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3 **Rethinking Lunar Mare Basalt Regolith Formation:**  
4 **New Concepts of Lava Flow Protolith**  
5 **and**  
6 **Evolution of Regolith Thickness and Internal Structure**  
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21 **Abstract:** Lunar mare regolith is traditionally thought to have formed by impact bombardment  
22 of newly emplaced coherent solidified basaltic lava. We use new models for initial  
23 emplacement of basalt magma to predict and map out thicknesses, surface topographies and  
24 internal structures of the fresh lava flows and pyroclastic deposits that form the lunar mare  
25 regolith parent rock, or *protolith*. The range of basaltic eruption types produce widely varying  
26 initial conditions for regolith protolith, including 1) “*auto-regolith*”, a fragmental meters-thick  
27 surface deposit that forms upon eruption and mimics impact-generated regolith in physical  
28 properties, 2) lava flows with significant near-surface vesicularity and macro-porosity, 3)  
29 magmatic foams, and 4) dense, vesicle-poor flows. Each protolith has important implications for  
30 the subsequent growth, maturation and regional variability of regolith deposits, suggesting  
31 wide spatial variations in the properties and thickness of regolith of similar age. Regolith may  
32 thus provide key insights into mare basalt protolith and its mode of emplacement.  
33

34 **Plain Language Summary:** Following recent studies of how lava eruptions are emplaced on the  
35 lunar surface, we show that solid basalt is only one of a wide range of starting conditions in the  
36 process of forming lunar soil (regolith). Gas present in the lavas during eruption also produced  
37 bubbles, foams and explosive products, disrupting the lava and forming other starting  
38 conditions for mare soil parent material.  
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## 42 **1. Introduction and Background**

43 In contrast to Earth, where water-rock interactions cause soil formation to be dominantly a  
44 chemical weathering process, high-energy physical weathering processes dominate the  
45 formation and evolution of the lunar regolith (Hörz, 1977): 1) micrometeorite comminution  
46 (rock breakup into smaller fragments), and 2) agglutination (quenched impact glass and welded  
47 particles averaging 25-30 vol% of regolith) (McKay et al., 1974). The canonical model for lunar  
48 mare regolith development (e.g., Hörz, 1977; Langevin and Arnold, 1977; McKay et al., 1991;  
49 Lucey et al., 2006) begins with the emplacement of a lava flow, representing a fresh solid basalt  
50 surface unaffected by impact bombardment or space weathering processes (Wilcox et al.,  
51 2005). The pristine surface and interior of the new lava flow (Fig. 1) is the mare regolith *parent*  
52 *rock*, or *protolith*, and is generally thought of as being dense, solidified basalt. Because the  
53 fresh surface of a lunar lava flow has never been observed, most regolith development models  
54 assume a generally flat lava flow surface and a solid coherent flow interior. The apparent lack  
55 of significant volatiles such as H<sub>2</sub>O in lunar magmas led to earlier assumptions that most mare  
56 basalt flows would be essentially non-vesicular.

57 Regolith formation begins with impact bombardment onto the pristine dense lava flow, a  
58 stochastic process that deforms, pulverizes, melts and ejects basalt protolith to become the  
59 initial stages of the regolith layer, in stark contrast to the characteristics of evolved or more  
60 mature regolith (Fig. 1). Two major temporal trends occur in regolith development: 1) *buffering*  
61 *trend*: the initial predominantly coarse-grained and blocky substrate ejecta from the protolith  
62 becomes subject to further impact bombardment at all scales (particularly micro-meteorite),  
63 comminuting blocks, reducing grain size, overturning soil grains and exposing them to space  
64 weathering/solar wind, adding more and more agglutinates to the soil, and reworking already-  
65 existing regolith material. The growing regolith layer thus acts as a *buffer* to further regolith  
66 growth, favoring reworking over further breakup of the protolith. 2) *impact flux trend*:  
67 decreasing impact flux during the several Ga period of mare basalt emplacement means that  
68 the rate of bombardment of older flows, and the rate of regolith growth, will be non-linear;  
69 younger lava flows will be subject to a lower integrated impact flux and lower absolute flux.  
70 These general trends result in a paradigm of regolith development constructed from  
71 observations and data from orbital, Apollo and Luna surface observations, soil mechanics  
72 experiments, and detailed laboratory analysis of regolith cores and returned samples (McKay et  
73 al., 1991; Lucey et al., 2006).

74 Four recent developments have the potential to change this paradigm. First, discoveries in  
75 the last decade have pointed to the presence of significant amounts of H<sub>2</sub>O and other volatile  
76 species in lunar magmas (Saal et al., 2008; Hauri et al., 2011), and clarified their influence on  
77 the characteristics of ascending magma (Rutherford et al., 2017). Secondly, improved models  
78 of the generation, ascent and eruption of mare basalt magma (Wilson and Head 2017a; 2018),  
79 including updated inclusion of magmatic volatiles, have underlined the distinctly different  
80 stages and associated deposits in the eruption and emplacement of mare basalts, including  
81 proximal pyroclastic deposits and distal lava flows (Head and Wilson, 2017; Wilson and Head,  
82 2018; Garry et al., 2012). Third, global orbital remote sensing data (imaging, altimetry, radar,  
83 radiometry, thermal inertia, etc.) and Earth-based radar data have revealed significant diversity  
84 in the characteristics of mare volcanic landforms (Tables S1-S2), impact crater populations and

85 morphologies, mare regolith surfaces, and mare subsurface materials (Lucey et al., 2006), all  
 86 suggesting that regolith properties are likely to be much more diverse than the paradigm  
 87 developed from Apollo and Luna sites. Finally, renewed interest in human and robotic lunar  
 88 exploration, and thus resource/geotechnical/engineering aspects of a more sustained human  
 89 presence, have encouraged global characterization of the mare regolith layer and its underlying  
 90 mare basalt protolith. In this analysis, we review developments in understanding the stages in  
 91 the ascent and eruption of magma for new insights into the production of lunar mare regolith  
 92 protolith, and the implications for regolith development, and its global characteristics and  
 93 variability (Tables S1-S2).

94

## 95 **2. Lunar Mare Basalt Lava Flow Emplacement Paradigm**

96 Assessment of gas release patterns (Rutherford et al., 2017) during individual mare basalt  
 97 eruptions (Wilson and Head, 2018) provides the basis for predicting the effect of vesiculation  
 98 processes on the structure and morphology of eruption products: typical lunar eruptions are  
 99 subdivided into four phases (Fig. 2a). These phases, controlled by total dike volumes, initial  
 100 magma volatile content, vent configuration, and magma discharge rate, define the wide range  
 101 of initial mare basalt extrusive products and consequent regolith protoliths produced in space  
 102 and time (Table S1).

103 The rising dike penetrates the surface initiating *Phase 1*, the minutes-long, explosive  
 104 *transient gas release phase* due to volatile concentration into the low-pressure upward-  
 105 propagating dike tip; this results in a very widespread but extremely thin deposit, distributing  
 106 the ubiquitous volcanic glass beads found in lunar soils (Heiken and McKay, 1974; Heiken, 1975;  
 107 Delano, 1986). The dike continues to rise toward a neutral buoyancy configuration, initiating  
 108 the *high-flux hawaiian eruptive Phase 2*, characterized by peak magma discharge rates, the  
 109 near-steady explosive eruption of magma containing bulk volatile content, and formation of a  
 110 relatively steady, largely optically-dense hawaiian fire fountain. Pyroclasts lose gas efficiently  
 111 and accumulate within ~10 km of the fissure, forming a lava lake deficient in gas bubbles. In  
 112 short-lived eruptions, degassed lava flows away from the lake to form the distal parts of a  
 113 dense lava flow. In long-lasting eruptions, lava erodes a sinuous rille. Phase 2 involves eruption  
 114 of a significant part of the total dike magma volume and magma volume flux decreases with  
 115 time (Fig. 2a).

116 When the dike approaches an equilibrium, the vertical extent of the dike becomes fixed,  
 117 and a rapid change occurs toward the lower-flux *Phase 3 hawaiian to strombolian transition*.  
 118 The main driving process is the horizontal reduction in the dike thickness due to a decrease in  
 119 internal excess pressure and relaxation of dike intrusion-induced deformation. Magma vertical  
 120 rise speed decreases greatly to less than 1 m/s; magma volume flux leaving the vent decreases  
 121 to a few  $\times 10^4 \text{ m}^3 \text{ s}^{-1}$  over ~3-5 days. These reductions mean that CO gas bubbles nucleating  
 122 deep in the dike can now rise significantly through their parent liquid, with larger bubbles  
 123 overtaking smaller bubbles, leading to coalescence, greater growth, and eventual formation of  
 124 gas slugs filling almost the entire dike width and producing surface strombolian explosions (e.g.,  
 125 Keske et al., 2020).

126 When vent activity becomes entirely strombolian the *dike closing, strombolian vesicular*  
 127 *flow Phase 4* begins; horizontal dike closure continues, and magma is extruded at a low flux.  
 128 Minor strombolian explosive activity continues; rise rates are sufficiently low that a stable crust

129 will form on magma in the lava lake and flowing away as lava flows. In a *low-flux eruption*,  
 130 Phase 4 begins only after most of magma in the dike has been erupted and the volume flux is at  
 131 a very low level, resulting in the emplacement of vesicular lava in the vent vicinity (Fig. 2a,b).  
 132 Erupted magma consists of lava containing bubbles of a mixture of gases and volatile elements  
 133 (Gaillard & Scaillet, 2014; Renggli et al., 2017). Lavas exsolving  $\sim 1,000$  ppm of these gases would  
 134 leave the vent as lava foams with vesicularities  $>90\%$  by volume. The topmost bubbles would  
 135 explode into the overlying vacuum, producing a bubble wall shard layer (an “*auto-regolith*”)  
 136 (Qiao et al., 2020, their Fig. 14); gas would escape through this accumulating debris layer until  
 137 welding and the accumulated debris weight inhibited further foam disintegration. If the  
 138 underlying lava still contained dissolved volatiles, volatile concentration into the remaining  
 139 liquid as the lava cooled and crystallized would result in second boiling (an increase in vapor  
 140 pressure to the point of supersaturation) and additional post-emplacement vesiculation,  
 141 causing a range of macro-micro-vesicularity (Wilson et al., 2019, their Fig. 5). In a *high-flux*  
 142 *eruption* Phase 4 (somewhat higher than  $10^4 \text{ m}^3 \text{ s}^{-1}$ ), a large fraction of the total dike volume is  
 143 still available for extrusion as vesicular lava (Fig. 2a). This lava is predicted to cause flow  
 144 inflation (Self et al, 1996; Hamilton et al., 2020), intruding vesicular lava into the still-hot  
 145 interiors of the previously emplaced non-vesicular flows. Magma from the shallow parts of the  
 146 dike ( $<400$  m) feeding such intruding flows would contain water/sulfur compounds that had not  
 147 yet exsolved. As the resulting inflated flows cooled on a timescale of weeks, second boiling  
 148 would occur in this case also, causing a further, possibly extensive, inflation episode (Wilson et  
 149 al., 2019; their Fig. 5). For eruptions contained within summit pit craters, Phase 4 lavas can  
 150 pond and undergo further distinctive protolith evolution (Fig. 2c)

151 We now explore the implications of these four phases of a typical mare basalt eruption (Fig.  
 152 2a) for the resulting surface deposits, the mare basalt regolith protolith.

153

### 154 **3. Mare Basalt Protolith Types: Implications for Regolith Evolution**

155 What are the major different types of surface topography, morphology, surface properties,  
 156 and internal structure (Fig. 3) of deposits predicted by these four phases (P1-P4), (Figs. 2,3),  
 157 their distribution (Table S1), and the implications for regolith development on these protoliths?

158 1. Solidified Non-Vesicular Coherent Mare Basalt: Magma largely degassed at the vent  
 159 during the hawaiian activity of P2 will produce several-100 km long, generally flat, smooth-  
 160 surfaced flows, with low vesicularity, that cool to solidified basalts up to tens of m thick (Fig.  
 161 3a). Their distribution and plan view will be influenced by surface topography underlying the  
 162 flow, regional slopes, and flow cooling behavior (Head and Wilson, 2017). Distal flows  
 163 associated with sinuous rilles should also form this type of regolith protolith. These flows  
 164 should be very widespread distally from the vent and form a regolith protolith that is similar to  
 165 that of the standard regolith evolution model (Fig. 1; Table S1).

166 2. Inflated Flows: Surface Topography, Vesicularity and Meso-Macro Porosity: If P4 activity  
 167 is of long duration, flow inflation of P2 flows can result, elevating and distorting the pre-existing  
 168 solidified flow surface, and introducing several-m scale topographic irregularities on the  
 169 recently solidified upper thermal boundary layer of the flow (Fig. 3b). This extremely irregular  
 170 protolith surface (e.g., Hamilton et al., 2020) will influence the nature of initial stages of regolith  
 171 development, causing irregular crater formation and ejecta distribution at scales less than the  
 172 average roughness. The solidified inflated core of the flow at depths of a few meters will

173 consist of a very porous layer of low-density vesicular basalt of significant thickness due to  
 174 intrusion of very vesicular P3 magma. Furthermore, meter-scale void spaces from coalescence  
 175 of vertically migrating gas pockets are also predicted (Wilson et al., 2019, their Fig. 5). As  
 176 superposed craters are formed on this protolith, energy partitioning will favor crushing of  
 177 vesicles and voids over brittle deformation and this will influence the grain size and shape of  
 178 the initial regolith layers; meso- and macro-porosity will favor collapse pit and collapse crater  
 179 formation, regolith drainage into void spaces, and slowing of optical maturation due to  
 180 preferential drainage of the finest fractions. These inflated flows should be distributed closer  
 181 to the vent than those formed from the non-inflated distal P2 flows (Table S1).

182 3. Inflated Flows: Second Boiling, Vertical Bubble Migration and Extrusion of Magmatic  
 183 Foam: Prior to solidification, further cooling and evolution of P4-inflated P2 flows can cause  
 184 second boiling and *in situ* generation of additional vesicular layers (Fig. 3c). If second boiling is  
 185 significant (e.g., thick inflated layer, volatile-rich magma), bubble layers can undergo active  
 186 upward migration of foams in pipes to form shallow gas pockets creating shallow meter-scale  
 187 void space and further deforming the lava flow surface (Wilson et al., 2019, their Fig. 5). Theory  
 188 further predicts that cracking of the upper thermal boundary layer can enable extrusion of  
 189 foams potentially forming the small mounds known as Ring-Moat Dome Structures (RMDS)  
 190 (Zhang et al., 2017, 2020). Instead of the dense, vesicle-poor solidified basalt substrate (Figs.  
 191 1,3a), much of the initial substrate will consist of an irregular surface and micro-, meso- and  
 192 macro-porous protolith (also having undergone auto-regolith formation) in which impact  
 193 energy partitioning will favor crushing of vesicles and voids, initially finer-grained regolith, and  
 194 potential slowing of maturation due to drainage of the finest fraction into the still-porous  
 195 substrate. The presence of surface magmatic foams will favor crushing, changes in crater  
 196 morphometry (vertical growth favored over lateral) and clast size fractions dominated by  
 197 bubble-wall geometry (Morgan et al., 2019). The presence of unusual foam mounds (RMDS)  
 198 might signal the locations of P4 inflated flows where significant second boiling has taken place  
 199 (Table S2). These inflated flows should be distributed closer to the vent than those formed  
 200 from the non-inflated distal P2 flows (Table S1).

201 4. Foam Flows and “Auto-Regolith” Formation: Some very vesicular P4 flows can extrude  
 202 out onto the surface near the vent (Fig. 3d). When such highly vesicular flows are exposed to  
 203 the lunar vacuum, they undergo catastrophic fragmentation and disruption that can destroy the  
 204 entire meters-thick flow, leading to production of a fragmental layer (an *auto-regolith*); this  
 205 *auto-regolith* layer can comprise the entire flow-unit thickness in a point-source eruption, and a  
 206 significant amount of the flow thickness in fissure flows (Fig. 2b). Wilson et al. (2019; their Fig.  
 207 5) have described the process in detail; the resulting protolith stratigraphy of the cooled and  
 208 solidified flow consists of an upper meters-thick fragmental layer of glassy shards (the “*auto-*  
 209 *regolith*”) overlying a thin layer of welded pyroclasts, above an extremely vesicular layer up to  
 210 several meters thick (Fig. 3d) (Table S1). Initial impacts will crush, comminute and redistribute  
 211 this substrate, influencing initial crater formation and shape, and subsequent degradation;  
 212 blocks derived from these layers will be rare and easily degraded.

213 5. Foam Flows With Coherent Surfaces: Some P4 flows can develop a coherent upper  
 214 thermal boundary layer, inhibiting initial catastrophic foam flow disruption and resulting in  
 215 extremely vesicular, low density meters-thick flows with a solidified carapace, and perhaps  
 216 some initial collapse pits (Fig. 3e). These are most likely to occur in the vicinity of vents and pit

217 craters, where variations in effusion rates can cause a solid crust to form and foam buildup  
 218 below, before renewed activity extrudes it out of the vent area. This regolith protolith is  
 219 predicted to have extremely high meso-macro-porosity, and initial impacts are likely to cause  
 220 collapse and deformation of the substrate; the late-stage lava flows on the rim of the small  
 221 shield volcano Cauchy 5 have been interpreted to display such a regolith protolith (Qiao et al,  
 222 2020) (Table S1).

223 6. Pyroclastic Layers: During P2, sustained hawaiian eruptive activity in the lunar vacuum  
 224 results in regions surrounding the vent accumulating significant thicknesses (up to many 10s of  
 225 m) of pyroclastic beads out to ranges of several tens of km; Weitz et al., 1998; Gaddis et al.,  
 226 2003 (Fig. 3f). The presence of such layers affects subsequent impact crater energy partitioning,  
 227 crater size-frequency distributions, soil maturation, etc. The pyroclastic layers are a type of  
 228 “auto-regolith” and can be interbedded with more coherent basaltic flow layers. Such a  
 229 substrate was explored on Apollo 17, where the 120 m diameter Shorty crater had penetrated  
 230 both pyroclastic and basalt flow layers (Schmitt , 1973) (Table S1).

231 7. Emplacement of Anomalous “Xenolithic” Volcanic Glass Beads: In the initial minutes of an  
 232 eruption (P1) extremely explosive venting of gas and disrupted foam disperses pyroclasts very  
 233 widely, well beyond the associated subsequent flow deposits (P2-4) (Fig. 3g). On the basis of  
 234 the nature of the rapid gas expansion and pyroclast fragmentation, these pyroclasts should  
 235 arrive at the target site as generally solidified round glass beads (Table S1). These are a  
 236 candidate source of “xenolithic” pyroclasts in all regolith deposits (Delano, 1986). The high-  
 237 energy of this venting can also incorporate and widely disperse pre-existing regolith particles  
 238 from the venting site.

239 8. Volcanic Pit Crater Floor Surfaces: If P3 occurs in a pit or collapse crater (Fig. 2c) rather  
 240 than a fissure eruption (Fig. 2b), P3 activity can concentrate strombolian pyroclasts and P4  
 241 foamy lavas in the depression, resulting in the development of an extremely high concentration  
 242 of volatiles and magmatic foams below a solidified and evolving thermal boundary layer of  
 243 unusual micro- and macro-vesicularity (Fig. 3h) (Table S1). The flexing and disruption of the  
 244 highly macro-vesicular lava lake crust layer has been proposed to cause extrusion of magmatic  
 245 foams to form mounds (Fig. 2c) (e.g., Wilson and Head, 2017b; Qiao et al. 2017, 2018, 2019,  
 246 2020). On the basis of the predicted properties of such a lava lake environment, these authors  
 247 outlined solidified lava lake and magmatic mound substrate characteristics producing extremely  
 248 underdense targets and potential regolith drainage. These characteristics could have significant  
 249 implications for the nature and retention of superposed craters, the original and long-term  
 250 regolith grain-size evolution, the slowing of optical maturation rates, and the retardation of  
 251 aging interpreted from impact crater size-frequency distribution data.

252

## 253 4. Discussion

### 254 A. Summary of New Perspectives on Regolith Protolith Development:

255 Analysis of the phases of individual mare basalt eruptions (Fig. 2) provides a forward-model  
 256 of the formation of regolith protolith and shows that the traditional view of a solid basaltic  
 257 regolith protolith (Fig. 1) is only one of a wide array of regolith protoliths (Fig. 3). These results  
 258 provide an interpretative framework to revisit and expand our understanding of mare basalt  
 259 regolith-forming processes, and predictions for the interpretation of remote sensing data (Table  
 260 S2). They also yield some potential new insights that might help clarify existing knowledge of

261 regolith characteristics, and can be used to plan for future robotic and human scientific and  
 262 resource exploration (Table S1).

263 B. Application of Protolith Concepts to Regolith Formation and Evolution:

264 1) Basal regolith-substrate interfaces: The starting conditions for regolith development (Fig.  
 265 3) can vary widely from solid basalt to a meters-thick “*auto-regolith*”; initial topography can  
 266 vary up to tens of meters. These factors can significantly influence estimates of local and  
 267 regional thickness and lateral continuity of regolith.

268 2) Energy partitioning in regolith-forming impact events: Efficiency of cratering will vary as a  
 269 function of protolith surface and subsurface structure (Fig. 3). The ratio of rock substrate  
 270 crushing/deformation to ejection will vary in space/time for substrates with meso-macro-  
 271 porosity, and grain sizes and shapes will vary accordingly. Initial development of an “*auto-*  
 272 *regolith*” will mean that impact “*regolith buffering*” will operate from the beginning. Different  
 273 substrate responses to impact energy partitioning will introduce significant variability in  
 274 regolith grain sizes, shapes, percentage agglutinates, presence/abundance of rocks, and  
 275 thickness.

276 3) Morphology of fresh superposed impact craters: These should differ widely in early  
 277 protolith bombardment on the basis of energy partitioning in different substrates (Fig. 3); this  
 278 will cause sequential morphological differences as regolith thickens between and within flows.  
 279 The normal fresh-crater morphological sequence employed to predict regolith thickness  
 280 (Quaide and Oberbeck, 1968) in traditional substrates (Fig. 1) should be updated to include  
 281 other protoliths (Fig. 3).

282 4) Regolith thickness with age: Regolith thickness/age relationships (e.g., Quaide and  
 283 Oberbeck, 1968; Shkuratov and Bondarenko, 2001; Wilcox et al., 2005; Bart et al., 2011; Bart,  
 284 2014; Di et al., 2016) should take into account the nature of the initial substrate topography,  
 285 structure (vertical and horizontal) and the potential presence of an auto-regolith (Fig. 3); great  
 286 thickness variability in space and time is likely across this spectrum.

287 5) Regolith growth rates: “Auto-regolith” formation can provide both an initial multi-  
 288 meters-thick “regolith” layer and a buffering layer influencing regolith growth rates. Existing  
 289 models of regolith growth rates (Xie et al., 2018) can be augmented with assessments based on  
 290 the predicted range of regolith protoliths (Fig. 3).

291 6) Regolith components and maturation rates: Expected diversity of initial protolith  
 292 conditions will map out into the relative proportions of components (e.g., indigenous and  
 293 xenolithic pyroclastic glass, glass shards, grain vesicularity, grain sizes and shapes, mesostasis,  
 294 etc.) in evolving regolith. An understanding of the full range of regolith protoliths (Fig. 3) can  
 295 help interpretation of variations in these factors in current regolith samples and make testable  
 296 predictions for future exploration (Tables S1-S2).

297 7) Degradation of superposed craters with time: Energy partitioning in different substrates  
 298 (Fig. 3) will yield different initial crater morphologies and morphometries, influencing the  
 299 interpretation of crater degradation and lifetime; very porous macro-vesicular substrates can  
 300 also produce initial and subsequent collapse craters that can mimic degraded primary impacts.  
 301 Landform and crater degradation analyses (e.g., Fassett and Thomson, 2014) can now employ  
 302 the wider range of regolith protoliths (Fig. 3) to assess their implications.

303 8) Impact crater size-frequency distribution measurements and surface ages: Variable  
 304 protolith characteristics in space/time result in variable superposed crater energy partitioning

305 that can influence fresh and degraded impact crater morphology/morphometry, CSFD  
 306 measurements, and determination of population equilibrium diameters. An extreme case of  
 307 these types of effects is predicted to occur in pit crater floors (P3-4; Fig 2c) (e.g., Irregular Mare  
 308 Patch mounds and hummocky terrain in Ina; Garry et al., 2012; Qiao et al., 2019; Wilson and  
 309 Head, 2017b) where protolith variability (Figs. 2c,3) may have profound effects on superposed  
 310 crater formation, retention, degradation, and CSFD.

311 9) Vertical structure of lava flows: Individual lava flow cross-sectional vertical structure  
 312 should vary widely (in both space and time) (Fig. 3), in contrast to the dense solid basalt cooling  
 313 unit commonly assumed (Fig. 1). Despite this diversity and complexity, eruption phase  
 314 parameter space (Fig. 2a) offers promise to unravel the eruption history of individual cross-  
 315 section exposures of intercalated lava flows and regolith layers (Kerber et al., 2019).

316 10) Variation in regolith protolith (Fig. 3) in space and time: In individual basaltic eruptions,  
 317 protolith diversity and complexity is predicted to decrease as a function of distance from the  
 318 eruptive vent (Fig. 2a) and, with the exception of P4 inflated flows, distal flows may be most  
 319 similar to the traditional model (Fig. 1). Magmatic volatile abundances introduce additional  
 320 variability in the nature of different eruptive stages and deposits; increasing insights into  
 321 species and abundances (Rutherford et al., 2017) can be readily mapped into modified protolith  
 322 paradigms. Improved models of eruption conditions, and deposit formation as a function of  
 323 distance from the vent, will help to place point samples (e.g., Apollo 15 highly vesicular basalts,  
 324 green pyroclastic glass beads; Apollo 17 orange/black pyroclastic glass beads) into more robust  
 325 predictions for proximity to the eruptive vent and, together with remote sensing data, provide  
 326 regional assessments of protolith trends. Exploration of vertical sections in impact and pit  
 327 crater walls can provide insight into temporal variations in regolith protolith (Kerber et al.,  
 328 2019).

329

## 330 5. Conclusions and Implications

331 On the basis of our forward-modeling of the four stages in lunar lava flow emplacement  
 332 (Fig. 2a), we conclude that a wide diversity of regolith protoliths is likely to be present in lunar  
 333 mare regolith deposits in addition to the traditional solid basalt model (Figs. 1,3).  
 334 Documentation of these differences in initial flow characteristics and regolith protolith (Fig. 3)  
 335 can enhance the understanding of the complexity of regolith development and lead in turn to a  
 336 paradigm for the variation in basaltic lava flow surface and internal structure in time and space.  
 337 Predictions of the forward model of lava flow emplacement can provide specific goals and  
 338 objectives for further exploration of the nature and initial emplacement environment of the  
 339 regolith protolith, and the evolution and current state of the resulting regolith (Tables S1-S2).  
 340 Some promising areas of investigation include:

341 1) Analysis of orbital remote sensing data for their ability to detect and map variations in  
 342 protolith/regolith parameter space (e.g., radiometry, radar, surface roughness, photometry,  
 343 mineralogy, maturity indices, etc.) (Table S2). For example, Campbell et al. (2009, 2014)  
 344 described significant variations in the distribution of decimeter-scale subsurface rocks in Maria  
 345 Serenitatis, Imbrium and Crisium from Earth-based radar data, interpreted to be due to  
 346 variations in initial flow properties. Bandfield et al. (2011) and Hayne et al. (2017) explored  
 347 variations in regolith temperatures in a variety of enigmatic cold and hot spots detected by LRO  
 348 Diviner radiometry, and Chan et al. (2010) showed multiple anomalies in microwave brightness



349 temperatures in lunar mare regolith. These types of trends and anomalies could be explored  
 350 for variations related to the physical properties of different regolith protoliths (Fig. 3).

351 2) Measurements of the vertical structure of lava flows and regolith characteristics revealed  
 352 in rille, impact crater and pit crater walls could be revisited in the context of the different lava  
 353 flow regolith protoliths, and *in situ* exploration of vertical sections (Kerber et al., 2019) should  
 354 be given high priority.

355 3) Regolith protolith variability data may provide additional insight into regolith and  
 356 underlying lava flow physical properties, thickness and internal structure relevant to past and  
 357 future seismic (e.g., Cooper et al., 1974), heat flow (Langseth et al., 1976), surface and orbital  
 358 ground penetrating radar (e.g., Yuan et al., 2017), and surface electrical properties data.

359 4) Analyzing assumptions about crater degradation processes and CSFD ages to take into  
 360 account potentially varying protolith and regolith processes may help to explain the often high  
 361 degree of local and regional regolith variability (e.g., Fassett and Thompson, 2014; Hirabayashi  
 362 et al., 2018; Needham et al., 2018; Prieur et al., 2018).

363 5) Revisiting the Apollo/Luna/Chang'E data on the lunar regolith in the context of this  
 364 forward-model protolith/regolith growth paradigm may provide new insights into regolith  
 365 production and evolution and its variability (Lucey et al., 2006).

366 Examples of this array of candidate regolith protoliths (Fig. 3. Table S1) and an assessment  
 367 of appropriate investigation techniques (Tables S2) provide a basis for further exploration of  
 368 mare regolith diversity and geotechnical properties.

369  
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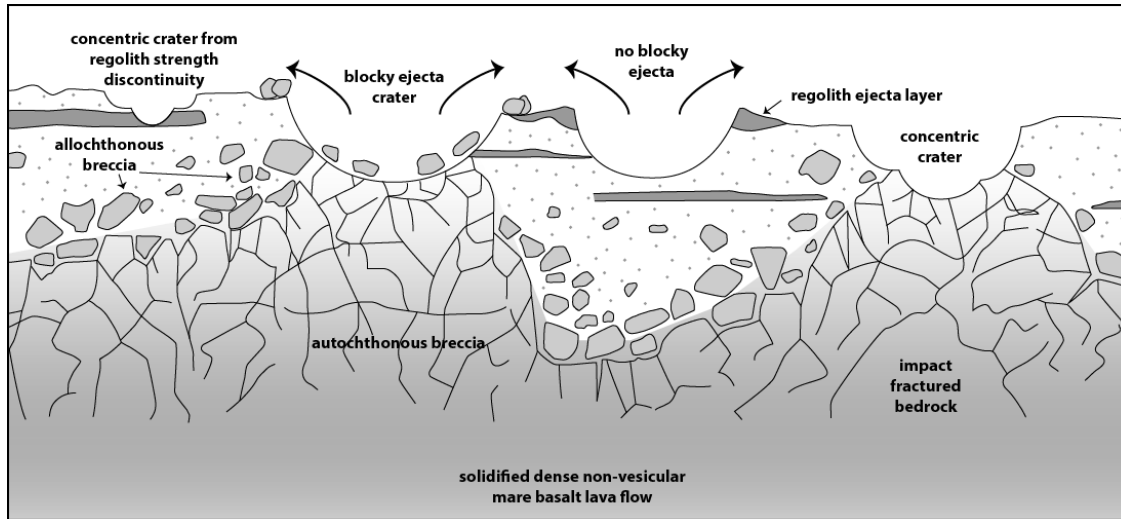
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504 **Figures:**  
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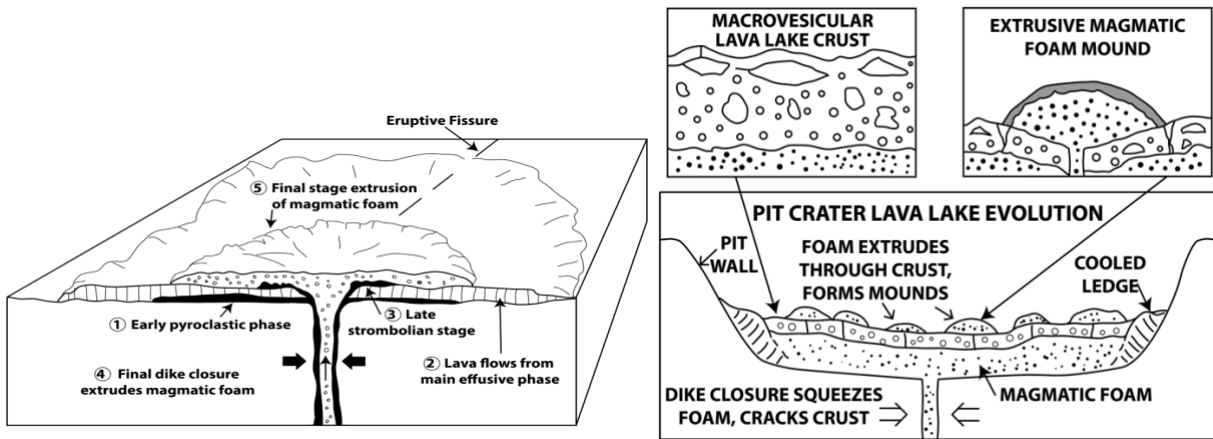
Figure 1. Traditional solid dense basalt protolith regolith evolution model (after Wilcox et al., 2005).

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Eruption Phase	PHASE 1	PHASE 2	PHASE 3	PHASE 4
		Dike penetrates to surface, transient gas release phase	Dike base still rising, high flux hawaiian eruptive phase	Dike equilibration, lower flux hawaiian to strombolian transition phase
Dike Configuration				
Surface Eruption Style	Transparent gas Pyroclasts	Opaque pyroclastic fountain Sinuous rille Lava lake	Fountain declines toward strombolian	a) Proximal foam flow b) Distal flow inflation
Magma Rise Speed	30 to 20 m/s	20 to 10 m/s	5 to <1 m/s	< 1 m/s
Magma Volume Flux	$\sim 10^6$ m <sup>3</sup> /s	$10^6$ to $10^5$ m <sup>3</sup> /s	$10^5$ to $\sim 10^4$ m <sup>3</sup> /s	$\sim 10^4$ m <sup>3</sup> /s
Percent Dike Volume Erupted	<5%	$\sim 80\%$	$\sim 5\%$	$\sim 10\%$
Phase Duration	$\sim 3$ minutes	$\sim 4$ days	$\sim 6$ days	$\sim 30$ days
Flow Advance Speed	n/a	$\sim 5$ to $0.5$ m/s	$\sim 0.2$ m/s	$\sim 0.01$ m/s
Flow Advance Distance	n/a	$\sim 300$ km	$\sim 400$ km	$\sim 400$ km (flow inflates)
Vesicularity of Lava Leaving Vent	n/a	zero	low, but increasing	very high

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Figure 2a.



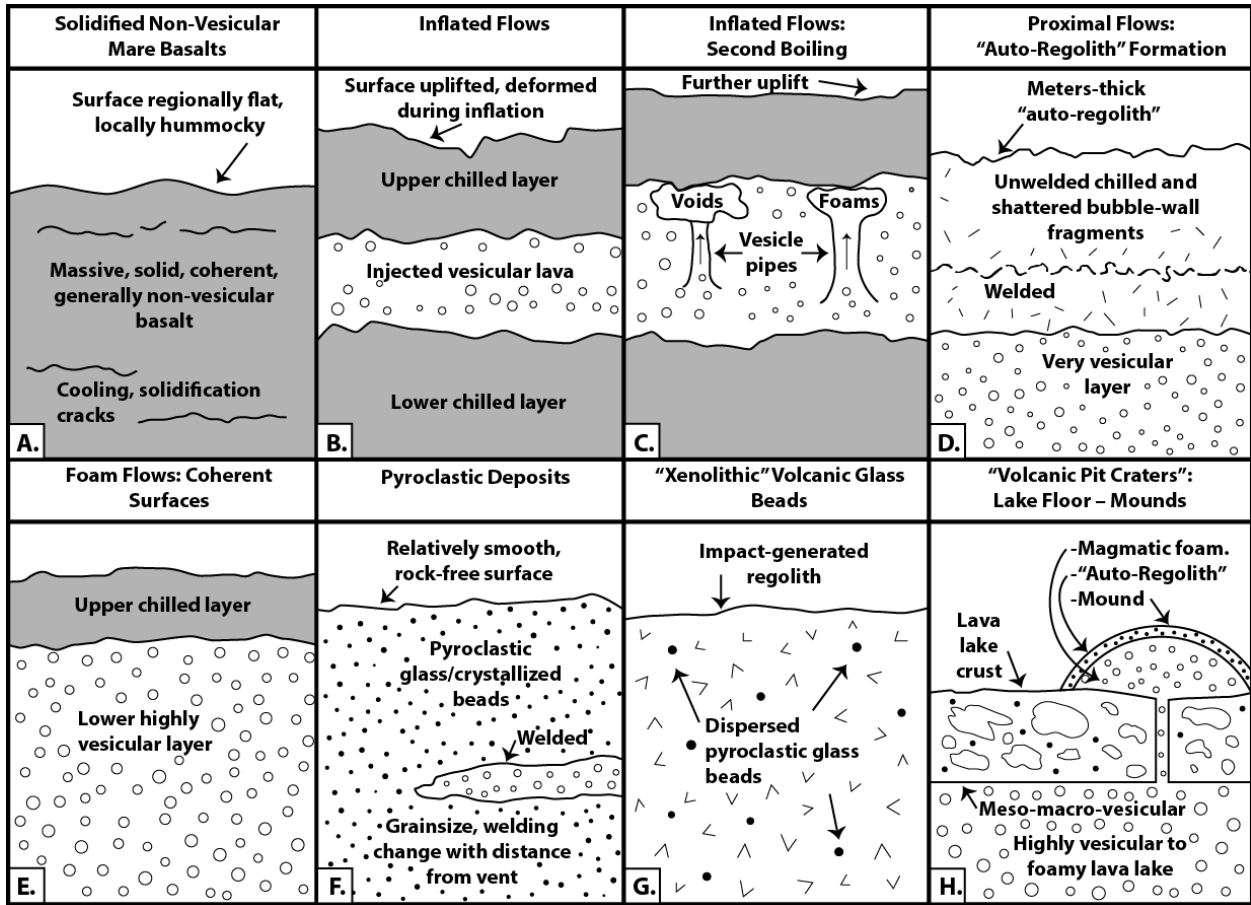
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Figure 2b.

Figure 2c.

Figure 2. a. Four stages of a typical mare basalt eruption (after Wilson and Head, 2018). b. Vertical sequence in fissure eruption. c. Vertical sequence in pit crater eruptions. (b and c: Wilson and Head, 2017b).

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Figure 3. Cross-sections of eight regolith protolith types.

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**Supporting Information for**  
***Geophysical Research Letters* #2020GL088334**

**“Rethinking Lunar Mare Basalt Regolith Formation: New Concepts of  
Lava Flow Protolith and Evolution of Regolith Thickness and Internal Structure”**

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**Contents of this file:** Table S1, Table S2

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**Table S1: Predicted locations of the eight different regolith protolith types (Fig. 3).**

**A) Solidified non-vesicular mare basalts:**

-General Locations: Distal parts of long fissure-fed, lava flows (Fig. 2b); medial and distal flows associated with sinuous rilles (Fig. 2a: Phase 2 distal flows).

-Specific Locations: Medial to distal parts of southwest Mare Imbrium lava flows (Schaber, 1973; Chen et al., 2018; Bugiolacchi and Guest, 2008); Apollo 11 Site (Beaty and Albee, 1978); Apollo 12 site (Neal et al., 1994); near terminations of Rima Hadley, Rima Prinz, etc. (Hurwitz et al., 2012, 2013).

**B) Inflated flows:**

-General Locations: Proximal to distal parts of both central vent-fed flows (Fig. 2a: Phase 4b) and long, fissure-fed lava flows (Fig. 2b) (Self et al, 1996; Hamilton et al., 2020); Possibly small irregular mare patches (IMPs) (Braden et al., 2014; Qiao et al., 2020).

-Specific Locations: Proximal and medial (Zhang et al., 2016; Chen et al., 2018) regions of SW Mare Imbrium flows; Apollo 15 site (Apollo 15 PET, 1972; Lofgren et al., 1975; Keszthelyi, 2008); Ina (Garry et al., 2012).

**C) Inflated flows: Second boiling:**

-General Locations: Proximal and medial parts of long, fissure-fed lava flows (Fig. 2a: Phase 4b); any areas containing ring-moat dome structures (RMDS) (Zhang et al., 2017, 2020; Wilson et al, 2019). Possibly small IMPs (Braden et al., 2014; Qiao et al., 2020).

-Specific Locations: RMDS-Central Mare Tranquillitatis, Mare Fecunditatis, Southern Oceanus Procellarum, Northern Mare Humorum (Zhang et al., 2017, 2020). IMPS-Northwestern Mare Tranquillitatis, Sechi X, Aratus D (Braden et al., 2014; Qiao et al., 2020).

**D) Proximal flows: "Auto-regolith" formation:**

-General Locations: Near eruption source regions (Fig. 2a: Phase 4a); fissure vents (Fig. 2b); and small shield summits (Fig. 2c), flanks.

-Specific Locations: Southwest Imbrium lava flow source regions (Zhang et al., 2016); Elongate mare source depression such as Sosigenes (Qiao et al., 2018); Cauchy 5 small shield volcano (Qiao et al., 2020); Ina Mounds (Braden et al, 2014; Qiao et al., 2019; Wilson and Head, 2017b).

**E) Foam flows: Coherent surfaces:**

-General Locations: Adjacent to eruption source regions (Fig. 2a: Phase 3, 4a); fissure vents (Fig. 2b); small shield summits and flanks (Fig. 2c); pit crater floors (Fig. 2c).

-Specific Locations: Flanks of Cauchy 5 small shield volcano (Qiao et al., 2020); Ina crater floor (rough unit; Garry et al., 2012; Qiao et al. 2019); Possibly regions characterized by small Irregular Mare Patches (IMPs) (see extensive lists in Braden et al., 2014 and Qiao et al., 2020).

**F) Pyroclastic deposits:**

-General Locations: Within regional and local dark mantle deposits (DMDs) (Fig. 2a: Phase 2, 3); associated with sinuous rilles (Fig. 2a: Phase 2). Can also be mixed with interbedded lava flows (Fig. 2a: Phase 2 proximal and medial; Fig. 2b).

-Specific Locations: Regional dark mantle deposits (Aristarchus Plateau, Sinus Aestuum, Sulpicius Gallus, etc.; Gaddis et al., 1985, 2003; Weitz et al., 1998); Apollo 17 site, regional DMD interbedded with lava flows (Schmitt, 1973); Local dark mantling deposits (Alphonsus crater floor and dozens of other locations; Gaddis et al., 2000; Keske et al., 2020).

**G) "Xenolithic" volcanic glass beads:**

-General Locations: Virtually all lunar mare regolith soils within tens to hundreds of km of fissure eruption source vents (Fig. 2a: Phase 1).

-Specific Locations: Pyroclastic glass beads found in regolith and core samples from Apollo 11-17 (Delano, 1986; Heiken, 1974).

**H) "Volcanic Pit Craters": Lava floor-Mounds:**

-General Locations: Settings where magmatic foams can build up and extrude (Fig. 2a: Phase 3, 4); large central pit craters, shield volcano pit crater floors (Fig. 2c), elongated collapse craters (Fig. 2b).

-Specific Locations: Large irregular mare patches (Braden et al., 2014); Ina (Garry et al., 2012; Qiao et al. 2019; Wilson and Head, 2017b); Sosigenes (Qiao et al., 2018), Cauchy 5 (Qiao et al., 2020).



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602 **Table S2-Remote Sensing and Related Human and Robotic Techniques for Regolith Protolith Exploration and Documentation**  
603 **(with selected references as examples):**

604 **Orbital and Earth-Based Remote Sensing:**

- 606 1) Surface morphology, albedo, topography: Imaging systems, altimeters: (Quaide and Oberbeck, 1968; Shkuratov and  
607 Bondarenko, 2001; Wilcox et al., 2005; Lawrence et al., 2013; Bart et al., 2011; Rosenburg et al., 2011; Kreslavsky et al., 2013;  
608 Sato et al., 2014; Bart, 2014; Di et al., 2016; Prieur et al., 2018; Qiao et al., 2019, 2020; Xie et al., 2020; Zhang et al., 2017, 2020)  
609 2) Mineralogy and alteration: VNIR spectrometers: (Hawke et al., 1989; Weitz et al., 1998; Weitz and Head, 1999; Gaddis et  
610 al., 2003; Heather et al., 2003; Besse et al., 2011; Glotch et al., 2011)  
611 3) Physical properties: Radiometry, thermal emission: (Banfield et al., 2011; Jin et al., 2007; Chan et al., 2010; Hayne et al.,  
612 2017; Feng et al., 2020; Meng et al., 2020; Siegler et al., 2020)  
613 4) Near-surface/subsurface: Radar at a wide range of wavelengths and corresponding penetration depths: (Zisk et al.,  
614 1977; Peeples et al., 1978; Shkuratov and Bondarenko, 2001; Carter et al., 2009; Ono et al., 2009; Campbell et al., 2014)  
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616 **Surface Robotic Exploration:**

- 617 1) Surface morphology, albedo, topography: (Lunokhod, Apollo and Chang'e missions; Fa and Jen, 2007; Jin et al., 2015; Lin  
618 et al. 2020)  
619 2) Ground penetrating radar at multiple wavelengths: (Yuan et al., 2017, 2020; Li et al., 2020)  
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621 **Surface Human Exploration:**

- 622 1) Astronaut operations and observations: (Apollo 11-17; representative sample of protolith rocks and derivative soils,  
623 xenolithic fragments; core tubes optimized for vertical and lateral variation of the landing region regolith; trenches and  
624 documentation of vertical stratigraphy; radial sampling of small craters to document vertical and lateral variation in the landing  
625 region; Shoemaker et al., 1969, 1970; Sutton et al., 1972; ALGIT, 1972; Ulrich et al., 1981; Wolfe et al., 1975; Schmitt, 1973;  
626 Schmitt et al., 2017)  
627 2) Soil mechanics experiments: (Carrier, 1973; Mitchell et al., 1974)  
628 3) Seismic, Surface Electrical Properties, Heat Flow, Gravimetry: (Talwani et al., 1973; Cooper et al., 1974; Langseth et al.,  
629 1976; Grimm, 2018; Kovach and Watkins, 1973)  
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631 **Laboratory Analyses:**

- 632 1) Analysis of regolith components, constituents, and relation to local bedrock and related protolith: (McKay et al., 1974;  
633 Heiken, 1974; Heiken and McKay, 1974)  
634 2) Analysis of regolith xenoliths, material not linked to local protolith: (Delano, 1986; Xie et al., 2020)  
635 3) Comparisons of samples from new sites with the Apollo-Luna baseline: (e.g., Chang'e 3, 4, 5: Zhao et al., 2014; Xiao et  
636 al., 2015; Huang et al., 2018; Qian et al., 2018, 2020)  
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638 **References:**

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