

1 **Towards collective action in ecosystem services governance: the**
2 **recognition of social interdependencies in three collective agri-**
3 **environmental initiatives in Quebec.**

4
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22 **ABSTRACT**

23
24 Governing ecosystem services entails the recognition of mutual and interdependent
25 relations between different actors (i.e. beneficiaries, providers and intermediaries) in
26 relation to each other and the living world. Appreciating these social interdependencies
27 requires understanding ecosystem services as commons, generated at the entanglement
28 of social and biophysical relationships and requiring collective action mechanisms. The
29 objective of this article is to study the processes by which social interdependencies are
30 recognized, and how these processes shape the emergence of collective action in three
31 agri-environmental initiatives in Quebec (Canada). These concern a local program of
32 payment for ecosystem service, an integrated watershed management project, and a
33 political coordination process among 16 rural municipalities. Through a qualitative
34 analysis of observations, semi-structured interviews, and field visits with relevant
35 stakeholders, this study outlines the processes involved in the recognition of social

36 interdependencies beyond already established actions, and sometimes at the margins
37 of the formalized agri-environmental initiative. While the three examples do not appear
38 to be collective actions yet, they result in an increase in social capital, which serves as
39 a crucial intermediary step towards achieving cooperation. Our results show that this
40 emergent cooperation is based on constant (re)negotiation and adaptation, whereby
41 intermediaries (e.g. agronomists, environmental coordinators, NGOs) play a key role
42 by reinforcing existing social networks or opening opportunities for new social
43 linkages. Finally, our results show that the social links and the institutions that
44 encourage the collective recognition of social interdependencies are continuously co-
45 constructed by actors and influenced by existing power asymmetries through processes
46 of institutional bricolage.

47 **KEYWORDS**

48 Collective action, ecosystem services governance, institutional bricolage, social
49 interdependencies, power relations, agriculture, Quebec.

50

51 **1. INTRODUCTION**

52

53 Ecosystem services (ESs), defined as the benefits that humans receive from
54 ecosystems, directly or indirectly support human survival and well-being (Harrington
55 et al., 2010). Agro-ecosystems provide a variety of ESs that influence land productivity
56 for the provision of food, fiber, and fuel as well as other intangible benefits such as
57 water supply, soil conservation, climate change mitigation, aesthetic landscapes, and
58 wildlife habitat, among others (Zhang et al., 2007; Power, 2010; Garbach et al., 2017).
59 The provision and maintenance of these agricultural ecosystem services (AESs) require
60 high levels of coordination of land use practices at the landscape level (Stallman, 2011;
61 Rival & Muradian, 2013). In the intensive and privatized agricultural landscapes of
62 North America, the farm is a private firm generating private goods that are both rival
63 and excludable. Yet, while private property regimes for land use are the norm, the
64 majority of AESs are non-excludable goods. For instance, pollination, soil quality,

65 water, pest or climate regulation act more like common-pool resources or perfect public
66 goods (Farley & Costanza, 2010; Muradian & Rival, 2012; Barnaud & Antona, 2014).

67

68 The non-excludable character of most AESs implies that collective action is required
69 for improving both how AES are socially conceptualized and understood in a given
70 context as well as their delivery and provision (Muradian et al., 2013; Duraiappah et
71 al., 2014; Miyanaga & Shimada, 2018). AESs are therefore considered as collective
72 outcomes “coproduced” by the agricultural landscape, underpinned by social
73 relationships and interdependencies between individuals, producers and beneficiaries
74 and between the living and non-living environment (Barnaud & Antona, 2014; Barnaud
75 et al., 2018). Co-production here refers to the entanglement of social and biophysical
76 processes that “produce” ecosystem services, as emergent ecological (biophysical)
77 relations and socio-cultural ones (Barnaud & Antona, 2014) This implies the need for
78 institutional spaces that acknowledge socio-ecological interdependencies around AESs
79 as well as the development of a system of rules, norms and social conventions that
80 allow for their pooling together (McGinnis, 2011; Ostrom et al., 2012).

81

82 For such collective action to emerge, there must be good communication, strong
83 legacies of trusting relations and frequent exchanges between actors, as well as well-
84 established social networks that reinforce the recognition of social interdependencies
85 (Dietz et al., 2003; Muradian & Rival, 2012). In other words, actors (providers,
86 beneficiaries and intermediaries) must be conscious that they are mutually dependent
87 on each other to solve a given socio-ecological issue, as their management actions are
88 interlinked and have an impact on the quality and quantity of AESs. Moreover, the
89 development of mutual confidence among actors can generate social obligations and a
90 reciprocal feeling of trust which are central features of social capital, and contribute to
91 the development of cooperation (Westerink et al., 2017; Pretty & Smith, 2004)
92 According to the literature on collective action around AESs, actors are more likely to
93 engage in a collective action process if they are aware of such interconnectivity

94 (Barnaud et al. 2018). Therefore, the recognition of social interdependencies is an
95 important step in the process leading to the emergence of collective action around
96 AESs. It is to this social process that we want to pay closer attention, more specifically
97 to how it takes place in collective strategies around AESs.

98

99 Few studies analyze the institutional and social processes involved in the collective
100 acknowledgement of social interdependencies around AESs and how they create the
101 conditions for collective action to take place (Ravnborg & Westermann, 2002; Pelenc
102 et al., 2015; de Vries et al., 2019). Understanding this process involves revealing the
103 interactions between farmers, public sector and civil society involved in approaches to
104 improve the governance of ESs (Ricart et al., 2019), as well as the perception of
105 mutually dependent relationships between humans and non-human natures (Barnaud et
106 al., 2018; Himes & Muraca, 2018). Moreover, collective action is often presented as a
107 technical or managerial approach, following specific rules for managing common pool
108 resources (Dietz et al., 2003). In practice, their implementation follows a more socially
109 complex trajectory influenced by institutional dynamics and power structures (Clever
110 & Whaley, 2018).

111

112 In this work, we aim to answer the following research question: how does the
113 recognition of social interdependencies occur and how does this process shape
114 collective action for the provision of ESs in agricultural settings? To answer these
115 questions, we examine three agri-environmental initiatives in Quebec (Canada): a local
116 payment for ecosystem services initiative, an integrated watershed management
117 project, and a political roundtable process among 16 rural municipalities. In the
118 following sections, we present the methods of our qualitative analysis, including the
119 analytical framework mobilized to study the process of recognition of social
120 interdependencies. We then detail the results, weaving together elements of the
121 framework with insights from the case studies. Finally, we discuss the conclusions and

122 implications of our research for the design of policies that foster collective action
123 around AESs.

124

125 **2. METHODS**

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127 **2.1. Analytical framework**

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129 To answer our research question, we combine two analytical frameworks. First, the
130 framework proposed by Barnaud et al. (2018) allows us to describe and identify social
131 interdependencies as a main element in the collective management of AESs. Second,
132 from a critical institutional perspective, we understand the recognition of social
133 interdependencies as a process of “institutional bricolage,” and we examine the
134 influence of power dynamics in the development of collective initiatives (Cleaver,
135 2002, 2007; Franks & Cleaver, 2007)

136

137 Even if some literature recommends encouraging coordination and cooperation in the
138 management of AESs (e.g. Steingröver et al., 2010; Westerink et al., 2015, 2017), few
139 studies offer an analytical framework for the study of collective action around AESs.
140 Barnaud et al. (2018) propose a framework that accounts for the complexity of
141 ecological processes, social interrelations and institutions involved in collective
142 management of AESs. The authors adopt a critical but constructive approach to ESs,
143 suggesting that these benefits can translate socio-ecological interdependencies while
144 acknowledging the risks of commodifying nature when defining or interpreting ESs.

145

146 According to Barnaud et al. (2018), collective action around AESs is interpreted as a
147 “*Voluntary process of cooperation among various stakeholders, users and managers*
148 *addressing a common ESs management problem in a given territory.*” This process
149 aims to include all stakeholders associated with ESs generation and potentially affected
150 by management decisions. Collective action defined as such requires social learning,
151 trust, collective and mutual understanding, as well as negotiation and conflict

152 resolution. The recognition of the mutual dependency between actors (social
153 interdependencies) is key for the implementation of cooperation of various
154 stakeholders at the landscape level. ESs here are key elements that facilitate the
155 identification of interdependencies with non-human nature. These relations might not
156 be explicit or visible. For instance, a change in land management practices to reduce
157 erosion at the farm level will not only affect the quality of soil on the farm, but also
158 increase the ecological benefits at the watershed level, improving water quality for
159 those who depend on the ESs. Without the common recognition of such co-benefits,
160 there might not be social reciprocity links or obligations to be involved in collectively
161 addressing ecological problems (Barnaud et al. 2018). Interdependencies can also be
162 asymmetrical relations, when the benefits (ecological benefits downstream) involve
163 costs or losses for the producer of ESs (cost of adopting or changing ecological
164 practices). In both situations, an asymmetrical or mutually beneficial interdependency,
165 actors rely on each other for the achievement of collective well-being.

166

167 In order to identify social interdependencies, one must define the action arena (i.e. the
168 social space), the social roles played by actors (i.e. providers, beneficiaries, or
169 intermediaries), the kinds of social (inter)dependencies between providers and
170 beneficiaries, as well as their dimensions, including the cognitive framing of
171 interdependencies, levels of organization, institutions involved, and the power
172 asymmetries that influence the collective action process (Table 1).

173

174

175 Table 1: Elements of collective action in agro-ecosystems adapted from Barnaud et al. (2018)

Elements	Characteristics
Action arena	The social space where participants interact around a subset of ESs (watershed, region, municipality)
Socio-ecological interdependencies related to ecosystem service or disservice	Visible or invisible relations between humans and non-human nature that influence well-being (ESs) Changes in the quality of ESs affect the interlinked stakeholders. The recognition of these interdependencies affects awareness, motivation, and capability to engage in collective action
Social role	
Beneficiaries or co-beneficiaries	Those who potentially or actually benefit from the ESs. Ex: those with access to the ecosystem (farmers) or external users such as tourists, conservationists, neighbours, politicians, governments.
Providers or co-providers	Those whose actions on the agro-ecosystems contribute to the co-production, degradation, preservation or management of ESs. Ex: Farmers and landowners
Intermediaries	Those who interact with the ESs providers and beneficiaries and can influence the decision-making process. Ex: Decision/rule makers, facilitators of negotiations, informants, advisors, etc.
Social interdependencies between actors	
Between beneficiaries	The relation of a group with common interests around the provision of ESs. This relation can also be conflicted between beneficiaries interested in antagonist services (ex. tradeoff between water quality and agricultural production).
Between providers	The mutual benefits of a coordinated action between land managers. Sometimes these benefits can be a source of conflict (divergent land management interest). Their recognition depends on the presence of institutions.
Beneficiaries-providers	Asymmetrical relation as ESs beneficiaries depends on the action of providers, but providers are not necessarily dependent on beneficiaries' actions. Potential source of conflict due to diverging interests.
Dimensions of social interdependencies	
Cognitive framing of interdependencies	Stakeholder representations and recognition of socio-ecological and social interdependencies around key ESs; these include the perception of farmers on what ESs are and the relation of their actions with the state and quality of ESs.

Levels of organization	This refers to the levels of management of the ESs, as well as the potential mismatch between the levels of management and ecological process and patterns (spatial and temporal dynamics).
Institutions	The existing formal and informal rules governing social interdependencies, regulating the provisions of ESs. These include the multi-level governance of collective action and the institutional context that favours (or not) coordination.
Power Relations	Presence of mechanisms influencing how stakeholders are able to assert their interest on specific issues relating to ESs management, influencing the overall outcome. Ex: collective processes dominated by powerful stakeholders resulting in inequitable outcomes.

177 Barnaud et al. (2018) present a detailed description of the types and dimensions of
178 social interdependencies, such as the cognitive framing of interdependencies, the levels
179 of organization, institutions and power relations, main elements to achieve collective
180 action in agricultural settings. However, this descriptive framework provides less
181 emphasis on the social process involved in the recognition of social independencies,
182 and the creation of collective institutional spaces that reinforce such process. We
183 therefore combine literature on Critical Institutionalism, which aims to reveal
184 institutional complexity, and the ways in which institutions are “bricolaged” in
185 everyday situations. We consider both frameworks compatible as they focus on the role
186 of institutions and integrate the implication of power relations in shaping collective
187 action.

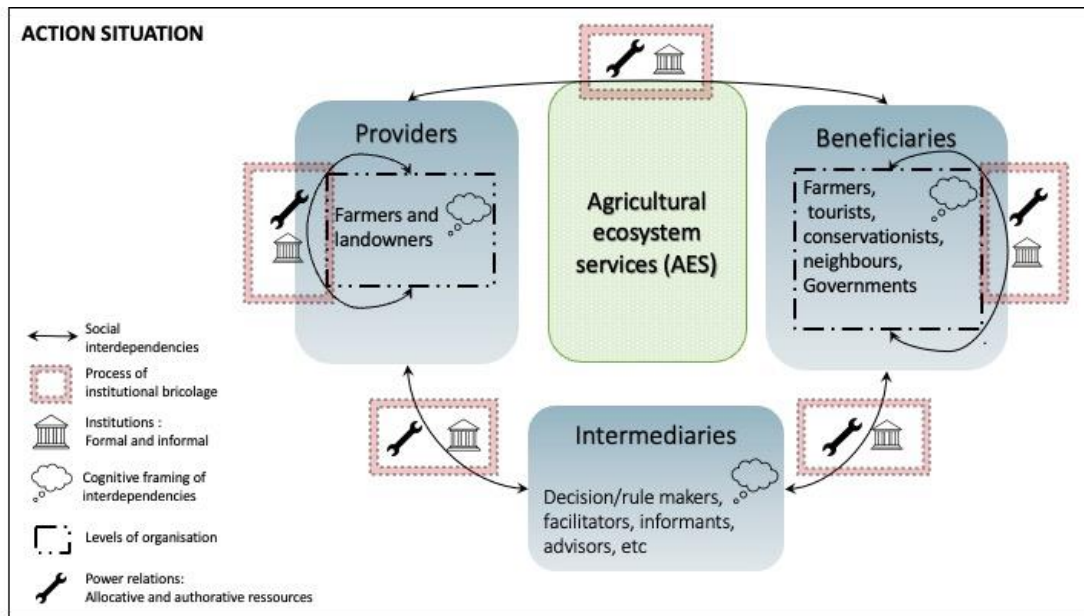
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189 According to Cleaver and Whaley (2018, p 1.), the Critical Institutionalism (CI) school
190 conceives institutions as the result of a process of bricolage “*where those involved piece*
191 *together new arrangements from resources at hand.*” CI pays attention to the interplays
192 between structure and agency, reflecting upon the interactions between formalized
193 institutional frameworks and “*the multiple ways in which individuals use their*
194 *capacities or personal powers to act in meaningful ways*” (Cleaver and Whaley 2018,
195 p. 5). CI sheds light on how governance arrangements are conceived as a dynamic
196 relation shaping political objectives, the social and cultural context and the biophysical
197 landscape in which such arrangements are themselves shaped (Cleaver and
198 Whaley 2018). From this perspective, resource governance systems are socially
199 constructed, where the social reality is historically and geographically situated and
200 emerges from past and present social interactions between members of society
201 lubricated by uneven relations of power.

202

203 We understand power dynamics as related to the command of societal resources
204 (Giddens, 1984; Franks & Cleaver, 2007). Resources are conceived as all the means by
205 which human interactions are constructed and can be either allocative (material) or

206 authoritative (non-material). *Allocative* resources refer to the raw materials, means of
207 production, produced goods, whereas *authoritative* resources include organization of
208 social time and space, decision-making mechanisms, opportunities for self-
209 development, relationships between people (Giddens, 1984; Franks & Cleaver, 2007).
210 It is through the uneven ability of actors to command authoritative or allocative
211 resources that power is exercised and particular environmental management
212 mechanisms, such as collective action, are enabled or constrained. In sum, we
213 understand the process towards collective action as the interaction of a constellation of
214 formal and informal institutions that are brought into particular configurations by
215 asymmetrical power relations, which enable or constrain the recognition of social
216 interdependencies. Figure 1 summarizes the framework we have developed to analyse
217 the processes involved in the recognition of social interdependencies underlying AESs
218 dynamics in a collective action situation. The resulting framework emphasises the role
219 of power relations (command of allocative and authoritative resources) and the
220 emergence of formal and informal institutions through processes of institutional
221 bricolage.
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Figure 1: Framework for the analysis of the processes involved in recognizing social interdependencies in agro-ecosystems. This is developed from the collective action framework around AESs (Barnaud et al. 2018) and the theory of institutional bricolage (Cleaver 2007; Frank and Cleaver, 2007).

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229 2.2.Study region

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This work adopts a descriptive and interpretative case study approach (Odell, 2001). The case study approach is used for in-depth analysis of one or multiple cases (i.e. collective actions around AES) circumscribed spatially and temporally according to their significance in relation to a research problem (Creswell & Poth, 2016). In order to analyze the social phenomena implied in the recognition of interdependencies around AES, we first describe and detail three emergent collective action cases around AESs. These cases have not been previously studied in the literature. Secondly, we adopted an interpretive approach, which allows up to apply emerging theories in critical institutionalism to be carried out in ESs management. This combination of the descriptive and interpretative approach makes it possible to compare new initiatives situated in the Southern region of Quebec and to develop theoretical contributions drawing from empirical experiences.

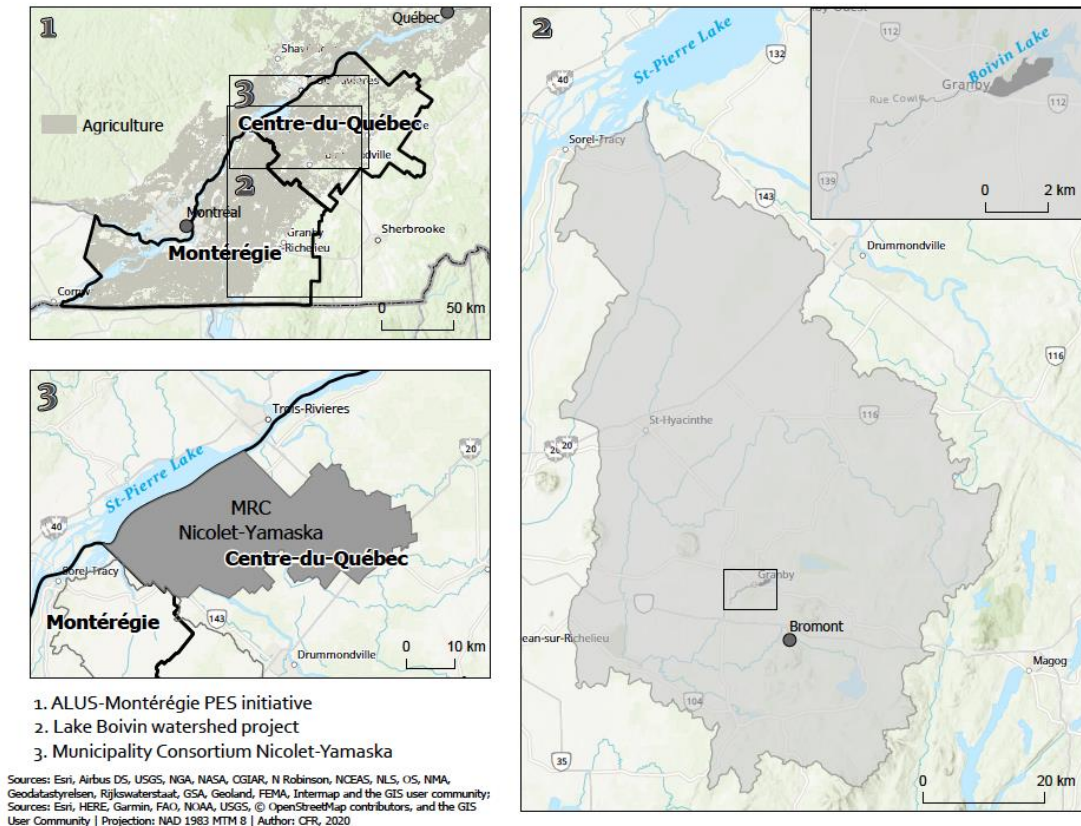
243 Quebec's agriculture has undergone a trend of intensification and specialization,
244 especially in southern Quebec, where most agricultural land is situated (Lehner et al.,
245 2014; Parcerisas & Dupras, 2018). This trend is the result of technological and
246 production changes during the 20th century, characterized by the replacement of pasture
247 and traditional cereals by industrial-scale production of corn and soybean destined
248 primarily for animal feed (Morisset & Couture, 2010). This landscape change led to
249 serious environmental impacts such as biodiversity and habitat loss, soil erosion, and
250 an increase in nutrient runoff affecting water quality in rural areas (Jeswiet & Hermsen,
251 2015; Terrado et al., 2015). The most intensified landscapes are situated in the
252 Montérégie and the Centre-du-Québec administrative regions. The Montérégie
253 comprises 6,880 farms, mainly of cereal and animal production (hogs and dairy), and
254 composes 35% of the total agricultural production of Quebec (UPA, 2019). The Centre-
255 du-Quebec comprises 3,300 farms, mainly of animal production (hog, dairy and
256 poultry) and has around 11% of Quebec's farm land (UPA, 2019).

257

258 It is important to note that in southern Quebec there is a limited adoption of individual
259 agri-environmental practices, despite the existing policies, suggesting that farmers
260 require other specific types of motivation beyond just environmental concerns (Sager,
261 2004; Dagenais, 2016; Hénault-Ethier et al., 2019). Consequently, farmers' unions,
262 watershed organizations and regional authorities have developed collective initiatives
263 to increase the adoption of agri-environmental practices. We selected three collective-
264 action initiatives to analyse the emergence of social-interdependencies around AESs.

265

266 The three initiatives aim to interlink providers and beneficiaries in the provision of
267 AESs, through a direct payment to farmers (ALUS), the provision of advisor services
268 and subsidies (Lake Boivin) and political coordination (MRC-Nicolet Yamaska).
269 These examples were selected as they are new and emergent collective initiatives
270 involving various stakeholders and presenting different governance structures and
271 institutions. Figure 4.1 geographically situates each initiative.



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Figure 2: Region of study and geographical extend of the selected collective initiatives in Quebec.

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2.2.1. ALUS – PESs initiative.

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The Alternative Land Use Services (ALUS) payment for ecosystem services program operates in six Canadian provinces and aims to increase the provision of AESs by farmers. This program was launched in the Montérégie region in 2016 as the first-ever private PESs program in Quebec. ALUS is an NGO that receives financial support from the W. Garfield Weston Foundation, a private Canadian family foundation which directs a significant proportion of its philanthropic mandate to fund projects in nature conservation. The Quebec version of ALUS was established as a partnership between

287 ALUS Canada and the Federation of Agricultural Producers of the Montérégie
288 (henceforth UPA¹-Montérégie) which represents 11,000 farmers. The aim of the
289 program is to encourage the provision of AESs by offering farmers a 5-year payment
290 for the adoption of best management practices (riparian buffers, reforestation of
291 wetlands, multifunctional hedges, windbreaks, flower meadows, or hedges for
292 pollinators) to improve air, water quality, and biodiversity conservation. ALUS is
293 collectively managed by the UPA-Montérégie with a partnership advisory committee
294 (PAC) comprised of producers, agricultural stakeholders, environmental and watershed
295 organizations (ALUS Canada, 2020). The targeted AESs, the rules of the program, and
296 the accepted project are defined by the PAC, jointly with the UPA Montérégie, and
297 ALUS Canada. Here is where coordination and cooperative action occurs. ALUS is
298 presented as a “community-to-community-developed” program where each chapter
299 determines “its own priorities while upholding a shared set of national principles”
300 (ALUS Canada, 2020). The initiative started as a pilot project with 7 producers
301 participating in the first phase, 15 additional producers joined the program in 2017–
302 2018, and 21 new producers in 2018-2019.

303

304 2.2.2. Lake Boivin Watershed Project.

305

306 This project aims to increase the adoption of better land management practices to
307 reduce environmental risks in an agricultural watershed. More important, it aims to
308 increase the quality of drinking water for the city of Granby, situated downstream of
309 the Lake Boivin watershed, a sub-basin of the Yamaska River. This project was
310 developed by the watershed organization of the Yamaska River (henceforth OBV²-
311 Yamaska) aiming to coordinate agri-environmental action in the watershed in

¹ UPA is an acronym for *Union des producteurs agricoles* (Agricultural producers union). The UPA is the only certified farmers’ union in Quebec, and it is structured around regional federations.

² OBV is an acronym for *organisme de bassin versant* (watershed organization) in French.

312 partnership with agricultural producers, city councils and other stakeholders. The Lake
313 Boivin watershed includes 132 farms composed mainly of livestock producers (OBV
314 Yamaska, 2018). The Lake Boivin watershed project included two phases. This first
315 was a recognition phase (2017–2018) of the state of AESs in the watershed with
316 funding from the Ministry of Agriculture of Quebec (through the Prime-Vert program),
317 with matching funds from the city of Granby, a city with a population over 60,000, and
318 the Haut-Yamaska regional municipal council. This phase involved a partnership
319 between OBV Yamaska and the IRDA (Research and Development Institute for the
320 Environment). The second phase is a knowledge-transmission phase (2019–2021)
321 recently financed by the Ministry, aiming to mobilize producers to adopt best
322 management practices in the basin through the support of extension services coupled
323 with governmental subsidies.

324

325 2.2.3. Municipality Consortium- Nicolet–Yamaska.

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327 The third initiative is the development plan of agricultural zones in the Municipal
328 county of Nicolet-Yamaska (henceforth MRC Nicolet-Yamaska) adopted in 2017. In
329 this plan, 16 municipalities voluntary engaged to identify and develop collective
330 solutions to agri-environmental issues aiming to enhance water quality in Lake Saint-
331 Pierre, an UNESCO Biosphere Reserve and RAMSAR site. Their common political
332 goal was to encourage, support and stimulate sustainable agriculture and encourage
333 ecological restoration in agricultural lands (Bissonnette & Dupras, 2018). As a
334 response to political pressure from municipal and agricultural actors, the MRC Nicolet-
335 Yamaska wanted to change the image of the region and to coordinate actions at the
336 landscape level through a consortium of agricultural municipalities. To do so, they
337 created a coordination committee to consider agri-environmental issues with
338 representatives of different municipalities, advisors, the farmers' union, and watershed
339 organizations. This committee first involved a consultation process to identify and

340 document alternatives to collectively promote the improvement of agri-environmental
341 practices in the municipality (Bissonnette & Dupras, 2018).

342

343 **2.3.Semi-structured interviews**

344

345 The descriptive and interpretative case study approach allows the use of multiple data
346 collection and analysis methods (Avenier & Thomas, 2015). As a main data collection
347 method, we conducted semi-structured interviews with 44 stakeholders involved in
348 agri-environmental land management programs in Southern and Central Quebec
349 between December 2017 and January 2019. Following the theoretical framework,
350 interview questions covered themes such as the presence of programs for the
351 management of AESs; the functioning of incentives for collective action (role of
352 intermediaries, producer outreach, farmer's participation); the history and process of
353 emergence of such projects (political steps, questions of power, influence of different
354 intermediaries); the institutional context of their implementation (levels of
355 organization, institutions, relations between actors); the perception of participants
356 towards the initiative; and their motivation and interest to engage in collective action
357 around AESs. The interview guide is presented in annex one, as supplementary
358 material. This data was completed by technical document analysis.

359

360 The recruitment of the respondents was initially conducted through targeted phone calls
361 and emails. We first targeted the managing organization of each initiative such as the
362 UPA-Montérégie for the ALUS program, the OBV Yamaska for the Lake Boivin
363 project and the MRC Nicolet-Yamaska for their new agricultural policies. These first
364 interviews served as pilots to adapt the interview questions to each case study and to
365 identify the main stakeholders involved in each initiative. With the help of the
366 managing organization, we recruited farmers and intermediaries involved closely in the
367 development of such collective initiatives. Table 2 details the total number of
368 participants. The numbers of participants for each category and each case study was

369 contingent upon the type and number of stakeholders involved in each initiative. For
370 instance, ALUS and Lake Boivin have a lower number stakeholders involved closely
371 in the management and outreach, compared to the Nicolet-Yamaska MRC.

372

373 The average length of interviews were of 60 minutes. The recruitment was stopped
374 when the discussion reached saturation in terms of identifying the social processes
375 involved in the emergence of collective action. Saturation was reached relatively
376 quickly, partly due to the limited number of actors involved in each case. Moreover,
377 field visits and participatory observations including farmers' meetings (3), stakeholder
378 meetings involving local governments and environmental organizations (2),
379 information sessions (2), and on farm demonstrations (1) were performed. These
380 observations were key to contextualize each collective agri-environmental project, the
381 role of stakeholders, and to observe and identify potential power asymmetries between
382 actors.

383

384 Table 2: Interviewed stakeholders according to each collective initiative in southern
385 Quebec.

Actors	ALUS	Lake Boivin	MRC Nicolet- Yamaska
Farmers	4	3	4
Agronomists and support services	3	2	3
Municipal and regional representatives	1		5
Farmers' union: representatives and staff	3	2	3
Watershed organizations	1	3	3
Environmental and development NGO	0	2	3
Total	12	12	21

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387 **2.4.Content analysis**

388

389 Interviews and fieldwork notes were transcribed and qualitatively coded using the
390 NVivo 12 software. Interviews were coded by the first two authors (double coding) and

391 thematic categories were discussed with the research team. The data were coded
 392 according to the interdependencies framework (Barnaud et al. 2018) to describe each
 393 initiative (see Table 1) focusing on the role of actors, the social interdependencies they
 394 identified, and the main dimensions of those social interdependencies. Secondly, we
 395 developed and applied a coding grid (Table 3) focusing on the emergent elements that
 396 played a role in the process of recognition of social interdependencies, such as new
 397 collective institutional spaces, the presence of power relations, the role of
 398 intermediaries, and the political process involved. This last coding grid helped both to
 399 organize the data and to theorize the social processes involved in the collective
 400 recognition of interdependencies.

401

402 Table 3: Coding grid on the themes around the collective recognition of
 403 interdependencies.

Overarching themes	Categories	Subthemes
Collective institutional spaces	Actors' awareness	common problems; mutual dependency; common interests
	New relations among actors	with neighbors; with advisors; reciprocity; trust; collective pride.
	Unusual and new collective spaces	Meetings; farm activities; discussions next to tractors; committees
Power relations	Influential actors	Farmers' associations; funding agencies; elected officials (political will)
	Resources	lack of financial resources; decision-making powers; legislative power; organisational power
Intermediaries	Social links	Trust; connections to funding; connections with the community
	Outreach	individual requests; mapping of producers
	Accompaniment	Knowledge transmission, access to programs (incentives)
Political process	Level of governance	Municipalities and local officials; MRC initiative, the role of the government; role of the facilitator/organization; farmers' autonomy
	History of collective action	Previous motivations of actors; previous initiatives

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407 **3. RESULTS**

408

409 **3.1. Recognizing social interdependencies**

410

411 Our analysis illustrates how each of the cases aims at balancing asymmetrical relations
412 between producers and beneficiaries by generating mutual benefits derived from
413 collective action around AESs. These mutual benefits are the main social
414 interdependencies fostered by the projects. During the interviews and meetings,
415 participants emphasized that such co-benefits and mutual interest, sometimes explicit,
416 sometimes tacit, serve as their main motivations for engaging in these projects. We
417 therefore focused our analysis on the process of recognizing social interdependencies
418 around the perceived mutual benefits. These relations of mutual benefit are just a
419 selection of the many relations around AESs. We acknowledge that asymmetrical
420 relations might continue to exist (benefits for one group can be a nuisance for others).
421 Such interdependencies, influenced by uneven power relations, were referred to during
422 discussions as relations that need to be balanced and transformed through payments,
423 advisor support, or political coordination. The ways by which actors navigate
424 asymmetries of power through the introduction of new institutions or the modification
425 of existing ones illustrates the means by which bricolage takes place in response to
426 everyday social interdependencies. Table 4 summarizes the type of actors involved, the
427 social interdependencies witnessed, as well as their dimensions.

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429

430

431 Table 4: Social roles, social interdependencies and dimensions of three collective action initiatives in Southern Quebec

		ALUS -Montérégie	Lake Boivin watershed project	MRC Nicolet-Yamaska
Action arena		Montérégie region	Lake Boivin watershed	MRC Nicolet-Yamaska
Targeted ecosystem services		Water quality ·biodiversity ·carbon sequestration ·landscape quality	Control soil erosion ·water quality	Landscape quality ·water quality
Social roles	Beneficiaries	Farmers ·rural communities in Montérégie ·citizens of nearby municipalities	Farmers ·rural communities in the watershed ·nearby municipalities (Granby)	Municipalities in the MRC ·farmers ·users of the watersheds (Lake Saint-Pierre)
	Providers	Enrolled farmers in Montérégie	Farmers in the watershed	Farmers and land owners of the targeted municipalities
	Intermediaries	·Regional Farmers Union (UPA-Montérégie) ·ALUS coordinators ·farm advisors ·ALUS Canada ·watershed organizations (COVABAR, OBV Missisquoi) ·Agricultural Ministry (MAPAQ) ·Rural municipalities (Saint-Jean-sur-Richelieu), private partners (Solen)	·Watershed organizations-OBV Yamaska ·Farm advisors ·Agricultural Ministry ·Rural municipalities (Granby) ·Research Centre (INRA) ·Farmers Union (UPA) ·biodiversity conservation groups.	·MRC Nicolet Yamaska (16 municipalities) ·Farm advisors ·Farmers Union (UPA) ·Research consultants (Eco-2Urb), watershed organizations (OBV), community leaders.
Social Interdependencies (mutual benefits identified by actors)	Beneficiaries–beneficiaries	Improvement of water quality and decrease of the cost of “cleaning the river.”	Improve drinking water quality for Granby. Improve landscape aesthetics.	Improve the environmental image in the region.
	Providers-Providers	Shared desire to project a positive image as a farmer (collective pride)	Share interest in establishing links with farm advisors and governments to increase sources of funding	Project a positive image of farmers and set up incentive mechanisms to improve practices

	Beneficiaries– providers	<ul style="list-style-type: none"> ·Balancing asymmetrical relations through payment for ESs. ·Dependence on advisory and incentives to be connected. ·Increase social recognition of the role of farmers in the protection of ESs. 	Balancing asymmetrical relations through advisor support and potential subsidies.	Balancing asymmetrical relations through the coordination of a political process. The outcomes have not yet to be implemented.
Dimensions of social interdependencies	Cognitive framing of interdependence	<ul style="list-style-type: none"> ·Increasing common knowledge on the state of the region and on agricultural practices and benefits from ESs through workshops, farm visits, farmers’ meeting and exchanges. ·Intermediaries play an important role in mobilizing farmers and knowledge transmission. 	<p>There is an increase in awareness of the state of ESs through research.</p> <p>Farm advisors and the OBV play an important role– reaching out to farmers (knowledge transmission)</p> <p>Intermediaries link actors in the watershed through stakeholder roundtables and knowledge mobilization activities.</p>	Increasing knowledge and awareness among stakeholders on governance strategies of ESs through research and spaces of discussion.
	Levels of organization	<ul style="list-style-type: none"> ·The program is managed at the regional level. ·This region covers 10 main watersheds (water services) ·Political decisions are taken at the province level, MRC level and municipal (institutional mismatch) 	<ul style="list-style-type: none"> ·The project is managed at the watershed level (ecological level for the water service). ·Political decisions are taken at the municipal and provincial level (institutional mismatch) 	<ul style="list-style-type: none"> ·The initiative is managed at the MRC level involving 16 municipalities, and a main watershed (Yamaska and Lake St Pierre). ·Some political decisions can be made at the MRC level, but most take place at the provincial level (institutional mismatch.)
	Institutions	<ul style="list-style-type: none"> ·Formal: PESs contracts, UPA organization, Partnership advisory committee (PAC.) 	<ul style="list-style-type: none"> ·Formal: Lake Boivin project, Agri-environmental 	<ul style="list-style-type: none"> ·Formal: the Development plan for the agricultural areas of the MRC (PDZA), the

		<ul style="list-style-type: none"> ·Informal: collective action days (planting), norms of trust between farmers, coordinators and advisors, new spaces for discussion. 	<p>diagnostic tools (PAA), MAPAQ subsidies contracts</p> <ul style="list-style-type: none"> ·Informal: new spaces for discussions (roundtables) and developing trust relations between advisors and farmers 	<p>municipalities involved and their rules.</p> <ul style="list-style-type: none"> ·Informal: Local working group on agri-environmental issues, citizens and farmers for the environment of Sainte-Monique (village), networks of municipal political leaders.
	Power relations	<ul style="list-style-type: none"> ·The UPA and ALUS Canada delimit rules of participation. ·Uncertain sources of funding. ·Intermediaries are the main source of knowledge and of mobilization efforts. Pre-existing relationships between intermediaries and farmers influence adoption. ·Upwardly mobile “first-movers” or champions are more likely to adopt ALUS than average farmers. ·External market pressures continue to influence land use decisions. 	<ul style="list-style-type: none"> ·The main source of funding is governments, influencing outcomes, aim, and duration of the project. ·Intermediaries are the main sources of knowledge and mobilization efforts. Pre-existing relationships between intermediaries and farmers influence adoption. ·External market pressures continue to influence land use decisions. 	<ul style="list-style-type: none"> ·Elected mayors at roundtables can shape the outcomes as it is part of a political strategy. ·Advisors have less political power but have more knowledge of the region. ·Sources of funding are unknown. ·External market pressures continue to influence land-use decisions

433 Our analyses focused on the processes by which awareness and acknowledgement of the
434 detailed social interdependencies is developed (cognitive framing of interdependencies) in
435 each strategy. Overall our results show that such recognition occurs through the generation
436 of social capital through 1) new social links among actors promoted by intermediaries, 2)
437 political process of consultation and negotiation and 3) the emergence of new institutional
438 spaces sometimes at the margin of each initiative.

439

440 3.1.1. Intermediaries as social catalyzers

441

442 The relations between providers (farmers) and intermediaries are key in increasing
443 awareness of the interdependencies around the provision of AESs. Respondent farmers
444 mentioned relying on intermediaries “*knocking at their door*” to be involved in the ALUS-
445 PESs and Lake Boivin programs, and to learn more about the offered land management
446 practices. Respondents also identified a need for “*a human follow-up*” as one farmer stated
447 during an interview, referring to the necessity to be accompanied by someone capable of
448 listening to their concerns, and in making connections between on-farm needs, agronomic
449 tools and actions at the farm level. In the case of ALUS, the knowledge transmission from
450 farm advisors, the efforts of the UPA-Montérégie coordinators, and the organization of
451 open farm days were also mentioned by stakeholders as being key to engage in land use
452 change. As one of the ALUS-PESs coordinators stated.

453

454 “*After the open farm day, we had neighbours who contacted us and we are starting ALUS*
455 *projects on their lands now. This is a typical example of when we do an activity and*
456 *farmers see the outcomes, it motivates them to participate. We often get calls from*
457 *producers that have not worked with us yet asking for more information about ALUS*” –

458

Agri-environmental coordinator.

459

460 These new and sometimes invisible interactions show that participation to collective
461 strategies rely on the development of social capital and relations between providers of
462 AESs, or between providers and intermediaries. In the case of ALUS, these links go beyond
463 the PESs governance structure and contract, and recognize the connectivity between actors
464 at the landscape level in the management of AESs.

465

466 “*Municipalities and regional counties want to join ALUS; they need to do it. People*
467 *understand that there is a benefit in doing this. There are more and more actors involved,*

468 *ALUS mobilizes them and it is gaining momentum. It goes beyond the conservation*
469 *community as it becomes a social project”–Agri-environmental coordinator.*
470

471 We therefore observe the creation of new social links and connections at different levels of
472 organization beyond the established governance of this project. In the case of Lake Boivin
473 project, respondents additionally stressed the importance of developing links between
474 participant producers, farm advisors and the watershed organization to develop awareness
475 of the state of AESs and the actions that can be taken collectively in the region. After a
476 land use recognition phase, producers in the Lake Boivin were directly contacted by
477 advisors to share environmental solutions and to complete a diagnostic and action-based
478 tool to increase best management practices on the farm. Through knowledge transmission
479 and social discussion, the project increased the number of farmers developing agri-
480 environmental action plans. This was not an easy task for advisors, especially in reaching
481 out to farmers and communicating the aims of the project. Initially, farmers were reluctant
482 to share information or meet with the advisors from the watershed organization:

483
484 *“At first, I did not understand why they were calling me, and why we were doing this. I*
485 *already have an advisor I said [...] But, then, I realized I did not know much about the*
486 *agri-environmental services or programs in the region, it was the first time I learn about*
487 *all this” Farmer 2.*

488 *“The one-on-one meeting changes everything. If you just give the information on a piece*
489 *of paper, it won’t stick. But when we are one-on-one, we talk about their problem and we*
490 *tell them that everyone has the same problem as him, and then we realize we can do*
491 *something to make a change in the region.” Farm advisor.*

492
493 These insights illustrate the importance of developing links of trust between intermediaries
494 and providers. Intermediaries act as catalyzers for the recognition of social
495 interdependencies through informal exchange and trust building between them and
496 providers of ESs, increasing awareness around the collective and social nature of soil and
497 water problems, not necessarily specific to a single farm.

498
499 In the case of the ALUS-PESs initiative, it is important to mention that the program is still
500 in a development state, representing only a small minority of farmers in the Montérégie
501 region. Consequently, the social or ecological impact of the program is as of now difficult

502 to evaluate. However, based on our observations, it is possible that the future of the
503 program relies more on the strengthening of social capital than on the payment itself.

504

505 3.1.2. Political process

506

507 The initiative of the municipal county (MRC) Nicolet-Yamaska differs from the ALUS-
508 PESs and Lake Boivin cases, as the collective recognition of interdependencies and the
509 process of coordination itself emerges from a political process. In the region, influential
510 agri-environmental stakeholders such as the local farmers' union, watershed organizations,
511 members of conservation initiatives and agronomists from local non-profit organizations
512 had historically tried to unite by pooling resources together and better coordinating actions
513 for the provision of AESs. At first, stakeholders created an informal structure that relied
514 upon the willingness of local participants to invest time in an attempt to coordinate agri-
515 environmental efforts. Some farmers had also demonstrated leadership in developing agri-
516 environment cooperatives. Despite repeated attempts, specific initiatives were led by a
517 single organization and there was little monitoring, integration capacity, nor any attempts
518 to scale up efforts in the area. As a consequence, the involved stakeholders called upon the
519 MRC of Nicolet-Yamaska to demand better coordination of agri-environmental initiatives.
520 As mentioned by one influential actor engaged during this process:

521

522 *“It’s been a long time since we are talking about doing something to better join forces to*
523 *improve the effectiveness of our actions on agri-environmental issues. Some of us have*
524 *been trying to meet regularly to discuss possible actions, but it seems to always fall short,*
525 *it’s not because of a lack of will, that’s for sure, but we would need a real structure and*
526 *perhaps paid staff to organize us.”- Municipal mayor.*

527

528 Change in the governance context with new directorships in the MRC, along with renewed
529 pressure from vocal mayors from local municipalities provided the impetus to determine
530 common political goals. This was established in the MRC’s agricultural development plan
531 aiming to encourage, support and stimulate sustainable agriculture and encourage
532 ecological restoration on agricultural lands. This political step was crucial to begin
533 recognizing socio-ecological interdependencies at the landscape level for the provision of
534 AESs. The MRC consequently formed a coordination agri-environmental committee that

535 in the summer of 2018 hired an external consulting firm mandated to design a participative
536 roadmap to coordinate environmental actions at the MRC scale. After reviewing potential
537 initiatives and assessing the social and financial conditions of their implementation, the
538 consultations resulted in the delineation of initiatives that could eventually improve the
539 level of cooperation among actors.

540

541 Respondents mentioned that not everyone agreed that the leadership should be exercised
542 by the MRC. This sentiment was particularly shared by members of the farming
543 community who had been involved for many years in agri-environmental initiatives. They
544 believed that the farming community should be provided with more resources and
545 autonomy to deal with environmental issues.

546

547 *“We, farmers, don’t necessarily agree on what we should do, but many of us have been*
548 *doing a lot to improve water quality or reduce erosion, and we don’t feel like any of these*
549 *efforts have been recognized or compensated. We are willing to keep participating in new*
550 *initiatives, but we don’t want to feel like everything’s our fault that we are the bad guys.”*

551

– Union leader

552

553 As stated by this union leader, providers of ESs feel that the recognition of social
554 interdependence, the link between their ecological-oriented land use practices and the
555 social value of such practices, needs to be further developed in the region. They
556 furthermore perceived that particular political initiatives such as the consultation process
557 offer an important way to increase the social recognition of their efforts. The consultation
558 process revealed that actions carried out by actors (e.g. farmers, agronomists, watershed
559 organizations) in the MRC as a whole would benefit from better cooperation to improve
560 the complementarity between the interventions and the achievement of both socially and
561 ecologically compatible outcomes.

562

563 3.1.3. New institutions and spaces

564

565 In the three cases, we observed the emergence of new institutions and spaces that recognize
566 that actors rely on each other for agri-environmental innovation, and farmers among
567 themselves for the provision of AESs at the landscape level. In the case of the MRC

568 Nicolet-Yamaska, we observe a formalization of institutional spaces fueled by the political
569 will of influential actors. The political process described created new institutions such as
570 the “agricultural development plan” and formal spaces for political action (e.g. the agri-
571 environmental committee), with the MRC aiming to structure the coordination of the
572 provision of AESs in the region. Participants also identified that efforts to raise awareness
573 and disseminate information should continue, particularly with regards to the adoption of
574 practices that could improve the quality of water and the health of soils, as well as the
575 enhancement of forest and agroforestry systems. The continuity of the MRC-supported
576 agri-environmental coordination committee and other coordination efforts will depend on
577 the political cohesion between stakeholders, the identification of coordinated actions with
578 the support of agri-environmental intermediaries, and collective efforts to realize such
579 actions.

580

581 In the case of *ALUS-PESs*, informal spaces were formed at the margins of the more
582 formalized institutionalized actions of the program. For instance, ALUS organizes member
583 meetings as luncheons and festive gatherings to recognize the efforts of enrolled farmers
584 while handing out their annual payment. During these meetings, farmers share their
585 experience and their motivations for being a member of the ALUS Montérégie community.
586 While these opportunities to speak and share experience is not a mandatory practice of the
587 program, but it has become a recurrent and important moment of collective pride, not only
588 in sharing experience but also in showcasing proactive efforts towards agri-environmental
589 best practice and as a communication experience for regional media to report these
590 experiences in local newspapers. As farmers stated during one annual meeting.

591

592 *“It is encouraging to have people who accompany you, and the implementation team is*
593 *really good too, it motives me to continue to act with others. For instance, all the support*
594 *we got during the planting of the hedgerow, from the school and neighbours, it showed*
595 *that we are a community.”–Farmer 1*

596

597 The producer in question was proud to mention that his reforestation project obtained
598 unexpected help from students from a nearby high school in what became an informal
599 “planting day.” This event combined with informal sharing habits results in the recognition

600 of mutual benefits of actions that encourage the provision of AESs, a process that relies on
601 the strengthening of social capital by the project.

602

603 In the Lake Boivin case, the OBV held workshops with producers and stakeholders to
604 present the results of the modelling of agricultural land use on the watershed, the state of
605 the collectively selected ESs (soil and water quality), and potential approaches and
606 solutions available to farmers. Respondents stated that these meetings were important
607 spaces to discuss issues around water management and to foster renewed relationships
608 between actors in the watershed. Respondents claimed that such exchange spaces involving
609 research outputs and discussion with farmers contributed to increasing collective pride with
610 some farmers claiming to have “*seen their farm on the map*” as well as the overall
611 contribution of agricultural land to the health of the watershed.

612

613 Moreover, respondents in ALUS-PESs and Lake Boivin stated that informal meetings
614 “*next to the tractors*” offered opportunities to talk about land and environmental issues
615 ways that did not involve putting farmers on the spot. These informal meetings also offered
616 the space to discuss longer-term concerns over who will take up farming in subsequent
617 generations. Farmers acknowledged the lack of collective spaces to informally discuss any
618 agri-environmental problem:

619

620 “*We don’t have a lot of room for this. We have local producer meeting but we only talk*
621 *about production or economic issues. Sometimes the agri-environmental clubs do some*
622 *activities, but it is only for their members*” Farmer 3- Lake Boivin.

623

624 In response to this need, in the summer of 2018, a stakeholder roundtable was established
625 to collectively discuss and organize around ecological problems in the Lake Boivin
626 watershed, together with local municipalities and environmental organizations. This
627 project aims in the following years to continue creating spaces to discuss with farmers (now
628 around 40), and to develop custom-made approaches for each participant involving access
629 to agri-environmental subsidies. Through increasing access to subsidies and knowledge
630 transmission activities, the Lake Boivin project aimed to increase mutually beneficial

631 relations between providers and beneficiaries, valorizing collective efforts of farmers to
632 improve AESs.

633

634 **3.2.Power relations**

635

636 In the case studies, we observed power asymmetries based both in terms of access to
637 allocative resources (e.g. time and funding) as well to authoritative resources (e.g. political
638 arenas for decision-making, organizations). During the interviews, intermediaries involved
639 in the ALUS and Lake Boivin projects discussed the continuous lack of financing
640 (allocative resources), and their dependence on external resources to continue the
641 development of the collective projects. This systemic dependency on external funding
642 slowed down the collective process and generated uncertainties for the future of
643 cooperation. As stated by the following actors,

644

645 *“The money that we get from the government for the first phase was not enough. We did*
646 *not lose a lot of money, but we had to cut the budget allocated to the meetings with*
647 *producers. The follow-up phase has been accepted, we are really happy, but stressed as*
648 *we need to plan it well so we do not penalize the producers.” – Manager – Lake Boivin*
649 *watershed project*

650 *“We spend a lot of time talking with the farmers, and then we don’t count the number of*
651 *hours, and at the end it goes beyond our rates. But we know that this helps so much in the*
652 *project, makes things move forward by earning their trust” Farm advisor - ALUS*

653

654 These intermediaries showcase how their dependence on external resources gives power to
655 the authorities and funding agencies in determining the rules for exactly how mechanisms
656 to collectively manage AESs should be implemented in practice. The stress and uncertainty
657 caused by short-term funding illustrates the ways by which governments or private
658 organizations exert power, as the result of short-term allocative decisions influencing the
659 strategies of actors implementing projects on the ground, often with minimal resources at
660 their disposal. This power asymmetry implies that intermediaries are not able to rely on
661 assured, long-term financial resources to promote activities that encourage and solidify
662 sustainable social relations in the long term.

663 In the case of MRC Nicolet-Yamaska, the development of new coordinated actions is based
664 on a common political aim shaped through a from the process of policy bricolage. Here,
665 we can observe that political pressure and negotiation led to the adaptation of the regional
666 development strategy that created a unique formal space assembling local governments,
667 farmers and intermediaries, and reflecting evolving stakeholders' aims and interests. This
668 space is currently conceived as key for cooperation around AESs in the region. However,
669 despite being a product of collective pressure, this adapted development strategy for the
670 MRC relies mainly on the political will of the regional council. We thus witness a tangible
671 political asymmetry between elected officials that have more authoritative resources in the
672 form of decision-making power and political influence and farmers or agri-environmental
673 councilors when it comes to orienting political decisions at the regional level. Changes in
674 the configuration of the council (e.g. representatives of 16 municipalities) or political and
675 economic instabilities might play a role in the long-term permanence of any further
676 collective action and the recognition of socio-ecological interdependencies at the regional
677 level. In turn, these changing relationships will invariably influence the mutual dependency
678 between actors in the provision of ESs.

679

680 A less tangible but influential power relation is the role that the UPA, the primary farmers'
681 union of Quebec, plays in each initiative. Following the producer's law of Quebec, the
682 UPA benefits from a "union monopoly" by being the only entity credited to represent all
683 farmers in Quebec to the provincial government (Morisset & Couture, 2010). Collective
684 involvement and membership among farmers to the UPA in the province are a key feature
685 of Quebec's agricultural governance structure, playing an important role in the protection
686 of supply management, family farms and rural lifestyles (Morisset & Couture, 2010). In
687 recent decades, the UPA has been mainly associated with ensuring the protection of profit
688 generation for Quebec's agribusiness industries. With this powerful "monopoly" status,
689 they can equally act as the veto voice of farmers, expressing supposed unanimous interests
690 and goals of farmers, even as farms and farmers are clearly not homogenous (Dupont,
691 2009; Morisset & Couture, 2010). Through their authoritative resources (e.g. political
692 status, influence and structure), the UPA is well placed to shape the design of initiatives
693 like ALUS-PESs, in strategic ways to influence farmer involvement in watershed

694 management like the Lake Boivin project, or to influence political decisions in the case of
695 the MRC Nicolet-Yamaska. At the same time, they could potentially impact the outcomes
696 of agri-environmental action at the landscape level in positive ways by serving as the
697 vehicle to mobilize farmers and promote awareness-raising among all producers in a
698 particular region or for the province as a whole.

699

700 However, the UPA has largely exercised its political influence to protect powerful
701 production interests or to claim for compensation for any yields and profits lost, as shown
702 for instance during the free-trade negotiations between Canada and the European Union.
703 The influence of the UPA has also been evident in their opposition to production
704 moratoriums to decrease the environmental pressure from industrial hog farming (Dupont,
705 2009). In other words, if political views or interests of the UPA are in confrontation with
706 those of other stakeholders, for instance for increasing conservation areas on land otherwise
707 meant for intensive production, their role can certainly present a systemic obstacle for
708 genuine collective action. This exercise of power could hamper the emergence of spaces
709 or mechanisms for the recognition of socio-ecological interdependencies, the strengthening
710 of trust relations (social capital), and therefore the emergence of collective action for the
711 managing of agri-environmental landscapes.

712

713 Finally, in the three cases, we observed a lack of political autonomy at the watershed or
714 landscape level since the level of political intervention does not match the ecological scale
715 of the co-production of AESs. Even if new spaces to manage AESs are created, these
716 institutions do not have the authoritative resources to generate legislative, regulatory or
717 coercive mechanisms to exercise any type of collective landscape governance of ESs. In
718 the case of the MRC, even if there exists sufficient political will, the overarching
719 institutions in charge of environmental regulations remain under the legislative power of
720 the provincial government. This institutional mismatch represents a further obstacle for
721 fostering spatially and temporally relevant collective action for agri-environmental
722 landscapes.

723

724 When we examine how power dynamics shape the mechanisms and institutions involved
725 in recognizing social interdependencies for collective action, we observe that they often go
726 through a process of institutional bricolage (Cleaver, 2002) By this we mean that eventual
727 outcomes for agri-environmental action involve the collective piecing together of strategies
728 shaped by the (in)capacity to exert agency and autonomy in decision-making as embedded
729 in the power relation between actors. Bricolage implies that actors improvise allocative
730 (e.g. financing opportunities), and authoritative resources (e.g. professionalized expertise,
731 government institutions, political discourses) in an everyday context of negotiation and
732 adaptation between the goal of achieving cooperation and in achieving their own interests
733 as beneficiaries, providers or intermediaries. In the documented cases, it is not only the
734 introduction of policy tools for developing collective action that influence the recognition
735 of social interdependencies around ESs, but also the creation of new, formal or informal,
736 socially embedded spaces and strategies shaped by the availability of resources and the
737 exercise of power.

738

739

740 **4. DISCUSSION**

741

742 The collective initiatives described here contribute to illustrating the social processes
743 involved in the recognition of social interdependencies around AESs. Such co-dependent
744 and mutually beneficial relations are acknowledged through the emergence of new
745 institutions and spaces beyond already established actions, and sometimes at the margins
746 of the main initiative. New visible and invisible social interactions are developed according
747 to relations of trust between intermediaries and providers that emerge from informal
748 exchange and political negotiation, resulting in spaces for collectivization around agri-
749 environmental issues. While the three examples do not appear to be collective actions yet;
750 they do result in the reinforcement of the recognition of interdependencies between actors,
751 which according to Barnaud et al. (2018), offers a crucial intermediary step towards
752 achieving collective action.

753

754 Our results indicate as well that social interdependencies around AESs might not be well
755 defined by actors at the start of agri-environmental interventions, but instead become co-

756 constructed through new exchanges and collective practices (Jacoby & Ochs, 1995).
757 Cooperation is based on constant (re)negotiation and adaptation (De Koning & Cleaver,
758 2012), whereby intermediaries (e.g. agronomists, environmental coordinators, NGOs) play
759 a key role in catalyzing the reinforcement of existing social networks or opening
760 opportunities for new social relations (Bird & Barnes, 2014; Schomers et al., 2015). These
761 new visible and invisible interactions are developed in accordance with growing relations
762 of trust that emerge from both informal exchange and more formal political negotiation.
763 The potential outcome of these interactions results in spaces of collectivization around agri-
764 environmental concern.

765

766

767 To this end, we observed gains in social capital, especially in new relations of trust and
768 ongoing engagement between providers and intermediaries, which did not exist before the
769 initiation of these programs. These conclusions are in line with literature on the ways
770 collective landscape governance can be reinforced by the strengthening of social capital
771 through the development of social networks and the building of reciprocal links of trust
772 (Pretty & Smith, 2004; Van Gossum et al., 2005; Polman & Slangen, 2008; Six et al., 2015;
773 Westerink et al., 2017). Our analysis goes a step further by discussing how the development
774 of social capital for collective action is influenced by uneven power relations, characterized
775 by the control over material and non-material resources (Franks & Cleaver, 2007). To this
776 end, the social processes involved in the recognition of interdependencies are directed
777 through a process of institutional bricolage, whereby social links are continuously
778 (re)constructed by actors, both at the interface of formal and informal institutions, but most
779 importantly under the influence of existing power asymmetries. We argue that analysing
780 how power shapes processes of recognizing social interdependencies, and the development
781 of social capital contributes to studies of ESs governance, complementing the conceptual
782 framework proposed by Barnaud et al. (2018).

783

784

785 Our analysis has focused on the collective recognition of mutual benefits for providers and
786 beneficiaries of ESs, identified as their main motivations to engage in collective provision

787 of AESs. We acknowledge that the selected and discussed interdependencies do not cover
788 the full range of interlinkages and interdependencies among actors, as such relations might
789 not only be beneficial but also asymmetrical (e.g. benefits for some groups imply less
790 benefit for others) or mutually detrimental. For instance, the economic and social benefits
791 of agri-environmental ESs within commodity crop production (e.g. soy, maize) create
792 economic benefits for those involved in commodity supply chains, but they do less to
793 structurally alter the market-driven imperative to intensify land use in the long-term,
794 resulting in environmental consequences for those in surrounding areas (Kolinjivadi et al.,
795 2020). To this end, we acknowledge the presence of long-term tradeoffs between intensive
796 land uses, focused on increasing food production and yields, and conservation practices to
797 improve the quality of regulation services (water quality, erosion, among others). These
798 dynamics tend to be neglected in studies of collective action initiatives. We therefore offer
799 a caveat in framing collective action too narrowly, only in terms of positive socio-
800 ecological interdependencies, social capital, ESs and negotiation around incentive-based
801 mechanisms while neglecting the overarching drivers of market-driven land-use
802 intensification.

803

804 Conflicts between providers and beneficiaries (negative social interdependencies) around
805 land uses might persist and could become a long-term obstacle to the emergence of further
806 collective action. The studied initiatives do not present mechanisms to resolve such land
807 use conflicts or underlying drivers of land-use intensification as they are based on the
808 voluntary participation of actors. Such conflicts must be further taken into account,
809 particularly in terms of how they enable or disable collective production of AESs in the
810 long-term. As such, conflicts, through the framework used in this research (Barnaud et al.
811 2018) must be apprehended as *normal* in the process of engagement and disengagement in
812 collective action, rather than an anomaly that needs to be technically corrected for.
813 Conflicts can be the outcome of competing demands for resources or distinct framing of
814 responsibilities resulting from negative interdependencies (Ferreyra et al., 2008).
815 Collective action around AESs must therefore be conceptualized as an open-ended process,
816 where conflicts are expected to emerge as potential outcomes. The challenge remains for
817 intermediaries to identify latent conflicts in order to prevent escalation into more

818 intractable forms of alienation or distrust (Emery et al., 2015 cited by Reed et al. 2018). To
819 this end, the intervention of a neutral mediator can be helpful to reach an agreement, usually
820 by providing negotiated stakeholder-directed solutions, rather than a solution imposed by
821 an outside judge (Reed et al., 2018).

822

823 In terms of policy implications, our results illustrate that farmers rely on outreach and social
824 activities as a way to inform themselves and to gain further access to knowledge and
825 conservation opportunities. However, funding sources for collective initiatives do not
826 always cover all costs associated with increasing cooperation (e.g. meetings, forums,
827 follow-ups). Indeed, these transaction costs are not fully considered in the design of
828 projects as they remain difficult to account for and to evaluate in terms of the required labor
829 (e.g. time and effort) exerted by intermediaries (Mills et al., 2011; McCann, 2013). The
830 financial uncertainty leaves little room to maintain long-term confidence in the
831 relationships that emerge, which are crucial for solidifying the recognition of social
832 interdependencies and ultimately collective action for socio-ecological transformation. In
833 order to foster collective action in the generation of ESs, funding sources would need to be
834 sensitive to the hidden costs of sharing and developing awareness on the connectivity
835 between actors, uneven relations of power, conflict emergence and resolution, as well as
836 the resources these efforts would require.

837

838 Those who design policy should be aware that the process towards collective action is
839 complex and attention must be paid to situated experiences of policies and programs as
840 they combine with other institutional structures and the ways these experiences are
841 profoundly influenced by power dynamics. For instance, the emergence of informal spaces
842 and exchanges suggests that everyday negotiations and less visible interactions offer the
843 potential to circumvent mistrust and generate connections rooted in care between farmers
844 and farm advisors, subsequently encouraging future cooperation and engagement. As a
845 result, new social interactions become both shaped and limited by the availability of
846 resources, such as time and funding (allocative resources), by broader political narratives
847 and decision-making strategies (authoritatives resources).

848

849

850 CONCLUSION

851

852 Our results contribute to the characterization of the social processes involved in the
853 emergence of collective initiatives around AESs. First, the three cases studied reveal that
854 new institutional spaces frame the way social interdependencies are recognized beyond
855 already established actions. Second, relationships of trust (e.g. social capital) is
856 strengthened through new social interactions based on a relation of trust between
857 intermediaries and providers of AESs. Third, such relations are forged through political
858 processes, characterized by formal political negotiation and informal exchange among
859 actors, resulting in spaces of discussion and collectivization around agri-environmental
860 issues. These social processes have the potential to strengthen the recognition of
861 interdependencies between actors, as a crucial intermediary step influencing the potential
862 of collective action. We observe that such social interdependencies around AESs might not
863 be well defined by actors at the beginning of each initiative, but are rather co-defined and
864 co-constructed through continuous negotiation between involved actors. This process of
865 institutional bricolage, influenced by uneven power relations (access to allocative or
866 authoritative resources), will ceaselessly influence the potential development of collective
867 action.

868

869 In sum, our work generates insights towards the processes leading to collective action
870 around AESs. We highlight the role of social interactions, political processes and emergent
871 spaces in the development of collective awareness of social interdependencies around the
872 managed AESs. Even if such interactions might be judged as secondary during policy
873 implementation, the very fact that these conversations and exchanges occur “next to the
874 tractors” offers a helpful imaginary of how trust relations and social capital should not be
875 overlooked when determining the success or failure of agri-environmental measures.
876 Collective initiatives around ESs stand to gain from the inclusion of spaces and strategies,
877 where social interconnections are built as crucial pillars for collective action around ESs.

878

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892

893

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1059

1060 Annex 1: Guide for semi-directed interviews

1061

1062 **Questions to intermediaries/ administrators / advisors**

1063

1064 Introduction:

- 1065 • What is your role in your organization?
- 1066 • How long have you worked in this field?
- 1067 • How many programs that encourage the production of ecosystem services or the
1068 adoption of agro-environmental practices exist in the region?
- 1069 • Can you describe the functioning of the agro-environmental program (s) available
1070 to agricultural producers?
- 1071 • Are there collective management mechanisms put in place?
- 1072 • Can you describe the history of the program(s) and the type of actors involved?
- 1073 • What conservation measures are supported by the program (s)? Why do farmers
1074 want to adopt them?
- 1075 • What is the ultimate objective of the financial assistance or support assigned by the
1076 program (s)?
- 1077 • Is there a long-term vision within the program to improve social and environmental
1078 benefits in agriculture compared to what has been favored over the past 30 years in
1079 Quebec?

1080

1081 Program rules (institutions):

- 1082 • What are the criteria for benefiting from the program (s)?
- 1083 • How are the payments / rewards made? Depending on the adoption of the planned
1084 measures?
- 1085 • Is there a funding cap for adopting practices?
- 1086 • Do farmers have to seek other financing to cover the costs of the developments?
- 1087 • How are payments from the program related to expected results?
- 1088 • Are the payments based on the adoption of certain practices or are they linked to
1089 performance?

1090

1091 Actors' role:

- 1092 • What is the financial contribution of the federal and provincial governments to the
1093 program? Who are your funders?
- 1094 • How are the rules and procedures that ensure the running of the program
1095 established?
- 1096 • What is the difference between the program you manage / support and other
1097 initiatives that encourage the production of ecosystem services in the region?

- 1098 • What do you think are the challenges in setting up agri-environmental initiatives in
1099 Quebec? How does the program(s) cope with these challenges?
1100 • How do the programs interact with other government programs (MDDELP;
1101 MDDEF; MRCs?)
1102 • How do you interact with the different government actors?
1103 • How do you interact with farmers in the region?
1104 • What type of collaborations do you establish with the actors involved in the
1105 program (s)?
1106 • Do you have any promotional or exchange activities with other stakeholders and
1107 participating producers?
1108

1109 Long-term vision :

- 1110 • In your opinion, how famous is the program (s) in Quebec? Are there strategies to
1111 improve visibility or to sensitize farmers to the program (s)?
1112 • If so, can you describe them?
1113 • Do you think the program is attracting interest among farmers? With neighbors and
1114 entourage of a participating farmer?
1115 • What are the greatest successes of the program (s)?
1116 • What are the biggest challenges or areas for improvement?
1117
1118

1119 **Questions to agricultural producers**

1120

1121 Sociodemographic questions:

1122

- 1123 • What is your main occupation?
1124 • Do you own the land, a tenant, or both?
1125 • Type of production:
1126 ○ Cultivated land area (ha):
1127 ○ The size of the farm in terms of income:
1128 ○ Work off the farm?
1129

1130 History of the farm:

- 1131 • Can you name any major changes on your operation since you became a producer?
1132 • Have you changed your farming practices in the past (5-10) years? What practices?
1133 And how?
1134 • What improvements have you made since you acquired the farm?
1135 • What are your long-term development aspirations; how do you imagine your farm
1136 in 10, 20, 30 years?

- 1137 • What are your biggest challenges?
- 1138 • Do you have activities on the farm that are not related to production? (Hunting or
- 1139 fishing, direct sale at the farm; tourism?)
- 1140 • Can you tell me what are the economic factors that influence your crop yields now?

1141

1142 Incentive programs and initiatives:

- 1143 • Do you participate in agri-environmental programs?
- 1144 • How did you find out about the program (s)?
- 1145 • What were your first impressions of this program (s)?
- 1146 • Do you discuss this with your neighbors / families before participating? What was
- 1147 their advice?
- 1148 • What are the main reasons for joining the program?
- 1149 • What measures are encouraged by the program in your field? how are they chosen?
- 1150 • Did the program give you the necessary funding to make the planned activities or
- 1151 are you going to seek other funding?
- 1152 • What were your expectations for this program?
- 1153 • What do you think are the impediments to participating? Did you have any
- 1154 concerns?
- 1155 • What are, in your opinion, the aspects to be improved in the implementation of the
- 1156 program?
- 1157 • Do you know of other similar initiatives? Have you subscribed to these?
- 1158 • Did you receive help to implement the facilities (work with neighbors, agro-
- 1159 advice)?
- 1160 • Did you receive direct counseling from the program?
- 1161 • How did you like these tips? Have you incorporated them into your activities?

1162

1163 Perceptions of social relations:

- 1164 • How are your relations with your neighbors? Do you have a good relationship with
- 1165 them?
- 1166 • Do you tend to share good practices with each other or talk about them sometimes?
- 1167 • Could you have done the agri-environmental arrangements while being alone?
- 1168 • Do you get involved with an agri-environment advisory club? If so, express your
- 1169 expectations of your participation? How have these organizations facilitated (or
- 1170 prevented) your actions?
- 1171 • What is your opinion of the government in terms of encouraging agri-
- 1172 environmental practices? Are they doing their best to improve conditions for
- 1173 farmers? If so, in what way?
- 1174 • If not, what are the obstacles, challenges, or shortcomings of the following levels:
- 1175 ○ The municipality:

- 1176 ○ The MRC:
- 1177 ○ MAPAQ (Ministry of Agriculture, Fisheries and Food):
- 1178 ○ The MDDELCC (Ministry of Sustainable Development, Environment and
- 1179 the Fight against Climate Change):
- 1180 ○ The MFFP (Ministry of Forests, Wildlife and Parks):
- 1181 ○ The OBVs:
- 1182 ○ the UPA (Union of agricultural producers)
- 1183

1184 Ecosystem services and interrelationships:

- 1185 ● What are the expected benefits of the agri-environmental developments that you
- 1186 have installed?
 - 1187 ○ At your farm level
 - 1188 ○ With regard to your relations with your neighbors
 - 1189 ○ For the region
- 1190 ● Who could benefit or appreciate the facilities / practices that you have installed in
- 1191 your operation?
- 1192 ● Regarding the financial compensations granted to you by the program, do you
- 1193 consider these compensations profitable?
- 1194 ● Who decided what types of practices would be made and for what purpose?
- 1195 ● Do you believe that these practices require more or less labor and costs compared
- 1196 to the practices you normally follow in your operation?
- 1197 ● How do they diverge from your existing practices and the values you hold on your
- 1198 land?
- 1199 ● Could you have installed these facilities without financial compensation? Without
- 1200 accompaniment?
- 1201 ● What factors do you think will ensure the continuity of agri-environmental
- 1202 measures once installed?
- 1203 ● On the other hand, what will be the factors that could lead you to abandon the
- 1204 arrangements?
- 1205