

1 **Dynamic properties of a sporadic sodium layer revealed by observations**
2 **over Zhongshan, Antarctica: A case study**

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19 **Key points:**

- 20 1. Separation of temporal/spatial variations in SSLs is observed by a three-directional lidar system at
21 Zhongshan, Antarctica.
- 22 2. The formation and perturbation of SSLs are associated with a sporadic E layer at the same height.
- 23 3. The formed SSLs are advected by the background wind.

24

25 Abstract

26 A Na Doppler lidar system with three-directional measurements of sodium density, the atmospheric
27 wind field, and the temperature was established at Zhongshan (69.4°S, 76.4°E), Antarctica. On 14
28 November 2019, a sporadic sodium layer (SSL) was observed at altitude ranges of 93–103 km. The
29 temporal/spatial sodium density variations of this SSL are associated with a strong sporadic E (Es)
30 layer at nearly the same height, which is modulated by the convective electric field. By considering the
31 structures and the time lags of the SSL's growth at three positions, the SSL appears to have a horizontal
32 advection in an approximately westward direction with a velocity of the order of 80 m/s. This is
33 consistent with the zonal wind velocity derived from the lidar system itself. The temporal/spatial
34 sodium density variations strongly indicate that the formation and perturbation of SSLs are related to
35 the evolution of Es layers due to varied electric fields and atmospheric gravity waves, while it is
36 advected by the horizontal wind.

37

38 Plain Language Summary

39 To be composed of metallic ions, the sporadic E layer (Es) could be formed, modified, or transported
40 by the action of magnetospheric electric fields in the high latitude ionosphere. It has been widely
41 proposed that the Es layer plays an important role in the formation of the sporadic sodium layers (SSL),
42 but their detailed dynamic process and evolution studies of the Es/SSLs are still lacking. A
43 three-frequency Sodium (Na) resonance fluorescence Doppler lidar has been recently deployed by the
44 Polar Research Institute of China, which could measure the sodium density, temperature, and wind
45 profiles simultaneously in three directions. To clarify the dynamic properties of Es/SSL, we have
46 performed an event observation at Zhongshan Station (69.4°S, 76.4°E), Antarctica, which includes
47 sodium density profiles and wind velocity measured by multidirectional lidar system, Es layer detected
48 by the collocated Digisonde radar, F region ion velocity, i.e., electric field, derived by SuperDARN HF
49 radar, as well as gravity wave perturbation determined from Davis medium frequency radar.

50

51 **1. Introduction**

52 Since the discovery of a sporadic sodium layer (SSL) that superposed on normal sodium layer in the
53 mesosphere/lower thermosphere (MLT) [Clemesha et al., 1978], numerous SSL events have been
54 reported [cf. Clemesha, 1995; Qiu et al., 2016, and references therein]. The SSLs, with the rapid growth
55 of the sodium atom density over a narrow height range [e.g., Clemesha, 1995; Tsuda et al., 2011],
56 usually show an extended life span from a few tens of minutes to several hours [e.g., Batista et al., 1991;
57 Cox and Plane, 1998]. One of the interests in SSL studies is thus exploring the generation mechanism
58 for SSLs and understanding the controlling factors of their temporal and spatial variations.

59 Ionospheric sporadic E (E_s) layers have been widely accepted as a good candidate for the source of
60 sodium atoms in SSLs [e.g., Cox and Plane, 1998; Kirkwood and Zahn, 1991; Takahashi et al., 2015].
61 Some good correlations between the occurrence of SSLs and E_s layers have also been reported [cf.
62 Croskey et al., 2006; Dou et al., 2009; 2010; Heinselman et al., 1998]. Rocket-borne mass
63 spectrometric measurements have demonstrated that the E_s layers consist of metal ions [Kopp, 1997;
64 Grebowsky and Aikin, 2002], such as Fe^+ , Mg^+ , Na^+ , etc., and can be vertically driven by the neutral
65 wind and/or the electric field [Kirkwood and Zahn, 1991]. When horizontal and vertical convergence of
66 ions occurred under some conditions [e.g., MacDougall and Jayachandran, 2005], the E_s layers could
67 descend/ascend in altitude, such as due to the atmospheric tide and/or gravity waves [e.g., MacDougall
68 et al., 2000]. As the atmospheric density increases exponentially with descending altitude, the rate of
69 ion neutralization increases rapidly at lower heights [Collins et al., 2002]; an SSL could thus form via
70 this rapid ion neutralization [Cox and Plane, 1998].

71 The other candidates for the source of sodium atoms could be direct meteor deposition, and/or release
72 from aerosol particles, as well as redistribution of existing atoms [e.g., Batista et al., 1991; Clemesha,
73 1995; Clemesha et al., 1978]. However, direct meteor deposition requires a meteor shower with a large
74 mass, and aerosol particle release requires a background temperature increase [Qiu et al., 2015; 2018].
75 The redistribution of existing atoms is another good explanation for SSLs. Using a steerable sodium
76 lidar system at Winkfield (51.4°N, 0.7°W) that pointed sequentially in three different directions (at
77 zenith angles of 30°), Thomas et al. [1977] first observed clear evidence of a horizontal variation of the
78 sodium concentration near the peak of the normal layer. Based on steerable lidar system observations at
79 São José dos Campos (23°S, 45°W), Batista et al., [1991] systematically investigated sodium density

80 variations in twelve SSL events at three horizontal positions. There was no case where the horizontal
81 advent of SSLs occurred simultaneously at all three positions; time lags of each SSL among the
82 different positions were always observed. The inferred horizontal velocities from the time lags of SSLs
83 were mostly less than 100 m/s, except for one case observed by Clemesha et al. [1980] with a velocity
84 of the order of 200 m/s. However, their hypothesis of horizontal advection was not confirmed by
85 background wind observations.

86 In a recent study, an SSL event detected with a five-directional lidar system was reported by Tsuda et
87 al. [2015]. By utilizing the SSL onset time differences recorded at the five positions, the horizontal
88 velocity of an SSL was derived and compared with the background wind velocity from the collocated
89 meteor radar and European Incoherent Scatter radar; both velocities were consistent. Moreover, the
90 amount of the sodium atom increase at the five positions was mostly equal, which strongly indicates
91 that the observed SSL was just advected by the background wind. Nevertheless, the ending time of the
92 SSL event was not observed by Tsuda et al. [2015] due to the sky becoming cloudy.

93 Although a causal link between the Es layer and SSL has been observed and reported [e.g., Heinselman
94 et al., 1998; Kirkwood and Collis, 1989; Kirkwood and Zahn, 1991], how the temporal and spatial
95 variations of SSL relate to the evolution of the Es layers and the horizontal advection by background
96 wind is still unclear, comprehensive evaluation of the sodium atom production process in SSL and its
97 related temporal and spatial variations with the Es layer and background wind is still an important
98 question.

99 Since February 2019, a three-frequency sodium resonance fluorescence Doppler lidar system has been
100 operating at Zhongshan Station (ZHS, 69.4°S, 76.4°E), Antarctica. With three-directional beams
101 toward the zenith, 30° off-zenith to south and west, this system can obtain sodium density, line-of-sight
102 wind, and temperature at three positions simultaneously. In this paper, an SSL event on 14 November
103 2019 that was detected by this lidar system is analyzed. We have performed detailed observations,
104 including the Es layer by a collocated DPS-digisnode, electric field by the Super Dual Auroral Radar
105 Network (SuperDARN), as well as wind velocity by the lidar system itself, to clarify the temporal and
106 spatial variations of SSL. In addition, the 1.94 MHz medium frequency (MF) radar [e.g., Reid et al.,
107 2018] data from Davis station (68.6°S, 78.0°E), which lies approximately 116 km northeast of ZHS, is
108 used to assess the gravity wave activity below the SSL when it occurs. All these observations are

109 combined to better understand the rapid sodium atom production process and its related dynamic
110 properties in the MLT region. In section 2, we will briefly describe instruments and data sets. The
111 observational results are presented in section 3, while possible generation processes and mechanisms of
112 SSLs are discussed and proposed in section 4. This paper finally ends with a summary in section 5.

113

114 **2. Instruments and data sets**

115 **2.1. The sodium lidar**

116 The three-frequency Sodium (Na) resonance fluorescence Doppler lidar at ZHS mainly consists of the
117 lidar transmitter, receiver, data acquisition, and system control modules. The lidar laser pulses are
118 produced by a 4-stage pulsed dye amplifier seeded with a state-of-the-art 589 nm frequency-doubled
119 solid-state diode laser. Required by the classic three-frequency probing technique [Chu and Papen,
120 2005, and reference therein], the seed laser is locked to one of the Na D_{2a} Doppler-free saturation
121 absorption features within ± 2 MHz, and then sequentially shifted ± 630 MHz by a free-space
122 acoustic-optical modulator. Three 82-cm diameter microcrystal-glass substrate telescopes of the
123 parabolic reflecting surface, i.e. the zenith, 30° off-zenith to the south, and 30° off-zenith to the west,
124 are used to receive returning lidar photons in three directions for wind field measurement, respectively.
125 While an ultra-narrow Na Faraday filter is implemented to suppress the solar background during
126 daytime for continuous diurnal operation. With transmitted laser power of about 0.55 W in each
127 direction, raw photons returned from the Na layer and collected by the receiving telescopes were
128 integrated for 15 s with a 45 m range resolution. The uncertainties in temperature and vector wind
129 measurements induced by photon noise, around the peak of Na layer (~ 90 km) with 1 hour and 0.5 km
130 resolutions, can achieve less than ± 0.3 K and ± 1.6 m/s for nighttime, and ± 1.0 K and ± 2.8 m/s for
131 daytime, respectively.

132 **2.2. The Ionosonde radar (DPS-4D)**

133 The Digisonde Portable Sounder (DPS-4D) operated at ZHS can be used to monitor the overhead
134 ionosphere. It uses one simple crossed delta antenna for transmission, and four crossed magnetic dipole
135 antennas for reception. Using six digitally synthesized off-vertical reception beams in addition to the
136 vertical beam, the DPS-4 digisonde can operate in the multi-beam sounding mode [Reinisch et al.,

137 2009]. For each frequency-range pixel, the beam with the maximum amplitude is selected, and the
138 amplitude and beam numbers are recorded in the output data [Reinisch et al., 2008]. Currently, the
139 digisonde operates at 0.05 MHz frequency step from 0.5 to 9.5 MHz and a spatial resolution of 2.5 km
140 from 80 to 640 km (virtual height), while the ionograms are recorded at a time interval of 7.5 mins. By
141 manually scaling the ionograms via SAO software, the ionospheric characteristics parameters such as
142 Es critical frequency (f_oE_s) and virtual height ($h'E_s$) can be obtained.

143 **2.3. The SuperDARN radar**

144 The fields of view of SuperDARN radar cover the majority of the northern and southern hemispheres in
145 the polar region. Utilizing the HF radio wave refracted to achieve orthogonality with the Earth's
146 magnetic field in the E- and F-region ionosphere, the field-aligned irregularities at ~ 10 m Bragg scale
147 has maximum backscatter power obtained [Milan et al., 1997]. From the received signal-to-noise ratios
148 the ionospheric plasma Doppler line-of-sight velocity, the Doppler spectral power, and the Doppler
149 spectral width can be derived [Greenwald et al., 1995]. Based on all the available velocity data and
150 merging it from pairs of radars within common-volume areas [Ruohoniemi and Baker, 1998], a
151 large-scale plasma convection map has been extensively used at the high-latitude ionosphere. The
152 convection electric field can also be obtained [see Chisham et al., 2007, for more details].

153 **2.4. The MF radar at Davis**

154 The Medium Frequency (MF) radar at Davis station (68.6°S , 62.9°E) lies approximately 116 km
155 northeast of ZHS and can be used to measure turbulent strength through velocity variances [Murphy
156 and Vincent, 2000]. The MF radar consists of a square transmitting array (approximately 40° in beam
157 half-width at half maximum) and three cross-dipole receiving arrays. By operating in space-antenna
158 mode at a frequency of 1.94 MHz and using pulsed transmission with half-power full pulse widths of
159 $30 \mu\text{s}$, the three complex time series were analyzed using full correlation analysis to produce winds.
160 The horizontal wind components are theoretically sampled at 2 km intervals between the heights of 64
161 km and 102 km.

162

163 **3. Observation results**

164 A 10 hour continuous daytime observation by lidar radar was made on 14 November 2019 from 14:00

165 UT to 24:00 UT (UT = MLT (Magnetic Local Time) – 1.75 hr; UT = LT – 5 hr). The temporal and
166 altitude variation of sodium density profile from the vertical beam, with a 5 min time and a 450 m
167 altitude resolution, is shown in Figure 1a. The number of ionospheric echoes recorded by the colocated
168 DPS-4 Digisonde in the E layer at 130 frequencies from 3 to 9.5 MHz, with 7.5 min time and 2.5 km
169 height resolution, is shown in Figure 1b as a function of virtual height and UT time simultaneously. By
170 manually scaling the Es layer, the height variations of Es are overlaid in Figure 1a (i.e. blue asterisks).
171 Figure 1c shows the corresponding electric field with 10 min time resolution in the northward and
172 eastward components over ZHS derived by the SuperDARN data at Antarctica.

173 From Figure 1a, it can be seen that the normal sodium layer at altitudes of ~ 85–95 km. Starting at
174 17:00 UT, there is an isolated enhanced sodium density layer (i.e. the so-called SSL) observed at
175 around 101 km, which gradually ascends to about 103 km by 17:30 UT. Half an hour later, a particular
176 region with much higher sodium density at 93–100 km until 19:15 UT can be easily identified. These
177 regions with maximum sodium density $\sim 3.2 \times 10^{10} \text{ m}^{-3}$ are about 10 times higher than the normal
178 sodium layer. We notice that this SSL exists in two separated layers with different altitudes. One above
179 96 km with a descending trend, and the other below it with an ascending trend. After 20:00 UT, an SSL
180 with a short time duration of about ten minutes is observed at an altitude of 97 km.

181 The sodium density of the SSL observed from 17:00 to 20:20 UT changed drastically with time and
182 altitude. This is almost consistent with the occurrence and variations of ionospheric echoes for the Es
183 layer. The strongest SSL observed at the time interval of 18:10–19:00 UT corresponds to a large
184 number of ionospheric echoes at almost the same height. The number of ionospheric echoes decreases
185 at higher altitudes at the time around 17:45 and 19:05 UT, that time interval precisely corresponds to
186 the decreased sodium density at the upper levels. Moreover, the starting and ending times of the SSLs
187 observed from the lidar vertical beam (indicated by the gray shade in Figure 1) are aligned to the
188 appearance and disappearance of the Es layer. This implies that the Es layer provides the source of
189 sodium atoms to the SSL. With convection observations from SuperDARN radar at Antarctica, during
190 the SSL/Es time interval, the strength of the horizontal electric field over ZHS is less than $\sim 12 \text{ mV/m}$
191 (see Figure 1c), except for time at 19:20 UT, and is dominated by an eastward horizontal ion flow in
192 the F region with velocities less than 170 m/s (i.e. $\mathbf{E} = \mathbf{v} \times \mathbf{B}$, assuming the geomagnetic field 5.4×10^5
193 nT). This means that the SSL/Es is located in the duskside convection cell.

194 To investigate the relationship between the SSLs sodium density and ionospheric E_s layer variations in
195 more detail, Figure 2a shows variations of the SSL's in the maximum sodium density from 16:30 to
196 20:30 UT between 93 and 103 km. Figure 2b represents the ratio of the maximum sodium density to
197 the background normal sodium density at the same altitude. The background normal sodium density is
198 the averaged value between 14:30 and 16:30 UT at each altitude where the sodium density varied
199 smoothly with time. We should notice that both sodium density in Figure 2a and the ratio in Figure 2b
200 are plotted with an exponential scale on the Y-axis. The critical frequency of the E_s layer (f_oE_s) is
201 shown in Figure 2c. The altitude of the maximum sodium density is shown in Figure 2d (blue line),
202 while the E_s layer-related virtual height variations (i.e. $h'E_s$), with a 2.5 km error bar indicating
203 possible manual scaling errors, are also overlaid.

204 The SSL starts at an altitude of around 102.5 km, and the maximum sodium density increases from
205 $\sim 1.0 \times 10^9$ to $\sim 3.4 \times 10^9$ m⁻³ within 10 min between 17:02 and 17:12 UT. Soon after, the maximum
206 sodium density gradually decreases to $\sim 0.04 \times 10^9$ m⁻³ at an altitude of about 101.5 km till 18:07 UT,
207 which is about twice the background sodium density. A strong SSL with double-layers occurred around
208 18:30 UT, and its maximum sodium density varies from $\sim 3.3 \times 10^9$ to $\sim 3.2 \times 10^{10}$ m⁻³, which is about
209 twenty times higher than the background intensity. Finally, before the end of the SSL at 20:15 UT, we
210 find the maximum sodium density is almost equal to the onset of SSLs at 17:05 UT and 18:10 UT, but
211 with a lower height at 96.5 km. From Figure 2c, we can see the ending of the SSL is associated with the
212 E_s layer for f_oE_s at ~ 5 MHz. This would correspond to the ionospheric electron density at 3.1×10^{11} m⁻³.
213 Moreover, it can be seen that the enhancement of the E_s layer at 16:55 UT and 18:00 UT occurs before
214 the onset of the SSL by at least 5 min. Because the Digisonde observation mode was operated at a
215 limited frequency range (i.e. 0.5–9.5 MHz), the ionospheric electron density corresponding to the
216 maximum sodium density of the SSL cannot be properly estimated over this time interval. In Figure 2d,
217 we can see the variations of the SSL height (blue line) are intimately associated with the average height
218 of the E_s layers. The correlation coefficients for the SSL height within 5 km (29 points) and 2.5 km (18
219 points) of the E_s layer are 0.72 and 0.94, respectively. All these observational results strongly indicate
220 that the E_s layer is most likely the source supply of sodium for the SSL in this event. However, it is
221 difficult to explain the formation of SSL with double layers (i.e. with upper-layer and lower-layer) at
222 18:30–18:45 UT.

223 To better understand the dynamic properties of SSL, Figures 3a – 3c show height and time variations of
224 the raw photon count, with temporal 15 s and spatial 45 m resolutions, from the (a) south, (b) vertical,
225 and (c) west beams at 90–100 km during 18:00–19:00 UT. We have normalized the count data with the
226 Rayleigh scattering intensity at the lower height, removing the effects of the fluctuations in the laser
227 power and the transmittance in the lower atmosphere. The normalized raw photon count intensity
228 would thus be in proportion to the sodium number density and the resonance scattering cross-section.
229 The SSLs with upper-layer and lower-layer located above and below 96 km respectively, are observed
230 at all three positions. Both the upper-layer and lower-layer of the SSLs are characterized by an
231 increased photon count with a narrow altitude range (i.e. typically ~ 1 km full width at half maximum).
232 The corresponding time variations in the raw count intensity data for 92–96 km height are also shown
233 in Figures 3d – 3f. The red, blue, and black lines represent the mean, median, and peak values of the
234 raw count intensity. The timings (i.e. onset and ending times) of the SSL lower-layer determined at
235 each beam, i.e. twice the background value, by stating that the ratio of the maximum density to the
236 background normal density is greater than 2 [cf. Simonich et al., 2005], using both the mean and the
237 median, are denoted as vertical dashed lines at each panel. For the mean values, it can be seen that the
238 SSL lower-layer first occurred in the south beam at 18:25:42 UT. After 50 seconds, the enhanced raw
239 photon count is observed by the vertical beam, whereas the onset time in the west beam lagged by 11
240 min. A similar situation with a time lag of ~ 9.08 min is also observed when comparing the ending time
241 from vertical to west positions. Moreover, through comparing the median values between the vertical
242 and west beams, time lags of ~ 11.82 min and ~ 10.73 min, respectively, for onset and ending time are
243 also observed. However, the ending of the SSL lower-layer at the south beam is different. This is due to
244 the ending of the SSL lower layer being accompanied by a wavelike perturbation (see Figure 3a).
245 Considering the time lags for onset and end of the SSL in the raw count intensity variations at the
246 vertical and west beams, it is suggested that the horizontal advection of enhanced sodium density plays
247 an important role for the SSL.

248

249 **4. Discussion**

250 **4.1. SSL production from the Es layer**

251 It is important to understand the source that is producing/providing a large number of sodium atoms for
252 SSLs within a short timescale and a thin vertical range. In this paper, we report an SSL with spatial and
253 temporal variations over ZHS, Antarctica. The dynamic process of the SSL is closely associated with
254 the evolution of the Es layer, which means that the conversion of sodium ions to sodium atoms in an Es
255 layer occurred. Previous studies have discussed the Es layer as a major source for high-density SSLs
256 appearing between 90 and 100 km. Kane et al. [1993] estimated that the sodium ion abundance in an Es
257 layer was 10% of that of the atoms at most, while a 4% assumption for the sodium ion abundance was
258 an underestimation [Hansen and von Zahn, 1990]. In our observations, the SSL occurring after 18:10
259 UT is at a height below 100 km and the SSL and Es layer have a good height correlation. An SSL-
260 related sodium density more than twice higher than the background intensity is observed at 18:10–
261 18:45 UT and 20:10–20:15 UT (see Figure 2b). The averaged maximum sodium density of the SSLs
262 (using an altitude resolution of 0.45 km) at these time intervals are $\sim 1.67 \times 10^{10} \text{ m}^{-3}$ and $\sim 2.8 \times 10^9 \text{ m}^{-3}$,
263 respectively. If we assume that the averaged maximum sodium density is charge exchanged from
264 sodium ions in the Es layer at the 10% rate noted above, this should correspond to an ionospheric
265 density of at least $\sim 1.67 \times 10^{11} \text{ m}^{-3}$ and $\sim 2.8 \times 10^{10} \text{ m}^{-3}$ at the same height. During these intervals, the Es
266 layer with $f_0\text{Es}$ more than 5 MHz (i.e. $\sim 3.1 \times 10^{11} \text{ m}^{-3}$) is always observed. This means that the
267 hypothesis about the Es layer alone providing enough of a supply of sodium atoms in this event is
268 plausible.

269 Ground-based sodium lidar and ionosonde simultaneous observations of the SSL and Es layers in time
270 and spatial location have been extensively studied by previous authors [e.g., von Zahn and Hansen,
271 1988; Williams et al., 2007; Dou et al., 2009]. Although the Es layer is expected to be acting as the
272 sodium reservoir, and a strong correlation of simultaneous occurrence of SSLs and Es layers was also
273 observed, the sodium ion chemistry seemed to not provide for a satisfactory explanation of the fast rise
274 of sodium atom density within the growth phase of SSLs with time constants of 5 min [von Zahn and
275 Hansen, 1988]. For our current study, the growth phase of SSLs with typical time constants of 10–15
276 min (see Figure 2a, i.e. the time duration from onset to the maximum of the SSL), and an electron
277 enhancement of the Es layer preceding the sodium enhancement (see Figures 2a and 2c) is always
278 observed, which means that our Es layer would be the source of sodium for the SSL. From a statistical
279 point of view, a seasonal dependence of SSL occurrence correlates well with the annual variation of Es,

280 as studied by Dou et al. [2010]. A “meteor-Es-SSL” chain responsible for the recombination process
281 was taken into consideration by them. However, because meteoric ablation should simultaneously
282 generate sodium and electron density enhancements at the same altitude [Clemesha et al., 1978], the
283 observed preexisting Es layer with wavelike fluctuations should rule out direct meteor deposition as the
284 cause of the SSL.

285 To exclude the possible redistribution of the background layer resulting in SSLs, the time-sequential
286 relationship of the SSL/Es is investigated in more detail. Table 1 gives the estimates of the average
287 descending velocity of the Es layer for the four-time intervals at the lower E region. Comparing these
288 times to the SSL onset times of at ~17:02, ~18:30, and ~20:00 UT, and with altitudes of ~101, ~93, and
289 ~97 km, it seems likely that the descent of the Es layer would trigger the release of sodium atoms,
290 while the corresponding height may be one important factor controlling the SSL occurrence and its
291 lifetime. A theoretical explanation proposed by Cox and Plane [1998] has been shown that sodium ions
292 can be neutralized via an ion-molecule mechanism which describes the conversion of sodium ions to
293 atomic sodium in a descending Es layer. Similar observational events were also reported by previous
294 studies [Beatty et al., 1989; Kane et al., 1993; Williams et al., 2007]. By identifying the vertical and
295 temporal structures of the SSL sodium and the Es layer electron density, Kane et al. [1993] found the
296 electron enhancement preceded the sodium enhancement at an altitude of 93–97 km were in phase. In
297 our event, sodium enhancements always correlate with the descent of the Es layer. The high correlation
298 between the altitude and abundance variations of the SSL and Es layers illustrates that the SSL
299 formation is strongly related to descending Es layer and most likely involves the neutralization of
300 sodium ions.

301 **4.2. SSL/Es related dynamics and electrodynamics**

302 Dynamic processes are evident in the temporal and spatial wavelike structures of the SSL/Es layers.
303 With the thin Es layer declining into the E region, both the electric field and neutral wind effect on the
304 modulation of the Es layer are expected [e.g., Nygrén et al., 1984, 2008]. According to dynamic and
305 electrodynamic theory illustrated by Kirkwood and Nilsson [2000], the vertical motion of the ion is
306 governed by electric fields, neutral wind, gravity, and ambipolar diffusion. Ignoring ion diffusion due to
307 gradients in the plasma pressure and to the force of gravity, the vertical motion of ions can be expressed
308 as:

$$309 \quad v_{iz} = \frac{1}{1 + r_i^2} \left[\frac{E_E}{B_0} + W_N \sin I \right] \cos I + \frac{r_i}{1 + r_i^2} \left[-\frac{E_N}{B_0} + W_E \right] \cos I + \left[1 - \frac{\cos^2 I}{1 + r_i^2} \right] W_Z$$

310 where v_{iz} is the vertical motion of ion (positive downward), r_i is the ratio of the ion-neutral collision
 311 frequency to the ion gyrofrequency. E_E and E_N represent the eastward and northward components of the
 312 electric field, while W_N , W_E , and W_Z are the horizontal (northward and eastward) and vertical (positive
 313 downward) components of the neutral wind. The magnetic dip angle I equal to 71.6° at ZHS. As can be
 314 seen from the equation on the right side, the ion motion above 120–130 km is dominated by the first
 315 term (i.e., eastward electric field and meridional wind) due to the region ion gyro-frequency exceeds
 316 the collision frequency, while the zonal wind and north-south component of the electric field control it
 317 at heights below 100–110 km in the second term. Moreover, when the atmospheric gravity wave exists,
 318 the third term may be significant at any height in the presence of strong vertical motion. The present
 319 SSL/Es event mainly occurs in altitude range of 93–100 km with a southward electric field, and both
 320 the zonal wind and gravity waves contribute to the vertical motion of the Es layer.

321 The contours of the zonal and meridional wind observed by the lidar system between 14:00 and 24:00
 322 UT are illustrated in Figures 4a and 4b, respectively. While their wind variations with height are shown
 323 in Figure 4c. The zonal wind shows a persistent westward velocity at the heights of 92.5–97 km until
 324 20:00 UT, while a downward propagating phase structure is apparent in the wind. As studied by
 325 Kirkwood and Nilsson [2000] for the relative contributions of the electric field and neutral wind in the
 326 equation for the second term, a strong wind of 100 m/s has almost the same effect as a rather small
 327 electric field of only 5 mV/m. During the time interval of 17:00 – 20:15 UT the northward electric field
 328 with a median value of $-8.7 (\pm 4.1)$ mV was obtained, assuming the zonal wind with a consistent
 329 velocity of -50 m/s and adopting the ratio r_i varied with the descending height [Xue et al., 2013, see
 330 their Figure 5a], the combined electric field and neutral wind will result in the Es layer with a
 331 descending speed of $\sim 7.82 (\pm 6.04)$ m/s at 110 km and $\sim 0.42 (\pm 0.32)$ m/s at 95 km. This is as a whole
 332 consistent with the estimates of the average descending velocity of the Es layers shown in Table 1,
 333 which implies that the vertical wind velocity decreased sharply with decreasing altitude. Accumulation
 334 of metal ions and/or electrons modulated by the varied north-south electric field component and zonal
 335 wind at a certain altitude would be expected.

336 The downward propagating phase structure of horizontal wind implies that the formation of the SSL/Es

337 layers could also be related to atmospheric gravity wave activity. MacDougall et al. [2000] suggested
338 that such atmospheric wave motion is very efficient in moving ionization up or down, particularly at
339 lower heights. The ionization will be concentrated near the gravity wave nulls, with a downward
340 motion from above and upward motion from below. This process has been observed in this event at
341 around 18:30 UT at a low height of ~ 93 km, where the sodium density is largest. During this interval,
342 the SSL upper layer is descending with the downward motion of the Es layer, followed by the SSL
343 lower layer ascending.

344 To assess the gravity wave activity, the 1.94 MHz MF data from Davis station which lies approximately
345 116 km northeast of ZHS has been analyzed. To balance short-term variations against the quality of
346 tidal fit, a 4-day window of hourly averaged winds is chosen to calculate the amplitude and time of a
347 maximum of the diurnal, semidiurnal, and terdiurnal components of the zonal and meridional wind.
348 These fitted parameters are then used to reconstruct the underlying tidal wind field over a two-day
349 interval centered on the occurrence of the SSL. The MF radar wind determinations were averaged into
350 10 min bins over the days surrounding the ZHS SSL event. Tidal fits were made to a 4-day interval of
351 these data and the reconstructed tides were removed from the time series [Murphy, 2002]. The resulting
352 perturbation winds with a 3-point smoothing applied are thus shown in Figures 4d (zonal) and 4e
353 (meridional), while the corresponding perturbation velocities at 92 km are shown in Figures 4f.
354 Downward propagating phase structures are apparent in the upper levels of these panels (i.e., Figures
355 4d and 4e) suggesting the presence of an upward propagating gravity wave. These phase structures are
356 steep, with rapid velocity transitioning from positive to negative at the time of the SSL, indicating a
357 large vertical wavelength node at the observed heights. The performance of the gravity wave activity
358 illustrated by the MF radar data at the nearby station suggests such atmospheric wave motions could
359 also modulate the temporal and spatial variations of the SSL intensities.

360 In comparison to 5 min averaged data shown in Figure 1a, there is another interesting feature that the
361 15 s data in Figure 3 reveals considerably more fine structures of the SSL. In Figures 3a–3c, the double
362 layers of the SSL show predominant wavelike structures with periods of 7–11 min at 96–100 km and
363 ~ 3 –4 min at 94–96 km, which is especially evident in the south direction in Figure 3a. This is very
364 different from the observations of the Es layer at ionosonde and the gravity wave at MF radar, in which
365 only a long period of perturbations could be derived. Quasi-periodic fluctuations on a timescale on the

366 order of several minutes in the peak height and the peak density of SSLs as a universal feature but
367 could be concealed by the lower temporal resolution [Pfrommer et al., 2009]. Tsuda et al. [2011]
368 suggested that the 7–11 min wavelike structure would be signatures of atmospheric gravity waves,
369 while the 3 min wavelike structure may be signatures of an atmospheric gravity wave or acoustic wave.
370 Moreover, Hansen and von Zahn [1990] analyzed sodium density data with time-resolution of 1-min
371 and demonstrated upward and downward movements of the SSL height with the time scale of ~20-min.
372 They also suggested that such movements are signatures of atmospheric gravity waves. However, the
373 careful reader will notice that the fine-scale ~3 min wavelike structure seems to occur locally (see
374 Figure 3a), due to the vertical and west beams without detecting such fine-scale wavelike structure at
375 the lower layer of SSL. In conclusion, as the lidar probes the sodium along with three directions,
376 variations will occur as the SSL is carried across the beam by the neutral wind. The changes that we see
377 could be some combination of intrinsic temporal changes and spatial variations along the direction of
378 advection.

379 As mentioned in the Introduction, horizontal advection of the SSLs was hypothesized by Clemesha et
380 al. [1980], but no background wind confirms it. By detecting the onset time and amounts of the sodium
381 density of SSLs via a five-direction lidar system combining derived background wind, Tsuda et al.
382 [2015] verify the horizontal advection of the SSLs. However, the ending time of their SSLs was not
383 available due to the cloudy sky. Since the sodium lidar observed SSLs in the vertical, and 30°
384 off-zenith to the south and west, it is possible to assess the role of advection in SSL variation. From
385 Figures 3e and 3f, we observe the SSL lower layer has similar structures in the vertical and west beams,
386 while the lifetime of the SSL is about 14.63 min at the vertical position and 12.65 min at the west
387 position, respectively. The onset and ending time lags between the vertical and west beams are about
388 11 min and 9.1 min, respectively. Cross-correlation analysis of the SSL upper-layer and lower-layer
389 also indicates that both layers with a time lag of about 11 min between the vertical and west beams.
390 The height of SSL at 94 km corresponds to the distance of about 54.27 km between the vertical and
391 west beams. Assuming the time lags of the SSL in the vertical and west beams are due to horizontal
392 advection, the bulk velocity of the SSL onset is estimated to be 82.2 (± 1.8) m/s and its rear is estimated
393 to be 99.4 (± 1.8) m/s westward. These velocities are fairly consistent with the observed zonal wind
394 velocity derived from the lidar system shown in Figure 4c (~80-90 m/s westward). This suggests that

395 the temporal and spatial variations of the SSL are consistent with advection by the background wind.

396

397 **5. Summary**

398 A new sodium resonance fluorescence Doppler lidar system with three-directional beams has been
399 established at ZHS, Antarctica. In this paper, we report an SSL with spatial and temporal variations on
400 14 November 2019. By examining the dynamic properties of this SSL, and comparing it with
401 collocated Es layers, we find the dynamic process of the SSL is closely associated with the evolution of
402 the Es layer. We suggest the formation and perturbation of the SSL correlates with the convective
403 electric field and atmospheric gravity wave activity. However, the onset/end of the SSL was observed
404 by lidar at different times in the different beams, especially in the east-west direction. By using
405 observational atmospheric wind field values obtained by the lidar system itself, we have compared
406 wind velocities to the calculated horizontal advection effect and found the velocities are consistent. We
407 conclude that the major source for sodium atoms in this SSL event is from the Es layer and the
408 dynamic properties of the SSL are modulated by the Es layer electrodynamics and the background
409 wind field.

410

411 **Data Availability Statement**

412 The ZHS DPS and lidar data can be downloaded from the polar atmospheric and space physics
413 database in Chinese National Arctic and Antarctic Data Center (<http://www.chinare.org.cn:8000/uap/>).
414 The HF radar data used in this work is available from the Virginia Tech portal at <http://vt.superdarn.org>.
415 Davis MF radar data is available from the Australian Antarctic Data Centre at <https://data.aad.gov.au>.

416

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427

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- 529

530 **Figure and Table Captions**

531 **Figure 1:** (a) Height-time intensity variations in the sodium density from 14:00 to 24:00 UT on 14 November 2019
 532 between 80 and 105 km are presented. Blue asterisks denote the height of the Es layer derived from DPS-4
 533 Digisonde. (b) The number of ionospheric echoes recorded by the colocated DPS-4 Digisonde in the E layer at
 534 frequencies from 3 to 9.5 MHz. (c) The corresponding electric field derived from SuperDARN data, the blue and
 535 red lines represent eastward and northward components, respectively. In this study, we mainly focus on the SSL
 536 occurring for time intervals shown in the gray shade (i.e. 17:00–20:20 UT)

537 **Figure 2:** (a) Variations of the SSL's maximum sodium density from 16:30 to 20:30 UT. (b) The ratio of the
 538 maximum sodium density to the background sodium density at the same altitude. Horizontal black dash line
 539 denotes values with a ratio greater than 2. (c) The variations of critical frequency in Es layer from colocated DPS-4
 540 Digisonde. (d) Variations in altitude of the maximum SSL (blue line) and the Es layer (red asterisks with error
 541 bar).

542 **Figure 3:** (a–c) Height and time variations of raw photon count, with 15 s time resolution and 45 m height
 543 resolution, from (a) south, (b) vertical, and (c) west beams. (d–f) The corresponding time variations in the raw
 544 count intensity data for 92–96 km height. Red, blue, and black lines represent data obtained with the mean, median,
 545 and peak values, respectively. (notice: the peak values have been reduced by a factor of three). The onset and end
 546 times, defined as a time when the intensity became twice that before the event, are shown by vertical dash lines.

547 **Figure 4:** Time-height contours of the zonal (a) and meridional (b) winds between 14:00 and 24:00 UT derived
 548 from sodium radar. The data has been smoothed to have a vertical resolution of 0.5 km and a temporal resolution
 549 of 1 hour for the wind field. (c) Profiles of zonal (red and blue) and meridional (black and green) winds at 17:30–
 550 18:30 and 18:30–19:30 UT. Zonal (d) and meridional (e) 10 min average winds from the Davis MF radar for two
 551 days around the ZHS SSL occurrence. The tidal variations have been removed with the gravity wave activity
 552 remaining. (f) Zonal (red) and meridional (black) perturbation winds at 92 km.

553
 554 **Table 1:** Estimates of average descending velocity of the Es layers

Time interval (hh:mm:ss)	Initial height (km)	Ending height (km)	Descending vel. (m/s)
16:45:10 – 17:30:10	120	100	~7.41
17:37:40 – 18:30:10	122.5	92.5	~9.52
19:00:10 – 19:30:10	122.5	97.5	~13.89
19:52:40 – 20:15:10	102.5	97.5	~3.7

555