

17 **Abstract**

18 The source and thermal evolution history of organic matter for the Longmaxi
19 shale are still debated. This study analyzed the molecular and stable carbon isotopic
20 compositions of hydrocarbons (CH₄, C₂H₆, and C₃H₈) and CO₂ as well as the stable
21 hydrogen isotopic compositions of methane, ethane, and noble gases (He, Ne, Ar, Kr,
22 and Xe). Shale gases in the WY and CN areas show an extremely-low-wetness with
23 CH₄ concentrations range from 93.41% to 99.01%. Non-hydrocarbon gases are mainly
24 N₂ (0.22% - 2.81%) and CO₂ (0.03% - 1.35%). H₂S have not been detected. Different
25 $\delta^{13}\text{C}_1$ and $\delta^{13}\text{C}_2$ values in WY and CN shale gases (WY: -37.3‰ to -35.0‰ and
26 -40.3‰ to -38.3‰, CN: -29.8‰ to -26.3‰ and -35.3‰ to -32.7‰) and various
27 carbon isotope-composition distribution patterns ($\delta^{13}\text{C}_1 > \delta^{13}\text{C}_2 < \delta^{13}\text{C}_3$ and
28 $\delta^{13}\text{C}_1 > \delta^{13}\text{C}_2 > \delta^{13}\text{C}_3$) of hydrocarbons indicate a complex evolution process. WY shale
29 gases include more oil-cracking gas than CN shale gases, suggesting WY shale gases
30 more like come from Type I-II organic matter. In shale gas systems, methane content
31 and $\delta^{13}\text{C}_1$ ratios vary with the degree of thermal evolution, so the origin of shale gas
32 cannot be determined using carbon isotope data alone. The wide range of $\delta^{13}\text{C}_{\text{CO}_2}$
33 values (-8.9‰ to -0.8‰) and N₂/⁴⁰Ar ratios (20.8 to 165.1) suggests multiple origins
34 of the gases. Emeishan mantle plume provides the source of heat for some thermo-
35 genic gas. Noble gas isotopic compositions (³He/⁴He: 0.001Ra to 0.019Ra) indicate
36 air and crustal origins with no significant contribution from the mantle. ⁴⁰Ar/³⁶Ar
37 ratios (1194.3 - 4604.5) are consistent with the age of Longmaxi strata calculated by
38 accumulative effect of Ar isotope. The shale gas humidity, carbon isotope ratios, and
39 the carbon isotope-composition distribution patterns may contain information
40 indicating the shale gas sweet spot.

41
42 **Keyword:** Stable Isotopic Compositions, Shale Gas, Noble Gas Isotopes, Sources,
43 Evolution, Longmaxi Formation

1. Introduction

Shale gas resources in China are mainly distributed in Sichuan Basin. Changning (CN)-Weiyuan (WY) National Shale Gas Demonstration Zone (Fig. 1) is one of the primary and most productive shale gas plots in China due to the establishment of several substantial shale gas fields in this area (Dong *et al.*, 2016; Zou *et al.*, 2016). However, although extensive research on organic geochemistry characteristics (Tuo *et al.*, 2016), pore evolution (Song *et al.*, 2020), petrophysical characteristics (Yang *et al.*, 2019; Liang *et al.*, 2020), and tectonic evolution background (Zhou *et al.*, 2014; Xiao *et al.*, 2012) of shale in the Sichuan Basin, its origin and evolution have not been clearly clarified. For better understand the shale gas generation mechanism and guide the production of shale gas, the sources and evolution processes of the Longmaxi Formation shale gas needs in-depth research.

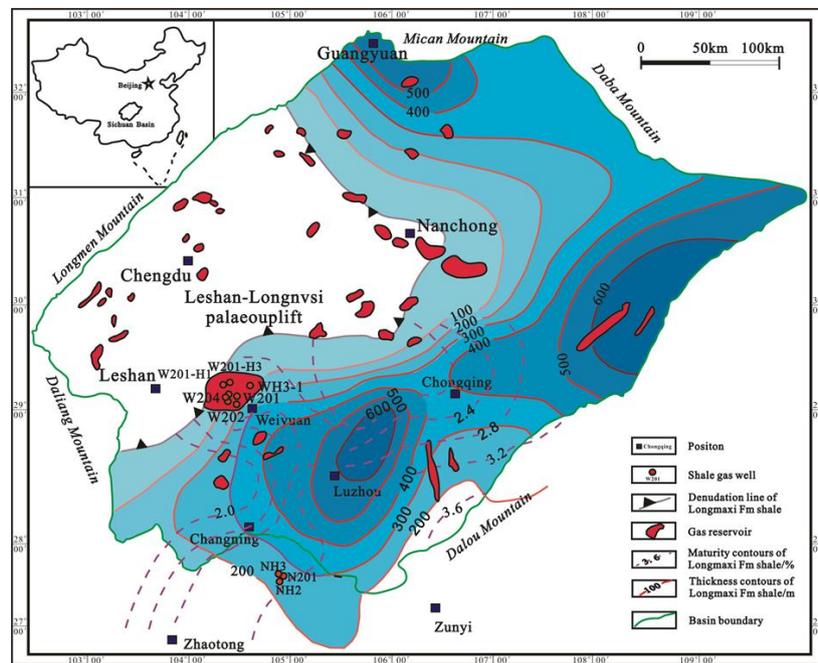


Fig. 1 Geological map of the Sichuan Basin depicting the primary gas sampling sectors, the isolines of R_o values, and the shale thicknesses of the Longmaxi Formation, Sichuan Basin, China (after Zhang *et al.*, 2018; Dai *et al.*, 2014b).

Shale gas is generated from self-contained, sealed petroleum systems, and exhibits many different gas geochemical characteristics compared to conventional natural gas (Tilley and Muehlenbachs, 2013, Hao and Zou, 2013, Gao *et al.*, 2014). Recent works have reported that gas geochemical characteristics in shale gases from the same strata could vary in different regions (Cao *et al.*, 2018; Zhang *et al.*, 2018a). These differences reflect the complex histories of shale gas generation and associated isotopic fractionation as well as in-situ “mixing and accumulation” of gases generated from different precursors at different thermal maturities (Hao and Zou, 2013). During the generation and evolution process, the shale gas relative elemental abundance and

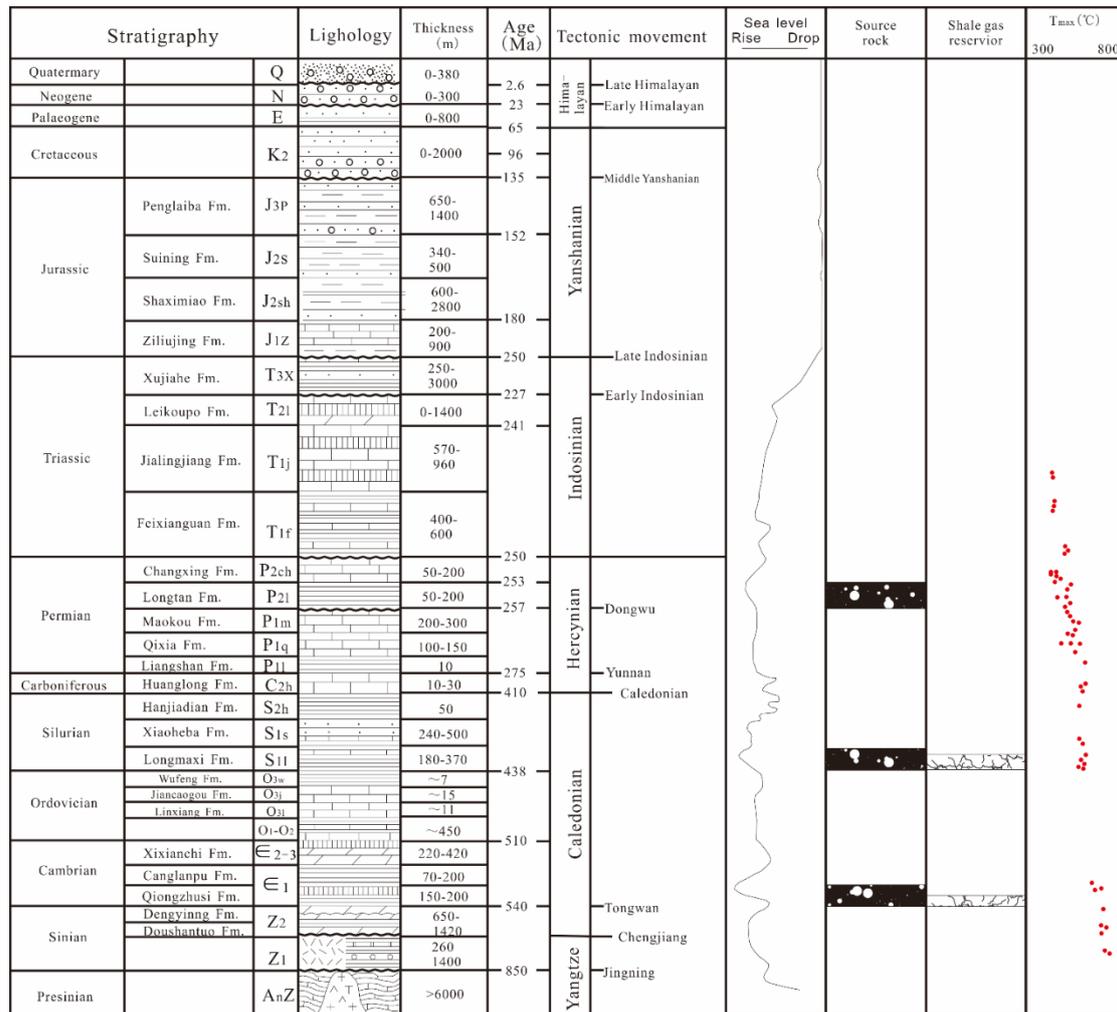
69 isotopic compositions will continually change, due to the fractionation effect (Wang et
70 al., 2015a; Xia et al., 2013). Being generated in a closed system and with little
71 migration, shale gas has a more remarkable genetic accumulation effect than
72 conventional natural gas (Hao and Zou, 2013; Behar et al., 1995). So, shale gas retains
73 more original information about how oil and gas produced from source rocks, and
74 their gas geochemical characteristics could reflect the evolution processes of fossil
75 energy production in a closed system. Gas geochemistry characteristics of shale gas
76 will help us to further understand the generation and evolution of natural gas system.

77 Gas geochemistry is widely used in shale-gas exploration and can provide an
78 important medium with which to understand the evolution, migration, and
79 accumulation of gases. It has been applied in the study of various gas systems by
80 scholars worldwide (Zhou et al., 2019; Liu et al., 2019; Wang et al., 2017). To
81 establish the origins of these natural gases, the stable carbon isotopes of methane,
82 ethane, propane, and carbon dioxide, and stable hydrogen isotopes in methane, have
83 been used (Zhang et al., 2018b; Rahayudin et al., 2020; Zou et al., 2007). Noble gases
84 are also powerful tools with which to determine the details of the evolution of natural
85 gas systems, due to their scarcity, inertness, and distinct isotopic composition in three
86 major sources, including the atmosphere (e.g., $^3\text{He}/^4\text{He}=1.4\times 10^{-6}$, $^{40}\text{Ar}/^{36}\text{Ar}=296$),
87 mantle (e.g., $^3\text{He}/^4\text{He}\geq 1\times 10^{-5}$, $^{40}\text{Ar}/^{36}\text{Ar}\geq 10,000$), and crust (e.g., $^3\text{He}/^4\text{He}\leq 1\times 10^{-7}$,
88 $^{40}\text{Ar}/^{36}\text{Ar}\geq 5,000$) (Porcelli and Ballentine, 2002, and citations within). The present
89 study is aimed at determining the origins and evolution of Longmaxi shale gas by
90 investigating the molecular and stable carbon isotopic compositions of gaseous
91 hydrocarbons (CH_4 , C_2H_6 , and C_3H_8) and CO_2 , the stable hydrogen isotopic
92 compositions of methane, and noble gas isotopic compositions (He, Ne, Ar, Kr, and
93 Xe). In order to constrain the source of Longmaxi shale gas and determine its
94 evolutionary history, shale gas samples collected from 19 shale gas wellheads in the
95 WY and CN blocks were analyzed, and these shale gas components and stable
96 isotopic composition data sets were analyzed. Comparisons were made with
97 geochemical data from China's recently released WY, CN, and FL shale gas and the
98 US Barnett and Fayetteville shale gas.

99 2. Geological setting

100 The Sichuan Basin covers an area of more than $1.8 \times 10^5 \text{ km}^2$ and is located at
101 the west of the Yangtze Craton, Southeast China (Fig. 1). It is structurally complex,
102 superimposed basin, confined on the north by Daba Mountain (uplift) and Micang
103 Mountain (fold belt), on the south by Daliang Mountain (fold belt), on the west by
104 Longmen Mountain (fold belt) and on the east by Dalou Mountain (Fig. 1). The
105 Sichuan Basin lies in the transition zone between the Palaeo-Pacific and Tethys-
106 Himalayan tectonic areas (Liu et al., 2016). It underwent two major tectonic evolution
107 stages, *i.e.*, an earlier Palaeozoic Period cratonic depression and a later foreland basin
108 stage in the Triassic (Xu et al., 2018; see Fig. 2). The effects of the Caledonian,
109 Hercynian, Indosinian, and Yanshanian orogenies in addition to the Himalayan
110 movement produced complicated deforming and denuding within the basin's

111 deposition (Fig. 2; Zhu *et al.*, 2010a, 2010b; Chen *et al.*, 2014). At the end of the
 112 Middle Triassic, the Sichuan Basin experienced a transition from marine to
 113 continental sedimentation. Six sets of source rocks have been identified in the Lower
 114 Cambrian, Lower Silurian, Lower Permian, Upper Permian, Upper Triassic, and
 115 Lower Jurassic formations, of which the first 4 sets are considered major basin source
 116 rocks (Huang *et al.*, 1997; Zhu *et al.*, 2007; Figs. 1 and 2). However, intensive
 117 tectonic movements, specifically those observed throughout the Caledonian,
 118 Yanshanian, Indosinian, and Himalayan orogenies (Wei *et al.*, 2008; Hao and Zou, *et*
 119 *al.*, 2013; Liu *et al.*, 2016), produced many faults and unconformity surfaces. These
 120 have resulted in various hydrocarbon-migration and gas-preservation mechanisms.



122 **Fig. 2** Schematic stratigraphy system of the Sichuan Basin, and the sedimentary environments
 123 and main tectonic occurrences (modified from Zou *et al.* 2015; Dai *et al.* 2014b; Chen *et al.*
 124 2014; Liang *et al.* 2009).

125 The basement of Sichuan Basin is composed of Middle-Upper Proterozoic
 126 metamorphic and magmatic rocks. Lower Paleozoic marine organic-rich black shale
 127 strata are widespread in Sichuan Basin. The primitive organic matter belongs to type-I

128 and/or -II₁ kerogens (Dai *et al.*, 2016). In the Cambrian and Silurian shales, the
129 comparable vitrinite reflectance (EqVR_o, %) values range from 1.8–3.8% (Zou *et al.*,
130 2016; Guo, 2016), indicating that they are chiefly thermally over-mature and within a
131 dry-gas-generation state (Wang *et al.*, 2013; Dai *et al.*, 2016). Longmaxi Formation
132 black shale is one of the most important strata owing to its significantly large
133 thickness and wide distribution. However, while present in CN area, they are missing
134 in the northwestern part of the WY area. The Longmaxi Formation is consisted of
135 black graptolitic shale in its nether section and nodular limestone in its higher section
136 (Figs. 1 and 2; Zhao *et al.*, 2006).

137 The WY shale gas area is located on the southeastern edge of the Leshan-
138 Longnvsi paleo-uplift (Fig. 1). There are no Devonian and Carboniferous strata and
139 not exhibit well-developed Ordovician strata due to Hercynian orogeny. The Yanshan
140 and Himalayan orogeny resulted in complete erosion of the Jurassic and early
141 Cretaceous strata. Dengying Formation is the main conventional gas reservoir in this
142 region (Dai, 2003; Wei *et al.*, 2008). Lower Cambrian Jiulaodong Formation shale and
143 the Lower Silurian Longmaxi Formation shale are the main organic-rich shale
144 developed in the Lower Paleozoic strata in the Weiyuan area. The two sets of shales
145 are mainly type I and highly matured (Huang *et al.*, 2012).

146 CN shale gas area lies in the border of the southern fold belt in the southern
147 Sichuan Basin and the Daloushan Fault-fold Zone. It is limited to the Lianhuasi-
148 Laowengchang structure to the north, the Baiyanglin-Dazhai anticline structure to the
149 south, the Jiacunxi structure to the west, and faces the Gaomuding structure across the
150 Phoenix Mountain syncline to the east. From bottom to top, the Ordovician Wufeng,
151 Silurian Longmaxi, Carboniferous Huanglong, and Permian Longtan formations are
152 developed. In the CN structure, the oldest strata outcropped is Lower Cambrian
153 Longwangmiao Formation. The Lower Silurian Longmaxi Formation in the CN area
154 consists of a set of shallow-marine clastic rock (Liang *et al.*, 2009).

155 3. Samples and experiments

156 3.1 Shale gas specimens

157 All gas samples were collected directly from producing wellheads in high-
158 pressure cylinders (maximum pressure capacity 15 MPa, volume 1000 mL), with
159 metal valves on both ends. The cylinders were heated at 150°C under vacuum and
160 then pumped to vacuum pressure ($\sim 10^{-2}$ Pa) in the laboratory before being shipped to
161 the field. Atmospheric contamination during sampling was minimized by allowing the
162 gas to flush through the lines and cylinders for approximately 10 - 15 mins, during
163 which the cylinder valves were shut and opened several times (Gonzalez-Penagos *et*
164 *al.*, 2016; Cao *et al.*, 2016, 2018). After the completion of sample collection, the
165 cylinder was tested for leaks underwater. The location details of the sampling areas
166 are revealed in Fig. 1.

167 3.2 Analytical procedure

168 Molecular composition and stable carbon, hydrogen, and noble gas isotopic
169 compositions were analyzed in the Key Laboratory of Petroleum Resources Research,
170 Institute of Geology and Geophysics, Chinese Academy of Sciences (Lanzhou,
171 China). The non-hydrocarbon molecular composition (CH₄, CO₂, O₂, H₂, H₂S, N₂, He,
172 and Ar) analyses were performed using a MAT 271 mass spectrometer (Cao *et al.*,
173 2016). The hydrocarbon (CH₄, C₂H₆, and C₃H₈) abundances were examined with an
174 Agilent 6890N gas chromatograph (GC) equipped with a flame-ionization detector
175 (FID). A capillary column (PLOT Al₂O₃, 50 m × 0.53 mm) was utilized to divide the
176 individual hydrocarbon gas components (C₁–C₃). The GC oven temperature was
177 initially placed at 30°C for 10 min and ramped up to 180°C at a rate of 10°C/min and
178 kept at 180°C for 20–30 min (Cao *et al.*, 2018; Zhang *et al.*, 2018a; Dai *et al.*, 2014b).

179 A Finnigan MAT Delta Plus XP mass spectrometer interfaced to an HP 6890 GC
180 was employed to determine stable carbon isotope ratios. Individual hydrocarbon
181 components (C₁–C₃) and CO₂ were divided on an HP-PLOT column (30 m×0.32 mm)
182 with He as the carrier gas (2 mL/min). The GC oven temperature was elevated from
183 an initial temperature of 35°C to 80°C at a rate of 8 °C/min, and then to 260°C at a rate
184 of 5°C/min, at which it was maintained for 10 min. Individual compounds were
185 oxidized at 940°C via an oxidation ceramic microreactor loaded with twisted wires
186 (NiO/CuO/Pt). The high-temperature oxidation furnace was then used to oxidize the
187 hydrocarbons into CO₂, which was examined using a DeltaPlus XP carbon isotope
188 mass spectrometer. The average reproducibility was better than ±0.5‰ (*n*=6) for inter-
189 laboratory standards. The stable carbon isotope-ratio (δ¹³C) values are documented in
190 “δ” notation in per mill (‰), respective of V-PDB (Vienna Pee Dee Belemnite) (Li *et*
191 *al.*, 2014; Dai *et al.*, 2014b).

192 Stable hydrogen isotope ratios were measured by MAT-253 isotope mass
193 spectrometer (Thermo Fisher Scientific) also equipped with an HP6890 gas
194 chromatograph. Methane and ethane were separated chromatographically on a fused
195 silica capillary column (HP-PLOT Q, 30 m × 0.32 mm × 20 μm). The initial
196 temperature of GC oven was kept at 40°C for 5 min, then elevated from 40°C to 80°C
197 at a rate of 5 °C/min, from 80°C to 140°C at a rate of 10°C/min, and finally from
198 140°C to 260°C at a rate of 30°C/min. The pyrolysis-oven temperature was 1450°C
199 (Dai *et al.*, 2014b) and standard H₂ was utilized as the reference gas. The analytical
200 precision approximated to be ±3‰. The stable-hydrogen-isotope information is
201 reported in δ notation (δ²H, ‰) relative to Vienna Standard Mean Ocean Water
202 (VSMOW=0.0‰) and the inter-laboratory standard were used together to calibrate the
203 hydrogen isotope.

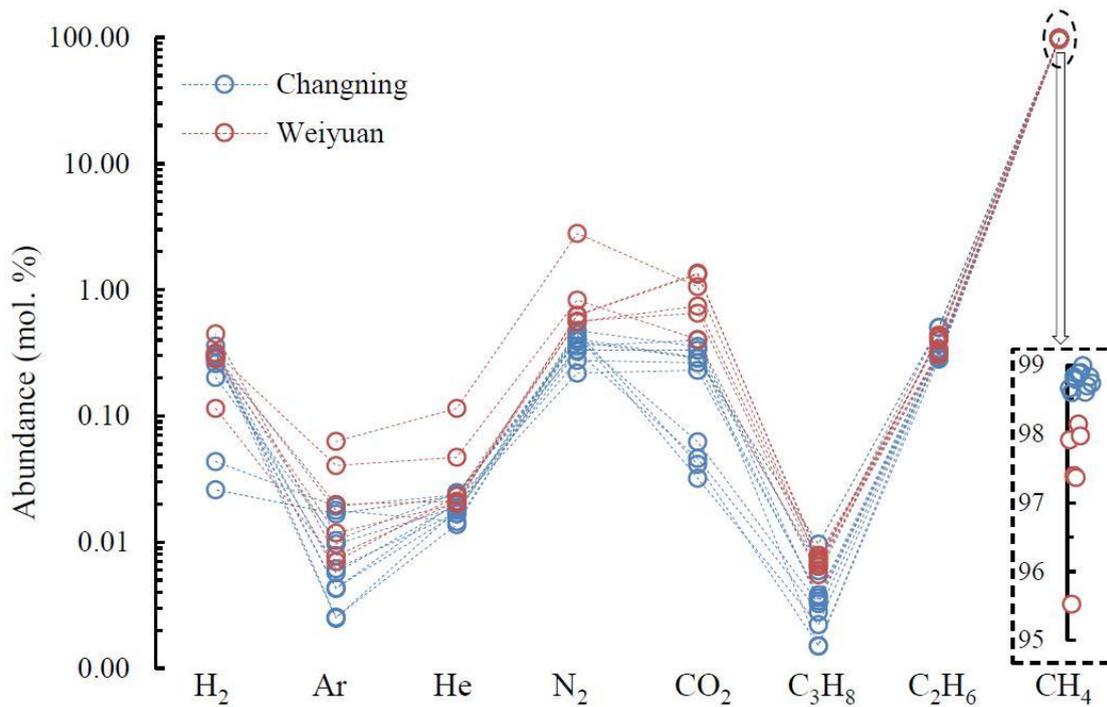
204 Noble gas isotopic composition was measured using a specially designed noble
205 gas mass spectrometry system (Cao *et al.*, 2016, 2018). The high-pressure sample
206 cylinder was connected to a purification line with connecting fittings (Swagelok).
207 Then, part of the gas was introduced into a gas pipette (8.5 cm³), and then pressure
208 and temperature of the gas were measured to calculate the absolute gas amount. Next,
209 a 1.5 cm³ volume of gas was introduced into the noble gas purification line, where
210 these gases were purified by exposing them to a titanium sponge heated to 800 °C.

211 Majority of active gases ($C_1 - C_4$, H_2O , O_2 , N_2 , and CO_2 , etc.) can be removed. H_2 in
 212 the gas can be eliminated by Zr-Al getters running at room temperature. Purified
 213 noble gases were separated by a cryogenic trap (8 - 475 K) filled with activated
 214 charcoal. He, Ne, Ar, Kr, and Xe were released for analysis at the cryogenic trap
 215 temperature of 15K, 50K, 100K, 150K and 230K, respectively. The details of
 216 analytical procedure were described in Cao et al. (2018). 4He , ^{20}Ne , ^{22}Ne , ^{40}Ar , and
 217 ^{36}Ar were examined with a Faraday collector, and 3He , ^{21}Ne , ^{38}Ar , Kr, and Xe isotopes
 218 were analyzed with an electron multiplier. The atmospheric standard (collected from
 219 the top of Gaolan Mountain in Lanzhou) was measured before and after each sample.
 220 Interference from $(^{40}Ar)^{2+}$ and $(^{12}C^{16}O_2)^{2+}$ on $(^{20}Ne)^+$ and $(^{22}Ne)^+$ was also corrected
 221 (Ye et al. 2007, Zhang et al., 2013).

222 4. Results

223 4.1 Chemical compositions

224 The shale gases from WY and CN are mainly comprised of CH_4 (93.41%–
 225 99.00%) with minor amounts of C_2H_6 (0.28%–0.50%) and non-hydrocarbon gases



226
 227 **Fig. 3** Distribution of chemical composition in Longmaxi shale gas from the Weiyuan and
 228 Changning sectors of Sichuan Basin, China.

229 (see Table 1), and it is one of the driest shale gases in the world (Dai et al., 2014a).
 230 The methane content in WY shale gas (average 97.39%) is slightly lower than that in
 231 CN shale gas (average 98.32%) (Table 1 and Fig. 3). The ethane and propane contents
 232 are lower than those observed in the Jiaoshiba shale gases from the Sichuan Basin
 233 eastern margin (Liu, 2016), from Fayetteville (Zumberge et al., 2012), and from the

234 Barnett shale gases, which were collected from the USA (Rodriguez and Philp, 2010).
 235 Non-hydrocarbon gases in WY and CN shale gases mainly consist of N₂ (0.22%–
 236 2.81%), CO₂ (0.03%–1.35%), and trace amounts of He (0.01%–0.12%) and Ar
 237 (0.002%–0.063%) (Table 1); H₂S was not been detected. The contents of CO₂
 238 (average 0.92%) and N₂ (average 1.00%) in the WY area are higher than those in the
 239 CN area (averages of 0.19% and 0.37%, respectively) (Table 1 and Fig. 3).

240 **Table 1** Chemical composition (%) of Silurian Longmaxi shale gases in Weiyuan and
 241 Changning areas, Sichuan Basin, China.

Gas field /area	Well	Depth (m)	Chemical Composition (%)								
			CH ₄	C ₂ H ₆	C ₃ H ₈	CO ₂	N ₂	He	Ar	Ne	H ₂
Changning	N201	1520-1523	98.67	0.42	0.01	0.33	0.33	0.02	0.010	-	0.20
	NH2-1	2790-4140	98.60	0.28	0.00	0.36	0.47	0.02	0.006	-	0.26
	NH2-2*	2322	93.41	0.28	0.00	0.08	0.30	0.02	0.01	-	-
	NH2-3	2453-3457	98.84	0.30	0.00	0.26	0.28	0.02	0.004	0.001	0.29
	NH2-5	-	98.79	0.32	0.00	0.04	0.47	0.01	0.003	0.025	0.36
	NH2-6	2448	98.89	0.33	0.00	0.23	0.22	0.02	0.006	0.002	0.31
	NH2-7	-	98.90	0.32	0.00	0.03	0.44	0.02	0.004	-	0.28
	NH3-1	2873-3973	99.00	0.30	0.00	0.05	0.33	0.02	0.002	0.002	0.30
	NH3-2	2738-3837.8	98.61	0.29	0.00	0.40	0.36	0.02	0.010	-	0.31
	NH3-3*	2650-3750	98.23	0.34	0.00	0.07	0.36	0.02	0.01	-	0.97
	NH3-4	2865-4545	98.70	0.50	0.01	0.29	0.41	0.02	0.019	-	0.04
	NH3-5	2700-4520	98.84	0.34	0.00	0.06	0.41	0.01	0.018	0.015	0.31
	NH3-6	2930-4481	98.74	0.50	0.01	0.29	0.39	0.02	0.017	0.003	0.03
	Weiyuan	W201	1523	97.92	0.44	0.01	0.41	0.83	0.05	0.040	-
W201-H3		2952-3609	95.53	0.42	0.01	1.06	2.81	0.12	0.063	0.039	0.00
W204H1-2		4702	97.40	0.32	0.01	1.33	0.62	0.02	0.012	0.001	0.28
W204H1-3		4702	97.37	0.31	0.01	1.35	0.63	0.02	0.020	-	0.29
WH3-1		-	98.15	0.40	0.01	0.74	0.56	0.02	0.007	-	0.11
W204		-	97.97	0.33	0.01	0.65	0.56	0.02	0.008	-	0.45

242 “*” Data from Zhang et al., 2018

243 4.2 Carbon- and hydrogen-isotope compositions

244 The δ¹³C₁ values of Longmaxi shale gases in WY area range from -37.3‰ to
 245 -35.0‰, the δ¹³C₂ values range from -40.3‰ to -38.3‰, and the δ¹³C₃ values range
 246 from -37.5‰ to -33.6‰. The shale gases from Longmaxi Formation in CN have
 247 heavier δ¹³C₁ (-29.8‰ to -26.3‰) and δ¹³C₂ (-35.3‰ to -32.7‰) values, and they
 248 are almost 8‰ and 5‰ heavier for δ¹³C₁ and δ¹³C₂, respectively than those in WY

249 area. The $\delta^{13}\text{C}_3$ values span from -38.0% to -34.7% in CN area (Table 2). Shale
 250 gases from Longmaxi Formation in WY and CN areas both show reversal distribution
 251 patterns of carbon isotopic compositions from CH_4 to C_3H_8 . CN shale gases show full
 252 carbon isotopic reversal, i.e., $\delta^{13}\text{C}_1 > \delta^{13}\text{C}_2 > \delta^{13}\text{C}_3$ (Table 2, Fig. 4(a), and Fig. 5).
 253 However, WY shale gases present two kinds of carbon isotopic reversal patterns, i.e.,
 254 $\delta^{13}\text{C}_1 > \delta^{13}\text{C}_2 < \delta^{13}\text{C}_3$ and $\delta^{13}\text{C}_1 > \delta^{13}\text{C}_2 > \delta^{13}\text{C}_3$ (Table 2, Fig. 4(b), and Fig. 5).

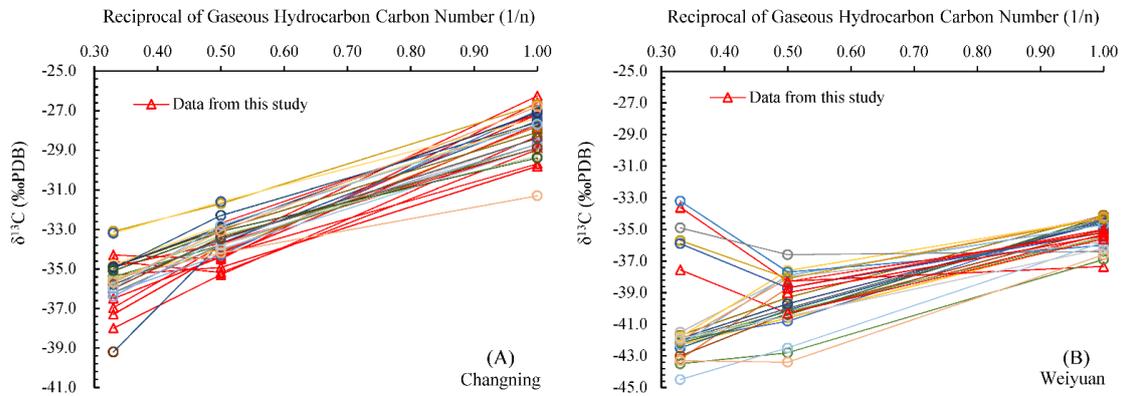
255 **Table 2** Carbon (‰, V-PDB) and hydrogen (‰, V-SMOW) isotopic composition of shale
 256 gases from Silurian Longmaxi Formation shale in Weiyuan and Changning areas, Sichuan
 257 Basin, China.

Gas field/area	Well	$\delta^{13}\text{C}$ (VPDB)				δD (‰VSMOW)	
		$\delta^{13}\text{C}_{\text{CO}_2}$	$\delta^{13}\text{C}_{\text{C}_1}$	$\delta^{13}\text{C}_{\text{C}_2}$	$\delta^{13}\text{C}_{\text{C}_3}$	δD_1	δD_2
Changning	N201	-6.2	-28.9	-34.9	-36.7	-146.5	-152.0
	NH2-1	-4.0	-27.9	-35.0	-35.6	-141.5	-168.6
	NH2-2*	-4.8	-27.2	-34.2	-	-	-
	NH2-3	-8.9	-28.3	-35.3	-38.0	-148.0	-170.2
	NH2-5	-7.1	-26.3	-33.8	-37.0	-139.2	-163.0
	NH2-6	-3.2	-27.2	-34.2	-37.3	-148.2	-151.3
	NH2-7	-8.4	-27.6	-34.4	-35.9	-140.7	-168.2
	NH3-1	-3.8	-27.7	-34.4	-36.5	-149.2	-145.9
	NH3-2	-0.8	-27.3	-34.8	-34.7	-140.5	-135.2
	NH3-3*	-4.5	-29.3	-34.7	-37.2	-148.6	-165.2
	NH3-4	-	-27.2	-32.7	-	-139.3	-122.1
	NH3-5	-4.3	-28.9	-33.7	-34.9	-142.2	-161.6
	NH3-6	-4.1	-29.8	-34.9	-35.3	-144.6	-175.4
Weiyuan	W201	-7.3	-37.3	-38.3	-33.6	-138.7	-155.7
	W201-H3	-5.8	-35.3	-40.3	-37.5	-138.4	-150.3
	W204	-5.0	-35.0	-38.7	-	-138.2	-145.4
	W204H1-2	-2.2	-35.4	-39.0	-	-143.7	-151.9
	W204H1-3	-2.2	-35.2	-38.3	-	-147.0	-155.7
	WH3-1	-	-35.6	-40.3	-	-141.6	-144.2

258 “*” Data from Zhang et al., 2018

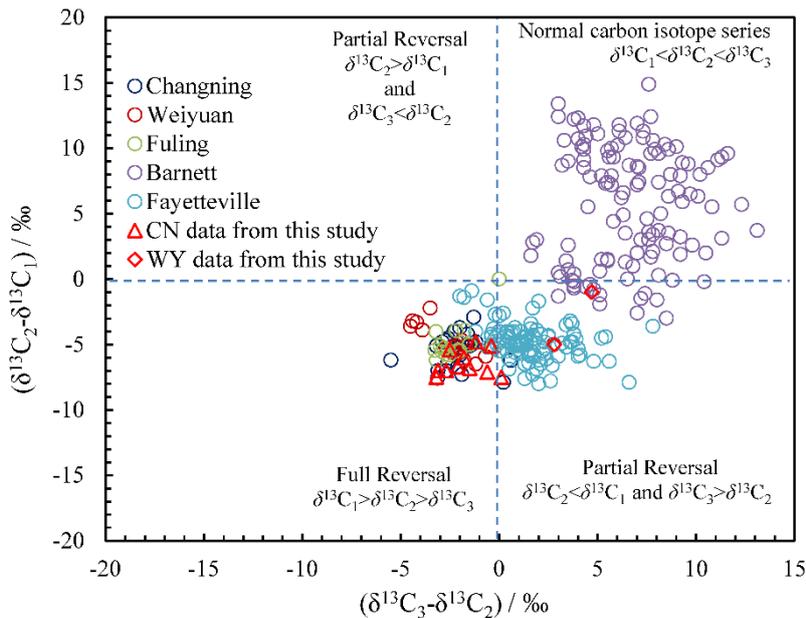
259 The $\delta^{13}\text{C}_{\text{CO}_2}$ values of Longmaxi shale gases vary from -8.9% to -0.8% and are
 260 in the same range as that of Fuling (Yang et al., 2017; Xu et al., 2018) and Barnett
 261 shale gases (Zumberge et al., 2012; Rodriguez and Philp, 2010). However, they are
 262 heavier than those in most Fayetteville shale gases (Zumberge et al., 2012). The δD_1
 263 and δD_2 values of Longmaxi shale gases in the CN area range from -149.2% to
 264 -139.2% and from -175.4% to -122.1% , respectively. These two values in the WY

265 area are -147.0% to -138.2% and -155.7% to -144.2% , respectively. Shale gases
 266 from most wells show a reversal distribution pattern for hydrogen isotopic
 267 composition ($\delta D_1 > \delta D_2$) in both the WY and CN areas (Table 2).



268

269 **Fig. 4** Relationship between $\delta^{13}C_n$ and reciprocal of carbon number ($1/n$) of (A) Changning
 270 and (B) Weiyuan shale gases, Sichuan Basin, China (data sources: Xu et al., 2018; Zhang et
 271 al., 2018; Yang et al., 2017; Feng et al., 2016, 2018; Dai et al., 2016; this study).



272

273 **Fig. 5** Variation of $\delta^{13}C_3 - \delta^{13}C_2$ as a function of $\delta^{13}C_2 - \delta^{13}C_1$ for gases in the Weiyuan,
 274 Changning, and Fuling shales in China, and from Barnett and Fayetteville shales in the USA,
 275 showing isotope dispersion patterns of methane, ethane, and propane (data sources: Xu et al.,
 276 2018; Zhang et al., 2018; Yang et al., 2017; Feng et al., 2016, 2018; Dai et al., 2016;
 277 Zumberge et al., 2012; this study).

278 4.3 Noble-gas-isotope compositions

279 Noble gases (He, Ne, Ar, Kr, and Xe) are chemically inert and are not impacted

280 by secondary chemical processes (chemical reactions or microbial functions) (Ozima
281 and Podosek, 2002; Moreira, 2013). They have been proved to be the ideal natural
282 tracers for studying the origin and evolution of fluids in sedimentary basins (Hilton *et*
283 *al.*, 2003; Warner *et al.*, 2013). Noble gases combined with carbon and hydrogen
284 isotopes have been applied to various natural gas studies previously (Kotarba *et al.*,
285 2008, 2014; Schlegel *et al.*, 2011).

286 Noble gas results for Longmaxi shale gases in WY and CN areas are presented in
287 Table 3. The $^3\text{He}/^4\text{He}$ ratios of the samples are between 0.001 and 0.019 Ra (Ra is the
288 atmospheric value of 1.4×10^{-6}), which were consistent with the average value of the
289 crust-derived helium ratio, *i.e.*, 0.02 Ra (Ballentine and Burnard, 2002). The
290 $^{20}\text{Ne}/^{22}\text{Ne}$ ratios were slightly higher than the atmospheric neon value (9.80, Allegre
291 *et al.*, 1987; Sarda *et al.*, 1988) and lower than the mantle value (12.2, Ballentine *et*
292 *al.*, 2005). The $^{21}\text{Ne}/^{22}\text{Ne}$ ratios (0.006–0.047) of the Longmaxi shale gases vary from
293 those of the atmosphere (0.029) and the crust (0.03–0.70) (Sarda *et al.*, 1988; Ozima
294 and Podosek, 2002), and were significantly reduced compared to that of nucleogenic
295 Ne (0.3) (Ozima and Podosek, 2002). The $^{40}\text{Ar}/^{36}\text{Ar}$ ratios (1194.3–4604.5) are higher
296 than that in air (295.5, Allegre *et al.*, 1987, Lee *et al.*, 2006) but much lower than the
297 MORB values, which are often up to 10,000 and sometimes are as high as 44,000
298 (Burnard *et al.*, 1997, Moreira *et al.*, 1998). The $^{38}\text{Ar}/^{36}\text{Ar}$ values are close to that in
299 the atmosphere (0.188), while the measured $^4\text{He}/^{20}\text{Ne}$ ratios (6611.2–67111.8) are
300 much greater than the atmospheric ratio of 0.288, similar to recorded data for
301 conventional natural gases (Zhou *et al.*, 2005; Kotarba *et al.*, 2008, 2014; Darrah *et*
302 *al.*, 2014). This indicates that there are no apparently atmosphere-derived noble gas
303 contributions during sample collection and analysis.

304 Isotopic compositions of Kr and Xe in Longmaxi shale gases are the same as
305 those in the air (Table 3). Krypton isotope ratios are between 0.197 and 0.253
306 (average 0.213), 0.185 and 0.210 (average 0.201), and 0.280 and 0.328 (average
307 0.300) for $^{82}\text{Kr}/^{84}\text{Kr}$, $^{83}\text{Kr}/^{84}\text{Kr}$, and $^{86}\text{Kr}/^{84}\text{Kr}$, respectively. $^{129}\text{Xe}/^{132}\text{Xe}$ ratios range
308 from 0.917 to 1.364 (average 1.057) and $^{131}\text{Xe}/^{132}\text{Xe}$ values from 0.581 to 1.070
309 (average 0.808).

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Table 3 Isotopic compositions of noble gases (He, Ne, Ar, Kr and Xe) from Silurian Longmaxi Formation shale in Weiyuan and Changning areas, Sichuan Basin, China.

Well	³ He/ ⁴ He	err(1σ)	²⁰ Ne/ ²² Ne	err(1σ)	²¹ Ne/ ²³ Ne	err(1σ)	⁴⁰ Ar/ ³⁶ Ar	err(1σ)	³⁸ Ar/ ³⁶ Ar	err(1σ)	⁸² Kr/ ⁸⁴ Kr	err(1σ)	⁸³ Kr/ ⁸⁴ Kr	err(1σ)	⁸⁶ Kr/ ⁸⁴ Kr	err(1σ)	¹²⁹ Xe/ ¹³² Xe	err(1σ)	¹³¹ Xe/ ¹³² Xe	err(1σ)	⁴ He/ ²⁰ Ne
N201	1.6E-08	4.5E-09	9.9	0.7	0.037	0.009	1983.1	4.9	0.188	0.001	0.204	0.004	0.200	0.004	0.295	0.005	0.970	0.039	0.802	0.031	35206.9
NH2-1	1.8E-09	5.6E-09	8.9	0.5	0.029	0.008	1728.2	9.8	0.196	0.003	0.253	0.013	0.203	0.010	0.295	0.014	1.195	0.200	0.788	0.150	13034.2
NH2-2	1.3E-08	3.2E-09	-	-	-	-	1782.3	5.2	0.186	0.001	0.221	0.004	0.201	0.005	0.297	0.007	0.985	0.044	0.814	0.039	13890.1
NH2-3	3.0E-09	5.6E-09	9.7	0.4	0.022	0.005	1709.1	10.0	0.194	0.003	0.221	0.011	0.205	0.010	0.328	0.013	1.332	0.360	0.887	0.290	18106.3
NH2-5	9.6E-07	1.4E-07	9.2	0.6	0.036	0.007	1497.1	7.3	0.189	0.003	0.202	0.008	0.206	0.010	0.313	0.013	1.164	0.150	0.772	0.120	8759.4
NH2-6	6.3E-09	5.1E-09	-	-	-	-	1567.9	11.0	0.199	0.003	0.205	0.011	0.191	0.009	0.285	0.012	1.364	0.230	1.070	0.210	6611.2
NH2-7	6.2E-09	2.3E-09	10.8	0.4	0.032	0.005	1760.0	4.6	0.185	0.001	0.211	0.005	0.203	0.004	0.304	0.005	1.001	0.040	0.860	0.034	21099.2
NH3-1	1.4E-08	5.4E-09	10.0	0.5	0.032	0.009	1733.2	4.2	0.186	0.001	0.207	0.005	0.204	0.005	0.307	0.006	1.023	0.036	0.796	0.029	31242.7
NH3-2	1.1E-08	3.4E-09	9.8	0.4	0.035	0.006	1647.8	4.5	0.183	0.001	0.215	0.005	0.208	0.005	0.301	0.007	0.961	0.047	0.721	0.041	22321.5
NH3-3	2.9E-09	3.3E-09	11.7	0.5	0.036	0.006	1590.9	3.3	0.189	0.001	0.218	0.004	0.197	0.004	0.297	0.005	0.967	0.035	0.768	0.029	17976.2
NH3-4	1.2E-08	3.3E-09	10.4	0.4	0.021	0.007	1733.5	3.9	0.184	0.001	0.209	0.005	0.210	0.005	0.293	0.008	1.012	0.038	0.809	0.034	27166.6
NH3-5	7.0E-09	2.7E-09	-	-	-	-	1194.3	3.6	0.178	0.001	0.203	0.002	0.204	0.002	0.302	0.003	0.990	0.022	0.753	0.018	-
NH3-6	1.1E-08	4.2E-09	11.1	0.8	0.047	0.007	1666.1	4.9	0.184	0.001	0.208	0.005	0.204	0.005	0.296	0.006	1.076	0.063	0.869	0.043	23293.5
W201	1.4E-08	3.2E-09	10.3	0.3	0.040	0.008	1838.2	5.7	0.192	0.002	0.213	0.004	0.200	0.003	0.303	0.010	0.917	0.056	0.900	0.038	18267.8
W201-H3	1.6E-08	2.1E-09	10.0	0.3	0.006	0.006	4604.5	8.7	0.204	0.001	0.216	0.003	0.205	0.003	0.302	0.003	1.104	0.112	0.857	0.042	67111.8
W204	1.2E-08	6.2E-09	9.0	0.7	0.032	0.009	1496.9	5.3	0.186	0.002	0.212	0.007	0.190	0.007	0.295	0.011	1.086	0.130	0.831	0.095	15345.5
W204H1-2	1.0E-09	7.6E-09	11.7	0.7	0.015	0.007	1446.5	7.0	0.192	0.002	0.210	0.007	0.197	0.008	0.302	0.009	0.980	0.093	0.581	0.070	7901.1
W204H1-3	8.1E-09	1.1E-08	9.5	0.5	0.031	0.008	1444.3	5.1	0.192	0.002	0.222	0.008	0.198	0.008	0.298	0.010	1.002	0.095	0.692	0.082	9299.5
WH3-1	1.7E-08	8.3E-09	9.6	0.5	0.024	0.009	1441.5	3.8	0.188	0.002	0.197	0.007	0.185	0.006	0.280	0.009	1.013	0.086	0.801	0.075	12206.0
Air*	1.4E-06	-	9.8	-	0.029	-	295.5	-	0.188	-	0.202	-	0.201	-	0.305	-	0.983	-	0.789	-	0.318

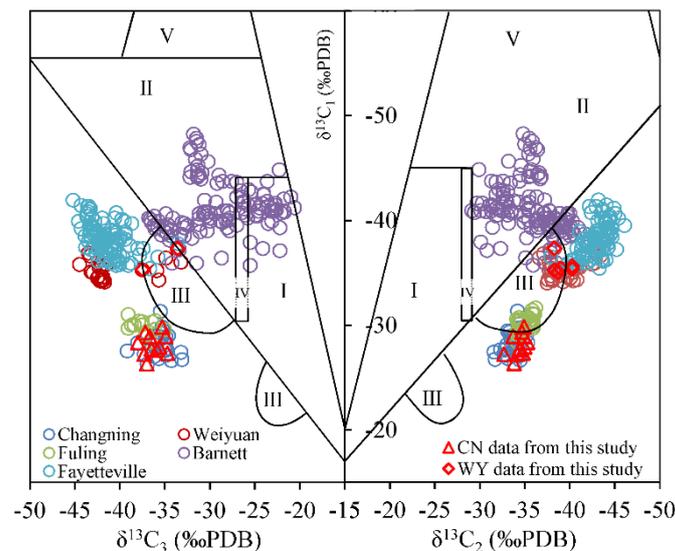
“*” Data from Ozima and Podosek, 2002

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315 5. Discussion

316 5.1 Sources and evolution of hydrocarbons

317 Shale gas is a self-contained, self-storage, and self-enclosed system. To
 318 identify the sources of shale gas is the basis and guarantee of investigating the
 319 evolution of shale gas. Previous studies suggest that the Longmaxi Formation shale
 320 is Type I-II organic matter (Zou *et al.*, 2015, 2016). Dai *et al.* (2014a) proposed a gas-
 321 classification system that uses a three-isotope plot of $\delta^{13}\text{C}_1$ - $\delta^{13}\text{C}_2$ - $\delta^{13}\text{C}_3$ (Fig. 6), in
 322 which carbon isotope values of Longmaxi Formation shale gas in the WY and CN
 323 areas are near or within Zone III, suggesting an isotopic reversal zone. Moreover, it
 324 seems that these hydrocarbons are from terrestrial humic source rock (Fig. 7), which
 325 is not consistent with the specific source of Type I-II organic matter. This
 326 contradiction may result from the combination of kerogen-and oil-cracking gases,
 327 which can modify the carbon isotopic composition of shale gas (Tian *et al.*, 2006,
 328 2007; Hao *et al.*, 2008). Natural methane from various genetic types can be more
 329 easily differentiated on a δD_1 - $\delta^{13}\text{C}_1$ plot than they can by using carbon isotope ratios
 330 alone (Schoell *et al.*, 1980). WY and CN shale gases belong to thermogenic natural
 331 gas (Dai *et al.*, 2014b). Figure 7 shows that CN shale gases are in the Type-II
 332 kerogens area, while WY shale gases fall into the Type-III kerogens. An apparent
 333 difference of carbon and hydrogen isotopic composition of Longmaxi Formation
 334 shale gases exist in the WY and CN areas, which has been reported in previous
 335 work as well (Dai *et al.*, 2014b; Cao *et al.*, 2016, Zhang *et al.*, 2018a). This
 336 indicates that the sources of hydrocarbons in WY and CN are different or their
 337 evolution is not absolutely the same although they are all in the same strata.

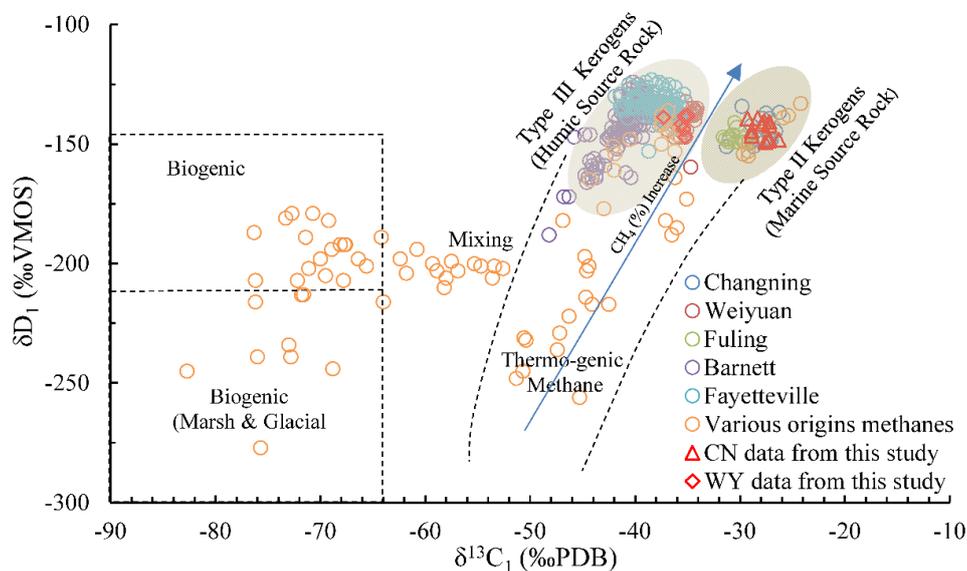


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339 **Fig. 6** Plot of Longmaxi Formation shale gas $\delta^{13}\text{C}_1$ - $\delta^{13}\text{C}_2$ - $\delta^{13}\text{C}_3$ identification in the Weiyuan
 340 and Changning sectors of the Sichuan Basin, China (data sources: Xu *et al.*, 2018; Zhang *et*

341 *al., 2018; Yang et al., 2017; Feng et al., 2016, 2018; Dai et al., 2016; Zumberge et al., 2012;*
 342 *this study). I, coal-derived gas; II, oil-associated gas; III, mixed gas with carbon-isotope*
 343 *reversal; IV, coal-derived gas and/or oil-associated gas; V, microbial gas.*

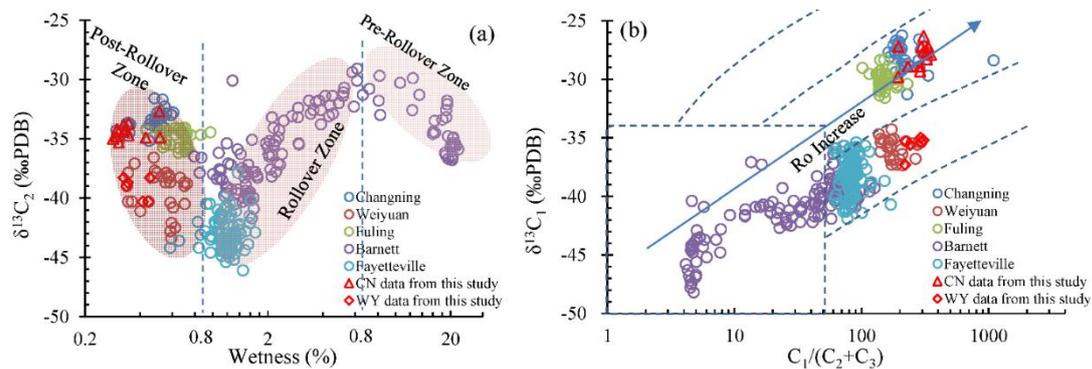
344 The evolution of hydrocarbon can change the carbon isotopic composition of
 345 shale gases, especially in a sealed system. Moreover, detailed and in-depth evolution
 346 information can help us to recognize the real source rock. Based on the relationship
 347 among $\delta^{13}\text{C}_1$, $\delta^{13}\text{C}_2$, and $\delta^{13}\text{C}_3$, four types of isotope- distribution patterns can be
 348 identified: normal series ($\delta^{13}\text{C}_1 < \delta^{13}\text{C}_2 < \delta^{13}\text{C}_3$), partial reversal I ($\delta^{13}\text{C}_2 < \delta^{13}\text{C}_1$ and
 349 $\delta^{13}\text{C}_2 < \delta^{13}\text{C}_3$), partial reversal II ($\delta^{13}\text{C}_2 > \delta^{13}\text{C}_1$ and $\delta^{13}\text{C}_2 > \delta^{13}\text{C}_3$), and complete
 350 reversal ($\delta^{13}\text{C}_1 > \delta^{13}\text{C}_2 > \delta^{13}\text{C}_3$) (Fig. 5). Carbon isotopic composition of Longmaxi
 351 Formation shale gas in the WY and CN regions exhibit partial reversal I and
 352 complete reversal distribution patterns. However, most Barnett shale gases exhibit a
 353 normal carbon isotope distribution, whereas most Fayetteville shale gases display a
 354 partial reversal II distribution pattern (Fig. 5). WY, CN, and FL shale gases present
 355 the highest thermal evolution ($R_o=2.0\%–4.5\%$), while Barnett and Fayetteville shale
 356 gases have R_o values of 0.6% and 1.6%, respectively (Jiang *et al.*, 2008). Carbon
 357 isotopic composition of shale gas could change with thermal evolution (Hao and
 358 Zou, 2013; Dai *et al.*, 2014b) [Fig. 8(b)], therefore, it may be one of the causes of
 359 the different carbon isotope distributions of WY, CN, Fuling, Barnett, and
 360 Fayetteville shale gases.



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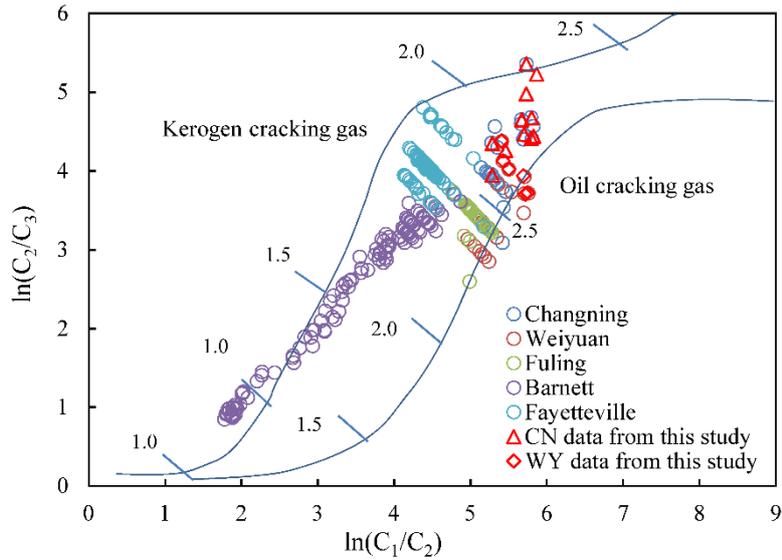
362 **Fig. 7** Relationship between hydrogen and carbon isotope configurations of methane in
 363 various origins facilitating the differentiation of genetic groups. Longmaxi shale gases from
 364 the Weiyuan and Changning sectors in Sichuan Basin, China (data sources: Xu *et al.*, 2018;
 365 Yang *et al.*, 2017; Feng *et al.*, 2016, 2018; Dai *et al.*, 2016; this study), and Barnett and
 366 Fayetteville shale gases (Zumberge *et al.*, 2012). All fall into the “thermogenic methane”
 367 area (after Schoell *et al.*, 1980).

368 Carbon isotopic composition in this and previous studies (Dai *et al.*, 2014b;
 369 Gao *et al.*, 2015; Cao *et al.*, 2016; Feng *et al.*, 2016) all suggest that Longmaxi
 370 Formation shale gases in Sichuan Basin underwent a high-thermal-evolution stage.
 371 The evolution of shale gases can be divided into three zones according to $\delta^{13}\text{C}_2$
 372 versus wetness (Xia *et al.*, 2013; Tilley and Muehlenbachs, 2013): a pre-rollover
 373 zone (wetness > 8.0%), rollover zone (0.8% < wetness < 8.0%), and post-rollover
 374 zone (wetness < 0.8%) (Zumberge *et al.*, 2012; Feng *et al.*, 2016) [Fig. 8(a)].
 375 Barnett and Fayetteville shale gases primarily belong to the rollover zone, while
 376 WY and CN shale gases are mainly distributed in the post-rollover area [Fig. 8(a)],
 377 in which $\delta^{13}\text{C}_2$ has a negative correlation with wetness. This phenomenon illustrates
 378 that WY and CN shale gases are all over-matured ($2.00\% < \text{Ro} < 2.20\%$).
 379 Furthermore, with the evolution of shale gases (from low mature state to over-
 380 mature state), not only does the content of methane increase but so does the $\delta^{13}\text{C}_1$
 381 (Dai *et al.*, 2016; Feng *et al.*, 2016; Tilley and Muehlenbachs, 2013). As revealed in
 382 Fig. 8(b), CN, Fuling, and WY shale gases have heavier methane carbon isotopic
 383 composition than Barnett and Fayetteville shale gases. This indicates that shale
 384 gases in the WY and CN areas have reached a higher evolution stage.



385
 386 **Fig. 8** (a) Wetness-dependent variation of $\delta^{13}\text{C}_2$ in shale gases in Weiyuan and Changning
 387 sectors compared with shale gases from Fuling, Barnett, and Fayetteville fields. (b) Modified
 388 Bernard diagram showing that $\delta^{13}\text{C}_1$ of shale gas increases with increasing maturity (data
 389 sources: Xu *et al.*, 2018; Yang *et al.*, 2017; Gao *et al.*, 2016; Feng *et al.*, 2016, 2018; Dai *et al.*
 390 *et al.*, 2016; Zumberge *et al.*, 2012; this study). Wetness (%) = $C_2+/(C_1+C_2+)$.

391 A relationship between $\ln(C_1/C_2)$ vs. $\ln(C_2/C_3)$ has been established based on
 392 simulation experiments to discriminate kerogen-cracking gas and oil-cracking gas in a
 393 sealed system (Liu *et al.*, 2018; Yang *et al.*, 2017; Li *et al.*, 2015). Figure 9 shows that
 394 Longmaxi Formation shale gases in the WY and CN areas are all comprised of
 395 kerogen-and oil-cracking gases, but mainly the latter. In contrast, most of the Barnett
 396 and Fayetteville shale gases are generated from kerogen cracking, even though they
 397 are all derived from a mixture of primary and secondary cracking gases. WY shale
 398 gases include more oil-cracking gas than CN shale gases, which suggests that WY
 399 shale gases are not generated from humic source rock (Type-III kerogen), but more
 400 like come from Type I-II organic matter.



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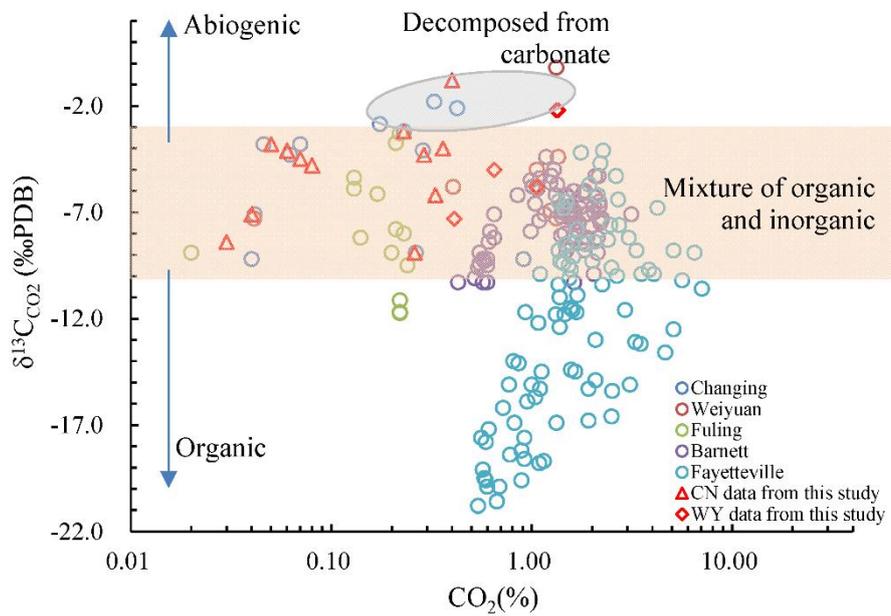
402 **Fig. 9** Plot of $\ln(C_1/C_2)$ vs. $\ln(C_2/C_3)$ to discriminate the kerogen- and oil-cracking
 403 gases with increasing R_o value (%) (data sources: Xu et al., 2018; Zhang et al., 2018; Yang
 404 et al., 2017; Feng et al., 2016, 2018; Dai et al., 2016; Zumberge et al., 2012; this study).
 405 These variations suggest that gases from the CN, WY, and Fuling fields were obtained from
 406 secondary oil cracking. In comparison, the majority of Barnett shale gases originated via
 407 the primary cracking of kerogen, whereas gas samples from Fayetteville, Appalachian
 408 Basin, and Barnett Shale were obtained via a combination of primary and secondary
 409 cracking gases. (After Liu et al., 2018; Yang et al., 2017; Li et al., 2015.)

410 **5.2 Origin of carbon dioxide**

411 There is a certain amount of CO_2 (0.03%–1.35%) in Longmaxi formation shale
 412 gases. The genesis of CO_2 helps us learn more about shale gas sources. CO_2 sources
 413 in shale gases include thermal decomposition of carbonates, microbial degradation
 414 of the organic substrates, thermal maturation of kerogen, dissolved atmospheric
 415 CO_2 , soil gas, and magmatic/mantle degassing (Zhang et al., 2008; Dai et al., 1996,
 416 Wycherley et al., 1999; Zumberge et al., 2012). The wide range of $\delta^{13}C_{CO_2}$ values
 417 suggests that the CO_2 in Longmaxi shale gases has multiple origins (Fig. 10).

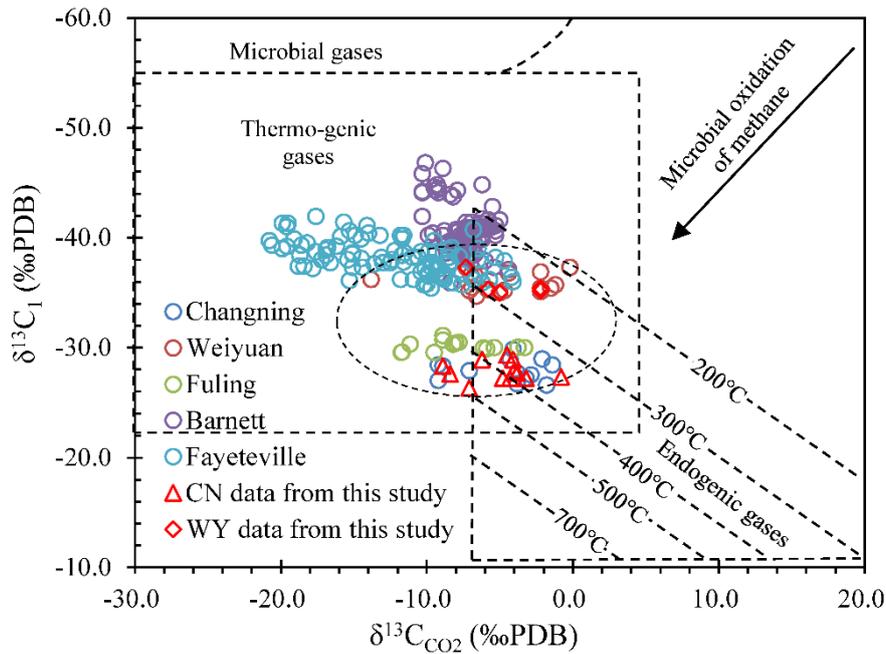
418 The association between concentration and carbon isotopic composition (CO_2
 419 abundance versus $\delta^{13}C_{CO_2}$; see Fig. 10) can be used to evaluate the origin of CO_2
 420 (Boreham et al., 2001; Zhang et al., 2008). Gases that have $CO_2 < 10\%$ and
 421 $-10\text{‰} < \delta^{13}C_{CO_2} < -3\text{‰}$ are likely mixtures of organic or thermogenic CO_2 affected
 422 by microbial degradation (Golding et al., 2013). Longmaxi shale gases have a CO_2
 423 content between 0.03% and 1.35% (Table 1) and carbon isotope ratios in the range
 424 from -8.9‰ to -0.8‰ (Table 2). CO_2 in Longmaxi shale gases is enriched in the
 425 heavier carbon isotope ^{13}C , and $\delta^{13}C_{CO_2}$ values are normally higher than -3‰ (Fig.
 426 10). Abiogenic-derived CO_2 , i.e., decarbonized CO_2 (Mattey et al., 1990; Huang et
 427 al., 2004) or mantle degassing (Marty and Tolstikhin, 1998) is generally isotopically

428 heavier. The inorganic $\delta^{13}\text{C}_{\text{CO}_2}$ value of thermal cracking of carbonate minerals is
 429 near that of the $\delta^{13}\text{C}$ value of carbonate rock, approximately $0\pm 3\text{‰}$ (Dai *et al.*,
 430 1995). Furthermore, Linxiang and Baota formations, which are dominated by
 431 limestone below the Longmaxi Formation shale, can be the source of decomposition
 432 of carbonate (Chen *et al.*, 2014; Feng *et al.*, 2018). Although CO_2 in WY, CN,
 433 Fuling, Barnett, and Fayetteville shale gases is mainly generated from the
 434 transformation of organic matter, WY and CN shale gases also contain an endogenic
 435 CO_2 that may be associated with Emei mantle plume activity. This can be seen in
 436 the plot of $\delta^{13}\text{C}_{\text{CO}_2}$ versus $\delta^{13}\text{C}_1$ (Fig. 11). Endogenic CO_2 in WY and CN shale
 437 gases are produced at different temperatures. In the WY area, CO_2 is generated by
 438 decomposition of carbonate at 200°C – 300°C , but the temperature for carbonate
 439 decomposition is 300°C – 400°C in the CN area (Fig. 11).



440

441 **Fig. 10** Plot of CO_2 content vs $\delta^{13}\text{C}_{\text{CO}_2}$ showing the origin of the CO_2 in shale gases (data
 442 sources: Xu *et al.*, 2018; Zhang *et al.*, 2018; Yang *et al.*, 2017; Feng *et al.*, 2016, 2018; Dai
 443 *et al.*, 2016; Zumberge *et al.*, 2012; this study). Gases with CO_2 contents lower than 10%
 444 exhibited a broad span of $\delta^{13}\text{C}$ values. Thermogenic CO_2 sourced from coal or organic
 445 matter in shales were variably lowered in ^{13}C , as what is observed in the Fayetteville shale
 446 gas, whereas isotopically heavy CO_2 ($> -3\text{‰}$) residual was observed following the
 447 decomposition product of carbonate. Gases with CO_2 contents lower than 10% and carbon
 448 isotope compositions in the inorganic span within -3‰ and -10‰ were frequently
 449 combinations or thermogenic CO_2 impacted by the decomposition of carbonate.



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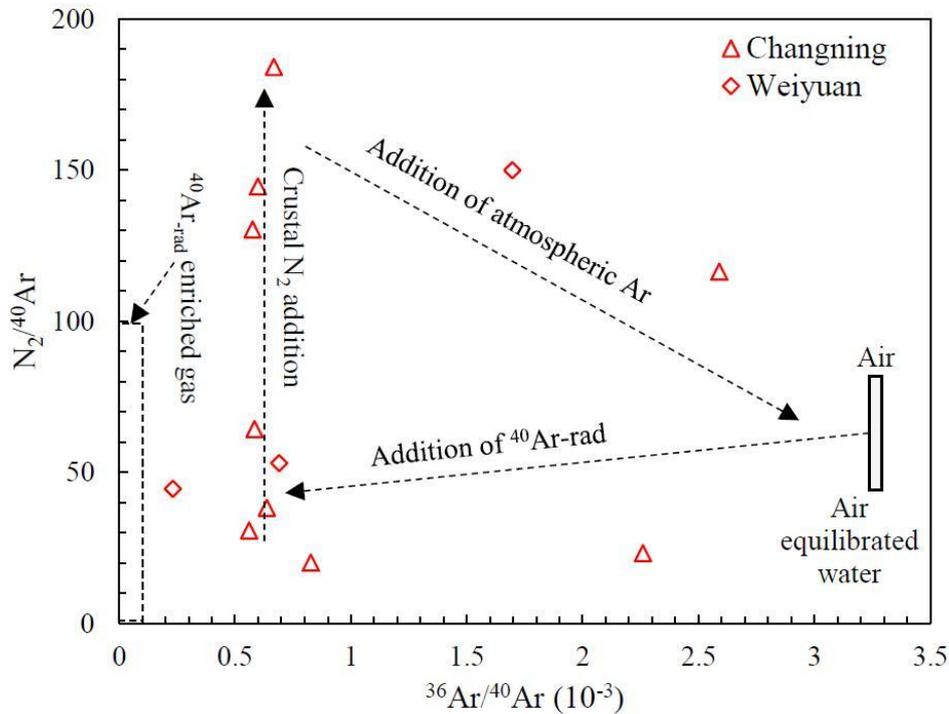
451 **Fig. 11** Genetic characterization of analyzed shale gases using $\delta^{13}C_1$ vs $\delta^{13}C_{CO_2}$ (data
 452 sources: Xu et al., 2018; Zhang et al., 2018; Yang et al., 2017; Feng et al., 2016, 2018; Dai
 453 et al., 2016; Zumberge et al., 2012; this study) (according to Gutsalo and Plotnikov, 1981;
 454 Kotarba et al., 2014). $\delta^{13}C_{CO_2}$ values of upper mantle varied from -8% to -5% (average:
 455 approximately -7%) (e.g., Pineau et al., 1976; Javoy et al., 1986). Carbon dioxide from
 456 Weiyuan and Changning shale reservoirs contain an endogenic component (magmatic-
 457 and/or upper-mantle-derived). Weiyuan and Changning lie in the Emeishan basalt province
 458 and adjacent regions (Zhu et al., 2010b), which are affected by a thermal anomaly and by
 459 diffuse CO_2 degassing.

460 Based on $^3He/^4He$ ratios, which span from 0.001 to 0.019 Ra (Ra is
 461 atmospheric value= 1.4×10^{-6}) (Table 3), there is no CO_2 derived from
 462 magmatic/mantle degassing in the Longmaxi Formation shale gases (Cao et al.,
 463 2016; Liu et al., 2018). The lower CO_2 contents in WY and CN shale gases may be
 464 due to CO_2 's elevated aqueous solubility in subsurface formation waters and
 465 diagenetic reactivity with subsurface strata (Kotarba et al., 2008, 2014).

466 5.3 Origin of Nitrogen

467 Nitrogen is also an important component in shale gases, which tell us the
 468 atmosphere and crustal endmembers' infusion. In $N_2/^{40}Ar$ versus $^{36}Ar/^{40}Ar$ space,
 469 most Longmaxi shale-gas samples plot between the crustal, radiogenic, and
 470 atmospheric elements (Fig. 12). It shows that Ar and N_2 in WY and CN shale gases
 471 contain atmospheric Ar and N_2 , which is typically observed in crustal fluid studies
 472 (Ballentine et al., 1991) and originates from sedimentation water or groundwater
 473 that had previously equilibrated with air (Battani et al., 2000). However, the
 474 difference in $N_2/^{40}Ar$ and $^{36}Ar/^{40}Ar$ ratios across the Longmaxi Formation shale

475 gases (Fig. 12) is not consistent with simple two-component mixing between
 476 atmosphere and crustal endmembers. The variable $^{36}\text{Ar}/^{40}\text{Ar}$ is caused by the
 477 accumulation of ^{40}Ar produced from ^{40}K , but variable $\text{N}_2/^{40}\text{Ar}$ at an approximately
 478 constant $^{36}\text{Ar}/^{40}\text{Ar}$ imply that the nitrogen cannot be explained by mixing with a
 479 dissolved air component.

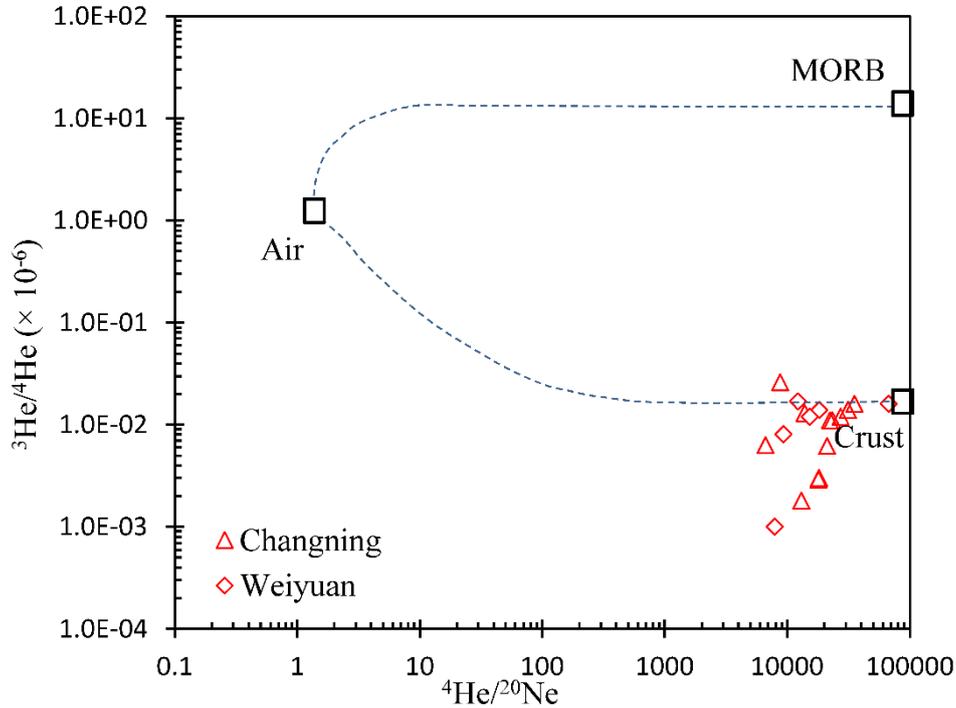


480

481 **Fig. 12** Plot of $\text{N}_2/^{40}\text{Ar}$ vs. $^{36}\text{Ar}/^{40}\text{Ar}$ displaying a dissolved-air groundwater N_2 contribution
 482 that is not in agreement with simple two-component mixing among the atmosphere and
 483 crustal endmembers, demonstrating that nitrogen could not be explained by simple mixing
 484 dissolved air components. Square labelled " $^{40}\text{Ar}_{\text{-rad}}$ enriched gas" shows the region in
 485 which low $\text{N}_2/^{40}\text{Ar}$ ratio results from accumulation of ^{40}Ar produced from ^{40}K . Rectangle in
 486 lower right-hand corner denotes N_2/Ar ratio for air (84) and for fractionated air dissolved
 487 in water (~ 40).

488 In CN shale gas, there is an apparent increasing trend of crustal N_2 addition
 489 (Fig. 12). This is also demonstrated by Fig. 13, in which all data shows that noble
 490 gases in Longmaxi shale gases are crustal in origin with no mantle-derived volatile
 491 component. Evidence was found for significant nitrogen storage as NH_4^+ during
 492 diagenesis in shales (Krooss et al., 2006) and, furthermore, nitrogen can be
 493 produced in great quantities during the thermogenic transformation of organic
 494 matter (Krooss et al., 1995). The process of molecular nitrogen production from
 495 organic matter was documented by pyrolytic experiments (Gerling et al., 1997).
 496 Organic N in present biogenic materials such as marine plants, animals and
 497 sediments shows a wide range of $\delta^{15}\text{N}$ values from -8 to +23‰ (Sano et al., 1993).

498 Nitrogen isotopic composition varies in Earth's major reservoirs ($\delta^{15}\text{N} = 0\text{‰}$ for
 499 atmospheric, Li et al., 2009; $-5 \pm 3\text{‰}$ for the mantle, Marty and Zimmermann,
 500 1999, Cartigny et al., 2001 and $+2\text{‰} < \delta^{15}\text{N} < +10\text{‰}$ for crustal rocks, Bebout and
 501 Fogel, 1992). Nitrogen isotope data are needed to confirm if there are N_2 generated
 502 by thermogenic transformation of organic matter in WY and CN shale gases.



503

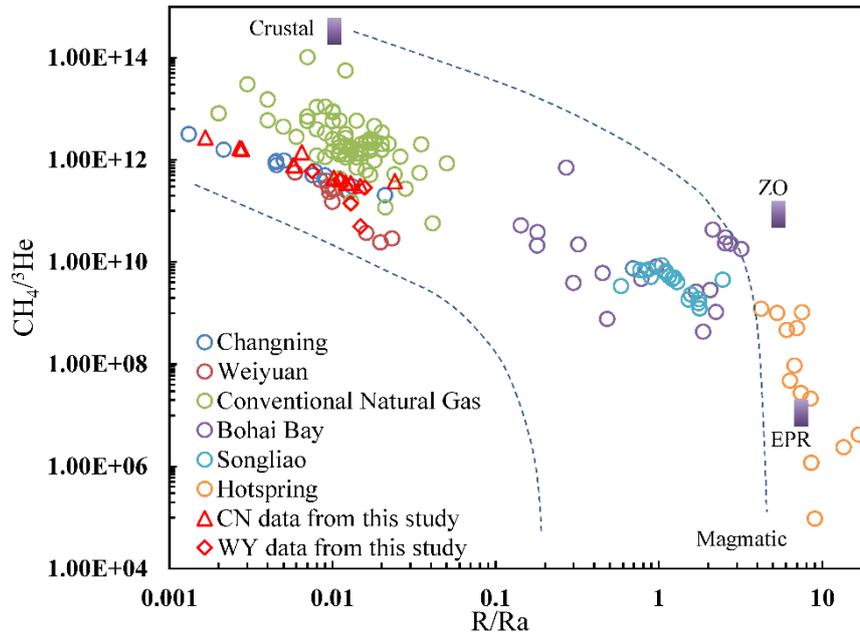
504 **Fig. 13** Plot of $^3\text{He}/^4\text{He}$ vs $^4\text{He}/^{20}\text{Ne}$ showing mixing lines among the atmosphere and the
 505 upper mantle and between the atmosphere and the crust. Curved lines joining the air-upper
 506 mantle and air-crust were determined utilizing the endmembers: atmosphere ($^3\text{He}/^4\text{He}=1.4$
 507 $\times 10^{-6}$, $^4\text{He}/^{20}\text{Ne}=0.318$) (Ozima and Podosek, 2002), continental crust ($^3\text{He}/^4\text{He}=0.01 \times$
 508 10^{-6} , $^4\text{He}/^{20}\text{Ne}=100,000$) (Ballentine and Burnard, 2002), and upper mantle ($^3\text{He}/^4\text{He}=12$
 509 $\times 10^{-6}$, $^4\text{He}/^{20}\text{Ne}=100,000$) (e.g., Graham, 2002).

510 5.4 Origin of shale gases from noble gas perspective

511 Being chemically inert and having distinct isotopic composition in atmosphere,
 512 crust, and mantle (Ozima and Podosek, 2002; Hilton et al., 2003), noble gases have
 513 become one of the ideal natural tracers for studying the origin and evolution of
 514 crustal fluids in sedimentary basins (Wen et al., 2017; Pinti et al., 2013; Procellii et
 515 al., 2002). They play a unique role in tracing atmospheric, radiogenic, and mantle-
 516 derived gases.

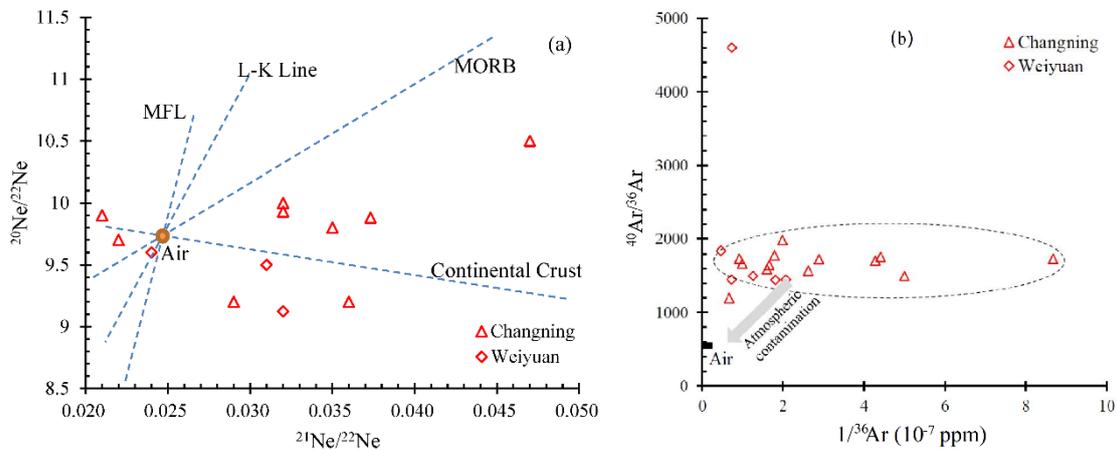
517 The $\text{CH}_4/{}^3\text{He}$ vs. R/Ra plot (Fig. 14) shows that all shale gases from Longmaxi
 518 Formation in WY and CN areas, Sichuan Basin, lie at the crustal end ($\text{CH}_4/{}^3\text{He} =$
 519 3×10^{13} , $\text{R/Ra} = 0.01$; Jenden et al., 1993), far from the abiogenic values of EPR
 520 (geothermal fluids from 21°N East Pacific Rise; Welhan and Craig, 1983) and ZO
 521 (gas seeps from the Zambales Ophiolite in the Philippines; Abrajano et al., 1988).

522 Although having a similar distribution of CH_4/He vs. R/Ra , conventional natural
 523 gases show a slightly higher CH_4/He ratio than WY and CN shale gases. Gases
 524 from Bohai Bay, Songliao Basin and Hotspring in China have a lower CH_4/He ratio
 525 and higher R/Ra than Longmaxi shale gases and conventional natural gases, which
 526 indicates their different origins.



527

528 **Fig. 14** CH_4/He vs R/Ra plot of shale gases from the Longmaxi Formation of the Weiyuan
 529 and Changning sectors in the Sichuan Basin, China (data from this study). Also shown are
 530 conventional gases from East Sichuan, Central Sichuan, and Ordos (Dai et al., 2008; Ni et
 531 al., 2014) and gases from Bohai Bay (Dai et al., 2008), Hotspring (Sano et al., 1985), and
 532 Songliao Basin (Dai et al., 2008), implying the addition of mantle-derived helium. EPR,
 533 geothermal fluids from East Pacific Rise (Welhan and Craig, 1983; Niedermann et al.,
 534 1997); ZO, gas seeps from Zambales Ophiolite, which were collected from the Philippines
 535 (Abrajano et al., 1988); crustal values are from Jenden et al.(1993).



536

537 **Fig. 15** Plots of (a) neon isotopes and (b) $^{40}\text{Ar}/^{36}\text{Ar}$ vs $1/^{36}\text{Ar}$. Mass fractionation line
538 (MFL), Loihi–Kilauea (L-K) line (air-plume mixing; [Honda et al., 1991](#)), MORB line (air-
539 MORB mixing; [Sarda et al., 1988](#)), and air-crust mixing line ([Kennedy et al., 1990](#)) are
540 shown for reference.

541 Within the Ne three-isotope plot [[Fig. 15\(a\)](#)], although information associated
542 with atmospheric neon indicates that Ne is atmospherically derived in Longmaxi
543 shale gases, the crust-derived (radiogenic origin) compositions apparently account
544 for a large proportion. When $^{40}\text{Ar}/^{36}\text{Ar}$ data are plotted versus the reciprocal of the
545 ^{36}Ar concentrations [[Fig. 15\(b\)](#)], the $^{40}\text{Ar}/^{36}\text{Ar}$ ratios also define a radiogenic
546 endmember ($1194.3 < ^{40}\text{Ar}/^{36}\text{Ar} < 4604.5$), which is common to all the WY and CN
547 shale gases. Radiogenic ^{40}Ar is the product of radiogenic decay of K either from the
548 sedimentary rocks or from the basement. Moreover, the $^{40}\text{Ar}/^{36}\text{Ar}$ ratios are
549 consistent with the age of Longmaxi strata calculated by the age accumulative effect
550 of Ar isotope ([Zheng et al., 2005](#); [Liu and Xu, 1993](#)). WY shale gases have lower
551 $^{40}\text{Ar}/^{36}\text{Ar}$ and $1/^{36}\text{Ar}$ ratios, which is closer to an air-endmember, whereas, CN shale
552 gases have high $^{40}\text{Ar}/^{36}\text{Ar}$ and $1/^{36}\text{Ar}$ ratios. Even if there is more radiogenic ^{40}Ar
553 derived from radioactive decay of K in the CN area ([Gu et al., 2012](#)), the $1/^{36}\text{Ar}$
554 ratios cannot possibly be larger than those in the WY area. However,
555 atmospherically derived Ar is more easily degassed from sedimentation water or
556 groundwater in WY shale reservoirs, because of low pressure in shale strata ([Cao et
557 al., 2018](#)). Therefore, in WY shale gases, atmospherically derived ^{36}Ar content is
558 higher than that in CN shale gases, which made $1/^{36}\text{Ar}$ ratios low in the WY area.
559 This may also be the reason for more N_2 in the WY shale gas than that in the CN
560 shale gas ([Fig. 3](#) and [Table 1](#)).

561 6. Conclusions

562 The present study investigated the stable isotopic composition of noble gases
563 (He, Ne, Ar, Kr, and Xe) and molecular compositions of shale gases collected in the
564 Lower Silurian Longmaxi Formation of Southern Sichuan Basin in Weiyuan (WY)
565 and Changning (CN), China, specifically their stable carbon isotopic compositions
566 of the hydrocarbons (CH_4 , C_2H_6 , and C_3H_8) and CO_2 and the stable hydrogen
567 isotopic compositions of methane and ethane. The WY and CN shale gases are
568 comprised mainly of CH_4 (93.41%–99.0%), while non-hydrocarbon gases primarily
569 include N_2 (0.22%–2.81%) and CO_2 (0.03%–1.35%). H_2S was not detected. The
570 $\delta^{13}\text{C}_1$ and $\delta^{13}\text{C}_2$ values are different in the WY and CN areas. Carbon- and
571 hydrogen-isotope compositions all display a reversal pattern. The $\delta^{13}\text{C}_{\text{CO}_2}$ values
572 have a wide range, from -8.9‰ to -0.8‰ . Kr and Xe exhibit air-like isotope ratios,
573 $^3\text{He}/^4\text{He}$ ratios span from 0.001 to 0.019 Ra, and $^{40}\text{Ar}/^{36}\text{Ar}$ ratios have higher values
574 than they do in air.

575 The source and thermal evolution history of shale gas in the Silurian Longmaxi
576 Formation of the Sichuan Basin were discussed by using shale gas geochemical data.
577 WY and CN shale gases come from Type I-II and II organic matter, WY shale gases

578 content more oil-cracking gases. The complete reversal distribution patterns of
579 carbon isotopic composition imply Longmaxi Formation shale gas in the WY and
580 CN regions underwent a high/over-thermal-evolution stage. Thermo-genic CO₂ and
581 N₂ indicate Linxiang and Baota formations may provide gases into Longmaxi shale
582 gas, and Emeishan mantle plume provides the additional source of heat. However,
583 the low helium isotopic composition (³He/⁴He: 0.001Ra to 0.019Ra) indicates that
584 no mantle-derived components were injected into the Longmaxi Formation shale
585 gas, which indicates that the Emeishan mantle plume only provides thermal
586 radiation energy and there is no channel between the mantle plume and the
587 Longmaxi Formation shale.

588 Shale gases in Changning (CN)-Weiyuan (WY) National Shale Gas
589 Demonstration Zone has extremely high CH₄ content (up to 99.01%) and quite
590 heavy δ¹³C₁ (up to -26.3‰). Carbon isotopic composition of Longmaxi Formation
591 shale gas in Changning (CN)-Weiyuan (WY) National Shale Gas Demonstration
592 Zone exhibit partial reversal (δ¹³C₂<δ¹³C₁ and δ¹³C₂< δ¹³C₃) and complete reversal
593 distribution patterns (δ¹³C₁>δ¹³C₂>δ¹³C₃). It turns out that, in shale gas reservoirs
594 (sealed systems), methane content and carbon isotope ratio increase with the degree
595 of thermal evolution. The increase of maturity will also cause carbon isotope
596 reversal. Therefore, low humidity, heavy δ¹³C₁, and carbon isotope reversal may
597 indicate the overpressure in shale gas reservoir and high yield of shale gas

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Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests: