

1 **Title: Do root hairs of barley and maize roots reinforce soil under shear stress?**

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5 **Abstract**

6 Roots reinforce soil by acting as soil pins, dissipating shear stresses and anchoring the soil in
7 place. By protruding into the soil and binding to soil particles, root hairs increase root-soil
8 contact and aid root anchorage. However, it is not yet known whether this ability to anchor
9 roots affects the root system's ability to reinforce soil. Using a laboratory box shearing rig,
10 this study explores whether root hairs affect soil shear resistance. The force required to shear
11 soil columns permeated with roots lacking root hairs (barley *brb* and maize *rth3* mutants) are
12 compared to columns permeated with hairy roots (their respective wild types, WT) using
13 unplanted soil columns as controls. Known root traits (e.g. root length density, root surface
14 area density, average diameter, percentage of fine roots, and root tensile strength) were
15 measured to ensure that differences in shear resistance could be attributed to the
16 presence/absence of root hairs. All rooted columns required more force to shear than their
17 respective unplanted columns but the thicker, stronger maize roots were more effective at soil
18 reinforcement than the more numerous but weaker barley roots. After the maximum growth
19 period, root hairs appeared to have a consistent and significant impact on peak shearing force.
20 However, the WT root systems also produced greater root surface area density. As the rate at
21 which peak shearing force increased with increasing root surface area density was similar for
22 roots with and without root hairs, the increased peak shearing force of the WT columns
23 cannot be attributed to resistance supplied by the presence of root hair but rather to a more
24 prolific root system. Therefore, it was concluded that root diameter and root tensile strength
25 most influenced root reinforcement of soil and as such, the relatively minute root hairs had
26 negligible effects compared to their parent roots.

27 Keywords: Root hairs, shear reinforcement, soil, barley, maize

28 **1. Introduction**

29 Soil structural instability can pose a multitude of socio-economic problems. Small scale
30 erosion can result in loss of fertile soils which has offsite consequences, such as sediment
31 pollution, sedimentation of waterways, and an increase to flood risk (Boardman and Poesen,
32 2007; Pollen et al., 2013). Larger scale soil instability can result in mass wasting, such as
33 landslides and soil creep, these have the potential to completely alter landscapes, destroy
34 properties, and endanger lives (Petley, 2012). Understanding how to increase soil stability is
35 key to developing methods that mitigate the detrimental effects of soil erosion and mass
36 wasting.

37 Mass wasting ranges in scale but occurs when the frictional forces holding soil together are
38 overcome by shearing forces caused by gravity. Soils are inherently anisotropic and are weak
39 under shear forces (Al-Karni and Al-Shamrani, 2000). The fault line that occurs when soil
40 fails under shear stress is called the shear plane and a soil's shear strength is its ability to
41 withstand these shear forces. Some soils are naturally susceptible to shear forces, either due
42 to a layer of weakness referred to as a failure plane or because they have inherently poor
43 particle cohesion. Most mass wasting events occur due to hydraulic pressures resulting from
44 the increased weight of saturated soil or as a result of scouring from running water (Iverson,
45 2000). With decreasing scale of event, erosion from shear stress can be mitigated with
46 increasing effectiveness by altering soil physical and biological properties.

47 Plant roots are widely understood to enhance soil shear strength by introducing tensile
48 reinforcement to the soil, countering soil's natural susceptibility to shear forces (Gyssels et
49 al., 2005; Simon and Collison, 2002; Stokes et al., 2014, 2009; Wu and Sidle, 1995). Fine
50 roots penetrate laterally through the soil, enmeshing and binding the surface soil, whilst
51 deeper penetrating tap roots cross failure planes, pinning them together as well as anchoring

52 the fine root matting (Fan and Chen, 2010; Simon and Collison, 2002; Stokes et al., 2009). A
53 root's ability to reinforce the soil depends on its resistance to either being pulled out or
54 breaking. Roots dissipate shearing forces throughout the whole system, increasing the area of
55 soil that is engaged in anchorage until the roots are either broken or pulled out (Bengough et
56 al., 2011; Stokes and Mattheck, 1996). A root remains anchored in the soil when there is
57 sufficient root soil contact to provide friction in excess of the opposing forces (Ennos, 1990).
58 Further, if the root's tensile strength is greater than the friction of its anchorage roots will slip
59 from the soil; if it is less the root will break (Pollen, 2007). For straight roots, without forks
60 or bends, the length of the root determines how efficiently it is anchored. Forks and bends
61 enables a root to engage more soil and dissipate the shear forces with greater effect. Both root
62 breaking force (Docker and Hubble, 2008; Nilaweera and Nutalaya, 1999; Pollen and Simon,
63 2005; Tosi, 2007; Yang et al., 2016) and the force required to pull the root from the soil
64 (Nilaweera and Nutalaya, 1999; Norris, 2005; Stokes et al., 2009) increase with root
65 diameter, although, root tensile strength is inversely related to root diameter (Nilaweera and
66 Nutalaya 1999; Pollen and Simon 2005; Genet et al. 2005). Therefore, root anchorage is
67 affected by many different root traits.

68 Since the strength of the root is largely dependent on its diameter, most research in this area
69 has focused on the roots of trees and woody shrubs. Fine roots, associated with annual and
70 perennial species, have frequently been unified into one synonymous category (Hishi, 2007;
71 Pregitzer et al., 2002; Reubens et al., 2007). While the impact of fine roots on shear erosion
72 has been investigated, there are gaps in our understanding of how fine roots mitigate
73 sub-surface shear erosion, and other root traits such as root hairs, have been almost
74 completely disregarded in studies of soil reinforcement.

75 Root hairs emerge just behind the root elongation zone, protruding laterally to anchor the root
76 and enabling the root tip to penetrate the soil (Bengough et al., 2011; Haling et al., 2013)
77 whilst preventing the growth force from deforming the rest of the root or pushing the plant
78 from the soil (Bengough et al., 2016; Handley and Davy, 2002). Root hairs are considered a
79 key component in root anchorage (Czarnes et al., 1999; Ennos, 1989), to the extent that root
80 anchorage is believed to be a primary function of root hairs (Bengough et al., 2011; Gilroy
81 and Jones, 2000). However, whether this capacity to anchor the root to the soil reinforces
82 soils under shear stress is unknown. This paper aims to address this knowledge gap by
83 assessing the contribution of different root traits (including root hairs) to soil reinforcement.
84 Soil columns permeated by root systems with and without root hairs were subjected to shear
85 force and the resistance of the columns were measured.

86 **2. Materials and methods**

87 *2.1. Germination and growth*

88 A root hairless mutant (*brb*) of barley (*Hordeum vulgare* L. cv. Pallas) and a root hairless
89 mutant (*rth3*) of maize (*Zea mays* L.) were compared to their respective wild type (WT)
90 genotypes that had root hairs. Maize seeds were initially surface sterilized using 10 % bleach
91 for 5 minutes, then rinsed thoroughly with deionised (DI) water. Surface sterilization was not
92 necessary for barley seeds because they had low levels of microbial contamination. All seeds
93 were germinated on two sheets of filter paper (Whatman #3) moistened with 5 ml of DI water
94 and sealed in petri dishes for 3-4 days at room temperature (approximately 20 °C). Once
95 germinated, the seeds were transplanted into pots and moved to a walk-in controlled
96 environment room, set at 24 °C during the day and 19 °C at night with a 12 hour photoperiod.
97 Every second day the positioning of each pot was randomized in the controlled environment
98 room and watered with approximately 100 ml. Each pot consisted of two 125 mm sections of

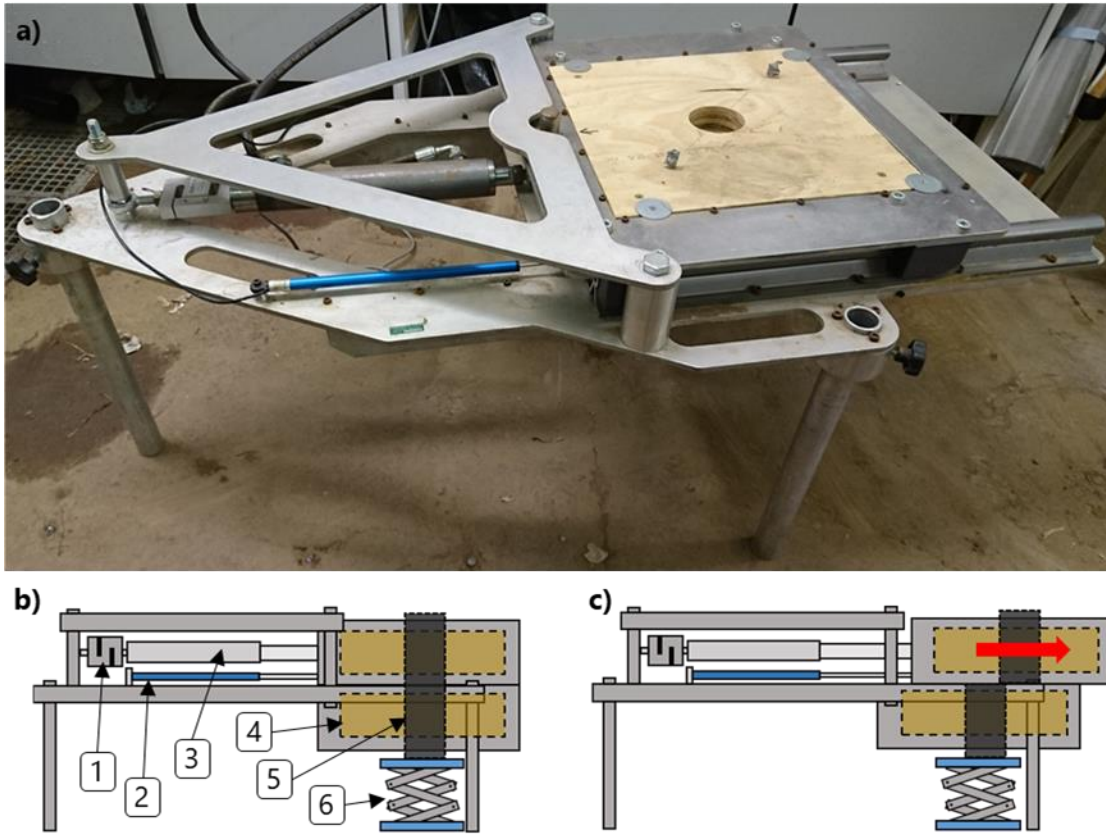
99 68 mm diameter guttering down pipe (FloPlast Ltd), making each pots total height 250 mm.
100 During the growth stage, the two sections were held together with fabric-backed duct tape.
101 The bottom of each pot was sealed with a section of woven wire mesh (0.70 mm Aperture,
102 0.36 mm Wire Diameter, SS304 Grade) to retain the soil but allow excess water to drain.
103 Each pot was filled with a set weight (dependant on the initial water content) of a sandy loam
104 topsoil (Bailey's of Norfolk LTD; 12 % clay, 28 % silt, 60 % sand and 3 % gravel D50
105 6 mm, no particles greater than 8 mm) to achieve an approximate bulk density of 1.3 g cm³.
106 Eighteen plants per genotype (72 plants in total) were harvested over 3 periods (denoted as
107 Harvests 1, 2, and 3) in order to vary root density. Barley was harvested 35, 49 and 54 days
108 after germination, while maize was harvested 23, 35, and 49 days after germination.

109 2.2. *Soil shear strength*

110 A laboratory shearing box rig, designed by Gould (2014), was used to measure the shear
111 resistance of the soil columns. The shearing rig comprises a metal frame that supports two
112 wooden inserts, each containing a hole for the experimental pots (Figure 1). The top section
113 of the frame moves laterally on metal runners at a rate of 8-9 mm sec⁻¹ (depending on the
114 sample resistance) and extends the whole width of the pot, allowing a full displacement
115 profile. The bottom section is held static. The displacement of the top section is measured
116 with a linear potentiometric displacement transducer (PD13, LCM Systems Ltd, UK) and the
117 displacement force is measured at a resolution of 0.02 kg with an S type compression load
118 cell (STA-1-300, LCM Systems Ltd, UK). All data were recorded by a CR800 data logger
119 (Campbell Scientific, Inc., USA) at a resolution of 200 milliseconds.

120 2.3. *Soil water content, bulk density, and root measurements*

121 Soil water content (WC) affects soil shear strength (Pollen, 2007), so all pots were stood in
122 5 cm of water overnight to standardise the WC across the treatments and replicates. Just prior



123

124 Figure 1. Depicts the shearing rig used in this experiment (a). The parts of the rig are numbered in their
125 stationary position (a); 1. Load cell, 2. Transducer, 3. Hydraulic arm, 4. Wooden inserts, 5. Pot, 6. Adjustable
126 platform to support the pot at the correct height so that the seam of the pot aligns with the shearing plane of the
127 rig. The top section of the rig then extends over the bottom section shearing the pot (c).
128

129 to shearing, the duct tape was cut with a razor blade. Once sheared, the soil from the bottom
130 half of the pot was weighed and then dried at 105 °C to establish soil bulk density (BD) and
131 WC, assuming that the level of treatment variation recorded in the bottom half of the pots
132 would also occur in the top half. The top half was sealed in a plastic bag and stored in a
133 fridge until the roots could be harvested, no more than two days after the experiment. Only
134 the bottom 3 cm of this section was used for root measurement as it was assumed that the root
135 mass directly adjacent to the shear plane would most influence the soil's shear resistance. The

136 3 cm section was measured and then cut with a razor blade. The roots were then washed out
137 and stored at approximately 4 °C in a 50 % ethanol and DI water solution until they could be
138 scanned using an Epson Expression 11000XL Pro with transparency unit at 600 DPI. Root
139 parameters (diameter, length, and surface area) were analysed using WinRHIZO (2013e,
140 Regent Instruments Inc.). Since the roots were very fine in this study (< 2 mm) it was not
141 possible to measure root area ratio (percentage of total cross sectional area of roots per the
142 soil cross sectional area at the shearing plane), so root length density (RLD) and root surface
143 area density (RSAD) were used instead and are calculated as follows:

$$144 \quad RLD = \frac{RL}{V_s} \quad (1)$$

$$145 \quad RSAD = \frac{(\pi D) \times RL}{V_s} = \frac{RSA}{V_s} \quad (2)$$

146 Where RL is the total length of roots (cm) and V_s is the volume of soil sampled (cm³). Root
147 surface area (RSA, cm²) is calculated using the diameter (D) of the root (excluding root hairs)
148 and makes the assumption the root is cylindrical.

149 2.4. *Root tensile strength*

150 To measure the tensile strength of individual roots, four of each barley and maize genotype
151 (16 plants in total) were grown in 4 litre pots (22 cm tall, 17 cm top diameter, 13.5 cm bottom
152 diameter). After 35 days of growth (in the same substrate and under the same growth
153 conditions as previously mentioned), the roots were washed out of the soil and stored at
154 approximately 4 °C in a 50 % ethanol and DI water solution for two days. The roots were
155 kept in this solution until immediately before testing to ensure each root remained saturated.
156 Five 3 cm segments of lateral and axile roots were randomly selected from each plant and
157 scanned using an Epson Perfection V700 at 600 DPI, and analysed using WinRHIZO (2013e,
158 Regent Instruments Inc). Each segment of root was attached to a small plastic tab using a

159 combination of superglue and duct tape, overlapping the plastic by 1 cm at each end; leaving
160 a 1 cm length of unobstructed root. The plastic tabs were pre-tested to ensure their tensile
161 strength far exceeded that of the roots and that their deformation was negligible. The plastic
162 tabs, with the roots attached, were then secured into the clamps of a Single Column Table-top
163 Testing Machine (series 5944, Instron, UK). The clamps were moved apart at a displacement
164 rate of 10 mm min⁻¹ and the force was recorded every 20 ms by a 100 N load cell at a
165 resolution of 0.5 mN (Instron, UK). Tensile strength (TS) is calculated as:

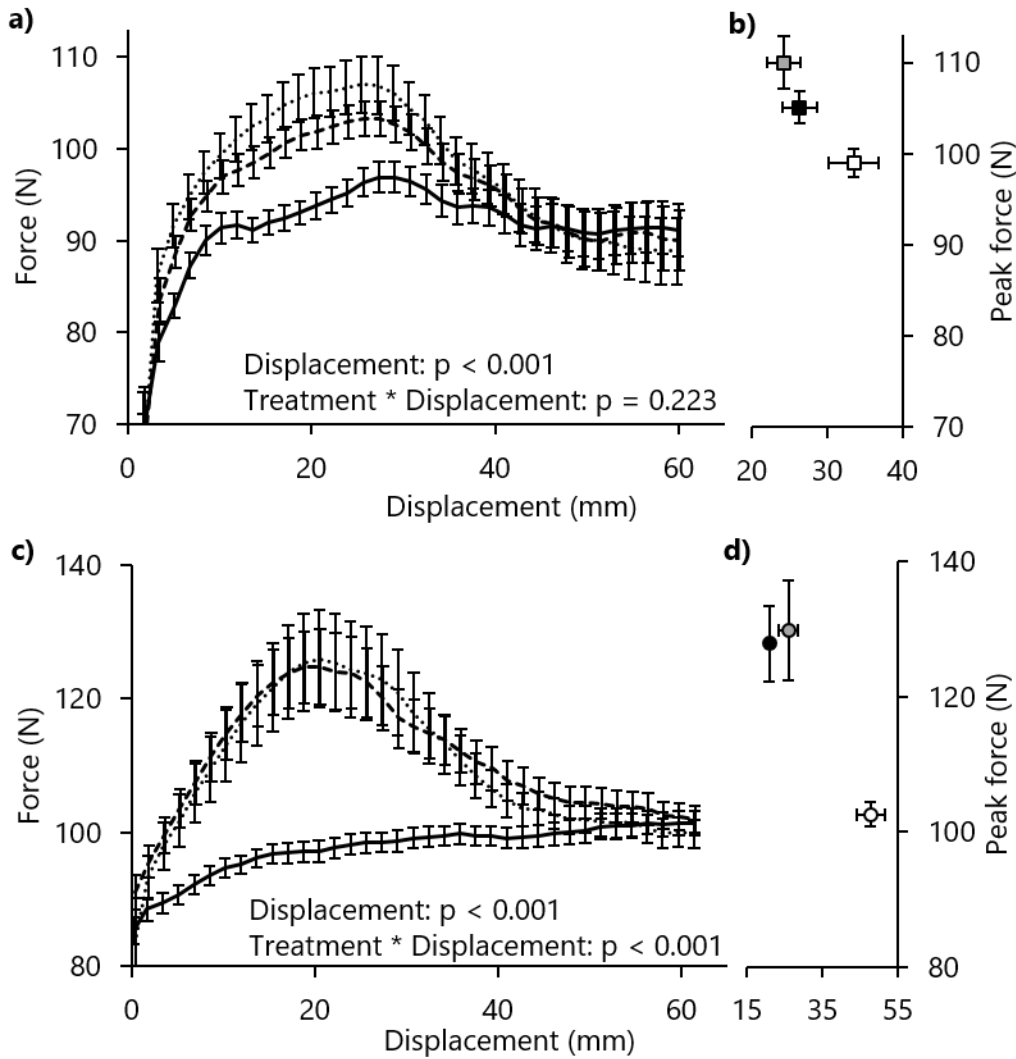
$$166 \quad TS = \frac{PF}{A}$$

167 Where PF is the peak force recorded on the displacement profile and A is the cross sectional
168 area of the tested root. Any roots that broke at the joint of the plastic tabs were discarded.

169 2.5. *Data and statistical analysis*

170 Repeated measures analysis of variance (ANOVA) assessed whether the treatments (soil
171 columns containing WT roots, root hairless mutant roots, and the unplanted control with no
172 roots) exerted a different force over the same distance of displacement recorded from the
173 shearing rig. However, the data violated the sphericity assumption of this method, so the
174 p value is corrected using Greenhouse-Geisser. Pairwise comparisons with a Bonferroni
175 correction was used as a post-hoc test. This analysis was carried out in SPSS (Version 25).
176 The ANOVA function and multiple comparison procedures in MATLAB (R2017b) were
177 used to estimate the genotypic means for peak force and their displacement distance and to
178 assess which treatments statistically differed. This method was also used to assess whether
179 there was a difference in the WC and BD of each treatment and whether the root parameters
180 differed between genotype.

181 Analysis of covariance (ANCOVA) function in MATLAB assessed whether WC affected
 182 either peak shearing force or the distance at which it was reached. It was also used to assess
 183 genotypic differences in root tensile strength with increasing root diameter and whether there
 184 was any genotypic difference in peak shearing force with increasing RSAD.



185

186 Figure 2. Displacement force (a, c) and peak displacement force (b, d) for barley (a, b) and maize (c, d) versus
 187 distance. Solid lines = unplanted control pots, dashed line = root hairless mutant (*brb* for barley and *rth3*
 188 for maize), dotted line = wild types (WT). P value represents the genotype*displacement interaction with
 189 displacement force derived from repeated measures ANOVA. White marker = unplanted, black marker = root
 190 hairless mutant, grey marker = WT.

191 **3. Results**

192 *3.1. Displacement profile*

193 The force required to displace all soil columns changed significantly ($p < 0.001$) over the
194 displacement profile for both barley and maize (Figure 2a and 2c). Each rooted treatment
195 shows an initial build-up of force to a peak which then tapers off, whereas the unplanted soil
196 columns had a more gradual build-up and peaked much later. For barley, the initial build-up
197 and subsequent tapering off of the rooted soil columns were similar to the unplanted soil
198 columns, so the displacement profile was only significantly different between 6-48 mm for
199 WT ($p < 0.05$) and 6-24 mm for *brb* ($p < 0.05$). For maize, both rooted columns had a
200 significantly different displacement profile (over the entire width of the column) than their
201 unplanted soil columns ($p < 0.05$ for both *rth3* and WT, respectively). Therefore, for at least
202 part of the displacement profile, the presence of roots significantly affected the force required
203 to shear the soil columns.

204 The presence of root hairs seemed to have no consistent or significant impact on the
205 displacement profile. In barley, the WT soil columns required a greater mean force to shear
206 than *brb* (94.85 N and 93.40 N for WT and *brb*, respectively). Whereas in maize, *rth3*
207 required a greater mean force to shear than WT (110.76 N and 109.50 N for *rth3* and WT,
208 respectively). For both barley and maize these differences were not significant which
209 suggests the presence of root hairs had no impact on the displacement profile.

210 *3.2. Peak shearing force*

211 The peak force required to shear each soil column corresponds to the maximum amount of
212 resistance the soil column was able to exert (Figure 3a and 3b). At Harvest 1, all rooted
213 columns produced a greater mean peak force than their respective unplanted columns
214 (*brb* = 6.7 %, barley WT = 5.1 %, *rth3* = 8.0 %, and maize WT = 10.7 % increase from the

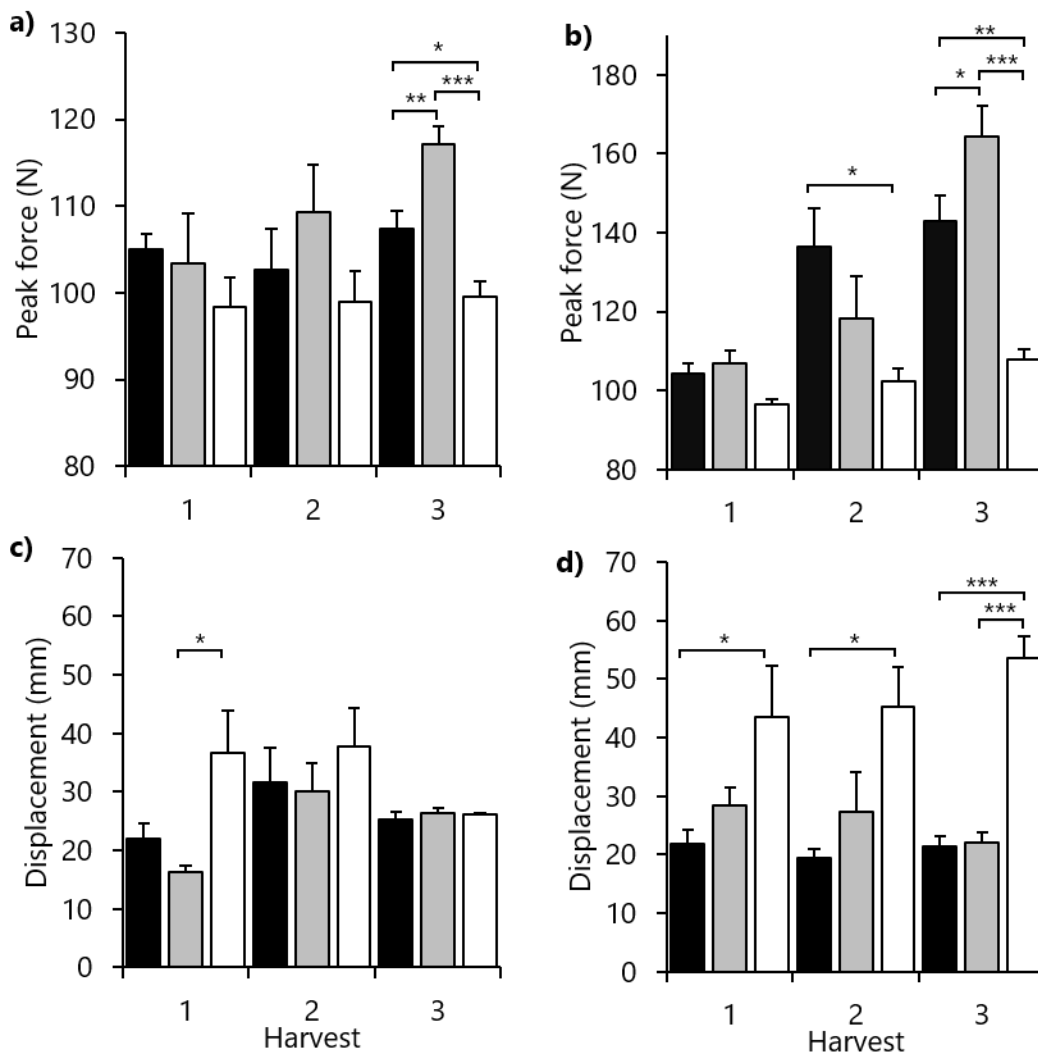
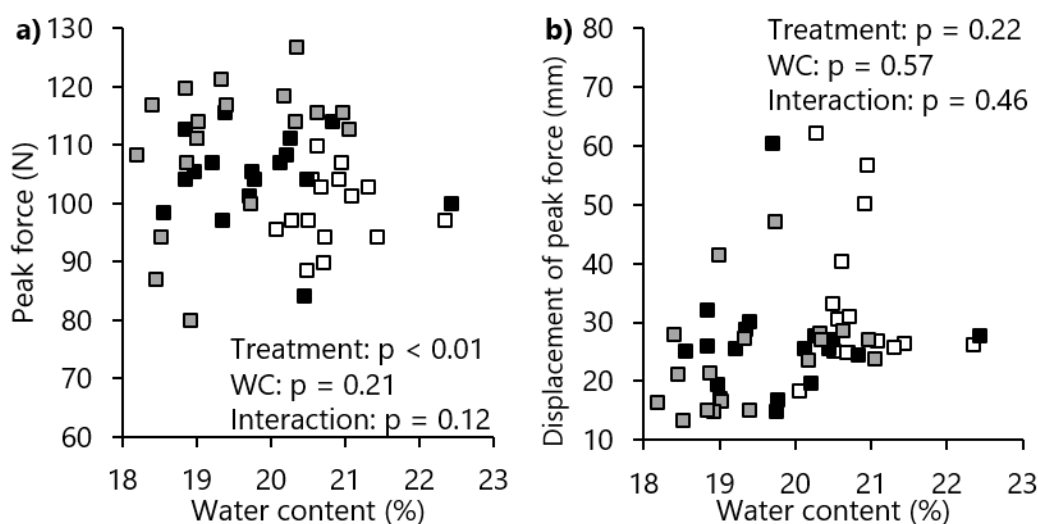


Figure 3. Peak force readings (a, b) and displacement distance (c, d) for barley (a, c) and maize (b, d) harvests (d). Black bars = root hairless mutants (*brb* for barley and *rth3* for maize), grey bars = wild types (WT) and white bars = unplanted control pots. Data are means of 6 replicates. Asterisks are derived from pairwise comparisons with a Bonferroni correction. * = $p < 0.05$, ** = $p < 0.01$, *** = $p < 0.001$. Error bars are equal to 1 standard error.

215 mean of their respective unplanted soil columns), though none of the increases were
 216 statistically significant. At Harvest 2, all rooted columns produced a greater mean peak force
 217 than their respective unplanted soil columns (*brb* = 3.7 %, barley WT = 10.4 %,
 218 *rth3* = 33.1 %, and maize WT = 15.5 % increase from the mean of their respective unplanted
 219 soil columns) but only *rth3* was significantly ($p < 0.05$) greater. At Harvest 3, both genotypes
 220 of barley ($p < 0.05$) and maize ($p < 0.01$) produced peak forces significantly greater than their
 221 unplanted columns (*brb* = 7.9 %, barley WT = 17.7 %, *rth3* = 32.8 %, and maize
 222 WT = 52.6 % increase from the mean of their respective unplanted soil columns). As peak

223 shearing force tended to increase with each harvest differences between the rooted and
 224 unplanted treatments are likely to increase with longer periods of growth.

225 Water content (WC) and bulk density (BD) can both impact the peak force required to shear
 226 soil. For each treatment of barley and maize BD did not significantly differ ($p = 0.23$ and
 227 $p = 0.07$ for barley and maize, respectively). Likewise for maize, WC did not differ between
 228 the treatments ($20.0 \% \pm 0.1 \%$, $p = 0.13$), however there was a significant treatment effect in
 229 barley ($p < 0.001$). Although *brb* and its WT soil columns were similar moist, both barley
 230 rooted treatments were consistently drier than the unplanted soil columns ($19.8 \pm 0.2 \%$ WC
 231 and $19.0 \pm 0.3 \%$ WC for the unplanted columns and rooted columns, respectively;
 232 $p < 0.001$). There is a general consensus in the literature that increasing soil WC decreases
 233 soil shear strength (Vanapalli *et al.* 1996; Kayadelen *et al.* 2007; Fan and Su 2008; Hales and
 234 Miniati 2016; Yang *et al.* 2016) however, the variation in WC in this work was purposely
 235 small and therefore did not significantly impact peak forces ($p = 0.21$; Figure 4a). So,
 236 differences in peak force can be attributed (at least in part) to the presence/absence of roots.



237
 238 Figure 4. Water content of barley treatments against peak force (a) and the distance along the displacement
 239 profile that peak force was recorded (b). Grey markers = wild types, black = root hairless mutant (*brb* for barley
 240 and *rth3* for maize), and white = unplanted. P values are from ANCOVA.

241 When comparing the genotypic variation in peak forces (Figure 3a and 3b), there was no
242 consistent or significant effect until Harvest 3. For barley, *brb* produced a peak force 1.6 %
243 greater than its WT at Harvest 1, but at Harvest 2 the peak force required to shear the *brb* soil
244 columns was 6.1 % less than its WT. For maize, *rth3* produced a peak force 2.5 % less than
245 its WT at Harvest 1, and at Harvest 2 produced a peak force 13.2 % greater than its WT.
246 However, at Harvest 3, both barley and maize WTs required significantly ($p < 0.05$ and
247 $p < 0.01$, respectively) greater force to shear than their respective root hairless mutants (8.3 %
248 increase for barley and 13.0 % increase for maize). Thus, the presence of root hairs only
249 showed a consistent and significant impact at the final harvest for both barley and maize,
250 where root hairs seemed to significantly increase the soil columns ability to resist shear
251 forces.

252 3.3. Displacement of peak force

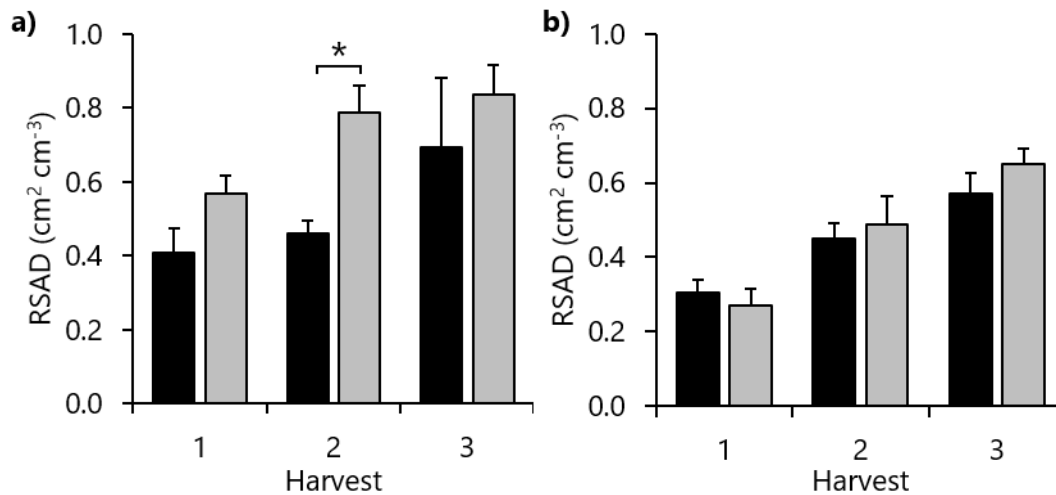
253 The point on the displacement scale at which the peak force was recorded (Figure 3c and 3d)
254 corresponds to the amount of deformation the soil column can withstand. Peak forces
255 occurring early in the displacement scale suggests brittle columns, whereas peak forces
256 occurring later in the scale suggest higher levels of plasticity. The unplanted columns are
257 expected to have peak forces near the end of the displacement profile, likely caused by
258 increasing build-up of soil between the two halves of the pots, whereas the rooted columns
259 should be more brittle. For maize, *rth3* consistently reached peak force at a displacement
260 significantly earlier than its unplanted soil columns and the differences increased with each
261 harvest (49.7 %, $p < 0.05$; 57.1 %, $p < 0.05$; 60.3 % $p < 0.001$ for Harvests 1, 2, and 3,
262 respectively). The maize WT also consistently reached peak force at a displacement earlier
263 than its unplanted soil columns (34.7 %, 39.7 %, and 58.7 % for Harvests 1, 2, and 3,
264 respectively). Though the differences again increased with each harvest between the maize
265 WT and unplanted columns, only Harvest 3 produced significantly ($p < 0.001$) different

266 results. So, the increasing presence of maize roots tended to reduce the distance at which the
267 peak force was recorded, suggesting the soil columns permeated with maize roots were more
268 brittle than their unplanted columns.

269 For barley, the presence of roots did not have a consistent effect on how far along the
270 displacement scale the peak force recorded. At Harvest 1, WT reached peak force at a mean
271 displacement significantly earlier than the unplanted soil columns (55.6 %, $p < 0.05$), *brb*
272 also reached peak force at a mean displacement earlier than the unplanted soil columns
273 (40.2 % less), but the difference was not significant. Again at Harvest 2, both *brb* and its WT
274 reached peak force earlier than their unplanted soil columns (16.4 % and 20.7 % for *brb* and
275 its WT, respectively). At Harvest 3 however, *brb* soil columns reached peak force 2.7 %
276 earlier than the unplanted soil columns, but WT soil columns reached peak force 1.3 % later.
277 So, although the unplanted columns tended to reach peak force later than the rooted columns
278 this trend was not consistent or significant. Though not significant ($p = 0.57$; Figure 4b),
279 differences in WC could exacerbate the differences in where peak force was reached on the
280 displacement profile. Consequently, the increasing presence of barley roots with each harvest
281 did not seem to affect where in the displacement profile the peak force was reached.

282 The presence of root hairs did not significantly affect the point at which peak force was
283 recorded (Figure 3c and 3d). For barley, *brb* reached the peak force later than WT for
284 Harvests 1 and 2 (34.59 % and 5.51 %, respectively) but at Harvest 3, *brb* reached peak force
285 before WT (3.93 %), though the difference was much narrower. As such, the mean difference
286 between *brb* and WT across the harvests (*brb* reaching peak force an average of 8.56 % later
287 than WT) was not significant for barley. For maize, *rth3* consistently reached its peak force
288 before WT (29.73 %, 40.55 %, and 3.70 % for Harvest 1, 2, and 3, respectively) however, the
289 mean differences across the harvests (*rth3* reached peak force 19.54 % earlier than WT) were

290 also not significant. Therefore, as barley WT mostly reached peak force earlier than *brb*,
 291 whereas the maize WT consistently reached peak force after *rth3*, there is no consistent or
 292 significant genotypic impact of root hairs on where in the displacement profile the peak force
 293 was reached.



294

295 Figure 5. Root surface area density (RSAD) of the main root system (excluding root hairs) per harvest for barley
 296 (a) and maize (b). Black bars = root hairless mutants (*brb* for barley and *rth3* for maize), and grey bars = their
 297 respective wild types (WT). Data are means of 6 replicates and error bars are equal to 1 standard error. Asterisks
 298 is from a student t test, * = $p < 0.05$.
 299

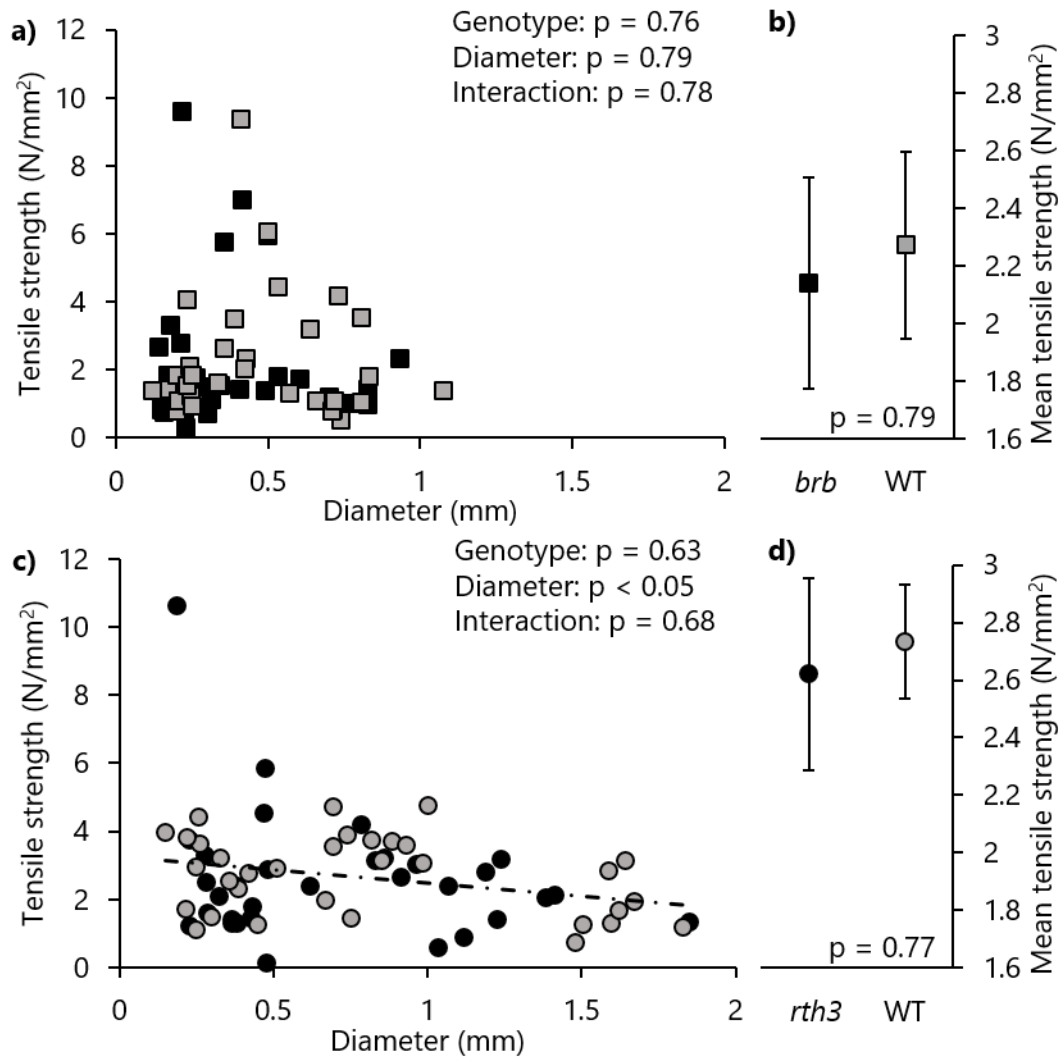
300 3.4. Root traits

301 Not all root traits varied over time (Table 1). For root diameter, no genotype significantly
 302 varied between harvests, although the WT maize tended to increase with each harvest.
 303 Proportional representation of lateral roots was not significantly different between harvests
 304 for maize, though the mean percentage did tend to increase. In contrast, barley roots showed a
 305 significant ($p < 0.05$) decrease in percentage of lateral roots with each harvest. Root length
 306 density (RLD) increased with harvest for all genotypes, except barley WT where it decreased
 307 from Harvest 2 to Harvest 3, as such, only maize showed a significant ($p < 0.001$) increase
 308 with each harvest. For each genotype of each species, root surface area density (RSAD)
 309 increased significantly ($p < 0.05$ for barley and $p < 0.001$ for maize) with each harvest

310 (Figure 5 and Table 1), suggesting that RSAD is the most appropriate proxy for root
311 development.

312 Across all harvests, the barley genotypes had significantly ($p < 0.001$; Table 1) thinner roots
313 (by 34 %) than the maize genotypes, though the percentage of lateral roots did not differ
314 between the two species ($p = 0.99$). Barley roots grew at a mean rate of 23.8 ± 1.5 and
315 31.3 ± 1.3 cm day^{-1} for *brb* and its WT, respectively, and maize roots grew at a slower rate of
316 16.2 ± 1.0 and 17.6 ± 0.4 cm day^{-1} for *rth3* and its WT, respectively. Thus, the RLD of maize
317 root systems was approximately half (52 %) that of barley. Although shorter, maize roots
318 were significantly ($p < 0.001$, Table 1) thicker (by 34 %) than barley roots. As RSAD is more
319 responsive to increases in length, the RSAD of barley was significantly ($p < 0.001$) greater
320 (by 27 %) than maize. Although maize produced thicker roots, barley had the greatest RSAD,
321 as the roots were longer.

322 Root hair presence/absence affected some root traits, but not consistently across species or
323 harvests. The maize WT and barley *brb* had consistently lower mean root diameter than their
324 genotypic counterparts. The barley WT and maize *rth3* had the least percentage of lateral
325 roots in their species at Harvests 1 and 2, but these genotypic effects were reversed at Harvest
326 3. Due to the differing growth rates, the RLD for *brb* barley was less than its WT at all
327 harvests but in maize the growth rates were similar for both genotypes. The root hairless
328 mutants of both species had lower RSADs (which does not include the surface area of root
329 hairs) than their WTs, except for the first maize harvest. Therefore, the contribution of root
330 hairs to soil reinforcement cannot be compared without accounting for the consistent increase
331 of RSAD or changes in other root traits.



332

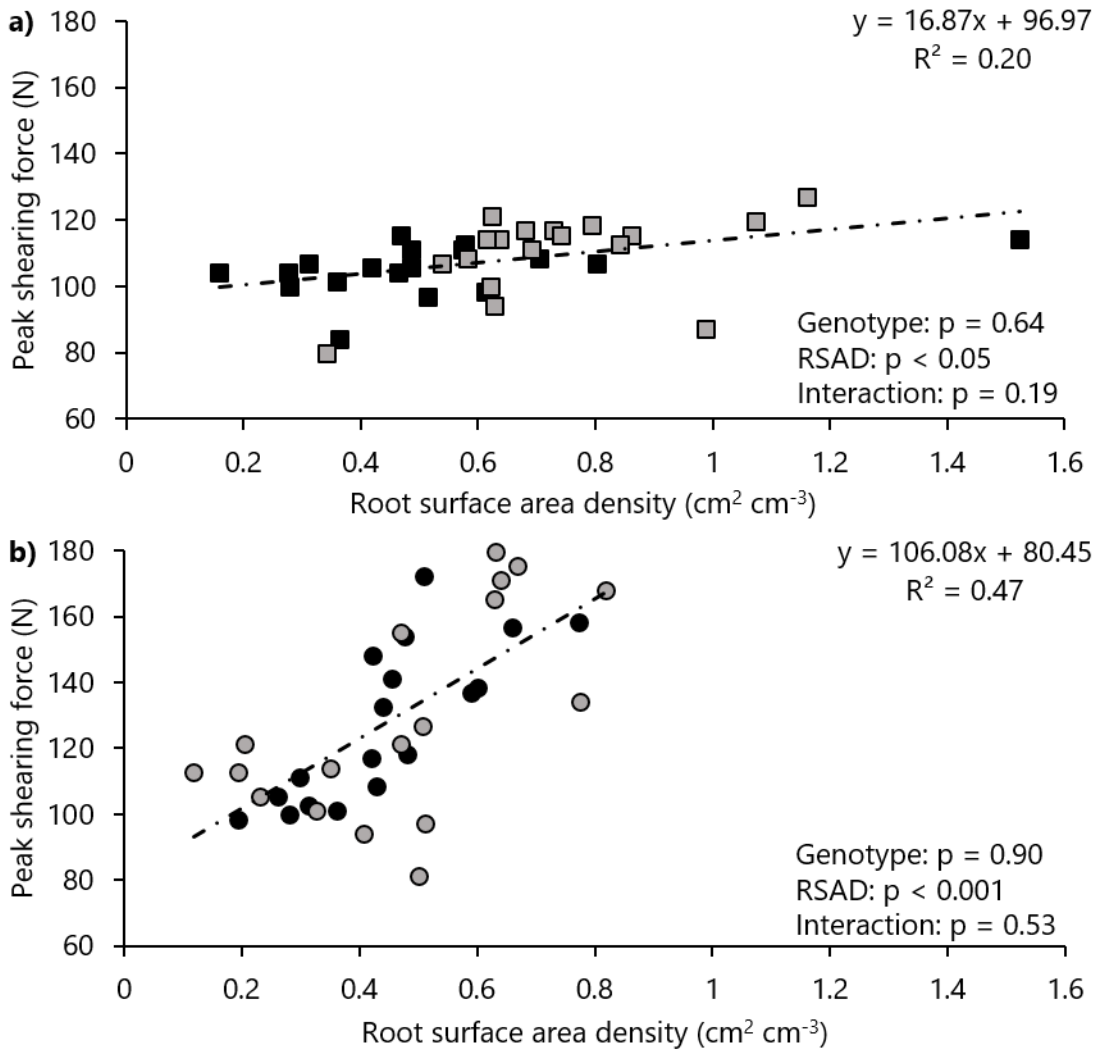
333 Figure 6. Root tensile strength against diameter of root for barley (a) and maize (c) and mean tensile strength of
 334 the barley (b) and maize (d). Grey = wild types (WT) and black = root hairless mutant (*brb* for barley and *rth3*
 335 for maize). For a and c, there was no genotypic effect so a single regression line was fitted to pooled WT and
 336 mutant data where significant. p values from ANCOVA for a and c, for b and d p values are from ANOVA.
 337

338 3.5. Root trait effect on peak force

339 The distance at which the peak force occurred was not significantly correlated to any root
 340 trait (Table 2). However, the peak force required to shear the soil columns significantly
 341 increased with RSAD for both species ($p < 0.01$ and $p < 0.001$ for barley and maize,
 342 respectively). Additionally, the presence of root hairs also appears to significantly increase
 343 the peak force at Harvest 3 ($p < 0.01$ for barley and $p < 0.05$ for maize; Figure 3). As mean
 344 root tensile strength were identical for the barley and maize genotypes ($p = 0.79$ and $p = 0.77$,

345 respectively; Figure 6b and 6d), and the tensile strength of each genotype similarly decreased
346 with increasing diameter as their counterpart (Figure 6a and 6c), it can be assumed there were
347 no intrinsic differences between the strength of the root hairless mutants and their WTs.
348 Additionally, the genotypic disparities in RSAD show that the differences in peak shearing
349 force recorded at Harvest 3 cannot be solely attributed to the presence of root hairs (Table 1).
350 Furthermore, the rate at which WTs peak force increased with increasing RSAD was identical
351 to their respective root hairless mutants, for both barley and maize (Figure 7). So, root
352 systems with the same surface area density produced the same peak force regardless of
353 whether they had root hairs or not. Therefore, as genotype did not significantly affect root
354 tensile strength or the relationship between RSAD and peak force ($p = 0.64$ and $p = 0.90$ for
355 barley and maize respectively), any variations in peak shearing force should be attributed to
356 differences in RSAD and not to the presence/absence of root hairs.

357 The tensile strength of both maize and barley roots tended to decrease with increasing root
358 diameter (Figure 6a and 6c) though this trend was only significant in maize ($p < 0.05$). Maize
359 roots were significantly ($p < 0.001$, Table 1) thicker than barley, by up to four times, and their
360 mean tensile strength was 21.4 % greater than in barley (Figure 6b and 6d), though this
361 difference was not significant. As such, peak shearing force increased by 17 % with each unit
362 increase of RSAD for barley (Figure 7a), whereas for maize the rate of increase was 6.3-fold
363 greater, with one unit of RSAD approximately doubling peak force (Figure 7b). The
364 divergence in trends suggests that increasing presence of maize roots are more effective at
365 reinforcing soil than the same increase in barley roots. Although barley produced a more
366 extensive network of roots than maize, the increased tensile strength of the maize root
367 systems were more effective at increasing the peak shearing force, suggesting that root tensile
368 strength is more influential at reinforcing soil than root proliferation.



369

370 Figure 7. Peak shearing force against root surface area density (RSAD) of the main root system (excluding root
 371 hairs) for barley (a) and maize (b). Grey markers = wild types (WT) and black markers = root hairless mutant
 372 (brb for barley and rth3 for maize). For a and b, there was no significant genotypic effect so a single regression
 373 line was fitted to pooled WT and root mutant data where significant ($p < 0.05$ for barley, $p < 0.001$ for maize) p
 374 values from ANCOVA.
 375

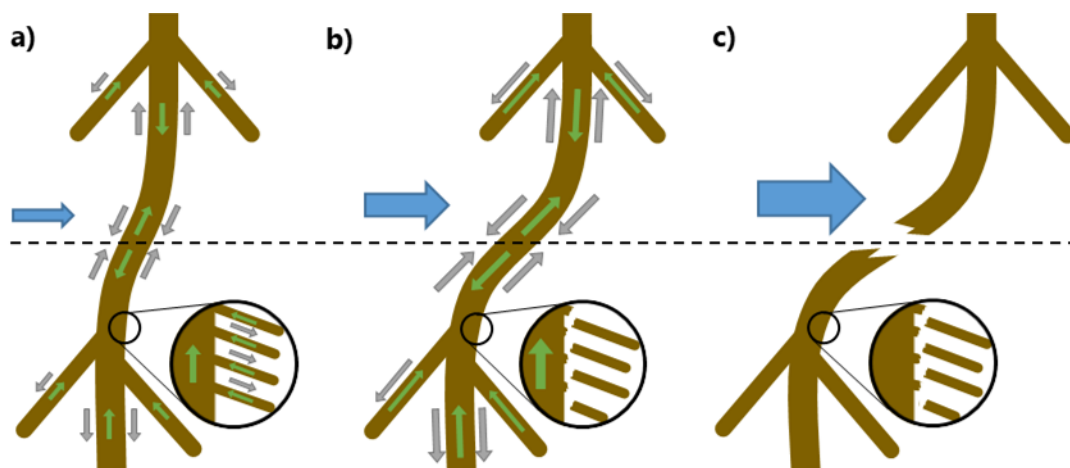
376 4. Discussion

377 4.1. Impact of root hairs on soil shear strength

378 Root hairs increase the resistance of seedling radicles to removal from the soil (Bengough et
 379 al., 2016, 2011; Ennos, 1989; Stolzy and Barley, 1968) and significantly increase the amount
 380 of soil that binds to the root system (Haling et al., 2013; Czarnes et al., 1999), however, they
 381 do not seem to contribute to a root system's ability to reinforce soil. The root systems of the

382 root hairless mutants of each species were equally as capable as their respective WT at
 383 reinforcing the soil, as they required equal forces to shear (Figure 7). Further to this, although
 384 barley WT roots have significantly longer and more numerous root hairs than the maize WT
 385 roots, and thus achieved greater root-soil contact (Burak, 2019), these differences in soil
 386 adhesion had no measurable effect on soil reinforcement. In contrast, barley roots
 387 consistently provided less soil reinforcement than the maize root systems.

388 A root's ability to withstand shear forces and contribute to a soil's shear strength is ultimately
 389 determined by its ability to stay anchored in the soil (Pollen, 2007). Root hairs are only single
 390 celled and have significantly smaller diameters than their parent roots (Figure 8), so although
 391 the tensile strength of root hairs is unknown the force required to break them is estimated to
 392 be an order of magnitude less than that of a fine root (Bengough et al., 2011). As shear force
 393 is applied to the soil, roots dissipate the force throughout the root system (Figure 8a),
 394 however as the shearing force increases the root hairs will break (Figure 8b) long before the
 395 parent root (Figure 8c). So, although root hairs can effectively reinforce singular roots against



396

397 Figure 8. A conceptual diagram of the impact of root hairs on a roots ability to reinforce soil at the shear plane
 398 (dashed line). The shear resistance of roots is their ability to resist movement, thus reinforcing the soil. (a) At
 399 low shear force (blue arrows) the whole root system can resist movement (green arrows), effectively dissipating
 400 the traction (grey arrows) throughout the root system; (b) increasing shear force will reach then exceed the
 401 tensile strength of root hair causing them to break well before the parent root (c).
 402

403 uprooting (Czarnes et al., 1999; Ennos, 1990, 1989) or during root penetration (Bengough et
404 al., 2016, 2011; Haling et al., 2013; Handley and Davy, 2002), their impact on root anchorage
405 is negligible in a more complex root system. Thus, the contribution of root hairs to soil
406 reinforcement is overshadowed by the shear resistances exerted by the greater tensile strength
407 and diameter of the roots themselves.

408 4.2. *Plant species affects root contribution to soil shear strength*

409 Rooted soil columns required considerably more force to shear than unplanted soil columns
410 (Figure 2 and 3) and the force required to shear the soil columns increased with increasing
411 root presence (Figure 7), as previously observed (Fan and Su, 2008; Jonasson and Callaghan,
412 1992; Li et al., 2013; Loades et al., 2010; Pollen and Simon, 2005). However, when roots are
413 present, root diameter and tensile strength seem to primarily determine soil reinforcement.

414 Although it is widely understood that tensile strength decreases as root diameter increases,
415 (Nilaweera and Nutalaya 1999; Pollen and Simon 2005; Yang et al. 2016), the thicker maize
416 roots exhibited greater tensile strength than the thinner barley roots. The tensile strength of a
417 root system can change depending on the orientation of slope and the direction of prevailing
418 winds (Stokes et al. 1995; Norris 2005). Additionally, taller plants have extra weight to
419 anchor, so produce roots with greater tensile strength (Nilaweera and Nutalaya 1999; Ali
420 2010; Sun et al. 2011; Osman et al. 2011). It is therefore rational that maize roots have
421 greater tensile because they grow significantly taller and, thus, have more above ground
422 matter to support.

423 As the two parts of the pot are displaced, the force exerted by the soil columns increase to a
424 peak (termed the peak shearing force) and then tapers off (Figure 2). Peak shearing force
425 increased with increasing RSAD in each species, however not at the same rate (Figure 7).
426 Despite RSAD being significantly greater in barley than in maize (Figure 5), the force

427 required to shear the maize soil columns far exceeded (by 66% for a RSAD of $0.8 \text{ cm}^2 \text{ cm}^{-3}$)
428 the force required to shear the barley soil columns (Figure 3). Thus, the increased diameter
429 and tensile strength of the maize roots better resisted shear forces, therefore not all fine roots
430 have the same ability to reinforce soil.

431 Due to the increased RSAD in barley, it can be assumed that more roots crossed the failure
432 plane for barley than for maize. Despite this, maize still provided greater tensile resistance
433 than barley. So, the increased root presence of barley could not compensate for their lesser
434 tensile strength in comparison to the maize roots. This is largely because the combined tensile
435 strength of a root bundle is not equal to the sum of each individual root. When tension is
436 initially exerted, root loading will be unequally distributed because not all roots will be
437 perpendicular to the shearing plane, further to this, roots will break at different points due to
438 differences in tensile strengths. When the applied force exceeds the strength of a root (be it
439 because force is unequally focused on it or that it is weaker than the rest), it will break.
440 Progressive breaking compounds the forces applied to the remaining roots and exacerbates
441 breakages (Pollen and Simon, 2005). Therefore, bundles of stronger but less numerous roots
442 (as seen in maize) are more effective at reinforcing soil than more numerous weaker roots (as
443 seen in barley).

444 **5. Conclusion**

445 By comparing genotypes with and without root hairs in two cereal species, this study
446 investigated which root traits most influenced a root system's ability to reinforce soil. Since
447 the WT and root hairless mutants showed no differences in soil reinforcement, it can be
448 concluded that root hairs have very little impact on a root systems ability to reinforce soil
449 under shear stress, as they cannot withstand the same forces resisted by the rest of the roots.
450 Further to this, barley roots were more than twice as numerous as maize roots, but were 21 %

451 weaker, on average, than maize roots and almost half as thin. As such, maize root systems
452 (with their increased diameter and tensile strength) were six times more efficient at
453 reinforcing soil than the barley root systems. Thus, increased root tensile strength and
454 diameter reinforced soil more effectively than increased root length density. So, root strength
455 appears to be the biggest factor determining a root system's ability to withstand shear forces
456 regardless of the presence or absence of root hairs.

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597 Table 1. Root parameters per genotype per harvest.

| | | Diameter (mm) | | Lateral (%) | | RLD (cm cm ⁻³) | | RSAD (cm ⁻² cm ⁻³) | |
|----------|-------------|-------------------------------|-------------------|----------------------------|------------------|-------------------------------|-------------------|--|-------------------|
| Barley | 1 | 0.146 ± 0.003 ^a | | 96.77 ± 0.69 ^{ab} | | 8.94 ± 1.37 ^a | | 0.408 ± 0.064 ^a | |
| | <i>brb</i> | 2 0.143 ± 0.005 ^a | | 94.94 ± 0.84 ^{ab} | | 10.29 ± 0.81 ^a | | 0.461 ± 0.035 ^a | |
| | | 3 0.165 ± 0.006 ^{ab} | | 93.35 ± 1.35 ^b | | 13.62 ± 3.80 ^a | | 0.692 ± 0.188 ^a | |
| | WT | 1 0.161 ± 0.003 ^{ab} | | 95.65 ± 0.38 ^a | | 11.18 ± 0.87 ^a | | 0.567 ± 0.049 ^a | |
| | | 2 0.180 ± 0.002 ^b | | 91.89 ± 0.82 ^{ab} | | 16.41 ± 1.35 ^a | | 0.787 ± 0.074 ^a | |
| | | 3 0.170 ± 0.008 ^b | | 93.77 ± 1.53 ^{ab} | | 15.66 ± 2.87 ^a | | 0.835 ± 0.079 ^a | |
| ANOVA | | <i>F value</i> | <i>P value</i> | <i>F value</i> | <i>P value</i> | <i>F value</i> | <i>P value</i> | <i>F value</i> | <i>P value</i> |
| Harvest | | 3.12 | 0.058 | 4.68 | < 0.05 | 2.48 | 0.100 | 4.28 | < 0.05 |
| Genotype | | 17.70 | < 0.001 | 2.21 | 0.147 | 4.01 | 0.054 | 7.40 | < 0.05 |
| Maize | 1 | 0.236 ± 0.006 ^a | | 93.40 ± 0.82 ^a | | 4.14 ± 0.49 ^a | | 0.305 ± 0.033 ^a | |
| | <i>rth3</i> | 2 0.257 ± 0.010 ^a | | 94.43 ± 0.53 ^a | | 5.69 ± 0.69 ^{ab} | | 0.451 ± 0.040 ^{ab} | |
| | | 3 0.255 ± 0.009 ^a | | 95.03 ± 0.80 ^a | | 7.18 ± 0.74 ^{ab} | | 0.572 ± 0.053 ^b | |
| | WT | 1 0.229 ± 0.012 ^a | | 93.58 ± 1.13 ^a | | 3.96 ± 0.80 ^a | | 0.271 ± 0.044 ^a | |
| | | 2 0.240 ± 0.004 ^a | | 95.20 ± 0.77 ^a | | 6.47 ± 0.98 ^{ab} | | 0.489 ± 0.074 ^{ab} | |
| | | 3 0.247 ± 0.012 ^a | | 94.66 ± 1.22 ^a | | 8.49 ± 0.77 ^b | | 0.649 ± 0.041 ^b | |
| ANOVA | | <i>F value</i> | <i>P value</i> | <i>F value</i> | <i>P value</i> | <i>F value</i> | <i>P value</i> | <i>F value</i> | <i>P value</i> |
| Harvest | | 2.49 | 0.099 | 1.52 | 0.235 | 12.83 | < 0.001 | 22.03 | < 0.001 |
| Genotype | | 2.09 | 0.158 | 0.07 | 0.793 | 1.09 | 0.304 | 0.47 | 0.497 |
| Species | | 293.17 | < 0.001 | 0.00 | 0.987 | 43.36 | < 0.001 | 9.49 | < 0.01 |

598 Diameter = mean diameter of the whole root system, Lateral = the proportion of the root system made up of
599 lateral roots, RLD = root length density, RSAD = root surface area density and WT = wild type. Letters denote
600 statistically different means ($p < 0.05$) than other harvests/genotypes within the species and are generated from a
601 pairwise comparison with a Bonferroni correction. Data are means of 6 replicates ± 1 standard error.

602
603
604

Table 2. Pearson correlation coefficients for measured root parameters and displacement at which the peak force was recorded.

| | Correlations | Diameter (mm) | Fine roots (%) | RLD (cm cm ⁻³) | RSAD (cm ² cm ⁻³) | Displacement (mm) |
|--------|--|------------------|-------------------|-------------------------------|---|----------------------|
| Barley | Fine roots (%) | 0.05 | | | | |
| | RLD (cm cm ⁻³) | 0.13 | 0.22 | | | |
| | RSAD (cm ² cm ⁻³) | 0.34* | 0.18 | 0.90*** | | |
| | Displacement (mm) | 0.07 | -0.07 | -0.06 | -0.08 | |
| | Peak Force (N) | 0.25 | 0.39* | 0.42* | 0.44** | 0.01 |
| Maize | Fine roots (%) | -0.66*** | | | | |
| | RLD (cm cm ⁻³) | -0.18 | 0.54** | | | |
| | RSAD (cm ² cm ⁻³) | 0.07 | 0.38* | 0.97*** | | |
| | Displacement (mm) | -0.11 | -0.19 | -0.03 | -0.06 | |
| | Peak Force (N) | 0.26 | 0.19 | 0.61*** | 0.68*** | -0.24 |

605 RLD = root length density and RSAD = root surface area density.

606 * = p < 0.05, ** = p < 0.01, *** = p < 0.001