

1 **Abscisic acid mediates barley rhizosheath formation under mild soil drying by**
2 **promoting root hair growth and auxin response**

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4 **Running title:** Barley rhizosheath under mild soil drying

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28 **Highlight:** Mild soil drying induces barley rhizosheath formation by modulating
29 abscisic acid and auxin responses for root elongation and root hair growth.

31 **ABSTRACT**

32 Soil drying enhances root ABA accumulation and rhizosheath formation, but whether
33 ABA mediates rhizosheath formation is unclear. Here, we used the ABA-deficient
34 mutant *Az34* to investigate molecular and morphological changes by which ABA
35 could affect rhizosheath formation. Mild soil drying with intermittent watering
36 increased rhizosheath formation by promoting root and root hair elongation.
37 Attenuated root ABA accumulation in *Az34* barley constrained the promotion of root
38 length and root hair length by drying soil, such that *Az34* had a smaller rhizosheath.
39 Pharmacological experiments of adding fluridone (an ABA biosynthesis inhibitor)
40 and ABA to drying soil restricted and enhanced rhizosheath formation respectively in
41 *Az34* and wild-type Steptoe barley. RNA sequencing suggested that ABA
42 accumulation mediates auxin synthesis and responses, root and root hair elongation in
43 drying soil. In addition, adding indole-3-acetic acid (IAA) to drying soil increased
44 rhizosheath formation by promoting root and root hair elongation in Steptoe and *Az34*
45 barley. Together, these results show that ABA accumulation induced by mild soil
46 drying enhance barley rhizosheath formation, which may be achieved through
47 promoting auxin response.

48

49 Keywords: abscisic acid, auxin, rhizosheath formation, root hair, root length, soil
50 drying

52 INTRODUCTION

53 Decreased water availability for agricultural purposes threatens future global crop
54 yields, exacerbated by climate change (Gupta, Rico–Medina, & Cano–Delgado, 2020;
55 L. Xu et al., 2018). Roots play an important role in water uptake (Carminati et al.,
56 2017), and enhancing root water uptake can improve plant performance in drying soil.
57 Root hairs (single celled projections from the roots) constitute up to 77% of the root
58 surface area in crops (Parker, Cavell, Dolan, Roberts, & Grierson, 2000) and for a
59 root of 1 mm diameter, root hairs (0.5 or 1 mm average length) will improve water
60 uptake by 30 to 55% compared to roots lacking root hairs (Segal, Kushnir, Mualem,
61 & Shani, 2008; Wang et al., 2017). Nevertheless, the impact of root hairs on plant
62 water uptake seems to depend on prevailing evaporative demand, as they seem
63 redundant (Dodd & Diatloff, 2016) or essential (Carminati et al., 2017) at low and
64 higher evaporative demand respectively. They may also enhance rhizosheath
65 formation (L.K. Brown et al., 2012), especially in drying soil.

66 The rhizosheath is the soil that adheres to the root surface as a consequence of root
67 hair penetration between soil particles and mucilage secretion from roots or microbes
68 that bind the particles together. The rhizosheath is beneficial for water acquisition as it
69 has higher water content than bulk soil (Young, 1995) thereby increasing water
70 uptake (Zhang et al., 2020a). Drought–tolerant plants generate a greater and more
71 porous rhizosheath mass than drought–sensitive plants (Rabbi et al., 2018; Zhang et
72 al., 2020a). Root hairs are one of the major determinants of rhizosheath formation and
73 might confer associated rhizosheath benefits (George et al., 2014; Haling et al., 2014;
74 Robertson–Albertyn et al., 2017; Watt, McCully, & Canny, 1994). They permeate the
75 rhizosheath (McCully, 1999) and provide a physical framework for extending the
76 rhizosheath (Watt et al., 1994). Moderate soil drying can stimulate both root hair
77 growth and rhizosheath formation in some crops, such as maize (*Zea mays*, Watt et al.,
78 1994), foxtail millet (*Setaria italica*, Liu et al., 2019) and rice (*Oryza sativa*, Zhang et
79 al., 2020ab). Combining longer root hairs and larger rhizosheaths may protect
80 agricultural yields despite decreased water availability.

81 Abscisic acid (ABA) is the main plant stress hormone regulating plant responses to
82 soil drying (McAdam, Brodribb, & Ross, 2016). Under moderate water deficit, ABA
83 establishes and maintains root meristem function and stimulates root elongation
84 (Liang, Mitchell, & Harris, 2007; Rosales, Maurel, & Nacry, 2019; Yang et al., 2014).
85 High exogenous ABA concentrations or ABA accumulation in well-watered
86 conditions can inhibit plant growth (Rowe, Topping, Liu, & Lindsey, 2016; Shi et al.,
87 2015; Tardieu, Parent, & Simonneau, 2010). However, low concentrations of applied
88 ABA or ABA accumulation can promote root growth (X. Li, Chen, Forde, & Davies,
89 2017; Sharp, 2002; W. Xu et al., 2013) and root hair elongation (Wang et al., 2017).
90 ABA controls root hair growth by regulating the root hair transcription factor Root
91 Hair Defective 6–Like2 and transcriptional regulator OBF BINDING PROTEIN4 in
92 Arabidopsis (Rymen et al., 2017). Exogenous ABA (0.1–2 μM) can promote root hair
93 elongation via the conserved PYR/PP2C/SnRK2 ABA signalling module and
94 crosstalk with auxin biosynthesis in rice (Wang et al., 2017). Conversely, higher ABA
95 concentrations (0.25–10 μM) in agar plates reduced root hair growth in another study
96 (Rymen et al., 2017). Despite these observations, the role of ABA in regulating root
97 hair growth in drying soil is not clear.

98 Recently, rice rhizosheath formation was associated with ABA accumulation under
99 moderate water stress (Zhang et al., 2020a), however, how ABA in regulating
100 rhizosheath formation remains largely unknown. The application of ABA-deficient
101 mutants (Humplík, Bergougnoux, & Van Volkenburgh, 2017) have been widely used
102 to investigate the physiological role of ABA in regulating root growth, but not to
103 address whether ABA-mediated root (hair) elongation affects rhizosheath formation.
104 We hypothesized that ABA could mediate rhizosheath formation by promoting both
105 root and root hair elongation, and used transcriptomics to address the underlying
106 molecular mechanisms. Thus, we used wild-type (*Hordeum vulgare* cv. Steptoe) and
107 ABA-deficient mutant *Az34* barley plants (Martin-Vertedor & Dodd, 2011) to
108 investigate whether ABA affected rhizosheath formation.

109

110 MATERIALS AND METHODS

111 Plant materials and experimental design

112 All experiments were conducted in a controlled greenhouse with light–dark cycle of
113 14/10 h from LED light with photosynthetic photon flux density was 300 μmol
114 photons $\text{m}^{-2} \text{s}^{-1}$, day–night temperature cycle of 26°C/22°C, and relative humidity was
115 40%. Soil used in pot experiments was collected from a paddy rice field (0–20 cm
116 depth) located near the town of Huayang, Jiangxi Province, China (115°09'32"E,
117 28°32'29"N). The physical and chemical properties of soil are summarized in
118 Supplementary Table S1.

119 Two barley (*Hordeum vulgare*) cultivars, Steptoe and Optic were used to assess the
120 root growth and rhizosheath formation under difference watering treatments. Optic
121 was often used in studies of barley rhizosheath formation (Brown et al., 2012; George
122 et al., 2014). Steptoe was the wild type barley of ABA–deficient mutant *Az34*
123 (Martin–Vertedor & Dodd, 2011) which was also utilized in this study. *Az34* mutant
124 was originally selected based on nitrate reductase deficiency after sodium azide
125 mutagenesis (Kleinhofs, Warner, Murhlbauer, & Nilan, 1978). The F1 seedlings
126 produced from the cross *Az34* by Steptoe were normal in NADH–nitrate reductase
127 activity; and the segregation of the F2 seedlings grown in the field indicate a single,
128 recessive Mendelian trait (Kleinhofs, Kuo, & Warner, 1980). Then, it was also found
129 to be deficient in the molybdenum cofactor–requiring enzyme aldehyde oxidase
130 required for oxidation of ABA precursor (Walker–Simmons, Kudrna, & Warner,
131 1989). Thus *Az34* has reduced ABA concentrations under well–watered conditions
132 and cannot produce as much extra ABA as the wild type under soil drying (Bacon,
133 Wilkinson, & Davies, 1998).

134 Seeds were surface–sterilized using 1.5% (v/v) sodium hypochlorite for 15 min and
135 rinsed with double–distilled water for 5 times, then were placed on moistened filter
136 paper in the dark for 2 days. After that, seeds were placed on moistened filter paper in
137 a 14/10 h light–dark cycle for 3 days prior to planting. Seedlings of uniform size were
138 transplanted to pots (12 cm diameter, 18 cm height) with 2.6 kg dry soil. Each pot had

139 a single seedling. In the first week, each pot was irrigated with 200 ml each day, then
140 irrigation treatments were started and lasted for 14 days. Well-watered plants
141 received 200 ml water every 2 days. Soil drying (SD) treatment I (SD1) plants were
142 irrigated 400 ml water every 6 days. Soil drying treatment II (SD2) plants were
143 irrigated 200 ml water every 6 days. Pots were weighed daily to estimate soil water
144 content.

145 In the pharmacological experiments, 1.5 mL solution was uniformly sprayed on
146 leaves of each barley plant for 14 days under SD1. Total root length and average root
147 hair length in Steptoe barley was studied after application of different concentrations
148 of ABA (0, 1, 5, 10, 20 μ M) and indole-3-acetic acid (IAA, 0, 0.5, 1, 2, 3, 5 and 10 nM)
149 was used under soil drying. Further, ABA (1 μ M), ABA inhibitor fluridone (10 μ M,
150 Zhang et al., 2020a), ABA(1 μ M) + fluridone (10 μ M), and IAA (1 nM) treatments
151 were applied to evaluate plant phenotypes and rhizosheath formation under SD1.

152

153 **Plant traits and rhizosheath weight**

154 Pots were tipped out, and roots gently shaken to remove bulk soil; leaving the
155 rhizosheath soil. Root fresh weight with adhering rhizosheath was recorded. The root
156 system was washed, then rhizosheath soil with water was collected and dried at 105°C
157 for 3 days, and soil weighed to determine rhizosheath weight. Root images were
158 scanned using an Epson scanner (Epson, Herts, UK) and total root length analysed
159 using a winRHIZO software (Regent Instruments, Quebec, Canada). After that, root
160 fresh weight was measured. Specific rhizosheath weight was calculated as rhizosheath
161 weight divided by total root length. Root hair length was determined as previously
162 described (Zhang et al., 2020b). Briefly, root fragments excised from crown roots
163 were photographed under a SMZ18 stereomicroscope using a DS-U3 camera (Nikon,
164 Tokyo, Japan). Then root hair length was measured using Image J software (US
165 National Institutes of Health, Maryland, USA). Roots and shoot dry weights of each
166 plant were recorded after samples dried at 80°C for 2 days. The water content of
167 rhizosheath soil was calculated as follows: (fresh weight of root with rhizosheath soil

168 – fresh weight of root– dry weight of rhizosheath soil) ÷ dry weight of rhizosheath
169 soil. Bulk soil water content was also calculated.

170

171 **Determination of leaf and root ABA concentration**

172 The youngest fully expanded leaf was harvested, then frozen in liquid nitrogen. The
173 pot was disassembled and the total root was collected and quickly cleaned, frozen in
174 liquid nitrogen. Leaf and root samples were stored at –80°C until ABA determination.
175 For ABA determination, samples were freeze dried, finely ground and weighed. ABA
176 in sample was dissolved using deionized water with 1:50 weight ratio (sample: water)
177 in tube. Then the tube was shaken for 24 h at 4°C for ABA fully extraction. The
178 supernatant was analysed by a radioimmunoassay method as described (Quarrie et al.,
179 1988).

180

181 **Root collection, RNA isolation, RNA sequencing and data analysis**

182 For RNA–seq, roots of Steptoe and *Az34* barley under WW and SD treatments were
183 rapidly harvested, washed, dried with paper towel, frozen in liquid nitrogen
184 immediately. Each treatment had three replicates. Samples were stored at –80 °C
185 until subsequent RNA extraction and sequencing. Root total RNA extraction, quality
186 control and quantification was performed as described previously (Zhang et al., 2016).
187 Sequencing libraries were generated using NEBNext Ultra (NEB, MA, USA) and
188 sequenced using the BGISEQ–500 sequencer (BGI, Shenzhen, China) as described
189 previously (Zhang et al., 2020a). Raw reads were pre–processed using SOAPnuk
190 (version 1.4.0) and trimmomatic (version 0.36). Adapter sequences, each read
191 containing ≥5% poly–N, and low–quality reads (Q–score ≤ 10 for ≥ 20% of
192 nucleotides per read) were filtered. The clean data were deposited to NCBI in SRA
193 (SRR13559037–SRR13559048). The resulting clean data were mapped to the
194 reference genome (*Hordeum_vulgare*_L., release–39) using Hierarchical Indexing
195 for Spliced Alignment of Transcripts (HISAT, version 2.1.0). Read alignment was
196 used Bowtie 2 (version 2.2.5), and RSEM was used to calculate the expression of

197 genes and transcripts. DEGseq (Fold Change ≥ 2 , and adjusted P value ≤ 0.001)
198 was used for identifying differentially expressed genes (DEGs). Gene Ontology
199 (GO) classifications and pathway analysis was used GO
200 (<http://www.geneontology.org/>) and Kyoto Encyclopedia of Genes and Genomes
201 (KEGG, <http://www.genome.jp/kegg>), respectively. Pathway enrichment was used R
202 package “phyper”.

203

204 **RT-qPCR**

205 For reverse-transcription quantitative polymerase chain reaction (RT-qPCR) analysis,
206 total RNA extracted as above was reverse-transcribed into cDNA using Strand cDNA
207 Synthesis kit (Roche, Basel, Switzerland) according to the manufacturer’s instructions.
208 RT-qPCR was performed as described previously (Zhang et al., 2020a). The
209 transcript levels were normalized to that of Ubi1 as an endogenous control. The
210 primers used were listed in Supplementary Table S2. Each of three biological
211 replicates was represented by three technical replicates.

212

213 **Statistical analysis**

214 Statistical analyses were performed using SPSS (version 17.0, IBM). Two-way
215 ANOVA determined the effects of irrigation treatment, cultivar, and their interaction.
216 Statistically different groups were determined by a one-way ANOVA at $p < 0.05$.
217 Datasets were checked for normality using homogeneity of variance test. If variances
218 were homogeneous equal, *post hoc* Duncan’s test was applied for multiple
219 comparisons. If variances were homogeneous unequal, *post hoc* Tamhane’s T2 test
220 was used for analysis. Graphs were generated using SigmaPlot12.5 (Systat Software
221 Inc. Chicago, IL, USA), or R package.

222

223 **RESULTS**

224 **Soil drying increased rhizosheath formation of barley**

225 Well-watered (WW) barley plants were irrigated every two days, so that soil water

226 content did not drop below 30%, with slightly higher values for Optic than Steptoe
227 throughout much of the experiment (Fig. 1a). Soil water content of both soil drying
228 treatments (SD1 and SD2) declined to 17% on Day 5, whereupon different irrigation
229 volumes recovered values to 29% and 21% respectively on Day 6. Soil drying
230 occurred to 16% and 13% respectively on Day 11, with recovery to 27% and 17% on
231 Day 12, respectively. At the end of the experiment (Day 14), soil water contents of the
232 WW, SD1 and SD2 treatments were about 30%, 24% and 15%, respectively, with
233 similar values in both genotypes when grown under the same treatment.

234 Both cultivar and irrigation treatment significantly affected shoot dry weight, total
235 weight of whole plant and root to shoot ratio (Fig. 1b, Fig. S1a–b), but both cultivars
236 responded similarly to soil drying (no cultivar x treatment interaction). Well-watered
237 Optic plants had 19% more shoot biomass than WW Steptoe plants. Soil drying
238 decreased shoot biomass of Optic plants by 17% and 28% in the SD1 and SD2
239 treatments respectively, and of Steptoe by 10% and 44% in the comparable treatments.
240 Total biomass of Optic was relatively unresponsive to the watering treatments while
241 the SD2 treatments decreased total biomass of Steptoe by 38% (Fig. S1a). Both soil
242 drying treatments increased root to shoot ratio of both genotypes similarly (Fig. S1b).
243 Thus soil drying significantly decreased shoot biomass (and to a lesser extent total
244 biomass), but increased root to shoot ratio in both barley cultivars.

245 Soil drying significantly increased total root length, but the two cultivars responded
246 differently to the SD2 treatment. Whereas the SD1 treatment increased total root
247 length by about 69% (compared to well-watered plants) in both cultivars, the SD2
248 treatment increased total root length by 105% and 53% in the Optic and Steptoe
249 cultivars, respectively (Fig. 1c). Average root hair length of both cultivars responded
250 similarly to the treatments (Fig. 1d), with the SD1 and SD2 treatments increasing root
251 hair length by 41% and 22% compared to well-watered plants in Optic, and by 66%
252 and 27% in Steptoe. Absolute rhizosheath weight increased with the severity of soil
253 drying in Optic, with the SD1 and SD2 treatments increasing rhizosheath weight by
254 1- and 1.3-fold respectively compared to well-watered plants. In Steptoe, the SD1

255 treatment generated the greatest rhizosheath (96% higher than WW plants) whereas
256 the SD2 treatment had a smaller effect (37% higher than WW plants). Specific
257 rhizosheath weight (rhizosheath per unit weight length) changed comparatively little
258 in Optic (increased by 22% only in the SD1 treatment) but increased in Steptoe in the
259 SD1 treatment (by 12%) and decreased in the SD2 treatment (by 14%). While mild
260 soil drying (SD1) stimulated root and root hair length, and absolute and specific
261 rhizosheath weight in both cultivars, more severe drying (SD2) had less stimulative
262 effect or even negative effect in the two cultivars.

263 Although bulk soil water content (when harvested) decreased in the SD1 and SD2
264 treatments (Fig. 1a), the ratio of rhizosheath to bulk soil water content increased by
265 54% and 70% respectively, implying maintenance of rhizosheath water content (Fig.
266 S1c). Root ABA concentrations in well-watered Optic plants 41% higher than Steptoe
267 plants (Fig. S1d). Root ABA concentrations increased with soil drying, with both
268 cultivars having similar root ABA concentrations in the SD2 treatment. In the SD1
269 treatment, root ABA concentrations of Optic plants were 9% higher than Steptoe
270 plants. Overall, root ABA concentration was correlated with bulk soil (and
271 rhizosheath) water content in SD1, with the SD1 treatment selected for further
272 experiments as it increased root (and root hair) length and rhizosheath development in
273 both cultivars.

274

275 **ABA increased rhizosheath formation by promoting root and root hair growth**

276 The ABA-deficient *Az34* barley mutant and wild-type (WT) Steptoe barley were
277 used to investigate the effects of endogenous ABA concentration on rhizosheath
278 formation. Both leaf and root ABA concentrations showed similar effects of genotype
279 and soil drying, with attenuated ABA accumulation in the *Az34* mutant (as indicated
280 by significant genotype x treatment interactions Fig. 2a–b). Under well-watered
281 conditions, leaf ABA concentrations of Steptoe were 12% higher than *Az34*. Soil
282 drying increased leaf ABA concentration WT Steptoe by 14%, but had no significant
283 effect in *Az34*. Under well-watered conditions, root ABA concentrations of Steptoe

284 were 56% higher than *Az34*. Soil drying increased root ABA concentration by about
285 24% for both genotypes. Genotypic differences in endogenous ABA concentrations
286 were greater in roots than in leaves.

287 Under WW conditions, both genotypes had a similar total root length, but soil
288 drying increased total root length more in WT (by 89%) than that in *Az34* (by 40%),
289 as indicated by a significant genotype x treatment interaction (Fig. 2c). Under WW
290 conditions, WT root hairs were 79% longer than *Az34* root hairs. Soil drying
291 increased average root hair length of both genotypes similarly by 72% (Fig. 2d). Both
292 absolute rhizosheath and specific rhizosheath dry weight of WT plants was greater
293 than *Az34* plants irrespective of soil moisture (Fig. 2e–f). While soil drying increased
294 specific rhizosheath dry weight of both genotypes similarly by 102% (no genotype x
295 treatment interaction), absolute rhizosheath increment in response to soil drying was
296 greater in WT than *Az34* plants. Thus the ABA-deficient barley mutant had shorter
297 root hairs, and less rhizosheath mass independently of soil drying.

298 To further understand the role of ABA in root hair growth and rhizosheath
299 formation, ABA and its biosynthesis inhibitor fluridone were applied to both
300 genotypes grown in drying soil. Total root length and average root hair length were
301 both significantly increased after using 1 μM of exogenous ABA compared with 0 μM
302 ABA treatment in Steptoe barley under soil drying (Fig. S2). Therefore, we selected
303 exogenous 1 μM ABA for our later experiments. The expression of ABA response
304 gene (HORVU7Hr1G035500: ABA responsive element binding factor 2, *ABF2*) was
305 also assessed in Steptoe and *Az34* barley under soil drying and soil drying with
306 exogenous ABA (1 μM) treatments for 14 days. Results showed that exogenous ABA
307 could increase the expression of gene *ABF2* in both two barley genotypes (Fig. S3).
308 Genotype, irrigation treatment and their interaction all significantly affected total root
309 length, rhizosheath and specific rhizosheath dry weight of barley plants under soil
310 drying and Genotype, irrigation treatment significantly affected average root hair
311 length (Fig. 3). Total root length, average root hair length, rhizosheath and specific
312 rhizosheath dry weight were significantly larger in Steptoe than *Az34* plants, with

313 ABA application significantly increasing all phenotypes consistently across both
314 genotypes. Also, fluridone application decreased all these phenotypes and ABA
315 application could partly reverse the effect of fluridone (Fig. 3). Thus, exogenous ABA
316 application to drying soil enhanced average root hair length, total root length and
317 rhizosheath formation in both *Az34* and WT barley.

318

319 **RNA-seq global analysis**

320 Roots of Steptoe WT and *Az34* barley under WW and SD treatments were used for
321 RNA-seq. Table S3 indicates total raw reads, total clean reads, total clean bases,
322 clean reads Q20, clean reads Q30 and clean reads ratio from RNA-seq. Over 1 G
323 clean bases were obtained and the clean reads ratio was more than 96% from each
324 sample (Table S3). Over 90% of the clean reads were aligned to the barley reference
325 genome (Table S3). Compared with WW plants, soil drying resulted in 2338 DEGs
326 (824 up-regulated and 1514 down-regulated genes) and 1778 DEGs (707
327 up-regulated and 1071 down-regulated genes) in WT (Steptoe) and *Az34* plants
328 respectively, and 855 DEGs overlapped in both genotypes (Fig. S4).

329

330 **DEGs related to ABA, auxin, and root and root hair growth**

331 From GO and KEGG pathway analysis, there were many DEGs related to the ABA
332 biosynthetic process, ABA receptors and response to abscisic acid (Table S4). For
333 example, a gene encoded a 9-cis-epoxycarotenoid dioxygenase (NCED), a key
334 enzyme in ABA biosynthesis, was down-regulated in *Az34* compared to Steptoe. Soil
335 drying up-regulated genes of the Tryptophan metabolism pathway (an important
336 auxin biosynthesis substrate) in Steptoe WT plants (21 DEGs) but did not induce
337 these genes in *Az34* barley (14 DEGs) compared to WW plants (Fig. S5). Nine of
338 these genes (including aromatic-L-amino-acid decarboxylase-like and tryptophan
339 decarboxylase) were overlapping among these two groups. Soil drying up-regulated
340 21 genes in WT plants that included aromatic-L-amino-acid decarboxylase-like and
341 tryptophan decarboxylase in the Tryptophan metabolism pathway, while 9 of these 21

342 genes were less induced in *Az34*. A further 15 DEGs were related to auxin synthesis,
343 3 were related to auxin transport and 13 related to auxin response were compared in
344 the different genotype x irrigation treatment combinations (Fig. 4). This indicated that
345 *Az34* barley had a weaker induction of auxin synthesis under soil drying than WT
346 barley.

347 Soil drying also resulted in a further 6 DEGs related to root and root hair growth
348 (Fig. 4). For example, soil drying increased expression of a gene encoding a
349 root-specific lectin by 4.2- and 2.1-fold in Steptoe and *Az34* plants respectively;
350 while this gene had 3-fold more expression in Steptoe than *Az34* roots under
351 well-watered conditions. Furthermore, soil drying down-regulated a gene encoding
352 glutamine synthetase root isozyme 5 by 4.3- and 4.5-fold in Steptoe and *Az34*
353 respectively, even though expression of this gene was similar under WW conditions.
354 Soil drying up-regulated a gene encoding extensin-1-like by 2.4 and 2.6-fold in
355 Steptoe and *Az34* respectively, compared to WW plants. In *Az34*, soil drying
356 up-regulated a gene encoding ROOT HAIR DEFECTIVE 3 homolog 2-like protein
357 by 3.2-fold compared to WW plants; although there was no significant effect in
358 Steptoe plants. Thus, genes related to root and root hair growth were regulated by
359 ABA and soil drying.

360

361 **Auxin increased rhizosheath formation by promoting root and root hair growth**

362 To verify the role of auxin in ABA-mediated rhizosheath formation, exogenous IAA
363 was applied to Steptoe WT and *Az34*. Total root length and average root hair length
364 were significantly increased after application of 1 nM exogenous IAA compared with
365 0 nM IAA treatment in Steptoe barley under soil drying (Fig. S6). Therefore, we
366 selected exogenous 1nM IAA for our later experiments. The expression of auxin
367 response gene (HORVU2Hr1G110460: auxin-induced protein 6B-like, *AIP6B*) was
368 tested in exogenous IAA treatments in Steptoe and *Az34* barley, the results showed
369 that exogenous IAA could increase the expression of gene *AIP6B* in two barley
370 genotypes under soil drying (Fig. S7). However, the expression of the gene *AIP6B*

371 was greatly up-regulated in *Az34* compared with the Steptoe under both SD and SD
372 with exogenous IAA (Fig. S7), which show that low ABA content in *Az34* might
373 require a higher IAA response. Genotype and auxin treatment significantly affected
374 total root length, average root hair length, rhizosheath and specific rhizosheath dry
375 weight (Fig. 5). Exogenous IAA application to drying soil enhanced total root length,
376 average root hair length, total rhizosheath and specific rhizosheath dry weight of
377 Steptoe and the *Az34* mutant (Fig. 5). Thus, auxin significantly enhanced rhizosheath
378 development with root and root hair growth.

379

380 **DISCUSSION**

381 Soil drying increased rhizosheath dry weight and specific rhizosheath dry weight of
382 two barley cultivars (Fig. 1e and f), suggesting that the rhizosheath is an important
383 trait for coping with drought stress (Lawrie K. Brown, George, Neugebauer, & White,
384 2017). Though there are conflicting findings on the relationship between rhizosheath
385 size and root hair length as reviewed by Pang et al. (2017), our previous research
386 showed that root hair length greatly influences specific rhizosheath weight in rice
387 (Zhang et al., 2020a). Here, average root hair length influenced specific rhizosheath
388 weight under different watering treatments in both cultivars (Fig. 1d and f). Since soil
389 drying increases rhizosheath formation by promoting root length and root hair growth,
390 and stimulates root ABA accumulation (Fig. S1d and Fig. 2b), we investigated the
391 role of ABA in mediating these adaptive responses.

392

393 **ABA promotes rhizosheath formation under soil drying by influencing root and** 394 **root hair growth**

395 Soil drying induced ABA accumulation in both roots and shoots (Fig. 2a and b). In
396 addition, moderate water stress in rice induces rhizosheath formation associated with
397 abscisic acid (Zhang et al., 2020a). Thus, we hypothesized that soil drying–induced
398 rhizosheath formation was related to ABA accumulation. In support of this hypothesis,
399 the *Az34* (ABA–deficient mutant, Fig. 2a and b) had less rhizosheath and specific

400 rhizosheath dry weight than its WT under both WW and SD1 (Fig. 2e and f).
401 Moreover, adding exogenous ABA to drying soil generally increased rhizosheath and
402 specific rhizosheath dry weight of both genotypes; and Steptoe still had a higher
403 rhizosheath and specific rhizosheath dry weight than *Az34* (Fig. 3c and d). However,
404 adding exogenous fluridone, an ABA biosynthetic inhibitor, to drying soil sharply
405 decreased rhizosheath and specific rhizosheath dry weight of both Steptoe and *Az34*
406 (Fig. 3c). Thus, increased rhizosheath formation induced by soil drying may depend
407 on ABA accumulation in roots.

408 Irrespective of soil moisture, the larger rhizosheath and specific rhizosheath weight
409 of Steptoe than *Az34* was correlated with longer root hairs of Steptoe (Fig. 2d–f).
410 While both genotypes had a similar total root length under WW conditions, soil
411 drying greatly increased total root length of Steptoe but had a limited effect in *Az34*
412 (Fig. 2c). In addition, soil drying up-regulated a gene encoding a root-specific lectin
413 in both Steptoe and *Az34* barley plants, and this gene was also up-regulated in *Az34*
414 compared with Steptoe under WW (Fig. 4). Plant lectins are carbohydrate-binding
415 proteins and a plant lectin (named ArathEULS3) in *Arabidopsis* confers ABA
416 hypersensitivity and enhanced drought tolerance (D. Li et al., 2014). These results
417 suggest that root-specific lectin may be involved in regulating root growth under SD.

418 Moreover, soil drying up-regulated the root hair related genes extensin-1-like and
419 ROOT HAIR DEFECTIVE 3 homolog 2-like (which act downstream of auxin in
420 *Arabidopsis*) in *Az34* barley, while the extensin-1-like gene was also up-regulated in
421 Steptoe barley (Fig. 4) (Wong, Mazumdar, Lau, & Harikrishna, 2018). Further, in
422 both genotypes, adding exogenous ABA to drying soil increased root and root hair
423 length (Fig. 3a and b). These results indicate the importance of ABA stimulation of
424 root and root hair elongation in enhancing rhizosheath formation under soil drying.

425

426 **ABA accumulation mediates auxin responses to promote rhizosheath formation** 427 **under soil drying**

428 Auxin response is also involved in regulating root and root hair growth, and ABA

429 involved in this regulation (W. Xu et al., 2013; Zhang et al., 2020a). Here, soil drying
430 up-regulated DEGs in Tryptophan metabolism, such as genes encoding
431 aromatic-L-amino-acid decarboxylase-like and tryptophan decarboxylase, while
432 most of these genes were down-regulated in *Az34* (Fig. 4 and S5).
433 Tryptophan-dependent pathways are main pathways for the biosynthesis of IAA,
434 including the indole-3-acetamide pathway, the indole-3-pyruvic acid pathway, the
435 tryptamine pathway, and the indole-3-acetaldoxime pathway (Mano & Nemoto,
436 2012). Further, many DEGs related to auxin synthesis, auxin transport, auxin response
437 and root hair were also regulated in Steptoe or/and *Az34* under WW compared with
438 SD (Fig. 4). Thus, we proposed that auxin also mediated rhizosheath formation.
439 Adding exogenous IAA to drying soil increased rhizosheath weight and specific
440 rhizosheath weight of Steptoe and *Az34*, along with root and root hair length (Fig.
441 5). These results indicate that auxin might enhance root and root hair growth to
442 promote rhizosheath formation.

443 In conclusion, our results show that mild soil dying in barley increases rhizosheath
444 formation. Use of the ABA-deficient *Az34* barley mutant and an inhibitor of ABA
445 synthesis demonstrated that ABA regulates rhizosheath formation under mild soil
446 dying by stimulating root and root hair growth. In addition, transcriptome data and
447 exogenous hormone treatments suggested that root ABA accumulation mediated
448 auxin responses to promote rhizosheath formation under mild soil dying. These
449 findings extends our understanding of rhizosheath formation which may be used to
450 breed drought resistant crop.

451

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459 concentration.

460

461 **AUTHOR CONTRIBUTIONS**

462 Conceived and designed the experiments: WX, YZ. Performed the experiments: YZ,
463 YD, HD, FX, QZ. Analyzed the data: YZ, XD, YC. Wrote the paper: YZ, FX, WX,
464 ID.

465

466 **CONFLICT OF INTEREST**

467 The authors have no conflicts of interest to declare.

468

469 **DATA AVAILABILITY STATEMENT**

470 The data that support the findings of this study are openly available.

471

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631 **FIGURE LEGENDS:**

632 **Fig. 1** Soil drying increased rhizosheath formation of Steptoe and Optic barley
633 cultivars. (a) Daily soil water content under different soil drying treatments. (b) Shoot
634 dry weight, (c) total root length, (d) average root hair length, (e) total rhizosheath and
635 (f) specific rhizosheath weight of Steptoe and Optic barley cultivars after 14 days of
636 soil drying treatments. WW: Well-watered; SD1: Soil drying treatment I ; SD2: Soil
637 drying treatment II ; In a–f, data are means \pm SE, n=5. Bars with different letters were
638 significantly different at $p<0.05$. Two-way ANOVA determined the effects of
639 genotype (G), irrigation treatment (T), and their interaction (G*T).

640

641 **Fig. 2** Rhizosheath dry weight of *Az34* barley (ABA-deficient mutant) was lower than
642 the wild-type Steptoe barley under both well-watered (WW) and soil drying (SD1)
643 treatments. (a) Leaf ABA concentration, (b) root ABA concentration, (c) total root
644 length, (d) average root hair length, (e) total rhizosheath and (f) specific rhizosheath
645 weight of *Az34* barley and Steptoe barley after 14 days soil drying treatments. In a–f,
646 data are means \pm SE, n=5. Bars with different letters were significantly different at
647 $p<0.05$. Two-way ANOVA determined the effects of genotype (G), irrigation
648 treatment (T), and their interaction (G*T).

649

650 **Fig. 3** ABA increased rhizosheath formation of wild-type Steptoe barley and *Az34*
651 barley (ABA-deficient mutant) by promoting root and root hair growth. (a) Total root
652 length, (b) average root hair length, (c) total rhizosheath and (d) specific rhizosheath
653 weight of Steptoe and *Az34* barley under soil drying (SD1) treatment, SD with
654 exogenous ABA (1 μ M), SD with exogenous fluridone (FLU, an ABA biosynthetic
655 inhibitor, 10 μ M) and SD with both ABA (1 μ M) and FLU (10 μ M) for 14 days. In
656 a–d, data are means \pm SE, n=5. Bars with different letters were significantly different
657 at $p<0.05$. Two-way ANOVA determined the effects of genotype (G), irrigation
658 treatment (T), and their interaction (G *T).

659

660 **Fig. 4** Differentially expressed genes (DEGs) related to auxin and root-hair growth in
661 the roots of wild-type Steptoe barley and *Az34* mutant (ABA-deficient mutant) barley
662 under well-watered (WW) and soil drying (SD1).

663

664 **Fig. 5** IAA increased rhizosheath formation of wild-type Steptoe barley and *Az34*
665 barley (ABA-deficient mutant). (a) Total root length, (b) average root hair length, (c)
666 total rhizosheath and (d) specific rhizosheath weight of Steptoe and *Az34* mutant
667 barley under treatments of soil drying (SD1) and SD1 with exogenous indole-3-acetic
668 acid (IAA, 1 nM) for 14 days. In a–d, data are means \pm SE, n=5. Bars with different
669 letters were significantly different at $p < 0.05$. Two-way ANOVA determined the
670 effects of genotype (G), irrigation treatment (T), and their interaction (G*T).

671

672 SUPPORTING INFORMATION

673 **Table S1.** Chemical and physical characteristic of the soil used in this study.

674 **Table S2** Primers used in this study.

675 **Table S3.** Summary of the RNA-seq data.

676 **Table S4.** Differentially expressed genes (DEGs) related to abscisic acid in the roots
677 of wild-type Steptoe barley and *Az34* mutant barley under well-watered (WW) and
678 soil drying (SD1).

679 **Fig. S1.** Plant traits of Steptoe and Optic barley cultivars under different soil dring
680 treatments.

681 **Fig. S2.** 1 μ M ABA increased both total root length and average root hair length of
682 Steptoe barley.

683 **Fig. S3.** Exogenous ABA (1 μ M) increased the expression of ABA response gene
684 ABF2 in both wild-type Steptoe barley and *Az34* barley (ABA-deficient mutant)
685 under soil drying (SD1).

686 **Fig. S4.** Differentially expressed genes (DEGs) of roots in wild-type Steptoe barley
687 and ABA-deficient mutant *Az34* barley that under soil drying (SD1) compared with

688 well-watered (WW).

689 **Fig. S5.** Tryptophan metabolism pathway was enriched in up-regulated genes of
690 wild-type Steptoe and in down-regulated genes of ABA-deficient mutant *Az34*
691 barley under soil drying (SD1) compared with well-watered (WW).

692 **Fig. S6.** 1nM IAA increased total root length and average root hair length of Steptoe
693 barley.

694 **Fig. S7.** Exogenous indole-3-acetic acid (IAA, 1 nM) increased the expression of
695 auxin response gene *AIP6B* in both wild-type Steptoe barley and *Az34* barley
696 (ABA-deficient mutant) under soil drying (SD1).

697

698

Soil drying enhances root ABA accumulation and rhizosheath formation, but whether ABA mediates rhizosheath formation is unclear. Here, we show that ABA accumulation induced by mild soil drying enhance barley rhizosheath formation, which may be achieved through promoting auxin response.

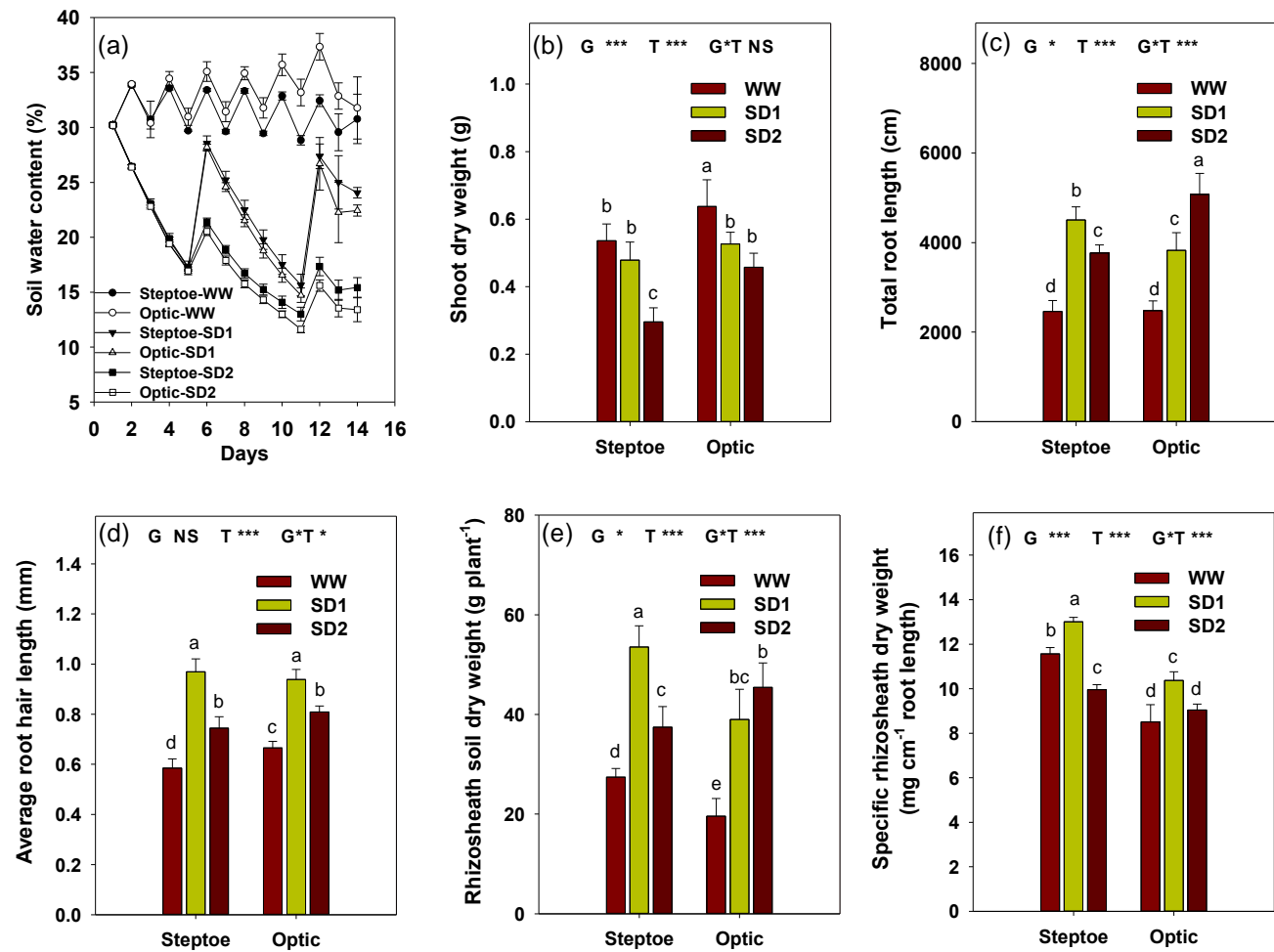


Fig. 1 Soil drying increased rhizosheath formation of Step toe and Optic barley cultivars. (a) Daily soil water content under different soil drying treatments. (b) Shoot dry weight, (c) total root length, (d) average root hair length, (e) total rhizosheath and (f) specific rhizosheath weight of Step toe and Optic barley cultivars after 14 days of soil drying treatments. WW: Well-watered; SD1: Soil drying treatment I; SD2: Soil drying treatment II; In a–f, data are means \pm SE, n=5. Bars with different letters were significantly different at $p < 0.05$. Two-way ANOVA determined the effects of genotype (G), irrigation treatment (T), and their interaction (G*T).

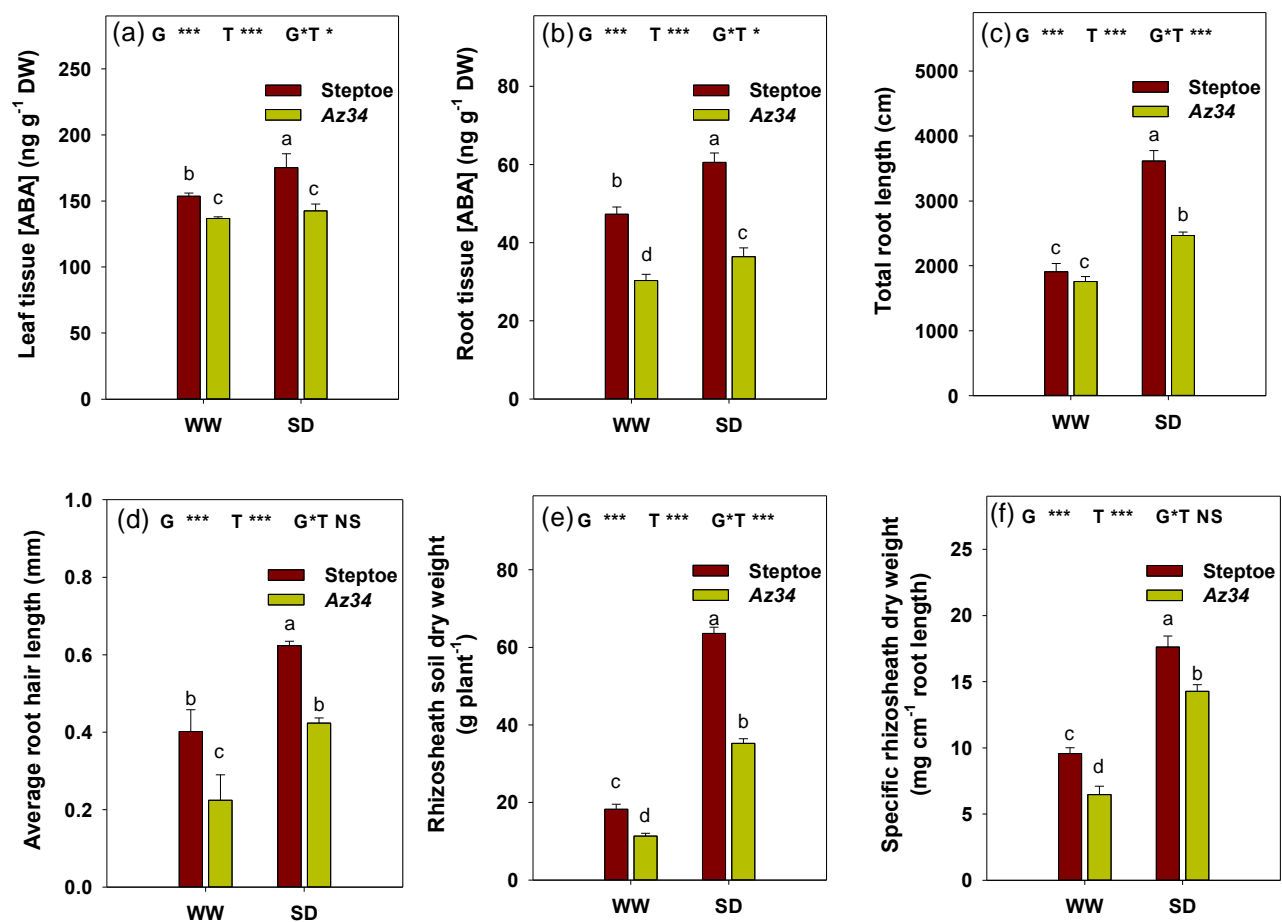


Fig. 2 Rhizosheath dry weight of *Az34* barley (ABA-deficient mutant) was lower than the wild-type *Steptoe* barley under both well-watered (WW) and soil drying (SD1) treatments. (a) Leaf ABA concentration, (b) root ABA concentration, (c) total root length, (d) average root hair length, (e) total rhizosheath and (f) specific rhizosheath weight of *Az34* barley and *Steptoe* barley after 14 days soil drying treatments. In a–f, data are means \pm SE, $n=5$. Bars with different letters were significantly different at $p < 0.05$. Two-way ANOVA determined the effects of genotype (G), irrigation treatment (T), and their interaction (G*T).

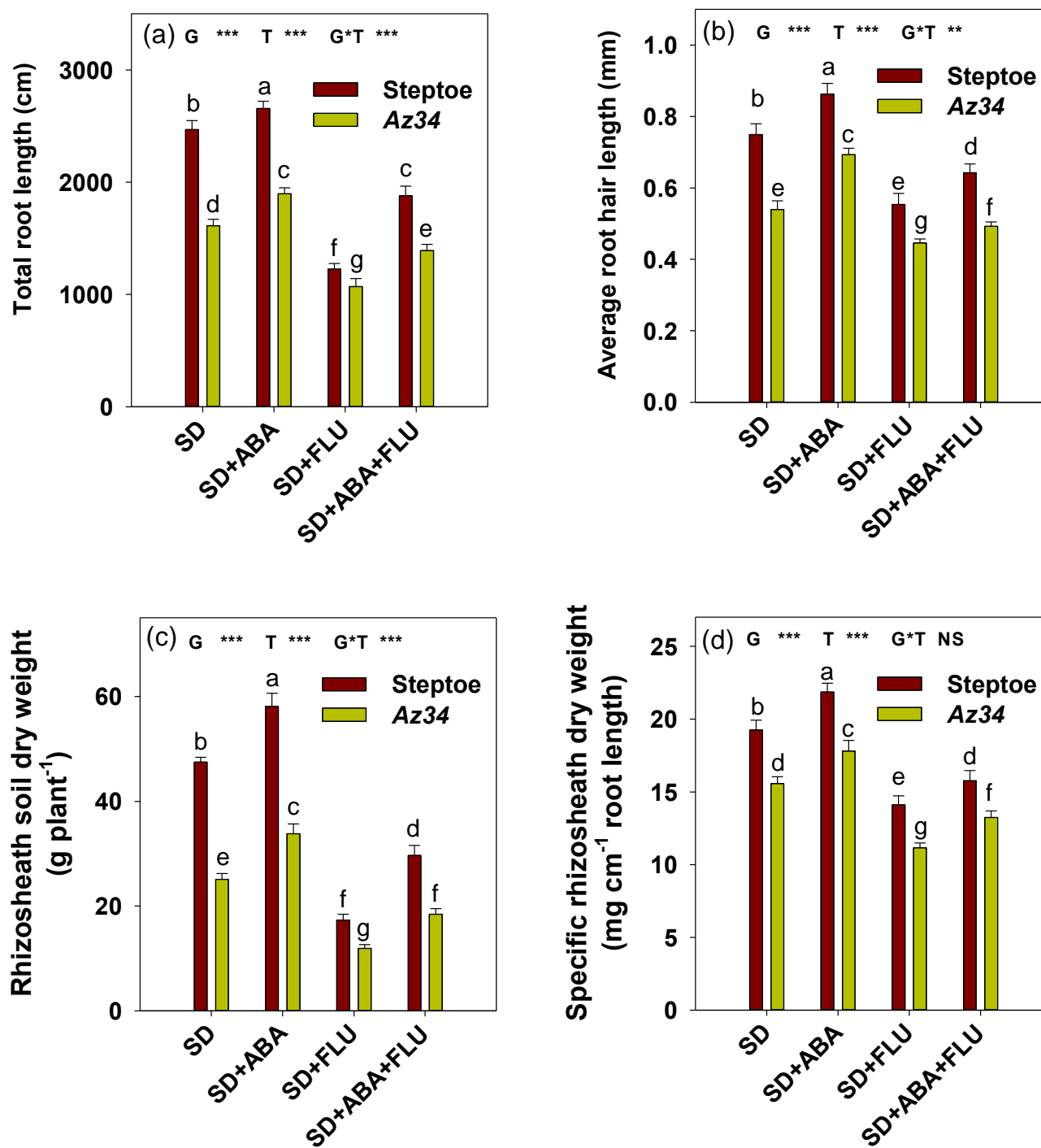


Fig. 3 ABA increased rhizosheath formation of wild-type Steptoe barley and Az34 barley (ABA-deficient mutant) by promoting root and root hair growth. (a) Total root length, (b) average root hair length, (c) total rhizosheath and (d) specific rhizosheath weight of Steptoe and Az34 barley under soil drying (SD1) treatment, SD with exogenous ABA (1 μ M), SD with exogenous fluridone (FLU, an ABA biosynthetic inhibitor, 10 μ M) and SD with both ABA (1 μ M) and FLU (10 μ M) for 14 days. In a–d, data are means \pm SE, $n=5$. Bars with different letters were significantly different at $p<0.05$. Two-way ANOVA determined the effects of genotype (G), irrigation treatment (T), and their interaction (G *T).

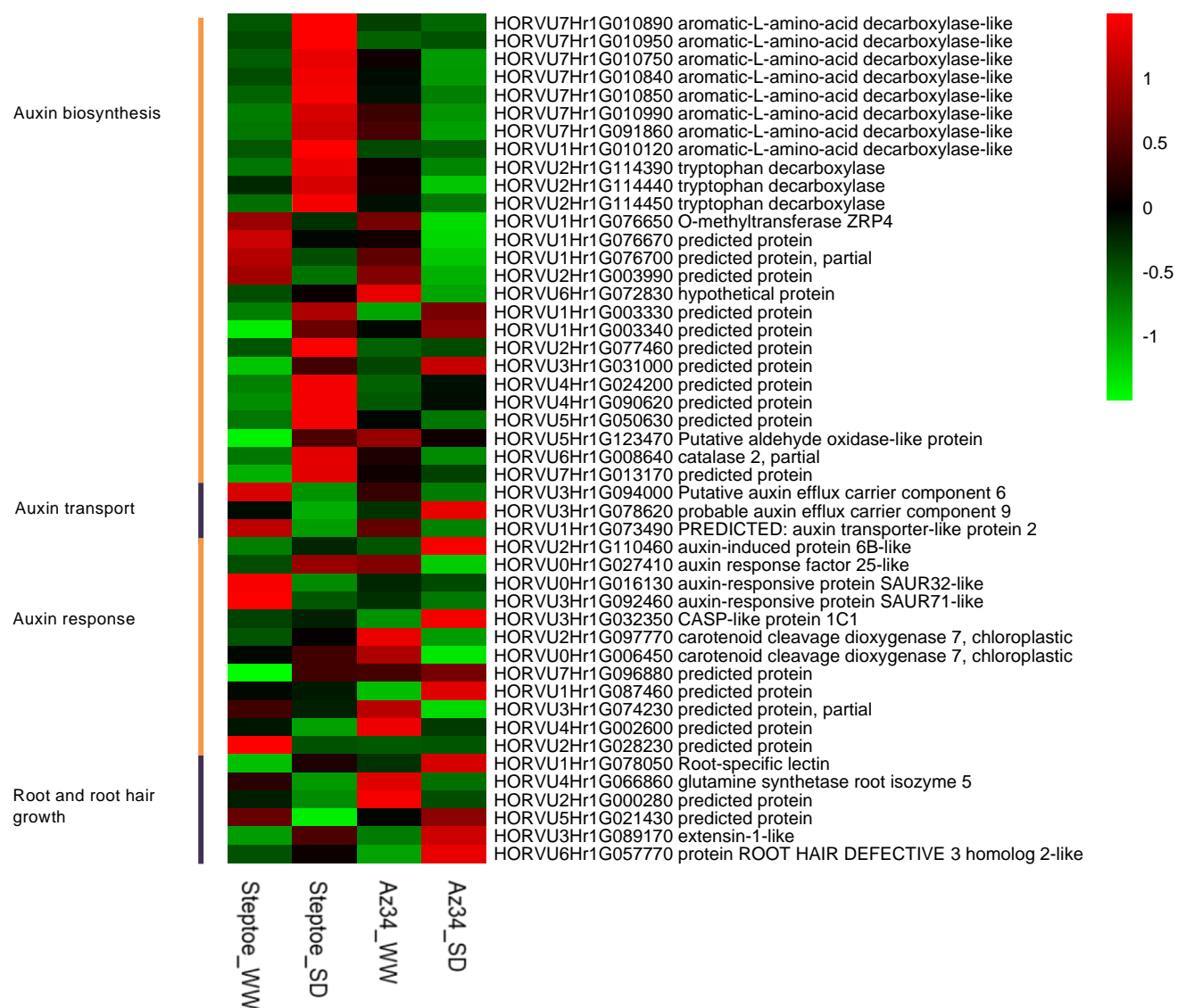


Fig. 4 Differentially expressed genes (DEGs) related to auxin and root-hair growth in the roots of wild-type Steptoe barley and *Az34* mutant (ABA-deficient mutant) barley under well-watered (WW) and soil drying (SD1).

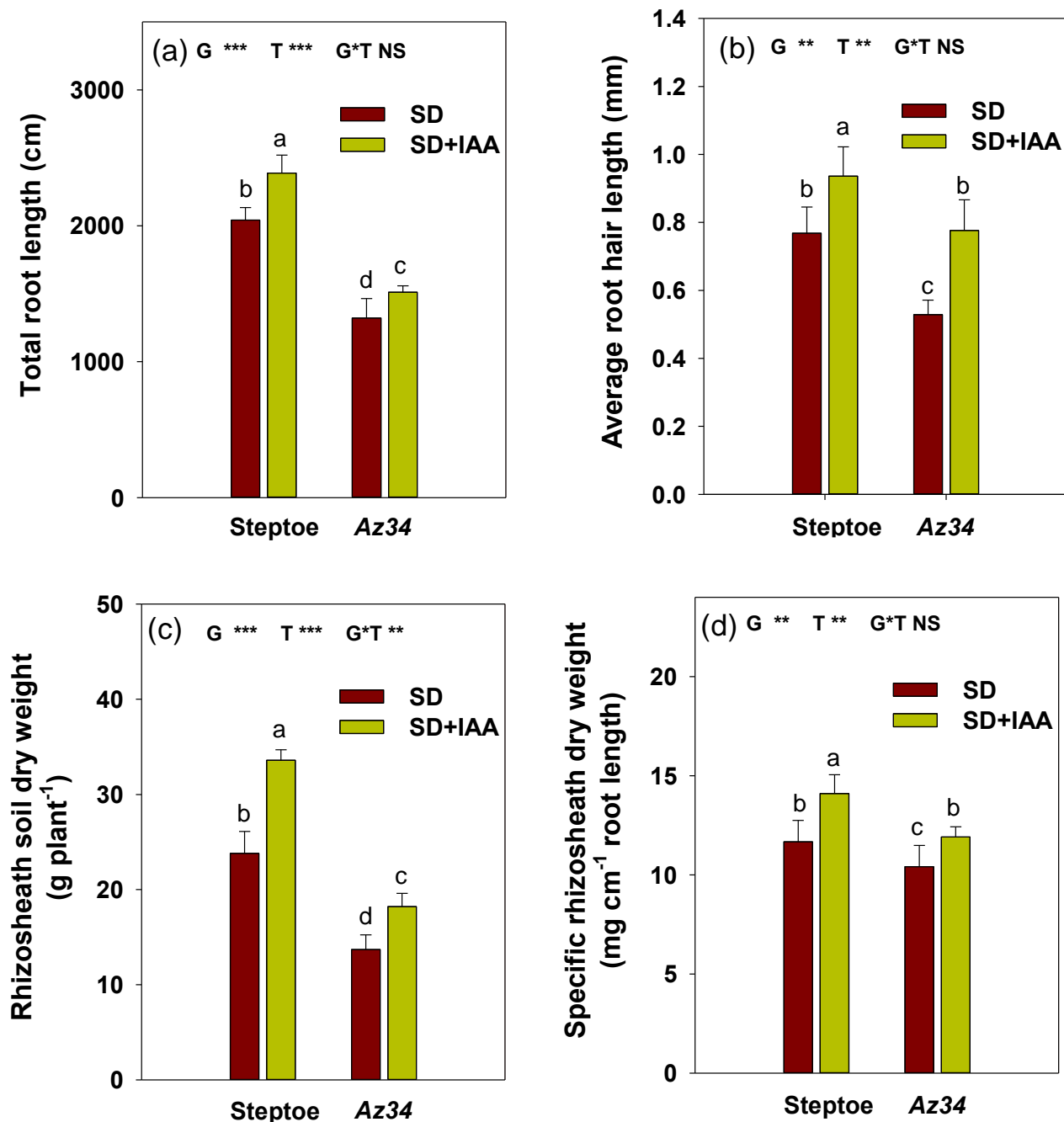


Fig. 5 IAA increased rhizosphere formation of wild-type Step toe barley and Az34 barley (ABA-deficient mutant). (a) Total root length, (b) average root hair length, (c) total rhizosphere and (d) specific rhizosphere weight of Step toe and Az34 mutant barley under treatments of soil drying (SD1) and SD1 with exogenous indole-3-acetic acid (IAA, 1 nM) for 14 days. In a–d, data are means \pm SE, $n=5$. Bars with different letters were significantly different at $p<0.05$. Two-way ANOVA determined the effects of genotype (G), irrigation treatment (T), and their interaction (G*T).