216 are critical for fishers in their pursuit of wellbeing because majority of households depend  
217 primarily on fishing to support their livelihoods. Respondents could list their crew members,  
218 fellow captains, or any other stakeholder they fished or shared information with about fishing.  
219 We used recall methods (Wasserman & Faust, 1994), where each respondent reported his  
220 relations. We then looked at reciprocity (i.e., number of reciprocated ties) based on fishing and  
221 information sharing ties – as an indicator of relational balance. Network analysis was based on  
222 binarized ties (i.e., ‘1’ if a tie was present and ‘0’ otherwise). Reciprocity is a network measure  
223 that deemphasizes numeric properties and can therefore be applied in an evaluation design even  
224 where only few nominations are made (Abbott & Wallace, 2012). Network data was collected  
225 in three time periods, i.e., time zero ( $T_0$ ) = before the intervention was rolled out (baseline  
226 surveys), time one  $T_1$  = eight months after the intervention was launched (first follow-up

227 surveys), and time two  $T_2$  = sixteen months after the launch of the project (second follow-up  
228 surveys).

### 229 *Subjective wellbeing*

230 Subjective wellbeing was operationalized using three indicators that captured individuals'  
231 perceptions of different components of their lives. In developing these indicators, we drew on  
232 a framework developed from in-depth wellbeing assessments of similar coastal fishing villages  
233 in Kenya to those included in this study that identifies the three most important domains for  
234 respondents quality of life: (1) participants satisfaction with their food and income situation  
235 (subjective livelihoods wellbeing); (2) the quality of their friendships (subjective social  
236 cohesion wellbeing); and (3) their job (subjective work related wellbeing) (Abunge et al., 2013)  
237 (Table 1). Each domain of subjective wellbeing was conducted by means of 5-point Likert  
238 scale questions. We triangulated these subjective measures by including a categorical question  
239 to measure perceived change in wellbeing. Specifically, we asked fishers to state whether they  
240 felt a change (based on a 5-point Likert scale) in the three domains of subjective wellbeing  
241 over the time period of the study.

### 242 *Analysis*

243 Firstly, we examined whether there were differences in wellbeing conditions between adopters,  
244 non-adopters, and controls at the baseline time using rank based Kruskal-Wallis H test. We  
245 then used proportional odds models to test for differences in the three dimensions of subjective  
246 wellbeing (ordered categorical data), and linear mixed models to examine material and  
247 relational wellbeing (continuous data). All analysis on differences between adopters, non-  
248 adopters, and controls are presented as deltas (i.e. the difference between wellbeing conditions  
249 at baseline level,  $T_0$  from conditions during the first follow-up (short term,  $T_1$  - 8 months after  
250 implementation) and second follow-up (medium term,  $T_2$  - 16 months after implementation).  
251 The design involved testing the effect of the categorical explanatory variable (adoption, control  
252 villages, and non-adoption) - on each of the different domains of wellbeing (the response  
253 variables). Our analysis however did not differentiate between earlier and late adopters of the  
254 escape slot trap.

255 Adopters were set as the reference category so that differences between adopters, non-adopters,  
256 and controls could be visualized simultaneously (see Fig. S1 showing analyses with the  
257 controls set as the reference category). To aid in attributing observed impacts to the  
258 intervention, we controlled for covariates that have been shown previously to influence  
259 wellbeing outcomes in fisheries socioecological settings (Andam et al., 2010). These are formal  
260 leadership, fishing dependency (level of dependency in fishing), access to credit, occupational  
261 multiplicity (total number of income generation activities), age (age of the fisher years),  
262 education (maximum grade completed in formal education), and marital status (Cinner et al.,  
263 2009; Coulthard et al., 2014; Gurney et al., 2015; Table S1). An examination of variance  
264 inflation factors indicated there was no signs of multicollinearity among these socioeconomic  
265 variables. Site was included as a random factor to account for the hierarchical nature of the  
266 data (i.e. individuals nested in sites). The relevant assumptions were tested for each of the  
267 statistical models (e.g. normality and homogeneity of variances for linear mixed models).  
268 Network data were analysed using UCINET for Windows version 6 and Gephi version 0.9.2  
269 (Borgatti et al., 2002; Bastian et al., 2009). All statistical analyses were conducted using R  
270 software (version 3.4.5).

271

## 272 **Results**

273

### 274 *Baseline conditions*

275 Of the 250 respondents, 42% adopted the escape slot trap, whereas non-adopters and controls  
276 are represented by 29.2% and 28.8% of the sample respectively (Table S1). We found no  
277 evidence that there were differences in baseline values between adopters, non-adopters, and  
278 control villages for the different domains of wellbeing, except for MSL that was significantly  
279 higher in adopters at the baseline (Table S2). This suggests that the parallel trend assumption  
280 is likely to hold, except for MSL, and thus those results in particular should be interpreted with  
281 caution. A similar analysis for all control variables revealed absence of significance difference  
282 between groups (i.e., adopters, non-adopters, controls at baseline level) except for occupational  
283 multiplicity and formal leadership (Table S3).

#### 284 *Changes in wellbeing over time*

285 We first examined whether adopters, non-adopters, and controls experienced differences in  
286 wellbeing over time (Fig. 1). Adopters experienced increases in material wealth in the short  
287 and medium term, while non-adopters experienced improvements in the medium term.  
288 Adopters, non-adopters, and controls all experienced similar increases in relational wellbeing  
289 (reciprocity) in the short term. Short-term gains in relational wellbeing among adopters and  
290 non-adopters were however lost in the medium term (Fig. 1). However, the increase in  
291 relational wellbeing in control villages was maintained at a similar level in both time periods.

292 In the short term, only adopters experienced improvements in subjective livelihood wellbeing  
293 whereas both adopters and non-adopters had increased levels of subjective livelihood wellbeing  
294 in the medium term relative to baseline. Changes in subjective livelihood wellbeing among  
295 adopters and control villages were maintained at the same level from the short to medium term  
296 (Fig. 1). There was decline in subjective social cohesion wellbeing among all three groups (i.e.,  
297 adopters, non-adopters, and controls) in the medium term, which appeared to begin in the short-  
298 term (though error bars did not cross 0 in the short-term). Adopters and non-adopters reported  
299 increased levels of subjective work related wellbeing in the short term, which were not  
300 maintained in the medium-term (Fig. 1).

#### 301 *Impact of the intervention on wellbeing*

302 Adoption of the escape slot trap did not clearly sustain differences in any dimension of  
303 wellbeing over time relative to both non-adopters and controls. Short-term differences were  
304 evident for the livelihoods domain of subjective wellbeing (Fig. 2), with adopters showing  
305 significantly greater positive change in perceived livelihood satisfaction in the short term.  
306 However, these differences were not sustained in the medium term. Relative to adopters, the  
307 control group experienced lower medium-term differences in material wellbeing and social  
308 cohesion. However, there were no significant differences between adopters and non-adopters  
309 in these domains. Importantly, adopters never fared significantly worse than control or non-  
310 adopters in any dimension of wellbeing.

311 Testing for robustness of our subjective measures of wellbeing, we show strong correlation  
312 between reported and measured change across all three domains for the three groups sampled  
313 (Fig. S1). Socioeconomic factors that were related to changes in wellbeing dimensions include  
314 occupational multiplicity, formal leadership, education, fishing dependency and marital status  
315 (see full model results in Table S5).

#### 316 **Discussion**

317 The impacts of conservation on people remains poorly understood (e.g. see Ban et al., 2019)  
318 and a topic of contentious debate (Milner-Gulland et al., 2014; Woodhouse et al., 2015). Taken  
319 together, our results show no evidence that adopting the conservation intervention we studied

320 did any harm to people across multiple domains of wellbeing over time. This is particularly  
321 relevant given that the intervention we studied is literally designed to let fish escape from a  
322 fisher's trap, and pilot studies have shown a possibility for associated reduced profits (Condy  
323 et al., 2014). Conservation organizations and development agencies often try to promote win-  
324 win situations, where both people and ecosystems can benefit from conservation interventions  
325 (McShane et al., 2011). Yet, these win-win situations are rare in practice (Adams et al., 2004;  
326 McShane et al., 2011; Chaigneau & Brown, 2016). Here, we find that a conservation  
327 intervention that has been shown to have potential benefits for the ecosystem (Mbaru et al.,  
328 2019) is not negatively affecting associated resource users.

329 The intervention appeared to even have short-term improvements in livelihood wellbeing  
330 relative to both control and non-adopters, though this difference was not sustained in the  
331 medium-term. These findings are mirrored in other studies of the impacts of integrated  
332 conservation and development; for example, Gurney et al., (2014)'s study of marine protected  
333 areas in Indonesia showed that the positive impacts that occurred during the implementation  
334 phase were not sustained over the long term. These trends could be due to respondents'  
335 expectations of project outcomes that were not realized. As a result, initial optimism was  
336 followed by disillusionment – a scenario that could lead to distrust. Thus, it is imperative to the  
337 success of such projects that stakeholders have realistic expectations of outcomes and related  
338 benefits, a recommendation made also by a recent evaluation of a terrestrial conservation and  
339 development project (Pelser et al., 2013). We found that relative to the control group, adopters  
340 experienced improvements to material style of life in the medium-term and had higher social  
341 cohesion. However, given that all three groups of fishers experienced declines in social  
342 cohesion over time, this should be interpreted as less of a loss, rather than a gain. Nevertheless,  
343 this still reflects a positive impact of the intervention.

344 Importantly, though, adopters did not have medium-term differences in material style of life  
345 and social cohesion relative to non-adopters. Two possible interpretation exist for this; first is  
346 that despite our best efforts to match control and experimental sites, different social processes  
347 were at play in these sites. Alternatively, it is possible that the certain benefits of the escape  
348 slot traps spill-over to non-adopters. For example, the conservation intervention studied here  
349 is intended to reduce bycatch by letting small and non-target fish exit through escape slots  
350 (Johnson, 2010), which can lead to increased catches over time (McClanahan & Kosgei, 2018)  
351 - a benefit that could be most easily captured by the non-adopters (Mbaru et al., 2019).  
352 Elucidating these potential relationships – including whether a higher level of adoption of the  
353 escape slot trap is required to achieve a real conservation gain – requires integrated social-  
354 ecological systems monitoring (e.g. see Gurney et al., 2019).

355 Here, we emphasize the relevance of multiple domains of wellbeing, to better understand how  
356 a fisheries conservation intervention (i.e., escape slot trap) affects both what people have  
357 (objective measures) and how they feel about what they have (subjective measures) (Coulthard  
358 et al., 2011). Previous studies have often relied on either tangible (objective) or intangible  
359 (subjective) indicators of wellbeing. Overall, we show notable variations in the magnitude of  
360 change in wellbeing conditions experienced by adopters, non-adopters, and controls over time.  
361 This affirms that wellbeing is not a discrete outcome, but an ongoing dynamic process,  
362 changing through time or in the course of an intervention (Woodhouse et al., 2015). Further,  
363 social impacts of conservation can differ by social subgroup (Gurney et al., 2015), providing  
364 an important avenue of inquiry for future research. The discrepancies in findings observed here  
365 between social cohesion and relational wellbeing for example suggests that relying on one



366 indicator alone might be insufficient to accurately evaluate impacts of conservation  
367 interventions. Prior to this study, evaluations on relational outcomes of conservation had  
368 favoured subjective questions that simply capture how satisfied one is with their social  
369 relationships in the wide community (Britton & Coulthard, 2013; Breslow et al., 2016) - as we  
370 did here. However, relying on such general questions that are far removed from the intervention  
371 can result in attribution errors because people tend to maintain social relationships comprising  
372 hundreds of members (Woodhouse & Emiel de Lange, 2016). The buffering effect associated  
373 with the presence of escape slot traps within experiments (i.e., subjective social cohesion  
374 decreasing less among adopters and non-adopters than controls) was not reflected in the  
375 patterns of relational wellbeing (i.e., reciprocity). Instead, controls appeared to have more  
376 reciprocated ties (i.e., improved relational wellbeing) compared to adopters and non-adopters  
377 in the medium term. We cannot conclude that there was a decrease in relational wellbeing  
378 among adopters relative to non-adopters and controls because differences between the three  
379 groups were not significant. Thus, our approach effectively adds value to our understanding of  
380 social, economic, and subjective implications of conservation for people. The novel approach  
381 in evaluating relational wellbeing using an indicator of relational balance (i.e., reciprocity -  
382 tendency that two people that are connected speak to each other) as captured in network theory,  
383 can potentially challenge the traditional approach on how relational wellbeing has been  
384 conceptualized in impact evaluation research.

## 385 **Conclusion**

386 Calls for putting human communities at the centre of impact evaluation studies have suffered  
387 from lack of methodological robustness and rarely pointed to clear cut arguments about net  
388 outcomes (Beauchamp et al., 2018; Biedenweg & Gross-Camp, 2018). Here, we use a set of  
389 comprehensive indicators that capture the complex and multidimensional nature of wellbeing  
390 (Breslow et al., 2016; Dawson et al., 2018). This evaluation is the first to compare multi-  
391 dimensional wellbeing concepts between adopters and non-adopters of conservation initiatives.  
392 Thus, the lack of robust investigations of the impacts of conservation on multiple categories of  
393 participants is a considerable knowledge gap addressed here. Some degree of correlation was  
394 found between objective and subjective measures of wellbeing although clear discrepancies  
395 emerged among the three domains of wellbeing. We therefore advocate for the use of multiple  
396 measures to ensure different dimensions of wellbeing are assessed.

397 We find no evidence that the voluntary adoption of the conservation practice was detrimental  
398 to the overall wellbeing for adopters. This study therefore provides wider legitimacy and  
399 support towards gear-based conservation strategies particularly in rural economies where  
400 acceptability of participatory conservation interventions remain a key challenge. Longer term  
401 monitoring is recommended to allow understanding of whether different benefits or costs will  
402 accrue over time.

## 403 **Acknowledgements and data**

404 This project was supported by the Marine Science for Management Contract No.  
405 MASMA/OP/2014/04. The ARC Centre of Excellence for Coral Reef Studies provided  
406 additional funding. We thank Stephen Wanyonyi and Innocent Mulwodo for their assistance in  
407 the field and all of the fishers who participated in this project. Data will be made publicly  
408 available through the James Cook University online research hub once the article is published.  
409 Authors have no conflict of interest to declare.

410

## 411 **References**

- 412 Abbott, P., & Wallace. C. (2012). Social quality: A way to measure the quality of society.  
413 *Social Indicators Research*, 108, 153-167.
- 414 Abunge, C., Coulthard, S., & Daw. T. M. (2013). Connecting marine ecosystem services to  
415 human well-being: insights from participatory well-being assessment in Kenya. *Ambio*,  
416 42, 1010-1021.
- 417 Adams W. M., Aveling, R., Brockington, Dan., Dickson, B., Elliott, J., Hutton, Jon., Roe, D.,  
418 Vira B., Wolmer, W. (2004). Biodiversity conservation and the eradication of poverty.  
419 *Science*, 306, 1146-1149.
- 420 Andam, K. S., Ferraro., P. J., Sims, K. R., Healy, A., & Holland, M. B. (2010). Protected areas  
421 reduced poverty in Costa Rica and Thailand. *Proceedings of the National Academy of*  
422 *Sciences*, 107, 9996-10001.
- 423 Ban, N.C., Gurney, G., Marshall, N.A., Whitney, C.K., Mills, M., Gelcich, S., Bennett, N.J.,  
424 Meehan, M.C., Butler, C., Ban, S. & Tran, T.C. (2019). Well-being outcomes of marine  
425 protected areas. *Nature Sustainability*, 2, 524-530.
- 426 Barlow, J., Lennox, G. D., Ferreira, J., Berenguer, E., Lees, A., C., ... Gardner, T, A. (2016).  
427 Anthropogenic disturbance in tropical forests can double biodiversity loss from  
428 deforestation. *Nature*, 535, 144-147.
- 429 Bastian, M., Heymann, S., & Jacomy, M. (2009). Gephi: an open source software for exploring  
430 and manipulating networks. *Icwsn*, 8, 361-362.
- 431 Beauchamp E., Woodhouse, E., Clements, T., & Milner-Gulland, E. J. (2018). Living a good  
432 life: Conceptualization of wellbeing in a conservation context in Cambodia. *Ecology*  
433 *and Society*, 23, 28-39.
- 434 Biedenweg, K., & Gross-Camp, N. (2018). A brave new world: integrating well-being and  
435 conservation. *Ecology and Society* 23, 2-32.
- 436 Borgatti, S. P., Everett, M. G., & Freeman, L. C. (2002). *UCINET 6 for Windows*. Harvard:  
437 *Analytic Technologies*.
- 438 Breslow, S. J., Sojka, B., Barnea, R., Basurto, X., Carothers, C., Charnley, S., Coulthard, S.,  
439 Dolšak, N., Donatuto, J., & García-Quijano, C. (2016). Conceptualizing and  
440 operationalizing human wellbeing for ecosystem assessment and management.  
441 *Environmental Science & Policy*, 66, 250-259.
- 442 Britton, E., & Coulthard., S. (2013). Assessing the social wellbeing of Northern Ireland's  
443 fishing society using a three-dimensional approach. *Marine Policy*, 37, 28-36.
- 444 Buunk, B. P., & Schaufeli, W. B. (1999). Reciprocity in interpersonal relationships: An  
445 evolutionary perspective on its importance for health and well-being. *European Review*  
446 *of Social Psychology*, 10, 259-291.
- 447 Chaigneau, T., & Brown, K. (2016). Challenging the win-win discourse on conservation and  
448 development: analyzing support for marine protected areas. *Ecology and Society*, 21,  
449 36-42.
- 450 Charles, A., S. Garcia, and J. Rice. 2015. Balanced harvesting in fisheries: economic  
451 considerations. *ICES Journal of Marine Science*, 73, 1679-1689.
- 452 Christie, S.O., Patrick (2000) What are we learning from tropical coastal management  
453 experiences? *Coastal Management*, 28, 5-18.
- 454 Cinner, J., Daw, T. & McClanahan, T. (2009) Socioeconomic factors that affect artisanal  
455 fishers' readiness to exit a declining fishery. *Conservation Biology*, 23, 124-130.
- 456 Cinner, J., Daw, T., McClanahan, T., Muthiga, N., Abunge, C., Hamed, S., Mwaka, B.,  
457 Rabearisoa, A., Wamukota, A. & Fisher, E. (2012) Transitions toward co-management:  
458 the process of marine resource management devolution in three east African countries.  
459 *Global environmental change*, 22, 651-658.
- 460 Cochrane, K. L. (2000). Reconciling sustainability, economic efficiency and equity in fisheries:  
461 the one that got away? *Fish and fisheries*, 1, 3-21.

- 462 Coulthard, S. (2012). What does the debate around social wellbeing have to offer sustainable  
463 fisheries? *Current Opinion in Environmental Sustainability*, 4, 358-363.
- 464 Coulthard, S., Johnson, D. & McGregor, J. A. (2011). Poverty, sustainability and human  
465 wellbeing: a social wellbeing approach to the global fisheries crisis. *Global  
466 Environmental Change*, 21, 453-463.
- 467 Coulthard, S., Sandaruwan, L., Paranamana, N., & Koralgama, D. (2014). *Taking a well-being  
468 approach to fisheries research: Insights from a Sri Lankan fishing village and  
469 relevance for sustainable fisheries. Pages 76-100 Methodological challenges and new  
470 approaches to research in international development.*
- 471 Dawson, N., Martin, A., & Danielsen, F. (2018). Assessing equity in protected area  
472 governance: approaches to promote just and effective conservation. *Conservation  
473 Letters*, 11, 1-8.
- 474 de Lange, E., Woodhouse, E., & Milner-Gulland, E. (2016). Approaches used to evaluate the  
475 social impacts of protected areas. *Conservation Letters*, 9, 327-333.
- 476 Ferraro, P. J., & Hanauer, M. M. (2014). Quantifying causal mechanisms to determine how  
477 protected areas affect poverty through changes in ecosystem services and infrastructure.  
478 *Proceedings of the National Academy of Sciences*, 111, 4332-4337.
- 479 Gough, I., & McGregor, J. A. (2007). Wellbeing in developing countries: from theory to  
480 research. *Cambridge University Press*.
- 481 Gurney, G. G., Cinner, J., Ban, N.C, Pressey, R. L., Pollnac, R., Campbell, S. J., Tasidjawa, S.,  
482 Setiawan F. (2014). Poverty and protected areas: an evaluation of a marine integrated  
483 conservation and development project in Indonesia. *Global Environmental Change* 26,  
484 98-107.
- 485 Gurney, G. G, Darling, S. E., Jupiter, D. S., Mangubhai, S., McClanahan, T.R., Lestari, P., Pardede,  
486 S., Campbell, J., Fox, M., Naisilisili, W., Muthiga, A. N., D'agata, S., Holmes, E. K., Rossi  
487 A. N. (2019). Implementing a social-ecological systems framework for conservation  
488 monitoring: lessons from a multi-country coral reef program. *Biological Conservation*, 240,  
489 108298-108207.
- 490 Gurney, G. G., Pressey, R. L., Cinner, J. E., Pollnac, R., & Campbell, S. J. (2015). Integrated  
491 conservation and development: evaluating a community-based marine protected area  
492 project for equality of socioeconomic impacts. *Philosophical Transactions of the Royal  
493 Society B* 370, 1681-1691.
- 494 Hutton, J., Adams, W. M., & Murombedzi, J. C. (2005). *Back to the barriers? Changing  
495 narratives in biodiversity conservation. Pages 341-370 in Forum for development  
496 studies.*
- 497 Johnson, A. E. (2010). Reducing bycatch in coral reef trap fisheries: escape gaps as a step  
498 towards sustainability. *Marine Ecology Progress Series*, 415, 201-209.
- 499 Kaiser, M. J., Spence, F. E., & Hart, P. J. (2000). Fishing-Gear Restrictions and Conservation  
500 of Benthic Habitat Complexity. *Conservation Biology*, 14, 1512-1525.
- 501 Kinnear, T., & Taylor, J. R. (1996). *Marketing Research: An Applied Approach. McGraw-Hill,  
502 London.*
- 503 Leana III, C. R., & Van Buren, H. J. (1999). Organizational social capital and employment  
504 practices. *Academy of Management Review*, 24, 538-555.
- 505 Leisher, C., Samberg, L. H., Van Buekering, P., & Sanjayan, M. (2013). Focal areas for  
506 measuring the human well-being impacts of a conservation initiative. *Sustainability* 5,  
507 997-1010.
- 508 Lohse, G., Bellman, S., & Johnson, E. J. (2000). Consumer buying behavior on the Internet:  
509 Findings from panel data. *Journal of Interactive Marketing*, 14, 15-29.

- 510 Mbaru, E. K., & Barnes, M. L. (2017). Key players in conservation diffusion: Using social  
511 network analysis to identify critical injection points. *Biological Conservation* 210, 222-  
512 232.
- 513 Mbaru, E., Graham, N. A.J., McClanahan, T. R., & Cinner, J. E. (2019). Functional traits  
514 illuminate the selective impacts of fishing gears on coral reefs. *Journal of Applied*  
515 *Ecology*, 10, 1-12.
- 516 Mbaru, E., McClanahan, T., (2013). Escape gaps in African basket traps reduce bycatch while  
517 increasing body sizes and incomes in a heavily fished reef lagoon. *Fisheries Research*,  
518 148, 90–99.
- 519 McClanahan, T., & Kosgei, J. (2018). Redistribution of benefits but not defection in a fisheries  
520 bycatch-reduction management initiative. *Conservation Biology*, 32, 159-170.
- 521 McClanahan, T., & Mangi, S. (2004). Gear-based management of a tropical artisanal fishery  
522 based on species selectivity and capture size. *Fisheries Management and Ecology* 11,  
523 51-60.
- 524 McClanahan, T.R. (2010) Effects of fisheries closures and gear restrictions on fishing income  
525 in a Kenyan coral reef. *Conservation Biology*, 24, 1519-1528.
- 526 McClanahan, T.R., Hicks, C.C. & Darling, E.S. (2008) Malthusian overfishing and efforts to  
527 overcome it on Kenyan coral reefs. *Ecological Applications*, 18, 1516-1529.
- 528 McShane, T. O., Hirsch, P. D., Trung, T. Chi., Songorwa, A. N., Kinzige, A., Monteferri, B.,  
529 Mutekanga, D., Van Thang, H., Dammert, J. L., Pulgar-Vidal, M., Welch-Devine,  
530 M., Brosius, P. J., Coppolillo, P., & O'Connor S. (2010). Hard choices: making trade-  
531 offs between biodiversity conservation and human well-being. *Biological*  
532 *Conservation*, 144, 966-972.
- 533 Milner-Gulland, E. J., McGregor, J., Agarwala, M., Atkinson, G., Bevan, P., Clements, T.,  
534 Daw, T., Homewood, K., Kumpel, N., & Lewis, J. (2014). Accounting for the impact  
535 of conservation on human well-being. *Conservation Biology*, 28, 1160-1166.
- 536 Ochiewo, J. (2004) Changing fisheries practices and their socioeconomic implications in South  
537 Coast Kenya. *Ocean & Coastal Management*, 47, 389-408.
- 538 Sadilek, M., Klimek, P., & Thurner, S. (2018). A social balance—how your friends determine  
539 your enemies: understanding the co-evolution of friendship and enmity interactions in  
540 a virtual world. *Journal of Computational Social Science*, 1, 227-239.
- 541 Tavakol, M., & Dennick, R. (2011). Making sense of Cronbach's alpha. *International Journal*  
542 *of Medical Education*, 2, 53-55.
- 543 Tóth, Z., Peters, L. D., Pressey, A., & Johnston, W. J. (2018). Tension in a value co-creation  
544 context: A network case study. *Industrial Marketing Management*, 70, 34-45.
- 545 Wasserman, S., & Faust, K., (1994). Social Network Analysis: Methods and Applications.  
546 *Cambridge university press*.
- 547 Weeratunge, N., Béné, C., Siriwardane, R., Charles, A., Johnson, D., Allison, E. H., Nayak, P.  
548 K., & Badjeck M. C. (2014). Small-scale fisheries through the wellbeing lens. *Fish and*  
549 *fisheries*, 15, 255-279.
- 550 White, S. C. (2010). Analysing wellbeing: a framework for development practice. *Development*  
551 *in Practice*, 20, 158-172.
- 552 Woodhouse, E., & Emiel de Lange, E. (2016). *Evaluating the impacts of conservation*  
553 *interventions on human wellbeing. Guidance for practitioners. IIED, London*.
- 554 Woodhouse, E., Homewood, K. M., Beauchamp, E., Clements, T., McCabe, J. T., Wilkie, D.,  
555 & Milner-Gulland E. (2015). Guiding principles for evaluating the impacts of  
556 conservation interventions on human well-being. *Philosophical Transactions of the*  
557 *Royal Society B*, 370, 1681-1700.

559

560 Figure legends

561 Table 1. Multidimensional framework used in the assessment of wellbeing outcomes<sup>1</sup>.  
562 Qualitative, quantitative indicators and data sources for the multiple domains of  
563 wellbeing. The indicator of relational of wellbeing i.e., reciprocity (number of  
564 reciprocated ties) is based on fishing and information sharing ties. The two  
565 relationships (fishing and information exchange) are deemed critical for fishers in their  
566 pursuit of wellbeing because majority of households depend primarily on fishing to  
567 support their livelihoods.

568

569 Figure 1. Mean changes wellbeing among adopters, non-adopters and controls over  
570 the short and medium term relative to the baseline. Domains for subjective wellbeing  
571 are as follows: how satisfied participants were with their financial situation, the quality  
572 of their friendships, and their job (Abunge et al. 2013). The indicator of relational of  
573 wellbeing i.e., reciprocity (number of reciprocated ties) is based on egocentric  
574 networks (Borgatti et al. 2012).

575

576 Figure 2. Difference in changes in wellbeing among controls and non-adopters of  
577 escape slot traps assessed in the short and long term. (blue = controls), (red = non-  
578 adopters). Differences in changes in wellbeing associated with the response variable  
579 were contrasted with the base category, i.e., adoption.

580