

1 **Atypically heterogeneous vertical first fixations to faces in a case series of people with**
2 **developmental prosopagnosia**

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18 **developmental prosopagnosia**

19 **ABSTRACT**

20 When people recognise faces, they normally move their eyes so that their first fixation is in the
21 optimal location for efficient perceptual processing. This location is found just below the centre-
22 point between the eyes. This type of attentional bias could be partly innate, but also an inevitable
23 developmental process that aids our ability to recognise faces. We investigated whether a group
24 of people with developmental prosopagnosia would also demonstrate neurotypical first fixation
25 locations when recognising faces during an eye tracking task. We found evidence that adults with
26 prosopagnosia had atypically heterogeneous first fixations in comparison to controls. However,
27 differences were limited to the vertical, but not horizontal, plane of the face. We interpret these
28 findings by suggesting that subtle changes to face-based eye movement patterns in developmental
29 prosopagnosia may underpin their face recognition impairments, and suggest future work is still
30 needed to address this possibility.

31

32 **Keywords:** Prosopagnosia; face recognition; eye tracking; first fixations;

33 **1. INTRODUCTION**

34 People with developmental prosopagnosia (henceforth prosopagnosia) suffer lifelong
 35 impairments in face recognition in the absence of neurological damage (e.g. Behrmann & Avidan,
 36 2005; Galaburda & Duchaine, 2003; Bate & Tree, 2017; Towler & Tree, 2018). While such cases
 37 are purported to have face-specific problems, they also suffer some non-face difficulties (Burns,
 38 Taylor & Bukach, 2019), coupled with largely intact low-level visual and intellectual functions
 39 (Jones & Tranel, 2001). Further, there is evidence of a genetic basis for the condition (see Grueter,
 40 et al., 2007), which could explain a strong familial link (e.g. Behrmann, & Avidan, 2005; Bentin,
 41 et al., 1999; de Haan, 1999; Duchaine, 2000).

42 Why these individuals have difficulties with faces is at present unclear, however, there is
 43 growing evidence to suggest that these problems are due to deficits in holistic processing, that is,
 44 perceiving a face as a unitary whole (e.g. Van Belle, De Graef, Verfaillie, Busigny, & Rossion,
 45 2010). The disorder has been attributed to a failure to develop dedicated facial recognition
 46 mechanisms necessary for successful face recognition (Susilo & Duchaine, 2013). In order to
 47 understand how prosopagnosia develops, researchers have traditionally viewed the face-
 48 processing system as a sequential and hierarchical multi-process system where impairments can
 49 occur at a variety of stages (Bruce and Young, 1986) with particular research focus on the latter
 50 stages (e.g., Bate & Cook, 2012; Bate, Haslam, Jansari, & Hodgson, 2009; Bennetts, Butcher,
 51 Lander, Udale, & Bate, 2015; Duchaine et al., 2007; Lee, Duchaine, Wilson, & Nakayama, 2010;
 52 Jackson, Counter & Tree, 2017). However, it is also possible that the impairments occur at a much
 53 earlier stage of processing (e.g. Nemeth, et al., 2014) and may involve mechanisms that direct
 54 visual attention (specifically, eye movements) to faces. This, therefore, leads to an intriguing
 55 hypothesis: is failure to recognise faces a result of inappropriate allocation of attention towards
 56 faces?

57 Successful face recognition may only require one or two fixations (Hsiao & Cottrell,
 58 2008), and is thought to be largely driven by attention toward the eye region (Gilad, Meng, &
 59 Sinha, 2009; Sormaz, Andrews, & Young, 2013). It is unclear whether this behaviour is merely a
 60 by-product of the social importance of looking someone in their eyes (e.g. Parkington & Itier,
 61 2018; Hills et al. 2013) or if it has a functional role in face recognition. In other words: is an
 62 attentional bias toward the eye region experience based or innate (see Gomez, Natu, Jeska,
 63 Barnett, & Grill-Spector, 2018; Arcaro, Schade, Vincent, Ponce, & Livingstone, 2017; Luo et al.,
 64 2017)? Previous research has indicated the importance of the eye region for early face recognition
 65 (e.g. Parkington & Itier, 2018; Hills et al. 2013). Hills, Cooper and Pake, (2013) suggest that the
 66 attentional bias towards the eye region may maximise the perception and extraction of basic social
 67 cues. Attention to, and use of, eye information has indeed been shown to improve face identity,
 68 gender, and emotional expression judgements (Haig, 1985; Hills, Cooper, & Pake, 2013; Schyns,
 69 Bonnar, & Gosselin, 2002; Vinette, Gosselin, & Schyns, 2004). Therefore the social importance
 70 of attending to the eyes seems clear.

71 However, the importance of attention for the eyes may not be entirely for social cognitive
 72 processes. Peterson and Eckstein (2012) looked at the optimal first fixation location for identifying
 73 faces. They observed that the human visual system optimises face identification performance by
 74 guiding eye movements towards a location just below the eyes, slightly left of the centre-point
 75 between the eyes. From this location the recogniser is able to see perceptually rich information in
 76 their fovea and peripheral vision. Therefore fixations toward the eye region seem to have a
 77 functional role for face recognition, as well as being important for social cognitive processes (e.g.
 78 Parkington & Itier, 2018; Hills et al. 2013). The eye region may represent an area of the face where
 79 optimum face recognition can occur because it may enable the parallel processing of multiple
 80 salient facial features parafoveally i.e. the eyes, nose, mouth, and the distances between them are
 81 processed in parallel as an integrative whole. This would be important as subtle differences in the

82 spatial relations between features (i.e., distances between eyes and mouth) are thought to be
 83 necessary for face recognition (Verfaillie, et al., 2014). Fixations to a central region of the face
 84 may therefore enable holistic processing, as multiple features could be processed within one
 85 fixation and processed as an integrative whole. However, the link between fixations and holistic
 86 processing is speculative. Galton’s (1883) work over a century ago led to the hypothesis that “a
 87 face is perceived as an undecomposed whole, rather than as a collection of individual features”
 88 (Verfaillie, et al., 2014, p504), therefore, a first fixation to a central region may aid this process.
 89 Although note, the bias toward the eyes rather than directly in the centre of the face may be
 90 because the eye region contain the most rich information. Therefore, there appears to be an
 91 important distinction between the eyes and the eye region. The eyes are an important feature for
 92 social cognitive processes, whereas the eye region may be an optimum area for maximising the
 93 potential for holistic processing. However, separating the two may be difficult to measure and
 94 beyond the scope of the current paper. Indeed, the first fixation within this region may be a result
 95 of both processes. Overall, it would appear that the centre-point between the eyes is the most
 96 perceptually rich area for optimal face identification – at least, this is the case for those with intact
 97 face recognition. Would adults with prosopagnosia also therefore show the same bias for the
 98 optimal face identification location as those with neurotypical face recognition abilities?

99 Bobak, et al., (2017) found that adults with prosopagnosia spent less time than controls
 100 looking at the eye region. Further, acquired prosopagnosia cases also demonstrate a similar pattern
 101 of facial examination (e.g. Caldara et al., 2005; de Xivry, et al., 2008), whilst developmental cases
 102 may also have a preference for more external features during facial examination (see Schwarzer
 103 et al., 2007). In general, it would appear that adults with prosopagnosia make fewer fixations and
 104 demonstrate reduced regional sampling for famous (known) compared to novel faces (Bate, et al.,
 105 2008), spend more time examining the mouth and less time examining the eyes when compared
 106 to controls (e.g. Bate et al., 2015; cf. Lee, Corrow, Pancaroglu, & Barton, 2019), potentially

107 because adults with prosopagnosia are less well tuned to contrast information from the eye region
 108 (Fisher, Towler, & Eimer, 2016), and may be impaired in holistic processing of the eye region but
 109 not the mouth region (DeGutis, et al., 2012).

110 Increased dwell time for the mouth region of the face is unlikely to enable holistic
 111 processing as this is not the optimal location for first fixations. For example, Peterson & Eckstein
 112 (2012) found that forcing participants to maintain first fixation gaze points away from the optimal
 113 point of fixation degraded perceptual performance. This implies that failure to automatically orient
 114 toward this perceptually rich optimal area could lead to poorer performance. It may also suggest
 115 that adults with prosopagnosia may be impaired in directing the first fixation location, thus leading
 116 to degraded perceptual performance. Indeed, interventions designed to improve holistic
 117 processing lead to improved face recognition in people with prosopagnosia (DeGutis, Cohan, &
 118 Nakayama, 2014). If an atypical first fixation for face stimuli plays a role in disrupting face
 119 recognition performance, we might expect to see atypical first fixation locations in prosopagnosia.
 120 This is the primary focus of the present work. Although, note, a recent study by Peterson et al.
 121 (2019) found that people with prosopagnosia and controls were analogous in first fixation patterns
 122 for a famous faces task, an unfamiliar face emotional judgment task, and a restricted fixation
 123 unfamiliar face identification. However, whether people with prosopagnosia are analogous to
 124 controls on an unrestricted unfamiliar face identification task with neutral expressions is still an
 125 open question.

126 A secondary focus of the current work is to examine whether first fixations are impaired when
 127 under different viewing conditions, which are designed to force either holistic or analytic
 128 processing.

129 Van Belle et al (2010; 2014) used a gaze-contingent paradigm to explore whether adults with
 130 prosopagnosia process faces holistically or analytically. Their paradigm manipulated the manner

131 with which participants can match faces, by guiding the processing of features in either an analytic
132 or holistic manner. During the experiment, a two-alternative forced choice paradigm was used,
133 with face stimuli. During a third of the trials, participants could freely process the faces in full.
134 However, in the remaining two thirds of trials, participants' eye movements were used to limit
135 perception of the faces in one of two ways, which were designed to simulate either analytic or
136 holistic processing (see Figure 1). During analytic-type trials, a gaze-contingent window revealed
137 only a small area of the face (such as one facial feature) whilst the face falling outside the window
138 was masked. Whereas for holistic-type trials, a mask replaced the window, so that features could
139 not be focussed upon. This forced participants to rely on the whole face beyond just the fixated
140 feature.

141 Van Belle and colleagues' found that face recognition expertise was not the product of sequential
142 featural processing, but instead, may be the product of the ability to view individual features of
143 the face all at once. These results indicated that holistic processing aids face recognition, and this
144 may be disrupted in impaired face processing; i.e. in prosopagnosia. However, for the current
145 study we were primarily interested in the first fixation on a face. We used the Van Belle et al
146 (2010) paradigm so that we could explore whether different viewing conditions could affect
147 performance within-subjects (condition: full, window, mask), but also between-groups
148 (prosopagnosia and controls). Also, this design would allow us to discover whether first fixations
149 could be linked to face recognition, but also whether it was disrupted by holistic or analytic gaze-
150 contingent manipulations. This is the secondary focus of the current study. Van Belle et al (2010)
151 found that a foveal mask was more disruptive to face recognition than a peripheral mask. Based
152 on this result, they argued that participants employed a more holistic approach to face recognition.
153 Therefore, by using the same viewing conditions, we could explore whether holistic processing
154 begins with a first fixation to an optimal area. Relative to controls, we predicted that prosopagnosia
155 cases will be atypical in first fixations, and that these fixations will also be atypically affected by

156 the different viewing conditions, with particular impairment on the foveal mask trials (cf. Van
 157 Belle et al, 2010).



158

159 Figure 1. Illustration of the different viewing conditions in the experiment. The size of the
 160 window/mask was adjusted to reveal/cover roughly one main internal feature of the face at a time
 161 only. The position of the mask/window was synchronised with the observer’s fixation position.

162

163 **2. METHOD**

164 *2.1 Participants*

165 There were 22 participants in the study: 5 people with developmental prosopagnosia (mean age =
 166 27.33; 3 males) and 17 non-prosopagnosia controls (mean age = 21.28; 3 males). All participants
 167 were Swansea University students and all were British Caucasians to avoid any potential other
 168 race effects (Burns et al., 2019; Bate et al., 2018; Estudillo et al., 2019). Participants with
 169 prosopagnosia were paid for their time and control participants received subject-pool participation
 170 credit. All adults with prosopagnosia confirmed their regular difficulties with faces, a fundamental
 171 trait of prosopagnosia, in a short interview with one of the authors in addition to
 172 neuropsychological testing (see Table 1); FFT (Famous Faces Test; Duchaine & Nakayama,
 173 2005); CFPTu/i (Cambridge Face Perception Task upright / inverted; Duchaine et al., 2007);
 174 CFMTu/i (Cambridge Face Memory Task upright / inverted; Duchaine & Nakayama, 2006b);
 175 RMT-f (Recognition Memory Test-faces; Warrington, 1984); Eyes (Reading the Mind in the Eyes
 176 task; Baron-Cohen, Jolliffe, Mortimore, & Robertson, 1997); AQ (Autism Spectrum Quotient;

177 Baron-Cohen, Wheelwright, Skinner, Martin, & Clubley, 2001); GNT (Graded Naming Test;
 178 McKenna & Warrington, 1980); BORB (Birmingham Object Recognition Battery; Riddoch &
 179 Humphreys, 1993 – two difficult subtests used from test 10, 64 objects in total), RMT-w
 180 (Recognition Memory Test–words; Warrington, 1984). Norms for these tests are reported in Table
 181 1 and were taken from the aforementioned papers or from data collected by our own lab. To be
 182 classed as impaired, we required our adults with prosopagnosia to be impaired more than 2
 183 standard deviations away from the control mean on the FFT and CFMT as per prior work (Bate
 184 et al., 2014; Burns et al., 2018; Burns et al., 2014; Burns et al., 2017a, Burns et al., 2017b). The
 185 controls did not complete the neuropsychological battery of tests, but all had to confirm no trouble
 186 recognising faces (the fundamental trait of prosopagnosia): e.g., difficulties not recognising
 187 celebrities, having problems recognising familiar people they should identify, such as friends, co-
 188 workers and family members.

189 Table 1. Developmental prosopagnosia case scores on neuropsychological test battery.
 190

	DP1	DP2	DP3	DP4	DP5	Cut-off, for impairment
Age	20	38	20	21	34	
Sex	F	M	M	F	M	
Face testing						
Famous Faces Test	10/35	12/35	22/35	13/35	24/35	< 29/35
CFPTu	64/144	84/144	60/144	48/144	53/144	> 65/144
CFPTi	52/144	74/144	60/144	40/144	48/144	> 92/144
CFMTu	28/72	28/72	40/72	37/72	36/72	< 42/72
CFMTi	16/72	34/72	30/72	39/72	37/72	< 28/72
RMT-f	35/50	28/50	34/50	34/50	36/50	< 36/50
Autism Screening						
Eyes	29	22	26	25	26	< 19/25
ASQ	24	28	25	25	12	> 32/50
Non-face testing						
GNT	16/30	19/30	20/30	25/30	22/30	< 12/30
BORB	52/64	53/64	56/64	62/64	51/64	< 23/64
RMT-w	36/50	47/50	46/50	41/50	45/50	< 35/50
FFT (Famous Faces Test; Duchaine & Nakayama, 2005); CFPTu/i (Cambridge Face Perception Task upright / inverted; Duchaine et al., 2007); CFMTu/i (Cambridge Face Memory Task upright / inverted; Duchaine & Nakayama, 2006b); RMT-f						

(Recognition Memory Test-faces; Warrington, 1984); Eyes (Reading the Mind in the Eyes task; Baron-Cohen, Jolliffe, Mortimore, & Robertson, 1997); AQ (Autism Spectrum Quotient; Baron-Cohen, Wheelwright, Skinner, Martin, & Clubley, 2001); GNT (Graded Naming Test; McKenna & Warrington, 1980; Warrington, 1997); BORB (Birmingham Object Recognition Battery; Riddoch & Humphreys, 1993 – two difficult subtests used from test 10, 64 objects in total), RMT-w (Recognition Memory Test-words; Warrington, 1984).

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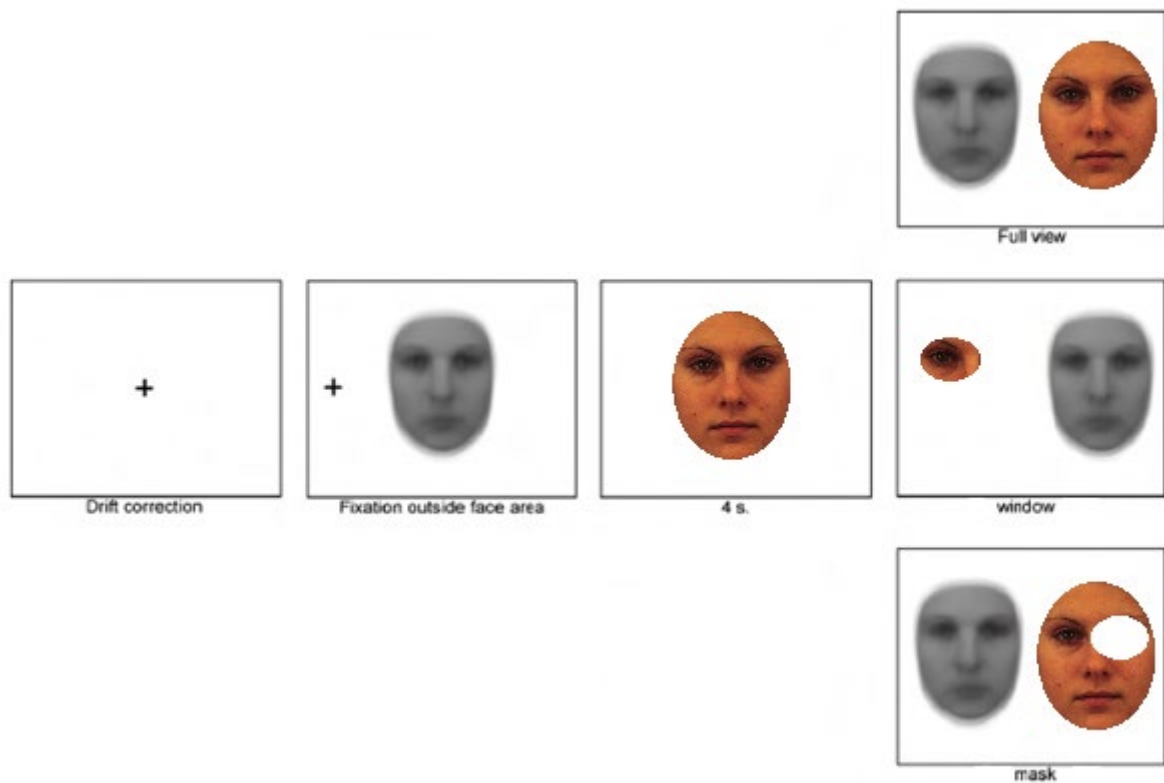
192 *2.2 Stimuli*

193 Both the stimuli and the face recognition task were obtained from Van Belle et al. (2010) and
 194 followed the same protocol. The task involved the delayed matching of photographs of unknown
 195 adult faces: a face was presented followed by a side-by-side presentation of photographs of two
 196 faces; one of which was the target that previously appeared in the trial, the other a foil. Note that
 197 the matching faces, were photographed at different moments in time, so were slightly different.
 198 The participant's task was to identify the target face.

199 Stimuli were displayed on a 22" CRT computer monitor at a viewing distance of 55cm
 200 with a spatial resolution of 1280 by 1024 pixels and a refresh rate of 100Hz. The height of the
 201 faces was 15°, the distance between the inner borders of the faces was approximately 10°, and the
 202 elliptical window and mask subtended 8.5° horizontally by 6.5° vertically. The stimulus set
 203 contained 10 male and 10 female faces (KDEF database; Lundqvist, Flykt, & Öhman, 1998), each
 204 of which had external features cropped, but head shape largely preserved. The faces were
 205 randomly combined in pairs of two males or two females. Stimulus display and response
 206 registration were handled by an Intel Pentium 4 PC. Eye movements were recorded using SR
 207 Research EyeLink 1000 eye tracker at a sampling rate of 1000 Hz and with gaze position error
 208 smaller than 0.75°. Eye movements were recorded monocularly, with the dominant eye being
 209 determined using the Miles test (Roth, Lora & Heilman, 2002). Head movement was restricted by
 210 a chin rest.

211 *2.3 Procedure*

212 The trial procedure is presented in Figure 2. A drift correction with a central fixation cross was
 213 followed by the presentation of a blurred face, which was the grey-scale average image of all
 214 faces, indicating the position of the reference face and a fixation cross on the left of that face.
 215 Participants were instructed to fixate on the fixation cross. Upon steady fixation by the participant,
 216 the cross disappeared. From the moment the participant fixated on the blurred face, it changed
 217 into the reference face, which participants were instructed to memorise for 4 seconds. After this
 218 the participant was prompted to return their gaze to the centre of the screen and two faces were
 219 then presented, one on each side of the screen. The participant could freely explore both faces
 220 during an unrestricted time period.



221

222 Figure 2. Procedure of a trial. The greyscale face displayed is the average of all faces, this is
 223 displayed when the observer does not fixate on the face. In all conditions, the non-fixated face
 224 was always replaced by the blurry average face. In the mask and window, the fixated face was
 225 covered by the window or mask.

226

227 In one third of the trials, the faces were completely visible (full view). In another third of the trials,
 228 a gaze-contingent mask covered the fixated feature in the central part of the visual field (mask
 229 condition). In the remaining third of the trials, only the fixated feature in the central part of the
 230 visual field was visible through a limited spatial window (window condition). The mask/window
 231 covered/revealed roughly one feature of the face at a time (eye, nose, or mouth), although it was
 232 large enough to cover/reveal the whole eye–eyebrow combination in the mask/window
 233 conditions, respectively.

234 During the exploration of the pair of faces, the face that was not fixated upon by the participant
 235 was replaced by the average face (Figure 2), in order to provide a reference frame for saccade
 236 planning to the face in all viewing conditions. Furthermore, this way, the amount of information
 237 from one face during the exploration of the other face was similar in all three viewing conditions.
 238 The matching response was provided by pressing the left or right keyboard key.

239 The experiment was subdivided into 9 blocks, each consisting of 27 trials, 9 for each of the 3
 240 viewing conditions (for 81 trials/condition in total). The order of the viewing conditions within
 241 each block was randomised and the participant was unaware of the type of viewing condition
 242 during the exploration of the reference face.

243 **3. RESULTS**

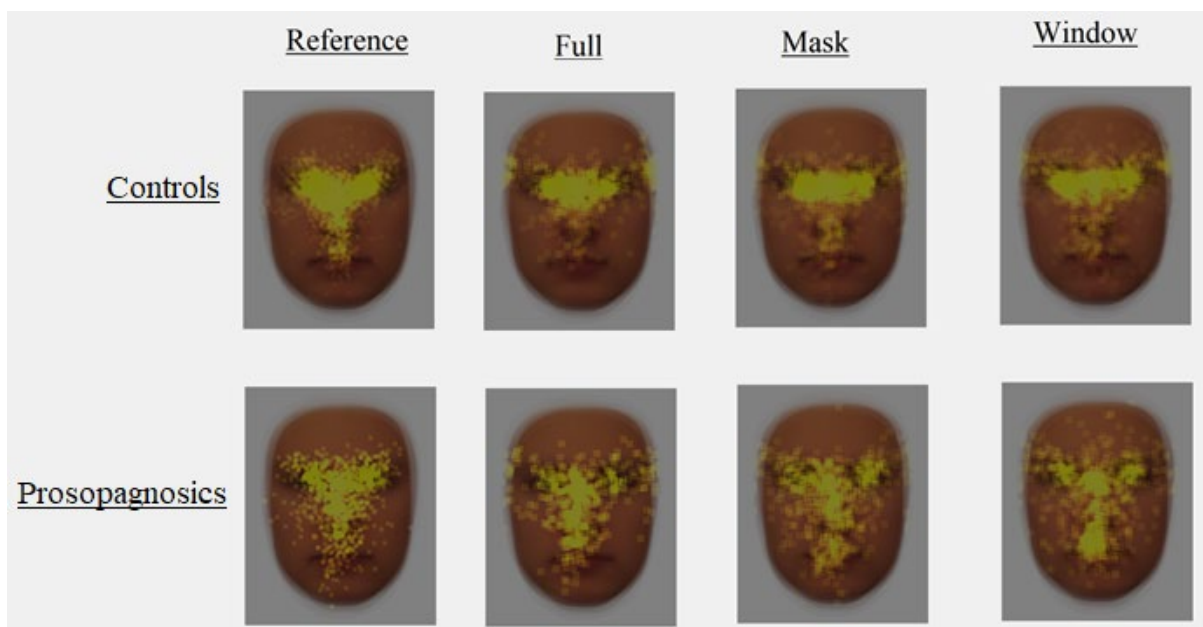
244 Our primary interest was the first fixation made on each face within each of the trials i.e. the first
 245 fixation on the left face and then the first fixation on the right face. There were three trial-types
 246 (Full, window, and mask). Each trial was preceded by a reference face. Therefore, we obtained
 247 first fixation information for four different types of faces. For each of the faces we computed the
 248 x and y coordinates of the centre-point between the eyes. We measured in degrees (°) how far the
 249 first fixation was from the centre-point between the eyes, along x and y coordinates. We were then
 250 able to compare these x and y coordinates to the first fixation on the face made by each participant.

251 We predicted that the control participants would consistently make a first fixation toward the
 252 optimal face recognition location on the face, despite the viewing condition (in terms of average
 253 first fixation location and variation in first fixation location: see Peterson & Eckstein, 2012).
 254 However, we anticipated that people with prosopagnosia would make first fixations on the face
 255 in an atypical manner. Note, as would be expected, people with prosopagnosia and controls
 256 differed significantly on the task in terms of accurately recognising the faces ($F(1,20)=18.822$;
 257 $p<.005$) and reaction time ($F(1,20)=18.162$; $p<.005$: see Table 2). However, of primary interest
 258 was the first fixation toward each face.

259 Table 2. Accuracy and reaction time (seconds) data for the three trial types for controls and people
 260 with prosopagnosia. Parenthesis contain the standard deviation.

	<u>Accuracy</u>		<u>Reaction Time</u>	
	Control	DP	Control	DP
Full	1 (.02)	.89 (.23)	1.71 (.58)	3.0 (1.51)
Mask	.97 (.04)	.85 (.30)	2.25 (.77)	5.32 (3.10)
Window	.91 (.07)	.74 (.44)	3.32 (1.15)	7.17 (3.39)

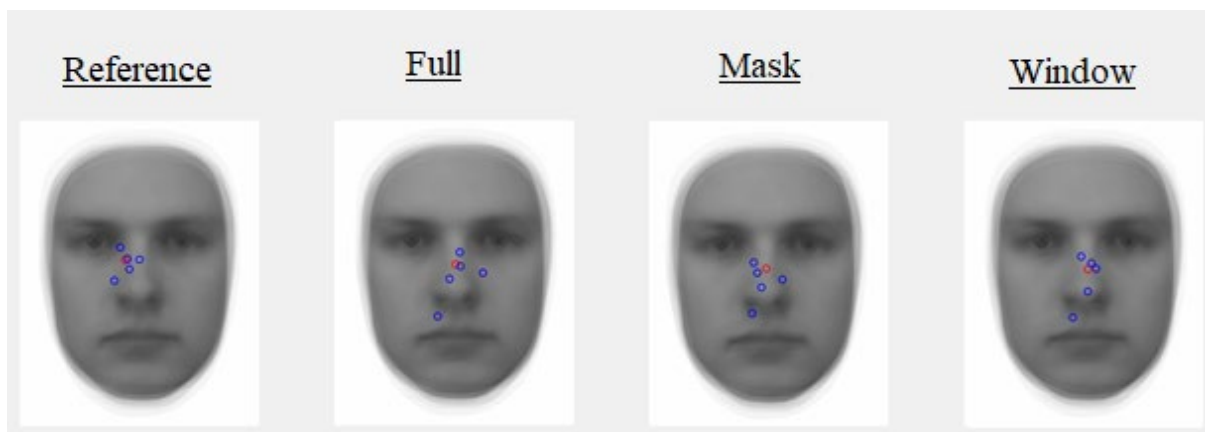
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262

263 Figure 3. Heatmaps of all first fixation points. Control participant (N=17) first fixations are on the
 264 top, and prosopagnosia participants (N=5) are on the bottom. The prosopagnosia participants are
 265 more heterogeneous in where their first fixations land, particularly on the y axis. The face-types
 266 are all presented separately.

267 Figure 3 demonstrates the heat maps of first fixations across all trials, with Figure 4
 268 illustrating the mean first fixations for the control participants and each of the adults with
 269 prosopagnosia. Across the different conditions, the control participants were consistently making
 270 first fixations within the eye region and nose-bridge region, closer toward the centre-point between
 271 the eyes. However, the prosopagnosia group's first fixations were much less focussed. Although,
 272 the prosopagnosia cases' first fixations do appear similar to controls in terms of the horizontal
 273 plane, it appears that there is a larger spread of first fixations along the vertical plane. We therefore
 274 analysed x and y coordinates separately.



275
 276 Figure 4. Average first fixation points from all trials for controls (red) and the individual people
 277 with prosopagnosia (blue). The face-types are reference, full, mask and window.

278 As first fixations in the adults with prosopagnosia could have been atypically above or
 279 below the centre-point from the eyes, it may be inappropriate to average our participants' data
 280 together (i.e., Figure 4). This is because we would not be able to recognise the consistency, or
 281 inconsistency, with which the adults with prosopagnosia are initially fixating upon the faces (i.e.,
 282 the trial by trial fixation variability). It may be more appropriate to instead look at the variance
 283 within each participant for first fixation y coordinates, as this would crudely index the
 284 heterogeneity with which they first looked at a face. For example, if control participants are highly
 285 consistent in their first fixations, they will have very little variance. Prosopagnosia cases may first
 286 fixate in an incredibly heterogeneous fashion, thereby suggesting that they do not have a consistent

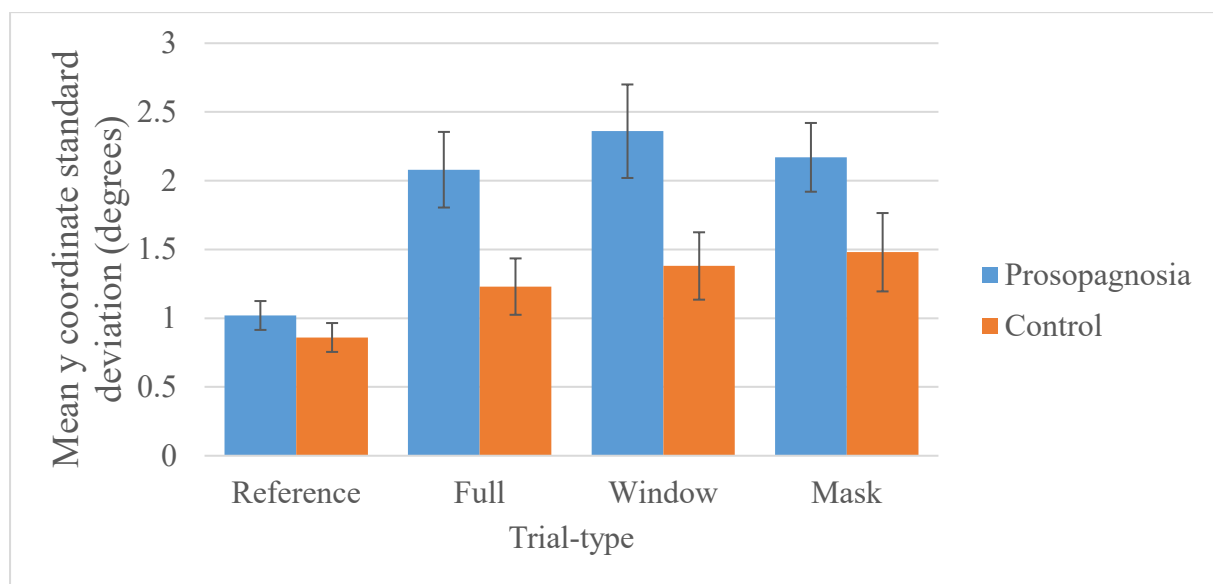
287 pattern with which to initially focus on a face or be distracted by other features. This is borne out
 288 by inspection of Figure 3.

289 To examine any possible group differences in this heterogeneity, we performed a 2 x 4
 290 repeated measures ANOVA on participants' first fixations' standard deviations of the y-axis
 291 coordinates in degrees (°) from the centre point between the eyes, using factors of Group
 292 (developmental prosopagnosia: DP & control) and Face-type (reference, full, mask, window).
 293 Levene's test indicated equal variances (all p's > .05). Importantly, we found a main effect of
 294 Group [$F(1,20) = 14.131$; $p = .001$]. This latter effect was driven by prosopagnosia cases'
 295 increased variability along the y axis for their first fixation (see Figure 5). There was also a
 296 significant interaction between Face-type and Group [$F(3,60) = 4.420$; $p = .007$]. Subsidiary
 297 comparisons showed that prosopagnosia cases' y co-ordinate standard deviations (mean = 2.08°;
 298 SD =.55) were found to vastly differ from the control participants' (mean = 1.23°; SD =.41) for
 299 full trials [$t(20) = -3.842$; $p = .001$], window trials (DP mean = 2.36°; SD =.68; Control mean =
 300 1.38°; SD =.49; $t(20) = -3.595$; $p = .002$], and mask trials (DP mean = 2.17°; SD =.50; Control
 301 mean = 1.48°; SD =.57; $t(20) = -2.428$; $p = .025$]. By contrast, no group differences were found
 302 in the reference condition (DP mean = 1.02; SD =.21; Control mean = .86; SD =.21; $t(20) = -$
 303 1.514; $p = .146$). However, Bayesian analysis suggested that this result may have been due to a
 304 lack of power, rather than due to the absence of an effect ($BF_{10} = .906$).¹

305 Note, we also performed 2 x 4 repeated measures ANOVA on the standard deviations of
 306 the x-axis coordinates in degrees (°), using factors of Group (DP, control) and Face-type

¹ Note, we explored the effect of age and sex. Age was not found to be associated with y coordinate SD in either the controls (reference: $r(15)=.240$; $p=.354$; full: $r(15)=.450$; $p=.07$; window: $r(15)=.385$; $p=.127$; mask: $r(15)=.240$; $p=.354$) nor prosopagnosia (reference: $r(3)=.003$; $p=.989$; full: $r(3)=.404$; $p=.50$; window: $r(3)=.330$; $p=.588$; mask: $r(3)=.058$; $p=.927$). Similarly, males and females did not differ in performance on the task in terms of accuracy or y coordinates ($p>.05$). Further, we conducted a series of t-tests between a group of age-matched controls (which included the five oldest controls) compared to the five prosopagnosia cases. These groups were found not to differ in terms of age ($t(8)=.580$; $p=.578$). However, the groups did differ in terms of y coordinate variance for the full condition ($t(8)=2.448$; $p=.040$), window condition ($t(8)=2.332$; $p=.049$), and marginally the mask condition ($t(8)=1.996$; $p=.081$) and reference ($t(8)=1.719$; $p=.124$). This suggests that there is strong evidence that age and sex did not affect performance.

307 (reference, full, mask, window). Levene’s test indicated equal variances (all p ’s > .05). It was
 308 observed that there was a main effect of Face-type [$F(3,60) = 21.154$; $p < .0005$]. However, we
 309 did not observe a significant main effect of Group [$F(1,20) = .007$; $p = .936$; $BF_{INCLUSION} = .34$]
 310 nor significant interaction [$F(3,60) = .921$; $p = .436$ $BF_{INCLUSION} = .39$]. This demonstrates that
 311 adults with prosopagnosia did not demonstrate increased variability for x axis co-ordinates and
 312 this interpretation is supported by the Bayesian analysis.



313
 314 Figure 5. The average first fixation standard deviations of the y axis coordinates in degrees (°) for
 315 controls (orange) and the people with prosopagnosia (blue) for each trial-type reference, full, mask
 316 and window. The prosopagnosia group were atypically heterogeneous as to where their first
 317 fixations landed on faces’ vertical planes in all conditions barring the Reference condition (i.e.,
 318 left-most bars) where they were memorising the face. Error bars show standard error.

319
 320 Next, we used the Crawford adjusted single-samples t-test (Crawford & Garthwaite, 2002)
 321 in order to compare each prosopagnosia participant separately to the controls. Table 3
 322 demonstrates that four of the five people with prosopagnosia had atypical y coordinate first
 323 fixation standard deviations in degrees (°) during the Full trials-types, which likely reflects their
 324 typical strategies when viewing a face. This suggests the majority of prosopagnosia cases might
 325 have subtle problems in performing optimal first fixations, and contrasts with other eye tracking

326 data which suggests it is only the most severe of prosopagnosia cases that have atypicalities in
 327 face-viewing behaviour (Bobak et al., 2017).

328 These atypicalities were less present in the other conditions, with only three impaired cases
 329 in the Mask condition and two cases in the Window condition atypical. To explore this further,
 330 we considered where people with prosopagnosia fell on a continuum which included controls
 331 ranging from the lowest SD to the highest SD (see figure 6). Control y coordinates for the
 332 reference faces ranged from .55° to 1.2°, for full face trials from .53° to 1.97°, for window face
 333 trials from .68° to 2.19°, and mask face trials from .56° to 2.63°. Therefore, some of the control
 334 participants had a higher y coordinate SD than some of the prosopagnosia participants (see figure
 335 6). This indicates that the first fixation location is not always optimum in control participants too.
 336 But the prosopagnosia cases' (particularly DP4 and DP5) first fixations were typically amongst
 337 the furthest away from the optimum location.

338 Table 3. The standard deviation of first fixations y-coordinates away from the centre-point
 339 between the eyes for individual prosopagnosia cases (z-score), and the average of the standard
 340 deviation for all control participants (SD). Note that these are degrees away from the centre-point
 341 and not degrees away from the optimal place of slightly to the left of the centre-point, as observed
 342 by Peterson & Eckstein (2012). Also note, * denotes <.05 level of significance; ** denotes
 343 Bonferroni corrected level of significance of <.013

	Reference	Full	Window	Mask
Control (SD)	.86 (.21)	1.23 (.41)	1.38 (.49)	1.48 (.57)
DP1 (z-score)	.91 (.21)	1.15 (-.2)	1.40 (.04)	2.02 (1.0)
DP2 (z-score)	.87 (.05)	2.28** (3.2)	2.18 (1.6)	1.85 (2.0)
DP3 (z-score)	.84 (-.1)	2.09* (2.1)	2.72** (2.7)	1.59 (.20)
DP4 (z-score)	1.25* (1.9)	2.39** (2.9)	3.23** (3.8)	2.73* (2.2)
DP5 (z-score)	1.25* (1.9)	2.51** (3.2)	2.26* (1.8)	2.64* (2.0)

344



Reference	C	C	C	C	C	C	C	C	C	DP3	C	DP2	C	DP1	C	C	C	C	C	DP5	DP4			
Full	C	C	C	C	C	C	C	C	C	DP1	C	C	C	C	C	C	C	C	C	DP3	DP2	DP4	DP5	
Window	C	C	C	C	C	C	C	C	C	C	DP1	C	C	C	C	C	C	C	C	DP2	C	DP5	DP3	DP4
Mask	C	C	C	C	C	C	C	C	C	C	C	C	DP3	C	DP2	DP1	C	C	C	C	C	DP5	DP4	

345

346 Figure 6. The standard deviation continuum of y coordinates for people with prosopagnosia and
 347 controls on the different face-types.

348

349 **4. DISCUSSION**

350 Previous research has found that just to the left of the centre of the face is the optimal
 351 location for first fixations during face recognition (Hsiao & Cottrell, 2008; Peterson & Eckstein,
 352 2012). It has been speculated that this position may be the optimal place for holistic processing of
 353 the entire face to occur (Hsiao & Cottrell, 2008; Peterson & Eckstein, 2012). Evidence suggests
 354 that people with prosopagnosia are impaired in holistic processing (Avidan, Tanzer, & Behrmann,
 355 2011; Jansari et al. 2015). Indeed, interventions designed to improve holistic processing can lead
 356 to improved face processing in some people with prosopagnosia (DeGutis, Cohan, & Nakayama,
 357 2014). Bobak et al. (2017) observed that the nose (a region broadly analogous to the optimal place
 358 for holistic processing) had decreased dwell time within prosopagnosia cases potentially
 359 indicating decreased capability for holistic processing. However, only two fixations may be
 360 required for face recognition (Hsiao & Cottrell, 2008) so dwell time may not be the most precise
 361 measure of holistic-type processing.

362 Here, we instead looked at first fixations and found evidence that people with
 363 prosopagnosia, as a group, exhibit atypically heterogenous first fixations when landing on a face's
 364 vertical plane. This occurred when asked to recognise a face, and also when face recognition was
 365 disrupted by our gaze contingent manipulation. While the first fixations were not significantly
 366 atypical on the reference faces (i.e., during memorising), two of five of our prosopagnosia cases
 367 were severely impaired. Moreover, none of the prosopagnosia cases were homogenous in their
 368 first fixations much above the mean of the control group, in the reference condition or any other.
 369 This suggests that while some prosopagnosia cases can perform within the control range, as a
 370 group, they may never attain levels of consistency beyond the control group's midrange. This is
 371 remarkably similar to the perceptual deficits that prosopagnosia cases as a group exhibit: even

372 though many can score within the bottom half of the control range, they never perform to any
 373 great extent beyond the neurotypical mean (Biotti, Gray & Cook, 2019).

374 Atypical heterogeneity of first fixations in developmental prosopagnosia may be due to a
 375 number of different problems that these individuals suffer from. One such hypothesis is that
 376 impaired holistic perception may result in the atypical viewing patterns we observed. This
 377 interpretation is based upon the possibility that an optimal first fixation just below the eyes may
 378 enable the parallel processing of multiple facial features parafoveally, i.e. the eyes, nose, mouth,
 379 and the distances between them are processed in parallel as an integrative whole. If their ability to
 380 utilise holistic perception is non-existent, or at least quantitatively impaired, then there is little
 381 motivation to consistently land within this narrow vertical plane where holistic perception is most
 382 efficiently utilised. Instead, prosopagnosia cases may fixate more frequently on broader features
 383 present within the face, to aid whatever residual face processing abilities they have; i.e., picking
 384 up on whatever distinctive cues they can detect (Burns et al., 2014), and/or utilising featural
 385 processing more frequently as a remedial technique. Alternatively, they could have a problem at
 386 landing first fixations consistently within this ‘optimal’ vertical window, which then leads to a
 387 failure to effectively utilise holistic perception. Future work will be required to explore these
 388 possibilities.

389 In addition, our data supported the findings of Peterson & Eckstein (2012), in that
 390 participants without face recognition problems made a first fixation toward the eye region during
 391 face recognition. This was observed despite our experimental attempts at disrupting face
 392 recognition by using two different gaze contingency trial-types (mask or window: Figure 3). Note
 393 Van Belle et al (2010) found that foveal mask was more disruptive to face recognition than the
 394 peripheral mask. Based on this result, they argued that participants employed a more holistic
 395 approach to face recognition. Within the current study we observed that the scanning patterns of
 396 the individuals with developmental prosopagnosia were equivalent across the three conditions.

397 Therefore, it is not clear to what extent we can infer whether they were employing holistic and/or
 398 non-holistic strategies. However, the equivalence between the conditions may indicate that
 399 holistic and/or non-holistic strategies for face perception occurs in subsequent fixations rather than
 400 first fixations.

401 The paper extends the work by Peterson & Eckstein (2012) in two ways: [1] because of
 402 the use of two new conditions to disrupt face recognition: window and mask – with the largest
 403 degree differences away from the centre region of the eyes (i.e. poorer performance) were found
 404 for the restricted viewing conditions for controls. [2] The results also indicate that prosopagnosia
 405 is associated with viewing faces at sub-optimal locations. Because participants impaired in face
 406 recognition demonstrate different first fixation locations, this may imply that a failure to develop
 407 an automatic orientation to this location could be contributing to poorer face recognition. The
 408 results imply that first fixation differences in face recognition could either be a cause or effect of
 409 prosopagnosia, and thus our findings indicate the need for further exploration of these divergences
 410 in eye fixation patterns in cases of prosopagnosia. Peterson & Eckstein (2012) found that forcing
 411 participants to maintain gaze points away from preferred point of fixation degraded perceptual
 412 performance. DeGutis, Cohan, and Nakayama (2014) found evidence to suggest that using
 413 interventions designed to encourage holistic processing of faces improved face recognition for
 414 people with prosopagnosia. Would these findings therefore imply that if people with
 415 prosopagnosia were forced to attend to the optimal first fixation location (and therefore encourage
 416 holistic processing), their face recognition ability would improve? The implications are striking:
 417 could attentional retraining toward optimal face recognition regions improve face recognition
 418 performance for prosopagnosia? Peterson and colleagues (2019) found that such forced viewing
 419 away from an individual’s own looking preference (e.g., if they prefer to look at the mouth, then
 420 they are forced to look at the eyes) results in a decline in face processing performance. This
 421 suggests shifting fixations will not necessarily improve face processing abilities in prosopagnosia,

422 however, it might accelerate improvement when a training paradigm such as DeGutis and
 423 colleagues' (2013) is employed.

424 Atypicalities of first fixations were only found along the y plane of the face and not the x
 425 plane within the prosopagnosia group. They showed increased variability in terms of the vertical
 426 position of their first fixation but not horizontal first fixation position. This may indicate that
 427 people with prosopagnosia have intact first fixation positional allocation in terms of limiting eye
 428 movement to a notional vertical centre line down the face. However, in terms of their vertical first
 429 fixation location, the people with prosopagnosia were more likely to look at features below the
 430 centre-point between the eyes, for example, the nose and the mouth, but also more likely to look
 431 above the eyes (potentially toward the hairline). This behaviour may represent a viewing pattern
 432 for face recognition which involves differentiating faces based upon distinctive features, such as
 433 hair styles or distinctive noses or mouths (see Kress & Daum, 2003). These results may therefore
 434 imply that when recognising faces, a person with prosopagnosia is more likely to look up and
 435 down a face to recognise it rather than left or right. This might be because there may be fewer
 436 distinctive features which would aid face identification to be found to the left and/or right side of
 437 a face (see Bobak, et al., 2017). There was a degree of overlap between the controls and people
 438 with prosopagnosia in terms of the y coordinates. Further, the results imply that some of the
 439 control participants had more y variability in first fixations than some of the people with
 440 prosopagnosia. Also, there may be individual differences in first fixations within participants (cf
 441 Peterson & Eckstein, 2013), so there is no clear cut-off scores between people with prosopagnosia
 442 and controls. It is possible that the results represent a continuum of ability to holistically process
 443 a face in a single fixation, with control participants typically able to do this, with some people with
 444 prosopagnosia consistently less able to do this, whilst there is a grey area in between. Although
 445 note, people with prosopagnosia were all typically amongst the participants less likely to fixate
 446 toward the neurotypical optimum location. Therefore, the results appear to suggest a qualitative

447 rather than a quantitative shift for the adults with prosopagnosia. It is apparent that further research
448 is required regarding first fixation location.

449 It is also worth reflecting on why the current results differ so greatly from those reported
450 by Peterson et al (2019). They found no differences in first fixations between people with
451 prosopagnosia and controls, whilst we did find clear differences. This discrepancy in findings may
452 be due to methodological differences between the two studies; for example, they tested
453 prosopagnosia cases with celebrity faces, emotional unfamiliar faces, and cars. By contrast, we
454 used unfamiliar neutral faces. Future work will be required to confirm if emotion and face
455 familiarity can therefore account for these differences. .

456 In conclusion, it was observed that people with prosopagnosia demonstrate a difference
457 from controls in y coordinates when recognising faces. This difference in orienting of attention
458 may contribute to poorer face recognition abilities. The question of whether this is a cause or effect
459 of prosopagnosia is beyond the scope of this paper, but points to a potential future avenue for
460 further work. In particular, we hope that this research may provide guidance for further work that
461 may focus on utilising attentional retraining to remediate people with prosopagnosia with face
462 recognition.

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467

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