EMagPy: open-source standalone software for processing, forward

3 modeling and inversion of

4 electromagnetic induction data

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17 Authorship statement

- GB and PM wrote the code, the jupyter notebook for the cases and the manuscript. ABcontributed to writing the manuscript and supervised work on the field case studies.
- 20

21 Code availability

- 22 The code is available under the GPL-licence at <u>https://gitlab.com/hkex/emagpy.</u>
- 23

24 Highlights (max 85 characters)

- The cumulative sensitivity forward model is limited in some cases.
- EMagPy is an open-source Python API and GUI for 1D EMI modeling/inversion.
- Application of EMagPy is illustrated through cases studies with real and synthetic data.
- Both Maxwell-based and cumulative sensitivity forward models are implemented.
- Inversion algorithms include deterministic and stochastic methods.
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- 31 **Declaration of interest**
- 32 None
- 33

34 Abbreviations

- 35 EC : electrical conductivity
- 36 ECa : apparent electrical conductivity
- 37 EMI : electromagnetic induction
- 38 ERT : electrical resistivity tomography
- 39 CS : cumulative sensitivity
- 40 LIN : low induction number approximation
- 41 FS : full solution, refers to the full solution of Maxwell's equation
- 42 Q : quadrature component (expressed as parts per thousand, ppt)
- 43 VCP : vertical co-planar
- 44 HCP : horizontal co-planar
- 45 PRP : perpendicular co-planar
- 46

47 Abstract

48 Frequency domain electromagnetic induction (EMI) methods have had a long history of 49 qualitative mapping for environmental applications. More recently, the development of multi-50 coil and multi-frequency instruments is such that the focus has shifted to inverting data to 51 obtain guantitative models of electrical conductivity. Whilst collection of EMI data is relatively 52 straightforward, the inverse modeling is more complicated. Although several commercial and open-source inversion codes, exist, there is still a need for a user-friendly software that can 53 54 bring EMI inversion to non-specialist audience. Here the open-source EMagPy software is 55 presented as an intuitive approach to modeling EMI data. It comprises a graphical user (GUI) 56 interface and a Python application programming interface (API). EMagPy implements both 57 cumulative sensitivity and Maxwell based solution and can model/invert data for 1D and 58 guasi-2D using either deterministic or probabilistic minimization methods. The EMagPy GUI 59 has a logical 'tab-based' layout to lead the user through data importing, data filtering, 60 inversion, and plotting of raw and inverted data. In addition, a dedicated forward modeling tab 61 is presented to generate synthetic data. In this publication necessary considerations of EMI 62 theory are described before its capabilities are presented through a series of environmental 63 case studies. Specifically, the performance of cumulative sensitivity and Maxwell based 64 forward models; the calibration of EMI data, a waterborne application and a time-lapse 65 inversion are investigated. It is anticipated that despite the number of available EMI software, 66 EMagPy offers a user-friendly tool suitable for novice and experienced practitioners alike, in 67 addition to be useful for teaching purposes.

69 1 Introduction

70 1.1 Applications of electromagnetic induction

71 Ground-based frequency domain electromagnetic induction (EMI) methods use phenomena 72 governed by Maxwell's equations to infer information about the electrical conductivity (EC) of 73 the subsurface. As EC is the reciprocal of electrical resistivity, EMI methods can provide 74 comparable information to electrical resistivity methods. However, given that they do not 75 require direct coupling with the ground, they can, consequently, be more productive than 76 standard electrical resistivity tomography (ERT) methods, particularly for surveying large 77 areas. EMI measurements are typically expressed in terms of apparent electrical conductivity 78 (ECa) and have a long history of being used to reveal spatial patterns of a number of 79 hydrogeologically and agriculturally important properties and states; e.g. salinity (Corwin, 80 2008), water content (Corwin and Rhoades, 1984; Williams and Baker, 1982; Sherlock and McDonnell, 2003), soil texture (Triantafilis and Lesch, 2005) and soil organic matter (Huang et 81 al., 2017). Furthermore, some studies have used repeated (i.e. time-lapse) measurements of 82 83 ECa to also reveal temporal patterns, e.g. for soil water content estimation (Robinson et al., 84 2012; Martini et al., 2017).

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86 In addition to ECa mapping, the development of multi-frequency and multi-coil instruments 87 has enabled the possibility of inversion of EMI data to provide quantitative models of depth 88 specific EC. For instance, by obtaining multiple EMI measurements with different sensitivity 89 patterns, models of EC-ECa can be obtained. EMI inversions can be formulated as the minimization of the difference between measured and synthetic ECa values generated from a 90 91 forward model. Most EMI inversion algorithms use a 1D forward model based on either the linear cumulative sensitivity (CS) forward model proposed by McNeill (1980) or non-linear full 92 93 solution (FS) forward models based on Maxwell's equations (e.g. Wait, 1982; Frischknecht et al., 1987). Moreover, some EMI inversion programs, such as EM4Soil (Monteiro Santos, 94 95 2004) and the Aarhus Workbench (Auken et al., 2015), use lateral constraints to encourage 96 laterally smoothed images using a 1D forward model; these methods are typically referred to 97 as quasi-2D/3D inversion.

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As with ECa mapping, EMI inversion has also been used in a wide range of applications, see Table 1. It is important to note differences in how EMI data are collected, processed and modeled. For instance, whether the EMI device is operated at ground level or an elevated level has implications for its sensitivity patterns. Furthermore, despite the availability of inversion software using FS forward models the CS forward model is still commonly used (e.g. Huang et al., 2016; Saey et al., 2016), despite its inherent simplifications. Lastly, there has also been interest in calibrating EMI measurements to account for factors relating to

operation setup and permit the easier convergence of data. This is commonly done with either
 ERT or soil cores. Furthermore, it has been argued that calibration of EMI data is a
 prerequisite for inversion (e.g. Lavoue et al., 2011).

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Table 1: Non-exhaustive list of environmental studies using inverted EMI data. HCP refers to
horizontal co-planar, VCP refers to vertical co-planar and PRP refers to perpendicular
orientation (all of which are defined in the text).

Reference	Application	Survey acquisition	Inversion details
Martinelli et	Chemical	GEM-2 SLEM:	EM1DFMFW
al. (2008)	pollution	six frequencies between 2575 and 47025 Hz	(Farquharson, 2003) with FS
Brosten et al.	Hydraulic	GEM-2,	FEMIC with FS
(2011)	conductivity	Height: 1 m	
		HCP1.22	
		At 15 frequencies between 10 and 60 kHz	
von Hebel et	Structure	CMD Mini-Explorer	SCE-UA with FS and
al. (2014)		Height: 0 m,	CS
		VCP0.32, VCP0.71, VCP1.18, HCP0.32,	
		HCP0.71, HCP1.18	
		At 30 kHz	
Davies et al.	Coastal salinity	DUALEM-421S	EM4Soil with FS
(2015)		Height: 0.2 m	
		HCP1.0, PRP1.1, HCP2.0, PRP2.1, HCP4.0	
		PRP4.1	
		At 9 kHz	
Jadoon et al.	Soil salinity	CMD Mini-Explorer	FS
(2015)		Height: 0.05 m	
		VCP0.32, VCP0.71, VCP1.18, HCP0.32,	
		HCP0.71, HCP1.18	
		At 30 KHZ	
Pederson et	Soll texture	DUALEM-421S	Aarnus workbench
al. (2015)			
		HCP1.0, PRP1.1, HCP2.0, PRP2.1, HCP4.0	
Shanahan at	Soil moisturo		McMC inversion with
	Soli moisture	Height: 0 m	
al. (2013)			00
		HCP0 71 HCP1 18	
		At 30 kHz	
Zare et al	Soil salinity	DUALEM-421S	EM4Soil with CS and
(2015)	Concanney	Height: 0.2 m	FS
()		HCP1.0, PRP1.1, HCP2.0, PRP2.1, HCP4.0	
		PRP4.1	
		At 9kHz	
Christiansen	Archaeology/	DUALEM-421S,	Aarhus Workbench
et al. (2016)	stratigraphy	Height: ~0.2 m	with FS
. , ,		HCP1.0, HCP2.0, HCP4.0,	
		PRP2.1, PRP1.1, PRP4.1	
		At 9 kHz	
Huang et al.	Soil moisture	DUALEM-421S	EM4Soil with CS
(2016)		Height: unknown	

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		HCP1.0, HCP2.0, HCP4.0,	
		PRP2.1, PRP1.1, PRP4.1	
		At 9 kHz	
Saey et al.	Stratigraphy	DUALEM-421S	CS function
(2016)		Height: 0.16 m	
		HCP1.0, HCP2.0, HCP4.0,	
		PRP2.1, PRP1.1, PRP4.1	
		At 9 kHz	
Frederiksen	Stratigraphy	DUALEM-421S	Aarhus Workbench
et al. (2017)		Height: ~0.285 m	with FS
		HCP1.0, HCP2.0, HCP4.0,	
		PRP2.1, PRP1.1, PRP4.1	
		At 9 kHz	
Huang et al.	Soil organic	DUAELM-21S	EM4Soil with FS and
(2017)	carbon	Height: 0.075 m	CS
		HCP1.1, HCP2.1	
		PRP1.1, PRP2.1	
		At 9 kHz	
Whalley et al.	Wheat root	CMD Mini-Explorer	Gauss-Newton
(2017)	water uptake	Height: 0 m,	smoothed time-lapse
		HCP/VCP s=0.32, 0.71, 1.18 m	with CS
		At 30 kHz	
Koganti et al.	Soil salinity	DUALEM-21S	EM4Soil with CS and
(2018)		Height: 0.45 m	FS
		HCP1.0, HCP2.0,	
		PRP2.1, PRP1.1	
		At 9 KHZ	0.05 114 14 50
Von Hebel et	Stratigraphy	CMD Mini-Explorer	SCE-UA with FS
al. (2019)			
		VCP0.32, VCP0.71, VCP1.18, HCP0.32,	
		HCP0.71, HCP1.18	
		AL SU KITZ	

115 There are several established commercial programs for processing and inverting frequency 116 domain EMI data. Commercial programs include the Aarhus workbench (Auken et al., 2015), 117 or EM4Soil (Monteiro Santos, 2004). In addition, several open source software codes exist, 118 such as the Matlab-based open-source GUI for EMI data, FEMIC (Elwaseif et al., 2017), or 119 the Python-based open-source codes SimPEG (Heagy et al., 2017) and pyGIMLI (Rücker et 120 al. 2017). Open-source software has several benefits over commercial software, for instance 121 it has better reproducibility, it is free and allows the user to interrogate the source code and, 122 where necessary, adapt and customize for their own application. However, despite their 123 availability, there is still a need for a comprehensive open-source software capable of bringing 124 EMI inversion to a non-specialist audience. Given the increasing application of geophysics in 125 multi-disciplinary projects, the need of a flexible and intuitive software for EMI inversion is a 126 necessity.

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128 In this work a Python-based open source EMI inversion software, EMagPy, is introduced. 129 EmagPy has capabilities to generate synthetic data, filter and calibrate field data, and perform

130 quasi-2D inversions. The inversion algorithms utilize either a Maxwell based FS forward

131 model or the CS forward model, and provide the capability of obtaining smoothly and sharply varying models of EC. EmagPy provides a tab-based, user-friendly interface to that makes it 132 accessible for novice users, making it ideal for teaching and training purposes. This 133 manuscript provides a summary of the theoretical background to the software and highlights 134 its capabilities through several case studies. Specifically, the case studies investigated are: 135 (1) the performance of CS and FS solutions, (2) the impact of noise on the inversion results, 136 137 (3) the impact of EMI calibration on inversion results, (4) EMI inversion for waterborne applications, and (5) time-lapse inversion of EMI data. 138

139 2 Material and methods

140 2.1 Theoretical background around on EMI

141 EMI devices operate by passing an alternating current through a transmitter coil to generate a 142 primary electromagnetic field (H_P) . This time-varying primary electromagnetic field interacts 143 with the subsurface to induce eddy currents which in turn generate a secondary 144 electromagnetic field (H_s). H_P and H_s are then recorded by the receiver coil, see Figure 1. The ratio of H_S and H_P is expressed as a complex number with an in-phase component (P) 145 146 and an out-of-phase, or quadrature, component (Q). H_S/H_P is dependent on both the instrument set-up (e.g. operating frequency, coil separation and coil orientation) and 147 148 subsurface conditions (e.g. magnetic, conductive and dielectric properties). At the frequencies used, dielectric properties can generally be ignored; furthermore, given that in most 149 150 environments the subsurface can be considered as non-magnetic and the magnetic 151 permeability of the subsurface is often assumed to be equal to that of free space ($\mu_0 = 1.257$ x 152 10⁻⁸ H/m).



Figure 1: (a) Schematic of an EMI device with one transmitter coil (Tx) and one receiver coil (Rx). The transmitter emits a transient primary magnetic field (H_p) that induces eddy currents in the ground. These eddy currents generate a secondary electromagnetic field (H_s). Both

primary and secondary electromagnetic field are sensed by the receiver coil (b). From the complex ratio of their signals, information about the subsurface can be inferred.

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For any given ground properties, the obtained H_S/H_P is dependent upon the separation 155 distance between the transmitter and receiver coil, the operation frequency and the 156 orientation of coils. The most used orientations are referred to as co-planar loops in which 157 158 both the transmitter and receiver coils are orientated either horizontally (HCP) or vertically (VCP), with respect to ground. Another coil orientation is the perpendicular orientation (PRP) 159 160 in which the transmitter and receiver loops are oriented at 90 degrees from each other. In 161 addition, many devices are multi-coil or multi-frequency, meaning that measurements with 162 different sensitivity patterns can be obtained by the same instrument, often simultaneously, 163 and used for inverse modeling.

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165 Most EMI instruments express their measured H_S/H_P values as values of apparent electrical conductivity, Eca. This term was introduced by McNeill (1980) to provide a more 166 167 comprehensible measurement with the same units as EC, i.e., S/m. McNeill (1980) derived a 168 linear relationship describing the Q value expected from a homogeneous subsurface electrical 169 conductivity. The relationship therefore links the Q value of an assumed homogeneous 170 subsurface to an Eca (i.e. the EC of a corresponding homogeneous ground). It is important to 171 therefore note that it may not be valid in heterogeneous environments (see Callegary et al., 172 2007; Lavoue et al., 2010) and requires that (1) the device is operated on the ground, and (2) 173 the induction number (β) is low ($\beta \ll 1$). The induction number is given by: 174

$$\beta = s \sqrt{\frac{2}{\omega \mu_0 EC}},\tag{1}$$

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176 where σ is the conductivity of the ground, ω is the angular frequency (2 π f) and *s* is the coil 177 separation. The low induction number (LIN) approximation is described as:

$$EC_a = \frac{4}{\omega\mu_0 s^2} Q.$$
 (2)

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It can be clearly seen from the expression that large frequencies and higher conductivity ground will violate the $\beta << 1$ specification proposed by McNeill (1980). Moreover, other more conservative β values of < 0.3 (Wait, 1962) and < 0.02 (Frischknecht, 1987) have also been provided for LIN conditions to be valid. It is also important to reiterate that the reliance of the LIN number approximation on a homogeneous subsurface also creates problems for its usage in heterogeneous environments and in cases where the device is operated above the ground. Nonetheless, it has been essential in advancing the EMI method.

187 2.2 Cumulative sensitivity forward model

188 In addition to the LIN approximation, McNeill (1980) provided functions to describe the relative 189 contribution of materials below a specific depth to the overall Eca value when a device 190 operates under LIN conditions. These CS functions assume that the sensitivity of the 191 instrument is solely a function of the depth and coil separation and does not depend on the 192 subsurface EC, or the device's operating frequency. The CS responses for VCP, HCP and 193 PRP orientations are as follows:

$$R_{VCP}(z) = \sqrt{(4z^2 + 1)} - 2z,$$
(3)

$$R_{HCP}(z) = \frac{1}{\sqrt{4z^2 + 1}},$$
(4)

$$R_{PRP}(z) = 1 - \frac{2z}{\sqrt{4z^2 + 1}},\tag{5}$$

195 Where z is the depth normalized by the coil separation, s. From equations 3 and 4 the 196 sensitivities for different coil separations for the CMD Mini-Explorer and CMD Explorer (GF Instruments, Czech Republic), which can be operated in either VCP or HCP mode, can be 197 198 calculated, see Figure 2. For instance, it can be seen that measurements made with coils in 199 the VCP orientation are more sensitive to the shallow subsurface and measurements made in 200 HCP orientation are sensitive to deeper depths. These functions are commonly used by 201 manufacturers to provide information about the depth sensitivity of their instruments; i.e. the 202 rule of thumb states VCP measurements have an effective depth of 0.75 times the coil 203 separation and 1.5 times for HCP measurements.

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Figure 2: Normalized local sensitivity pattern of the coil configurations of two multi-coils instruments: (a) CMD Mini-Explorer and (b) CMD Explorer. Each coil configuration is first determined by its orientation (VCP/HCP here) and the Tx-Rx coil separation with units of meters. The triangles on each curve corresponds to the effective depth range supplied by the manufacturer.

As with the LIN approximation, the CS functions have been fundamental in advancing the EMI 206 methods. Furthermore, despite the availability of inversion algorithms based on the FS 207 208 forward model, the use of CS forward model in EMI applications is still common. This is 209 largely due to their simplicity and speed in the inversion process compared to FS forward solutions. Furthermore, although, as with the LIN approximation, the CS forward model was 210 211 developed for application when EMI devices are operated at ground level, several studies 212 have used it to model the response of devices operated at some elevation by re-scaling the 213 CS function (e.g. Andrade and Fisher, 2018).

214 2.3 Full Maxwell solution

In order to calculate a non-simplified response of the ground, in terms of H_S/H_P , a FS forward model must be used. The model used in EMagPy relies on the assumption that electromagnetic fields propagate only due to conduction currents, which is valid at low
frequencies (< 10⁵ Hz). The Maxwell-based full solution is provided by Wait (1982) and can be
used to determine the response of an EMI instrument over a 1D layered earth consisting of N
layers:

$$\left(\frac{H_S}{H_P}\right)_{VCP} = 1 - s^2 \int_0^\infty R_0 J_1(s\lambda) \lambda d\lambda, \tag{6}$$

$$\left(\frac{H_S}{H_P}\right)_{HCP} = 1 - s^3 \int_0^\infty R_0 J_0(s\lambda) \lambda^2 d\lambda,\tag{7}$$

$$\left(\frac{H_S}{H_P}\right)_{PRP} = 0 - s^3 \int_0^\infty R_0 J_1(s\lambda) \lambda^2 d\lambda, \tag{8}$$

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where J_0 and J_1 are Bessel functions of zeroth and first orders, respectively, and R_0 is the reflection factor, which is dependent on the thickness and EC of each layer. The reflection factor is calculated at the interface of each layer, including between the air and the first layer. It can be obtained recursively from the infinite Nth layer, given that beyond N can be assumed homogeneous and therefore $R_{N+1} = 0$, and the following:

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 $R_n = \frac{\frac{\Gamma_n - \Gamma_{n+1}}{\Gamma_n + \Gamma_{n+1}} + R_{n+1} e^{-2\Gamma_{n+1}h_{n+1}}}{1 + \frac{\Gamma_n - \Gamma_{n+1}}{\Gamma_n + \Gamma_{n+1}} e^{-2\Gamma_{n+1}h_{n+1}}},$ (9)

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where $\Gamma_n = \sqrt{(\lambda^2 + i\omega\mu_0 EC_n)}$, and h_n and EC_n are the thickness and the EC of the n^{th} layer. *R*₀ is obtained by assuming the EC of layer 0 is 0 S/m to reflect the air. The integrals in equations 6, 7 and 8 represent the Hankel transform and can be calculated by linear filtering (Guptasarma and Singh 1997; Anderson 1979). As noted, most devices provide measurements as an ECa, therefore in order to use the FS forward model the obtained Q values from equations 6, 7 and 8 need to be converted to an ECa value. This translation is important, as discussed below.

236 2.4 Comparing ECa values and forward models

237 Although the LIN approximation (equation 2) offers a comprehensible unit to represent the 238 subsurface EC, several authors have developed methods to provide more representative ECa 239 values, especially when LIN assumptions are not met. For instance, although most 240 manufactures state that their EMI devices operate under LIN conditions and use the LIN approximation to obtain ECa values, Beamish (2011) demonstrated that LIN assumptions are 241 242 only valid at low EC values (< 12 mS/m). Hanssens et al. (2019) provide an overview of 243 various methods; typically methods focus on just the Q component (e.g. Andrade et al., 2016; 244 von Hebel et al., 2019) or use both the P and Q components (e.g. Huang and Won, 2000; Guillemoteau et al. (2015) to obtain ECa values more representative of the subsurface. 245

Because of the generally weakly magnetic subsurface in environmental cases, and the characteristic instability of P measurements (Lavoue et al., 2011), in EMagPy a method akin to van der Kruk et al. (2000), Andrade et al. (2016), and von Hebel et al. (2019) is used to compute a more representative ECa. This is done by minimizing the absolute difference between an observed Q value and a Q value for an equivalent homogeneous subsurface conductivity:

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$$min(|Q_{target} - Q_{homo}|). \tag{10}$$

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The ECa value obtained from this method therefore closely matches the EC of a homogeneous subsurface. As this optimization can be subject to localized minima, in EMagPy it is initialized with the LIN approximation, and although this may be ambiguous at large conductivities (see Hanssens et al., 2019), in the majority of cases the ground EC is sufficiently low to not cause problems.

260 Although this method provides a more representative ECa, the key importance of inverting 261 EMI data using the FS forward model is that modeled ECa are obtained from Q using the 262 same method used to convert Q to ECa in EMI devices. For instance, although in most cases devices use the LIN approximation, some EMI devices use a manufacturer calibration. For 263 264 example, GF Instruments use a manufacturer calibration based on a linear fit through the Q 265 values obtained at two sites of known subsurface EC. In addition, different calibrations exist 266 for when their devices are operated at ground level and 1 m, such that measurements made 267 at 1 m elevation are more representative of the true ground EC. This would mean, for 268 instance, that if ECa values using the GF Instruments 1 m calibration were converted to Q 269 using the LIN approximation they would be significantly higher than actually measured. 270

Furthermore, although the CS is also based on LIN assumptions, the ECa values obtained from the CS forward model differ, in some cases, from the ECa obtained from LIN approximation and Q values measured in the field. This means that under certain scenarios use of the CS forward model could result in erroneous inversion. In this work a distinction between an ECa value from equation 2 (LIN-ECa), an ECa value from equation 10 (FS_{EQ-} ECa) and from the CS forward models (equations 3, 4 and 5) (CS-ECa) is made, see Fig. 3.



Figure 3: The different routes for obtaining ECa values. For field cases all devices obtain a Q value which is typically transformed into an ECa using either the LIN-ECa or some other manufacturer calibration (e.g. the GF instruments linear calibration). Some authors (e.g. von Hebel et al. 2019) opt to convert their field obtained Q values using a minimizing approach (FS_{EQ} -ECa). For modeled cases there are two principle routes to obtain ECa values from a model subsurface: (1) Q values may be calculated from the FS forward model, they would then typically be converted to LIN-ECa or FS_{EQ} -ECa, and (2) CS-ECa values can be obtained directly using the CS forward model.

279 To highlight the distinctions of ECa values defined here, and hence stress the importance of 280 their difference, they can be computed for a variety of synthetic cases. In Figure 4, FS_{EQ}-ECa, LIN-ECa and CS-ECa are calculated for the device specifications of the largest coil 281 282 separation (4.49 m) of the CMD Explorer operated in VCP mode above homogeneous and 283 heterogeneous subsurfaces, at ground level and at 1 m elevation. For the homogeneous case, data are generated for subsurface EC of 1 to 100 mS/m in 1 mS/m increments, the 284 heterogeneous case data is generated for a two layer model with a layer 1 thickness of 0.5 m, 285 286 an upper layer EC of 1 to 100 mS/m in 1 m/Sm increments and a constant lower layer EC of 287 50 mS/m.



Figure 4: Differences between CS-ECa, FS_{EQ} -ECa and LIN-ECa for a homogeneous and a heterogeneous case. (a) shows the differences over a homogeneous medium with increasing EC, (b) shows the differences over an increasing homogeneous medium when the device is operated at 1 m, (c) shows the differences over a heterogeneous medium with a fixed layer 1 thickness of 0.5 m and a fixed EC of 50 mS/m, and (d) shows the differences over a heterogeneous medium with a fixed layer 1 thickness of 0.5 m and a fixed at 1 m elevation. In all figures h is the device height above ground level.

289 Firstly, it can be seen from Fig. 4a that for a homogeneous subsurface EC when the device at 290 ground level FSEQ-ECa and CS-ECa values lie on a 1:1 line, whereas the LIN-ECa deviates 291 from this line at higher EC values. In comparison, when the device is operated at 1 m 292 elevation (Fig. 4b) FSEQ-ECa, LIN-ECa CS-ECa all show increasing deviation at higher 293 conductivities, with the FS_{EQ}-ECa being intermediate between the higher CS-ECa and the 294 lower LIN-ECa. Furthermore, these values are broadly comparable for low conductivities (< 295 20 mS/m), for the ground level and 1 m elevation cases. When the device is operated at 296 ground level (Fig. 4c), for the heterogeneous case, the LIN-ECa is significantly lower than the 297 other two values. Furthermore, the FS_{EQ}-ECa and CS-ECa match when the upper layer 298 conductivity is 50 mS/m (i.e. homogeneous subsurface). When the device is operated at 1 m 299 elevation (Fig. 4d) for the heterogeneous case all ECa values differ from each other across 300 the layer 1 conductivity range.

302 These observations demonstrate that under certain conditions the CS function may be 303 inappropriate to model with LIN-ECa values obtained from the field, e.g. when the subsurface is strongly heterogeneous. Furthermore, it can also be noted that FSEQ-ECa is perhaps better 304 305 suited to modeling than the CS function and may perform reasonably in environments where subsurface EC is both low and of small variability. Moreover, if FSEQ-ECa is taken as the most 306 accurate representation of the subsurface EC, it can be seen that LIN-ECa underestimates 307 308 the subsurface EC in the case of higher EC values and heterogeneous environments. 309 However, as noted above, so long as the translation between Q and ECa is consistent for the 310 EMI device and FS forward model, the derivation of ECa using this method is not a requisite 311 for accurate inversion.

312 2.5 Calibration of EMI data

In addition to considering ECa values, it is important to note that in many cases EMI devices 313 are only seen to provide qualitative measurements of conductivity because of instrument 314 calibration difficulties (Triantafilis et al. 2000; Sudduth et al. 2001; Abdu et al. 2007; Gebbers 315 et al. 2009; Nüsch et al. 2010). For instance, external influences such as presence of the 316 317 operator, zero-leveling procedures or field set up can influence the measurements 318 significantly. Therefore, in order to permit quantitative modeling of EMI data several authors 319 have advocated for the need of data calibration (e.g. Lavoue et al., 2009; von Hebel et al., 320 2014). Proposed calibration methods have included collection of intrusive soil samples (e.g. 321 Triantafilis et al. 2000; and Moghadas et al., 2012), use of ERT (e.g. Lavoue et al., 2010; von Hebel et al., 2014) or use of measurements made at multiple elevations (e.g. Tan et al., 322 323 2019).

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325 In this work the method using ERT is implemented, whereby depth-specific models of 326 electrical resistivity are used to calculate a forward EMI model response which is then paired 327 with a set of EMI measurements made along the ERT transect. Although it is possible to invert ERT data with several inversion programs, the calibration implementation in EMagPy can 328 329 directly use ERT models produced by the sister code, ResIPy (https://gitlab.com/hkex/pyr2; Blanchy et al., 2020). Clearly, there is an implicit assumption here that the ERT-derived 330 331 electrical conductivities are true values, and that the footprint of EMI and ERT measurements 332 does not differ significantly.

333 2.6 Inversions routines

334 2.6.1 Data and model misfit

In EMagPy the inverse problem can be solved using the CS or FS forward model solutions, in addition the problem can be solved to produce both sharply and smoothly varying models of conductivity. The sharp inversion solves the inverse problem with both conductivities and depths as parameters, whereas the smooth inversion uses fixed depths and solves only for conductivities. In both cases the data misfit is defined as the difference between observed values and predicted values from the forward model solutions. As the smooth inversion typically produces a model containing more EC values than measurements it requires a model misfit term, which determines the smoothness of neighboring layers. In comparison, the sharp inversion, although a model misfit term can be used, the inverse problem is generally set such that the problem is under-determined, i.e. the number of parameters (depths and conductivities) is less than the number of measurements. The total misfit is given by:

$$\Phi = \Phi_d + \alpha \Phi_m,\tag{10}$$

347 where Φ_d is the data misfit, Φ_m is the model misfit and α is a smoothing parameter 348 determining the influence of Φ_m on the total misfit, i.e. ordinarily this would be set to 0 for 349 sharp cases. The inversion problem can be solved by minimizing either the L1 or the L2 norm 350 cost functions for each 1D profile. The data misfit for both norms are obtained by:

$$\Phi_d = \frac{1}{N} \sum_{i=1}^{N} |d_i - f_i(m)|, \tag{11}$$

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$$\Phi_d = \frac{1}{N} \sum_{i=1}^{N} \left(d_i - f_i(m) \right)^2, \tag{12}$$

where *N* is the number of coil configurations per profile, *d* is the observed values and f(m) is the predicted values from the forward model with parameter set, *m*. Similarly, the model misfits for L1 and L2 norms, respectively, are obtained by:

$$\Phi_m = \frac{1}{M} \sum_{j=1}^{M-1} |EC_j - EC_{j+1}|, \tag{13}$$

355

$$\Phi_m = \frac{1}{M} \sum_{j=1}^{M-1} \left(EC_j - EC_{j+1} \right)^2, \tag{14}$$

356 where M is the number of layers in the model and EC_j is the conductivity of layer j.

357 2.6.2 Optimization methods

In EMagPy, the total misfit can be minimized using three groups of methods (see Table 2): using either (1) a Gauss-Newton method, (2) optimization from the scipy package (Virtanen et al., 2020), or (3) McMC optimization from the spotpy package (Houska et al., 2015). The Gauss-Newton implementation is straightforward; it is exclusively for the CS function as the Jacobian (sensitivity) matrix can be obtained easily. This implementation requires fixed depths and requires a large α value. As the Jacobian matrix for the CS function does not depend on the layer conductivity, the solution is reached in one iteration. It is therefore well suited for quick inversions of smooth solutions and has the added benefit that it easily enables timelapse inversion (see Whalley et al., 2017).

Minimization method	Description	Implemented features	Package used
Gauss-Newton	Gradient based method.	CS forward model, L2 data and model misfit.	-
Nelder-Mead	Simplex heuristic search method.	CS and FS forward model, L1 and L2 data and model misfit.	scipy
L-BFGS-B	Approximation of BFGS method, with bounds. This method uses an estimate of the inverse Hessian matrix.	CS and FS forward model, L1 and L2 data and model misfit.	scipy
Conjugate Gradient	Gradient method for non-linear problems.	CS and FS forward model, L1 and L2 data and model misfit.	scipy
SCE-UA	Shuffled Complex Evolution Algorithm McMC based method.	CS and FS forward model, L1 and L2 data and model misfit.	spotpy
DREAM	Differential Evolution Adaptive Metropolis Algorithm McMC based method.	CS and FS forward model, L1 and L2 data and model misfit.	spotpy
ROPE	Robust Parameter Estimation McMC method	CS and FS forward model, L1 and L2 data and model misfit.	spotpy

367 Table 2: Minimization methods employed within EMagPy.

368

Through the optimize function from scipy, EMagPy can minimise equation 10 using the 369 Nelder-Mead (Nelder and Mead, 1965), L-BFGS-B (Byrd et al., 1995) or conjugate gradient 370 (Fletcher and Reeves, 1964) algorithms. However, it is important to note that broader range of 371 algorithms exist though the scipy package and can be implemented if needed. These 372 methods can be used for both the CS and FS forward models and are adapted to both 373 374 smooth and sharp inversions. Their implementation is based on the function scipy.optimize.minimize() from the scipy python package that is used to minimize the 375 376 objective function. Each method has its own convergence criteria (see *scipy* documentation)

377 The McMC-based approach also minimizes an objective function but relies on different sampling approaches to find a solution. This implementation is based on the Python spotpy 378 package (Houska et al., 2015) that provides several solvers for parameter optimization such 379 as SCE-UA (Duan et al., 1994), DREAM (Vrugt and Ter Braak, 2011) or ROPE (Bardossy et 380 al., 2008). One advantage of this approach is that it produces posterior distribution of the 381 parameters from which a model uncertainty can be estimated (Figure 5). In EMagPy, this 382 383 posterior distribution is based on the 10% best sample (i.e. the lowest total misfit) and the error for each parameter is estimated using the standard deviation of this posterior 384 385 distribution. Although this method was primarily implemented to obtain sharp models of EC, it 386 can also be used for smooth models.

387



Figure 5: Example of a two layers, one varying depth model inverted using the McMC solver. Each subplot shows the posterior distribution of the parameters after sampling (3000 samples, 1 chain) for (a) the depth, (b) the EC of layer 1 and (c) the EC of layer 2. m is the mean and std is the standard deviation of the distribution (meters for depth and mS/m for layer1 and layer2). The red dashed line represent the true value while the green dashed line represent the best estimate (the one with the lowest misfit).

388

The quality of the inversion can be assessed visually by plotting the predicted ECa values from the inverted model and the observed ECa for each profile using either showMisfit() or showOne2one() methods. It is also possible to directly plot the root-mean-square error for each profile on top of the inverted section using showResults(rmse=True). This makes it easy to quickly identify how suitable models are for explaining the different EMI observations.

394 2.7 EMagPy capabilities

EMagPy has been designed to provide both a Python application programming interface (API) and a graphical user interface (GUI). The Python API can be used in Python scripts or in Jupyter notebooks and enables automated tasks. The GUI provides an intuitive interface for the inversion and modeling of multiple datasets. 399 In EMagPy, the code is structured around two main classes, a Survey and a Problem class. 400 The Survey class that contains information related to the survey (such as the ECa values and 401 their locations) and several display functions. Whereas the Problem class handles the forward and the inverse modeling and displays the results. Multiple surveys can be imported, 402 403 to allow for time-lapse inversion. If geographical information (e.g. x and y coordinates) is 404 available, map views can be used to show the apparent or inverted data. Figure 5 405 summarizes the capabilities of EMagPy. A more exhaustive list of API methods can be found 406 in Appendix A.



Figure 6: Capabilities of EMagPy workflow. Given a defined depth-specific EC model (a), synthetic apparent ECa can be modeled (b). Alternatively, field measurements can be imported and displayed as line plot (c) or map (d). Range filtering (e) and rolling mean (f) are among the options available to filter the measurements. If an ERT transect has been collected, a quantitative calibration of the measurement can be done (g). If cross-over points were collected, an error model can be derived (h). (g) shows the inverted data and (j) how well the modeled ECa fits the observed ECa.

407

Along with a pure Python API, EMagPy offers a graphical user interface (GUI) composed of several tabs exploiting the capabilities of the API (Figure 6). The purpose of the interface is to

410 provide a standalone intuitive user-friendly tool.



Figure 7: EMagPy graphical user interface is composed of several tabs that guide the user through the EMI processing workflow. At first the measurements are imported and filtered or alternatively they can be synthetically generated in the 'Forward' tab. Then an ERT calibration (if available) can be performed and an error model can be fitted if there are cross-over points. Then in the "Inversion Settings" tab the number of layers and their depths is defined as well as other inversion options. The inversion results are displayed in the 'Inversion' tab and the 'Post-processing' tab helps to assess the quality of the inversion.

411

412 3 Case studies

The following case studies presented here are included to demonstrate the ability of EMagPy for forward modeling and inversion. In addition, the Python code of the case studies presented below is available on the Gitlab repository of the project for anyone to reproduce (https://gitlab.com/hkex/emagpy/-/blob/master/jupyter-notebook/em-paper.jpynb).

417 3.1 Impact of different forward models on inversion

The first case demonstrates EMagPy's forward modeling capabilities and investigates the difference between FS and CS forward models for a heterogeneous subsurface. Data were generated from the synthetic model displayed in Fig. 5, i.e. a two layer model comprising an upper layer with an EC of 20 mS/m and a lower layer with an EC of 100 mS/m. Data were generated in terms of LIN-ECa using the FS forward model for the instrument properties of the CMD-Explorer operated at ground level and 1 m before being inverted using either the FS forward model or the CS forward model. It can be seen for both 0 m and 1 m elevations the FS results match the synthetic model in terms of depth and EC. In comparison, although the CS results pick up the depth reasonably well for the 0 m elevation case, the EC values of the second layer are not well resolved.

```
# parameters for the synthetic model
nlayer = 2 # number of layers
npos = 20 # number of positions/sampling locations
conds = np.ones((npos, nlayer))*[10, 50] # EC in mS/m
x = np.linspace(0.1, 2, npos)[:,None]
depths = 0 + 2/(1+np.exp(-4*(x-1))) \# depth of model
# defines coils configuration, frequency and height above the ground
coils0 = ['VCP1.48f10000h0', 'VCP2.82f10000h0', 'VCP4.49f10000h0',
          'HCP1.48f10000h0', 'HCP2.82f10000h0', 'HCP4.49f10000h0']
coils1 = ['VCP1.48f10000h1', 'VCP2.82f10000h1', 'VCP4.49f10000h1',
          'HCP1.48f10000h1', 'HCP2.82f10000h1', 'HCP4.49f10000h1']
# forward modeling
ks = []
for i, coils in enumerate([coils0, coils1, coils0, coils1]):
    k = Problem()
   k.setModels([depths], [conds])
    _ = k.forward(forwardModel='FSeq', coils=coils, noise=0)
    ks.append(k)
k.showResults() # display original model
k.show() # display ECa computed from forward modeling
for k, fm in zip(ks, ['FSeq','FSeq','CS','CS']):
   k.setInit(depths0=[0.5], fixedDepths=[False],
              conds0=[20, 20], fixedConds=[False, False]) # set initial values
    # invert using ROPE solver (RObust Parameter Estimation)
    k.invert(forwardModel=fm, method='ROPE', regularization='l1',
             bnds=[(0.01, 3), (0, 80), (0, 80)], rep=1000, njobs=-1)
```



Figure 8: Inverted model with (a) FS_{EQ} at 0 m, (b) FS_{EQ} at 1 m, (c) CS at 0 m, (d) CS at 1 m. The red lines denote the true interface between the two layers of 20 and 100 mS/m from top to bottom. The error bars show the standard deviation of the posterior distribution (based on the 10% best sample).

429

430 3.2 Impact of measurement noise on inversion

431 To investigate the influence of measurement noise on the inversion when the device is 432 operated at ground level and at 1 m, data were generated for a two layer model with an 433 undulating interface. The upper layer EC was set at 20 mS/m and the lower layer EC was set 434 at 100 mS/m, synthetic data were then generated using the FS forward model and corrupted 435 with 2% Gaussian noise. Data with, and without noise, were then inverted. It was observed that in the noise-free cases, when the device is at 0 m and 1 m, the synthetic model is 436 437 resolved relatively well (Figure 8). This is also true for the data containing noise when 438 operated at ground level but when elevated at 1 m elevation the inversion performs much 439 poorer.

```
# parameters for the synthetic model
nlayer = 2 # number of layers
npos = 20 # number of sampling locations
conds = np.ones((npos, nlayer))*[20, 100]
x = np.linspace(0.1, 2, npos)[:,None]
depths = 0.65 + 0.15* np.sin(x*np.pi*2)
coils0 = ['VCP1.48f10000h0', 'VCP2.82f10000h0', 'VCP4.49f10000h0',
```

```
'HCP1.48f10000h0', 'HCP2.82f10000h0', 'HCP4.49f10000h0']
coils1 = ['VCP1.48f10000h1', 'VCP2.82f10000h1', 'VCP4.49f10000h1',
          'HCP1.48f10000h1', 'HCP2.82f10000h1', 'HCP4.49f10000h1']
coils = [coils0, coils0, coils1, coils1]
noises = [0, 0.05, 0, 0.05]
ks = []
# generate ECa using forward model
for i in range(4):
    k = Problem()
    k.setModels([depths], [conds])
     = k.forward(forwardModel='FSeq', coils=coils[i], noise=noises[i])
    ks.append(k)
# invert
for k in ks:
    k.setInit(depths0=np.array([0.5]), fixedDepths=[False])
    k.invert(forwardModel='FSeq', method='ROPE', regularization='l1',
             bnds=[(0.05, 2.5), (5, 150), (5, 150)], rep=1000, njobs=-1)
```



Figure 9: All inversions are performed with the ROPE solver on a two-layer model with a varying depth. (a) Inversion with 0% noise with device on the ground. (b) Inversion with 2% noise on the ground. (c) Inversion with 0% noise at 1 m above the ground (d) Inversion with 2% noise at 1 m above the ground. The red line represents the true interface between the two layers.

441

442 3.3 ERT Calibration of EMI data

In this case study, data collected from a riparian wetland using the CMD-Explorer are used to highlight how calibration of data can improve inversion performance. The riparian wetland is characterized by peat and underlying gravel and revealing the depth of the peat is of interest in characterizing the hydrology of the site (see Newel et al., 2015). ERT data were collected with a Syscal Pro 96 (Iris Instruments, Orleans, France) with 96 electrodes spaced of 0.5 m using a dipole-dipole sequences comprising 2342 measurements. An inverted EC section was

- 449 obtained using ResIPy (Blanchy et al., 2020). It can clearly be seen that when not calibrated
- 450 (Fig. 8a), the inversion fails to reveal the pattern of the peat, however when calibrated (Fig.
- 451 8b) the peat depth and EC more closely resembles the ERT image (Fig. 8c).

```
fnameEC = datadir + 'boxford-calib/eri_ec.csv'
fnameECa = datadir + 'boxford-calib/eca calibration2.csv'
# non calibrated
k1 = Problem()
k1.createSurvey(fnameECa)
k1.show()
k1.setInit(depths0=np.arange(0.05, 3, 0.05))
k1.invert(alpha=0.001, njobs=-1)
# ERT calibrated
k2 = Problem()
k2.createSurvey(fnameECa)
k2.calibrate(fnameECa, fnameEC, forwardModel='FSeq') # plot calibration
k2.calibrate(fnameECa, fnameEC, forwardModel='FSeq', apply=True) # apply the
calibration
k2.setInit(depths0=np.arange(0.05, 3, 0.05))
k2.invert(alpha=0.001, njobs=-1)
```





Figure 10: Smoothly inverted non-calibrated (a) and calibrated (b) EMI data with the corresponding ERT inversion (c). The red line shows the true depth of the peat intrusive penetration measurements.

453

454 **3.4 Including prior knowledge**

EMagPy also permits the fixing of initial model parameters within the inversion. This may be 455 useful if a priori knowledge is available, i.e. structural information obtained from intrusive or 456 geophysical methods. Moreover, in such cases, smoothing is automatically prevented 457 458 between layers with fixed and non-fixed conductivities. Prior information is available in the 459 case of aquatic surveys where the depth and EC of the river can easily be measured. In this 460 case, data was collected from a site is characterized by zones of groundwater up-welling, which have been shown previously to be sites of nitrate loading from legacy agricultural 461 462 pollution (Binley et al., 2013). EMI data were collected using a CMD-Explorer mounted on an inflatable kayak, 0.4 m above the surface of the water using both HCP and VCP orientations. 463 464 River depths were determined from a pressure logger (see Binley et al., 2013) and river EC 465 was determined with an EC meter. The river depth varied from 0.14 to 1.18 m along the 466 survey and the river water EC was 48 mS/m. On Figure 10, ECa values from the river-borne 467 survey are inverted with fixed river depth and fixed EC for the top layer corresponding to river 468 water. It can be seen that the EC of the riverbed is higher on the upstream side; this is in broad agreement with hydraulic head data presented in Binley et al. (2013) and can 469 470 interpreted to be a result of up-welling of the more conductive groundwater.

471

```
k = Problem()
k.createSurvey(datadir + 'leith/leith_dataset.csv')
depths = k.surveys[0].df['depth'].values # measured water depths
# setting initial model with top layer (the river) with fixed EC of 48 mS/m
(measured)
k.setInit(depths0=depths[:,None], conds0=[48, 20], fixedConds=[True, False])
k.invert(njobs=-1, beta=0.1) # beta > 0 will cause lateral smoothing
```



Figure 11: (a) ECa values measured from a CMD-Explorer on a boat along the river. (b) Inverted EC values given a fixed depth and a fixed EC of the top layer representing the river water (only the river bed conductivity is shown). (c) Hydraulic heads in the river bed from Binley et al. (2013). Upstream is at 0 m and downstream at 160 m distance.

474 3.5 Time-lapse field application

475 In the last case study, the capabilities to perform time-lapse EMI inversion are shown. EMI 476 measurements can be used as a proxy for soil moisture (e.g., Whalley et al., 2017). Using a 477 pedophysical relationship (Laloy et al, 2010), the change in inverted EC beneath different 478 wheat varieties can be linked to change in soil moisture. This method provides to crop 479 breeders high-throughput non-invasive below-ground information that can be important for 480 selecting resilient varieties. In this scenario, EMagPy can invert for the change in conductivity 481 using the Gauss-Newton solver using the method described in Appendix 1 of Whalley et al. 482 (2017). In this experiment ECa measurements were collected using a CMD Mini-Explorer on 483 different winter wheat plots during the growth season. At the same time, soil moisture 484 measurements were taken using neutron probe as ground truth. Note that all ECa values 485 were calibrated using an ERT array and temperature corrected. Figure 10a shows the 486 inverted EC In March 2017 while Figure 10e shows the volumetric water content measured by 487 neutron probe. Figures 10b, 10c and 10d show the change in EC, in mS/m, from this the EC 488 of 10a, and Figures 10f, 10g and 10h show the changes in water content, in relation to Figure 489 10d. Larger decreases in EC are observed at deeper depths through the growing season and 490 can be attributed to crop growth and water uptake.





Figure 12: Evolution of the inverted change in electrical conductivity throughout the growth season (a to d) and of the measured soil moisture content (e to h). EC and WC changes are expressed as absolute difference relative to 2017-03-16 (models a and e). Deeper and larger decrease in EC is observed throughout the season mainly (b, c and d) following the change in soil moisture (f, g and h) mainly driven by root water uptake. Date format is YYYY-MM-DD (ISO 8601).

492

493 4 Conclusions

EMI has multiple applications to investigate the subsurface and is increasingly being used in multidisciplinary projects. EMagPy offers a user-friendly tool suitable for a broad range of applications. It was demonstrated that although the widely used CS forward model may perform well in low conductivity, homogeneous environments, the FS is often more appropriate. To help with the processing, modeling and inversion of EMI data, EMagPy has been developed. EMagPy is open-source software with an intuitive graphical user interface and Python API that enables flexible processing of EMI data. The use of EMagPy is 501 demonstrated through several case studies exploring the limitations of the different forward 502 models, ERT calibration, interface detection, effect of noise with height above ground and 503 time-lapse inversion. The open-source nature and great flexibility of EMagPy makes it well 504 suited for reproducible research and ideal for educational and training purposes.

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513 Appendix A

514 Table A1. Main API methods used in EMagPy.

Problem.show()	Show apparent values as scatter plot
Problem.showMap()	Show spatial distribution of apparent values for given coil
Problem.calibrate()	Calibration of ECa value given depth-specific EC dataset
Problem.invert()	General inversion routine
Problem.showResults()	Show inversion results as a transect
Problem.showSlice()	Show the slice for the selected inverted layer
Problem.showOne2one()	Show 1:1 graph of modeled vs observed apparent EC
Problem.showMisfit()	Show the observed and the modeled ECa