

1 **Risk factors for self-reported cataract symptoms, diagnosis, and surgery uptake among older adults in**  
2 **India: Findings from the WHO SAGE data**

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24 **Ethical Statement:** Data for this study come from Wave 1 (2007-2008) of the cross-sectional WHO Study  
25 on Global Ageing and Adult Health (SAGE) for India. The data for our study are fully anonymised by  
26 WHO, and can be downloaded by registering through the WHO Data Archive website  
27 (<http://apps.who.int/healthinfo/systems/surveydata/index.php/catalog/65>).

28 The WHO SAGE study received human subject ethics council approval from research review boards local  
29 to each site, and from the WHO Ethical Review Committee. Written Informed consent was obtained  
30 prior to interview and examination. Our study is a secondary analysis of SAGE de-identified, publicly  
31 available data, and does not require ethics committee approval.

32 **Authors' contributions:** SA conceptualised the study and wrote the first draft. SG did the data analysis.  
33 JF provided important intellectual comments, suggestions and edits to the manuscript. All authors  
34 approve the final version of the paper.

35

36 **Abstract**

37 Objectives: Visual impairments have a substantial impact on the well-being of older people, but their  
38 impact among older adults in low- and middle-income countries is under-researched. We examined risk  
39 factors for self-reported cataract symptoms, diagnosis, and surgery uptake in India.

40 Methods: Cross-sectional data from the nationally representative WHO SAGE data (2007-08) for India  
41 were analysed. We focused on a sub-sample of 6,558 adults aged 50+, applying descriptive statistics and  
42 logistic regression.

43 Results: Nearly 1-in-5 respondents self-reported diagnosed cataracts, more than three-fifths (62%;  
44 n=3,879) reported cataract symptoms, and over half (51.8%) underwent surgery. Increasing age, self-  
45 reported diabetes, arthritis, low visual acuity, and moderate or severe vision problems were factors  
46 associated with self-reported diagnosed cataracts. Odds of cataract symptoms were higher with  
47 increasing age and among those with self-reported arthritis, depressive symptoms, low visual acuity,  
48 and with moderate or severe vision problems. Odds of cataract surgery were also higher with increasing  
49 age, self-reported diabetes, depressive symptoms, and among those with low visual acuity.

50 Conclusions: A public health approach of behavioural modification, well-structured national outreach  
51 eye care services, and inclusion of local basic eye care services are recommended.

52

53 **Keywords:** Older adults; cataracts; cataract symptoms; cataract surgery; risk factors; India

54 **Introduction**

55

56 Cataracts—a clouding of the eye lens—are the principal cause of blindness and visual impairment  
57 worldwide (Nirmalan et al., 2004). They are estimated to be responsible for 51% of all cases of blindness  
58 globally, impacting about 20 million people, disproportionately aged 50+ (Mariotti, 2012; Resnikoff et  
59 al., 2004). The prevalence of age-related eye diseases is assumed to be on the rise with increasing life  
60 expectancy (Laitinen et al., 2010). Approximately 90% of cases occur in low- and middle-income  
61 countries (LMICs), representing a substantial economic and public health burden (Resnikoff et al., 2004).

62

63 While it is possible to remove cataracts through a standard surgery, typically with high success rates,  
64 access to the surgical procedure remains a problem in many LMICs; many people remain blind due to an  
65 inability to access treatment (WHO, n.d.). Older persons living with unoperated cataracts are likely to  
66 face substantially diminished quality of life due to limited vision. Decreases in functional abilities  
67 sometimes attributed to other age-related processes may actually be associated with cataracts (Yawson  
68 et al., 2014). Efforts (such as removal of cataracts) to reduce modifiable health risks may result in a  
69 postponement of initial disability and a decrease in lifetime disability.

70

71 Previous research has documented a strong association between the development of age-related  
72 cataracts and diabetes, alcohol and tobacco use, and ultraviolet light exposure (DeBlack, 2003). Other  
73 factors inconclusively implicated include body mass index (Jacques et al., 2003) and postmenopausal  
74 decline in estrogen (Hennis et al., 2004). However, studies on the prevalence of and risk factors for  
75 cataracts have been conducted mainly in white populations in the United States, Australia, and Europe  
76 (Graw et al., 2011; Landers et al., 2010; Mares et al., 2010). Less is known about risks in LMICs, where  
77 the burden is highest (Vashist et al., 2011; Wu et al., 2010; Yawson et al., 2014).

78

79 Previous estimates of cataract prevalence in India range from 25% to upwards of 58% depending on the  
80 population under observation (Gupta et al., 2007; Sobti & Sahni, 2013; Vashist et al., 2011). However,  
81 most of the limited information available is from hospital- or clinic-based studies, which likely miss the  
82 most vulnerable groups due to selection bias. Despite the public health significance of cataracts in India,  
83 there is little population-based evidence on prevalence, risk factors, and treatment. To address this gap,  
84 in this paper we examine prevalence and risk factors for self-reported diagnosed cataracts, self-reported  
85 cataract symptoms, and cataract surgery uptake in a nationally representative population aged 50+ in  
86 India.

87

88 **Materials and Methods**

89

90 *Data*

91 All data for this study come from secondary data taken from Wave 1 (2007-2008) of the World Health  
92 Organization (WHO) Study on Global Ageing and Adult Health (SAGE) for India. Data are publicly  
93 available upon request from: <https://www.who.int/healthinfo/sage/cohorts/en/index2.html>. Briefly, in  
94 India respondents were interviewed face-to-face by the WHO SAGE team via a survey instrument on a  
95 broad range of topics including sociodemographics, health risk factors, chronic conditions, well-being,

96 healthcare utilization, and health insurance coverage. A physical examination was used to collect height,  
97 weight, waist circumference, and blood pressure. Details of the survey, including sampling framework,  
98 are provided elsewhere (Kowal et al., 2012; Naidoo, 2012). The SAGE survey collected a nationally  
99 representative sample of adults aged 50+ and a smaller comparative sample aged 18–49 years (4,717  
100 men, 7,481 women) across six states (Assam, Karnataka, Maharashtra, Rajasthan, Uttar Pradesh and  
101 West Bengal). As our focus is on age-related visual impairments, we restricted the secondary data  
102 sample to men and women aged 50+ (n=7,150). We further restricted the sample to respondents for  
103 whom we did not have missing data on co-variates (n=6,558).

104

#### 105 *Independent Variables*

106 In order to assess the risks associated with sociodemographic factors, we included several categorical  
107 variables from the SAGE data in our analysis: age (50–59, 60–69, 70+ years), sex, place of residence  
108 (rural, urban), marital status (currently married, not married), education (no education, primary school  
109 or less, secondary/high school, tertiary or higher), household income quintiles, and health insurance  
110 status. We also considered lifestyle and health factors, including ever smoking tobacco (yes=1), ever  
111 consuming alcohol (yes=1), daily fruit and vegetable intake (none/insufficient (<5 servings/day),  
112 sufficient (≥5 servings/day) ), self-reported vision problems (none/mild, moderate, severe/extreme),  
113 and self-reported quality of life (good, moderate, bad). BMI values were classified into categories based  
114 on established WHO cut-offs (WHO 2000): underweight (<18.5 kg/m<sup>2</sup>), normal (18.5-24.9 kg/m<sup>2</sup>),  
115 overweight (25.0-29.9 kg/m<sup>2</sup>), and obese (≥30 kg/m<sup>2</sup>).

116

117 Together, these variables are either known correlates of sociodemographic gradients in public health  
118 broadly (e.g. education, place of residence, income) or potential risk factors for cataracts in particular  
119 (e.g. smoking, alcohol consumption, obesity). Smoking (Christen et al., 1996; Galor & Lee, 2011; Seddon  
120 et al., 1996; Thornton et al., 2005; Ye et al., 2012) and alcohol consumption (Cumming & Mitchell, 1997;  
121 Klein et al., 2003; Lindblad et al., 2007; Morris et al., 2004) are established risk factors for cataracts.  
122 Some literature also suggests the potential for fruit and vegetable consumption to reduce the risks of  
123 age-related eye diseases (Christen et al., 2005). The literature on obesity and cataract risks is more  
124 mixed, but is suggestive of a possible association that merits further investigation (Cheung & Wong,  
125 2007).

126

127 We also examined risks associated with co-morbidity. Diabetes mellitus and stroke were assessed by  
128 SAGE through a self-reported diagnosis question: “*Have you ever been told by a health  
129 professional/doctor that you have (disease name)?*” Angina pectoris, arthritis, asthma, and chronic lung  
130 disease were derived from symptom-based questions, combined with a validated diagnostic algorithm  
131 (Arokiasamy et al., 2015). Hypertension and visual acuity were assessed by the WHO SAGE data  
132 collection team through a physical examination at the time of interview. The prevalence of hypertension  
133 was based on blood pressure (systolic, diastolic) measured three times on the right arm/wrist using an  
134 automated recording device while seated (WHO & International Society of Hypertension Writing Group,  
135 2003). Following international guidelines (Parati et al., 2008; Pickering et al., 2005, 2008), an average of  
136 readings was used by the SAGE team. The first reading allowed the respondent to settle in and feel  
137 comfortable, and the second and third readings were then averaged (WHO, 2006). The limit for high

138 systolic blood pressure was 140 mm/hg or above, and for diastolic blood pressure 90 mm/hg or above;  
139 in the secondary SAGE data, we coded respondents as hypertensive if average systolic or diastolic blood  
140 pressure readings exceeded either of these thresholds or they reported current treatment for  
141 hypertension (Arokiasamy et al., 2015).

142  
143 SAGE measured visual acuity in this study using a tumbling “E” logMAR chart. We categorised  
144 respondents as having low vision (0.01–0.25 decimal) if they had low near or distance vision in both eyes  
145 (Arokiasamy et al., 2015). Symptomatic depression items were assessed based on the World Mental  
146 Health Survey version of the Composite International Diagnostic Interview (Kessler & Üstün, 2004).  
147 Using the secondary SAGE data, we coded participants who indicated at least 4 of 10 depressive  
148 symptoms that lasted 2 weeks, most of the day, or all of the day as experiencing depression (Ayuso-  
149 Mateos et al., 2010). Respondents who responded positively to ‘Have you been taking any medications  
150 or other treatment such as attending therapy or counselling sessions for depression during the last 12  
151 months?’ were also coded as depressed for our analysis (Arokiasamy et al., 2015).

### 152 153 *Dependent Variables*

154 Our key outcomes of interest were dichotomous indicators of self-reported diagnosis of cataracts,  
155 cataract surgery, and cataract symptoms taken from the SAGE data. Respectively, the WHO SAGE team  
156 asked:

- 157
- 158 • *In the last 5 years, were you diagnosed with a cataract in one or both of your eyes (a cloudiness*  
159 *in the lens of the eye) by a healthcare professional?*
- 160 • *In the last 5 years, have you had eye surgery to remove this cataract(s)?*
- 161 • *In the last 12 months have you experienced any of the following:... cloudy or blurry vision?*  
162 *...vision problems with light, such as glare from bright lights, or halos around lights?*

163  
164 Notably, SAGE Wave 1 in India included both operated and unoperated cataracts within the same  
165 question; it is therefore not possible to distinguish between diagnosed but previously removed cataracts  
166 and an unoperated cataracts. Additionally, because of the SAGE survey skip pattern, respondents who  
167 indicated that they had not been diagnosed with cataracts in the past 5 years were not asked about  
168 cataract surgery. Respondents who had not had a diagnosis could therefore still report on whether they  
169 had experienced symptoms, but are legitimately missing in our models predicting cataract surgery.

### 170 171 *Statistical analyses*

172 Chi-square tests of significance were used to examine the distribution of cataracts across independent  
173 variables. Logistic regression models were fit to determine factors associated with our dependent  
174 variables while controlling for other potential risk factors. Data were analysed using STATA version 14.

### 175 176 *Ethics approval*

177 The WHO SAGE study received human subject ethics council approval from research review boards local  
178 to each site, and from the WHO Ethical Review Committee (Kowal et al., 2012). Written Informed

179 consent was obtained prior to the WHO SAGE team’s interviews and physical examinations of  
180 participants. Our study is a secondary analysis of this SAGE de-identified, publicly available data, and  
181 therefore does not require ethics committee approval.

182

## 183 **Results**

184

185 Figure 1 shows the percentage distribution of cases who self-reported diagnosed cataracts in the last  
186 five years preceding the survey, experienced cataract symptoms in the last 12 months, and/or had  
187 cataract surgery in the last five years in the WHO SAGE, India 2007-10, capturing the overlap in these  
188 categories. Almost three-fourths (71.6%) had cataract surgery with diagnosis but didn’t have any  
189 symptoms while half (50.3%) of the respondents reported having a surgery with both diagnosis and  
190 symptoms. 56.8% reported only symptoms of cataract while 15.5% self-reported diagnosis only.

191

192 [Figure 1 here]

193

194 Table 1 gives the sample distribution and prevalence and bivariable associations of self-reported  
195 diagnosed cataracts, cataract symptoms, and cataract surgery uptake in older Indian adults by  
196 sociodemographic characteristics, lifestyle, and health-related factors. Just under one-fifth (18.7%) out  
197 of the total 6,558 older Indian adults (aged 50+) self-reported diagnosed cataracts, with higher  
198 prevalence reported in older age groups (from 10% in the 50–59 age group to as high as 32.7% in the ≥  
199 70 years group;  $p<.001$ ). Respondents currently not in a marital union reported significantly ( $p<0.001$ )  
200 higher prevalence of cataracts (27.2%), compared to currently married (15.9%) respondents. Those with  
201 no education (19%), primary or less education (20.1%), or secondary education (18%) had a higher  
202 prevalence compared to those with tertiary or higher education (11.8%;  $p=0.013$ ). Compared to those  
203 without these conditions, prevalence was significantly higher among those with hypertension  
204 ( $BP\geq 140/90$ mm/Hg) (21.5%;  $p<0.033$ ), diabetes (32.5%;  $p<0.001$ ), angina symptoms (26.9%;  $p<0.001$ ),  
205 symptoms of arthritis (26%;  $p<0.001$ ), asthma (22.9%;  $p=0.002$ ), chronic lung disease (24.1%;  $p<0.001$ ),  
206 depressive symptoms (23.6%;  $p<0.001$ ), those with low visual acuity (20.9%;  $p<0.001$ ), and those who  
207 reported severe/extreme vision problems (30.8%;  $p<0.001$ ). Gender, place of residence, household  
208 income, health insurance status, tobacco and alcohol use, fruit and vegetable intake, BMI, history of  
209 stroke, and self-reported quality of life were non-significant predictors.

210

211 More than three-fifths of the sample (62%) reported cataract symptoms. Prevalence of cataract  
212 symptoms increased with age ( $p<0.001$ ), and was higher among females (67.1%;  $p<0.001$ ), people in a  
213 rural area (64.9%;  $p<0.001$ ), those not in marital union (69.5%;  $p<0.001$ ), those with no education  
214 (68.2%;  $p<0.001$ ), and in the lowest wealth quintile household (67%;  $p<0.001$ ). Prevalence was also  
215 higher among those who were underweight (67.1%;  $p<0.001$ ), and who reported angina pectoris (75.8%;  
216  $p<0.001$ ), arthritis (72.8%;  $p<0.001$ ), asthma (73.2%;  $p<0.001$ ), chronic lung disease (77%;  $p<0.001$ ),  
217 depressive symptoms (82.5%;  $p<0.001$ ), low visual acuity (67.6%;  $p<0.001$ ), severe/extreme vision  
218 problems (83.4%;  $p<0.001$ ), and low quality of life (74.6%;  $p<0.001$ ).

219

220 More than half the respondents (51.8%) reported that they had a cataract surgery in the last five years.  
221 Cataract surgery uptake also increased with age (37.8%-59.7% from age groups 50-59 to 70 and above;  
222  $p < 0.001$ ), and was higher among those living in urban areas (55.6%;  $p < 0.001$ ), belonging to highest  
223 wealth quintile households (60.2%;  $p = 0.035$ ), who never consumed tobacco (56.3%;  $p < 0.001$ ) nor  
224 alcohol (53.9%;  $p = 0.001$ ), who consumed fewer fruits and vegetables (53.0%;  $p = 0.007$ ), with  
225 hypertension (53.8%;  $p = 0.052$ ), diabetes (69%;  $p < 0.001$ ), chronic lung disease (52.3%;  $p = 0.008$ ), no/mild  
226 vision problems (58.3%;  $p < 0.001$ ), and who self-reported a good quality of life (62%;  $p = 0.001$ ).

227  
228 Table 2 provides results for the multivariable logistic regression analysis. Model 1 shows results  
229 predicting self-reported diagnosed cataracts. Controlling for all else, the risk of cataracts was greater in  
230 60-69 years (AOR:1.90; 95% CI:1.61-2.25) and 70+ years (AOR:3.61; 95% CI:2.99-4.34) age groups  
231 compared to adults aged 50-59, with risk greatly increasing with age. The likelihood of reporting  
232 cataracts was lower among those who were currently married (AOR:0.81; 95% CI:0.69-0.96) than those  
233 not in marital union, and those with secondary education (AOR:1.54; 95% CI:1.05-2.26) compared to  
234 tertiary education. Older adults who reported diabetes (AOR:1.44; 95% CI:1.13-1.83), arthritis  
235 (AOR:1.42; 95% CI:1.22-1.65;  $p < 0.0001$ ), low visual acuity (AOR:1.64; 95% CI:1.39-1.94) or reported  
236 moderate (AOR:1.35; 95% CI:1.14-1.60;  $p < 0.0001$ ) or severe/extreme (AOR:2.13; 95% CI:1.79-2.53)  
237 vision problems had a higher risk for self-reported cataracts than those without these conditions.

238  
239 Model 2 shows that, compared to those aged 50-59, risk of reporting cataract symptoms was higher  
240 among those aged 60-69 (AOR:1.14; 95% CI:1.01-1.30) and 70+ (AOR:1.62; 95% CI:1.38-1.91). Those in  
241 rural areas had a lower risk of reporting cataract symptoms (AOR:0.75; 95% CI:0.65-0.86), while those  
242 with no education (AOR:1.51; 95% CI:1.13-2.01) faced a higher risk compared to those with tertiary  
243 education. Controlling for all else, tobacco users (AOR:0.88; 95% CI:0.77-0.99) and those with  
244 hypertension (AOR:0.85; 95% CI:0.75-0.96) had a slightly lower risk. Risks were higher for those who  
245 reported angina (AOR:1.40; 95% CI:1.11-1.76), arthritis (AOR:1.50; 95% CI:0.1.31-1.71), asthma  
246 (AOR:1.24; 95% CI:1.01-1.53), depressive symptoms (AOR:2.12; 95% CI:1.71-2.63), low visual acuity  
247 (AOR:1.62; 95% CI:1.44-1.83), and moderate (AOR:2.72; 95% CI:2.38-3.11) or severe vision problems  
248 (AOR:3.67; 95% CI:3.13-4.32).

249  
250 Results for cataract surgery are provided in Model 3. Odds for uptake of surgery were higher with  
251 increasing age (ages 60-69 AOR:2.11; 95% CI:1.53-2.93; ages 70+ AOR: 3.31; 95% CI:2.34-4.68) and  
252 among those with depressive symptoms (AOR:1.73; 95% CI:1.18-2.52). Those who used tobacco  
253 (AOR:0.75; 95% CI:0.57-1.00), consumed sufficient fruits and vegetables (AOR:0.62; 95% CI:0.41-0.92),  
254 had moderate (AOR:0.65; 95% CI:0.48-0.89) to severe/extreme vision problems (AOR:0.36; 95% CI:0.27-  
255 0.49), and reported moderate (AOR:0.74; 95% CI:0.56-0.98) or bad (AOR:0.53; 95% CI:0.33-0.83) quality  
256 of life had lower odds of uptake of cataract surgery.

## 257 258 **Discussion**

259  
260 Worldwide, cataracts are a major cause of avoidable blindness; without appropriate planning, cataracts  
261 are likely to burden healthcare systems in LMICs as life expectancy increases (Sobti & Sahni, 2013). Our

262 results suggest India is not an exception to this trend. We found cataracts are highly prevalent among  
263 older adults in India, with approximately 1-in-5 people reporting a diagnosis. Even accounting for other  
264 risk factors, we found an increased risk of cataracts with increasing age and for individuals with other  
265 conditions, including diabetes, arthritis, depression, and lower visual acuity. These findings, which  
266 provide population-level results, are consistent with previous regional and hospital-based studies in  
267 India (Mukesh et al., 2006; Singh et al., 2019; Vashist et al., 2011).

268  
269 Older adults living without a partner (separated/divorced/widowed) reported a higher prevalence of  
270 cataracts compared to married individuals. This may imply that older persons living alone are less likely  
271 to access healthcare services (Yawson et al., 2014), related to other social support factors. Older adults  
272 with visual impairments may have multiple disabilities, and would need more assistance (physical, social  
273 and economic) to access eye care services. Living alone may limit the availability of this assistance.

274  
275 Persons with higher education, higher income, and health insurance all had a significantly higher risk of  
276 cataracts, similar to findings from a rural population of southern India (Nirmalan et al., 2004). Wealthier,  
277 more educated individuals may be better-able to access eye care services due to knowledge of services,  
278 ability to afford costs involved in seeking healthcare, and improved financial access to healthcare  
279 through the national health insurance scheme (Yawson et al., 2014). Conversely, individuals with less  
280 education may not seek preventive/appropriate eye care services. Improved literacy among the elderly  
281 may encourage timely visits to medical facilities for early diagnosis and treatment.

282  
283 Prevalence of self-reported cataracts was also relatively higher in persons with other health-related  
284 factors such as diabetes, hypertension, angina, asthma, chronic lung disease, depressive symptoms, and  
285 low visual acuity. These findings agree with those in other studies in other LMICs that found similar  
286 associations (Mukesh et al., 2006; Nirmalan et al., 2004; Yawson et al., 2014).

287  
288 Risk factors for symptoms and diagnosis differed somewhat across outcomes, possibly reflecting  
289 differences in health knowledge and healthcare access. For instance, respondents who had used  
290 tobacco had a lower prevalence of self-reported cataracts. One possibility is that individuals who smoke  
291 infrequently/not at all are more health-conscious, and are therefore more likely to visit physicians  
292 regularly. This would increase the risk of diagnosis without impacting the risk of cataracts overall, and  
293 would therefore result in a positive association seen here. Similarly, because questions regarding  
294 surgery were only asked of those with a diagnosis, these models include a group that has selected into  
295 healthcare access.

296  
297 Although the SAGE data are now somewhat dated, they represent the most recent population-level data  
298 of this nature for the elderly population in India, and so remain an important source of data for  
299 documenting cataract risks at the population level. Cataracts account for a large portion of the burden  
300 of non-communicable diseases in this age group, but estimates for the prevalence of self-reported  
301 cataracts obtained from previous hospital-based/clinical data sources have varied widely (Gupta et al.,  
302 2007; Sobti & Sahni, 2013; Vashist et al., 2011). Undiagnosed cataracts going undetected in medical  
303 studies suggests the strong potential for a downward bias in previous estimates using medical data. At



304 the national level in India, there is limited nationally representative scientific data on prevalence of self-  
305 reported cataracts. The WHO SAGE data provide much-needed population level evidence on self-  
306 reported cataract prevalence, diagnosis, and surgery among older adults.

307  
308 Our study provides critical insights into the vast heterogeneity of the problem of cataracts among older  
309 people within India. The SAGE data offer a novel opportunity to investigate pathways of interaction  
310 between sociodemographics, health behaviours, and self-reported cataract symptoms, diagnosis, and  
311 surgery uptake. As data from the longitudinal component of SAGE become available in the future, it will  
312 be possible to develop and test hypotheses that build from the results on the prevalence and correlates  
313 of self-reported cataracts presented here.

314  
315 Using the WHO SAGE data, we were able to identify possible factors that contribute to vulnerability and  
316 resilience, with the aim of targeting public health programs toward those most likely to suffer from self-  
317 reported cataracts in India. Insights from our study may also be relevant to other low- and middle-  
318 income countries in which cataract studies to date have typically focused on national average statistics  
319 without identifying sociodemographic risk factors, or which have primarily relied on hospital- and clinic-  
320 based data.

321  
322 Our study identifies sociodemographic groups at risk of having an unmet need for cataract surgery—  
323 crucially, this includes those who would be overlooked in data from medical settings. While the specific  
324 prevalence of cataracts and population composition may feasibly have shifted somewhat since the SAGE  
325 data were collected, changes in population composition in terms of sociodemographics are traceable  
326 through other national-level data sources, even where cataract prevalence itself cannot be tracked. The  
327 unique insights into sociodemographic risk factors our findings provide can thus be used in combination  
328 with more recent sociodemographic data to identify areas of the country where health systems might  
329 face particular challenges. Our findings also indicate the need for policymakers to address gaps in the  
330 health care system in the form of unmet need.

331  
332 A public health approach of behavioural modification (for modifiable and preventable risks, e.g. obesity)  
333 may improve the eye health of older persons in India. Our findings suggest that greater engagement  
334 with the healthcare system is associated with greater rates of diagnosis. For older adults, a holistic  
335 approach to clinical practice, in which physicians screen for a range of age-related conditions (e.g.  
336 cataracts) during visits for specific illnesses, may reduce the risk of undiagnosed cataracts, and may  
337 encourage treatment, foster a higher quality of life, and reduce risks of co-morbidity.

### 338 339 *Limitations*

340 Previous work has primarily focused on particular regions or hospital-based samples, and may therefore  
341 suffer from selection bias. Our study uses large, nationally representative data from India, a middle-  
342 income country experiencing increasing non-communicable disease risk (Oyebode et al., 2015). A key  
343 strength of our approach is that we were able to examine risks for populations who may not seek care  
344 (for various reasons, potentially including structural barriers to accessing care) and so would be omitted

345 samples from medical settings. As a result, we were able to shed light on risks for an under-represented  
346 population.

347  
348 However, our measure of cataracts relies on self-reported diagnosis which may result in  
349 underestimation of prevalence rates compared to measured rates (Andresen et al., 2005). It is possible  
350 that there are sociodemographic differences in both access to physicians and other health risks. Thus,  
351 increased prevalence may potentially imply improved access to healthcare rather than an increased disease  
352 burden. To address this concern, we also examined self-reported symptoms of cataracts. Taking into  
353 account the possible bias introduced by disease prevalence derived from self-reported physician  
354 diagnosis (Allotey et al., 2014; Basu & King, 2013; Hosseinpoor et al., 2012; Levesque et al., 2013), WHO  
355 SAGE incorporated a number of alternate methods of estimating disease – using a mixture of self-  
356 reported diagnosis cum validated symptom reporting-based diagnostic algorithms, and objective health  
357 measurements criteria (Arokiasamy et al., 2015; Kowal et al., 2012; Naidoo, 2012). These measures  
358 point to the robustness and validity of the self-reported diagnosis measure used here.

359  
360 It is possible that recall bias and respondents' baseline level of knowledge about ocular conditions could  
361 impact both on accurate recollection of diagnosis, and also on self-reporting of symptoms. This concern  
362 could likewise apply to other self-reported health conditions, such as diabetes. Linking self-reports to  
363 hospital data for diagnosis and health conditions could help to mitigate this risk, but was not possible  
364 using the anonymized SAGE data.

365  
366 However, the SAGE team recognized population-specific risks to reliability and validity, and took every  
367 reasonable measure to mitigate these risks (WHO, 2006). Specifically, where respondents were unable  
368 to respond for themselves, either due to physical or mental limitations on their capacity to respond,  
369 proxy respondents were invited to respond where possible. The team also used cards with written  
370 prompts to provide standardized clarification on any concepts with which respondents might have  
371 struggled. SAGE interviewers were trained to identify and address a range of population-specific  
372 challenges (e.g. difficulty understanding the question, misinterpretation of the question, digression from  
373 the topic, providing incomplete or unclear information) in order to minimize the risk of bias.

374  
375 Previous research suggests that the use of symptom-based and criterion-based measures of diseases  
376 from population surveys can be a viable option for tracking disease prevalence. A study by Vellakkal et  
377 al. (2013) based on WHO-SAGE data revealed that the socioeconomic patterning of non-communicable  
378 disease (NCD) prevalence differs markedly when assessed by standardized criteria versus self-reported  
379 diagnoses, indicating likely under-diagnosis and under-reporting of diseases among the poor. Another  
380 study (Vellakkal et al., 2015), also using SAGE data, showed that socioeconomic inequalities in NCD  
381 prevalence tend to be artefactually positive when using self-report measures compared with symptom-  
382 based or criterion-based diagnostic criteria, with greater bias occurring in low-income countries. The  
383 authors concluded that using standardised, symptom-based measures, as is the WHO SAGE practice,  
384 provides more valid estimates of NCD inequalities.

385

386 Thus, although there is a risk of bias, this risk has been addressed to the greatest extent possible, and is  
387 balanced against the significant benefit of a large sample from a representative population survey (as  
388 noted above). This is especially beneficial for highlighting the experiences of groups with limited  
389 healthcare access, who may face a particularly high risk of unoperated cataracts, but who would be  
390 omitted from hospital- and clinic-based samples.

391  
392 In a similar vein, we found strong evidence of co-morbidity, with higher prevalence of cataracts among  
393 those with chronic conditions such as diabetes, arthritis, and depression. Rather than this representing a  
394 causal link, it is possible that individuals with other conditions may interact with healthcare  
395 professionals more frequently, and may be therefore be more likely to receive care for and diagnosis of  
396 a range of health problems, including cataracts.

397  
398 It was not possible to distinguish between cataracts which had been surgically removed and unoperated  
399 cataracts. While not problematic for estimating prevalence, it is likely that some sociodemographic  
400 groups are at increased risk of unoperated cataracts arising from limited access to healthcare. Our  
401 analysis, however, provides information on the prevalence of self-reported diagnosed cataracts among  
402 older persons across India, and will serve as a useful starting point for further investigations.

403

#### 404 *Conclusion*

405

406 Cataracts remain a major public health problem in India, particularly among older adults and those living  
407 without a partner. Risk modification through primary prevention and health promotion efforts may  
408 contribute to reduced risk at the population level. Likewise, behavioural modification, including through  
409 public health campaigns, are key efforts to limit the burden of cataracts in India. Well-structured  
410 national outreach eye care services for rural residents and inclusion of basic eye health services at sub-  
411 district health levels of India's primary healthcare structure are needed. Routine clinical screening for a  
412 range of age-related conditions such as cataracts may increase diagnosis, treatment, and overall quality  
413 of life.

414

415

416

417 **Conflict of interest:** None to declare

418 **References**

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558 **Table 1** Sample distribution and prevalence of self-reported diagnosed cataracts, cataract symptoms  
559 and cataract surgery uptake in older adults (50 and above) by socioeconomic and demographic  
560 characteristics and health related factors in India, WHO-SAGE Wave 1, 2007-2010.  
561

Characteristics	Sample distribution %[n]	Self-reported diagnosed cataracts %[n=6558]	Chi-square P value	Cataract symptoms %[n=6557]	Chi-square P value	Cataract surgery %[n=1293]	Chi- square P value
<b>Total</b>	100.0[6558]	18.7		62.0		51.8	
<b>Age groups</b>			<0.001		<0.001		<0.001
50-59	44.1[2939]	10.0		55.6		37.8	
60-69	30.3 [2234]	19.5		61.2		51.1	
70 and above	25.6 [1385]	32.7		73.8		59.7	
<b>Sex</b>			0.801		<0.001		0.080
Male	50.5 [3303]	17.0		56.9		48.5	
Female	49.5 [3255]	20.4		67.1		54.5	
<b>Place of residence</b>			0.075		<0.001		<0.001
Urban	31.2 [1676]	18.3		55.4		55.6	
Rural	68.8 [4882]	18.9		64.9		50.2	
<b>Marital status</b>			<0.001		<0.001		0.200
Currently married	74.9 [4861]	15.9		59.4		51.2	
Not in marital union	25.1 [1697]	27.2		69.5		52.8	
<b>Education</b>			0.013		<0.001		0.506
No education	51.6 [3364]	19.0		68.2		55.2	
Primary school or less	24.9 [1674]	20.1		59.8		46.7	
Secondary/high school	18.4 [1195]	18.0		53.2		49.8	
Tertiary or higher	5.2 [325]	11.8		41.1		48.3	
<b>Household income quintiles</b>			0.349		<0.001		0.035
Q1 (Lowest)	18.1 [1062]	16.4		67.0		44.2	
Q2	19.3 [1218]	18.2		63.6		49.8	
Q3	18.7 [1206]	17.7		64.7		42.5	
Q4	19.6 [1407]	18.4		58.8		56.9	
Q5 (Highest)	24.2 [1627]	21.8		57.7		60.2	
<b>Health insurance status</b>			0.866		0.074		0.431
Without insurance	96.1 [6252]	18.7		62.4		52.5	
With insurance	3.9 [306]	18.8		50.9		34.3	
<b>Tobacco ever use</b>			0.775		0.278		<0.001
Yes	54.4 [3448]	18.2		61.6		47.9	
No	45.6 [3109]	19.3		62.3		56.3	
<b>Ever alcohol intake</b>			0.391		0.119		0.001
Yes	15.4 [1039]	18.9		61.9		40.5	
No	84.6 [5519]	18.7		62.0		53.9	
<b>Daily fruits or vegetable intake</b>			0.672		0.411		0.007
No or insufficient (<5 servings/day)	90.8 [5858]	18.8		62.2		53.0	
Sufficient (>=5 servings/day)	9.2 [700]	18.0		59.8		40.6	
<b>Measured BMI status</b>			0.573		<0.001		0.627
Normal (18.5-24.9kg/m <sup>2</sup> )	47.5 [3205]	17.5		59.1		50.9	
Underweight (<18.5kg/m <sup>2</sup> )	39.3 [2240]	19.6		67.1		49.9	
Overweight (≥25.0 kg/m <sup>2</sup> )	13.2 [922]	19.4		55.7		58.2	
<b>Hypertension</b>			0.033		0.092		0.052
BP<140/90mmHg	72.4 [4575]	17.9		63.2		50.9	
BP≥140/90mmHg	27.6 [1879]	21.5		58.8		53.8	
<b>Diabetes</b>			<0.001		0.864		<0.001
No	92.9 [6080]	17.7		62.0		49.5	
Yes	7.1 [478]	32.5		61.6		69.0	
<b>Stroke</b>			0.431		0.233		0.018

No	98.0 [6410]	18.6		61.8	51.8	
Yes	2.0 [147]	22.3		71.2	52.4	
<b>Angina pectoris</b>			<0.001		<0.001	0.439
No	91.7[6042]	18.0		60.7	51.1	
Yes	8.3 [516]	26.9		75.8	57.1	
<b>Arthritis</b>			<0.001		<0.001	0.132
No	75.5[4975]	16.3		58.5	53.2	
Yes	24.5[1581]	26.0		72.8	49.0	
<b>Asthma</b>			0.002		<0.001	0.090
No	88.7[5805]	18.2		60.5	52.7	
Yes	11.3[753]	22.9		73.2	45.7	
<b>Chronic lung disease</b>			<0.001		<0.001	0.008
No	83.8[5515]	17.7		59.0	51.7	
Yes	16.3[1043]	24.1		77.0	52.3	
<b>Depression</b>			<0.001		<0.001	0.564
No	87.6[5835]	18.0		59.1	52.1	
Yes	12.4[722]	23.6		82.5	50.0	
<b>Low visual acuity</b>			<0.001		<0.001	0.066
No	30.2 [2055]	12.5		47.4	62.4	
Yes	69.8 [4350]	20.9		67.6	49.1	
<b>Self-reported vision problem</b>			<0.001		<0.001	<0.001
None/mild	54.0 [3440]	14.1		47.4	58.3	
Moderate	24.4 [1690]	18.2		75.1	49.5	
Severe/extreme	21.6 [1424]	30.8		83.4	46.0	
<b>Self-reported quality of life</b>			0.114		<0.001	0.001
Good	35.8 [2180]	19.0		53.2	62.0	
Moderate	53.3 [3638]	18.5		65.2	47.7	
Bad	10.9 [729]	19.0		74.6	38.7	

562

563

564 **Table 2** Factors associated with self-reported diagnosed cataracts in older adults (50 and above) in India,  
 565 WHO-SAGE Wave 1, 2007-2010.

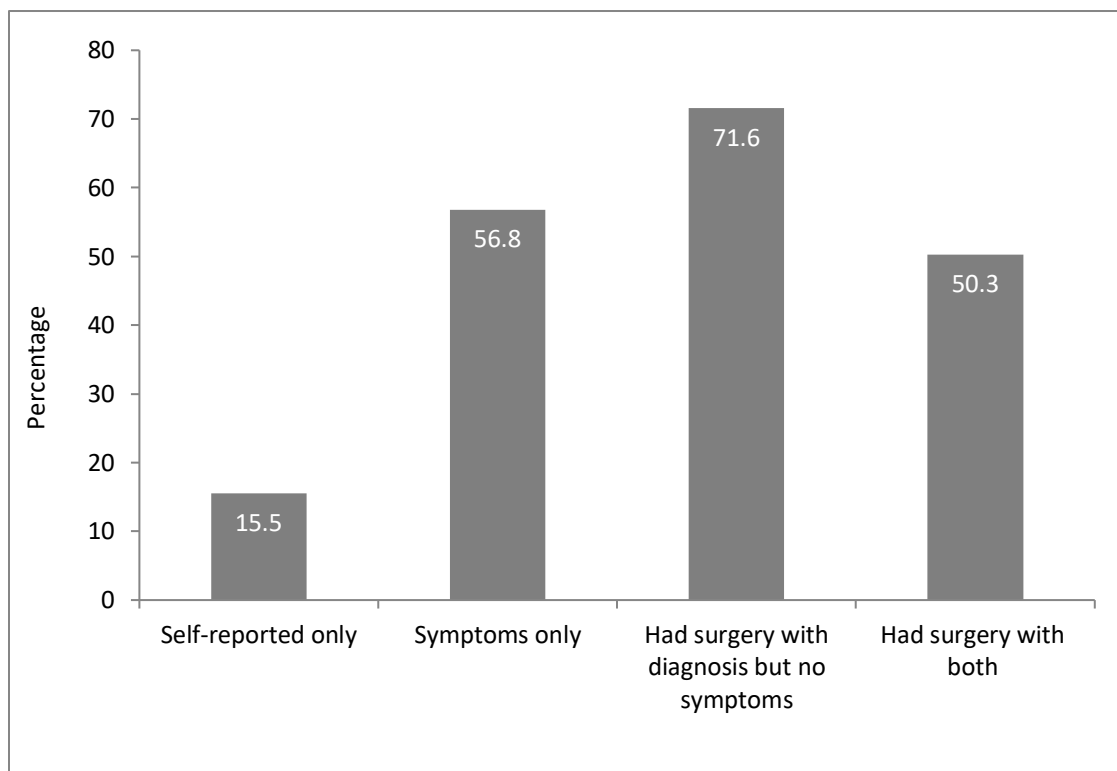
Characteristics	Model 1		Model 2		Model 3	
	Self-reported diagnosed cataracts (n=6217)		Cataract symptoms (n=5031)		Cataract surgery (n=1185)	
	Adjusted OR[95%CI]	P value	Adjusted OR[95%CI]	P value	Adjusted OR[95%CI]	P value
<b>Age groups</b>						
50-59	1		1		1	
60-69	1.90 [1.61-2.25]	<0.001	1.14 [1.01-1.30]	0.040	2.11 [1.53-2.93]	<0.001
70 and above	3.61 [2.99-4.34]	<0.001	1.62 [1.38-1.91]	<0.001	3.31 [2.34-4.68]	<0.001
<b>Sex</b>						
Male	1					
Female	0.91 [0.76-1.09]	0.301	1.13 [0.97-1.31]	0.106	1.12 [0.80-1.58]	0.498
<b>Place of residence</b>						
Urban	1		1		1	
Rural	0.91 [0.77-1.08]	0.264	0.75 [0.65-0.86]	<0.001	0.74 [0.55-1.01]	0.057
<b>Marital status</b>						
Not in marital union	1		1		1	
Currently married	0.81 [0.69-0.96]	0.013	1.00 [0.87-1.16]	0.966	1.02 [0.76-1.37]	0.907
<b>Education</b>						
No education	1.27 [0.86-1.90]	0.233	1.51 [1.13-2.01]	0.005	1.62 [0.75-3.49]	0.219
Primary school or less	1.47 [1.00-2.16]	0.052	1.25 [0.94-1.65]	0.125	1.07 [0.51-2.26]	0.854
Secondary/high school	1.54 [1.05-2.26]	0.028	1.13 [0.86-1.50]	1.374	1.22 [0.58-2.56]	0.606
Tertiary or higher	1		1		1	
<b>Household income quintiles</b>						
Q1 (Lowest)	1		1		1	
Q2	1.11 [0.87-1.41]	0.396	1.00 [0.82-1.21]	0.802	1.24 [0.81-1.91]	0.322
Q3	1.02 [0.80-1.31]	0.848	1.01 [0.83-1.22]	0.959	1.01 [0.65-1.56]	0.967
Q4	1.18 [0.93-1.51]	0.173	0.98 [0.80-1.19]	0.871	1.38 [0.89-2.15]	0.146
Q5 (Highest)	1.26 [0.98-1.63]	0.071	0.99 [0.80-1.21]	0.885	1.28 [0.81-2.01]	0.285
<b>Health insurance status</b>						
Without insurance	1		1		1	
With insurance	1.010 [0.79-1.52]	0.573	1.23 [0.95-1.61]	0.121	0.79 [0.43-1.45]	0.446
<b>Tobacco ever use</b>						
Yes	0.91 [0.78-1.06]	0.234	0.88 [0.77-0.99]	0.042	0.75 [0.57-1.00]	0.049
No	1		1		1	
<b>Ever alcohol intake</b>						
Yes	1.22 [1.00-1.49]	0.052	1.08 [0.92-1.28]	0.332	0.79 [0.55-1.14]	0.208
No	1		1		1	
<b>Daily fruits or vegetable intake</b>						
No or insufficient (<5 servings/day)	1		1		1	
Sufficient (>=5 servings/day)	0.97 [0.77-1.21]	0.780	1.04 [0.87-1.25]	0.652	0.62 [0.41-0.92]	0.018
<b>Measured BMI status</b>						
Normal (18.5-24.9kg/m <sup>2</sup> )	1		1		1	
Underweight (<18.5kg/m <sup>2</sup> )	0.96 [0.82-1.12]	0.584	0.99 [0.87-1.13]	0.695	1.07 [0.80-1.41]	0.654
Overweight (≥25.0 kg/m <sup>2</sup> )	1.06 [0.86-1.31]	0.578	1.01 [0.85-1.19]	0.915	0.99 [0.67-1.46]	0.963
<b>Hypertension</b>						
BP<140/90mmHg	1		1		1	
BP≥140/90mmHg	1.07 [0.93-1.25]	0.348	0.85 [0.75-0.96]	0.010	0.96 [0.73-1.25]	0.743
<b>Diabetes</b>						
No	1		1		1	
Yes	1.44 [1.13-1.83]	0.003	1.10 [0.88-1.37]	0.402	1.28 [0.84-1.96]	0.254
<b>Stroke</b>						
No	1		1		1	
Yes	1.06 [0.68-1.64]	0.811	1.10 [0.74-1.62]	0.647	2.31 [0.97-5.46]	0.057

<b>Angina pectoris</b>						
No	1		1		1	
Yes	1.20 [0.95-1.52]	0.135	1.40 [1.11-1.76]	0.005	0.89 [0.58-1.34]	0.567
<b>Arthritis</b>						
No	1		1		1	
Yes	1.42 [1.22-1.65]	<0.001	1.50 [1.31-1.71]	<0.001	0.77 [0.59-1.01]	0.063
<b>Asthma</b>						
No	1		1		1	
Yes	0.90 [0.71-1.13]	0.356	1.24 [1.01-1.53]	0.045	0.87 [0.58-1.33]	0.529
<b>Chronic lung disease</b>						
No	1		1		1	
Yes	1.16 [0.94-1.42]	0.164	1.14 [0.95-1.37]	0.163	0.79 [0.55-1.13]	0.196
<b>Depression</b>						
No	1		1		1	
Yes	1.20 [0.97-1.49]	0.088	2.12 [1.71-2.63]	<0.001	1.73 [1.18-2.52]	0.005
<b>Low visual acuity</b>						
No	1		1		1	
Yes	1.64 [1.39-1.93]	<0.001	1.62 [1.44-1.83]	<0.001	0.90 [0.66-1.23]	0.496
<b>Self-reported vision problem</b>						
None/mild	1		1		1	
Moderate	1.35 [1.14-1.60]	<0.001	2.72 [2.38-3.11]	<0.001	0.65 [0.48-0.89]	0.007
Severe/extreme	2.13 [1.79-2.53]	<0.001	3.67 [3.13-4.32]	<0.001	0.36 [0.27-0.49]	0.000
<b>Self-reported quality of life</b>						
Good	1		1		1	
Moderate	0.96 [0.82-1.12]	0.612	1.12 [0.99-1.27]	0.074	0.74 [0.56-0.98]	0.038
Bad	0.85 [0.66-1.10]	0.227	1.11 [0.89-1.39]	0.345	0.53 [0.33-0.83]	0.006

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568 Figure 1. Percentage distribution of overlapping cases for self-reported diagnosed cataracts, symptoms,  
569 and surgery, WHO SAGE, India 2007-10.



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