

# 1 **Tropical Stratospheric Circulation and Ozone Coupled to Pacific Multi-Decadal** 2 **Variability**

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## 21 **Key Points:**

- 22 • Low frequency variability in the Brewer-Dobson circulation is coupled with low  
23 frequency Pacific Ocean sea surface temperature variability
- 24 • Accounting for this allows the detection of an enhanced Brewer-Dobson circulation trend  
25 in model hindcasts of 7–10 % dec<sup>-1</sup> over 1979–2010
- 26 • The low frequency variability also explains 50 % of the observed trends in mid-  
27 stratospheric tropical ozone from 1990 to 2010

## 28 **Abstract**

29 Observational and modeling evidence suggest a recent acceleration of the stratospheric Brewer-  
30 Dobson circulation (BDC), driven by climate change and stratospheric ozone depletion.  
31 However, slowly varying natural variability can compromise our ability to detect such forced  
32 changes over the relatively short observational record. Using observations and chemistry-climate  
33 model simulations, we demonstrate a link between multi-decadal variability in the strength of the  
34 BDC and the Interdecadal Pacific Oscillation (IPO), with knock-on impacts for composition in  
35 the stratosphere. After accounting for the IPO-like variability in the BDC, the modeled trend is  
36 approximately 7–10 % dec<sup>-1</sup> over 1979–2010. Furthermore, we find that sea surface temperatures  
37 explain up to 50 % of the simulated decadal variability in tropical mid-stratospheric ozone. Our  
38 findings demonstrate strong links between low-frequency variability in the oceans, troposphere  
39 and stratosphere, as well as their potential importance in detecting structural changes in the BDC  
40 and future ozone recovery.

41

## 42 **Plain Language Summary**

43 Natural variability is a key element of the climate system and can mask human-induced changes.  
44 Here we are interested in the naturally varying strength of the stratospheric global circulation and  
45 how this impacts the composition of the stratosphere. Using observations and model simulations,  
46 we show that slowly changing (multi-decadal) natural variability in the Pacific Ocean is reflected  
47 in the stratospheric circulation. This link helps us to better understand structural changes in the  
48 stratospheric circulation arising due to human interferences. In turn, slow transport variability  
49 reconciles recent low levels of ozone in the middle tropical stratosphere, which otherwise are in  
50 disagreement with the expected ozone recovery. These results have implications for both  
51 reconciling theory and observed changes in the stratospheric circulation, as well as better  
52 understanding the rate of stratospheric ozone recovery.

## 53 **1. Introduction**

54 The global mass circulation in the stratosphere, and stratosphere-troposphere exchange, is  
55 controlled by the Brewer-Dobson circulation (BDC), the global zonal-mean meridional  
56 circulation characterized by upwelling in the tropics along with poleward flow and downwelling

57 at mid and high latitudes (Butchart, 2014). Multiple modeling studies have suggested an  
58 acceleration of the BDC since the mid 20<sup>th</sup> century, associated with increasing atmospheric  
59 concentrations of greenhouse gases and rising sea surface temperatures (SSTs), as well as  
60 stratospheric ozone depletion (Oberländer-Hayn et al., 2015). This long-term trend is projected  
61 to continue this century (Butchart et al., 2010; Eichinger et al., 2019). However, there is also  
62 considerable variability in the strength of the BDC over shorter time scales (Aschmann et al.,  
63 2014), which compromises our ability to detect anthropogenically forced changes in relatively  
64 short observationally-derived data sets (Engel et al., 2009; Hegglin et al., 2014; Ray et al., 2014).

65 Inter-annual variability in the BDC is coupled to modes of natural variability, including  
66 El Niño/Southern Oscillation (ENSO) (Calvo et al., 2010; Marsh & Garcia, 2007), the quasi-  
67 biennial oscillation (QBO), and natural forcings (e.g., volcanic eruptions) (Abalos et al., 2015;  
68 Garfinkel et al., 2017). Indeed, sub-decadal SST variability in the tropical Pacific Ocean  
69 associated with ENSO has been linked to interannual changes in lower stratospheric tropical  
70 upwelling (Diallo et al., 2019), with a small (0.8%) but significant influence extending to the  
71 tropical middle stratosphere (Marsh & Garcia, 2007). On multi-decadal time scales, low  
72 frequency variability in the troposphere has been shown to impact the lower stratosphere and its  
73 circulation (Hu et al., 2018; Jadin et al., 2010), but the coupling to higher altitudes is less well  
74 understood, including any impacts on stratospheric composition.

75 The coupling of stratospheric variability to the Pacific Ocean is particularly noteworthy  
76 given that decadal SST variability in this region has been mooted as an important driver of the  
77 slowdown in global mean surface temperature trends at the start of the century (Kosaka & Xie,  
78 2013), associated with changes in the trade winds (England et al., 2014) and deep ocean heat-  
79 uptake (Guemas et al., 2013). At the same time, despite falling concentrations of ozone depleting  
80 substances and the first signs of global stratospheric ozone recovery (Chipperfield et al., 2017),  
81 there have been decreases in tropical mid-stratospheric ozone since ~1991 (Nedoluha et al.,  
82 2015). Although the inflection point of ozone depletion in non-polar regions due to long-lived  
83 halogens occurred around year 1997 (WMO, 2007), in this equatorial region near 30 km (~10  
84 hPa), ozone loss rates are most sensitive to reactive nitrogen (NO<sub>y</sub>) abundance (Lary, 1997;  
85 Portmann et al., 2012), with ozone variability being determined by both NO<sub>y</sub> source gas  
86 emissions (nitrous oxide, N<sub>2</sub>O) and changes in atmospheric transport (Galytska et al., 2019).

87           In this study, we use measurements and chemistry-climate model simulations to explore  
88 the connection between Pacific Ocean low-frequency variability, the strength of the advective  
89 BDC, and the subsequent effect on tropical mid-stratosphere ozone levels. We demonstrate that  
90 accounting for multi-decadal SST variability, in the form of the Interdecadal Pacific Oscillation  
91 (IPO), can both reconcile long-term changes in the BDC in models and measurements, and  
92 account for recent trends in mid-stratospheric tropical ozone. Resulting changes in ozone levels  
93 are explained through impacts on tracer transport and nitrogen-catalyzed chemistry. This result is  
94 crucial to better understand dynamical and chemical processes in the stratosphere and to  
95 distinguish between natural and forced signals.

## 96 **2. Data sources: Chemistry-climate model simulations and observations**

### 97 2.1 Chemistry-climate model simulations

98           The Community Earth System Model, version 1 (CESM1) is a global climate model with  
99 active coupled land, ocean and sea ice components. The atmosphere component is the Whole  
100 Atmosphere Community Climate Model (WACCM), version 4, with an approximate horizontal  
101 resolution of 1.9° latitude by 2.5° longitude, and 66 vertical levels that extend to ~140 km (Marsh  
102 et al., 2013). The Model for Ozone and Related Chemical Tracers (MOZART) is the chemistry  
103 scheme (Kinnison et al., 2007), which includes full atmospheric chemistry from the troposphere  
104 to the lower thermosphere with gas-phase reactions, heterogeneous chemistry and photolysis  
105 (Eyring, et al., 2013).

106           The role of different forcings was explored using monthly mean output from the  
107 configuration of CESM1(WACCM) used in the Chemistry-Climate Model Initiative (CCMI)  
108 (Eyring et al., 2013); this configuration couples the atmosphere and land components, but is  
109 forced with observed SSTs, sea-ice concentrations, solar spectral irradiance, volcanic aerosols  
110 and near-equatorial winds constrained by observations of the QBO (Morgenstern et al., 2017;  
111 Tilmes et al., 2016). Monthly and seasonally varying boundary conditions were specified for  
112 radiatively active species, as well as long-lived halogen-containing species following the World  
113 Meteorological Organization's A1 halogen scenario (WMO, 2011). The version of  
114 CESM1(WACCM) used here includes MOZART version 4 (Emmons et al., 2010) and recent

115 updates on photolysis, gas-phase and heterogeneous chemistry in the troposphere and the  
116 stratosphere (Lamarque et al., 2012; Tilmes et al., 2015).

117 To explore unforced variability in the stratosphere, we used monthly mean output from a  
118 pre-industrial control simulation (PI-CNTRL) of CESM1-WACCM. Our base case simulation  
119 (BASE) had all the boundary conditions evolving for the period 1960–2010 according to  
120 observations. In addition, four sensitivity simulations were performed to attribute recent changes  
121 in ozone: 1) nitrous oxide and long-lived halogen substances fixed at 1955 levels (Fixed N<sub>2</sub>O-  
122 LL<sub>Hal</sub>); 2) long-lived halogenated substances fixed at 1955 levels (Fixed LL<sub>Hal</sub>); 3) background  
123 stratospheric aerosol (surface area densities) fixed at 1998–1999 averaged conditions (No  
124 Volcanoes; i.e., quiescent period without major volcanic eruptions as recommended by the  
125 CCMI activity (Eyring et al., 2013)); and 4) climatological SSTs and sea-ice concentrations  
126 averaged over 1960–2010 (i.e., a period with approximately equal positive-negative IPO phases)  
127 and with a cyclical QBO (i.e., a 28-month repeating cycle), namely Fixed SSTs. A cyclical QBO  
128 was imposed in the latter simulation to avoid any potential link between the Pacific Ocean's  
129 SSTs and the QBO at interannual (e.g., Schirber, 2015; and references therein) and multi-decadal  
130 timescales (Fig. S1–S2).

## 131 2.2 Ozone and sea-surface temperature observations, and the IPO index calculation

132 Stratospheric ozone trends were calculated using observations from the Stratospheric  
133 Water and OzOne Satellite Homogenized (SWOOSH) data set, version 2.6 (Davis et al., 2016)  
134 from 1984 to 2010. SWOOSH merges data from a range of satellites, which are homogenized  
135 and account for inter-satellite biases. The data are vertically-resolved (31 levels from 316 to 1  
136 hPa), zonal and monthly mean ozone mixing ratios with a horizontal resolution of 2.5°.

137 We use the Tripole Index (Henley et al., 2015) for the IPO. This is constructed as the  
138 residual of area-averaged, de-seasonalized SSTs across three rectangular regions: SSTs over  
139 25°N–45°N, 140°E–145°W and 50°S–15°S, 150°E–160°W are subtracted from the mean of SSTs  
140 across 10°N–10°N, 170°E–90°W. Finally, a Chebyshev low-pass filter was applied, using a 13-  
141 year cutoff period and a filter order of six (i.e., local maximum in the variance that is coherent  
142 with the low-frequency portion of the IPO). SSTs to calculate the observed IPO index were taken  
143 from the NOAA Extended Reconstructed Sea Surface Temperature (ERSST) data set, version 5

144 (Huang et al., 2017). The ERSST reconstruction includes monthly data with horizontal resolution  
145 of  $2^\circ \times 2^\circ$  (latitude by longitude).

### 146 **3. The Interdecadal Pacific Oscillation, Brewer-Dobson circulation and tropical mid-** 147 **stratospheric ozone link**

148 We first use our pre-industrial control (PI-CNTRL) and recent past (BASE) simulations  
149 to demonstrate the dynamical and chemical drivers of multi-decadal tropical mid-stratosphere  
150 variability, in particular the relationship of the BDC and ozone with the IPO. Throughout, we  
151 diagnose the advective BDC strength from the Transformed Eulerian Mean vertical velocity,  $\bar{w}^*$ ,  
152 in spherical and log pressure coordinates (Andrews et al., 1987).

153 Figure 1 shows the low-pass filtered annual mean time-series of standardized anomalies  
154 for the IPO index and the mid-stratosphere tropical ( $20^\circ\text{N}$ – $20^\circ\text{S}$  and 5–10 hPa) average  $\bar{w}^*$  for the  
155 PI-CNTRL (Fig. 1a; 200 years of internally-generated variability) and BASE (Fig. 1b; 50 years  
156 of observed climate variability) simulations. The multi-decadal variability in Pacific Ocean SSTs  
157 associated with the IPO (red curves) is strongly correlated with changes in the BDC (orange  
158 curves) in this region for both the unforced (i.e., natural variability) PI-CNTRL ( $r = 0.74$ ,  $p <$   
159  $0.01$ ) and forced (i.e., according to observations) BASE ( $r = 0.79$ ,  $p < 0.01$ ) simulations.

160 This variability in the BDC affects the stratospheric chemistry and composition, as  
161 demonstrated by a strong positive correlation and significant linear relationship between ozone  
162 (blue curves) and the strength of the BDC for both PI-CNTRL ( $r = 0.95$ ,  $p < 0.01$ ; slope  $2.6 \pm 0.1$   
163  $\% / 10 \text{ mms}^{-1}$ ,  $\pm 2$  standard error as a metric of the 95 % confidence interval unless otherwise  
164 specified) and BASE ( $r = 0.89$ ,  $p < 0.01$ ; slope  $4.0 \pm 0.6 \% / 10 \text{ mms}^{-1}$ ) simulations, and  
165 therefore also between ozone and the IPO (Fig. 1c-d; see Fig. S3 for ozone evaluation). Although  
166 there are differences in the magnitude of the regression coefficient compared to observations  
167 (Fig. S4), these simulations capture the fingerprint of the IPO in stratospheric ozone. While  
168 temperature changes in the tropical middle stratosphere modulate ozone loss (Haigh & Pyle,  
169 1982; Rosenfield et al., 2002), catalytic  $\text{NO}_y$  chemistry is the most important ozone loss driver in  
170 this region (Lary, 1997), via reactions of NO with  $\text{O}_3$  and  $\text{NO}_2$  with  $\text{O}_2$ , resulting the net  
171 conversion of  $\text{O}_3$  and O into  $2\text{O}_2$ . The abundance of  $\text{NO}_y$  species is controlled by  $\text{N}_2\text{O}$  entering  
172 the stratosphere and by dynamics (Portmann et al., 2012). Due to the interaction of chemical and  
173 transport time scales, a relatively rapid circulation results in a reduced production of NO from

174 the reaction of N<sub>2</sub>O with O(<sup>1</sup>D), and vice versa (Olsen et al., 2001). This is clearly evident in our  
175 simulations, where decadal-scale variability in mid-stratosphere NO<sub>y</sub> concentrations (dashed,  
176 light-blue curves) is strongly negatively correlated with the BDC for PI-CNTRL ( $r = -0.91$ ,  $p <$   
177  $0.01$ ; slope  $-5.9 \pm 0.4 \% / 10 \text{ mms}^{-1}$ ; Fig. 1a) and is also a clear feature in BASE ( $r = -0.62$ ,  $p <$   
178  $0.01$ ; slope  $-5.5 \pm 1.9 \% / 10 \text{ mms}^{-1}$ ; Fig. 1b). The above relationships are based on  
179 instantaneous values, as no time delays are found in the fully-coupled long-term PI-CNTRL  
180 simulation. Time delays in the BASE simulation (not taken into account here) are more complex,  
181 since it is a relatively short integration compared to the IPO variability which additionally  
182 includes varying forcings (e.g., volcanic eruptions and changes in N<sub>2</sub>O emissions).

183 Overall, these simulations support a mechanism that couples dynamical (i.e., BDC) and  
184 chemical (i.e., ozone loss via NO<sub>y</sub> chemistry) processes to explain this IPO-BDC-ozone link,  
185 which is in agreement with current process understanding (Galytska et al., 2019; Nedoluha et al.,  
186 2015; Plummer et al., 2010). A positive phase of the IPO (anomalously warm tropical SSTs) is  
187 associated with a relatively rapid BDC in the lower and middle stratosphere. In turn, this  
188 decreases the partitioning from inactive to reactive nitrogen species (N<sub>2</sub>O to NO<sub>y</sub>), which reduces  
189 ozone loss. The net result is a high ozone anomaly, whereas the opposite is true during a negative  
190 phase of the IPO. This low-frequency signal due to changes in SSTs in the middle stratosphere in  
191 CESM1(WACCM) may arise from longer and sustained conditions in the background climate  
192 state associated with the position of the subtropical tropospheric jets (Palmeiro et al., 2014) and  
193 decadal variability in the QBO (see Fig. S1). The IPO signal in the tropical stratosphere is  
194 analogous to that of ENSO at shorter time scales (Diallo et al., 2019; Marsh & Garcia, 2007).

195 Although the Pacific Ocean's SSTs are a major source of decadal variability, other  
196 sources of internally generated variability may remain, such as the Atlantic Multi-decadal  
197 Oscillation and the Indo-Pacific heating (Lee et al., 2015; Wu et al., 2011), with significant  
198 impacts in global mean surface temperature variability (Nieves et al., 2015; Trenberth & Fasullo,  
199 2013). Furthermore, while the IPO index is used here as a proxy for multi-decadal variability in  
200 the Pacific Ocean, we acknowledge that the IPO is not a single phenomenon but rather a  
201 combination of various physical processes dominated by ENSO-like decadal variability  
202 (Newman et al., 2016). The Pacific Decadal Oscillation (PDO) is a similar proxy, but not  
203 equivalent, being more influenced by the Aleutian Low (Mantua et al., 1997). Nevertheless, we

204 find strong association between the low pass filtered IPO and PDO indexes from 1960 to 2010 ( $r$   
 205  $\approx 0.84$ ,  $p < 0.01$ ) for both the ERSST database and the BASE simulation.

206

207 **Figure 1.** Simulated dynamical and chemical processes linking the Interdecadal Pacific  
 208 Oscillation (IPO) with the tropical middle stratosphere. Low pass filtered annual mean anomalies  
 209 (standardized) of the IPO (solid red line), and the residual mean vertical velocity as a surrogate  
 210 of the BDC ( $\bar{w}^*$ ; solid orange line),  $N_2O$  (solid light-blue line),  $NO_y$  (dashed light-blue line) and  
 211 ozone ( $O_3$ , solid blue line) averaged between  $20^\circ N$ – $20^\circ S$  and 5–10 hPa, for (a) the PI-CNTRL  
 212 simulation (200 years with pre-industrial boundary conditions) and (b) the BASE simulation  
 213 (1960–2010). The two lower panels show the zonal mean regression between the IPO and ozone  
 214 for (c) the PI-CNTRL and (d) the BASE simulations. Shading indicates statistically significant  
 215 regression between the IPO and ozone at the 5% level.

#### 216 4. A role for the IPO in modulating recent BDC trends

217 We investigate next how the signal of the IPO impacts our understanding of recent  
 218 stratospheric circulation trends. Figure 2 shows the trends in the annual mean BDC for the BASE  
 219 simulation over 1979–2010. Focusing on this period allows us to explore the impact of the shift  
 220 in the IPO from a positive to a negative phase, occurring between 1990–2000. Figures 2a and 2b  
 221 present time series of the tropical average ( $20^\circ N$ – $20^\circ S$ ) upwelling,  $\bar{w}^*$ , as well as the upwelling in  
 222 the absence of IPO-driven variability,  $\bar{w}_{noIPO}^*$ , for the deep (10 hPa) and shallow (70 hPa)  
 223 branches of the BDC, respectively. The  $\bar{w}_{noIPO}^*$  time-series removes the relationship between the  
 224 IPO and BDC (Fig. 1) and is calculated from

$$225 \quad \bar{w}_{noIPO}^* = \bar{w}^* - \frac{\partial \bar{w}^*}{\partial IPO} \cdot IPO,$$

226 where the right-hand term describes the component of  $\bar{w}^*$  linearly congruent with the low pass  
 227 filtered annual mean anomalies of the IPO for the deep (slope  $0.032 \text{ mms}^{-1} / \text{K}$ ) and shallow  
 228 (slope  $0.018 \text{ mms}^{-1} / \text{K}$ ) branches using the PI-CNTRL simulation (i.e., the IPO signal is  
 229 unequivocally orthogonal to the long-term trend in the BASE simulation).



230 By accounting for the IPO-like variability in the BDC time-series, we can analyze the  
 231 long-term residual signal, which may be linked to the increase in the greenhouse gas  
 232 concentrations and ozone depletion in the last decades (Butchart et al., 2010). Over the 32-year  
 233 period,  $\bar{w}^*$  shows a significant positive decadal trend in the shallow branch ( $3.1 \pm 2.8 \text{ \% dec}^{-1}$ )  
 234 but a non-significant trend in the deep branch ( $-0.4 \pm 5.8 \text{ \% dec}^{-1}$ ). However,  $\bar{w}_{\text{noIPO}}^*$  shows  
 235 steeper and statistically significant positive trends in both branches:  $9.3 \pm 3.1 \text{ \% dec}^{-1}$  for the  
 236 shallow branch and  $6.8 \pm 6.0 \text{ \% dec}^{-1}$  for the deep branch. These regression-based changes in the  
 237 BDC associated with the IPO are in agreement with the Fixed SSTs sensitivity simulation (Fig.  
 238 S2), though in the latter the signal is somewhat weaker.

239 Figure 2c shows the sensitivity of the BDC linear trend estimates to different periods and  
 240 end points, showing the trends calculated for successive 20-year periods (1979–1998, 1980–1999  
 241 etc.) in order to illustrate the robustness of secular changes in the shallow and deep branches  
 242 over 1979–2010. The 20-year running trend composites (12 individual trends) of  $\bar{w}^*$  show a  
 243 mean positive trend in the shallow branch significant at the 5% level ( $3.8 \text{ \% dec}^{-1}$ ), and again, an  
 244 insignificant negative trend in the deep branch ( $-1.2 \text{ \% dec}^{-1}$ ), consistent with the above results.  
 245 For the 20-year trends in  $\bar{w}_{\text{noIPO}}^*$  both the shallow ( $12.2 \text{ \% dec}^{-1}$ ) and deep ( $5.4 \text{ \% dec}^{-1}$ ) branches  
 246 show a mean positive and significant trend regardless the chosen period: between approximately  
 247  $10.2\text{--}13.9 \text{ \% dec}^{-1}$  for the shallow branch and  $3.4\text{--}6.9 \text{ \% dec}^{-1}$  for the deep branch, using the  
 248 interquartile ranges. Note that increasing the length over which the successive linear trends are  
 249 calculated greatly reduces the variance (not shown), which also means that the BDC trends for  
 250 relatively short periods (including current observational data sets) are highly sensitive to the  
 251 particular period chosen.

252

253 **Figure 2.** Modeled recent past trends in the Brewer-Dobson circulation (BDC) from the BASE  
 254 simulation, over 1979–2010. Annual mean anomalies of the residual mean vertical velocity with  
 255 ( $\bar{w}^*$ ; solid blue line) and without ( $\bar{w}_{\text{noIPO}}^*$ , solid red line) the IPO, averaged over 20°N–20°S for  
 256 the (a) deep (10 hPa;  $0.49 \text{ mms}^{-1}$  climatological mean) and (b) shallow (70 hPa;  $0.26 \text{ mms}^{-1}$   
 257 climatological mean) branches. Least square linear trends are shown in brackets for  $\bar{w}^*$  (dashed  
 258 blue line) and  $\bar{w}_{\text{noIPO}}^*$  (dashed red line) from 1979 to 2010, approximately coinciding with

259 previous observational and assimilated data studies (asterisks indicates statistically significant  
260 trends at the 5% level). (c) Composites of 20-year running trends for the shallow and deep BDC  
261 branches, for  $\bar{w}^*$  (blue) and  $\bar{w}_{\text{noIPO}}^*$  (red). The box, whiskers, dot, and line indicate the interquartile  
262 range, 2.5<sup>th</sup> and 97.5<sup>th</sup> percentiles of the distribution, mean and median respectively.

263 Our analysis demonstrates that accounting for unforced climate variability can help in  
264 bridging the gap between previous estimates of BDC trends, particularly for the deep branch, and  
265 their consistency with theory and simulation-based calculations. Despite the general agreement  
266 of the acceleration in the shallow branch, the overall picture of trends over the last three or so  
267 decades from observationally-based (Engel et al., 2009; Hegglin et al., 2014; Ray et al., 2014;  
268 Young et al., 2012) and reanalysis-based (Abalos et al., 2015; Bönisch et al., 2011; Diallo et al.,  
269 2012; Monge-Sanz et al., 2013; Seviour et al., 2012; Yuan et al., 2015) studies is somewhat  
270 inconclusive for the deep branch, reporting no trends, negative trends or differing trends. We  
271 acknowledge that the majority of the studies above are tracer-based and hence include mixing,  
272 which can influence BDC diagnostics (Dietmüller et al., 2018; Eichinger et al.,  
273 2019). Nevertheless, in our BASE simulation, which captures real-world interannual variability,  
274 accounting for the influence of the IPO unmasks a robust positive trend in the deep branch of the  
275 advective BDC not apparent in the “raw” model output. This trend, also positive but weaker  
276 compared to the shallow branch, is more consistent with the plethora of long-term climate model  
277 studies that report a strengthening of the BDC under different emission scenarios (Butchart,  
278 2014; Dietmüller et al., 2018).

## 279 **5. IPO, BDC and ozone coupling in the recent past**

280 Having demonstrated the importance of IPO-like variability for unforced variability in  
281 the BDC, and proposed a mechanism to couple this IPO-like variability in the BDC with mid-  
282 stratospheric ozone, we finally turn to consider their roles in recent ozone trends (Nedoluha et  
283 al., 2015). Figure 3a presents time-series of simulated mid-stratospheric tropical (20°N–20°S)  
284 and annual average ozone anomalies, smoothed with a 10-year running mean, between 1960 and  
285 2010 for several simulations. The BASE simulation (blue curve) is in good agreement with  
286 observationally-based estimates (black curve) for both the simulated 10-year running mean  
287 ozone trends for the 1990–2000 period (Table 1) and for the annual mean ozone over the 1984–

288 2010 period ( $r = 0.72$ ,  $p < 0.01$ ; Fig. S3). The latter period encompasses a shift from a positive to  
289 a negative IPO phase, as indicated by the low-pass filtered IPO index in Fig. 3b.

290 From 2000 on, simulated ozone levels in this region are persistently low, which is  
291 consistent with the proposed mechanism and the negative phase of the IPO (Fig. 3a). Internally  
292 generated SST variability, particularly in the tropics (i.e., IPO regression pattern; Fig. S5a),  
293 drives changes in the stratospheric circulation. During the first decade of the 21<sup>st</sup> century,  
294 anomalously cold tropical SSTs (Fig. S5b) compared to the 1980–1990 period are consistent  
295 with both the negative phase of the IPO and a relatively weaker BDC. As argued above, the  
296 associated reduced vertical transport in the middle tropical stratosphere is, in turn, linked to  
297 lower ozone levels via enhanced  $\text{NO}_y$ -catalysed ozone loss (i.e., greater  $\text{N}_2\text{O}$  photo-oxidation  
298 resulting from longer transport time scales; Fig. S6d). These results are in agreement with  
299 observed increases in tropical total column ozone in the recent past, associated with long-term  
300 variability in ENSO and a relatively weaker tropical upwelling (Coldewey-Egbers et al., 2014).

301 However, a number of drivers could also be playing a role in these recent (since ~1990)  
302 changes in mid-stratospheric tropical ozone, particularly those that involve changes in  
303 anthropogenic long-lived halogen ( $\text{LL}_{\text{Hal}}$ ) and  $\text{N}_2\text{O}$  concentrations, as well as stratospheric  
304 volcanic aerosols (Garfinkel et al., 2017; Portmann et al., 2012; WMO, 2018). Table 1 and  
305 Figure 3 show an assessment of the relative importance of these drivers, through our series of  
306 sensitivity simulations where individual drivers are held fixed (see Sect. 2.1). Note that, to  
307 enhance readability of the plot, not every simulation is shown in Fig. 3, but the contribution of  
308 each driver is listed in Table 1. Assuming that these drivers affect ozone in a linear manner,  $\text{N}_2\text{O}$   
309 and  $\text{LL}_{\text{Hal}}$  together account for around a fifth of the simulated 1990–2000 trend (Fixed  $\text{N}_2\text{O}$ -  
310  $\text{LL}_{\text{Hal}}$ ); major volcanic eruptions, El Chichón (1982) and Mt Pinatubo (1991), explain an  
311 additional quarter of the trend (No Volcanoes); and the natural variability in SSTs accounts for  
312 about half of the trend (Fixed SSTs; see inset in Fig. 3a). The response of ozone in the middle  
313 tropical stratosphere to slowly varying SSTs is clearly shown by the Fixed  $\text{LL}_{\text{Hal}}$  sensitivity  
314 simulation (orange curve in Fig. 3a), which evolves like the IPO index (Fig. 3b) throughout  
315 1960–2010. While the overall ozone destruction by ozone depleting substances is captured by the  
316 Fixed SSTs simulation, it does not capture the BASE simulation ozone variability since 1990,  
317 whereas this is evident in Fixed  $\text{LL}_{\text{Hal}}$ . In this region, removing the warming trend in SSTs in the  
318 Fixed SSTs simulation has a negligible influence on ozone concentrations (not shown). Overall,

319 these results indicate that recent negative trends in ozone (since about 1990) in the tropical  
 320 middle stratosphere are not primarily the result of anthropogenic emissions ( $\text{N}_2\text{O}$  and  $\text{LL}_{\text{Hal}}$ ), but  
 321 instead are dominated by low frequency variability in the BDC tied to the Pacific Ocean’s SSTs.

322 **Table 1.** Trends in mid-stratospheric (10 hPa) tropical average (20°N–20°S) ozone between 1990  
 323 and 2000 for observations and the BASE simulation of the 10-year running means, including  
 324 individual contributions of key drivers. The least squared linear decadal trend is given along with  
 325 the ( $\pm 2$ ) standard error accounting for 1-lag autocorrelation of the regression residuals

<b>Contribution<sup>a</sup> of individual drivers</b>					
<b>Observations<sup>b</sup></b>	<b>BASE<sup>c</sup></b>	<b>Fixed N<sub>2</sub>O-LL<sub>Hal</sub><sup>d</sup></b>	<b>Fixed LL<sub>Hal</sub><sup>d</sup></b>	<b>No Volcanoes<sup>d</sup></b>	<b>Fixed SSTs<sup>d</sup></b>
$-0.16 \pm 0.07$ ppm dec <sup>-1</sup>	$-0.17 \pm 0.07$ ppm dec <sup>-1</sup>	22.1 %	6.5 %	25.8 %	49.1* %

326 <sup>a</sup>Contributions are for the difference between the individual sensitivity simulations and the BASE simulation  
 327 expressed in percentage.

328 <sup>b</sup>From the Stratospheric Water and Ozone Satellite Homogenized (version 2.6) database (Davis et al., 2016).

329 <sup>c</sup>The base simulation using CESM1-WACCM driven by all the observed boundary conditions.

330 <sup>d</sup>Attribution of mid-stratospheric tropical ozone trends to Fixed  $\text{N}_2\text{O}$ - $\text{LL}_{\text{Hal}}$ , Fixed  $\text{LL}_{\text{Hal}}$ , No Volcanoes and Fixed  
 331 SSTs respectively, derived from a series of CESM1-WACCM sensitivity simulations. See text for details.

332 \*Indicates statistically significant differences in the trend compared to the BASE simulation (at the 5 % level).

333

334

335 **Figure 3.** Changes in mid-stratospheric tropical ozone and the IPO. (a) 10-year running annual  
 336 mean of tropical average (20°N–20°S) ozone at 10 hPa, expressed as an anomaly relative to  
 337 1984–2010, for the Stratospheric Water and Ozone Satellite Homogenized (SWOOSH version  
 338 2.6) data base (solid black line) and the BASE simulation (as per the “real world”; blue line).  
 339 Also shown are ozone time-series, but with fixed anthropogenic long-lived halogens (Fixed  
 340  $\text{LL}_{\text{Hal}}$ ; orange line) and with a seasonally-varying climatological mean SSTs (Fixed SSTs; red  
 341 line). The inset shows the difference between the BASE simulation and the Fixed  $\text{LL}_{\text{Hal}}$  and

342 Fixed SSTs sensitivity cases. (b) A standardized, low-pass filtered annual mean anomaly IPO  
343 index relative to 1960–2010, calculated from the ERSST sea-surface temperature data set.

344

## 345 **6 Conclusions**

346 Current climate models show a long-term acceleration of the stratospheric Brewer-  
347 Dobson circulation (BDC) due to increasing greenhouse gas concentrations and stratospheric  
348 ozone depletion (Butchart, 2014). However, in the middle and upper stratosphere, the  
349 observational (Engel et al., 2009) and reanalysis (Abalos et al., 2015; Bönisch et al., 2011; Diallo  
350 et al., 2012) evidence for this acceleration has been somewhat equivocal, due to relatively large  
351 uncertainties in short periods (<25 years) associated with natural variability (Garfinkel et al.,  
352 2017). Additionally, in spite of first signs of global stratospheric ozone recovery (Chipperfield et  
353 al., 2017), changes in atmospheric transport have been suggested as the driver of recent declines  
354 in tropical mid-stratospheric ozone (Galytska et al., 2019; Nedoluha et al., 2015).

355 In the present study, we have used observations and the high-top, chemistry-climate  
356 model CESM1-WACCM, to explore the role of multi-decadal climate variability, associated  
357 with Pacific Ocean SSTs (as characterized by the Interdecadal Pacific Oscillation, IPO), in  
358 driving the circulation in the stratosphere and its composition. We show that after accounting for  
359 the IPO-like variability in the BDC, the simulated BDC trend is steeper and statistically  
360 significant at about 7–10 % over the 1979–2010 period for both the shallow and deep branches;  
361 hence, we argue that the IPO may well have masked, to some extent, the BDC acceleration  
362 during the observational record, particularly in the deep branch. Exploring the IPO-like  
363 variability in the BDC in other climate models would give us more insight into how the multi-  
364 decadal time scale circulation in the stratosphere is coupled to the troposphere. Furthermore, we  
365 find that sea surface temperatures explain approximately 50 % of the simulated decadal  
366 variability in tropical mid-stratospheric ozone through dynamical (BDC) and chemical (nitrogen-  
367 catalyzed ozone loss) processes. We acknowledge that the IPO signal is not wholly isolated in  
368 our analysis, and that the role of other aspects of internal variability, such as the Atlantic Multi-  
369 decadal Oscillation, remain to be explored, which may influence stratospheric dynamics and  
370 composition.

371 To sum up, this study demonstrates how internal climate variability on multi-decadal  
372 time scales can influence transport and composition in the stratosphere. It also highlights the  
373 need for a good representation of dynamical and chemical processes in climate models if they are  
374 to be used to distinguish between forced and unforced signals, including detecting ozone layer  
375 recovery.

376

### 377 **Data and Code Availability Statement**

378 The observational data sets, SWOOSH version 2.6  
379 (<https://www.esrl.noaa.gov/csl/groups/csl8/swoosh/>) and ERSST version 5  
380 ([https://www.ncdc.noaa.gov/data-access/marineocean-data/extended-reconstructed-sea-surface-](https://www.ncdc.noaa.gov/data-access/marineocean-data/extended-reconstructed-sea-surface-temperature-ersst-v5)  
381 [temperature-ersst-v5](https://www.ncdc.noaa.gov/data-access/marineocean-data/extended-reconstructed-sea-surface-temperature-ersst-v5)), are publicly available. The CMIP5 data are available through the Earth  
382 System Grid Federation (<https://esgf-node.llnl.gov/search/cmip5/>). The CCM1 data of the  
383 CESM1-WACCM model are available through the NCAR Climate Data Gateway  
384 (<https://www.earthsystemgrid.org>). Data of our sensitivity simulations using CESM1-WACCM  
385 are available at Zenodo (<https://doi.org/10.5281/zenodo.4282423>). The software code for the  
386 CESM1 model is available from <http://www.cesm.ucar.edu/models>. Python open-source  
387 software used in this analysis is publicly available (<https://www.python.org>).

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