

# Flexible circuits in the $d$ -dimensional rigidity matroid\*

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## Abstract

A bar-joint framework  $(G, p)$  in  $\mathbb{R}^d$  is rigid if the only edge-length preserving continuous motions of the vertices arise from isometries of  $\mathbb{R}^d$ . It is known that, when  $(G, p)$  is generic, its rigidity depends only on the underlying graph  $G$ , and is determined by the rank of the edge set of  $G$  in the generic  $d$ -dimensional rigidity matroid  $\mathcal{R}_d$ . Complete combinatorial descriptions of the rank function of this matroid are known when  $d = 1, 2$ , and imply that all circuits in  $\mathcal{R}_d$  are generically rigid in  $\mathbb{R}^d$  when  $d = 1, 2$ . Determining the rank function of  $\mathcal{R}_d$  is a long standing open problem when  $d \geq 3$ , and the existence of non-rigid circuits in  $\mathcal{R}_d$  for  $d \geq 3$  is a major contributing factor to why this problem is so difficult. We begin a study of non-rigid circuits by characterising the non-rigid circuits in  $\mathcal{R}_d$  which have at most  $d + 6$  vertices.

## 1 Introduction

A bar-joint *framework*  $(G, p)$  in  $\mathbb{R}^d$  is the combination of a finite graph  $G = (V, E)$  and a realisation  $p : V \rightarrow \mathbb{R}^d$ . The framework is said to be *rigid* if the only edge-length preserving continuous motions of its vertices arise from isometries of  $\mathbb{R}^d$ , and otherwise it is said to be *flexible*. The study of the rigidity of frameworks has its origins in the work of Cauchy and Euler on Euclidean polyhedra [5] and Maxwell [18] on frames.

Abbot [1] showed that it is NP-hard to determine whether a given  $d$ -dimensional framework is rigid whenever  $d \geq 2$ . The problem becomes more tractable for generic frameworks  $(G, p)$  since we can linearise the problem and consider ‘infinitesimal rigidity’ instead. We

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define the *rigidity matrix*  $R(G, p)$  as the  $|E| \times d|V|$  matrix in which, for  $e = v_i v_j \in E$ , the submatrices in row  $e$  and columns  $v_i$  and  $v_j$  are  $p(v_i) - p(v_j)$  and  $p(v_j) - p(v_i)$ , respectively, and all other entries are zero. We say that  $(G, p)$  is *infinitesimally rigid* if  $|V| \leq d + 1$  and  $\text{rank } R(G, p) = \binom{|V|}{2}$  or  $|V| \geq d + 2$  and  $\text{rank } R(G, p) = d|V| - \binom{d+1}{2}$ . Asimow and Roth [2] showed that infinitesimal rigidity is equivalent to rigidity for generic frameworks (and hence that generic rigidity depends only on the underlying graph of the framework).

The *d-dimensional rigidity matroid* of a graph  $G = (V, E)$  is the matroid  $\mathcal{R}_d(G)$  on  $E$  in which a set of edges  $F \subseteq E$  is independent whenever the corresponding rows of  $R(G, p)$  are independent, for some (or equivalently every) generic  $p$ . We denote the rank function of  $\mathcal{R}_d(G)$  by  $r_d$  and put  $r_d(G) = r_d(E)$ . We say that  $G$  is:  *$\mathcal{R}_d$ -independent* if  $r_d(G) = |E|$ ;  *$\mathcal{R}_d$ -rigid* if  $G$  is a complete graph on at most  $d + 1$  vertices or  $r_d(G) = d|V| - \binom{d+1}{2}$ ; *minimally  $\mathcal{R}_d$ -rigid* if  $G$  is  $\mathcal{R}_d$ -rigid and  $\mathcal{R}_d$ -independent; and an  *$\mathcal{R}_d$ -circuit* if  $G$  is not  $\mathcal{R}_d$ -independent but  $G - e$  is  $\mathcal{R}_d$ -independent for all  $e \in E$ .

It is not difficult to see that the 1-dimensional rigidity matroid of a graph  $G$  is equal to its cycle matroid. Landmark results of Pollaczek-Geiringer [16, 19], and Lovász and Yemini [17] characterise independence and the rank function in  $\mathcal{R}_2$ . These results imply that every  $\mathcal{R}_d$ -circuit is rigid when  $d = 1, 2$ . This is no longer true when  $d \geq 3$  (see Figures 1 and 2 below), and the existence of flexible  $\mathcal{R}_d$ -circuits is a fundamental obstruction to obtaining a combinatorial characterisation of independence in  $\mathcal{R}_d$ .

Previous work on flexible  $\mathcal{R}_d$ -circuits has concentrated on constructions, see Tay [20], and Cheng, Sitharam and Streinu [6]. We will adopt a different approach: that of characterising the flexible  $\mathcal{R}_d$ -circuits in which the number of vertices is small compared to the dimension. To state our theorem we need to define the following two families of graphs.

For  $d \geq 3$  and  $2 \leq t \leq d - 1$ , the graph  $B_{d,t}$  is defined by putting  $B_{d,t} = (G_1 \cup G_2) - e$  where  $G_i \cong K_{d+2}$ ,  $G_1 \cap G_2 \cong K_t$  and  $e \in E(G_1 \cap G_2)$ . Note that the graph  $B_{3,2}$  is the well known flexible  $\mathcal{R}_3$ -circuit, commonly referred to as the “double banana”. The family  $\mathcal{B}_{d,d-1}^+$  consists of all graphs of the form  $(G_1 \cup G_2) - \{e, f, g\}$  where:  $G_1 \cong K_{d+3}$  and  $e, f, g \in E(G_1)$ ;  $G_2 \cong K_{d+2}$  and  $e \in E(G_2)$ ;  $G_1 \cap G_2 \cong K_{d-1}$ ;  $e, f, g$  do not all have a common end-vertex; if  $\{f, g\} \subset E(G_1) \setminus E(G_2)$  then  $f, g$  do not have a common end-vertex. See Figure 1 for an illustration of the general construction and Figure 2 for specific examples.

**Theorem 1.** *Suppose  $G$  is a flexible  $\mathcal{R}_d$ -circuit with at most  $d + 6$  vertices. Then either*

- (a)  $d = 3$  and  $G \in \{B_{3,2}\} \cup \mathcal{B}_{3,2}^+$  or
- (b)  $d \geq 4$  and  $G \in \{B_{d,d-1}, B_{d,d-2}\} \cup \mathcal{B}_{d,d-1}^+$ .

Theorem 1 gives the following lower bound on the number of edges in a flexible  $\mathcal{R}_d$ -circuit. This is used in [11] to obtain an upper bound on  $r_d(G)$  for all  $1 \leq d \leq 11$ .

**Corollary 2.** *Suppose  $G = (V, E)$  is a flexible  $\mathcal{R}_d$ -circuit. Then  $|E| \geq d(d + 9)/2$ , with equality if and only if  $G = B_{d,d-1}$ .*

Jordán [15] characterises  $\mathcal{R}_d$ -rigid graphs with at most  $d+4$  vertices. He suggests in [15, Remark 1] that it may be possible to extend the characterisation to graphs on more than  $d+4$  vertices, but notes that the simple degree condition given in his characterisation may not be sufficient because of the existence of the double banana. Theorem 1 implies the following characterisation of  $\mathcal{R}_d$ -rigid graphs with at most  $d+6$  vertices. Our characterisation is in terms of  $d$ -tight subgraphs (which are defined in the next section).

**Corollary 3.** *Let  $G = (V, E)$  be a graph with  $d+1 \leq |V| \leq d+6$ . Then  $G$  is  $\mathcal{R}_d$ -rigid if and only if  $G$  has a  $d$ -tight,  $d$ -connected spanning subgraph  $H$  such that  $B_{d,d-1}, B_{d,d-2} \not\subseteq H$ .*

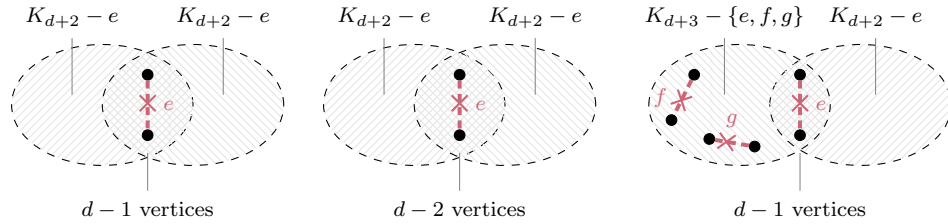


Figure 1: Graphs  $B_{d,d-1}$  on the left,  $B_{d,d-2}$  in the middle and  $G \in \mathcal{B}_{d,d-1}^+$  on the right.

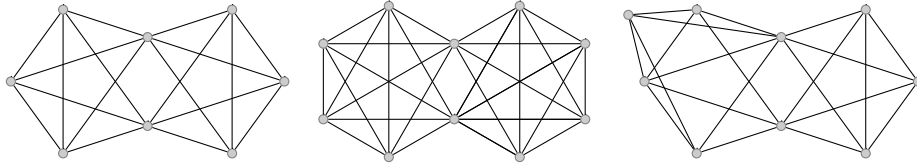


Figure 2: Graphs  $B_{3,2}$  on the left,  $B_{4,2}$  in the middle and  $G \in \mathcal{B}_{3,2}^+$  on the right.

We will prove Theorem 1 and Corollaries 2 and 3 in Section 3.

## 2 Preliminary Lemmas

We will introduce some standard terminology and results from rigidity theory. We assume throughout this section that  $d \geq 1$  is a fixed integer.

Given a vertex  $v$  in a graph  $G = (V, E)$ , we will use  $d_G(v)$  and  $N_G(v)$  to denote the degree and neighbour set respectively of  $v$ . For a set  $V' \subseteq V$ , we put  $N_G(V') = (\bigcup_{v \in V'} N_G(v)) - V'$ . We will use  $\delta(G)$  and  $\Delta(G)$  to denote the minimum and maximum degree, respectively, in  $G$ , and  $\text{dist}_G(x, y)$  to denote the length of a shortest path between two vertices  $x, y \in V$ . We

will suppress the subscript in these notations whenever the graph is clear from the context. The graph  $G$  is  $d$ -sparse if  $|E'| \leq d|V'| - \binom{d+1}{2}$  for all subgraphs  $G' = (V', E')$  of  $G$  with  $|V'| \geq d + 2$ . It is  $d$ -tight if it is  $d$ -sparse and has  $d|V| - \binom{d+1}{2}$  edges. Our first result [23, Lemma 11.1.3] shows that every  $\mathcal{R}_d$ -independent graph is  $d$ -sparse.

**Lemma 4.** *Let  $G = (V, E)$  be  $\mathcal{R}_d$ -independent with  $|V| \geq d + 2$ . Then  $|E| \leq d|V| - \binom{d+1}{2}$ .*

The characterisations of  $\mathcal{R}_d$ -independence when  $d \leq 2$  show that the converse of Lemma 4 holds for these values of  $d$ . The existence of flexible  $\mathcal{R}_d$ -circuits implies that the converse fails for all  $d \geq 3$ .

A graph  $G'$  is said to be obtained from another graph  $G$  by: a ( $d$ -dimensional) 0-extension if  $G = G' - v$  for a vertex  $v \in V(G')$  with  $d_{G'}(v) = d$ ; or a ( $d$ -dimensional) 1-extension if  $G = G' - v + xy$  for a vertex  $v \in V(G')$  with  $d_{G'}(v) = d + 1$  and  $x, y \in N_{G'}(v)$ . The inverse operations of 0-extension and 1-extension are called 0-reduction and 1-reduction, respectively.

**Lemma 5.** [23, Lemma 11.1.1, Theorem 11.1.7] *Let  $G$  be  $\mathcal{R}_d$ -independent and let  $G'$  be obtained from  $G$  by a 0-extension or a 1-extension. Then  $G'$  is  $\mathcal{R}_d$ -independent.*

We can use Lemma 5 to show that an extension operation which adds a copy of  $K_3$  preserves minimal rigidity.

**Lemma 6.** *Let  $G = (V, E)$  be a graph,  $\{V_1, V_2\}$  be a partition of  $V$  and put  $G_i = G[V_i]$  for  $i = 1, 2$ . Suppose  $G_1$  is minimally  $\mathcal{R}_d$ -rigid,  $G_2 \cong K_3$ , each vertex of  $G_2$  has  $d - 1$  neighbours in  $G_1$  and the set of all neighbours of the vertices of  $G_2$  in  $G_1$  has size at least  $d$ . Then  $G$  is minimally  $\mathcal{R}_d$ -rigid.*

*Proof.* Let  $V(G_2) = \{x, y, z\}$  and  $N_x, N_y, N_z$  denote the set of neighbours of  $x, y, z$  in  $G_1$ , respectively. Since  $|N_x \cup N_y \cup N_z| \geq d$  and  $|N_x| = |N_y| = |N_z| = d - 1$ , at most two of the sets  $N_x, N_y, N_z$  can be the same. Therefore, we may assume that either the sets  $N_x, N_y, N_z$  are all pairwise distinct, or  $N_x = N_y \neq N_z$  (by relabelling if necessary). This implies that the sets  $N_z \setminus N_x$  and  $N_y \setminus N_z$  are non-empty. Then  $G$  can be obtained from  $G_1$  as follows. We first perform a 0-extension which adds  $x$  and edges from  $x$  to its  $d - 1$  neighbours in  $N_x$  as well as  $w$  for some  $w \in N_z \setminus N_x$ . We next perform a 1-extension which deletes  $xw$ , and adds  $z$  and the edges from  $z$  to its  $d - 1$  neighbours in  $N_z$  as well as to  $x$  and  $u$  for some  $u \in N_y \setminus N_z$ . Finally we perform one more 1-extension which deletes  $zu$  and adds  $y$  and the edges from  $y$  to its  $d - 1$  neighbours in  $N_y$  as well as  $x$  and  $z$ . See Figure 3. Hence,  $G$  is  $\mathcal{R}_d$ -independent by Lemma 5. Minimal  $\mathcal{R}_d$ -rigidity now follows by a simple edge count.  $\square$

A ( $d$ -dimensional) vertex split of a graph  $G = (V, E)$  is the operation defined as follows: choose  $v \in V$ ,  $x_1, x_2, \dots, x_{d-1} \in N_G(v)$  and a partition  $N_1, N_2$  of pairwise disjoint sets  $N_1, N_2$  with  $N_1 \cup N_2 = N_G(v) \setminus \{x_1, x_2, \dots, x_{d-1}\}$ ; then delete  $v$  from  $G$  and add two new vertices  $v_1, v_2$  joined to  $N_1, N_2$ , respectively; finally add new edges  $v_1v_2, v_1x_1, v_2x_1, v_1x_2, v_2x_2, \dots, v_1x_{d-1}, v_2x_{d-1}$ .

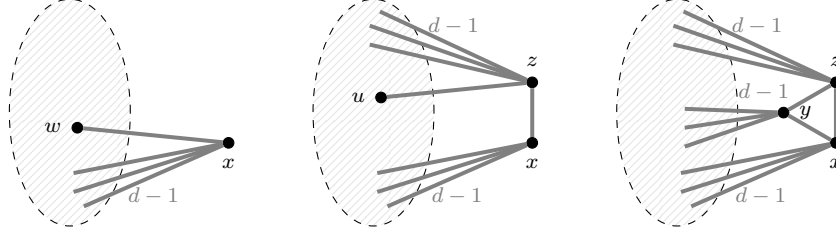


Figure 3: Construction of  $G$  in the proof of Lemma 6.

**Lemma 7.** [22, Proposition 10] *Let  $G$  be  $\mathcal{R}_d$ -independent and let  $G'$  be obtained from  $G$  by a vertex split. Then  $G'$  is  $\mathcal{R}_d$ -independent.*

Given a graph  $G$ , the *cone*  $G'$  of  $G$  is the graph obtained from  $G$  by adding a new vertex adjacent to every vertex of  $G$ .

**Lemma 8.** *Let  $G'$  be the cone of a graph  $G$ . Then:*

- (a)  $G$  is  $\mathcal{R}_d$ -rigid if and only if  $G'$  is  $\mathcal{R}_{d+1}$ -rigid [21];
- (b)  $G$  is an  $\mathcal{R}_d$ -circuit if and only if  $G'$  is an  $\mathcal{R}_{d+1}$ -circuit [9].

Our next two lemmas concern the operation of ‘gluing’ two graphs together.

**Lemma 9.** [23, Lemma 11.1.9] *Let  $G_1, G_2$  be subgraphs of a graph  $G$  and suppose that  $G = G_1 \cup G_2$ .*

- (a) *If  $|V(G_1) \cap V(G_2)| \geq d$  and  $G_1, G_2$  are  $\mathcal{R}_d$ -rigid then  $G$  is  $\mathcal{R}_d$ -rigid.*
- (b) *If  $G_1 \cap G_2$  is  $\mathcal{R}_d$ -rigid and  $G_1, G_2$  are  $\mathcal{R}_d$ -independent then  $G$  is  $\mathcal{R}_d$ -independent.*
- (c) *If  $|V(G_1) \cap V(G_2)| \leq d - 1$ ,  $u \in V(G_1) - V(G_2)$  and  $v \in V(G_2) - V(G_1)$  then  $r_d(G + uv) = r_d(G) + 1$ .*

Lemma 9(b) immediately implies that every  $\mathcal{R}_d$ -circuit  $G = (V, E)$  is 2-connected and that, if  $G - \{u, v\}$  is disconnected for some  $u, v \in V$ , then  $uv \notin E$ . Our next lemma gives more structural information for the case when  $G - \{u, v\}$  is disconnected.

Given three graphs  $G = (V, E)$ ,  $G_1 = (V_1, E_1)$ , and  $G_2 = (V_2, E_2)$ , we say that  $G$  is a *2-sum* of  $G_1, G_2$  along an edge  $e$  if  $G = (G_1 \cup G_2) - e$ ,  $G_1 \cap G_2 = K_2$  and  $e \in E_1 \cap E_2$ . Our next result shows that the 2-sum of  $G_1, G_2$  is an  $\mathcal{R}_d$ -circuit if and only if  $G_1, G_2$  are both  $\mathcal{R}_d$ -circuits. Its proof relies on the matroid circuit elimination axiom (which states that if  $C_1, C_2$  are distinct circuits in a matroid  $\mathcal{M}$  and  $e \in C_1 \cap C_2$  then  $(C_1 \cup C_2) - e$  contains a circuit of  $\mathcal{M}$ ).

**Lemma 10.** *Suppose that  $G = (V, E)$  is the 2-sum of  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$ . Then  $G$  is an  $\mathcal{R}_d$ -circuit if and only if  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -circuits.*

*Proof.* We first prove necessity. Suppose that  $G$  is an  $\mathcal{R}_d$ -circuit. If  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -independent then  $G + uv$  is  $\mathcal{R}_d$ -independent by Lemma 9(b), a contradiction since  $G$  is an  $\mathcal{R}_d$ -circuit. If exactly one of  $G_1$  and  $G_2$ , say  $G_1$ , is  $\mathcal{R}_d$ -independent then  $uv$  belongs to the unique  $\mathcal{R}_d$ -circuit contained in  $G_2$ . We may extend  $uv$  to a base of  $E_i$ , for  $i = 1, 2$ , and then apply Lemma 9(b) to obtain  $r_d(G + uv) = r_d(G_1) + r_d(G_2) - 1$ . Thus we have  $r_d(G) = r_d(G + uv) = |E_1| + |E_2| - 2 = |E|$ , a contradiction since  $G$  is an  $\mathcal{R}_d$ -circuit. Hence  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -dependent. Then the matroid circuit elimination axiom combined with the fact that  $G$  is an  $\mathcal{R}_d$ -circuit imply that  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -circuits.

We next prove sufficiency. Suppose that  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -circuits. The circuit elimination axiom implies that  $G$  is  $\mathcal{R}_d$ -dependent and hence that  $G$  contains an  $\mathcal{R}_d$ -circuit  $G' = (V', E')$ . Since  $G_i - uv$  is  $\mathcal{R}_d$ -independent for  $i = 1, 2$ , we have  $E' \cap E_i \neq \emptyset$ . This implies that  $G'$  is a 2-sum of  $G'_1 = (G_1 \cap G') + uv$  and  $G'_2 = (G_2 \cap G') + uv$ . The proof of necessity in the previous paragraph now tells us that  $G'_1$  and  $G'_2$  are both  $\mathcal{R}_d$ -circuits. Since  $G_i$  is an  $\mathcal{R}_d$ -circuit and  $G'_i \subseteq G_i$  we must have  $G'_i = G_i$  for  $i = 1, 2$  and hence  $G = G'$ .  $\square$

The special cases of Lemma 10 when  $d = 2, 3$  were proved by Berg and Jordán [3] and Tay [20], respectively.

We next obtain some results on the graphs in  $\{B_{d,d-1}\} \cup \{B_{d,d-1}\} \cup \mathcal{B}_{d,d-1}^+$ . The (*d-dimensional*) *degree of freedom* of a graph  $G = (V, E)$  with  $|V| \geq d + 1$  is defined to be the number  $d|V| - \binom{d+1}{2} - r_d(G)$ , i.e. the minimum number of edges we need to add to  $G$  to make it  $\mathcal{R}_d$ -rigid. We may apply Lemma 10 to the  $\mathcal{R}_3$ -circuit  $K_5$  to deduce that  $B_{3,2}$  is an  $\mathcal{R}_3$ -circuit which has 18 edges and one degree of freedom. The same argument applied to the  $\mathcal{R}_4$ -circuit  $K_6$  implies that  $B_{4,2}$  is an  $\mathcal{R}_4$ -circuit with 28 edges and three degrees of freedom. We can now use Lemma 8(b) to deduce that  $B_{d,d-1}$  is an  $\mathcal{R}_d$ -circuit with  $\frac{d(d+9)}{2}$  edges and one degree of freedom and that  $B_{d,d-2}$  is an  $\mathcal{R}_d$ -circuit with  $d(d+3)$  edges and three degrees of freedom, for all  $d \geq 4$ . Similarly, using the fact that  $K_{d+3} - \{f, g\}$  is a rigid  $\mathcal{R}_d$ -circuit when  $f, g$  are non-adjacent, we may apply Lemma 10 to the  $\mathcal{R}_3$ -circuits  $K_5$  and  $K_6 - \{f, g\}$ , for two non-adjacent edges  $f, g$ , to deduce that every graph in  $\mathcal{B}_{3,2}^+$  is an  $\mathcal{R}_3$ -circuit with 21 edges and one degree of freedom. We can then use Lemma 8(b) to deduce that if a graph from  $\mathcal{B}_{d,d-1}^+$  is obtained by coning, it is an  $\mathcal{R}_d$ -circuit unless  $f$  or  $g$  has an end-vertex in  $V_1 \cap V_2$ . Our next result extends this to all graphs in  $\mathcal{B}_{d,d-1}^+$ . Note that, since every graph in  $\mathcal{B}_{d,d-1}^+$  has one more vertex and  $d$  more edges than  $B_{d,d-1}$ , the fact that the graphs in  $\mathcal{B}_{d,d-1}^+$  are  $\mathcal{R}_d$ -circuits will imply that they each have  $\frac{d(d+9)}{2} + d$  edges and one degree of freedom.

**Lemma 11.** *Every graph in  $\{B_{d,d-1}, B_{d,d-2}\} \cup \mathcal{B}_{d,d-1}^+$  is an  $\mathcal{R}_d$ -circuit.*

*Proof.* We have already seen that  $B_{d,d-1}$  and  $B_{d,d-2}$  are  $\mathcal{R}_d$ -circuits. Let  $G \in \mathcal{B}_{d,d-1}^+$  and suppose that  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$ , and  $e, f, g$  are as in the definition of  $\mathcal{B}_{d,d-1}^+$ . Since  $G$  has  $d|V(G)| - \binom{d+1}{2}$  edges and is not  $\mathcal{R}_d$ -rigid (since it is not  $d$ -connected), it is  $\mathcal{R}_d$ -dependent.

We will complete the proof by showing that  $G - h$  is  $\mathcal{R}_d$ -independent for all edges  $h$  of  $G$ . If  $h$  is incident with a vertex  $x \in V_2 \setminus V_1$ , then we can reduce  $G - h$  to  $G_1 - \{e, f, g\}$  by recursively deleting vertices of degree at most  $d$  (starting from  $x$ ). Since  $G_1 - \{e, f, g\}$  is  $\mathcal{R}_d$ -independent, Lemma 5 and the fact that edge deletion preserves independence now imply that  $G - h$  is  $\mathcal{R}_d$ -independent. Thus we may assume that  $h \in E_2$ .

Suppose that  $f, g, h$  do not have a common end-vertex. Choose a vertex  $x \in V_2 \setminus V_1$  and let  $H = G - h - x + e$  be the graph obtained by applying a 1-reduction at  $x$ . We can reduce  $H$  to  $G_1 - \{f, g, h\}$  by recursively deleting vertices of degree at most  $d$ . Since  $f, g, h$  do not have a common end-vertex,  $G_1 - \{f, g, h\}$  is  $\mathcal{R}_d$ -independent. We can now use Lemma 5 to deduce that  $G - h$  is  $\mathcal{R}_d$ -independent.

Hence we may assume that  $f, g, h$  have a common end-vertex  $u$ . The definition of  $\mathcal{B}_{d,d-1}^+$  now implies that at least one of  $f$  and  $g$ , say  $f$ , is an edge of  $G_1 \cap G_2$ . Since  $e, f, g$  do not have a common end-vertex,  $e$  is not incident with  $u$  and hence  $e, g, h$  do not have a common end-vertex. We can now apply the argument in the previous paragraph with the roles of  $e$  and  $f$  reversed to deduce that  $G - h$  is  $\mathcal{R}_d$ -independent.  $\square$

**Lemma 12.** *Let  $G$  be a graph obtained from  $B_{d,d-1}$  by a 1-extension operation. Then either  $G$  is  $\mathcal{R}_d$ -rigid or  $G \in \mathcal{B}_{d,d-1}^+$ .*

*Proof.* Let  $v$  be the new vertex added by the 1-extension and consider  $B_{d,d-1} = (G_1 \cup G_2) - e$  where  $G_i \cong K_{d+2}$ ,  $G_1 \cap G_2 \cong K_{d-1}$  and  $e \in E(G_1 \cap G_2)$ . If  $N_G(v) \subseteq V(G_i)$  for some  $i = 1, 2$ , then  $G \in \mathcal{B}_{d,d-1}^+$ .

Hence, we may assume that there exist vertices  $v_1 \in N_G(v) \cap (V(G_1) \setminus V(G_2))$  and  $v_2 \in N_G(v) \cap (V(G_2) \setminus V(G_1))$ . Note that as  $v_1$  and  $v_2$  are on different sides of the cut set  $V(G_1) \cap V(G_2)$  of  $B_{d,d-1}$ , we have  $v_1 v_2 \notin E(B_{d,d-1})$ . Let  $f$  be the edge of  $B_{d,d-1}$  deleted by the 1-extension. We may use Lemma 9(c) to obtain

$$r_d(B_{d,d-1} - f + v_1 v_2) = r_d(B_{d,d-1} + v_1 v_2) = r_d(B_{d,d-1}) + 1.$$

Since  $B_{d,d-1}$  has one degree of freedom, this implies that  $B_{d,d-1} - f + v_1 v_2$  is  $\mathcal{R}_d$ -rigid. We may now use the fact that  $G$  can be obtained from  $B_{d,d-1} - f + v_1 v_2$  by a 1-extension operation on the edge  $v_1 v_2$  and Lemma 5 to conclude that  $G$  is  $\mathcal{R}_d$ -rigid.  $\square$

Our last two lemmas are rather technical results which we will need in our proof of Theorem 1.

**Lemma 13.** (a) *Every 6-regular graph on 10 vertices is  $\mathcal{R}_4$ -independent.*

(b) Every 12-regular graph on 15 vertices is  $\mathcal{R}_9$ -independent.

*Proof.* There are 21 6-regular graphs on 10 vertices (see OEIS [12] sequence A165627 for the count and references to lists for download). The number of 12-regular graphs on 15 vertices is 17. These can be obtained from the fact that the complement of a 12-regular graph on 15 vertices is a 2-regular graph on 15 vertices, i. e. a graph consisting of disjoint cycles.

Now we need to show that these graphs are indeed  $\mathcal{R}_d$ -independent in the stated dimensions. We can do so with the help of any computer algebra system. For each graph, we choose a vector  $p \in \mathbb{R}^{|V|}$  and compute the rank of  $R(G, p)$ . We know that as soon as we find a  $p$  such that  $\text{rank } R(G, p) = |E(G)|$ , we will have  $\text{rank } R(G, q) = |E(G)|$  for all generic  $q$ . We did this by taking a random choice for  $p$  and checking that  $\text{rank } R(G, p) = |E(G)|$ . (Due to generic rigidity, almost every random choice will do.)  $\square$

**Lemma 14.** *Suppose that  $G = (V, E)$  is a graph with  $|V| \geq 11$ , minimum degree two and maximum degree three. Then there exist vertices  $x, y \in V$  with  $d(x) = 2$ ,  $d(y) = 3$  and  $\text{dist}(x, y) \geq 3$ .*

*Proof.* Assume  $G = (V, E)$  is a counterexample to the lemma. Choose a vertex  $v \in V$  of degree 2. Then there are at most 6 vertices at distance 1 or 2 from  $v$ . Hence  $G$  has at most 6 vertices of degree 3. Now choose a vertex  $u \in V$  of degree 3. Each neighbour of  $u$  is either a vertex of degree 2 which has at most one other neighbour of degree 2 or a vertex of degree 3 which has at most two other neighbours of degree 2. Therefore  $G$  has at most 6 vertices of degree 2. If there does not exist 6 vertices of degree 3 in  $G$  then the number of vertices of degree 3 in  $G$  is at most 4 by parity, and we would have  $|V| \leq 10$ . Hence there are exactly 6 vertices of degree 3 and  $v$  is adjacent to two vertices of degree 3. Since  $v$  is an arbitrary vertex of degree two, every vertex of degree 2 is adjacent to two vertices of degree 3. Now choose  $w$  to be a vertex of degree 3 at distance 2 from  $v$  and a vertex  $y \neq v$ , of degree 2, not adjacent to  $w$ . Then  $\text{dist}(w, y) \geq 3$ .  $\square$

### 3 Main results

We will prove Theorem 1, Corollary 2 and Corollary 3.

#### 3.1 Proof of Theorem 1

We proceed by contradiction. Suppose the theorem is false and choose a counterexample  $G = (V, E)$  such that  $d$  is as small as possible and, subject to this condition,  $|V|$  is as small as possible. Since all  $\mathcal{R}_d$ -circuits are  $\mathcal{R}_d$ -rigid when  $d \leq 2$ , we have  $d \geq 3$ . Since  $G$  is an  $\mathcal{R}_d$ -circuit,  $G - v$  is  $\mathcal{R}_d$ -independent for all  $v \in V$ , and we can now use the fact that



0-extension preserves  $\mathcal{R}_d$ -independence (by Lemma 5) to deduce that  $\delta(G) \geq d + 1$ . Since  $G$  is a flexible  $\mathcal{R}_d$ -circuit,  $G$  is  $d$ -sparse by Lemma 4.

**Case 1:  $d(v) = d + 1$  for some  $v \in V$ .**

Since  $G$  does not contain the rigid  $\mathcal{R}_d$ -circuit  $K_{d+2}$ ,  $v$  has two non-adjacent neighbours  $v_1, v_2$ . If  $H = G - v + v_1v_2$  was  $\mathcal{R}_d$ -independent then  $G$  would be  $\mathcal{R}_d$ -independent by Lemma 5. Hence  $H$  contains an  $\mathcal{R}_d$ -circuit  $C$ . Since  $C$  has at most  $d + 5$  vertices, the minimality of  $G$  implies that either  $C$  is  $\mathcal{R}_d$ -rigid, or  $C = B_{d,d-1}$  and  $C$  is a spanning subgraph of  $H$ . If the latter alternative occurs then Lemma 12 would imply that  $G$  contains a circuit  $C' \in \mathcal{B}_{d,d-1}^+$  and we would contradict the choice of  $G$ . Hence  $C$  is  $\mathcal{R}_d$ -rigid and  $G$  contains the minimally  $\mathcal{R}_d$ -rigid subgraph  $C - v_1v_2$ . Since  $C$  is a rigid  $\mathcal{R}_d$ -circuit, we have  $|V(C)| \geq d + 2$ . Let  $G'$  be a minimally  $\mathcal{R}_d$ -rigid subgraph of  $G$  with at least  $d + 2$  vertices, which is maximal with respect to inclusion, and put  $X = V(G) \setminus V(G')$ . Then  $1 \leq |X| \leq 4$ . If some vertex in  $X$  had at least  $d$  neighbours in  $G'$ , then we could create a larger  $\mathcal{R}_d$ -rigid subgraph by performing a 0-extension. Hence each  $x \in X$  has at most  $d - 1$  neighbours in  $G'$ . Since  $G$  has minimum degree at least  $d + 1$ , each  $x \in X$  has at least two neighbours in  $X$  and we have  $3 \leq |X| \leq 4$ .

**Subcase 1.1:**  $|X| = 3$ . Then  $G[X] = K_3$ . In addition,  $G'$  is a minimally rigid graph on  $d + 2$  or  $d + 3$  vertices so either  $G' = K_{d+2} - e$  for some edge  $e$ , or  $G' = K_{d+3} - \{e, f, g\}$  for some edges  $e, f, g$  which are not all incident with the same vertex. If  $|N_G(X)| \geq d$  then we could construct an  $\mathcal{R}_d$ -rigid spanning subgraph of  $G$  by Lemma 6. Hence  $|N_G(X)| = d - 1$ . Since  $G$  does not contain a copy of  $K_{d+2}$ , at least one edge, say  $e$ , with its end-vertices in  $N_G(X)$  is missing from  $G$ . This gives  $G = B_{d,d-1}$  when  $G' = K_{d+2} - e$ , so we must have  $G' = K_{d+3} - \{e, f, g\}$ . Since  $G \notin \mathcal{B}_{d,d-1}^+$ ,  $f$  and  $g$  have a common end-vertex  $u$ , and are both have at least one endvertex in  $V(G') \setminus N_G(X)$ . Since  $\delta(G) \geq d + 1$ , we must have  $u \in N_G(X)$ . Then the graph obtained from  $G$  by deleting all the edges from  $u$  to its neighbours in  $V(G') \setminus N_G(X)$  is a copy of  $B_{d,d-2}$  in  $G$ . This contradicts the choice of  $G$ .

**Subcase 1.2:**  $|X| = 4$ . Then  $C_4 \subseteq G[X] \subseteq K_4$  and  $|V(G')| = d + 2$ . Since  $G'$  is minimally rigid, we have  $G' = K_{d+2} - e$  for some edge  $e$ .

**Claim 15.**  $N_G(X) = V(G')$ .

*Proof of claim.* Suppose, for a contradiction, that  $N_G(X) \neq V(G')$ . Let  $Y = X \cup N_G(X)$ . Then  $G[Y]$  is a proper subgraph of  $G$  so is  $\mathcal{R}_d$ -independent. If  $G[N_G(X)]$  was complete, then  $G$  would be  $\mathcal{R}_d$ -independent by Lemma 9(b), since  $G = G' \cup G[Y]$ ,  $G'$  and  $G[Y]$  are  $\mathcal{R}_d$ -independent, and  $G' \cap G[Y] = G[N_G(X)]$  is complete. Hence  $G[N_G(X)]$  is not complete. Since  $G' = K_{d+2} - e$ , this implies that both end-vertices of  $e$  belong to  $N_G(X)$ . Choose a vertex  $w \in V(G') \setminus N_G(X)$  and an edge  $f$  of  $G'$  which is incident with  $w$ . Consider the graph  $G'' = G + e - f$ .

Suppose  $G''[Y]$  is  $\mathcal{R}_d$ -independent. Since  $G''[N_G(X)]$  induces a complete graph, we can use Lemma 9(b) as above to deduce that  $G''$  is  $\mathcal{R}_d$ -independent. Then  $G' + e = K_{d+2}$  is the unique  $\mathcal{R}_d$ -circuit in  $G'' + f$  and hence  $G = G'' + f - e$  is  $\mathcal{R}_d$ -independent. This contradicts the choice of  $G$ . Hence  $G''[Y]$  is  $\mathcal{R}_d$ -dependent.

Let  $C$  be an  $\mathcal{R}_d$ -circuit in  $G''[Y]$ . Since  $G'' - e = G - f$  is a proper subgraph of  $G$  and  $G$  is an  $\mathcal{R}_d$ -circuit, we have  $e \in E(C)$ . We also have  $w \notin V(C)$  since  $w \notin Y$ .

Suppose  $C = B_{d,d-1}$ . Then  $V(C) = V(G'') \setminus \{w\} = V(G) \setminus \{w\}$ . Since  $E(C) \setminus E(G) = \{e\}$ , we may apply a 1-extension to  $C$  by adding  $w$  and its  $d + 1$  neighbours in  $G$  and deleting  $e$ , to obtain a spanning subgraph of  $G$ . By Lemma 12, this spanning subgraph of  $G$  is either  $\mathcal{R}_d$ -rigid (implying that  $G$  is  $\mathcal{R}_d$ -rigid) or it is a member of  $\mathcal{B}_{d,d-1}^+$ . Both of these possibilities contradict the choice of  $G$ . Thus  $C \neq B_{d,d-1}$  and the minimality of  $G$  now implies that  $C$  is rigid.

Since  $G' + e = K_{d+2}$  and  $e \in E(C) \cap E(G' + e)$ , the matroid circuit elimination axiom implies that  $(C - e) \cup G'$  is  $\mathcal{R}_d$ -dependent. Since  $(C - e) \cup G' \subseteq G$ , we must have  $(C - e) \cup G' = G$ . This implies that  $X$  and all edges of  $G$  incident to  $X$  are contained in  $C$ . Thus  $N_G(X) \subset V(C)$ . If  $|N_G(X)| \geq d$ , then  $G = G' \cup (C - e)$  would be rigid by Lemma 9(a). Hence  $|N_G(X)| \leq d - 1$ . If  $|N_G(X)| = d - 2$ , then  $C = K_{d+2}$  and  $G = B_{d,d-2}$ . Hence  $|N_G(X)| = d - 1$ . Then  $C = K_{d+3} - f - g$  for two non-adjacent edges  $f, g$  and  $G \in \mathcal{B}_{d,d-1}^+$ . This contradicts the choice of  $G$  and completes the proof of the claim.  $\square$

Suppose  $G[X] = C_4$ . Since  $\delta(G) = d + 1$  and no vertex of  $X$  has more than  $d - 1$  neighbours in  $G'$ , each vertex of  $X$  has degree  $d + 1$  in  $G$ . Claim 15 and the facts that  $|N_G(X)| = |V(G')| = d + 2$  and each vertex of  $X$  has  $d - 1$  neighbours in  $V(G')$ , imply that there exists a vertex  $u \in X$  such that  $|N_G(X - u) \cap V(G')| \geq d$ . We can perform a 1-reduction of  $G$  which deletes  $u$  and adds an edge between the two neighbours of  $u$  in  $X$ . We can now apply Lemma 6 to the resulting graph  $H$  on  $d + 5$  vertices to deduce that  $H$  is  $\mathcal{R}_d$ -rigid. This implies that  $G$  is  $\mathcal{R}_d$ -rigid and contradicts the choice of  $G$ . Hence  $G[X] \neq C_4$ .

Suppose  $G[X] = C_4 + f$  for some edge  $f = wx$ . Then  $w$  and  $x$  have degree  $d + 1$  or  $d + 2$  in  $G$  and the vertices in  $X \setminus \{w, x\}$  have degree  $d + 1$ . If  $d_G(w) = d_G(x) = d + 2$  then  $G$  would have more than  $d|V| - \binom{d+1}{2}$  edges, so could not be a flexible  $\mathcal{R}_d$ -circuit. Hence we may assume that  $d_G(w) = d + 1$ . Construct  $H$  from  $G$  by performing a 1-reduction which deletes  $w$  and adds an edge between the two non-adjacent neighbours of  $w$  in  $X$ . If  $d_G(x) = d + 1$ , then  $x$  would have degree  $d$  in  $H$  and we could reduce  $H$  to  $G'$  by recursively deleting the remaining three vertices of  $X$  beginning with  $x$ , so that every deleted vertex has degree at most  $d$ . Since  $G'$  is  $\mathcal{R}_d$ -independent this would imply that  $G$  is  $\mathcal{R}_d$ -independent and contradict the choice of  $G$ . Hence  $d_G(x) = d + 2$ . We can now apply Lemma 6 to deduce that either  $H$  is  $\mathcal{R}_d$ -rigid or  $|N_G(X - w) \cap V(G')| = d - 1$  and  $H$  is  $B_{d,d-1}$ . The first alternative would imply that  $G$  is  $\mathcal{R}_d$ -rigid, and the second alternative would imply that either  $G$  is  $\mathcal{R}_d$ -rigid or  $G \in \mathcal{B}_{d,d-1}^+$  by Lemma 12. Both alternatives contradict the choice

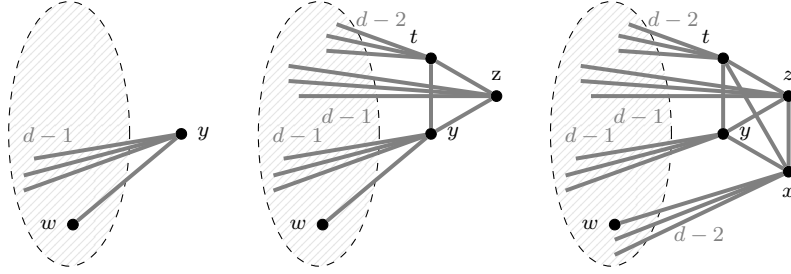


Figure 4: Construction of  $\hat{G}$  in the proof of Case 1.

of  $G$ .

Hence  $G[X] \neq C_4$ . Then each vertex in  $X$  has degree  $d + 1$  or  $d + 2$  in  $G$ . In addition, at most two vertices of  $X$  can have degree  $d + 2$  in  $G$ , otherwise  $G$  would have more than  $d|V| - \binom{d+1}{2}$  edges and could not be a flexible circuit. Let  $\hat{G}$  be obtained from  $G$  by adding edges from vertices in  $X$  to vertices in  $G'$  in such a way that  $X$  has exactly two vertices of degree  $d + 1$  and exactly two vertices of degree  $d + 2$  in  $\hat{G}$ . We will show that  $G$  is  $\mathcal{R}_d$ -independent by proving that  $\hat{G}$  is minimally  $\mathcal{R}_d$ -rigid.

Since  $N_{\hat{G}}(X) = V(G')$  by Claim 15, we may choose vertices  $x, y \in X$  such that  $x$  has degree  $d + 1$ ,  $y$  has degree  $d + 2$  and some vertex  $w \in V(G')$  is a neighbour of  $x$  in  $\hat{G}$  but not  $y$ . Let  $X = \{x, y, z, t\}$  where  $z$  has degree  $d + 2$  and  $t$  has degree  $d + 1$  in  $\hat{G}$ . We can construct  $\hat{G}$  from  $G'$  by first performing a 0-extension which adds  $y$  and all edges from  $y$  to its neighbours in  $G'$  as well as to  $w$ , then add  $z$  and then  $t$  by successive 0-extensions, and finally add  $x$  by a 1-extension which removes the edge  $yw$ . see Figure 4. Since  $G'$  is minimally  $\mathcal{R}_d$ -rigid this implies that  $\hat{G}$  is also minimally  $\mathcal{R}_d$ -rigid. This contradicts the fact that  $G$  is an  $\mathcal{R}_d$ -circuit and completes the proof of Case 1.

**Case 2:  $\delta(G) \geq d + 2$ .**

Choose  $v \in V$  with  $d(v) = \Delta(G)$ . If  $G - v$  was  $\mathcal{R}_{d-1}$ -independent then  $G$  would be  $\mathcal{R}_d$ -independent by Lemma 8. This is impossible since  $G$  is an  $\mathcal{R}_d$ -circuit. Hence  $G - v$  contains an  $\mathcal{R}_{d-1}$ -circuit  $C$ . By the minimality of  $d$ ,  $C$  is  $\mathcal{R}_{d-1}$ -rigid or  $C \in \{B_{d-1,d-2}, B_{d-1,d-3}\} \cup \mathcal{B}_{d-1,d-2}^+$ .

**Claim 16.**  $G - v$  is  $\mathcal{R}_{d-1}$ -rigid.

*Proof of Claim.* We first consider the case when  $C \in \{B_{d-1,d-3}\} \cup \mathcal{B}_{d-1,d-2}^+$ . Then  $C$  has  $d + 5$  vertices so is a spanning subgraph of  $G - v$ . We have seen that every graph in  $\mathcal{B}_{d-1,d-2}^+$  has one degree of freedom and has three vertices of degree  $d$  on the smaller side of its  $(d - 2)$ -separation, and that  $B_{d-1,d-3}$  has three degrees of freedom and has four vertices of degree  $d$  on each side of its  $(d - 3)$ -separation. These observations and the facts that

$\delta(G - v) \geq d + 1$  and each nontrivial infinitesimal motion of a generic realisation of  $C$  is an infinitesimal rotation about the affine subspace which contains its separating set of size  $d - 2$ , respectively  $d - 3$ , imply that we can add edges of  $G - v$  to  $C$  which cross the separating set to make it  $\mathcal{R}_{d-1}$ -rigid. Hence  $G - v$  is  $\mathcal{R}_{d-1}$ -rigid.

We next consider the case when  $C = B_{d-1,d-2}$ . If  $C$  is a spanning subgraph of  $G$  then we can proceed as in the previous paragraph to deduce that  $G - v$  is  $\mathcal{R}_{d-1}$ -rigid. So we may assume that this is not the case. Then  $(G - v) \setminus C$  has exactly one vertex  $u$ . Since  $d_{G-v}(u) \geq d + 1$ ,  $G - v$  is  $\mathcal{R}_{d-1}$ -rigid unless all neighbours of  $u$  belong to the same copy of  $K_{d+1} - e$  in  $B_{d-1,d-2}$ . Suppose the second alternative occurs and let  $H$  be the spanning subgraph of  $G - v$  obtained by adding  $u$  and all its incident edges to  $B_{d-1,d-2}$ . Then  $H$  has one degree of freedom and the smaller side of the  $(d - 2)$ -separation of  $H$  contains vertices which have degree  $d$  in  $H$  and degree at least  $d + 1$  in  $G - v$ . We can now add an edge of  $G - v$  to  $H$  which crosses its  $(d - 2)$ -separator to make it  $\mathcal{R}_{d-1}$ -rigid. Hence  $G - v$  is  $\mathcal{R}_{d-1}$ -rigid.

It remains to consider the case when  $C$  is  $\mathcal{R}_{d-1}$ -rigid. Then  $|V(C)| \geq d + 1$ . Let  $H$  be a maximal  $\mathcal{R}_{d-1}$ -rigid subgraph of  $G - v$  containing  $C$ . Suppose  $H \neq G - v$  and note that  $(G - v) - H$  has at most 4 vertices. Since each vertex of  $(G - v) - H$  has at most  $d - 2$  neighbours in  $H$  and  $\delta(G - v) \geq d + 1$  we have  $(G - v) - H = K_4$  and  $H = C = K_{d+1}$ . We can now apply Lemma 6 to a minimally rigid spanning subgraph of  $H$ , and to each  $K_3$  in  $(G - v) - H$ , in order to deduce that all vertices of  $(G - v) - H$  are adjacent to the same set of  $d - 2$  vertices of  $H$ . This cannot occur since every vertex of  $H$  which is not joined to a vertex of  $G - v - H$  would have degree at most  $d + 1$  in  $G$ , contradicting the assumption of Case 2. Hence  $H = G - v$  and  $G - v$  is  $\mathcal{R}_{d-1}$ -rigid.  $\square$

Let  $(G - v)^*$ , respectively  $C^*$ , be obtained from  $G - v$ , respectively  $C$ , by adding  $v$  and all edges from  $v$  to the vertices of  $G - v$ , respectively  $C$ . Then  $(G - v)^*$  is  $\mathcal{R}_d$ -rigid by Claim 16 and Lemma 8, and, when  $C$  is  $\mathcal{R}_{d-1}$ -rigid,  $C^*$  is an  $\mathcal{R}_d$ -circuit by Lemma 8(b).

Let  $S$  be the set of all edges of  $G^*$  which are not in  $G$ . Since  $C^*$  is rigid or  $C^* \in \{B_{d,d-1}, B_{d,d-2}\} \cup \mathcal{B}_{d,d-1}^+$ ,  $C^*$  is not an  $\mathcal{R}_d$ -circuit in  $G$ . Hence  $E(C^*) \cap S \neq \emptyset$ . If  $|S| = 1$ , say  $S = \{f\}$ , then  $\tilde{G} = (G - v)^* - f$  would be  $\mathcal{R}_d$ -rigid since  $(G - v)^*$  is  $\mathcal{R}_d$ -rigid and  $f \in E(C^*)$ . Hence  $|S| \geq 2$  and  $\Delta(G) = d(v) \leq |V| - 3$ . Let  $\tilde{G}$  be the complement of  $G$ .

Suppose  $|V| \leq d + 5$ . Then, since  $d + 2 \leq \delta(G) \leq \Delta(G) \leq |V| - 3$ , we have  $|V| = d + 5$  and  $G$  is  $(d + 2)$ -regular. This implies that  $\tilde{G}$  is a 2-regular graph on  $d + 5 \geq 8$  vertices and we may choose two non-adjacent vertices  $v_1, v_2$  with no common neighbours in  $\tilde{G}$ . Then  $v_1 v_2 \in E$  and  $|N_G(v_1) \cap N_G(v_2)| = d - 1$ . We can use the facts that  $G$  is  $d$ -sparse,  $(d + 2)$ -regular and  $|V| = d + 5$  to deduce that  $G/v_1 v_2$  is  $d$ -sparse. (If not, then some set  $X \subseteq V(G/v_1 v_2)$  induces more than  $d|X| - \binom{d+1}{2}$  edges. Then  $|X| \geq d + 2$  and the fact that each vertex of  $V(G/v_1 v_2) \setminus X$  has degree at least  $d + 1$  implies that  $G/v_1 v_2$  has more than  $d|V(G/v_1 v_2)| - \binom{d+1}{2}$  edges. This contradicts the fact that  $G$  is a flexible  $\mathcal{R}_d$ -circuit so has at most  $d|V(G)| - \binom{d+1}{2}$  edges.) Since  $|V(G/v_1 v_2)| = d + 4$ ,  $G/v_1 v_2$  has no flexible  $\mathcal{R}_d$ -circuits

by the minimality of  $G$ . Hence  $G/v_1v_2$  is  $\mathcal{R}_d$ -independent. Since  $|N_G(v_1) \cap N_G(v_2)| = d-1$ , we can now use Lemma 7 to deduce that  $G$  is  $\mathcal{R}_d$ -independent and contradict the choice of  $G$ .

Hence  $|V| = d+6$ . Since  $\delta(G) \geq d+2$  and  $\Delta(G) \leq d+3$  we have  $\delta(\bar{G}) \geq 2$  and  $\Delta(\bar{G}) \leq 3$ . We can now complete the proof of the theorem by considering three subcases.

**Subcase 2.1:  $\delta(\bar{G}) = 2$  and  $\Delta(\bar{G}) = 3$ .** In this case there exist two vertices  $x, y \in V$  with  $d_{\bar{G}}(x) = 2$ ,  $d_{\bar{G}}(y) = 3$  and  $\text{dist}_{\bar{G}}(x, y) \geq 3$  by Lemma 14. Hence  $|N_G(x) \cap N_G(y)| = d-1$  and we can deduce as in the previous paragraph that  $G/xy$  is  $d$ -sparse.

Suppose  $G/xy$  contains an  $\mathcal{R}_d$ -circuit. Then  $G/xy = B_{d,d-1}$  by the minimality and  $d$ -sparsity of  $G$ . Since  $B_{d,d-1}$  has  $d-3$  vertices of degree  $d+4$  and only the vertex obtained by contracting  $xy$  has degree  $d+4$  in  $G/xy$  we must have  $d=4$ . And when  $d=4$ , the fact that  $\delta(G) = 6$  would imply that  $x$  and  $y$  are adjacent in  $G$  to each of the six vertices of degree five in  $G/xy$ . Since they are also adjacent to each other this contradicts the fact that  $d_G(y) = d+2 = 6$ .

Hence  $G/v_1v_2$  is  $\mathcal{R}_d$ -independent. Since  $|N_G(v_1) \cap N_G(v_2)| = d-1$ , we can now use Lemma 7 to deduce that  $G$  is  $\mathcal{R}_d$ -independent and contradict the choice of  $G$ .

**Subcase 2.2:  $\bar{G}$  is 2-regular.** In this case we have  $|S| = 2$  and  $G$  is  $(d+3)$ -regular. The fact that  $(G-v)^*$  is  $\mathcal{R}_d$ -rigid and contains at least two  $\mathcal{R}_d$ -circuits ( $G$  and  $C^*$ ) tells us that  $|E((G-v)^*)| \geq d|V(G)| - \binom{d+1}{2} + 2$ . Since  $|E| = |E((G-v)^*)| - |S|$  and  $G$  is  $d$ -sparse this gives

$$\frac{(d+3)(d+6)}{2} = |E| = d|V(G)| - \binom{d+1}{2} = \frac{d(d+11)}{2}.$$

This implies that  $d=9$  and  $|V|=15$ . We can now use Lemma 13(b) to deduce that  $G$  is  $\mathcal{R}_9$ -independent, contradicting the fact that  $G$  is an  $\mathcal{R}_9$ -circuit.

**Subcase 2.3:  $\bar{G}$  is 3-regular.** In this case we have  $|S| = 3$  and  $G$  is  $(d+2)$ -regular. Since  $(G-v)^*$  is  $\mathcal{R}_d$ -rigid and contains at least two  $\mathcal{R}_d$ -circuits we can deduce as in the previous subcase that  $|E(G)| \geq d|V| - \binom{d+1}{2} - 1$ . The fact that  $G$  is  $d$ -sparse now gives

$$\frac{(d+2)(d+6)}{2} = |E| = d|V| - \binom{d+1}{2} - \alpha = \frac{d(d+11)}{2} - \alpha$$

for some  $\alpha = 0, 1$ . This implies that  $\alpha = 0$ ,  $d = 4$  and  $|V| = 10$ . We can now use Lemma 13(a) to deduce that  $G$  is  $\mathcal{R}_4$ -independent, contradicting the fact that  $G$  is an  $\mathcal{R}_4$ -circuit.  $\square$

### 3.2 Proof of Corollary 2

The corollary follows immediately from Theorem 1 if  $|V| \leq d+6$ . Since  $\delta(G) \geq d+1$  we have  $|E| > d(d+9)/2$  when either  $|V| \geq d+8$ , or  $|V| = d+7$  and  $\delta(G) \geq d+2$ . Hence we may assume that  $|V| = d+7$  and  $\delta(G) = d+1$ . Choose a vertex  $v$  with  $d(v) = d+1$ . Then  $v$

has two non-adjacent neighbours  $v_1, v_2$  since otherwise  $G$  would contain the rigid  $\mathcal{R}_d$ -circuit  $K_{d+2}$ . Let  $H = G - v + v_1v_2$ . If  $H$  was  $\mathcal{R}_d$ -independent then  $G$  would be  $\mathcal{R}_d$ -independent by Lemma 5. Hence  $H$  contains an  $\mathcal{R}_d$ -circuit  $C$ . If  $C$  is flexible then Theorem 1 implies that  $C \in \{B_{d,d-1}, B_{d,d-2}\} \cup \mathcal{B}_{d,d-1}^+$  and hence  $|E| > |E(C)| \geq d(d+9)/2$ . Thus we may assume that  $C$  is  $\mathcal{R}_d$ -rigid. Then  $C - v_1v_2$  is an  $\mathcal{R}_d$ -rigid subgraph with at least  $d+2$  vertices. Let  $X = V(G) \setminus V(C)$  and let  $E(X, V \setminus X)$  be the set of edges with one endvertex in  $X$  and one in  $V \setminus X$ . Then  $1 \leq |X| \leq 5$ . Since  $\delta(G) = d+1$  and  $|X| \leq 5$  we have

$$\begin{aligned} |E| &= |E(C - v_1v_2)| + |E(X)| + |E(X, V \setminus X)| \\ &\geq d|V \setminus X| - \binom{d+1}{2} + \binom{|X|}{2} + |X|(d+1 - |X| + 1) \\ &= d|V| - \binom{d+1}{2} - \frac{|X|(|X| - 3)}{2} \\ &\geq \frac{d(d+13)}{2} - 5. \end{aligned}$$

We can now use the fact that  $d \geq 3$  to deduce that  $|E| > d(d+9)/2$ .  $\square$

### 3.3 Proof of Corollary 3

Suppose  $G = (V, E)$  is  $\mathcal{R}_d$ -rigid. Then  $r_d(G) = d|V| - \binom{d+1}{2}$ . Let  $H = (V, F)$  be a maximal  $\mathcal{R}_d$ -independent subgraph of  $G$ . Then  $|F| = r_d(H) = d|V| - \binom{d+1}{2}$ ,  $H$  is  $d$ -tight and  $B_{d,d-1}, B_{d,d-2} \not\subseteq H$  by Lemma 11. In addition  $H$  is  $d$ -connected by Lemma 9(c).

Conversely, suppose that  $G$  has a spanning subgraph  $H = (V, F)$  which satisfies the hypotheses of the statement. Since  $H$  is  $d$ -tight, it is  $d$ -sparse and hence does not contain any  $\mathcal{R}_d$ -rigid circuits. If  $C \subseteq H$  for some  $\mathcal{B}_{d,d-1}^+$  then we would have  $C = H$  since  $C$  is  $d$ -tight and  $|V(C)| = d+6 \geq |V(G)|$ . This would contradict the hypothesis that  $H$  is  $d$ -connected so  $H$  contains no graph in  $\mathcal{B}_{d,d-1}^+$ . Theorem 1 combined with the hypothesis that  $B_{d,d-1}, B_{d,d-2} \not\subseteq H$  now implies that  $H$  does not contain any flexible  $\mathcal{R}_d$ -circuits. Hence  $H$  is  $\mathcal{R}_d$ -independent. Since  $H$  is  $d$ -tight, it is  $\mathcal{R}_d$ -rigid. This and the hypothesis that  $H$  is a spanning subgraph of  $G$  imply that  $G$  is  $\mathcal{R}_d$ -rigid.  $\square$

## 4 Closing Remarks

We briefly consider some possible extensions of our results.

### 4.1 Generalised 2-sums

Let  $G = (V, E)$ ,  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  be graphs. We say that  $G$  is a  $t$ -sum of  $G_1, G_2$  along an edge  $e$  if  $G = (G_1 \cup G_2) - e$ ,  $G_1 \cap G_2 = K_t$  and  $e \in E_1 \cap E_2$ . We conjecture that Lemma 10 can be extended to  $t$ -sums.

**Conjecture 17.** *Suppose that  $G$  is a  $t$ -sum of  $G_1, G_2$  along an edge  $e$  for some  $2 \leq t \leq d+1$ . Then  $G$  is an  $\mathcal{R}_d$ -circuit if and only if  $G_1, G_2$  are  $\mathcal{R}_d$ -circuits.*

Our proof technique for Lemma 10 gives the following partial result.

**Lemma 18.** *Let  $G = (V, E)$ ,  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  be graphs such that  $G$  is a  $t$ -sum of  $G_1, G_2$  along an edge  $e$  for some  $2 \leq t \leq d+1$ .*

- (a) *If  $G$  is an  $\mathcal{R}_d$ -circuit, then  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -circuits.*
- (b) *If  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -circuits, then  $G$  contains a unique  $\mathcal{R}_d$ -circuit  $G'$  and  $E \setminus (E_1 \cap E_2) \subseteq E(G')$ .*

*Proof.* (a) If  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -independent, then Lemma 9(b) implies that  $G_1 \cup G_2$  is  $\mathcal{R}_d$ -independent. This contradicts the facts that  $G$  is an  $\mathcal{R}_d$ -circuit and  $G \subseteq G_1 \cup G_2$ . If exactly one of  $G_1$  and  $G_2$ , say  $G_1$ , is  $\mathcal{R}_d$ -independent then  $e$  belongs to the unique  $\mathcal{R}_d$ -circuit in  $G_2$  and Lemma 9(b) gives  $r_d(G) = r_d(G + e) = |E_1| + |E_2| - \binom{t}{2} - 1 = |E|$ . This again contradicts the hypothesis that  $G$  is an  $\mathcal{R}_d$ -circuit. Hence  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -dependent. Then the matroid circuit elimination axiom combined with the fact that  $G$  is an  $\mathcal{R}_d$ -circuit imply that  $G_1$  and  $G_2$  are both  $\mathcal{R}_d$ -circuits.

(b) The circuit elimination axiom implies that  $G$  is  $\mathcal{R}_d$ -dependent and hence that  $G$  contains an  $\mathcal{R}_d$ -circuit  $G' = (V', E')$ . Since  $G_i - e$  is  $\mathcal{R}_d$ -independent for  $i = 1, 2$ , we have  $E' \setminus E_i \neq \emptyset$ . Let  $G'_i$  be obtained from  $G_i \cap G'$  by adding an edge between every pair of non-adjacent vertices in  $V' \cap V_1 \cap V_2$ . If  $G'_i$  is a proper subgraph of  $G_i$  for  $i = 1, 2$  then each  $G'_i$  is  $\mathcal{R}_d$ -independent and we can use Lemma 9(b) to deduce that  $G'_1 \cup G'_2$  is  $\mathcal{R}_d$ -independent. This gives a contradiction since  $G' \subseteq G'_1 \cup G'_2$ . Relabelling if necessary we have  $G'_1 = G_1$ . If  $G'_2 \neq G_2$  then we may deduce similarly that  $G'_1 \cup G'_2 - e$  is independent. This again gives a contradiction since  $G' \subseteq G'_1 \cup G'_2 - e$ . Hence  $G'_2 = G_2$ . It remains to show uniqueness. For  $i = 1, 2$ , let  $B_i$  be a base of  $\mathcal{R}_d(G_i)$  which contains  $E(G_1) \cap E(G_2)$ . Then  $|B_i| = |E_i| - 1$  and Lemma 9(b) gives

$$r_d(G) = r_d(G_1 \cup G_2 - e) = r_d(G_1 \cup G_2) = |B_1| + |B_2| - \binom{t}{2} = |E| - 1.$$

Hence,  $G$  contains a unique  $\mathcal{R}_d$ -circuit. □

Conjecture 17 holds when  $t = d+1$  and  $G_1, G_2$  are both globally rigid in  $\mathbb{R}^d$  by a result of Connelly [8]. It also holds when  $d = 2$  and  $t = 3$  by a result of Jordán [14, Theorem 3.6.15].

## 4.2 Highly connected flexible circuits

Bolker and Roth [4] determined  $r_d(K_{s,t})$  for all complete bipartite graphs  $K_{s,t}$ . Their result implies that  $K_{d+2,d+2}$  is a  $(d+2)$ -connected  $\mathcal{R}_d$ -circuit for all  $d \geq 3$  and is flexible when  $d \geq 4$ , see [10, Theorem 5.2.1]. We know of no  $(d+3)$ -connected flexible  $\mathcal{R}_d$ -circuits and it is tempting to conjecture that they do not exist.

For the case when  $d = 3$ , Tay [20] gives examples of 4-connected flexible  $\mathcal{R}_3$ -circuits and Jackson and Jordán [13] conjecture that all 5-connected  $\mathcal{R}_3$ -circuits are rigid. An analogous statement has recently been verified for circuits in the closely related  $C_2^1$ -cofactor matroid by Clinch, Jackson and Tanigawa [7].

## 4.3 Extending Theorem 1

We saw in the previous subsection that  $K_{d+2,d+2}$  is a flexible  $\mathcal{R}_d$ -circuit with  $2d+4$  vertices for all  $d \geq 4$ . We can obtain a  $(d+2)$ -connected flexible  $\mathcal{R}_d$ -circuit on  $d+8$  vertices by recursively applying the coning operation to the flexible  $\mathcal{R}_4$ -circuit  $K_{6,6}$  and then applying Lemma 8. This suggests that it may be difficult to extend Theorem 1 to graphs on  $d+8$  vertices, but it is conceivable that all flexible  $\mathcal{R}_d$ -circuits on  $d+7$  vertices have the form  $(G_1 \cup G_2) - S$  where  $G_i \in \{K_{d+2}, K_{d+3}, K_{d+4}\}$ ,  $G_1 \cap G_2 \in \{K_{d-3}, K_{d-2}, K_{d-1}\}$  and  $S$  is a suitably chosen set of edges.

For the case when  $d = 3$ , Tay [20] gives examples of 3-connected flexible  $\mathcal{R}_3$ -circuits with 13 vertices but it is possible that all flexible circuits on at most 12 vertices can be obtained by taking 2-sums of rigid circuits on at most 9 vertices.

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