Evolution of ITZ and its effect on the carbonation depth of concrete 1 under supercritical CO<sub>2</sub> condition 2 3 Hao Bao <sup>a,b,\*</sup>, Gang Xu <sup>a,b</sup>, Min Yu <sup>c,\*</sup>, Qing Wang <sup>a,b</sup>, Rende Li <sup>b</sup>, Mohamed Saafi <sup>d</sup>, Jianqiao Ye <sup>d,\*</sup> 4 Hubei Key Laboratory of Disaster Prevention and Mitigation, China Three Gorges University, 5 a. 6 Yichang, China 7 b. College of Civil Engineering & Architecture, China Three Gorges University, Yichang, China 8 c. School of Civil Engineering, Wuhan University, Wuhan, China 9 d. Department of Engineering, Lancaster University, Lancaster LA1 4YR, UK \* Correspondence author: baohaowhu@163.com (H. Bao), ceyumin@whu.edu.cn (M. Yu), 10 11 j.ye2@lancaster.ac.uk (J. Ye) 12 13 Abstract: In this paper, supercritical carbonation tests of concrete specimens with different water-to-cement ratios are carried out. In the test, the thickness of interfacial transition zone (ITZ)

14 of the concrete is determined by the distribution of Ca/Si ratio across the interface between the 15 16 coarse aggregate and cement paste. The microhardness distribution, microstructure and porosity of 17 the ITZ before and after supercritical carbonation are analyzed. A geometrical and physical model 18 considering the distribution of porosity, coarse aggregates, ITZ, and the supercritical carbonation 19 of concrete is proposed, by which cracks, pores, calcium carbonates, and C-S-H gel at the 20 interface of coarse aggregates and cement paste can be studied. The overall microstructures are 21 relatively compacted after supercritical carbonation. The thickness of ITZ of concrete is reduced 22 from 47-79 µm to 35-51 µm after supercritical carbonation. The average value and variance of 23 carbonation depth of concrete increase with the increase of the thickness and porosity of ITZ. 24 Comparing the carbonation results of concrete with different thicknesses and porosity of ITZ, it 25 appears that porosity of ITZ has greater impact on the carbonation depth of concrete.

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Keywords: Concrete; Interfacial transition zone; Supercritical carbonation; Geometrical andphysical model; Carbonation depth.

## 30 **1. Introduction**

31 Properties of concrete, such as permeability [1,2] and corrosion resistance [3,4], have been 32 studied extensively by both engineers and researchers. It is well known now that concrete 33 durability is greatly influenced by the internal structural characteristics of the materials [5], among 34 which ITZ has higher porosity [6] and lower hardness [7], and contains more calcium hydroxide 35 with lower compactness [8]. Thus, an ITZ has weaker mechanical properties than cement slurry 36 [9], which presents a weak link of the internal structure of concrete materials [10-14]. The 37 influence of ITZ between cement paste and aggregates on the carbonation of concrete has been 38 studied recently [15,16]. It is not unusual that initial cracks of concrete may occur near ITZ [17] 39 due to inhomogeneity, and the ITZ around aggregates may network with each other, resulting in 40 enhanced CO<sub>2</sub> diffusion [18]. Clearly, an ITZ is an important channel for CO<sub>2</sub> transmission in concrete. The transport of  $CO_2$  in concrete and the carbonation reaction will inevitably damage the 41 42 passivation film on the surface of steel bars in concrete structures, cause corrosion of 43 reinforcements [19], and finally lead to failure and a reduction in service life of structures [20]. Therefore, studies on microscopic characteristics of ITZ and the effect of ITZ on the carbonation 44 45 process of concrete will provide a further understanding of the role of ITZ in carbonation and the 46 effect of ITZ on the durability design of reinforced concrete structures.

47 The origin of ITZ lies in the packing of anhydrous cement grains from less than a micron to 48 up to 100 µm against aggregate particles with a magnitude several order larger [21]. Current research on microscopic characteristics of an ITZ mainly focuses on its thickness, micro-hardness, 49 50 porosity, and pore distribution [22-24]. The thickness of an ITZ is mainly affected by the 51 water-binder ratio [25-27], size and type of aggregate [28,29], and generally defined by ITZ 52 hardness, porosity, unhydrated cement, and other indicators compared with cement paste [30,31]. 53 Multiple factors lead to the formation of an ITZ, including wall effect [32], one-side growth [33], 54 water films, filtration effects of cement grains, micro-bleeding, and gel syneresis [33]. The wall 55 effect is about the packing of the anhydrous cement grains against the relative flat aggregate surface [36]. Due to a wall effect that causes inefficient packing of the cement particles near the 56 57 aggregates, the ITZ regions will initially have a higher water-binder ratio and a larger interparticle spacing than the bulk cement paste [37]. A lower water-binder ratio of concrete will generally lead 58 to denser and more uniform cement paste and thinner ITZ [38]. ITZ thickness was experimentally 59 evaluated in the range of 10 µm~100 µm [27,28]. The micro-hardness of ITZ of cement-based 60 61 materials can be tested by nanoindentation [39]. The hardness of an ITZ is correlated with the 62 porosity of the ITZ [31], which is one of the important ITZ microscopic characteristics. The 63 hardness and elastic modulus of ITZ are lower than those of cement paste. In addition, the 64 hardness of an ITZ can be affected by water-cement ratios, aggregate type, size of aggregates, and 65 curing age [40]. The compactness of an ITZ can be improved by the addition of fly ash in concrete, 66 and hydrated and unhydrated products can be distinguished by their microhardness [40]. The porosity and pore distribution of an ITZ are important indexes to characterize the performance of 67 the ITZ. The porosity of concrete ITZ is greater than that of cement paste [41,42], which can be 68 69 affected by the water-cement ratio, aggregate size and type, content of initial unhydrated cement 70 particles during the casting of concrete, and the compactness of the concrete. When the 71 water-cement ratios are the same, the porosity of ITZ decreases with the decrease of aggregate size and increase of curing age [43]. The transition zone can be densified by, e.g., adding silica 72 73 fume in concrete. The strength of high-strength silica fume concretes is usually attributed to the 74 reduction in w/c ratio and the refinement of the pore structure [44].

The effects of ITZ on the carbonation performance of concrete were investigated based on
 accelerated laboratory carbonation tests [18,45]. The ITZ of concrete becomes more compact and

77 the compressive strength was improved after the carbonation treatment [46]. The distribution of 78 the carbonation zone was changed by the evolution of ITZ [47]. However, it took 7 days to obtain 79 a carbonation depth of 9 mm by the accelerated laboratory carbonation test with the relative humidity and temperature of 55% and 26°C, respectively [48]. The supercritical carbonation 80 81 technology, as an efficient method of carbonation treatment of concrete, can greatly shorten the 82 carbonation time, and it took only 7.5 hours to reach a carbonation depth of 10 mm [49]. 83 Supercritical carbonation techniques have become a promising and time-saving method in the 84 material surface curing, improving compactness of materials [50-52], enhancing mechanical property and durability of fiber reinforced cementitious composites, recycling concrete [53], and 85 86 solidification and stabilization of heavy metals and hazardous materials [54-56] in concrete. 87 Carbonation depth is a significant parameter to evaluate the degree of supercritical carbonation 88 treatment of concrete [57].  $CO_2$  is in the supercritical state when the temperature of  $CO_2$  exceeds 89 31.1°C and the pressure exceeds 7.38 MPa [49]. The viscosity and diffusion coefficients of 90 supercritical  $CO_2$  are close to that of gas, and its density is close to that of liquid, which makes it 91 possible for the  $CO_2$  to quickly penetrate into the concrete [58] and accelerate the carbonation of 92 concrete. Furthermore, the percolation and transport properties of concrete will be affected by the 93 existence of ITZ [59-61]. However, it is found that the investigations using the supercritical 94 carbonation technology were mainly on cement mortar, while research on the microstructure 95 evolution of ITZ of concrete is rare. As mentioned above, ITZ is an important channel for  $CO_2$ transmission in concrete, which will inevitably affect carbonation reaction. Consequently, this will 96 97 affect the carbonation depth of concrete, which is an important index for macroscopic evaluation 98 of the carbonation degree of concrete [62-64]. Thus, to predict and evaluate carbonation depth of 99 concrete under supercritical  $CO_2$  condition more accurately, it is necessary to study the influence 100 of ITZ on the supercritical carbonation depth of concrete.

Given the complexity of microstructure evolution of ITZ of concrete under supercritical CO<sub>2</sub> 101 condition and its influence on the supercritical carbonation depth of concrete, supercritical 102 103 carbonation tests on concrete specimens with different water-cement ratios will be carried out in 104 this study. In general, the content of silicon dioxide increases, the content of calcium oxide 105 decreased, and the ratio of calcium to silicon (Ca/Si ratio) increased from aggregate to cement 106 paste [31,65]. The thickness of ITZ can be determined more accurately by the change of Ca/Si ratio. The micro-morphology, calcium-silicon ratio distribution, and hardness distribution around 107 108 the ITZs before and after supercritical carbonation will be investigated by using the scanning 109 electron microscope test (SEM), and nanoindentation test. The porosity changes of cement paste will be measured by the mercury intrusion porosimetry test. The porosity of an ITZ will be 110 estimated by the relational model between the hardness and porosity of cement paste and ITZ. 111 112 Furthermore, a geometric and physical model considering the distribution of porosity, coarse 113 aggregates, ITZ, and the supercritical carbonation of concrete is proposed, and the influence of the 114 thickness and porosity of ITZ on the supercritical carbonation depth of concrete will be discussed

in this paper.

## 116

### 117 **2.** Materials and test program

118 2.1. Raw materials

River sands with a fineness modulus of 2.5 were used as the fine aggregates. P.O 42.5 R

120 common Portland cement as supplementary cementing materials were also used in this study. The

121 chemical composition and properties of the commonly used Portland cement were obtained by

122 XRF analysis and are listed in Table 1.

**Table 1** Chemical composition and properties of the cement.

Components	Contents
SiO <sub>2</sub> (%)	21.53
Al <sub>2</sub> O <sub>3</sub> (%)	5.07
CaO (%)	65.69
$Fe_2O_3(\%)$	2.31
MgO (%)	1.14
K <sub>2</sub> O (%)	0.36
Na <sub>2</sub> O (%)	0.07
SO <sub>3</sub> (%)	2.5
Loss on ignition (%)	0.4
Blaine fineness (m <sup>2</sup> /kg)	346
Specific gravity (g/cm <sup>3</sup> )	3.17
Specific surface area (cm <sup>2</sup> /g)	350

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### 125 2.2. Preparation of concrete specimens

126 ITZ in concrete is related to the properties of aggregate, such as the irregular shape of 127 aggregates and the heterogeneous zone around the aggregates [9]. In this study, the aggregates 128 with polished surfaces were embedded in the mortar to minimize the interference caused by the 129 shapes, sizes and relative positions of aggregates in concrete. Thus, the characteristics of ITZ can 130 be evaluated more accurately.

131 The properties of the ITZ can be affected by the water-cement ratio. To identify the effect of 132 the water-cement ratio on the micro-properties of ITZ, water-cement ratios of 0.30, 0.40, 0.50, and 133 0.60 were chosen. The designed mix proportions are presented in Table 2. The concrete cubes of 134 10 mm side length were cast as shown in Fig. 1 (a). Before casting, coarse aggregates of 6 mm  $\times 6$ 135  $mm \times 6$  mm were cut and polished and a rubber mold of grid size 10 mm was selected. As shown 136 in Fig. 1 (b), the design thickness of the cement mortar layer of the specimens is 2 mm, which 137 ensures that the cement mortar can be completely carbonated after 5 hours [66]. The treated cubic 138 coarse aggregate was placed in the middle of the mold and only the bottom surface of the 139 aggregate was in contact with the mold. The mixing of cement mortar components was achieved 140 by using a mortar mixer according to the mix proportions of cement mortar in Table 2. The 141 consistometric value was measured by using a mortar consistency tester to ensure the good fluidity, 142 workability and stability of cement mortar. Then a total of 8 concrete specimens were cast and the 143 test surfaces were polished (Fig. 1 b), four of which were prepared for the supercritical

- 144 carbonation test. The specimens were then demolded and placed into a curing room for 28 days.
- 145 The temperature and relative humidity of the curing room were set as 20°C and 95%, respectively.
- 146 Both the carbonated and noncarbonated specimens were prepared then for the nanoindentation test,
- scanning electron microscope (SEM) observations, and the mercury intrusion porosimetry (MIP)
- 148 test.
  - Mix proportions (kg/m<sup>3</sup>) Water-cement ratio Groups Cement Water Fine aggregate C03SC 0.30 445 657 203 C04SC 0.40 510 203 535 C05SC 0.50 405 203 618 C06SC 0.60 338 699 203
- 149 **Table 2** Mix proportions of cement mortar.

**150** *Remarks*: C03SC denotes the specimens with the water-cement ratio of 0.3 prepared for the supercritical carbonation test.



Fig. 1. Simulated interfaces of concrete samples.

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### 153 2.3. Procedure of supercritical carbonation

154 Fig. 2 shows the supercritical carbonation equipment used to test the prepared concrete 155 specimens. The operation process of supercritical carbonation of concrete with the closed-cycle 156 carbonation system mainly includes four stages: test preparation, increase of CO<sub>2</sub> pressure, 157 maintaining of CO<sub>2</sub> pressure and recovery of CO<sub>2</sub>. The concrete specimens were placed in the 158 chamber shown in Fig. 2 (a). The reaction chamber was vacuumed by the vacuum pump and the pressure was measured by the negative pressure gauge shown in Fig. 2 (b). The  $CO_2$  was injected 159 into the reaction chamber initially by the pressure difference between the gas cylinders and the 160 161 reaction chamber until the pressure in the chamber is the same as that of the gas cylinders. Further  $CO_2$  injection from the gas cylinder into the reaction chamber was done by the booster pump until 162 the required pressure and temperature in the reaction chamber were reached. The pressure and 163 164 temperature were adjusted and controlled by the heater and water chiller. After the completion of 165 the supercritical carbonation test,  $CO_2$  was driven from the reaction chamber to the gas cylinders 166 by the booster pump and the carbonated concrete specimens were taken out of the chamber. The 167 pressure and temperature in the chamber were continuously recorded during the carbonation

process as shown in Fig. 3. The total carbonation time is 6.17 hours, and the supercritical carbonation time is 5 hours, which has completely carbonated the specimens. Some carbonated concrete specimens were cut into two halves. Phenolphthalein solution was applied and sprayed on the cuts to identify whether the concrete specimens were completely carbonated.

The concrete specimens with different water-to-cement ratios before and after supercritical
 carbonation were prepared for the Nanoindentation, SEM, and MIP tests.





(a) Specimens in the reaction still

(b) Negative pressure gauge

Fig. 2. Preparation of the supercritical carbonation of concrete.



Fig. 3. Supercritical carbonation condition.

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175 2.4. Microscopic test of concrete before and after supercritical carbonation

176 2.4.1. Nanoindentation test

Nanoindentation was used to characterize the hardness and Young's modulus of ITZ between 177 coarse aggregates and cement paste. The nano-indenter manufactured by the British MML 178 179 Company was adopted. The indenter was a pyramidal Berkovich diamond indenter. The maximum 180 load of each test point was 2 mN, and the constant loading speed of each test point was 12 181 mN/min. The load was held for 5s after reaching the maximum load and then unloaded at a 182 constant speed of 12 mN/min. A total of 8 samples were prepared for the Nanoindentation test. 183 Before the supercritical carbonation of concrete specimens, the top surfaces of the specimens were 184 manually ground by using 320, 600 and 1200 grits of abrasive paper and polished using 1 µm 185 alumina for 20 min to achieve smooth surfaces and help identify the microhardness of cement 186 paste, ITZ and aggregates. The preparation of the nanoindentation test of concrete is shown in Fig.

4. As shown in Fig. 4(b), the length of the nanoindentation test zone of each sample is 100 μm and
the interval is 10 μm. The cement paste, aggregate and ITZ can be distinguished roughly by the
color change. To accurately distinguish the zone of cement paste, aggregate and ITZ, a
nanoindentation test was performed continuously and uniformly across the cement paste zone, ITZ

and the aggregate zone and the corresponding Vickers hardness values were obtained.





(a) Nano-mechanical testing system(b) Measuring zoneFig. 4. Preparation of nanoindentation test of concrete.

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### 193 2.4.2. Scanning electron microscope (SEM) observations

194 The microstructure of the interfacial transition zone can be analyzed and characterized by 195 SEM. The phases of concrete, such as pores, unhydrated cement particles, mineral admixture, 196 calcium hydroxide, and hydrated calcium silicate gel, can be distinguished. To study the ITZ, both 197 the noncarbonated and carbonated concrete specimens were broken into small pieces, from which 198 small samples containing both coarse aggregates and cement paste were chosen and polished for 199 further preparation for the scanning electron microscope test. The chosen samples were submerged 200 in absolute alcohol for ultrasonic cleaning to remove dust adsorbed on the fracture surface. The 201 washed samples were then put into a drying vessel with a temperature of 25°C for 24 hours before 202 they were examined by the SEM with a magnification of 1000. The chemical compositions and 203 relative element contents on the surface of concrete samples before and after supercritical 204 carbonation were finally obtained by energy dispersive spectroscopy (EDS).

205

206 2.4.3. Mercury intrusion porosimetry (MIP) test

MIP is widely used to obtain pore size distribution of cement-based materials. In order to analyze the changes of porosity of concrete before and after supercritical carbonation, MIP tests were performed by using AutoPore IV 9500 automatic mercury intrusion tester. Before the MIP test, the selected samples were put into absolute ethyl alcohol to stop hydration and then sealed to prevent carbonation. Two carbonated and two noncarbonated samples with the water-cement ratio of 0.4 and 0.5 from those used in the SEM examination were randomly chosen for the MIP test.

213

## **3. Evolution of ITZ under supercritical CO<sub>2</sub> condition**

215 *3.1. Ca/Si ratio and thickness of ITZ* 

Quantitative analyses on the element contents from aggregate to cement paste before and after supercritical carbonation were made for Ca and Si by EDS. The Ca/Si ratios distribution around the aggregate of concrete with different water-cement ratios before and after supercritical carbonation are shown in Fig. 5. The red lines and green lines in Fig. 5 represent the change of the element contents of Si and Ca, respectively, and the blue lines represent the change of Ca/Si ratios.



(c) C04SC1, before carbonation

(d) C04SC2, after carbonation





As shown in Fig. 5, the aggregate, ITZ and cement paste can be distinguished by the Ca/Si ratios distribution. The ITZ is a thin layer surrounding aggregate, which is characterized by a higher concentration of calcium hydroxide crystals and an increased porosity relative to the 224 cement paste [67,68]. The hydration produces Ca(OH)<sub>2</sub> and C-S-H gel with a mean Ca/Si ratio of 225 1.8-4.9 [69]. Without loss of generality, in the case of the ITZ of the concrete with a water-cement 226 ratio of 0.3 before supercritical carbonation, the Ca/Si ratio dramatically increases from 0.6 to 3.2 around the aggregate boundary at the distance of 27  $\mu$ m (Fig. 5 a). Then, the Ca/Si ratio gradually 227 228 decreases to 1.0 at the distance of 74  $\mu$ m. The average content of Ca in the ITZ is less than that in 229 the cement paste. Thus the thickness of the ITZ is accurately calculated from the difference of the 230 measured distances. For the ITZ of the concrete with the same water-cement ratio after 231 supercritical carbonation (Fig. 5 b), the Ca/Si ratio increases abruptly from 0.3 to 4.5 across the boundary at the distance of 22 µm. The Ca/Si ratios gradually decrease to 1.0 at the distance of 65 232 233 µm. Thus the calculated ITZ thickness is 43 µm. By following this process, the calculated ITZ 234 thickness of the concrete sample before and after supercritical carbonation are list in Table 3. The 235 Ca/Si ratios of ITZ and cement paste increase after supercritical carbonation treatment. With the 236 progress of carbonation reaction, Ca(OH)<sub>2</sub> continuously dissolves in water and participates in the 237 carbonation reaction, forming CaCO<sub>3</sub> and filling pores, which leads to a gradual increase of Ca 238 content in the surface, while the content of Si before and after carbonation has little change. 239 Therefore, the Ca/Si ratio of ITZ and cement paste increases after carbonation.

The ITZ thickness of the concrete with water-cement ratios of 0.3, 0.4, 0.5, and 0.6 varies
from 47 μm to 79 μm before supercritical carbonation. The range of the ITZ thickness of the
concrete after carbonation is 35-51 μm.

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Groups	Thickness before carbonation,	Thickness after carbonation, $t_{1772}$ (µm)	Reduction ratio, $\rho_t$
C03SC	47	43	8.5%
C04SC	69	35	49.3%
C05SC	60	41	31.7%
C06SC	79	51	35.4%

**Table 3** Thickness of ITZ before and after supercritical carbonation.

244

245 3.2. Microstructure of ITZ

246 To study the microstructure of the ITZ, the ITZ between cement paste and aggregates was 247 scanned, by which microscopic morphology of hydration products, such as hydrated calcium 248 silicate gel and ettringite, were clearly shown. Fig. 6 shows the microscopic morphology of the 249 ITZ before and after carbonation at a magnification of 1000×. The left part represents the 250 morphology of the aggregate surface, and the right part is the microtopography of the cement 251 paste at a magnification of 5000×. As shown in Fig. 6, the surface of the coarse aggregates is almost uniform, while the microtopography of the cement paste is uneven with complex hydration 252 253 products. Cracks can be observed along the surface of the coarse aggregates and cement paste. It 254 demonstrates the incompatibility between the coarse aggregate and the cement paste, and the 255 potential thoroughfare of the supercritical CO<sub>2</sub> transport in the concrete. Fig. 6 also clearly shows 256 that the width of micro-cracks between the coarse aggregates and the cement paste is reduced, the

overall microstructure is relatively compacted after supercritical carbonation. It can be determined
that the structures of calcium hydroxide and C-S-H gel in ITZ are almost undistinguishable from
that in cement paste. The porous structure of ITZ may enhance the transport processes of pore
liquids, thus allowing frequent moisture changes and continuous dissolution of alkaline substances
in ITZ [31].

The ITZ of concrete contained more flaky calcium hydroxides and needle-like amorphous hydrated calcium silicates before supercritical carbonation. A large number of granular calcium carbonates were generated, and the pores were filled after supercritical carbonation, leading to the decrease of porosity and the increase of hardness of ITZ. However, the ITZ is still the weakest zone of concrete despite supercritical carbonation.



Ca(OH)2

(a) C03SC1, before carbonation



(c) C04SC1, before carbonation

(b) C03SC2, after carbonation



(d) C04SC2, after carbonation





(g) C06SC1, before carbonation

(h) C06SC2, after carbonation

Fig. 6. SEM images of ITZ of concrete with different water-cement ratios.

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## 268 3.3. Microhardness distribution of ITZ

After the completion of the supercritical carbonation test, the microhardness of the ITZ was examined by a nano-indenter. The tip of the nanoindenter was applied to press into the flat concrete surface according to the loading method mentioned in Section 2. The corresponding loading-unloading curves were obtained, and the modulus and hardness at each measuring point 273 were calculated from the curves. The mechanical properties and microstructure composition of 274 each phase near the ITZ were studied, and the influence of carbonation on the concrete was 275 investigated. The microscopic morphology and microhardness distributions of the ITZ of the concrete with different water-cement ratios are shown in Fig. 7. It can be observed that the cement 276 277 paste and aggregate can be distinguished by different gray scales. The hardness of the cement 278 paste, the ITZ and the aggregate of the concrete with different water-cement ratios are notably 279 different. The aggregate has the highest hardness, while the ITZ has the lowest. The fluctuation 280 within a component may be attributed to the random distribution of porosity and cracks near the 281 ITZ as well as the existence of defects in the aggregate, as shown in Fig. 4(b). Due to the small 282 amount of hardness distribution data obtained, the aggregate, ITZ and cement paste are roughly 283 distinguished according to the gray level changes of microscopic morphology images and data 284 distribution interval. In order to analyze the hardness distribution of the concrete before and after 285 the supercritical carbonation, the approximate average hardness of the cement paste, the aggregate 286 and the ITZ are calculated and shown in Fig. 7. The horizontal red lines represent the approximate 287 average hardness and the value of cement paste and the ITZ are shown in Table 4.







Fig. 7. Microscopic morphology and hardness distribution of cement paste, ITZ and aggregate of concrete with different water-cement ratios.

	-		
Groups	Average hardness of paste, $h_p$ (GPa)	Average hardness of ITZ, $h_{ITZ}$ (GPa	) $h_p/h_{ITZ}$
C03SC1	1.05	0.20	5.25
C03SC2	1.52	0.49	3.10
C04SC1	1.04	0.37	2.81
C04SC2	1.08	0.46	2.35
C05SC1	0.55	0.24	2.29
C05SC2	2.24	0.48	4.67
C06SC1	0.64	0.17	3.76
C06SC2	1.89	0.63	3.00

288 Table 4 Hardness of cement paste and ITZ before and after supercritical carbonation.

289 As shown in Fig. 7 and Table 4, the thickness of the ITZ can be estimated. The thickness of 290 the ITZ ranges from 20 µm to 55 µm. However, when nanoindentation is applied to quantitatively 291 analyze the thickness of ITZ, the measuring interval is usually 10 microns or more, and the 292 hardness value obtained is also a multiple of ten, which cannot be accurate to single digits. The 293 hardness of the ITZ is about 0.15-0.25 GPa before supercritical carbonation and 0.45-0.50 GPa 294 after supercritical carbonation. There is a sentential increase in the hardness of the ITZ after 295 supercritical carbonation. The hardness of the cement paste is 2-6 times that of the ITZ, which 296 shows that ITZ stays as a weak zone in concrete after carbonation, and may be attributed to the 297 relatively higher water-to-cement ratio and random distribution of defects in ITZ. Although the 298 wide application of nanoindentation in the research of cement-based materials, the hardness

measured by the nanoindentation test can be affected by the inhomogeneous distribution of poresand microcracks around ITZ.

301

302 *3.4. Evaluation of porosity of ITZ* 

In order to evaluate the porosity of the ITZ before and after supercritical carbonation, MIP 303 304 tests on the cement paste taken from the concrete with water-cement ratios of 0.4 and 0.5 were 305 carried out before and after supercritical carbonation. The distribution curves of the cumulative 306 intrusion volume of mercury with the pore diameter of cement paste samples were obtained, as 307 shown in Fig. 8. The initial porosities of the cement paste with the water-cement ratio of 0.4 and 308 0.5 before and after supercritical carbonation are shown in Table 5. The cement paste with a larger 309 water-cement ratio has a larger porosity [70]. The porosity of cement paste was reduced and the 310 material became denser, which is mainly due to the increase of calcium carbonates in the pores of 311 the cement paste after supercritical carbonation [71].





<b>Siz Table 5</b> Forosity of cement paste before and after supercritical carbonation	ion
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Groups	Porosity before carbonation, $n_1$	Porosity after carbonation, $n_2$	Reduction ratio, $\rho_n$
C04SC	10.86%	9.32%	14.2%
C05SC	13.30%	9.00%	32.3%

313

The nanoindentation techniques have been applied to statistically characterize the 314 315 nano-mechanical properties of concrete [72,73] and the elasticity and hardness of cement-based 316 materials [40,74]. There is a linear relationship between the porosity and hardness of cement paste 317 [75]. To predict the porosity of ITZ, the effects of porosity on the mechanical properties of cement 318 paste were firstly studied by comparing hardness and porosity in this study. Then the relationship 319 between hardness and porosity of cement paste was established. It was assumed that the 320 relationship between porosity and hardness of cement paste and ITZ is similar. Finally, the 321 porosity of ITZ can be evaluated and calculated according to the value of the hardness of ITZ.

In this section, the measured hardness of cement paste and the ITZ are compared with the porosity of the cement paste with water-cement ratios of 0.4 and 0.5 before and after the supercritical carbonation. The relationship between the hardness and porosity of the cement paste is proposed from the experimental results presented in Table 4 and Table 5. The linear relations between the hardness and porosity of ITZ with the water-cement ratio of 0.4 and 0.5 can be derived from the solution of a binary linear equation and shown in Eq. (1).

$$h_{\Pi Z} = \begin{cases} -2.6n_{\Pi Z} + 1.32 & w/c = 0.4 \\ -39.3n_{\Pi Z} + 5.78 & w/c = 0.5 \end{cases}$$
(1)

328 where  $h_{ITZ}$  and  $n_{ITZ}$  denote the hardness and porosity of ITZ, respectively.

By the above formula, the porosity of the ITZ of the concrete with a water-cement ratio of 0.4
before and after supercritical carbonation are 36.5% and 33.0%, respectively. These are reduced,
respectively, to 14.1% and 13.5%, when the water-cement ratio is 0.5.

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# 4. Effect of ITZ on the carbonation depth of concrete under supercritical CO<sub>2</sub> condition

4.1. Establishment of the geometrical and physical model of concrete

336 4.1.1. Random porosity model of concrete

In consideration of the spatial and inhomogeneous distribution of porosity, it is assumed that the porosity obeys the lognormal distribution. The control equation of the spatial correlation function shown in Eq. (2) is considered to describe the random distribution of porosity of concrete.

$$\phi(x, y) = \exp\left[-\left(\frac{x^2}{a^2} + \frac{y^2}{b^2}\right)^{\frac{1}{1+r}}\right]$$
(2)

341 where a and b denote the autocorrelation lengths, respectively. r is the roughness factor that is 0 in 342 this paper. The Fourier transform and inverse Fourier transform of Eq. (2) are used to generate the random porosity model of concrete. More details of the generation process of the random porosity 343 344 model can be found in Yu [76]. The value of a and b are assumed as 0.01 m [66]. The average 345 porosity of concrete before supercritical carbonation is 0.133 and the coefficient of variation of 346 porosity is selected as 0.4 [66]. It is assumed that the porosity of the coarse aggregates is 0 for the 347 impermeable property of aggregates [77]. The random distribution of porosity from the above calculations is shown in Fig. 9 (a). 348

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### 350 *4.1.2. Random aggregate model of concrete*

351 First, the Monte Carlo method is applied to generate random circular aggregates. Then, the coordinates of the center and the areas of each of the circular aggregates are obtained. The centers 352 353 of each of the circular aggregates are taken as the control points to generate the polygonal Voronoi 354 diagram. The vertexes of each polygonal Voronoi cell are taken as the control point and the closed 355 B-spline curves are generated by connecting the vertexes to characterize the shape of pebble 356 aggregates. Next, the evenly distributed nodes are selected from the closed B-spline curves to 357 generate convex polygons to characterize the shape of crushed stone aggregates. A proportional 358 area reduction procedure is followed to ensure that the areas of the generated crushed stones are approximately equal to the areas of the initially generated circular aggregates at the corresponding
locations. Finally, a two-dimensional random aggregates model of concrete satisfying the given
gradation and mix proportion is established. The details of the generation process of the random
aggregate model can be found in Bao [78]. The distribution of coarse aggregates is shown in Fig.
9 (b).

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365 *4.1.3. Distribution model of ITZ* 

The distribution of ITZ is also considered in the geometrical and physical model of concrete along with the random distribution of coarse aggregates. The distribution model of ITZ was proposed based on the random aggregate model of concrete. The generation process of ITZ is described in detail as follows:

- 370 (1) Firstly, the vertex coordinates of each convex polygon of the crushed stone aggregate are371 obtained.
- 372 (2) Then the boundaries of each convex polygon are extended in parallel to the original boundary373 by a distance equal to the thickness of ITZ.
- (3) The area created between the extended and the original boundaries of the crushed stone is theITZ.
- 376 The distribution of ITZ around the coarse aggregates with a thickness of  $100 \,\mu\text{m}$  is shown in
- 377 Fig. 9 (c).



0 -0.01 -0.02 -0.03 -0.04 -0.05 0

0.0

(a) Distribution of porosity

(b) Distribution of coarse aggregates



(c) Distribution of ITZ around the coarse aggregates **Fig. 9.** Geometrical model considering the distribution of porosity, coarse aggregates and ITZ.

### 378 *4.1.4. Supercritical carbonation model of concrete*

In previous studies [49,57,66], the authors have developed a multiphysics supercritical carbonation model that is capable of considering chemical reactions, mass transfer of liquid and gas, dissolution and diffusion of  $CO_2$  in water, and energy balance of porous media during the process of supercritical carbonation. The governing equations are shown in Eqs. (3-7).

$$\frac{\partial R_c}{\partial t} = \alpha_1 \times f_1(h) \times f_2(g_v) \times f_3(R_c) \times f_4(T)$$
(3)

$$\frac{\partial(g)}{\partial t} = \frac{\partial(m_{co_2})}{\partial t}$$
(4)

$$\frac{\partial (nS_{\alpha}\rho_{\alpha})}{\partial t} + \nabla \cdot (\rho_{\alpha}u_{\alpha}) = q_{\alpha}$$
(5)

$$\overset{\mathbf{r}}{u_{\alpha}} = -\frac{kk_{r\alpha}}{\mu_{\alpha}} (\nabla P_{\alpha} - \rho_{\alpha} g)$$
(6)

$$\left(\rho C_{q}\right)_{eff}\frac{\partial T}{\partial t} = \nabla \cdot \left(k_{eff}\nabla T\right) - \left(C_{g}\rho_{g}u_{g} + C_{w}\rho_{w}u_{w}\right)\nabla T$$

$$\tag{7}$$

$$k = k_0 \left(\frac{n}{n_1}\right)^3 \cdot \left(\frac{1 - n_1}{1 - n}\right)^2 \tag{8}$$

where  $R_c$  is the degree of carbonation; g is the mass concentration of CO<sub>2</sub> in water;  $P_{\alpha}$  is the pressure of phase  $\alpha$ ; subscript  $\alpha$  refers to w for the liquid phase and g for gaseous phase; T denotes temperature; n is the porosity of cement paste. The detailed description of the other parameters can be found in Zha and Yu [49].

387 The initial and boundary conditions were applied to solve the governing equations. In this388 study, the conditions are introduced and shown in Eqs. (9-11).

$$R_{c} = R_{c0} = 0, \ P_{g} = P_{g0}, \ P_{w} = P_{w0}, \ g = g_{0} = 0, \ T = T_{0}, \ t = 0 \ on \ \Omega$$
(9)

$$\vec{n} \cdot \nabla R_c = 0, \ \vec{n} \cdot \nabla g = 0 \ on \ \Gamma_2$$
 (10)

$$P_g = P_{g,sur}, \ P_w = P_{w,sur}, \ T = T_{sur} \ on \ \Gamma_1$$
(11)

where  $R_{c0}$  is the initial conditions specifying the degree of carbonation;  $P_{g0}$  and  $P_{w0}$  are the initial water pressure and initial gas pressure, respectively;  $g_0$  is the initial concentration of dissolved CO<sub>2</sub> in water;  $T_0$  is the initial temperature;  $\vec{n}$  is the normal vector of the boundary;  $\Gamma_2$  and  $\Gamma_1$  are the boundary using Neumann's conditions and Dirichlet's conditions, respectively;  $P_{g,sur}$  and  $P_{w,sur}$ are the surrounding gas and liquid pressure, respectively;  $T_{sur}$  is the surrounding temperature. The detailed information about the other parameters can be found in Zha [49] and Yu [76].

395

### 396 *4.1.5. Geometrical and physical model of concrete*

397 In the present study, the geometrical and physical model is developed to include the random

- distribution model of aggregates, porosity, ITZ and the supercritical carbonation model of concrete.
- 399 The numerical simulation method of supercritical carbonation of concrete is summarized in Fig.
- 400 10. In the simulation, the random distribution of porosity of cement paste was generated by the
- 401 autocorrelation length and coefficient of variation of porosity, derived from previous research
- 402 [66,78]. The distribution of ITZ of concrete was generated by considering the porosity and the
- 403 thickness of ITZ. The coarse aggregates were randomly generated as discussed in Section 4.1.2.



404

405 Fig. 10. Schematic diagram of a microscopic numerical simulation of supercritical carbonation
 406 of concrete.

407 The thickness and porosity of ITZ are the two main factors affecting the performance of 408 concrete. The carbonation depth is interfered with by many factors, such as concrete aggregates, 409 porosity, etc., and the influence of the ITZ on the supercritical carbonation depth of concrete 410 cannot be stripped out by experiments. In addition, as the thickness of the ITZ is only tens of microns, it is difficult to cast specimens with different ITZ thicknesses. In this section, the effect 411 412 of ITZ on the carbonation depth of concrete under supercritical  $CO_2$  condition is numerically 413 investigated because of the difficulties in experimental testing. The supercritical carbonation of 414 concrete with a water-cement ratio of 0.5 has been verified against experiments in our previous 415 study [78]. Without loss of generality, the effects of ITZ on the carbonation depth of concrete with 416 a water-cement ratio of 0.5 under supercritical CO<sub>2</sub> condition were numerically investigated based 417 on the verified model. To eliminate the influence of the random distribution of porosity on 418 carbonation depth, it is assumed that the porosity of cement paste before supercritical carbonation 419 is uniformly distributed, and is 0.133 as shown in Table 5.

420

421 4.2. Effect of the thickness of ITZ

422 To study the effect of the thickness of ITZ on the carbonation depth of concrete, the thickness of ITZ was assumed to be 0 µm, 20 µm, 40 µm, 60 µm, 80 µm, and 100 µm, respectively. The 423 424 porosity of ITZ was assumed to be 19.95%, which is 1.5 times higher than the porosity of cement paste. The supercritical carbonation time was set as 5 hours. The carbonation profiles and the 425 426 zoomed-in local details are shown in Fig. 11. It can be seen from the magnified local images that 427 the thickness of the ITZ has a certain influence on the distribution of carbonation depth. To further 428 quantitatively analyze the effect of the thickness of ITZ on the carbonation depth, the average 429 value and variance of carbonation depth of concrete with different thicknesses of ITZ are calculated [66] and shown in Table 6. When the thickness of ITZ is 0, only the effect of coarse 430 431 aggregate on the carbonation depth of concrete was considered. The average and variance of 432 carbonation depth increase with the increase of the thickness of ITZ. As the results of the variance 433 of carbonation depth are shown in Table 6, the effect of coarse aggregates on the distribution of 434 carbonation depth of concrete is more obvious than that of ITZ thickness.



(c) Thickness of ITZ,  $t_{ITZ}$ =60 µm (d) Thickness of ITZ,  $t_{ITZ}$ =100 µm

Fig. 11. Effect of the thickness of ITZ on the supercritical carbonation depth of concrete.

**Table 6** Average value and variance of carbonation depth of concrete with different thicknesses of

112:							
Thickness of ITZ, $t_{ITZ}$ (µm)	0	20	40	60	80	100	
Average carbonation depth, $D_{ave}$ (mm)	11.36	11.83	11.83	11.92	12.14	12.17	
Variance of carbonation depth, $V (mm^2)$	0.60	0.63	0.68	0.72	0.74	0.74	

437

436

IT7

438 *4.3. Effect of the porosity of ITZ* 

In order to study the effect of the porosity of ITZ on the carbonation depth, the porosity of
ITZ was assumed to be 13.3%, 19.95%, 26.6%, and 32.25%, respectively, which is 1.0, 1.5, 2.0,
and 2.5 times of the porosity of the cement paste. The thickness of ITZ was assumed to be 100 μm.
The supercritical carbonation time was also set as 5 hours. The simulation results of carbonation
profiles and the zoomed-in details are shown in Fig. 12. As can be seen, the carbonation depth can

444 be significantly affected by the porosity of ITZ according to the zoomed-in images of the 445 simulation results. To quantitatively study the influence of porosity of ITZ on the distribution of carbonation depth, the average and the variance of carbonation depth of the concrete with different 446 porosity of ITZ are calculated and shown in Table 7. When the porosity of ITZ is the same as that 447 448 of the cement paste, only the effect of coarse aggregate on the carbonation depth of concrete is 449 analyzed. Table 7 shows that the average value and variance of carbonation depth increase with 450 the increase of the porosity of the ITZ. As the results of the variance of carbonation depth are shown in Table 7, when the porosity of ITZ is 33.25%, the average and variance of carbonation 451 depth are increased by 22.2% and 283.3% respectively compared with the porosity of ITZ is 452 453 13.3%. The influence of the porosity of ITZ on the distribution of carbonation depth is more 454 obvious than that of the coarse aggregates of concrete. The effect of ITZ porosity on carbonation



Fig. 12. Effect of the porosity of ITZ on the supercritical carbonation depth of concrete.

456	Table 7 Average value an	d variance carbonation	depth of concrete v	with different porosity of ITZ.
	8		1	1

_	-		-	-
Porosity of ITZ, $n_{ITZ}$	13.3%	19.95%	26.6%	33.25%
Average carbonation depth, $D_{ave}$ (mm)	11.36	12.17	12.71	13.88
Variance of carbonation depth, $V (mm^2)$	0.60	0.74	0.94	2.30

457

# 458 **5. Conclusion**

In this paper, nanoindentation, SEM and MIP tests were carried out on concrete specimens of different water-to-cement ratios before and after the supercritical carbonation. The evolution of the ITZ of concrete under supercritical  $CO_2$  condition was studied by analyzing the microhardness distribution and microstructure of the ITZ. The thickness of the ITZ was determined by the distribution of Ca/Si ratio across the interface of the coarse aggregates and cement paste. The porosity of the ITZ was also evaluated. The effect of the thickness and porosity of the ITZ on the carbonation depth of concrete under supercritical  $CO_2$  condition was numerically investigated using an experimentally validated multiphysics model. The following conclusions can be drawnfrom the study.

- 468 (1) Cracks, pores, calcium carbonates, and C-S-H gel can be observed at the interface of coarse
   469 aggregates and cement paste from the SEM characterization results. The microstructures are
   470 relatively compacted after supercritical carbonation.
- (2) The thickness of ITZ of concrete can be determined by the distribution of Ca/Si ratio across
  the interface of coarse aggregates and cement paste. The thickness of ITZ of concrete with
  different water-cement ratios varies from 47 μm to 79 μm before supercritical carbonation and
  ranges between 35 μm and 51 μm after supercritical carbonation.
- (3) The porosity of an ITZ can be estimated by the proposed relational model between thehardness and porosity of cement paste and ITZ.
- 477 (4) The distribution of porosity, coarse aggregates, ITZ, and the supercritical carbonation of478 concrete can be simultaneously considered in the geometrical and physical model.
- (5) The average value and variance of carbonation depth of concrete increase with the increase of
  the thickness and porosity of ITZ. Compared with the thickness of ITZ, carbonation depth of
  concrete is more sensitive to porosity than thickness of ITZ.
- 482

### 483 Credit Author Statement

Hao Bao: Methodology, Investigation, Software, Writing-original draft. Gang Xu:
Conceptualization, Data curation. Min Yu: Conceptualization, Software, Visualization,
supervision. Qing Wang: Software. Rende Li: Investigation. Mohamed Saafi: Writingreviewing. Jianqiao Ye: Conceptualization, Writing- reviewing and editing, supervision.

488

494

### 489 Acknowledgement

The authors are grateful for the Natural Science Foundation of Hubei Province
(2020CFB272), the Scientific Research Program of Hubei Provincial Department of Education
(Q20201207), the Opening Foundation of Hubei Key Laboratory of Disaster Prevention and
Mitigation (Grant No. 2020KJZ01) and the Natural Science Foundation of Yichang (A20-3-012).

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