

1 **A Comparative Study of Conceptual Model Complexity to Describe Water Flow and**
2 **Nitrate Transport in Deep Unsaturated Loess**

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12 *Highlights*

- 13 • Examination of how complex a model needs to be for the simulation of water and nitrate
14 movement in deep unsaturated loess.
- 15 • The performance of a combined Richards' equation and Advection-Dispersion equation
16 approach is superior to a simplistic piston flow model.
- 17 • Using a Ks decay function to represent loess vertical variability in numerical models
18 improves unsaturated water flow predictions.

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28 **Abstract**

29 Understanding nitrate migration through the deep vadose zone is essential for aquifer
30 vulnerability assessments. The effect of variability of physical properties of the deep vadose zone
31 on nitrate transport has been scarcely explored. Recently, deep nitrate storage profiles were
32 determined in the vadose zone of the Loess Plateau of China. Using these observations along
33 with measured soil properties, this study investigates the effect of loess vertical heterogeneity on
34 water movement and nitrate transport through the deep vadose zone. Models of different
35 complexity were established and calibrated. First, a simple piston flow and nitrate mass balance
36 approach was calibrated to the observed nitrate storage. The results indicate that the total nitrate
37 storage is estimated well, while the estimation of the distribution of nitrate is relatively poor.
38 Subsequently, Richards' equation and the Advection-Dispersion equation were evaluated. Three
39 different conceptualizations of the numerical models were calibrated against deep vadose zone
40 nitrate and water content observations: (1) one-layer model assuming homogenous loess vadose
41 zone; (2) a model that considers a hydraulic conductivity (K_s) decay function and (3) a model
42 where the Miller-Miller scaling factors are prescribed to account for changes of the hydraulic
43 functions with depth. Accounting for the vertical K_s decay in the numerical models improved
44 water flow performances. The study reveals the adequacy of implementing water flow and nitrate
45 transport numerical models together with a simple representation of the vertical loess variability,
46 for simulating nitrate migration in loess deep vadose zone environments.

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48 **1 Introduction**

49 The excessive use and improper management of nitrate fertilization in agricultural production
50 have led to nitrate groundwater and surface water contamination worldwide (Kapoor &
51 Viraraghavan, 1997; Galloway et al., 2004). It has been recognized that a substantial amount of
52 nitrate is being stored in the deep vadose zone globally, which results in a ‘time bomb’ for future
53 quality of water resources (Ascott et al., 2017). Nitrate leaching rates and nitrate migration in the
54 vadose zone are controlled by various of factors such as fertilizer input amounts, fertilizer
55 application frequency, water input intensity and frequency, crop type, soil texture and soil
56 variability (Spalding & Exner, 1993; Onsoy et al., 2005; Green et al., 2008; Botros et al., 2012;
57 Kurtzman et al., 2013; Turkeltaub et al., 2015a; Baram et al., 2016; Min et al., 2017).
58 Understanding the dominant factors that control nitrate migration in the deep vadose zone is of
59 great interest for water resources management.

60 Due to the difficulty of directly measuring contaminant fluxes in the deep vadose zone,
61 conceptual models (of various complexity) are often used to help improve our understanding of
62 deep vadose zone transport (e.g. Feyen et al., 1998; Baran et al., 2007; Botros et al., 2012; Russo
63 et al., 2014; Min et al., 2015; Baram et al., 2016; Turkeltaub et al., 2015a). Generally, deep
64 vadose zones exhibit large textural diversity and layering that can affect the flow and transport
65 behavior (Nimmo et al., 2002; O’Geen et al., 2005; Onsoy et al., 2005). However,
66 implementation of such layering in models is challenging, particularly as observations in the
67 deep vadose zone are rare. Consequently, the modeler is forced to adopt a strategy employing
68 ‘effective parameters’ that can capture the spatial variations of the (often limited) observed states
69 (Mohanty & Zhu, 2007; Vereecken et al., 2007; Nasta & Romano, 2016). Effective parameters
70 can be obtained by scaling methods, inverse modeling (calibration) or a combination of the two
71 (Kabat et al., 1997; Zhang et al., 2004; Vereecken et al., 2007; Botros et al., 2012; Russo et al.,
72 2014; Turkeltaub et al., 2015a,b). Generally, loess vadose zones consist of paleosol sequences
73 which can exhibit a rather complex stratigraphy (Kukla, 1987; O’Geen et al., 2005). O’Geen et
74 al. (2005) stated that in order to improve modeling performances for loess vadose zones, the
75 relationships between hydrological processes and vertical variability needs to be evaluated.

76 The impact of soil vertical variability on unsaturated nitrate transport and other contaminants at
77 different scales has been the focus of a number of studies (Onsoy et al., 2005; Botros et al., 2012;

78 Russo et al., 2014; Baram et al., 2016; Oostrom et al., 2016; Akbariyeh et al., 2018). It has been
79 illustrated that in unconsolidated alluvial deposits under irrigated agricultural land, there are
80 immobile regions that play a major role in defining the spatial variability of nitrate (Botros et al.,
81 2012; Russo et al., 2014). However, under semi-arid and arid climate conditions, the
82 heterogeneity of the deep vadose appears to have insignificant effect on contaminant flux into
83 groundwater (Oostrom et al., 2016). The influence of soil variability on nitrate migration in the
84 deep loess vadose zone has not been thoroughly investigated. Some studies on nitrate in the deep
85 loess vadose zones have related the vertical variability of nitrate to processes that occurred at the
86 loess surface, such as land use change (Baran et al., 2007; Huang et al., 2013). Other studies
87 showed that higher recharge rates occurred at locations with homogeneous loess (O'Geen et al.,
88 2005). Therefore, it is unclear if the migration of nitrate through the deep loess vadose zone is
89 controlled solely by the conditions enforced at the loess surface (e.g. water input and fertilizer
90 application), or whether other factors, such as the loess heterogeneity, play a significant role.
91 Until such effects are evaluated, our ability to reliably model nitrate transport to deep
92 groundwater systems is limited, with clear consequences on the validity of vulnerability
93 assessments.

94 The thickest (>150 m) and largest loess deposits in the world are located in the Loess Plateau of
95 China (LPC) (Kukla, 1987). The LPC is experiencing significant land-use change, rapid decline
96 in the water table, climate change and intensive soil erosion processes (Zhang et al., 2008; Huang
97 & Pang, 2011; Li et al., 2014). In addition, a number of studies that used mass balance
98 approaches and nitrate isotope compositions analysis, indicated that nitrate is accumulating in the
99 LPC vadose zone due to an overuse of N-based fertilizers (Huang 2013; Jia et al., 2018; Liu et
100 al., 2019; Ji et al., 2019). Despite the immense consequences of degradation of water quality over
101 such a large region, knowledge regarding the factors that control nitrate distribution in the
102 vadose zone of the LPC is still limited.

103 Turkeltaub et al. (2018) presented the spatiotemporal patterns of vadose zone nitrate storage and
104 groundwater in the LPC by implementing numerical models using Richards' equation and the
105 Advection-Dispersion equation (ADE). Their analysis was based on intensive soil profile
106 sampling that was conducted across the LPC, which enabled the use of a detailed modeling
107 approach. However, the physical soil properties were mostly obtained from the shallow depths of

108 the LPC. This limited the examination of the effect of vertical loess vadose zone variability on
109 model simulation results. Furthermore, Turkeltaub et al. (2020) compared the detailed approach
110 with estimates derived from global scale models that are based on simple approaches (piston
111 flow). The discrepancies in nitrate travel times and recharge fluxes were partly explained by the
112 simplistic representation of the flow processes. Recently, Jia et al., (2018) presented deep vadose
113 zone nitrate concentration profiles at five study sites across the LPC. Valuable additional
114 information regarding the loess physical properties were collected during the study of Jia et al.
115 (2018). Given the paucity of such deep vadose zone datasets, these data give us the rare
116 opportunity to explore the possible effect of loess vertical variability and the level of model
117 complexity on unsaturated water flow and nitrate transport in the LPC. Thus, the objective of this
118 study is to assess the model complexity needed to predict site-specific nitrate accumulation and
119 migration within deep loess vadose zone profiles.

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121 **2 Method**

122 *2.1 Study area*

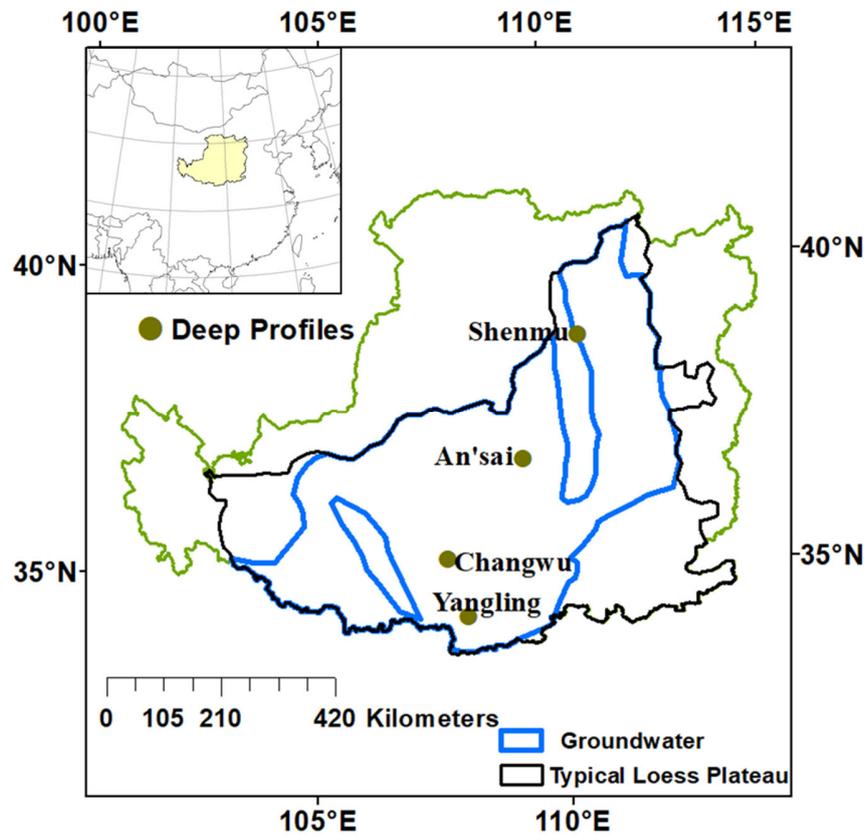
123 Loess is an aeolian deposit that evolved mainly during the Quaternary and covers 10% of the
124 Earth's surface (Smalley et al., 2011). The loess sediments are dominated by silt grain size, often
125 resulting in a limited spatial variability of the loess properties (Smalley & Marković, 2014). The
126 rich amount of silt within the loess sediments makes it effective for agriculture production (Catt,
127 2001). Additionally, in many cases, unconfined groundwater systems are located under or within
128 of the loess deposits (El Etreiby & Laudelout, 1988). Numerous studies have investigated the
129 possible impact of intensive agricultural cultivation on water quality of the loess groundwater
130 systems (Baran, et al., 2007; El Etreiby & Laudelout, 1988; Huang et al., 2013; Isla et al., 2018;
131 Keller et al., 2008; Wagner & Roberts,1998).

132 The LPC region covers a total area of $0.64 \times 10^6 \text{ km}^2$, where a continuous loess has an area of
133 $0.43 \times 10^6 \text{ km}^2$, accounting for about 72% of the loess-covered area in China (Jia et al., 2015,
134 Figure 1). This region is subject to a semiarid to subhumid climate; most rain (55–78%) falls in
135 the form of high intensity rainstorms between June and September. According to daily climate
136 data that were obtained in the vicinity of four study sites investigated here (Figure 1; State

137 Bureau of Meteorology, 2018; <http://cdc.cma.gov.cn>), the total annual precipitation ranges
138 between 420 mm in Shenmu (north, Figure 1) and 627 mm in the Yangling (south, Figure 1).
139 The annual estimated evapotranspiration is between 928 mm at Yangling and 1028 mm at
140 Shenmu and the mean annual temperature ranges from 9°C in Shenmu to 13.3°C in Yangling
141 (Figure 1). An unconfined aquifer is embedded within the loess sediments and the water table is
142 located on average at 52 m depth but can vary between 0 and 233 m according to the model of
143 Fan and Miguez-Macho (2013). This groundwater resource has been overexploited, and the
144 regional water table is rapidly declining, between 0.5 and 1 m per year (Huang & Pang, 2011; Li
145 et al., 2014).

146 The loess is comprised of lower Pleistocene (Wucheng Loess), middle Pleistocene (Lishi Loess),
147 and upper Pleistocene (Malan Loess) (Kukla, 1987; Derbyshire, 2001; Huang & Pang, 2011).
148 The Malan Loess typically has a thickness of up to about 10 m and is distributed as the topsoil in
149 the area. This loess type is characterized by a bulk density (BD) of 1.34 g/cm³ and a saturated
150 hydraulic conductivity (Ks) of 35 cm/day (Derbyshire, 2001). Underlying the Malan Loess is the
151 Lishi loess, with a typical thickness of 120–150 m, a BD of 1.58 g/cm³ and a Ks of 4.6 cm/day
152 (Derbyshire, 2001). The LPC regional unconfined aquifer is embedded within the Lishi Loess.
153 The Wucheng Loess, with a thickness of 40–60 m, is hard and compacted, resulting in low
154 permeability and is consequently usually considered as an aquitard (the BD is about 1.68 g/cm³
155 and the Ks is 1.27 cm/day; (Derbyshire, 2001)).

156 The deep vadose zone data that are utilized in this study were extracted from four sites along a
157 south-north direction across the Loess Plateau of China (LPC): Yangling, Changwu, An'sai and
158 Shenmu (Jia et al., 2018; Figure 1). According to Jia et al. (2018), at the Yangling site a double
159 cropping system has been implemented, where wheat is cultivated during winter (October to
160 May) and corn during summer (June to September). Because of the intense agricultural
161 cultivation and the lack of substantial rain events during winter, the wheat crop has to be
162 irrigated (Huang et al., 2004). Wheat and corn are also cultivated at the Changwu site, however,
163 only a single crop is cultivated each year. At the An'sai site a single crop cultivation system is
164 implemented, with rotation between millet and soybeans. The Shenmu site is currently covered
165 with grass, although it was cultivated until the end of the 1990s (Jia et al., 2018). Note that
166 Changwu, An'sai and Shenmu sites are rainfed, i.e. no irrigation is applied.



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Figure 1. The Loess Plateau of China is marked by the green line and the subregion of continuous loess is indicated by the black line. The blue line designates the approximate boundaries of the unconfined groundwater system modified from Huang et al., (2013). The green circles represent the four study sites where deep boreholes were drilled (Jia et al., 2018).

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175 2.2 Loess properties and nitrate concentrations in deep vadose zone

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A full description of the soil sampling and soil physical analysis relating to data used here can be found in Jia et al. (2018), and, therefore, only a brief explanation of the database is given here. The deep vadose zone profiles were drilled (15 cm diameter borehole) from the land surface to bedrock between May and June 2016, using the under-reamer method (Overburden Drilling

180 Exploration; Izbicki et al., 2000). As this study focuses only on the unsaturated zone, data
181 collected below the water table is not considered. The water tables are located at 81, 96, 141 and
182 54 m depth in Yangling, Changwu, An'sai and Shenmu, respectively. For the Changwu, An'sai
183 and Shenmu sites, soil cores were sampled at 1m intervals, while at the Yangling site soil cores
184 were taken every 0.5 m to a depth of 10 m, and at 1 m intervals for depths beyond 10 m. The
185 samples were analyzed for gravimetric water content, particle size distribution, bulk density, pH,
186 NO₃-N and NH₄-N and ¹⁵N and ¹⁸O in nitrate. For further details, the reader is referred to Jia et
187 al. (2018). Note that the volumetric water contents were computed from the gravimetric water
188 content and bulk density. For clarity, the data are elaborated below briefly.

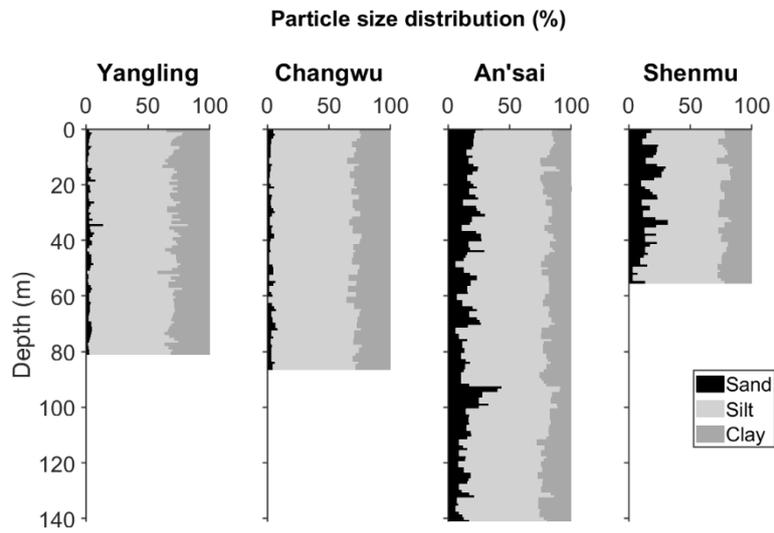
189 Figure 2 shows the vertical variability of the particle size distribution (PSD) at the four study
190 sites, organized from south to north of the LPC (Figure 1). The PSD data clearly confirm the
191 predominance of the silt fraction for all sites. Nevertheless, there is a distinguished increase in
192 sand fraction and decrease in silt and clay fractions with depth from Yangling (south) to Shenmu
193 (north), which is accordance with previous regional studies that investigated distribution of loess
194 particle size extensively (e.g. Derbyshire, 2001).

195 The bulk density measurements show a more complex behavior compared to the textural
196 information (Figure 3). An increase in bulk density from soil surface to about 10 m depth can be
197 seen at all sites. Note that the depth where the bulk density stabilizes is different for each site.
198 Moreover, some transitions in bulk density can be observed at depth (e.g., 40 m at Yangling).
199 This phenomenon was not reported previously and its effect on the water flow in the vadose zone
200 is unknown. As is illustrated by Derbyshire (2001), the bulk densities are expected to show an
201 increase with depth, where the Malan loess type changes to the Lishi loess type.

202 Relatively high nitrate concentrations occur in the vadose zone of the Yangling and Changwu
203 sites (Figure 4a,b). Nitrate accumulation is observed at about 50 m and 30 m depth in Yangling
204 and Changwu, respectively, which is the deepest recorded vadose zone nitrate transport in loess,
205 under rainfed and irrigated land uses. Analysis of nitrate isotopes by Jia et al. (2018) showed that
206 a significant proportion of the nitrate is of anthropogenic origin. Furthermore, previous studies
207 on nitrate in vadose zone of loess in China (Huang 2013) and elsewhere, e.g. France (Baran et al,
208 2007), revealed long travel times of nitrate in the vadose zone. Therefore, the detection of nitrate

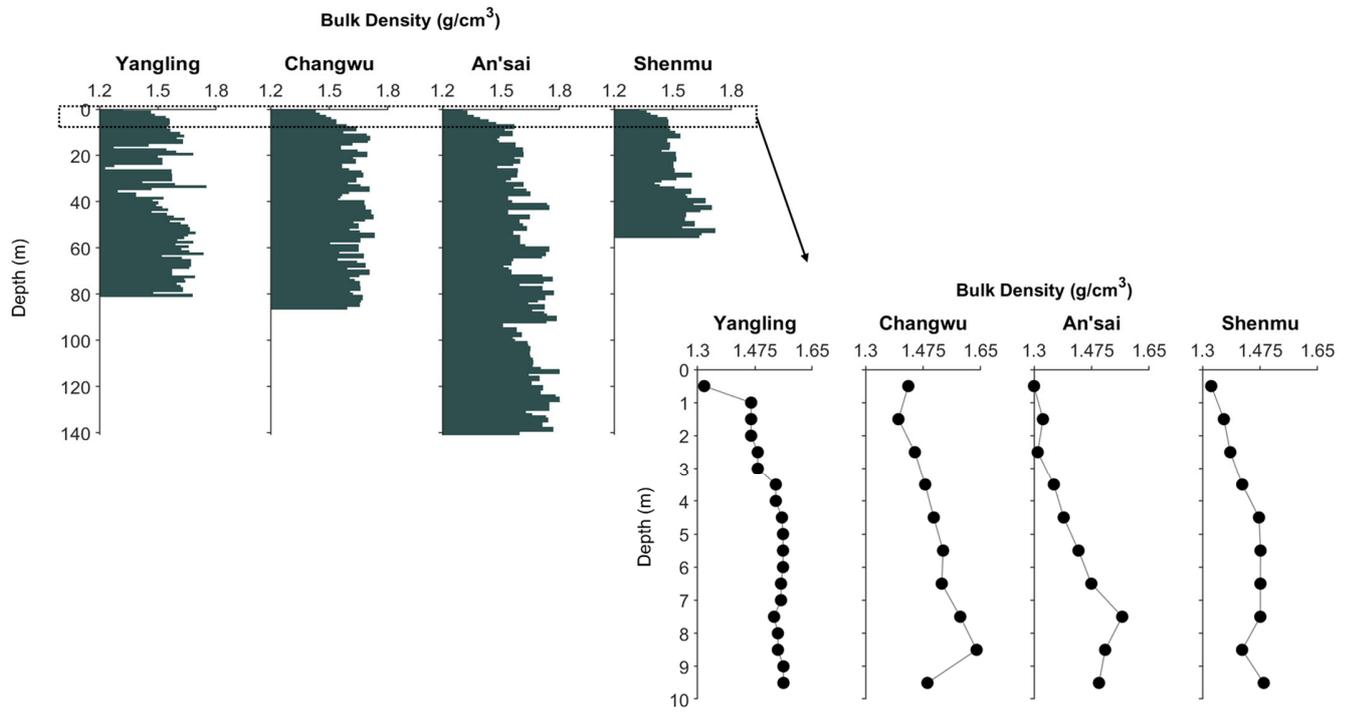
209 at very deep depths under the Yangling and Changwu sites is rather unique. At the other sites,
210 nitrate accumulation appears to be low and relatively far from the water table (Figure 4c,d,e).

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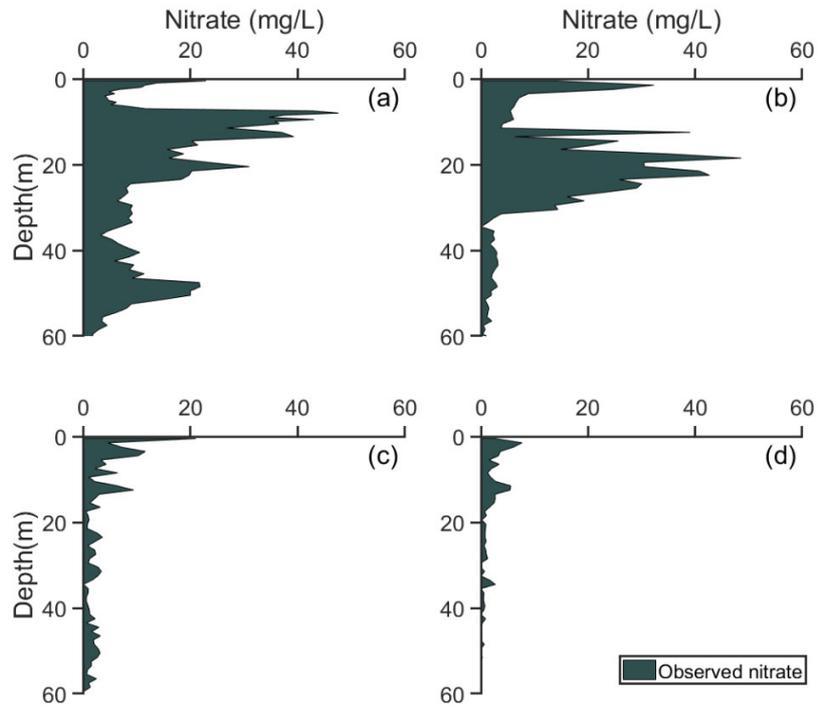
212

213 **Figure 2.** *The depth profiles of particle size distribution for the four sites across*
214 *the Loess Plateau. Note that the water tables are located at the bottom of each*
215 *profile.*



216

217 **Figure 3.** *The vertical bulk density distribution for the four sites across the Loess Plateau.*



218

219 **Figure 4.** *The vertical nitrate distribution in the vadose zone of: (a) Yangling, (b)*
220 *Changwu, (c) An'sai and (d) Shenmu.*

221

222 2.3 Soil hydraulic functions

223 Soil retention curves and unsaturated hydraulic curves are commonly described according to the
224 van Genuchten-Mualem (VGM) model (Mualem, 1976; van Genuchten, 1980):

$$225 S_e = \frac{\theta - \theta_r}{\theta_s - \theta_r} = [1 + (\alpha|\psi|)^n]^{-m}, \quad (1)$$

226 where S_e is the degree of saturation ($0 < S_e < 1$), θ_s [$L^3 L^{-3}$] and θ_r [$L^3 L^{-3}$] are the saturated and
227 residual volumetric soil water contents, respectively, α [L^{-1}], n [-], and $m = (1 - 1/n)$ are shape
228 parameters.

229 Hydraulic conductivity is often described by:

$$230 K(S_e) = K_s \times S_e^l [1 - [1 - (S_e)^{1/m}]^m]^2, \quad (2)$$

231 where K_s [$L T^{-1}$] is the saturated hydraulic conductivity and l is the pore connectivity parameter
232 prescribed as 0.5.

233 Three undisturbed soil cores were collected from the upper 10 cm of the soil at each of the four
234 deep vadose zone study sites (Figure 1, green circles). Subsequently, three soil retention curves
235 were measured for each site using the Hyprop system (UMS GmbH, Munich, Germany). The
236 VGM parameters were obtained using the *lsqcurvefit* function in MATLAB optimization
237 toolbox. K_s values were extracted from the nearest sampling point of earlier regional studies
238 (Wang et al., 2013b; Jia et al., 2015). In the regional studies, undisturbed soil cores were
239 collected from depths between 0 and 25 cm and K_s was determined using the constant head
240 method. For the deeper parts of the investigated deep vadose zones, only particle size distribution
241 and bulk density information were available. To estimate the VGM parameters, the Rosetta3
242 pedo-transfer function (PTF) was applied (Zhang & Schaap, 2017). The Rosetta3 PTF relates
243 simple-to-measure soil properties and the VGM parameters. Five different PTFs are included in
244 Rosetta3, which enable the estimation of VGM parameters from different levels of information

245 according to available data. In the current study the second model of Rosetta3, which uses the
 246 loess texture and bulk density data (Figures 2 and 3), was implemented.

247

248 **Table 1.** *Fitted van Genuchten -Mualem soil parameters against observed*
 249 *retention curves using the Hyprop system. The soil cores were obtained from 10 cm*
 250 *depth at each study site (the observed and fitted retention curves are included in the*
 251 *Supporting Information). The Ks values were extracted from the nearest sampling*
 252 *point of previous regional studies (Wang et al., 2013b; Jia et al., 2015).*

Site	θ_r (cm ³ / cm ³)	θ_s (cm ³ / cm ³)	α (1/cm)	n	Ks (cm/day)
Yangling	0.186	0.526	0.054	1.63	16
Changwu	0	0.52	0.0128	1.41	51
An'sai	0.086	0.5	0.0084	2.24	81
Shenmu	0.023	0.35	0.0017	1.89	61

253

254 2.4 Ks vertical decay

255 A relatively simple approach to determine soil vertical variability is by considering the gradual
 256 decrease of the saturated hydraulic conductivity, Ks, with depth (Beven and Kirkby, 1979; Jiang
 257 et al., 2009; Ameli et al., 2016). Wang et al. (2017) indicated that Ks in the loess plateau shows a
 258 decreasing trend with depth due to compression effect. Moreover, they suggested that this trend
 259 can be expressed with an exponential decay function:

$$260 \quad Ks(z) = (Ks_0 - Ks_1) \times \exp^{-\frac{z}{z_f}} + Ks_1, \quad (3)$$

261 where Ks_0 [L/T] is the saturated hydraulic conductivity at the top of the soil profile, Ks_1 [L/T] is
 262 the saturated hydraulic conductivity at infinite depth, z_f [L] is a fitting parameter and z [L] is the
 263 soil depth. Equation 3 is fit to the vertical distribution of Ks values predicted by the Rosetta3
 264 PTF model.

265

266 2.5 *Scaling factors of the hydraulic functions*

267 To account for the vertical variability of the soil properties, several earlier deep vadose zone
 268 studies have suggested the application of the Miller-Miller scaling approach (Miller & Miller,
 269 1956; Nimmo et al., 2002; Botros et al., 2012). The basic assumption of the Miller-Miller
 270 approach is that when two porous media share similar geometry, they can be scaled through a
 271 physical characteristic length (Miller & Miller, 1956; Sadeghi et al., 2016). The heterogeneity of
 272 the soil is then expressed by a single set of scaling factors (simultaneous scaling) that relates the
 273 local properties to a reference set of hydraulic functions as follows (Clausnitzer et al., 1992):

$$274 \quad h(S_e)_i = \frac{\hat{h}(S_e)}{\delta_i} \quad (4)$$

$$275 \quad K(S_e)_i = \delta_i^2 \times \hat{K}(S_e) \quad (5)$$

276 where δ_i is the scaling factor for the hydraulic functions of a soil at depth i , \hat{K} and \hat{h} are the
 277 reference water retention and unsaturated hydraulic curves, respectively, $K(S_e)$ and $h(S_e)$ are the
 278 hydraulic functions at depth i . The degree of saturation (S_e) is used to avoid the need to assume
 279 identical porosities. To calculate the individual scaling factor (δ_i) throughout the loess vadose
 280 zone, an objective function ($\Phi(p)$) was established to minimize the differences between the
 281 reference hydraulic curves and the hydraulic curves estimated from Rosetta3 for each depth i .
 282 Note that the fitted hydraulic parameters in Table 1 were assumed to represent the reference
 283 hydraulic curves. The objective function is as follows (Clausnitzer et al., 1992; Nasta & Romano,
 284 2016):

$$285 \quad \min \Phi(p) = \min \sum_{i=1}^I \Phi(p)_i \quad (6)$$

$$286 \quad \Phi(p)_i = WH_i \sum_{\eta=1}^N [\hat{h}(S_e)_{\eta} - h(S_e)_{\eta,i}]^2 + WK_i \sum_{\eta=1}^N \left[\ln(\hat{K}(S_e)_{\eta}) - \ln(K(S_e)_{\eta,i}) - 2\ln(\delta_i) \right]^2, \quad (7)$$

287 where p is the parameter vectors that includes all scaling factors, N denotes the number of values
 288 of the degree of saturation, S_e , ranging from 0 to 1. Note that only the soil hydraulic functions
 289 parameters were available. Therefore, the $h_{i,\eta}$ and $K_{i,\eta}$ were estimated by implementing a range of
 290 S_e values in the VGM models. Equation 7 was solved using the *fminsearch* function in
 291 MATLAB toolbox.

292 3 Setup of Models

293 Two modeling approaches are implemented in this study to describe the migration of water and
294 nitrate in the deep loess vadose zone. The first is a piston flow and nitrate mass balance model
295 based on the work of Laio et al. (2001), Porporato et al. (2003) and Guswa et al. (2002). The
296 second is the one-dimensional Richards' equation and one-dimensional ADE with nitrate
297 reactions.

298

299 3.1 Piston flow model and nitrate mass balance model (PFMB)

300 Water balance in absence of surface runoff can be described by (Laio et al., 2001; Guswa et al.,
301 2002; Romano et al., 2011):

$$302 \quad n_p Z_r \frac{dS}{dt} = P - ET - R \quad (8)$$

303 where dS/dt is the water storage change over time, S is the average saturation over the root zone,
304 n_p is the porosity, Z_r is the depth of the root zone, P [L/T] is the precipitation, R [L/T] is the
305 percolation (potential recharge) at the profile bottom and ET [L/T] is the evapotranspiration
306 (ET). The ET is composed of the soil evaporation (E) and transpiration (T, root water uptake).
307 Here, the water balance was calculated at a daily resolution.

308 The transpiration rate is controlled by two mechanisms: the atmospheric demand and the supply
309 of water in the soil. Assuming that there is no effect of salts, the uptake function can be described
310 by:

$$311 \quad T(S) = \begin{cases} 0 & S \leq S_w \\ \frac{S-S_w}{S^*-S_w} * T_p & S_w < S < S^* \\ T_p & S \geq S^* \end{cases} \quad (9)$$

312 where T_p represents the maximum transpiration rate as dictated by the atmospheric demand, S^* is
313 a threshold value and S_w is the saturation at which the uptake is zero and the plant wilts.

314 Evaporation from the root zone is described similar to the root water uptake approach:

315
$$E(S) = \begin{cases} 0 & S \leq S_h \\ \frac{S-S_h}{S^*-S_h} * E_p & S_h < S < S^* \\ E_p & S \geq S^* \end{cases} \quad (10)$$

316 where S_h is the hygroscopic saturation, at which evaporation ceases. Note that the average
 317 saturation at which E reaches its maximum, S^* , is the same as that for transpiration (equation 9).

318 As described by Laio et al. (2001), drainage of the root zone (potential recharge) is set equal to
 319 the unsaturated hydraulic conductivity, described with an exponential form:

320
$$R(S) = Ks \times \frac{e^{\beta(S-S_{fc})} - 1}{e^{\beta(1-S_{fc})} - 1} \quad (11)$$

321 where Ks is the saturated hydraulic conductivity, β is a parameter of the soil and S_{fc} is the field
 322 capacity.

323 The nitrate leaching rate is calculated simultaneously to the water balance approach according to
 324 the following mass balance approach:

325
$$NO_{3Leaching} = NO_{3Input} - NO_{3Soil} - NO_{3Uptake} \quad (12)$$

326 The nitrate mass balance approach is based on the assumption that three main processes control
 327 the nitrate dynamics in the root zone; passive nitrate uptake ($NO_{3Uptake}$), and nitrate leaching
 328 ($NO_{3Leaching}$). Note that the NO_{3Soil} represent the residual nitrate in the root zone and NO_{3Input} is
 329 the fertilizer amount.

330 The passive nitrate uptake is assumed to be proportional to the transpiration rate, $T(s)$ in equation
 331 9, and to the nitrate concentration in the soil solution:

332
$$NO_{3Uptake} = \frac{T(S)}{n_p S Z_r} C_{NO_3} \quad (13)$$

333 where Z_r [L] is the depth of the root zone and C_{NO_3} [M/L²] is the nitrate concentration within the
 334 root zone. Nitrate leaching is assumed to be proportional to the recharge term $R(S)$ modeled in
 335 equation 11,

336
$$NO_{3Leaching} = C_{NO_3} \times \exp^{((-k_l \times R(S))/n_p)} \quad (14)$$

337 where k_l is the leaching coefficient and equals to 0.02 in the current study.

338 To calculate vertical nitrate displacement in the vadose zone using the piston flow approach,
339 pore water velocities are estimated using the recharge fluxes (R). Water velocities are linearly
340 related to the calculated water fluxes by a coefficient that represents the specific volume through
341 which the water and solutes are transported. Assuming only vertical advective transport of nitrate
342 in the loess unsaturated zone, the displacement ΔZ of the nitrate is given by:

343
$$\Delta Z = R(t) \times \Delta t \times n_p \quad (15)$$

344 where $R(t)$ is the (time-dependent) recharge, and Δt is the time step. The n_p parameter in this
345 study was set to the average measured water contents in the vadose zone of each of the study
346 sites (Figure 1).

347 The piston flow and nitrate mass balance model were calibrated against the total nitrate storage
348 calculated from the nitrate profiles in Figure 4 and the observed water contents (see [Supporting](#)
349 [Information](#)). Only the β parameter in equation 11 was modified during the calibration process.

350

351 3.2 Richards' equation and one-dimensional ADE

352 The numerical modeling approach is based on Richards' equation and the ADE with nitrate
353 reactions. This approach was implemented with three different degrees of complexity in
354 describing vertical loess heterogeneity. To determine the unsaturated flow in the loess, the 1D
355 vertical Richards' equation was implemented with a root water uptake sink as follows:

356
$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[K(\psi) \left(\frac{\partial \psi}{\partial z} + 1 \right) \right] - RWU, \quad (16)$$

357 where ψ is the matric potential head [L], θ is the volumetric water content [$L^3 L^{-3}$], t is time [T], z
358 is the vertical coordinate [L], $K(\psi)$ [$L T^{-1}$] the unsaturated hydraulic conductivity function, is a
359 function of the matric potential head and RWU is a root water-uptake sink term [$L^3 L^{-3} T^{-1}$]. The
360 Richards equation was solved numerically by using the Hydrus 1D code (Šimůnek et al., 2008).
361 Simulation of the root water uptake rate (the sink term) was conducted according to the model

362 suggested by Feddes et al. (1978). The parameters used for the different plant types were
363 obtained from the Hydrus 1D database.

364 The following set of equations was used to model the 1D vertical transport of NO₃:

$$365 \quad \frac{\partial \theta C_{NO_3}}{\partial t} = \frac{\partial}{\partial z} \left[\theta D \frac{\partial \theta C_{NO_3}}{\partial z} \right] - \frac{\partial q C_{NO_3}}{\partial z} - f_{NO_3} S C_{NO_3}, \quad (17)$$

366 where C_{NO_3} [M L⁻³] is the concentration of nitrate in the pore-water solution, D [L² T⁻¹] is the
367 hydrodynamic dispersion coefficient, q [L T⁻¹] is the water flux, $f_{NO_3} S C_{NO_3}$ [M T⁻¹ L⁻³] is the
368 root NO₃ uptake sink, where f_{NO_3} is a function relating solute uptake to the water uptake S and
369 solute concentrations. The nitrate uptake rate values were prescribed according to previous
370 studies (Hanson et al., 2006; Ramos et al., 2011; Kurtzman et al., 2013; Turkeltaub et al., 2018).

371 Vanderborght & Vereecken (2007) established a linear relationship between longitudinal
372 dispersivity (λ) and travel distance in soils under unsaturated conditions (up to 1.2 m). The slope
373 of their relationship is 0.046, i.e. the dispersivity can be considered to be approximately 5% of
374 the soil profile thickness. In contrast, Hillel (1998) proposed that λ should be considered to be
375 10% of the soil's column length. In this study, the dispersivity values were prescribed according
376 to the latter suggestion for simulations with no model calibration (see model calibration section).

377 The spatial distribution of root density with depth was assumed to follow the exponential model
378 presented by Vrugt et al. (2001):

$$379 \quad \beta(z) = \left[1 - \left(\frac{z}{z_m} \right) \right] - e^{\frac{p_z}{z_m} |z^* - z|}; \quad z \geq 0, \quad (18)$$

380 where $\beta(z)$ denotes the dimensionless spatial root distribution with depth, z_m is the maximum
381 rooting depth [L], and p_z [-] and z^* [L] are empirical parameters. Maximum root depth (z_m)
382 values were prescribed according to earlier studies in the LPC and a global study (Canadell et al.,
383 1996; Huang et al., 2004; Wang et al., 2013a; Fan et al., 2016). The fitting parameters (p_z and z^*)
384 were prescribed according to Turkeltaub et al. (2018).

385

386 *3.3 Numerical model concept, evaluation, and calibration*

387 To characterize subsurface heterogeneity three conceptual models were examined and compared.
 388 For the simplest model (HOM model), the topsoil fitted VGM parameters are prescribed,
 389 assuming uniform (homogenous) soil profiles (Table 1). In the second model (EXP), the Ks
 390 decay function (equation 3) is prescribed to account for the exponential decay of the hydraulic
 391 conductivity function with depth. For the third model (HET), the Miller- Miller factors
 392 (equations 4 and 5) are used to account for the vertical heterogeneity of the retention and the
 393 hydraulic conductivity functions with depth.

394 The three conceptual models were calibrated against water content profiles (*Supporting*
 395 *Information*) and nitrate profiles (Figure 4) obtained from the loess vadose zones. An inverse
 396 problem was formulated to find an optimum combination of parameters that minimizes the
 397 following objective function:

$$398 \quad \Phi(b) = \sum_{i=1}^N w_i [\theta(z_i) - \theta(z_i, b)]^2 + v_i [C_NO_3(z_i) - C_NO_3(z_i, b)]^2, \quad (19)$$

399 where N is the number of the water content and nitrate concentration observations, $\theta(z_i)$ or
 400 $C_NO_3(z_i)$ are the observations at specific depth, and $\theta(z_i, b)$ or $C_NO_3(z_i, b)$ are the corresponding
 401 model predictions for the vector of optimized parameters (VGM parameters). Note that the
 402 simulated water content and nitrate profiles at the end of each model run were implemented for
 403 the inverse calculations. The weighting factors w_i and v_i account for data type and are given by
 404 (Clausnitzer & Hopmans, 1995):

$$405 \quad w_i = \frac{1}{N\sigma_\theta^2}; v_i = \frac{1}{N\sigma_{C_NO_3}^2} \quad (20)$$

406 where σ^2 are the measurement variances. The inverse problem was solved using the *fminsearch*
 407 function in MATLAB toolbox. To reduce the parameter space, sensitivity tests were conducted
 408 before model calibration. The sensitivity tests were implemented individually for each of the
 409 parameters in Table 1 and for the λ parameter. Initially, the model simulation involved only the
 410 original parameter values (Table 1). Subsequently, each parameter was changed in a $\pm 1\%$ step
 411 until $\pm 10\%$, thus in total there were 20 model runs. The model simulations calculated with the
 412 perturbed parameters were compared with the model output of the original (i.e. not changed)
 413 parameter. A sensitive parameter is defined here as one in which a change of the parameter
 414 produces a root mean squared error (RMSE) that is larger than the standard deviation of the

415 original simulation, with *a priori* parameter estimates. Finally, the RMSE, mean error (ME) and r
416 (correlation coefficient) were calculated to compare performances of the three different model
417 approaches.

418

419 3.4 *Climate and nitrogen inputs*

420 The daily climate data extend from 1 January 1961 until 31 December 2014 (19,723 days),
421 except for the An'sai site, where climate data were available until 31 December 2012 (18,993
422 days). These datasets include daily rain, daily mean, maximum and minimum air temperature,
423 relative humidity, wind speed (m/s) and sunshine duration. The models were calculated at daily
424 time steps. Note that for the numerical models there is a 'spin-up' period (see below).

425 Daily reference evapotranspiration (ET_0) was calculated according to the Penman-Monteith
426 equation (Allen et al., 1998). To estimate the crop evapotranspiration (ET_c), the daily ET_0 values
427 were multiplied with crop coefficients (K_c , Allen et al., 1998). K_c values for wheat and corn
428 were retrieved from Kang et al. (2003), and the K_c values for millet, soybean and bare soil were
429 based on Allen et al. (1998). Due to the double crop system at the Yangling site, irrigation was
430 implemented during the wheat cultivation (Huang et al., 2004). Note that the other three sites are
431 rainfed and no irrigation was implemented. Beer's law was implemented for partitioning of ET_c
432 to evaporation and transpiration (Ritchie, 1972). The description of this approach is in the
433 [Supporting Information](#). Furthermore, Beer's law requires information regarding the change of
434 leaf area index (LAI) with time. Thus, the change of LAI in the growing season for winter wheat,
435 corn, millet, soybean and grassland was estimated with the model of Leenhardt et al. (1998) (see
436 [Supporting Information](#)).

437 The N input in the models for the different sites was defined according to previous studies and
438 personal communication (Fan et al., 2005; Jia et al., 2018). At Yangling, the total N inputs are
439 assumed to be 300 kg/ha/year with a wheat-corn double cropping system. The N inputs in
440 Changwu are estimated as 260 kg/ha/year for corn and 324 kg/ha/year for wheat. The Changwu
441 site was abandoned in 1991 and is simulated as bare soil from this year. At An'sai and Shenmu,
442 the assumed N input was 100 kg/ha/year, but N applications ceased in 1995 at the Shenmu site.
443 Note that the cultivation history is not well documented for any of the sites, therefore the year of

444 nitrate application was decided according to earlier investigations. The nitrogen was prescribed
445 as nitrate concentration in the numerical model and in kg/ha in the mass-balance approach in one
446 yearly application at the beginning of the growing season.

447 Atmospheric boundary conditions with surface runoff were prescribed at the upper boundary
448 (land surface) of the numerical models. Previous studies have illustrated that the effect of water
449 content initial conditions can be minimized by imposing periods of wet and dry conditions
450 (Albertson & Kiely, 2001). To determine the spin-up time for the models, the expected
451 unsaturated water travel times were considered. Turkeltaub et al. (2018) showed that the
452 estimated water velocity across the LPC is 0.59 ± 0.48 m/year. Thus, it requires between 60 and
453 1300 years for water to pass through the vadose zone in the current study. To ensure that the
454 simulated loess columns encountered at least one wetting and drying period, the atmospheric
455 boundary conditions were replicated between 5 and 10 times, depending on the soil parameters
456 and the amount of the water input (precipitation and irrigation). The nitrate initial conditions
457 were prescribed zero concentration, assuming no accumulation of nitrate before the start of the
458 actual simulation.

459 It is difficult to implement mixed cropping systems in the Hydrus 1D code. Therefore, the
460 models for the Yangling and Changwu sites were run with the root uptake and density
461 parameters for a corn crop, but the upper boundary conditions (i.e. LAI, Kc, nitrogen (as nitrate
462 concentration) application and irrigation) were set according to wheat and corn. A similar
463 approach was implemented for the An'sai site, but with millet and soybean crops, with the root
464 uptake and density parameters set for millet crop type.

465

466 **4 Results**

467 *4.1 Piston flow and nitrate mass balance (PFMB) estimations*

468 Table 2 shows the calibrated β parameters for the four sites, the total nitrate storage in the vadose
469 zone and the RMSE values comparing observed nitrate storage in the loess vadose zones and the
470 simulated storage. Additionally, the estimated recharge fluxes and nitrate leaching during cover
471 and fallow times are presented (Table 2). According to the low RMSE values, it appears that the
472 PFMB model predicts well the nitrate storage in the loess vadose zones. The β parameters

473 illustrate a relatively wide range of values among the sites (Table 2). Laio et al. (2001) suggested
 474 that the β parameter for clay, loam and sand soil types should be about 26, 14 and 12,
 475 respectively. Other studies have reported a wider distribution of β values, between 5 and 27, with
 476 no obvious relation with the soil type (Guswa et al., 2002; Baudena et al., 2012). Therefore, the β
 477 parameter was considered to be a fitting parameter in the current study.

478 In Yangling, most recharge and nitrate leaching occurred during the cultivation periods (Table
 479 2). These intensive fluxes during the crop period are probably due to irrigation that exceeds plant
 480 requirements. In Changwu, there is an alternation between wheat crop (winter cultivation) and
 481 corn (summer cultivation), where only one crop is cultivated each year. Therefore, in years
 482 where the wheat crop is cultivated, there is minor loss of water to transpiration during the
 483 intensive summer rainstorms (Table 2). The crops in An'sai are mainly cultivated during summer
 484 and the Shenmu site is covered with grass permanently. At these two sites, the suggested
 485 groundwater recharge is between 15 and 10% of the rain, similar to previous studies conducted
 486 under comparable conditions (Gates et al., 2011). The nitrate leaching fluxes illustrate that
 487 Yangling and Changwu are substantially over-fertilized.

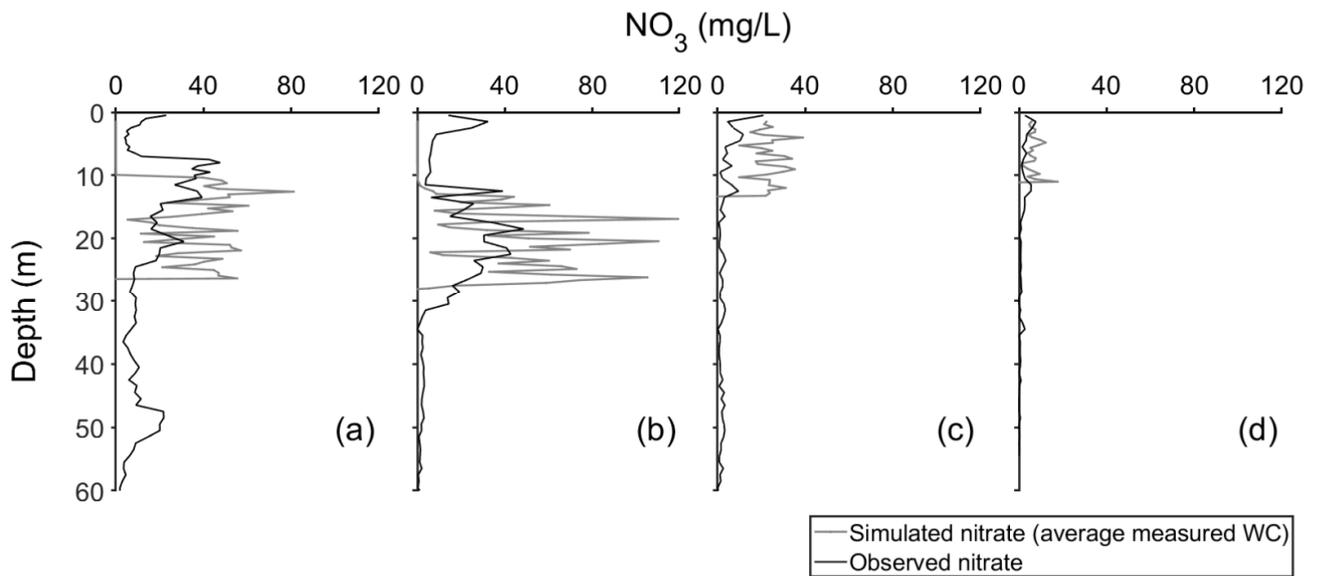
488 By employing equation 15 and observed water contents, the vertical distribution of nitrate was
 489 estimated. Measured nitrate profiles are compared with simulated nitrate profiles in Figure 5.
 490 The comparison between the measured and the simulated nitrate profiles demonstrates that the
 491 PFMB model has only partly succeeded to reconstruct features of the measured nitrate
 492 concentrations. All simulated nitrate profiles show much larger concentrations and less vertical
 493 spreading (Figure 5). Nevertheless, the simulated large nitrate concentrations are located at
 494 similar locations where nitrate observations exhibit large concentrations (Figure 5). Thus, by
 495 implementing the PFMB model and assuming advective transport only, an approximate location
 496 of where nitrate is mostly stored in the vadose zone can be derived.

497 **Table 2.** *Calibrated β parameters, observed nitrate storage, RMSE evaluation of predictions,*
 498 *groundwater recharge fluxes and nitrate leaching fluxes as were simulated by the piston-flow*
 499 *mass balance model and the nitrate mass-balance approach.*

β	RMSE (kg/ha)	Groundwater recharge (mm/year)	Nitrate leaching (kg/ha)
---------	-----------------	-----------------------------------	-----------------------------

	Total observed								
	nitrate storage (kg/ha)		fallow		cover		fallow		cover
Yangling	14.58	2968	0.7	18 ± 25	160 ± 113	2.4 ± 3.4	53 ± 37		
Changwu	7.51	2756	0.13	190 ± 119	13 ± 33	47 ± 59	4 ± 13		
An'sai	7.38	648	0.52	19 ± 22	72 ± 56	2 ± 3	10 ± 12		
Shenmu	15.9	149	0.2	0	43 ± 68	0	3 ± 4		

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501
502



503

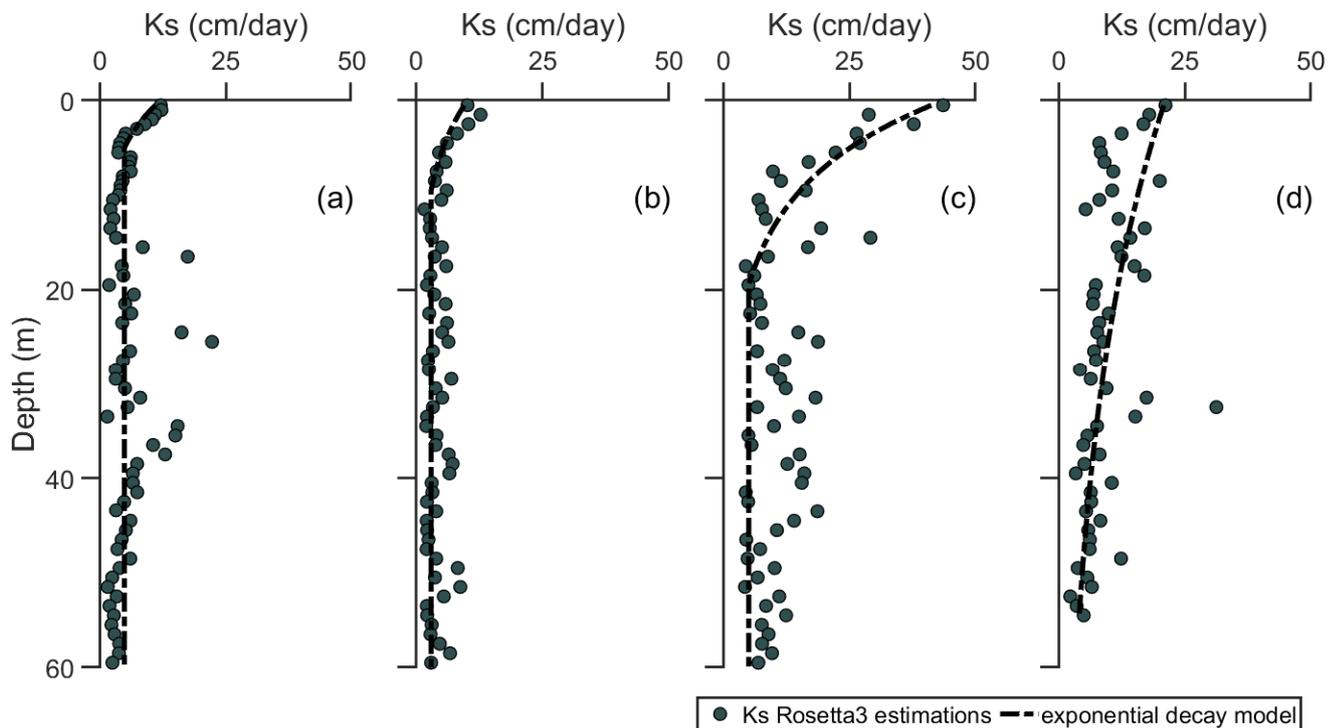
504 **Figure 5.** A comparison between the simulated nitrate vertical distribution in the loess vadose
505 zone estimated by the piston flow approach (PFMB) and the observed nitrate concentrations that
506 were obtained at: (a) Yangling, (b) Changwu, (c), An'sai and (d) Shenmu. There is only an
507 advective component in the PFMB model and pore-water velocity is calculated dividing the
508 recharge by the average observed water content.

509

510 4.2 *Ks* decay with depth

511 Derbyshire (1991) showed a linear relationship between bulk density of the different loess types
512 and the saturated hydraulic conductivity (K_s). The vertical variability of the loess K_s was also
513 studied in relation to slope stability (Derbyshire 1997; Wang et al., 2017). The decrease in K_s
514 with depth is related to the (gradual) transformation from Malan loess type to Lishi loess type
515 (Derbyshire, 2001). Wang et al. (2017) found a decay of K_s with depth up to about 13 m depth.
516 Therefore, the vertical variability of the loess can be represented by a depth-decay function of
517 K_s . In the current study, the values of K_s predicted by Rosetta3 show similar trends of gradual
518 decrease with depth under the four sites (Figure 6). Although Rosetta3 is an artificial neural
519 network model with a high level of complexity, the trend of the output K_s is obviously dictated
520 by the bulk density measurements.

521 The reduction in K_s and the depth at which K_s values do not show further change are different
522 for each site (Figure 6). Comparable values of K_{s_f} (the saturated hydraulic conductivity at
523 infinite depth) were estimated for all sites; 5, 4.1, 8.1 and 8.4 cm/day for Yangling, Changwu,
524 An'sai and Shenmu, respectively. Furthermore, the estimated z_f values are 2.4 m, 4.8 m, 5.5 m
525 and 6 m for Yangling, Changwu, An'sai and Shenmu, respectively. The K_s decay pattern,
526 therefore, seems to be site specific (Figure 6). In addition, the cessation of the decay in K_s might
527 indicate the reduction of the compaction effect; the reason why this is site specific is not clear.



528

529 **Figure 6.** *Ks* variation with depth under (a) Yangling (b) Changwu, (c) An'sai and (d)
 530 Shenmu. Note that the *Ks* values were estimated with Rosetta3, using particle size distribution
 531 and bulk density information. The black dash lines represent the exponential decay functions
 532 that were fitted to the estimated *Ks* values (see equation 3).

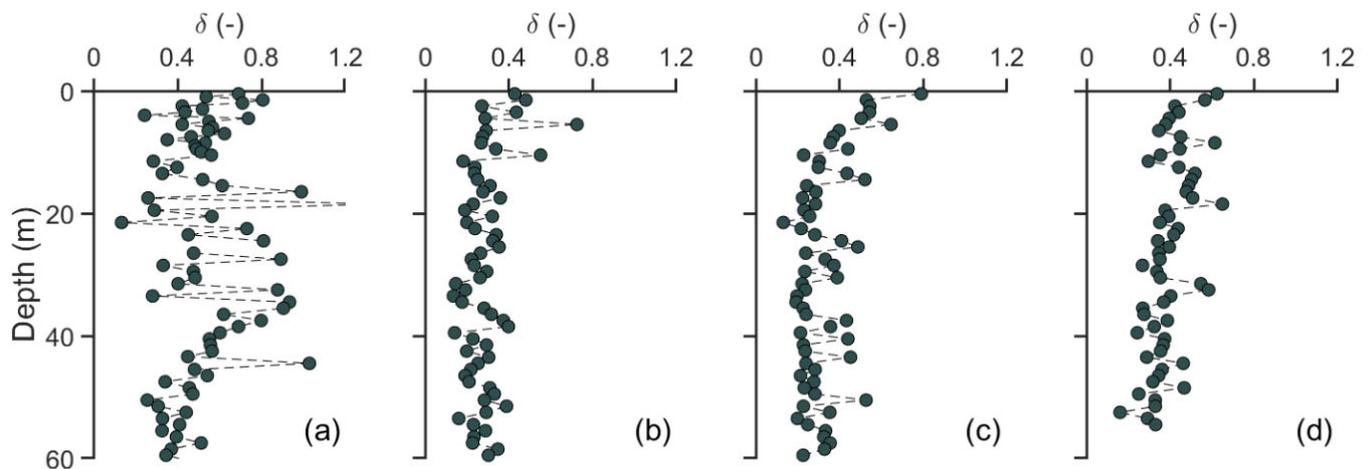
533

534 4.3 Loess vertical scaling factors

535 The vertical variability of soil properties can also be described by Miller-Miller scaling factors.
 536 Firstly, the VGM parameters for soil samples from all depths were estimated using Rosetta3
 537 based on the texture and bulk density data for each sampling point along the four vertical profiles
 538 (Figures 2 and 3). A single set of scaling factors for each site were calculated by applying
 539 equations 4 to 7. The unsaturated hydraulic functions in Table 1 were used as the reference
 540 curves.

541 The scaling factors mostly ranged between 0.2 and 0.8, which indicates that there is no strong
 542 contrast of the soil physical properties with depth (Figure 7). In general, the scaling factors
 543 display higher values close to the loess surface and a decrease with depth, which is similar to the

544 observed trend of the bulk density measurements along the profiles. The scaling factors of the
 545 Yangling site show slightly higher values and variability compared to the other sites (Figure 7a).
 546 Note that the Yangling site is different from the other sites by the climate conditions (higher
 547 temperature, ET and rain) and this site is also intensively cultivated (double cropping system).
 548 The agriculture cultivation might affect the variability of the near surface loess (e.g.,
 549 accumulation of organic matter that changes the bulk density), while the effect of climate can be
 550 encountered throughout the loess vadose zone. However, the current analysis cannot indicate the
 551 dominant factor that generates the large variability in scaling factor at the Yangling site. Further
 552 study is required to elaborate and separate between the effect of agriculture land uses and the
 553 effect of climate on loess vertical variability.



554
 555 **Figure 7.** Calculated scaling factors for: (a) Yangling, (b) Changwu, (c) An'sai and (d) Shenmu. The
 556 scaling factors were calculated using equations 4-7, topsoil fitted retention curves and estimated VGM
 557 parameters for each depth using Rosetta3.

558

559 4.4 Calibration of the Numerical Models

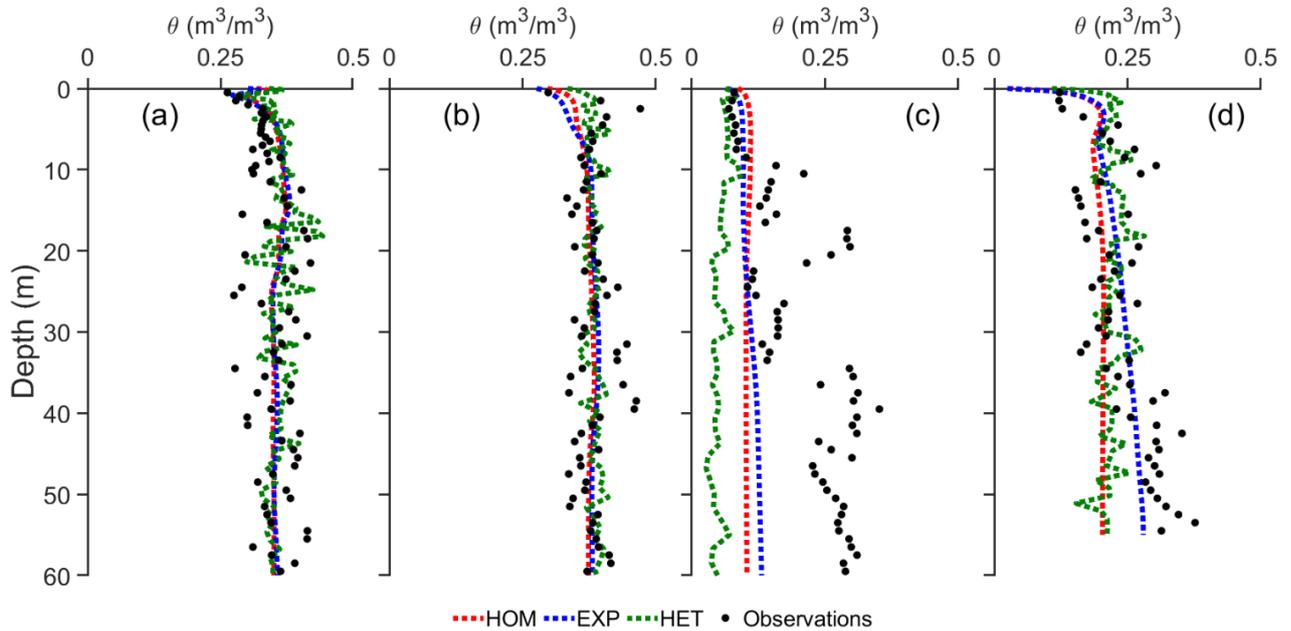
560 In a next step, simulations using three different model approaches ((1) HOM, (2) EXP, and (3)
 561 HET) are examined and compared. All three models were further calibrated against the water
 562 content and nitrate observations (Figures 9 and 10). The calibrated parameters are documented in
 563 *Supporting Information* (Table S1). Sensitivity tests showed that the parameters n and θ_s in
 564 equations 1 and 2, are the most sensitive for all sites. However, to improve model performances,

565 the Ks parameters were also included in the calibration process. In Changwu and Shenmu sites,
566 the longitudinal dispersivity (λ) parameter was also included as a calibration parameter.

567 Statistical evaluation of model performance is summarized in Tables 3 and 4. To explore the
568 contribution of accounting for the loess vertical variability in the modeling, the statistics of
569 model performances prior to calibration are also shown (Table 3 and 4).

570 The observed water content profiles from the four sites are plotted together with the simulated
571 values from the three model configurations in Figure 8. In general, the simulated water content is
572 within the same range as the observed volumetric water contents. Nevertheless, according to the
573 evaluation results in Table 3, the EXP model that includes the Ks decay function produces a
574 relatively better performance compared with the HOM and HET models for all sites. In fact, the
575 HET model shows the poorest performance (Table 3). A comparison between evaluation results
576 before and after calibration illustrates that calibration is necessary for most cases to improve
577 model performance.

578 The results of the nitrate simulations are less conclusive (Figure 9, Table 4). Essentially, all
579 models show vertical nitrate distributions that are similar to the observed nitrate profiles (Figure
580 9). Here, as before, the HET model displays the poorest performance. The HOM shows similar
581 or slightly better performance relatively to the EXP model for all sites (Table 3, Figure 9). When
582 comparing between the evaluation results of calibrated and uncalibrated models, for the
583 Changwu, An'sai and Shenmu sites, the calibration procedure improves model simulations.
584 However, for Yangling site no changes in the simulation results are apparent.



585
 586 **Figure 8.** Profiles of the measured water content, and the simulated water content as were calculated
 587 by the three approaches, HOM, EXP and HET after calibration for (a) Yangling (b) Changwu, (c)
 588 An'sai and (d) Shenmu.

589

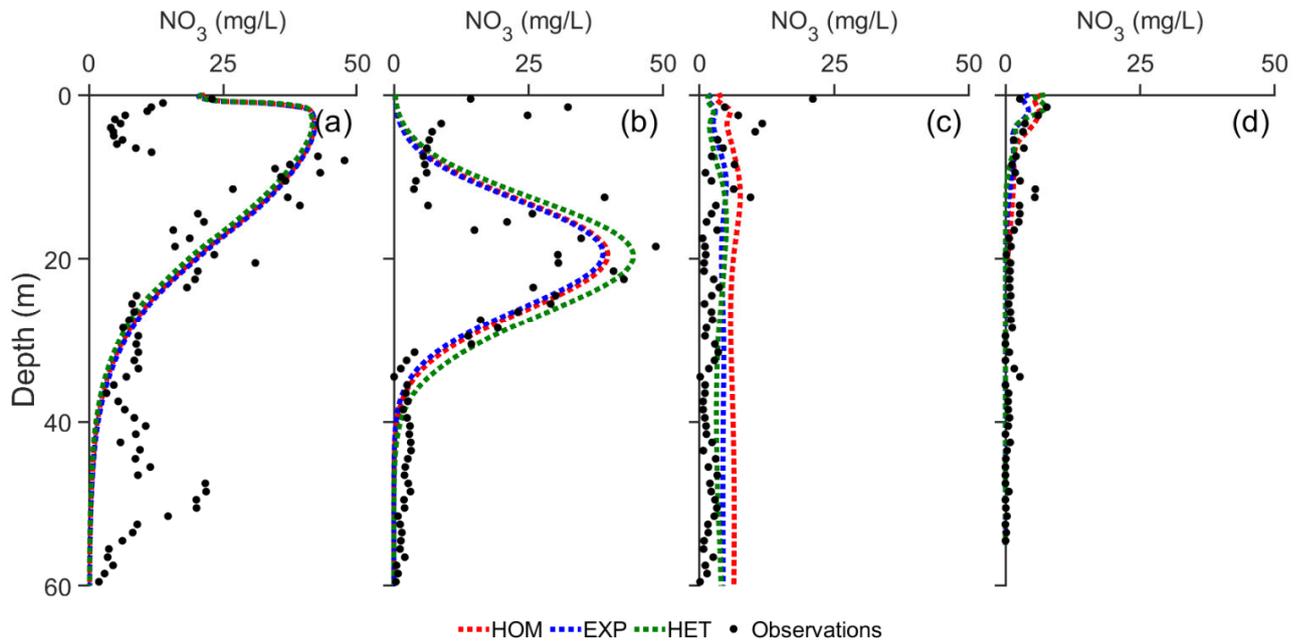
590 **Table 3.** Evaluation results of the water content profiles as were simulated by the three
 591 conceptual models (Figure 8). In the rows, three different evaluation metrics are shown; from
 592 top to bottom, these are the mean error (ME), root mean squared error (RMSE), and correlation
 593 coefficient (r).

		Calibrated			Not calibrated		
Evaluation metrics		HOM	EXP	HET	HOM	EXP	HET
Yangling	ME	-0.004	-0.002	0.006	-0.012	-0.038	0.024
	RMSE	0.038	0.038	0.047	0.04	0.055	0.054
	r	0.3	0.34	0.071	0.24	0.29	0.06
Changwu	ME	0.013	0.0001	-0.002	0.097	0.07	0.19
	RMSE	0.036	0.036	0.037	0.10	0.08	0.19
	r	0.11	0.053	-0.14	0.098	0.08	-0.097

An'sai	ME	0.056	0.02	0.05	0.09	0.02	0.14
	RMSE	0.091	0.06	0.1	0.12	0.07	0.17
	r	0.72	0.82	0.02	0.69	0.82	-0.06
Shenmu	ME	0.041	0.001	0.022	0.15	0.13	0.17
	RMSE	0.072	0.047	0.075	0.16	0.14	0.18
	r	0.36	0.68	-0.29	0.31	0.76	-0.25

594

595



596

597 **Figure 9.** Profiles of the measured nitrate concentrations, and the simulated nitrate
598 concentrations as were calculated by the three approaches, HOM, EXP and HET after
599 calibration for (a) Yangling (b) Changwu, (c) An'sai and (d) Shenmu.

600

601 **Table 4.** Evaluation results of the nitrate profiles as were simulated by the three conceptual
602 models (Figure 9). In the rows, three different evaluation metrics are shown; from top to bottom,
603 these are the mean error (ME), root mean squared error (RMSE), and correlation coefficient (r).

	Calibrated	Not calibrated
--	------------	----------------

Evaluation metrics		HOM	EXP	HET	HOM	EXP	HET
Yangling	ME (mg/L)	-4.94	-5.37	-5.39	-4.69	-3.9	-6.7
	RMSE (mg/L)	14.2	14.3	14.4	13.94	13.69	14.8
	r	0.6	0.6	0.6	0.59	0.59	0.61
Changwu	ME (mg/L)	0.88	1.18	-0.58	-0.9	-4.2	-12.5
	RMSE (mg/L)	6.92	6.98	7.87	10.4	12.2	17.8
	r	0.84	0.84	0.84	0.68	0.69	0.70
An'sai	ME (mg/L)	1.59	1.52	0.62	1.01	1.21	0.77
	RMSE (mg/L)	2.86	2.77	3.8	3.16	3.12	3.21
	r	0.62	0.6	0.58	0.54	0.56	0.51
Shenmu	ME (mg/L)	0.52	0.91	0.85	-3.70	-3.17	-3.19
	RMSE (mg/L)	1.21	1.4	1.5	4.13	3.78	3.53
	r	0.78	0.79	0.7	0.73	0.74	0.71

604

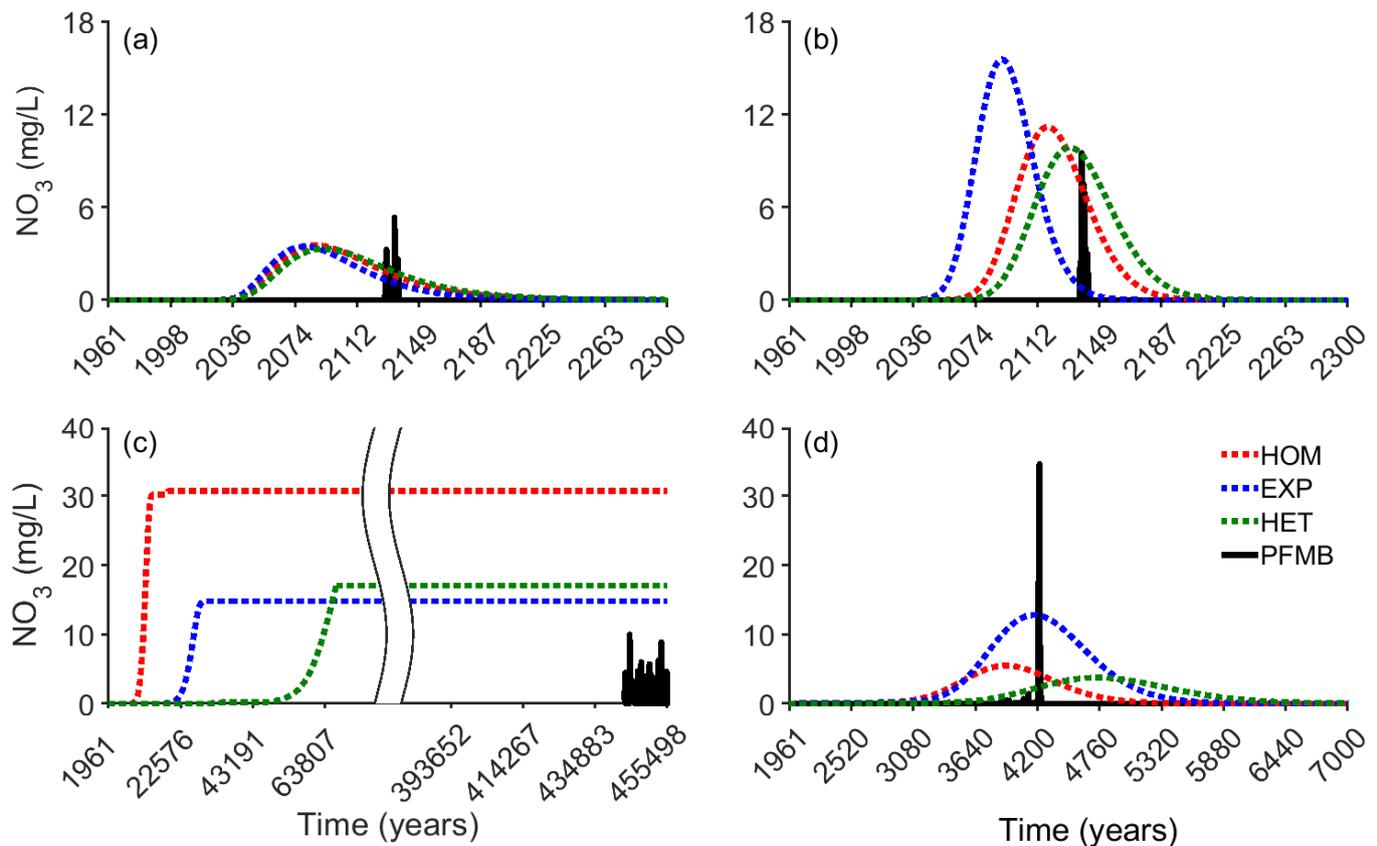
605

606 **5 Future predictions of the arrival time of nitrate at the water table**

607 As was shown in previous studies, and illustrated here again, the total storage of nitrate in the
608 deep vadose zone can be inferred from simple mass balance approaches (Botros et al., 2012;
609 Akbariyeh et al., 2018). However, the differences between the loess representation in the simple
610 piston model and more complex numerical modeling approaches have implications regarding
611 nitrate arrival to groundwater. Future simulations were run to predict the nitrate breakthrough
612 curves (BTCs) at the water table using the four modeling approaches (Figure 10). The
613 atmospheric inputs for the future scenarios were the calculated monthly mean values of rain, ET_c
614 and air temperatures of the meteorological data, which were distributed equally at a daily time
615 step. Only at the An'sai site, there is a future yearly nitrate input. Note that the predicted BTCs at
616 Yangling and Changwu sites show similar time scales, where the first arrival of the nitrate at the
617 water table is predicted to occur in the next 30 to 60 years (Figure 10a,b). At Shenmu, the nitrate
618 breakthrough is predicted after about 1000 years (Figure 10c). Various nitrate first arrival times
619 at the water table were predicted for the An'sai site, ranging between thousands and hundreds of

620 thousands of years (Figure 10d). Except for the An'sai site, which is an active farming site, the
621 predicted nitrate concentrations at the water table do not exceed drinking-water standards of the
622 World Health Organization (WHO, 2016). However, in active rainfed and irrigated agriculture
623 areas in the south of the loess plateau similar to the Yangling and Changwu sites (Figure 1), the
624 nitrate concentrations that will arrive to water table are expected to be higher (Turkeltaub et al.,
625 2018). The predicted nitrate arrival times agree with previous plot and regional investigations
626 (Huang 2013; Turkeltaub et al., 2018; Liu et al., 2019; Ji et al., 2019).

627 Using the HOM, EXP and HET models to predict the first arrival of nitrate to water table
628 indicate similar nitrate arrival times for the Yangling site (Figure 10a). In Changwu, the EXP
629 model predicts earlier nitrate arrival compared with the HOM and HET models (Figure 10b). At
630 the Shenmu site, the EXP and HOM models show similar arrival times, where the nitrate arrivals
631 according to the HET model occurs later (Figure 10d). Large differences between predicted
632 nitrate arrival are displayed for the An'sai site (Figure 10c). Note that the vadose zone at the
633 An'sai site is substantially thicker (141 m depth) compared to the other sites, which partly
634 explains the large time scales of the nitrate first appearance at the water table. Furthermore, it
635 seems that the loess vertical variability might have a long-term effect on nitrate transport in the
636 vadose zone. The differences in the simulated nitrate arrival times and concentrations using the
637 HOM, EXP and HET models are a function of the water velocities, which are affected by the
638 vertical variability of the hydraulic functions. Furthermore, the alternation in water velocities has
639 a linear effect on the dispersion of nitrate, which is directly reflected in the spreading of the
640 nitrate BTCs. This influence was not expressed during the calibration process presented in the
641 current study since most of the nitrate is accumulated in the top third of the loess vadose zone.
642 The results in Figure 10 indicate a joint influence of vadose zone thickness and vertical
643 variability on nitrate transport in the loess vadose zone. To improve our understanding of which
644 site is likely to show sensitivity to the vertical variability, a time series of nitrate measurements is
645 needed. Furthermore, recently it has been shown that the soil water balance in the LPC might be
646 affected by climate change, especially due to possible changes in rain variability (Li et al., 2021).
647 Note that the possible effect of climate change on solute transport was not accounted for in the
648 current study and should be further elaborated.



649

650 **Figure 10.** Predicted time series of nitrate concentration arriving at the water table for (a)
 651 Yangling (b) Changwu, (c) An'sai and (d) Shenmu. The (a) Yangling and (b) Changwu sites have
 652 the same x (time) and y (nitrate concentration) coordinates. The (c) An'sai and (d) Shenmu sites
 653 have the same y (nitrate concentration) coordinates but different x (time) coordinates. A broken
 654 x axis in (c) An'sai indicates truncation in time since the predictions of the nitrate time series
 655 under this site extend over thousands of years.

656 It appears that the PFMB approach substantially overestimates the time of first arrival of nitrate
 657 at the water table for all sites (Figure 10). Furthermore, the piston flow approach explicitly
 658 implies that the nitrate arrives as one concentrated cluster, while the numerical models account
 659 for nitrate arrival that is spread over time (Figure 10). For sites that are characterized with high
 660 nitrate concentrations, the contribution of nitrate to groundwater might extend over long periods
 661 of time. Knowledge regarding the time scales of the nitrate BTC might determine the approaches
 662 of handling the nitrate contamination such as groundwater treatment or shutting down wells. The
 663 dilemma of which model is best to implement for unsaturated water flow and nitrate transport

664 was discussed recently by Turkeltaub et al. (2020) from a regional perspective. They compared
665 regional scale simulated nitrate storage and travel times in the vadose zone that were calculated
666 by a piston flow and nitrate mass balance approach (in a global model) and by numerical models
667 (regional model). It was shown that the global model overestimated travel times of nitrate in the
668 loess vadose zone as it is described in the current study. The implementation of a one-layer
669 Richards' equation and ADE appears to be a better modeling tool for the investigation of nitrate
670 migration in the vadose zones of the Chinese Loess Plateau.

671 Throughout the calibration process, a comparison between simulated and observed nitrate and
672 water content profiles illustrated that including the K_s decay function (EXP model) in the
673 numerical models improve the performance of model simulations. Thus, nitrate arrival times at
674 the water table that were predicted by the EXP model are more reliable. The advantage of the K_s
675 decay function is that it can be established by using surrogate data such as bulk densities and
676 particle size distributions. Therefore, the K_s decay can be relatively easily inferred for local scale
677 studies and according to global scale data repositories of soil properties (e.g. Hengl et al., 2017).
678 Ultimately, the Richards equation and ADE driven by site-specific general knowledge and basic
679 representation of vertical spatial variability of the hydraulic properties provide a remarkably
680 good representation of nitrate in deep vadose zones, even prior to calibration. Hence, it may be a
681 reasonable forecasting tool at unmeasured sites and may be useful for integration in decision
682 support tools for land management.

683

684 **6 Conclusions**

685 Models of different complexity were implemented to describe the nitrate transport in four deep
686 loess vadose zones of the Chinese Loess Plateau. A piston flow and nitrate mass-balance
687 (PFMB) approach was implemented to simulate nitrate storage and vertical transport in the loess
688 vadose zone. Simulation results indicate that assuming advective transport only provides a good
689 approximation of nitrate storage, but overestimates nitrate travel times in the loess vadose zone
690 and the nitrate first arrival at the water table. To improve the description of the dispersion
691 process and to include loess variability, a one layer Richards' equation and the ADE with nitrate
692 reactions was applied. Three different conceptualizations of the numerical models were
693 calibrated against deep vadose zone nitrate and water content observations: (1) one-layer model

694 assuming homogenous loess vadose zone (HOM), (2) a model that comprises the Ks decay
695 function (EXP) and (3) a model where the Miller-Miller factors are prescribed to account for
696 changes of water retention and the hydraulic conductivity functions with depth (HET).
697 Accounting for the vertical Ks decay (EXP model) in the numerical models improved the model
698 performance for water flow. It appears that the vertical variability in loess vadose zone might
699 have a long-term effect on nitrate arrival times to water table. However, one aspect that was not
700 included in the current study is the vertical variability of the λ parameter. Most studies in vadose
701 zone environments prescribe one value for λ according to the length of the simulated column.
702 There is lack of knowledge regarding the appropriate scaling approach that should be
703 implemented to account for λ vertical variability in vadose zone modeling.

704 This study is only based on four sites in the Loess Plateau of China, which limits the
705 straightforward application of the study's outcomes to other loess sites or to larger scales.
706 Nevertheless, the presented approach can be used in locations where only elements of the dataset
707 are available, such as climate data, topsoil properties and history of cultivation. Moreover, the
708 current study has evaluated the effect of vertical variability in the loess deep vadose zone on
709 nitrate transport, which has been rarely done before. Considering the LPC intensive agriculture
710 development, future research should focus on the different hydrological aspects that facilitate
711 nitrate transport in the loess vadose zone. For example, examining the temporal changes in
712 nitrate storage and travel times following the alternation of crop type during the year or even
713 permanently (land use change). An additional aspect that should be explored is the spatial
714 relationship between nitrate storage and agriculture land uses.

715

716

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