

26 procedures and births doubled between 2016 and 2018, from 25-52, and 5-10 respectively (Jones et al, 2019: 1311),
 27 and additional trials are currently being planned in Australia, Belgium, Japan, Sweden, and the UK.

28

29 As the number of cases performed increases so too does the volume of potential data that may be gathered in both
 30 the short and long-term to inform the development, practice, and regulation of UTx. Given the value of such data,
 31 along with the challenges of keeping track of cases and outcomes, especially where data remains unpublished and/or
 32 scattered, scientists and academics conducting research into UTx have increasingly called for the swift creation,
 33 implementation, and management of “an international registry of uterus transplantation cases with follow-up of
 34 patients, children and donors.” (International Society of Uterus Transplantation, 2017) The establishment of this
 35 registry has now been announced, but it is still in the development stages, with little information currently available
 36 regarding its proposed aims, scope.³ In what follows we – through analysis of the ethical, scientific and legal literature
 37 surrounding medical registries and work undertaken by the International Society for Uterus Transplantation (ISUTx)
 38 – explore: the potential benefits for both physicians, patients, and wider stakeholders that may be provided by the
 39 creation of an International Registry for Uterus Transplantation (IRUTx); how to determine the *kinds of information*
 40 that should be collected and collated; and a number of ethical and legal challenges that the development of an
 41 international registry for UTx may pose in terms of consent, privacy, researcher compliance, and governance.

42

43 2. Designing the International Registry for Uterus Transplantation: Aims and Scope

44

45 In late 2017, during the ‘1st Congress of International Society for Uterus Transplantation (ISUTx)’, the then ISUTx
 46 President and leader of the Swedish uterus transplantation team, Professor Mats Brännström, together with Dr. César
 47 Díaz García, presented the Society’s proposal to design and manage an international registry of uterus transplantation
 48 cases. (International Society of Uterus Transplantation, 2017) They set forth the first detailed plans available for the
 49 design and development of what they described as a “Patient-based, user-friendly, centralized, secure, anonymous,
 50 exhaustive and specific” registry of UTx cases. (Brännström et al, 2017) Their stated aims for the registry were to
 51 provide “a strong body of knowledge” with “validated data on: efficacy, safety [and] other outcomes” (Brännström,
 52 et al, 2017) for donors, recipients and children born through UTx. An update on the Society’s progress and a first
 53 look at an extensive draft version of the registry was provided a year later at the ‘1st State of the Art Meeting of ISUTx’

† Dr’s Hammond-Browning and Williams consider that they should be regarded as joint first authors.

³ See section 2 for further details

54 (International Society of Uterus Transplantation, 2018) The Society's intentions for the registry were then confirmed
55 in a 2019 paper which stated:

56

57 A global UTx registry, containing data in relation to donor, recipient, surgery, immunosuppression, and
58 pregnancy, has been created by ISUTx. Future annual reports and registry-based research studies will provide
59 results on activity and scientific results within this emerging field of infertility treatment, with major elements
60 of both reproductive surgery and assisted reproduction. (Brännström et al, 2019:9)

61

62 The launch of the registry was then confirmed in a newsletter distributed to the members of ISUTx from the Society
63 in January 2020. However, as has been confirmed by private communication with the President and past President of
64 the Society, the registry is, at the time of writing this paper (April 2020) still under construction and there is very little
65 publicly available information regarding its planned aims and scope. We argue that it is vital for ISUTx to establish
66 the purpose of the registry from the outset as it is *only* once the purpose and goals of the registry are determined that
67 the data required to achieve these goals can be identified (PARENT Cross border Patient Registries iNiTiative.
68 2015:6.3) From the little information that is available it can be noted that the proposed scope of the registry seems
69 rather broad with plans to utilize the information of which it is comprised for research, educational, and oversight
70 purposes by or for the benefit of) a number of different possible stakeholder groups, such as donors, recipients, future
71 beneficiaries of UTx research, women with AUFU, children created through UTx, physicians/teams
72 conducting/planning to conduct UTx trials, psychologists, regulators, funders, journalists, and academics. A broad
73 scope is not necessarily disadvantageous. For, clearly defined and well managed registries serving multiple purposes
74 are able to provide greater benefits for stakeholders than those with a more limited remit. In the context of the IRUTx
75 these benefits are likely to include (but may not be limited to):

76

- 77 • Increases in data reliability through reduction of challenges for patient safety, and research and development,
78 associated with reporting biases such as publication and time lag bias; *and* the use of different measurement
79 tools for the same/similar outcomes through standardization of data reported;
- 80 • The production (and encouragement) of more and better-informed comparative research due to access to
81 higher quality, centralized, and standardized data on existing cases as well as published literature;
- 82 • Greater awareness of AUFU and UTx among members of the public and journalists through information
83 disseminated on the registry website, and newsletters.

- 84 • Greater levels of understanding of AEFI and UTx among stakeholders lacking access to the academic
85 literature or unpublished data such as journalists and members of the public resulting from the availability
86 of up to date, verified, and centrally located information (in the form of annual reports of key outcomes).
- 87 • Higher quality patient consent due to ability of individual research teams to more accurately inform patients
88 about *current state of knowledge* of risks and benefits of UTx;
- 89 • Better patient safeguarding through faster identification of technique variations/patient
90 groups/teams/individual physicians etc. demonstrating poor patient outcomes; and/or
- 91 • Reductions in duration of the surgical learning curve for teams preparing to trial the procedure and
92 subsequent reductions in patient risks associated with this.⁴

93

94 To achieve such a wide range of benefits, however, the data collected and collated in the IRUTx must be sufficiently
95 *complete, accurate, and relevant* to the interests of the stakeholders it serves, and *wholly compliant* with national and
96 international guidelines regarding data protection and privacy. It is therefore imperative, in order to ensure that the
97 time, effort, resources, and information funnelled into establishing and operating the registry are not wasted, that the
98 IRUTx is carefully designed to maximise the chances that it will embody these four characteristics. *Completeness and*
99 *accuracy* will, of course, primarily be achieved through the management, funding, and population of the registry once
100 launched. Steps, however, can be taken at the design stage of the registry to increase their likelihood such as through
101 a clear management structure; the creation of viable funding strategies; and ensuring easy access, an intuitive end user-
102 interface for those depositing data in the registry.

103

104 Ensuring relevance for stakeholders and/or deciding which potential stakeholders the registry should cater for,
105 however, requires significant effort at the design stage as it can be noted that once the registry is up and running it
106 may prove difficult, costly, and time consuming to add and complete datasets later stage. The initial process of selecting
107 and building data sets may determine the final success of the IRUTx and is one of the most important and challenging
108 tasks of a new registry (Zaletel et al, 2015:s. 6.3). For, in determining the datasets to include in the IRUTx those
109 designing it must seriously consider a number of practical and ethical considerations regarding: the diverse priorities
110 and interests of different stakeholder groups, and their views regarding the relative importance of including different
111 datasets; the weightiness of the interests and preferences of different stakeholders regarding the inclusion/exclusion

⁴ These suggested benefits are partially based on those suggested by Brännström and García in their 2017 presentation at the 1st Congress of the ISUTx (cited previously).

112 of different datasets; and, the level of priority (if any) to accord to the interests of those who contribute to the registry
113 or are most burdened by its creation such as patients (who provide their data), UTx teams (who record their cases),
114 and those who fund the registry.

115

116 Those designing the IRUTx will therefore be forced to acknowledge and explore *how* different prospective registry
117 designs could serve to advance or set back the interests of different stakeholder groups, and engage the key ethical
118 principles often raised by research involving human volunteers: respect for persons, beneficence/non-maleficence,
119 and justice (National Commission for the Protection of Human Subjects of Biomedical and Behavioural Research,
120 1978). A key question in such discussions will likely be how to balance the privacy and welfare interests of those whose
121 personal information is included in the registry (donors, recipients and children) against the welfare interests of future
122 beneficiaries of UTx research, and the interests of researchers and funders. For, while a concern for the interests of
123 the former group generally motivates consideration of necessity and the limitation of datasets included in the registry
124 a concern to maximise benefits for the latter tends to push in the opposite direction. How to balance such
125 considerations and settle on a registry design that is acceptable to all stakeholders has been the subject of some
126 discussion in the literature surrounding clinical trials where initiatives like SONG (standardized outcomes in
127 Nephrology), OMERACT (outcome measures in Rheumatology)and COMET (Core Outcome Measures in
128 Effectiveness Trials) have proposed a number of methods for establishing core datasets for clinical trials (SONG
129 Initiative, 2017; Boers et al, 2014; Williamson et al, 2017) These may prove useful in the design of the IRUTx and
130 have included: systematic literature reviews; the use of focus groups; stakeholder interviews; Delphi Surveys; and
131 Consensus Workshops (SONG Initiative, 2017:7)

132

133 3. Governance and Oversight

134

135 In order to foster professional and public confidence in the IRUTx - which should, in turn, encourage participation
136 from patients, and physicians conducting research into UTx - significant care should be taken to ensure transparent
137 and egalitarian governance and oversight of the registry and its activities. These should be codified, reflect the nature
138 and extent of the registry's operations from the outset, be suitably representative of different key stakeholder groups,
139 and ensure effective registry operation and longevity. The early establishment of a governance plan will assist in the
140 design and maintenance of the IRUTx (Zaletel et al, 2015:s6.1). Given the small size of the registry it is likely that the
141 majority of IRUTx governance and oversight activities – such as those regarding day-to-day finances and
142 administration, funding, liaison with stakeholders, registry maintenance, quality assurance and data-cleaning

143 procedures, registry reports, *and* data access, use and publication (Gliklich et al, 2014:2.5) will be undertaken and
144 determined by the registry's executive/steering committee.

145

146 The executive/steering committee should be comprised of members of various different stakeholder groups to ensure
147 that the perspectives of all with the greatest stake in the registry's interests and perspectives are taken into account.

148 Given the many and complex jobs of the executive/steering committee it is also imperative that it consult with relevant

149 experts from finance, ethics, law and biostatistics when required to ensure best practice *and* compliance with national

150 and international legislation. In order to increase accountability to the wider stakeholders the IRUTx serves and to

151 allow the committee's members to benefit from the advice of a wider community of experts and stakeholders it is also

152 advisable that an Independent Advisory Board (or equivalent) is formed (Gliklich et al, 2014:4.2). With all the

153 operations of the IRUTx, transparency, openness and visibility is key; from the members and role of the

154 executive/steering committee, the policies, and consent processes, through to the purposes of data collection, data

155 protection procedures, and research protocols (amongst others). The creation of a website which includes public

156 information regarding processes for appointing members, clear objectives, a clear request process for those who seek

157 to access IRUTx data for their own research work, and details about the funding of the IRUTx will assist in making

158 the operations of the registry transparent, and correspondingly increase 'confidence in the scientific integrity and

159 validity of registry processes, and therefore in the conclusions reached as a result of registry activities.' (Gliklich et al,

160 2014:4.1).

161

162 As noted previously, it is also imperative to maximise the benefits that the IRUTx can provide to potential stakeholders

163 while correspondingly minimizing harms. In service of this aim public information about registry operations could

164 significantly increase "the scientific utility of registry data by promoting inquiries from scientists with interests to

165 which registry data may apply." (Gliklich et al, 2014:4.1) Fair and equitable policies must be formulated and clearly

166 articulated on the registry's website and code of practice regarding both the publication of research arising from

167 registry activities *and* researcher access to raw registry data. For, while the prospect of data sharing understandably

168 raises concerns regarding data ownership, consent, privacy, and data protection (explored below), the scientific utility

169 of the IRUTx will be increased if submission of requests to access registry data from a broad range of disciplines and

170 researchers is actively encouraged. A significant problem noted by those who manage medical registries in the past

171 has been that of the underutilization of registry data and the number of research questions which could, but are not,

172 explored due to limits in terms of time, expertise and personnel. (Dane et al, 2006) Thus, while those who maintain

173 or fund the IRUTx may have proprietary interests in first publication/monetization of the registry and may seek to

174 embargo data for a certain period of time, such interests should be balanced against the potential benefits that may be
175 achieved by widening access to registry data as soon as possible.

176

177 Transparent governance and oversight, fair and equitable policies regarding access to data, and clear articulation of
178 the benefits that this registry could produce should also increase professional confidence in the IRUTx. In turn, this
179 should encourage physicians to engage with the IRUTx through populating the registry with the necessary data.
180 Compliance is vital in order to create and maintain a sufficiently complete and accurate registry and avoid the
181 introduction of resulting bias. However, as the IRUTx will not be established by primary national or international
182 legislation there is no legal basis through which compliance can be compelled. Ethical question marks also arise if
183 actively coercive or manipulative activities are undertaken to increase compliance from physicians such as through
184 denial of requests to access data for research purposes or expulsion from the ISUTx. Such activities could, after-all,
185 have serious and negative effects on patient welfare, safety, and consent to inclusion in the registry. Given the
186 association of the IRUTx with the ISUTx, however, the Society's soft power could be harnessed to encourage
187 compliance from society members through reminders in newsletters, promotion at the annual ISUTx conference, etc.

188

189 Careful consideration of how to ensure stable, appropriate, and sustainable funding sources and strategies is also
190 crucial at this initial planning stage. With a desire for long-term follow-up studies of children born from a donated
191 uterus, as well as recipients and donors, the continuing financial stability of the IRUTx along with the maintenance of
192 the datasets that it will hold is of significant concern. The costs associated with populating, maintaining and managing
193 the registry over the long term should not be underestimated and there is a pressing need to maximise funding and
194 identify sustainable funding sources able to provide long-term support to ensure the IRUTx is able to meet its goals.
195 Finally, it is imperative that IRUTx funders and the conditions attached to their support are disclosed and visible to
196 all stakeholders and that sources of funding are carefully vetted by the registry's executive/steering committee. The
197 presence of ethical concerns regarding funder activities or worries about conflicts of interest and/or inappropriate
198 levels of influence over registry activities may, after-all, impact levels of confidence in outputs produced from registry
199 data and ultimately threaten the long-term viability of the IRUTx. This is especially pressing where funding is obtained
200 from non-governmental sources such as patient groups, private companies and foundations who may have a vested
201 interest in UTx.

202

203 4. Consent, Privacy and Data Protection

204

205 In order to ensure public confidence in the IRUTx, it is important that the IRUTx is a transparent body that upholds
206 ethical principles. Openness as to what information is deposited with it, who can access that information, and how it
207 will be used must be a central principle of the IRUTx.

208

209 The IRUTx may have several roles to fulfil, and these, as noted previously, are likely to include reporting and research.
210 However, the IRUTx could also expand to encompass broader informational, educational and advisory roles which,
211 as noted previously, may significantly increase the prospective benefits provided by the registry. An informational role
212 would require the IRUTx to be public as well as private facing, providing annual updates to the public that may include
213 information such as the number of uterus transplants performed worldwide, pregnancies, and births recorded. The
214 IRUTx could also provide up-to-date public facing information about the techniques utilised in UTx, the risks for
215 donors and recipients, and the alternatives to UTx. This would be similar to the information provided by the United
216 Kingdom's Human Fertilisation and Embryology Authority in the context of fertility treatment more generally
217 (Human Fertilisation and Embryology Authority, 2017). The IRUTx could also have an educational role and registry
218 outcomes may be used to update and train physicians and other medical professionals conducting and preparing to
219 conduct UTx trials, and other stakeholders on specific developments. This may be through annual reports, creation
220 of educational materials using registry data, and information dissemination on an ad hoc basis to update practice (such
221 as where registry data disrupts clinical equipoise between different surgical techniques being trialled). Finally, the
222 IRUTx may also perform an advisory role. As an international registry it could provide updates to governments, policy
223 makers, regulators, and governing bodies in order to assist with the regulation of uterus transplantation. As such, the
224 data that the IRUTx will need to be provided with by researchers and practitioners could be extensive. Furthermore,
225 in order to fulfil these roles, the IRUTx will be dependent upon accurate and timely reporting, which in turn is reliant
226 upon participant's initial and ongoing consent to the deposit of data in the IRUTx.

227

228 As noted previously, discussions with stakeholders will help to inform decisions regarding key datasets and to reduce
229 the collection of unnecessary data. These datasets will be of considerable value; to assist the IRUTx in its public facing,
230 informational and policy role, and importantly to help to inform and educate physicians working in UTx. The role of
231 consent is central to establishing good working practices of the IRUTx, and to enable it to fulfil its aims. It may,
232 therefore, be argued that there is a need for a single reporting process which would encompass both a notification
233 procedure, and a more detailed dataset. The notification procedure may be compared to any other medical treatment
234 that mandates compulsory reporting, such as termination of pregnancies in England and Wales. For example,
235 physicians would report that a transplant has taken place, the type of donor, and the outcome of that transplant. It

236 would be strongly encouraged that all physicians working in UTx comply with this notification procedure, thereby
237 assisting in the accurate compilation of procedures and outcomes. A more detailed dataset would assist the IRUTx in
238 its educational role, as well as providing information for more detailed statistical reporting. However, not all
239 participants in a UTx trial may be willing for detailed personal data to be transferred to the IRUTx and an autonomous
240 decision not to consent to the inclusion of their datasets should be respected. The compilation of large datasets is,
241 after-all, not without its risks, and some participants may be understandably reluctant to transfer personal data to a
242 central Registry.

243

244 The major risk of depositing detailed data within a central Registry is that the donors and recipients may be easily
245 identifiable, even when data is anonymised. This concern is especially compelling in the context of uterus
246 transplantation given the relatively small pool of potential recipients and media interest in the procedure. For example,
247 previous recipients have been identified in the media either in press releases from centres that have performed UTx,
248 or in interviews post-birth (BBC News, 2020). Information disclosed could then be matched with the anonymised
249 data in the Registry. This risk of identification will reduce with time and corresponding increases in recipient numbers.
250 However, the risk of privacy breaches must not be underestimated, and UTx recipients should be informed of such
251 risks prior to consenting to the deposit of their data within the registry to ensure that they are in receipt of sufficient
252 information to make an informed choice regarding participation.

253

254 The IRUTx will therefore need to establish procedures to ensure that appropriate consent of participants has been
255 obtained prior to their data being deposited with the IRUTx. It is important that physicians worldwide review the
256 consent forms signed by recipients and donors prior to the establishment of the IRUTx, to ensure that the transferral
257 of data to a central international registry is within the scope of the consent given. It is also vital that participants in
258 UTx have the opportunity to withdraw consent to data sharing. It must not be presumed that broad consent to
259 participate in research will also cover the transference of data to an international registry. An interactive consent model
260 that allows participants to vary their consent preferences would allow participants greater control over their personal
261 data (Holm et al, 2019). Moving forward, it is recommended that the IRUTx establish a standardised consent form
262 for participants with regard to the sharing of their data with the IRUTx, and the purposes for which that data is being
263 stored, as well as establishing an interactive consent model. Participant's consent to involvement in long-term follow
264 up studies must also be considered; an interactive consent model could encourage participation in long-term studies
265 as participants may feel in control of their data. Researchers desire access to data held by the IRUTx in order to
266 determine the long-term well-being of donors, recipients, and any children born as a result of UTx. Follow up studies

267 on participants of UTx are also to be encouraged. For only longitudinal studies will establish the long-term safety of
268 the procedure and the health and wellbeing of recipients, donors, and children born.⁵

269

270 As noted previously, unlike bodies established through primary legislation, such as the United Kingdom's Human
271 Fertilisation and Embryology Authority (Human Fertilisation and Embryology Act 1990), the IRUTx will only have
272 persuasive powers to encourage physicians to deposit the required data into the Registry on a regular basis. The IRUTx
273 will play a vital role in stressing the importance to researchers of establishing core datasets, and that submissions
274 should include core data in order to assist with this process. If data collection and deposit are seen to be arduous and
275 time-consuming tasks, they are less likely to be completed, so ease of access is significant. The applicable governing
276 law must be considered and communicated to physicians and participants in UTx; this is important for both the
277 IRUTx and those who deposit data within it. Privacy concerns have increased in recent years, and it is therefore
278 essential that the IRUTx sufficiently respects and upholds the privacy of those whose data is submitted to and included
279 in the registry, as well as ensuring that anyone who submits and/or is able to access the data complies with the relevant
280 law. Sanctions for those who breach the relevant law must also be clear, and enforceable. Similar bodies in other
281 contexts, such as the UK's Stem Cell Bank, have been criticised on these grounds (Hammond-Browning et al, 2013).
282 The European Union's (EU) General Data Protection Regulation (Regulation (EU) No 2016/679) is the strictest set
283 of data protection regulations worldwide and could provide a robust benchmark for the Registry. A key aim of the
284 GDPR is to make data protection 'by design and by default' throughout its lifecycle (Information Commissioner's
285 Office, 2019:197-205). It provides legal safeguards for personal data within the EEA and the UK⁶ regardless of where
286 the processing of the data takes place. The GDPR will already apply to public and private institutions performing UTx
287 within the EEA and UK. Significantly, GDPR restricts the transfer of personal data outside the EEA (even for
288 processing) unless the country in question can demonstrate that the rights of individuals are adequately protected
289 (General Data Protection Regulation, Art. 45; Information Commissioner's Office, 2019: 260-277). Practical guidance
290 on compliance with the GDPR, where personal data is transferred and disclosed between organisations, can be found
291 in the Code of Practice produced by the UK's designated enforcement body, the Information Commissioner's Office.
292

⁵ For example, long-term studies by S Golombok and the team at the Centre for Family Research, Cambridge University, have helped significantly to inform the debate around surrogacy and new families.

⁶ The EEA consists of the 27 EU countries and Iceland, Liechtenstein and Norway. EU law remains applicable in its entirety in the UK until the end of the transition period (which is currently 31.12.20 with the possibility of being extended for one or two years). After exit day, the GDPR will become part of UK law (the UK GDPR as part of 'EU-derived law') in accordance with the EU (Withdrawal) Act 2018. However, it may be subject to changes by Ministers or Parliament. Negotiations continue on UK-EU transfers and how the UK will provide 'adequate protection' to safeguard data protection rights originating in the EU

293 It states:

294 The most important thing is to ensure that the organisations involved in data sharing work together to ensure
295 that the individuals concerned know who has, or will have, their data and what it is being used for, or will be
296 used for. The primary responsibility for doing this falls to the organisation that collected the data initially.
297 However, it is good practice for all the organisations involved to ensure that, throughout the data sharing
298 process, individuals remain aware of who has their personal data and what it is being used for. This is
299 particularly important where the data has been disclosed to another organisation or where it is being used
300 for a different purpose. (Information Commissioner's Office, 2012)

301

302 This reinforces the necessity of appropriate consent from participants in UTx before data is deposited with the IRUTx,
303 and the need for consent before data is shared beyond the IRUTx. As such, accessing data held by the Registry by
304 parties outside of the EEA requires consideration and planning by the founders of the IRUTx. Enforcement is
305 decentralised to national level: Member States must establish a supervisory authority that has the competence to
306 exercise the powers conferred within the GDPR, including complaints and investigations on the application of the
307 GDPR (Regulation (EU) No 2016/679: Art. 51 & 55). The sanctions that can be imposed for infringements can be
308 onerous ranging up to €20 million, or 4% revenue whichever is higher (Regulation (EU) No 2016/679: Art. 83).
309 However, sanctions may be more difficult to impose where a breach occurs in a non-EEA (or third country)..
310 Moreover, individuals whose data protection rights are infringed will be able to seek a remedy before the courts
311 (Regulation (EU) No 2016/679: Art. 79).

312

313 5. Conclusion

314

315 The value of a registry for UTx is not without doubt. What is apparent is the need for careful design of its aims,
316 governance, funding, and policies, reasonable strategies to encourage physician compliance and the provision of
317 relevant training in order to ensure compliance with data privacy laws, and maximise stakeholder benefits. The
318 initiative taken by the International Society for Uterus Transplantation to set up an international registry is welcomed
319 and a wide range of benefits may be reaped from the near-future establishment of the IRUTx. A note of caution must,
320 however, be aired; that the autonomy of participants must be respected, and time is taken to design datasets to ensure
321 that they are complete, accurate, and relevant to stakeholders, as well as legally compliant. We have argued that there
322 is a need to establish the goals and purposes of the IRUTx from the outset in order to determine the required datasets
323 that will ensure its longevity; a need for clear governance and oversight, transparency and openness; clear procedures

324 to obtain initial and ongoing consent of participants, with the development of an interactive consent model; and
325 compliance by all parties with GDPR.

326

327

328 Checklist for the establishment of the IRUTx:

329

330 • Establish purpose and goals of the IRUTx from the outset
331 • Identify key stakeholders and ensure sensitivity to and awareness of their differing priorities and interests,
332 including conflicts of interests between members of different groups.

333 • Identify datasets to achieve goals through collaboration with stakeholders

334 ➤ Stakeholders should be consulted at various stages throughout this process to ensure design
335 minimises potential harms to and maximises benefits.

336 ➤ Data must be complete, accurate, relevant, and compliant with national and international
337 guidelines regarding data protection and privacy

338 • Identify and establish viable and sustainable funding strategies

339 • Establish governance plan

340 ➤ Establish executive committee comprised of different stakeholders that consults with relevant
341 experts

342 ➤ Establish Independent Advisory Board

343 ➤ Establish Code of Practice and IRUTx policies

344 • Ensure and maintain transparency in disclosure of funding sources, policies, purposes of research,
345 members, research protocols

346 Create website that includes public facing information and contact details for researchers

347 • Design and establish consent and data protection policies and procedures for data deposit to IRUTx, to
348 include:

349 ➤ Review of consent provided to data collection and transfer prior to the establishment of IRUTx

350 ➤ Establish interactive consent model – allow for withdrawal of consent and encourage
351 participation in long-term studies. Policies to include information on applicable governing law,
352 compliance, enforcement and sanctions. GDPR governs transfer of data within and out with the
353 EU.

354 ➤ Establish simple reporting process – to include notification procedure and detailed dataset

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