

1 Type of the Paper (Article, Review, Communication, etc.)

## 2 Two-Phase Object-Based Deep Learning for Multi- 3 temporal SAR image change Detection

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13 Received: date; Accepted: date; Published: date

14 **Abstract:** Change detection is one of the fundamental applications of synthetic aperture radar (SAR)  
15 images. However, speckle noise presented in SAR images has a negative effect on change detection,  
16 leading to frequent false alarms in the mapping products. In this research, a novel two-phase object-  
17 based deep learning approach is proposed for multi-temporal SAR image change detection.  
18 Compared with traditional methods, the proposed approach brings two main innovations. One is  
19 to classify all pixels into three categories rather than two categories: unchanged pixels, changed  
20 pixels caused by strong speckle (false changes), and changed pixels formed by real terrain variation  
21 (real changes). The other is to group neighboring pixels into superpixel objects such as to exploit  
22 local spatial context. Two phases are designed in the methodology: 1) Generate objects based on the  
23 simple linear iterative clustering (SLIC) algorithm, and discriminate these objects into changed and  
24 unchanged classes using fuzzy c-means (FCM) clustering and a deep PCANet. The prediction of  
25 this Phase is the set of changed and unchanged superpixels. 2) Deep learning on the pixel sets over  
26 the *changed* superpixels only, obtained in the first phase, to discriminate real changes from false  
27 changes. SLIC is employed again to achieve new superpixels in the second phase. Low rank and  
28 sparse decomposition are applied to these new superpixels to suppress speckle noise significantly.  
29 A further clustering step is applied to these new superpixels via FCM. A new PCANet is then trained  
30 to classify two kinds of changed superpixels to achieve the final change maps. Numerical  
31 experiments demonstrate that, compared with benchmark methods, the proposed approach can  
32 distinguish real changes from false changes effectively with significantly reduced false alarm rates,  
33 and achieve up to 99.71% change detection accuracy using multi-temporal SAR imagery.

34 **Keywords:** Synthetic Aperture Radar (SAR); Change Detection; Deep Learning; Superpixel.

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### 36 1. Introduction

37 With its cloud penetrating capability, synthetic aperture radar (SAR) images have drawn a large  
38 amount of attention, for example, in environmental surveillance, urban planning and military  
39 applications over the past decades. Using SAR images for change detection often involves two images

40 acquired over the same area at different times, utilising the information in the differences between  
41 them.

42 Depending on the availability of a difference image (DI), change detection approaches can be  
43 divided into two categories. One is post-classification comparison which is undertaken to identify  
44 changed and unchanged regions directly from two images that were classified independently before  
45 the analysis. In this approach, the change detection result is not influenced by radiation normalization  
46 and geometric correction. However, the accuracy of the change detection relies on the quality of the  
47 classification results, with errors propagating to the outcome. The other approach is post-comparison  
48 analysis, in which change detection is achieved by generating a DI from two multi-temporal images,  
49 and obtaining the final change map from it. The classification errors in this case do not accumulate,  
50 but the way that the DI is generated may influence the validity of the change detection results [1].

51 From a machine learning perspective, change detection can also be categorized into supervised  
52 and unsupervised approaches, depending on whether labeled data are used or not [2-3]. For  
53 supervised methods, features extracted from labeled data are fed into a subsequent classifier. This  
54 strategy requires a significant number of ground reference data to train the algorithm, and the  
55 labelling process can be extremely labor-intensive and time-consuming [4]. In [5], a context-sensitive  
56 similarity measure is presented based on supervised classification to amplify the dissimilarity  
57 between changed and unchanged pixels. Unsupervised methods for change detection can be viewed  
58 as a clustering approach which divides the data into changed and unchanged classes [6-7]. In [8], the  
59 DI is cast into an eigenvector space and  $k$ -means clustering is used to partition the space into two  
60 clusters. In [9], a modified Markov Random Field (MRF) energy function is employed to update  
61 iteratively the membership association of fuzzy  $c$ -means (FCM), to cluster the DI into two classes. In  
62 [10] a novel method based on spatial fuzzy clustering was used to add spatial information to enhance  
63 change detection performance.

64 Recently, deep learning has gained widespread attention in the field of computer vision and  
65 pattern recognition, and demonstrated state-of-the-art prediction accuracy in various challenging  
66 tasks, such as target detection, image classification, etc.. The major benefit of deep learning is that it  
67 can extract abstract and high-level representations that are hard to hand-code through feature  
68 engineering [11,12]. Besides, deep networks are often pre-trained using a large-scale dataset (e.g.  
69 ImageNet), and fine-tuned to other domains including remote sensing. Convolutional neural  
70 networks (CNNs) are considered as the pioneer of deep learning methods which mimic the receptive  
71 fields of the human brain neural cortex, with less redundancy and complexity through the weight-  
72 sharing architecture [12,13]. Some well-developed CNN models, such as AlexNet [12], VGG [14] and  
73 ResNet [15], have been adopted quickly in the remote sensing community to solve real-world  
74 challenges (e.g., land cover and land use classification).

75 Given the advantages of deep learning, some pioneering methods have been proposed for multi-  
76 temporal SAR image change detection. In [1], a stack of restricted Boltzmann machine (RBM)  
77 networks was used to learn efficiently the relationship between two multi-temporal SAR images for  
78 change detection. A dual-channel CNN structure was used to extract features of two SAR images for  
79 change detection [16]. [17] presents a local restricted CNN for SAR image change detection, which is  
80 formed by imposing a spatial constraint on the output layer of the CNN, such as to learn from several  
81 layered difference images. In [18], a stacked contractive autoencoder (sCAE) using a contractive  
82 penalty was proposed to promote local invariance and robustness, such that robust features can be  
83 extracted from superpixels of SAR images for change detection. In [19], a deep learning-based  
84 weakly supervised framework was developed for urban change detection using multi-temporal  
85 polarimetric SAR data. In [20], a transferred multi-level fusion network (MLFN) was trained using  
86 a large dataset and fine-tuned to extract features from SAR image patches for sea ice change detection.  
87 PCANet is an alternative deep learning model suitable for SAR image change detection [22,23,24]. In  
88 PCANet, the cascaded PCA filters and binary quantization (hashing) are used as a data-adapting

89 convolution filter bank in each stage and in the nonlinearity layer [21]. During the PCANet training  
90 process, there is no requirement for regularized parameters and numerical optimization solvers,  
91 which promotes the efficiency and accuracy of the network. In [22], PCANet was shown to be  
92 accurate, with great potential for SAR image change detection. In [23], context-aware saliency  
93 detection was employed to obtain training samples for PCANet in SAR image change detection,  
94 which reduces the number of training samples required while maintaining the reliability of the  
95 training sample sets, leading to less training time and computational efficiency. In [24], a  
96 morphologically supervised PCANet was designed to overcome the class imbalance problem in SAR  
97 image change detection (changed pixels are far less common than unchanged pixels).

98 Although the above-mentioned deep learning methods exhibit excellent performance in SAR  
99 image change detection, there are still some shortcomings. First of all, all the above methods are  
100 actually binary classification algorithms, which separate pixels of the changed class (CC) from pixels  
101 of the unchanged class (UC). In reality, variation in the pixel values caused by strong speckle noise  
102 may lead to allocation to the changed class, potentially producing a large number of false alarms.  
103 Here, strong speckle noise refers to those speckle which have amplitude values similar to the terrain  
104 pixel amplitude values or even larger. Thus, strong speckle noise can bring significant false alarms to  
105 change detection. However, for SAR image change detection, the strong or weak speckle is relative  
106 to the amplitudes of terrain pixels. Due to the complexity of the terrain background, some objects  
107 have smaller pixel amplitude values in the SAR image, and some objects have larger pixel amplitude  
108 values in the SAR image. So it is difficult to use a general certain value or standard to measure  
109 "strong" degree in SAR image change detection. Therefore, in this research, only the term "strong  
110 speckle" is introduced qualitatively. There are actually two kinds of changed pixels: one is produced  
111 by real terrain object changes (i.e. real changed class, RCC), and the other caused by strong speckle  
112 noise (i.e. false changed class, FCC). For example, if there was a building in a location in the first  
113 temporal SAR image, but it was no longer available in the second temporal SAR image. This situation  
114 belongs to RCC. The FCC means that there is no change in terrain, but the change is caused by the  
115 speckle noise. For example, the original speckle noise is weak in the first temporal SAR image, but  
116 the later speckle noise of the same location is very strong in the second temporal SAR image. This  
117 kind of strong speckle noise variation is often regarded by the change detection algorithm as a real  
118 terrain change leading to false alarms. Therefore, this kind of change belongs to the FCC. Even if deep  
119 learning models have powerful classification capabilities, there will still be several false alarms due  
120 to strong speckle noise. Secondly, in current deep learning-based SAR image change detection, high  
121 quality training samples are required to train the networks. Those training samples are commonly  
122 taken as rectangular patches centering around the pixels that are of interest. However, this operation  
123 often introduces artefacts on the border of these rectangular patches, which produces uncertainty in  
124 the classification maps. For example, unchanged pixels and changed pixels could potentially exist in  
125 one image patch simultaneously. Heterogeneous pixels can also be found in one rectangular patch,  
126 which will increase the difficulty of distinguishing between CC and UC classes.

127 In this research, a new framework of two-phase object-based deep learning (TPOBDL) is  
128 proposed for SAR image change detection. Object-based deep learning has been shown to be suitable  
129 for remote sensing applications [25]. Thus, in TPOBDL, change detection is implemented in an object-  
130 based rather than pixel-wise fashion. Superpixel generation is applied to SAR images to acquire  
131 image objects (also called superpixels in computer science, and here) using a simple linear iterative  
132 clustering (SLIC) algorithm [26]. In fact, all processing steps in TPOBDL are based on image  
133 superpixels. Since a superpixel is a local set of homogeneous pixels, superpixels can reflect the local  
134 spatial context [27,28,29]. Therefore, this approach can overcome the problems caused by operations  
135 involving rectangular patches, such as introducing artefacts and uncertainty in the classification. The  
136 proposed approach involves two phases to differentiate RCC and FCC objects in an automated  
137 approach. Our two-phase deep learning strategy is, thus: Phase 1 deep learning to classify the objects  
138 of CC and those of UC, and Phase 2 deep learning to classify objects of CC into RCC and FCC objects.

139 This two-phase framework reduces the classification difficulty faced by deep learning models at each  
 140 phase, and is conducive to increasing the overall accuracy of change detection.

141 Our major contributions are as follows:

142 1) Change detection through an object-based rather than pixel-wise approach. Superpixel  
 143 generation is applied to SAR images to obtain objects via SLIC, such that the local spatial context  
 144 is captured.

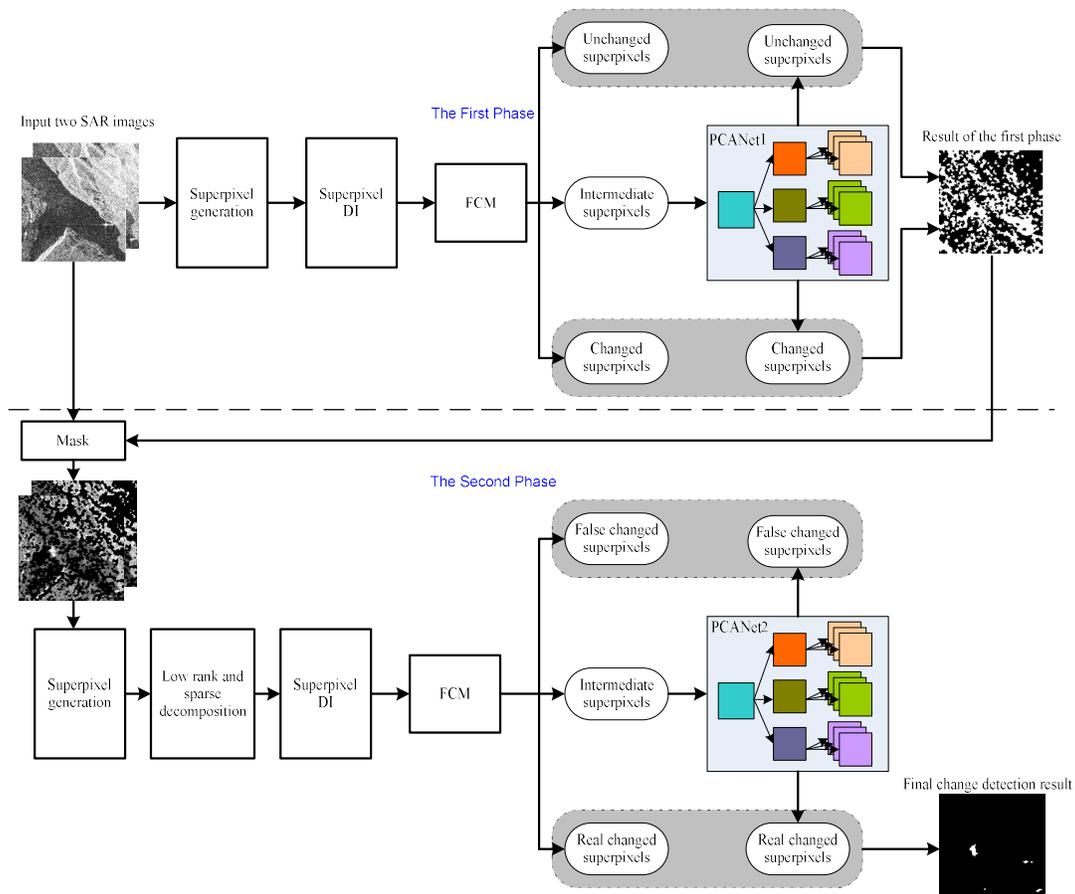
145 2) A two-phase approach is designed for multi-temporal SAR image change detection. Deep  
 146 learning methods are developed to identify objects of FCC and RCC by combining low rank and  
 147 sparse decomposition (LRSD) with reduced false alarms.

148 The remainder of this paper is organized as follows. In Section 2, the proposed approach is  
 149 described in detail. Section 3 presents the experimental datasets and results. Discussion on the  
 150 experiment results and the proposed approach are shown in Section 4. Finally, conclusions are drawn  
 151 in Section 5.

## 152 2. Methodology

### 153 2.1. Problem Statement and Overview of the Proposed Method

154 Consider two SAR images taken from the same location, but at different times  $I_1$  and  $I_2$ , both  
 155 of size  $M \times N$ . Change detection is required to generate a binary change map labeling changed  
 156 pixels and unchanged pixels between  $I_1$  and  $I_2$ . Figure 1 shows the scheme of TPOBDL, which  
 157 consists mainly of two phases of deep learning, described in detail as follows.



158

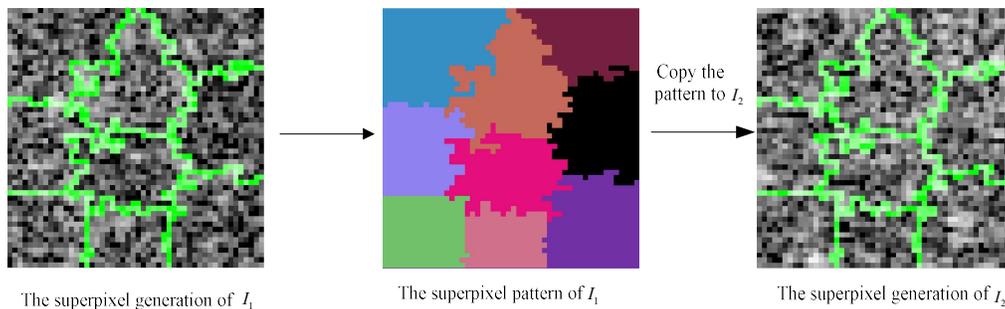
159 **Figure 1.** The scheme of the proposed approach.

## 160 2.2. First Phase Deep Learning

### 161 2.2.1. Superpixel Generation of Multi-Temporal SAR Images

162 In existing deep learning-based SAR image change detection methods, the patches for the  
 163 training and testing of deep neural networks are generated mainly in the shape of rectangles, which  
 164 is convenient [24]. However, the operation of taking rectangular patches has significant  
 165 disadvantages for SAR image change detection. Firstly, when the current pixel is near the boundary  
 166 between changed and unchanged regions, the patch generated will contain both changed and  
 167 unchanged pixels, which may introduce uncertainty to the deep neural network and impair the  
 168 learning process [25]. Secondly, rectangular patch generation ignores the local spatial context, which  
 169 is conducive to the change detection. Instead of taking a rectangular patch, in this paper, patches  
 170 come from superpixels, where all pixels are homogeneous. This reduces the likelihood that  
 171 heterogeneous pixels, or even changed and unchanged pixels appear in one patch simultaneously.  
 172 Patches that are superpixels, compared with traditional rectangular patches, provide more valid  
 173 information to the deep learning model. In fact, deep learning based on superpixels is an object-based  
 174 approach, which have more advantages.

175 In this research, we use SLIC to apply superpixel generation to two multitemporal SAR images  
 176  $I_1$  and  $I_2$ . SLIC is chosen for its simplicity, flexibility in compactness, memory efficiency and high  
 177 accuracy, as applied to SAR image processing [30,31]. First, superpixels of  $I_1$  are obtained by SLIC.  
 178 Then the superpixel pattern from  $I_1$  is copied to  $I_2$ , as shown in Fig 2. Pattern copying ensures  
 179 that the corresponding two superpixels of  $I_1$  and  $I_2$  represent the same local region.



180  
 181 **Figure 2.** Illustration of copying superpixel pattern from  $I_1$  to  $I_2$ .

182 The principles of SLIC are briefly described as follows. Firstly, the number of superpixels is set  
 183 as  $v$ , which means  $I_1$  is portioned into  $v$  pixel-blocks at the beginning. The center of each pixel-  
 184 block is called a seed. The distance (step length) between two seeds is defined as  $\Omega = \sqrt{M \times N/v}$ .  
 185 To avoid seeds falling on the contour boundary with a larger gradient, the seeds are redefined where  
 186 the gradient is the smallest in the neighborhood. Then searching in the neighborhood of each seed,  
 187 the distance between a pixel in the neighborhood and the seed, including distance in feature (colour)  
 188 space  $d_c$  and in geographical space  $d_s$ , is gained by

$$d_c = \sqrt{(l_j - l_i)^2 + (a_j - a_i)^2 + (b_j - b_i)^2} \quad (1)$$

$$d_s = \sqrt{(x_j - x_i)^2 + (y_j - y_i)^2} \quad (2)$$

$$D = \sqrt{(d_c/\Gamma)^2 + (d_s/\Omega)^2} \quad (3)$$

189 where  $d_c$  means feature (color) distance,  $\Gamma$  is the maximum color distance in the SLIC algorithm.  
 190 Because color distances can vary significantly from image to image, the parameter  $\Gamma$  can be fixed to  
 191 a constant. Based on the experiments in this research, we determined the value of this parameter to  
 192 be 10.  $d_s$  means spatial distance, and  $D$  is the distance metric.  $l_i$ ,  $a_i$  and  $b_i$  represent the three  
 193 color values of the seed in the CIELAB color space  $[l \ a \ b]^T$  respectively, and  $x_i$ ,  $y_i$  represents the  
 194 coordinate of the seed.  $l_j$ ,  $a_j$ ,  $b_j$ ,  $x_j$  and  $y_j$  are corresponding parameters of the pixel in the  
 195 neighborhood. In this manner, a pixel will be searched many times with different seeds. The seed  
 196 with the smallest  $D$  is taken as the clustering center of this pixel. Then the seeds are updated.  
 197 According to observations in our experiments, we found that the SLIC algorithm converges within  
 198 10 iterations on the SAR images.

199 Superpixels possess a range of geometries and sizes (i.e., numbers of pixels). In contrast, the  
 200 inputs of the deep neural network are required to be uniform rectangles with the same numbers of  
 201 pixels. Thus, the superpixels need to be reshaped into rectangles before being fed into the network.  
 202 Assume that the input patches are of size  $k \times k$ . Then, each reshaped superpixel should also have  
 203  $k^2$  pixels. If a superpixel contains  $p$  pixels, there are two ways to reshape the superpixel. One is  
 204  $p \leq k^2$ . For this case, assume that a superpixel represented as  $\mathcal{S}_{n,i}^m$  (where  $m$  represents the  
 205 phase it is in, in this stage  $m = 1$ ,  $n$  represents the image it comes from,  $n = 1, 2$ ,  $i$  is an index of  
 206 the superpixels,  $i = 1, 2, \dots, v$ ) is reshaped to a vector  $V_{n,i}^m$  having  $k^2$  pixels. The first  $p$  pixels of  
 207  $V_{n,i}^m$  is filled by pixels of  $\mathcal{S}_{n,i}^m$ , and the other  $k^2 - p$  pixels are chosen randomly from  $\mathcal{S}_{n,i}^m$ . The  
 208 other one is  $p > k^2$ . For this case, we reshape the superpixel  $\mathcal{S}_{n,i}^m$  into  $q+1$  vectors  $V_{n,i,1}^m$ ,  
 209  $V_{n,i,2}^m$ , ...,  $V_{n,i,q}^m$ , each of which has  $k^2$  pixels, and an extra vector with  $p - qk^2$  pixels. This extra  
 210 vector is filled with a vector  $V_{b,i,(q+1)}^a$  of  $k^2$  pixels under the condition  $p \leq k^2$ . For a unified  
 211 description,  $V_{n,i}^m$  of case  $p \leq k^2$  is redefined as  $V_{n,i,1}^m$ .

## 212 2.2.2. Superpixel DI Generation and FCM

213 The reshaped superpixel vectors  $V_{1,i,h}^1$  and  $V_{2,i,h}^1$  ( $h = 1, 2, \dots, q, q+1$ ) from  $\mathcal{S}_{1,i}^1$  and  $\mathcal{S}_{2,i}^1$   
 214 of  $I_1$  and  $I_2$  are fed into the superpixel DI (SPDI) operator  $F_{i,h}^1 = |V_{1,i,h}^1 - V_{2,i,h}^1|$ . All  $F_{i,h}^1$  form  
 215 a SPDI. The reason for generating the superpixel difference map is to help the FCM algorithm to  
 216 cluster satisfactorily in the next step. Then all the  $F_{i,h}^1$  are clustered into three classes by FCM:  
 217 changed class (CC)  $\omega_c^1$ , unchanged class (UC)  $\omega_u^1$  and intermediate class  $\omega_m^1$ . Details of FCM can  
 218 be found in [32].  $F_{i,h}^1$  belonging to  $\omega_c^1$  or  $\omega_u^1$  means that superpixel  $\mathcal{S}_{1,i}^1$  and  $\mathcal{S}_{2,i}^1$   
 219 corresponding to  $V_{1,i,h}^1$  and  $V_{2,i,h}^1$  have a high probability to be changed or unchanged,  
 220 respectively. The pair of superpixels  $\mathcal{S}_{1,i}^1$  and  $\mathcal{S}_{2,i}^1$  with the case  $p \leq k^2$  can easily be inferred to  
 221 be one of three classes, because each pair of them only has one set of  $V_{1,i,h}^1$  and  $V_{2,i,h}^1$  which forms  
 222 one  $F_{i,h}^1$ . However, for superpixels  $\mathcal{S}_{1,j}^1$  and  $\mathcal{S}_{2,j}^1$  with  $p > k^2$ , each pair has  $q+1$  sets of  $V_{1,i,h}^1$   
 223 and  $V_{2,i,h}^1$ , which leads to  $q+1$   $F_{i,h}^1$ . Thus, a voting mechanism is employed to determine their

224 classes. Specifically, for the  $q + 1$   $F_{i,h}^1$ , those clustered into  $\omega_c^1$  are weighted by 1, those clustered  
 225 into  $\omega_u^1$  are weighted by 0 and those clustered into  $\omega_m^1$  are weighted by 0.5. Then, all  $q + 1$   
 226 weights are summed to be  $\Lambda$ , and the class of superpixel pair  $S_{1,j}^1$  and  $S_{2,j}^1$  with  $p > k^2$  is  
 227 determined as follows:

$$228 \quad \text{class of superpixel pair } S_{1,j}^1 \text{ and } S_{2,j}^1 = \begin{cases} \omega_c^1, & \Lambda/(q+1) \geq 0.8 \\ \omega_m^1, & 0.8 > \Lambda/(q+1) \geq 0.5 \\ \omega_u^1, & \Lambda/(q+1) < 0.5 \end{cases} \quad (4)$$

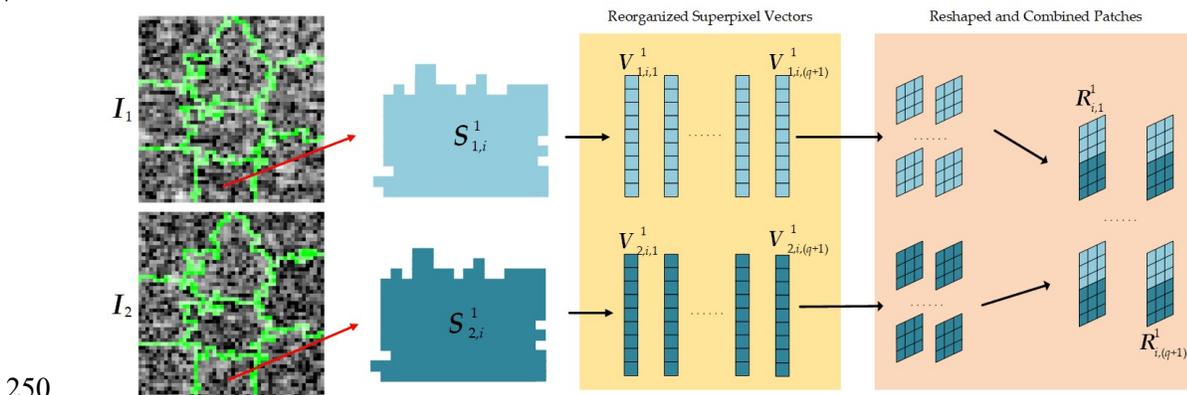
229 These specific thresholds in (4) are selected according to the voting mechanism. If  $\Lambda/(q+1) < 0.5$ ,  
 230 it means that UC are the majority in  $q + 1$   $F_{i,h}^1$ , so the corresponding superpixel pair are identified as  
 231 UC. If  $0.8 > \Lambda/(q+1) \geq 0.5$ , it indicates that the intermediate class has the majority and there are  
 232 a few changed class, so the corresponding superpixel pair is judged as the intermediate class. If  
 233  $\Lambda/(q+1) \geq 0.8$ , it indicates that CC is the majority, so the corresponding superpixel pair is judged  
 234 as CC.

235 The  $V_{b,i,h}^1$  determined as CC and UC are reshaped to patches, which will be fed into the deep  
 236 learning model as training samples. Those  $V_{b,i,h}^1$  belonging to the intermediate class will be classified  
 237 to CC or UC by the trained deep neural network.

### 238 2.2.3 Training PCANet1

239 As a type of deep learning model, PCANet is easy to train and can be adapted to other tasks. For  
 240 SAR image change detection, PCANet has been shown to learn non-linear relations from multi-  
 241 temporal SAR images, which is an advantage compared to other deep neural networks [22]. It has  
 242 already been employed in SAR image change detection [22,23,24]. Considering these superiorities of  
 243 PCANet in SAR image change detection tasks, we use PCANet here to further classify those  
 244 superpixel pairs identified to the intermediate class in the previous phase. Since PCANet is used in  
 245 the second phase, the network in the first phase is called PCANet1.

246 First, the  $V_{b,i,h}^1$  of CC and UC are used as samples to train PCANet1.  $V_{1,i,h}^1$  and  $V_{2,i,h}^1$  are  
 247 reshaped and combined to form the patches  $R_{i,h}$  to be fed into the network (Fig. 3). If  $I_1$  is  
 248 segmented into  $v$  superpixels and the  $i$ -th superpixel is reorganized as  $\gamma_i$  vectors. Then the  
 249 number of  $R_{i,h}$  of size  $2k \times k$  is  $\Gamma = \sum_{i=1}^v \gamma_i$ .

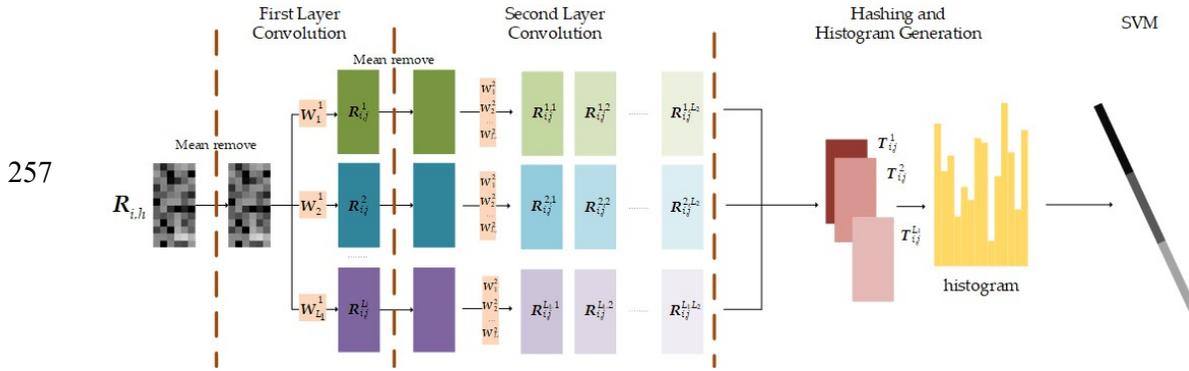


251 **Figure 3.** Patch generation in stage 1.

252 The structure of PCANet1 is shown in Fig. 4, consisting of two PCA filters convolution layers, a  
 253 Hashing and histogram generation layer. After patch generation, all  $R_{i,h}$  have their means  
 254 removed, are vectorized and combined as a matrix  $Y$ .

$$255 \quad Y = \left[ \mathbf{y}_{1,1}, \dots, \mathbf{y}_{1,\gamma_1}, \mathbf{y}_{2,1}, \dots, \mathbf{y}_{2,\gamma_2}, \dots, \mathbf{y}_{v,1}, \dots, \mathbf{y}_{v,\gamma_v} \right] \quad (5)$$

256 where  $\mathbf{y}_{i,h}$  denotes mean-removed and vectorized  $R_{i,h}$ .



258 **Figure 4.** the structure of PCANet.

259 Next, we choose  $L_1$  principal eigenvectors of  $YY^T$  ( $T$  denotes the matrix transposition) as the  
 260 PCA filters  $W_l^1$  of the first layer, that is

$$261 \quad W_l^1 = \text{mat} \left( ql(YY^T) \right) \in \mathfrak{R}^{2k^2 \times 2k^2}, \quad l = 1, 2, \dots, L_1 \quad (6)$$

262 where  $ql(YY^T)$  means  $l$ -th principal eigenvector and  $\text{mat}(\mathbf{x})$  can map a vector  $\mathbf{x} \in \mathfrak{R}^{4k^4}$   
 263 into a matrix  $W \in \mathfrak{R}^{2k^2 \times 2k^2}$ . So, the output of the first layer is

$$264 \quad R_{i,h}^l = R_{i,h} * W_l^1 \quad (7)$$

265 where the  $*$  operator means 2-D convolution.  $R_{i,h}^l$  forms the input of the second layer.

266 In the second layer, all  $R_{i,h}^l$  have their means removed and are vectorized to be  $\mathbf{z}_{i,h}^l$ , which is  
 267 combined to be a matrix  $Z^l = \left[ \mathbf{z}_{1,1}^l, \dots, \mathbf{z}_{1,\gamma_1}^l, \mathbf{z}_{2,1}^l, \dots, \mathbf{z}_{2,\gamma_2}^l, \dots, \mathbf{z}_{v,1}^l, \dots, \mathbf{z}_{v,\gamma_v}^l \right]$ . Then, all  $Z^l$  are  
 268 combined as:

$$269 \quad Z = \left[ Z^1, Z^2, \dots, Z^{L_1} \right] \quad (8)$$

270 The following step is similar to that for the first layer. We choose  $L_2$  principal eigenvectors of  
 271  $ZZ^T$  as the PCA filters  $W_l^2$  of the first layer, that is:

$$272 \quad W_p^2 = \text{mat} \left( ql(ZZ^T) \right) \in \mathfrak{R}^{2k^2 \times 2k^2}, \quad p = 1, 2, \dots, L_2 \quad (9)$$

273 And then the outputs of the second convolution layer are:

$$\mathbf{R}_{i,h}^{l,p} = \mathbf{R}_{i,h}^l * \mathbf{W}_p^2 \quad (10)$$

After these two convolution layers, every  $\mathbf{R}_{i,h}$  has  $L_1 L_2$  outputs. Each output is binarized by the Heaviside step function (one for positive input and zero otherwise) to obtain an integer value of each pixel of  $\mathbf{R}_{i,h}^l$ , which is in the range  $[0, 2^{L_2} - 1]$ . Thus, we gain an integer-value image  $\mathbf{T}_{i,h}^l$

$$\mathbf{T}_{i,h}^l = \sum_{p=1}^{L_2} 2^{p-1} H(\mathbf{R}_{i,h}^l * \mathbf{W}_p^2) \quad (11)$$

Further,  $\mathbf{T}_{i,h}^l$  is transformed into a histogram  $\text{hist}(\mathbf{T}_{i,h}^l)$ . Then the feature of input  $\mathbf{R}_{i,h}$  is defined by PCANet as:

$$\kappa_{i,h} = [\text{hist}(\mathbf{T}_{i,h}^1), \text{hist}(\mathbf{T}_{i,h}^2), \dots, \text{hist}(\mathbf{T}_{i,h}^{L_1})] \quad (12)$$

The features obtained as above are fed into a support vector machine (SVM) to train a model which can classify superpixels of intermediate class to CC or UC. It is worth noting that there are almost no CC objects in the final UC at the end of the first phase. The reason is as follows. If FCM clusters all superpixel vectors into two categories, namely UC and CC, then UC parts may contain CC objects probably. To avoid this problem, in the first phase, the clustering results are three categories, UC, CC, and intermediate class. In this way, the obtained UC and CC are of highly probability. It means that there are almost no CC objects in UC, and there are almost no UC objects in CC. For those CC objects that are easily assigned to UC in only two categories clustering, they are assigned to intermediate class in three categories clustering. Therefore, those samples with high uncertainty are assigned to the intermediate class. Later, we use the high probability UC and CC objects to train PCANet1, and use the trained PCANet1 to accurately classify objects of the intermediate class. Because PCANet1 can extract the deep features of UC and CC, it can classify objects belonging to intermediate class to UC or CC well. In summary, we combine FCM and PCANet to ensure that there are almost no CC Objects in UC, thereby ensuring extremely low missing detection. However, it is worth noting that the CC of the first phase includes not only the changed pixels caused by real terrain variation, but also changed pixels caused by strong speckle noise.

### 2.3. Second Phase Deep Learning

As stated above, when SAR images are contaminated by strong speckle noise, the CC of the first phase contains two categories of change. One is false change caused by speckle noise called FCC, the other is caused by real terrain variation called RCC. Thus, in the second phase, we aim to separate FCC and RCC, between which the intra-class interval is so small that they are difficult to distinguish. However, the hypostatic difference between the two categories is such that the change caused by strong speckle noise has strong randomness. If the influence of the random noise can be greatly weakened, discrimination between the RCC and FCC can be increased. Therefore, in the second deep learning phase, we adopt different methods to the first phase. One key step in the second phase is speckle noise suppression based on low rank and sparse decomposition. Details are as follows.

#### 2.3.1. Superpixel Generation on the Updated SAR Images

In the second phase, we firstly use mask processing on the original SAR images  $I_1$  and  $I_2$  to set the pixels classified as UC in the first phase to zero, thus, easing the burden on the classifier in this phase. Then SLIC is conducted on these two masked images to generate new superpixel objects denoted by  $\mathcal{S}_{b,i}^2$ . The superpixel generation in the phase has two differences from that in the first phase. Firstly, the superpixel generation of this phase is based on the masked images, so the spatial context of the pixels has altered significantly leading to different superpixel patterns. Secondly, when

315 applying SLIC in this phase, we set the number of pixels of each superpixel to be less than that in  
 316 the first phase because there are many discontinuous areas caused by the mask operation compared to  
 317 the generation in the first phase. Then we reshape the superpixel objects  $\mathcal{S}_{b,i}^2$  into vectors  $V_{b,i,h}^2$   
 318 using a strategy similar to that in the first phase.

### 319 2.3.2. Low Rank and Sparse Decomposition

320 The principle of using LRSD is that the pair of noisy superpixels from the same unchanged area  
 321 of  $I_1$  and  $I_2$ , have an inherent large correlation with a low rank characteristic. Therefore, to  
 322 discriminate RCC and FCC, we propose an idea based on LRSD to suppress speckle noise and  
 323 restore the superpixel objects. The LRSD model establishes the effective expression of observed data  
 324 with noise [33, 34]. Low rank regularization constraints and sparse regularization constraints can  
 325 separate noise effectively from observed data and recover data. By optimizing the LRSD model,  
 326 speckle noise can be separated and observed objects restored, which may greatly increase the  
 327 discrimination between RCC and FCC.

328 At first, we apply a logarithmic operation on each vector of superpixel objects to convert  
 329 multiplicative speckle noise to additive noise. Then, each vector can be formulated as follows.

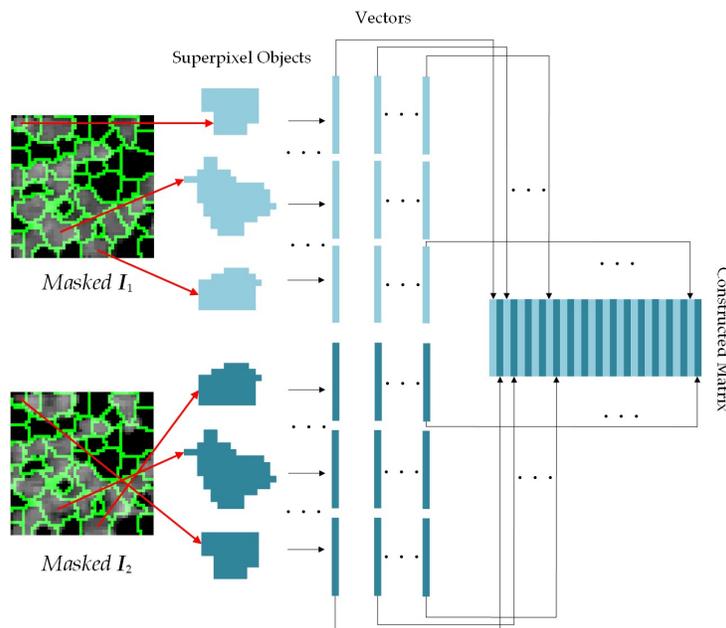
$$330 \quad V_{b,i,h}^2 = \mathbf{u}_{b,i,h}^2 + \mathbf{e}_{b,i,h}^2 \quad (13)$$

331 Where  $\mathbf{u}_{b,i,h}^2$  indicates the pixels of observed objects ideally without any speckle noise, and  $\mathbf{e}_{b,i,h}^2$   
 332 indicates additive speckle noise. All vectors  $V_{1,i,h}^2$  and  $V_{2,i,h}^2$  are arranged in pairs to construct a  
 333 matrix  $\Phi = [V_{1,1,1}^2, V_{2,1,1}^2, \dots, V_{1,1,q_1}^2, V_{2,1,q_1}^2, \dots, V_{1,v,1}^2, V_{2,v,1}^2, \dots, V_{1,1,q_v}^2, V_{2,1,q_v}^2]$ , as shown in Fig. 5. Thus,  
 334 we can obtain the matrix version of equation (13) as equation (14).

$$335 \quad \Phi = U + E \quad (14)$$

336 Where,  $U = [u_{1,1,1}^2, u_{2,1,1}^2, \dots, u_{1,1,q_1}^2, u_{2,1,q_1}^2, \dots, u_{1,v,1}^2, u_{2,v,1}^2, \dots, u_{1,1,q_v}^2, u_{2,1,q_v}^2]$ ,

337  $E = [e_{1,1,1}^2, e_{2,1,1}^2, \dots, e_{1,1,q_1}^2, e_{2,1,q_1}^2, \dots, e_{1,v,1}^2, e_{2,v,1}^2, \dots, e_{1,1,q_v}^2, e_{2,1,q_v}^2]$ .



338

339 **Figure 5.** Construction of matrix  $\Phi$ .

340 According to the principle of low rank representation, in order to estimate a low rank matrix  $U$   
 341 and a sparse matrix  $E$  from a noise-contaminated observed  $\Phi$ , we formulate an optimization  
 342 problem as follows.

$$343 \quad \min_{U,E} \|U\|_* + \varepsilon(1-\lambda)\|U\|_{2,1} + \varepsilon\lambda\|E\|_{2,1}, \quad \text{subject to } \Phi=U+E \quad (15)$$

344 Where  $\|\cdot\|_*$  indicates the nuclear norm,  $\|\cdot\|_{2,1}$  indicates the  $l_1$  norm of a vector formed by the  $l_2$   
 345 norm of the column vector of the underlying matrix.  $\|\cdot\|_*$  induces sparsity of the singular values of  
 346 the matrix, and  $\|\cdot\|_{2,1}$  induces sparsity of the elements of the matrix.

347 The optimization problem can be solved by an augmented Lagrange algorithm. The augmented  
 348 Lagrange formula of the problem (15) is as follows:

$$349 \quad L(U, E, X, \mu) = \|U\|_* + \varepsilon(1-\lambda)\|U\|_{2,1} + \varepsilon\lambda\|E\|_{2,1} + \langle X, \Phi-U-E \rangle + \frac{\mu}{2}\|\Phi-U-E\|_F^2 \quad (15)$$

350 Where  $X$  is the Lagrange multiplier. Given  $X = X_k$  and  $\mu = \mu_k$ , the key to solving the problem  
 351 is to solve:

$$352 \quad \min_{U,E} L(U, E, X_k; \mu_k) \quad (16)$$

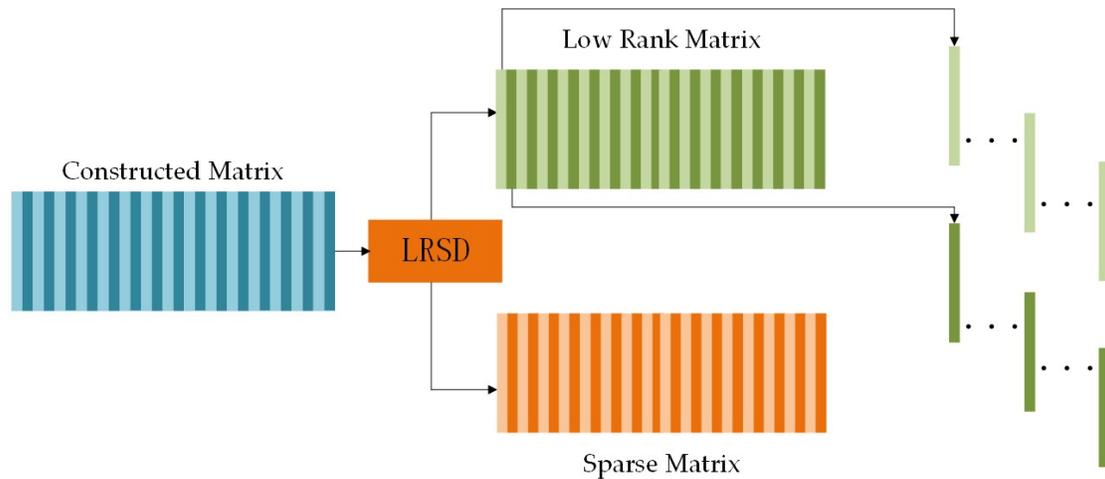
353 the solution of which will emerge though iteration. First, fix  $U = U_k$ , and solve:

$$354 \quad E_{k+1} = \arg \min_E L(U_k, E, X_k; \mu_k) \quad (17)$$

355 Then, fix  $E = E_{k+1}$ , and solve:

$$356 \quad U_{k+1} = \arg \min_U L(U, E_{k+1}, X_k; \mu_k) \quad (18)$$

357 After LRSD, we utilize column vectors  $u_{1,i,h}^2$  and  $u_{2,i,h}^2$  of low rank matrix  $U$  to restore  
 358  $V_{b,i,h}^2$ , abandoning the noise matrix  $E$ , as shown in Fig. 6.



359  
 360 **Figure 6.** LRSD of the vectors from superpixel objects.

361 2.3.3. SPDI Generation and FCM

362 In the second phase, the difference vector is obtained from the superpixel vectors restored by  
 363 LRSD, and FCM clustering is also adopted. At this stage,  $F_{i,h}^2 = \left| \mathbf{u}_{1,i,h}^2 - \mathbf{u}_{2,i,h}^2 \right|$ , forming a new SPDI,  
 364 is taken as the input of FCM, to be clustered into three classes, FCC  $\omega_{fc}^2$ , RCC  $\omega_{rc}^2$  and the  
 365 intermediate class  $\omega_{mc}^2$ .

#### 366 2.3.4. Training PCANet2 and Obtaining the Final Change Map

367 As mentioned earlier, in the second phase, the FCM clusters the superpixel vectors into three  
 368 categories, which are RCC  $\omega_{rc}^2$ , FCC  $\omega_{fc}^2$  and the intermediate class  $\omega_{mc}^2$ . RCC is the category of  
 369 those superpixel vectors that have real changes with a high probability caused by terrain objects. FCC  
 370 is the category of those superpixel vectors that have false changes with a high probability caused by  
 371 strong speckle noise. Other superpixel vectors are with high uncertainty, which are difficult to be  
 372 determined as RCC or FCC. Thus, those superpixel vectors with high uncertainty is named the  
 373 intermediate class. This is the role of the intermediate classes. In fact, these superpixel vectors of the  
 374 intermediate class belong to either RCC or FCC. However, FCM cannot identify the category of these  
 375 superpixel vectors with higher uncertainty due to its limited clustering ability. Therefore, a deep  
 376 learning classifier is needed to accurately identify whether these superpixel vectors of the  
 377 intermediate class belong to RCC or FCC. We design a new PCANet model to accomplish this  
 378 precise identification task. To distinguish it from the first phase, we named this PCANet as PCANet2,  
 379 the structure of which is the same as PCANet1.

380 The model training of PCANet2 is to use FCC and RCC superpixel vectors obtained by FCM as  
 381 training samples to train the SVM in PCANet2. The training process of PCANet2 is similar to  
 382 PCANet1, except that the training samples of the two deep learning model are different. After model  
 383 training, PCANet2 with the trained SVM can accurate identify superpixel vectors of intermediate  
 384 classes to be RCC or FCC. Also, since the size of the superpixels of this phase is smaller than that in  
 385 the first phase, the patch size of PCANet2 is smaller than that of PCANet1 relatively. Once the  
 386 network extracts the features of all the training samples, the extracted features are employed to train  
 387 an SVM model. Further, those vectors belonging to the intermediate class  $\omega_{mc}^2$  are fed into the  
 388 PCANet2 with the trained SVM to be classified to FCC or RCC. It is worth noting that the  
 389 classification task of the PCANet2 is performed only once, without any iteration. In this way, we  
 390 obtain the result of the second phase, which discriminates strong-noise-induced changes and real  
 391 terrain changes. Finally, the real changed pixels of the SAR images are only the pixels of superpixel  
 392 objects belonging to RCC  $\omega_{rc}^2$ . By doing this, the final binary change detection result can be obtained.

#### 393 2.4. Computational Complexity

394 The analysis of the computational complexity of the method proposed in this paper is as follows.  
 395 In the first phase, the computational complexity of SLIC is  $O(MN)$ , the FCM is  $O(MNk)$ , the  
 396 PCANet1 is  $O(MNk^2(L_1 + L_2) + MNk^4)$ , and the SVM is  $O(MNk^2)$ . In the second phase, due to  
 397 the masking operation, the number of pixels actually participating in the operation is no longer

398  $M \times N$ . For ease of description, it is assumed that the number of pixels actually participating in the  
 399 operation can be arranged into a rectangle of size  $M' \times N'$ . Then, the computational complexity of  
 400 SLIC is  $O(MN')$ , the LRSD is  $O(MN'k' + k'^3)$ , where  $k'$  is one dimension of a patch reshaped  
 401 from a superpixel in the second phase. The computational complexity of FCM is  $O(MN'k')$ , the  
 402 PCANet2 is  $O(MN'k'^2(L_1 + L_2) + MN'k'^4)$ , and the SVM is  $O(MN'k'^2)$ . Therefore, the total  
 403 computational complexity of the proposed method is summed as

$$404 \quad O(MNk + MN'k' + MNk^2(L_1 + L_2 + k^2) + MN'k'^2(L_1 + L_2 + k'^2)).$$

### 405 3. Experiments and Results

406 To demonstrate the accuracy and effectiveness of the proposed approach, we compared  
 407 TPOBDL with other state-of-the-art methods: principal component analysis and  $k$ -means clustering  
 408 (PCA $k$ M) [8], Gabor feature extraction and PCANet (GaborPCANet) [22], neighborhood-based ratio  
 409 and extreme learning machine (NR\_ELM) [35] and convolutional-wavelet neural network  
 410 (CWNN)[36].

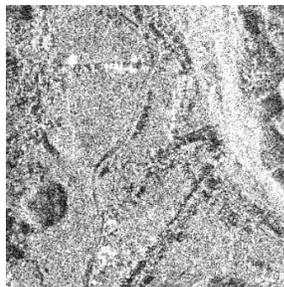
#### 411 3.1. Datasets and Experimental Setup

412 The pre-requisite steps for applying SAR images include geometric correction, radiation  
 413 correction, and geocoding. Particularly, the multi-temporal SAR images should be registered before  
 414 change detection. Our experimental datasets were registered by the commercial satellite data  
 415 supplier at high geometric accuracy.

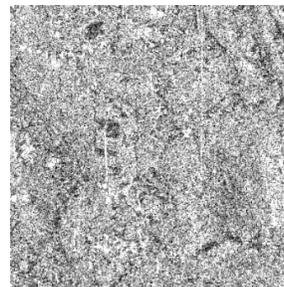
416 We applied the proposed and benchmark methods to three real space-borne SAR datasets to  
 417 evaluate the performance of TPOBDL. The three datasets used are co-registered and geometrically  
 418 corrected SAR images acquired by the COSMO-Skymed satellite sensor, as shown in Fig. 7. The  
 419 images in Fig. 7(a)(b)(c) were acquired on June 10, 2016 and those in Fig. 7(d)(e)(f) on April 26, 2017.  
 420 The three areas are selected to represent different landscapes containing a river, a plain, mountain  
 421 and buildings. They are all of size  $400 \times 400$  pixels. It is obvious that the three SAR datasets suffer  
 422 from speckle noise. Many studies have pointed out that speckle reduction algorithms result in the  
 423 loss of spatial resolution and feature suppression [35]. This is because a typical speckle reduction  
 424 algorithm, such as multi-looking processing, usually involves a moving average within a rectangular  
 425 window. This will significantly reduce spatial details such as edges, textures, and even remove some  
 426 point-like targets. However, these details are especially useful for change detection. Therefore, no  
 427 speckle filters were applied to these three SAR datasets prior to our approach. The corresponding  
 428 ground truth maps are shown in Fig. 7(g)(h)(i), which were obtained by manual annotation. In all  
 429 ground truth maps, white represents pixels of the changed class, and black represents pixels of the  
 430 unchanged class.



(a)



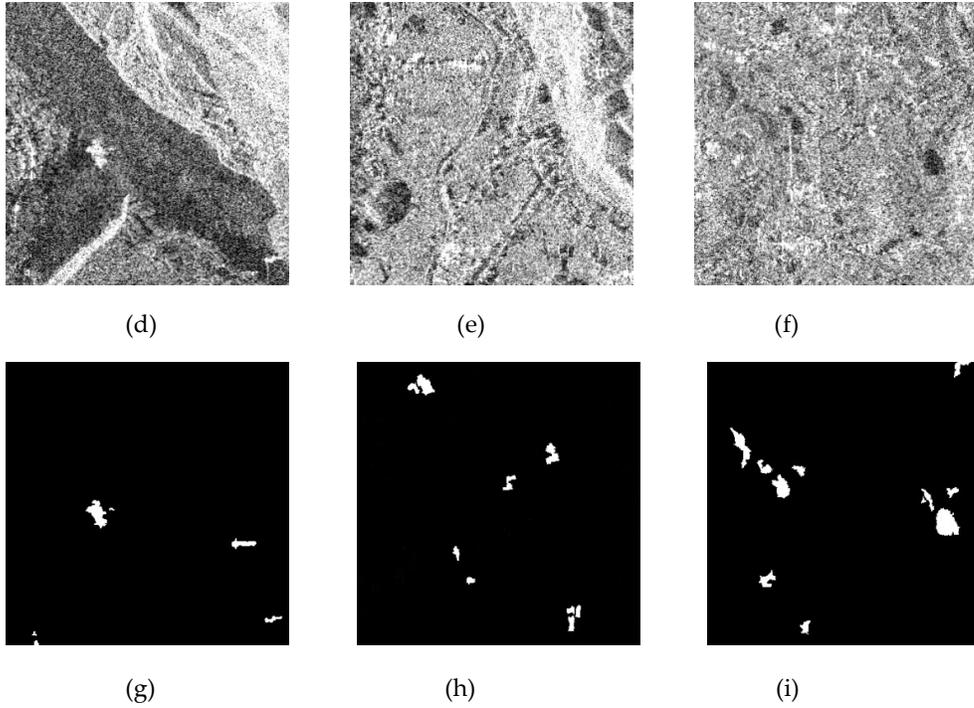
(b)



(c)

431

432



**Figure 7.** SAR images including (a)-(f), were acquired by the COSMO-SkyMed spaceborne SAR instrument at X-band, which has the spatial resolution of 3m. Each of (a)-(f) has the size of 400×400 pixels, equivalent to a ground area of 1.2km×1.2km. (a)(d) are dataset C1 that contains river and mountains, and (g) is its ground truth. (b)(e) are dataset C2 that contains buildings, roads and mountains, and (h) is its ground truth. (c)(f) are dataset C3 that contains plain and buildings, and (i) is its ground truth.

How to evaluate the performance of SAR image change detection algorithms is a key issue. Here, we utilized several state-of-the-art evaluation metrics, including the false alarm probability  $P_f$ , missing detection probability  $P_m$ , percentage correct classification  $PCC$ , Kappa coefficient  $KC$  and  $GD/OE$  [1,22]. Assume that the actual numbers of pixels belonging to UC and CC are denoted by  $N_u$  and  $N_c$ , respectively, in the ground reference data, then

$$P_f = \frac{F_n}{N_u} \times 100\% \quad (19)$$

$$P_m = \frac{M_n}{N_c} \times 100\% \quad (20)$$

Where  $F_n$  denotes the number of unchanged pixels detected as changed, while  $M_n$  represents the number of changed pixels detected as unchanged.

$$PCC = \frac{(N_u + N_c - F_n - M_n)}{N_u + N_c} \times 100\% \quad (21)$$

$$KC = \frac{(PCC - PRE)}{1 - PRE} \times 100\% \quad (22)$$

where,

$$PRE = \frac{(N_c + F_n - M_n) \times N_c + (N_u + M_n - F_n) \times N_u}{(N_c + N_u)^2} \quad (23)$$

The definition of  $GD/OE$  is then as follows.

$$GD/OE = \frac{(N_u - M_n)}{F_n + M_n} \times 100\% \quad (24)$$

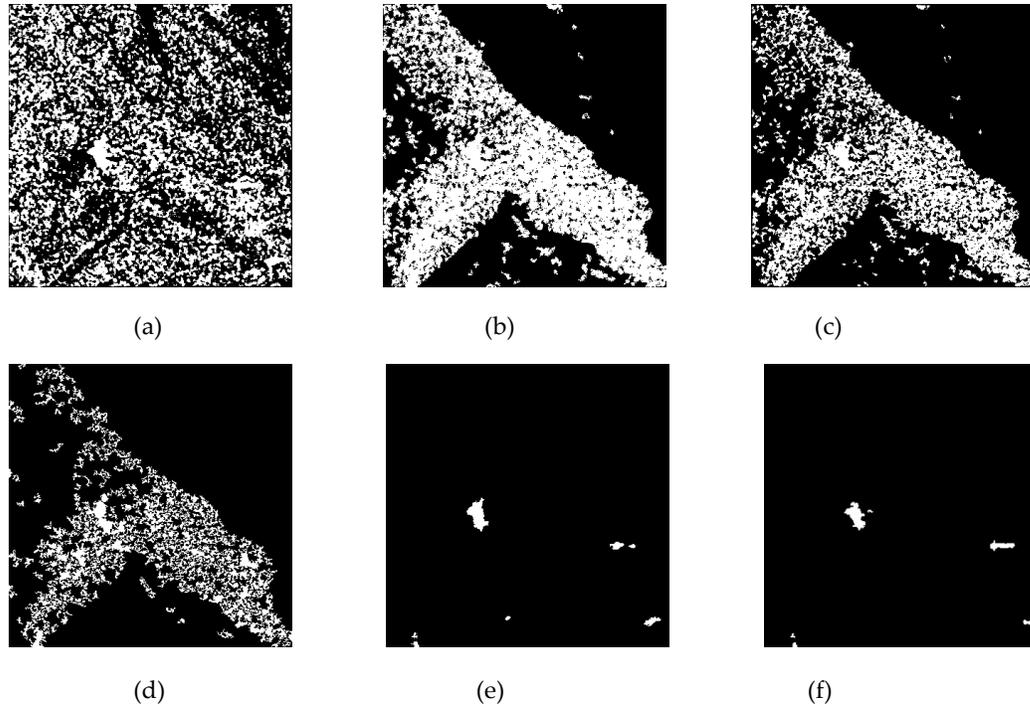
### 3.2. Experiments

We analyzed and evaluated the final results visually and quantitatively.

The change detection results of multi-temporal SAR dataset C1 are shown in Fig. 8 and Table 1. As presented in Fig. 8, the change map of PCAKM contains many false alarms, scattered widely across the image with  $P_f$  reaching 39.23%. This is because PCAKM is unable to classify the false changes caused by strong speckle noise and real changes caused by terrain variation as shown in Fig. 8 (a). However, different from PCAKM, the false alarms of GaborPCANet, NR\_ELM and CWNN are centred in the river, as shown in Fig.8 (b)(c)(d). On one hand, PCAKM uses *pixel* values for change detection, which are affected by strong speckle noise. Thus, the  $P_f$  of PCAKM is very high. However, GaborPCANet and CWNN, two deep learning-based methods, can extract deep features and have a certain speckle noise suppression capability, so the  $P_f$  is greatly reduced compared to PCAKM. Moreover, the extreme learning machine in NR\_ELM can also effectively extract features and suppress speckle noise. Therefore, the performance of GaborPCANet, NR\_ELM and CWNN is better than that of PCAKM. On the other hand, compared to the original two SAR images, we found that false alarms occur in the river region for the latter three methods. The river region in the two SAR images looks very dark, because the river backscatter of electromagnetic waves is relatively weak. Thus, under strong speckle noise, the signal-to-noise ratio (SNR) in the river region of the SAR image is very low. Therefore, in this case, the difference in values of pixels between the two images in the river region is relatively large, and pixels in the river region are easily classified as CC.

It can be seen that the final change map obtained by the proposed approach TPOBDL is very close to the ground reference, as shown in Fig. 8 (f). Compared with the former methods, the  $P_f$  obtained by TPOBDL is only 0.18% (see Table 1), which is a remarkable result. This is because the second phase of TPOBDL uses a special network to identify the pixels of FCC and those of RCC. In addition, compared to CWNN, our approach uses object-based deep learning removing those scattered false alarms effectively, which demonstrates the advantages of object-based deep learning. Therefore, TPOBDL can eliminate effectively the false alarms caused by strong speckle noise.

As can be seen from Table 1, the quantitative analysis is consistent with the visual analysis. The performance of TPOBDL is better than for the benchmark algorithms in terms of  $PCC$ ,  $P_f$ ,  $KC$  and  $GD/OE$ . It is worth noting that although the  $P_m$  of PCAKM, GaborPCANet and NR\_ELM are smaller than that of TPOBDL, these three methods come at the cost of a much larger  $P_f$ . The reason why the  $P_m$  of our method is larger than for the three benchmark methods, is that a few superpixel objects of RCC are mistakenly classified as FCC in the second deep learning phase. Therefore, we need to consider the value of the more convincing  $KC$ . TPOBDL has the highest value of  $KC$  (97.84%), which means that the change detection accuracy of TPOBDL is the highest amongst all five methods.



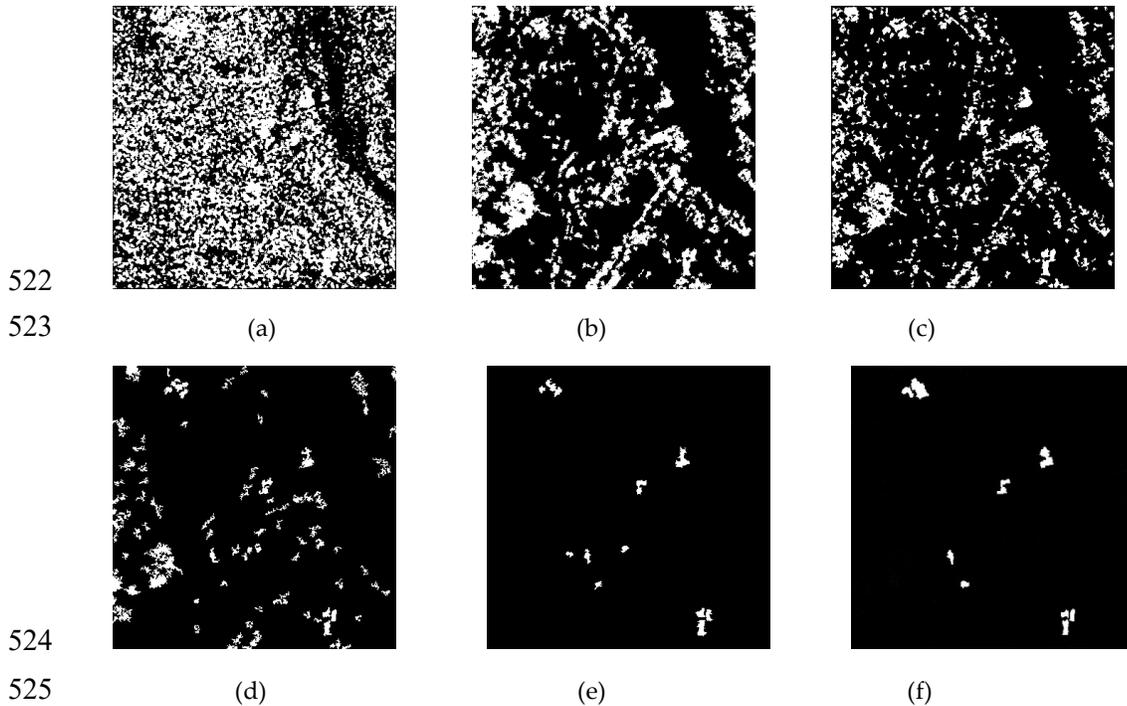
**Figure 8.** Results of experiments on C1; (a) PCAKM; (b) GaborPCANet; (c) NR\_ELM; (d) CWNN; (e) TPOBDL; (f) ground truth.

**Table 1.** Comparison of evaluation metrics amongst PCAKM, GaborPCANet, NR\_ELM, CWNN and TPOBDL on dataset C1 using the false alarm probability ( $P_f$ ), missing detection probability ( $P_m$ ), percentage correct classification ( $PCC$ ), Kappa coefficient ( $KC$ ) and  $GD/OE$ .

Methods	Results on C1(%)				
	$PCC$	$P_f$	$P_m$	$GD/OE$	$KC$
PCAKM[9]	60.99	39.24	1.78	0.07	58.87
GaborPCANet[23]	64.67	35.46	4.88	0.08	59.36
NR_ELM[33]	73.85	26.26	9.86	0.11	61.39
CWNN[34]	85.22	14.69	29.18	0.19	65.67
TPOBDL	99.71	0.18	15.10	9.97	97.84

Fig. 9 and Table 2 present the final change detection results on dataset C2. In terms of visual comparison, PCAKM still includes many false alarms. The performance of GaborPCANet is better than that of PCAKM in terms of  $P_f$ . However, there are several false alarms due to speckle noise. Moreover, for each of PCAKM, GaborPCANet or NR\_ELM, there is an obvious long and narrow area with fewer false alarms in the upper right corner of the change map. Comparing the original two multi-temporal SAR images, we find that this long and narrow area has an area of relatively strong back-scattering (visually white), which means the amplitude value of these pixels is relatively large. This indicates that change detection in areas with strong scattering is less affected by speckle noise because of the high SNR. This situation is exactly the opposite of the high false alarm phenomenon

512 in the river region in the experiments on C1. As for CWNN, it is clear that the value of  $P_f$  due to  
 513 speckle noise is smaller than for the three benchmarks. This benefit arises from the wavelet pooling  
 514 layers in CWNN, which suppress speckle noise by losing high-frequency sub-bands while preserving  
 515 low-frequency sub-bands to extract features. However, TPOBDL has less false alarms than CWNN,  
 516 because the object-based methodology is adopted, which greatly reduces classification uncertainty  
 517 induced by rectangular patches. As for TPOBDL, two-phase deep learning is not only effective for  
 518 change detection in low SNR region, but also for change detection in high SNR regions. This is due  
 519 to the influence of the LRSD, which greatly constrains the influence of speckle noise. Among the five  
 520 methods, TPOBDL has the best performance in terms of  $PCC$ ,  $P_f$ ,  $GD/OE$  and  $KC$ , reaching  
 521 99.43%, 0.26%, 4.70% and 95.67%, respectively.

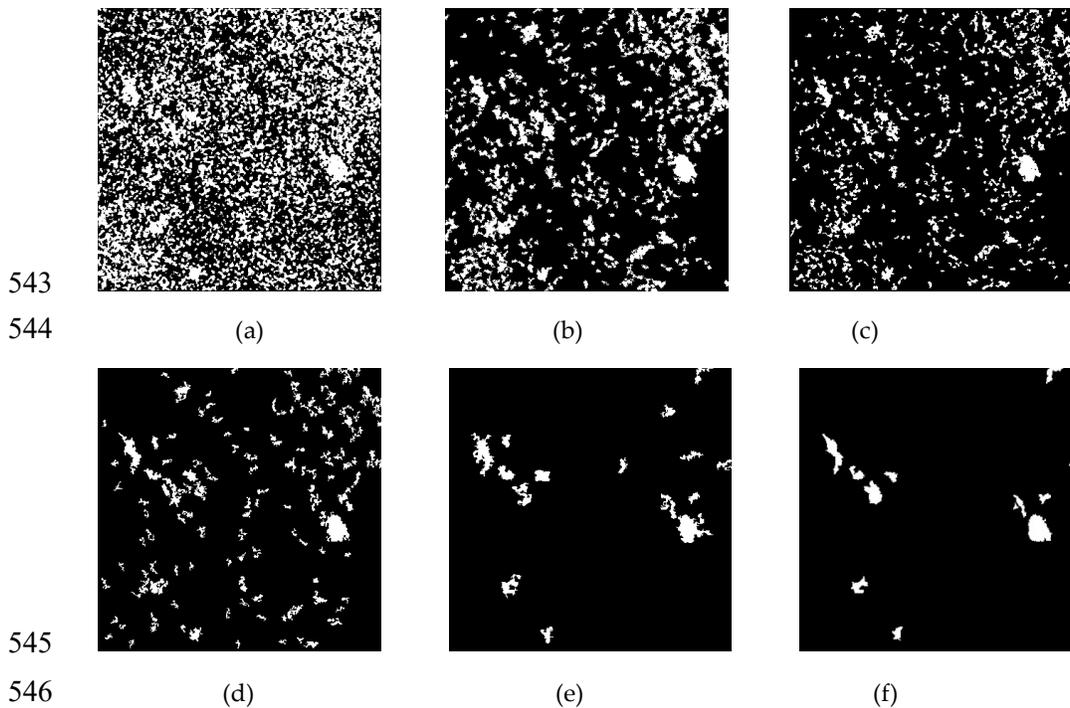


526 **Figure 9.** Results of experiments on C2; (a) PCAKM; (b) PCANet; (c) NR\_ELM;(d) CWNN; (e)  
 527 TPOBDL; (f) ground truth.

528 **Table 2.** Comparison of evaluation metrics amongst PCAKM, GaborPCANet, NR\_ELM, CWNN and  
 529 TPOBDL on dataset C2 using the false alarm probability ( $P_f$ ), missing detection probability ( $P_m$ ),  
 530 percentage correct classification ( $PCC$ ), Kappa coefficient ( $KC$ ) and  $GD/OE$ .

Methods	Results on C2(%)				
	$PCC$	$P_f$	$P_m$	$GD/OE$	$KC$
PCAKM[9]	55.65	45.24	1.81	0.07	58.13
GaborPCANet[23]	79.64	20.66	6.19	0.14	63.22
NR_ELM[33]	86.99	13.14	7.11	0.21	67.37
CWNN[34]	95.24	4.59	12.41	0.56	78.49
TPOBDL	99.43	0.26	15.02	4.70	95.67

531 The results of experiments on dataset C3 are exhibited in Fig. 10 and Table 3. The  
 532 performance of PCAKM is again the least good. Compared with the first two datasets, there are  
 533 no weak backscattering regions (like river, C1) or strong backscattering regions (like mountain,  
 534 C2). However, the contrast in the whole scene of C3 is relatively low, which means that  
 535 classification may be more challenging due to low discrimination. Thus, it can be seen from Table  
 536 3 that the  $P_m$  of all methods is relatively high. Still, TPOBDL is superior to CWNN in terms of  
 537  $P_m$  under the circumstances, which is opposite to the experiments on C1 and C2. Among the  
 538 five methods, TPOBDL again produces the best result, with a  $PCC$  of 98.42%,  $P_f$  of 1.18%,  
 539  $GD/OE$  of 1.59% and  $KC$  of 89.32%. It is worth noting that in the experiments on C3,  
 540 TPOBDL again produces the best values of  $PCC$ ,  $P_f$  and  $KC$ , while also producing a similar  
 541  $P_m$  of 19.64% to other methods, at the same time. The experimental results illustrate the  
 542 superiority of TPOBDL



547 **Figure 10.** Results of experiments on C3; (a) PCAKM; (b) PCANet; (c) NR\_ELM; (d) CWNN; (e)  
 548 TPOBDL; (f) ground truth.

549 **Table 3.** Comparison of evaluation metrics amongst PCAKM, GaborPCANet, NR\_ELM, CWNN and  
 550 TPOBDL on dataset C3 using the false alarm probability ( $P_f$ ), missing detection probability ( $P_m$ ),  
 551 percentage correct classification ( $PCC$ ), Kappa coefficient ( $KC$ ) and  $GD/OE$ .

Methods	Results on C3(%)				
	$PCC$	$P_f$	$P_m$	$GD/OE$	$KC$
PCAKM[9]	62.23	38.29	14.39	0.07	58.50
GaborPCANet[23]	84.61	15.32	18.92	0.16	64.84

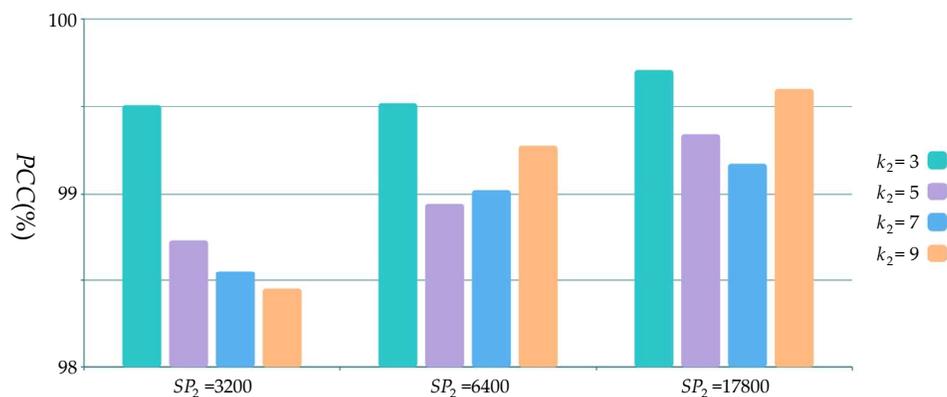
NR_ELM[33]	89.54	9.98	31.90	0.21	67.56
CWNN[34]	94.53	5.02	25.90	0.43	75.55
TPOBDL	98.42	1.18	19.64	1.59	89.32

552

553 **4. Discussion**554 *4.1. Parameters Selection*

555 In the proposed approach, there exist four parameters to be discussed, which are the number of  
 556 superpixels  $SP_1$  and the patch size  $k_1$  in the first phase, and the equivalents,  $SP_2$  and  $k_2$ , in the  
 557 second phase. These four parameters affect the ability to learn neighborhood information in the two-  
 558 phase object-based deep learning approach. As indicated in [21], when the patch size is set as  $5 \times 5$   
 559 , it leads to an optimal result. Hence, we fix  $k_1=5$  at the beginning. As for  $SP_1$  and  $SP_2$ , to reduce  
 560 redundancy and increase superpixel generation efficiency, we assume  $SP_i \approx (M \times N)/k_i^2$   
 561 ( $i = 1, 2$ ), which means that the number of pixels in a superpixel and the number of pixels in a patch  
 562 should be the same, as far as possible. So we fix  $SP_1=6400$ . Then, we conduct experiments on  $SP_2=$   
 563 17800, 6400, 3200 and  $k_2 = 3, 5, 7, 9$  in pair-wise fashion, respectively. The experimental results are  
 564 shown in Fig. 11-12.

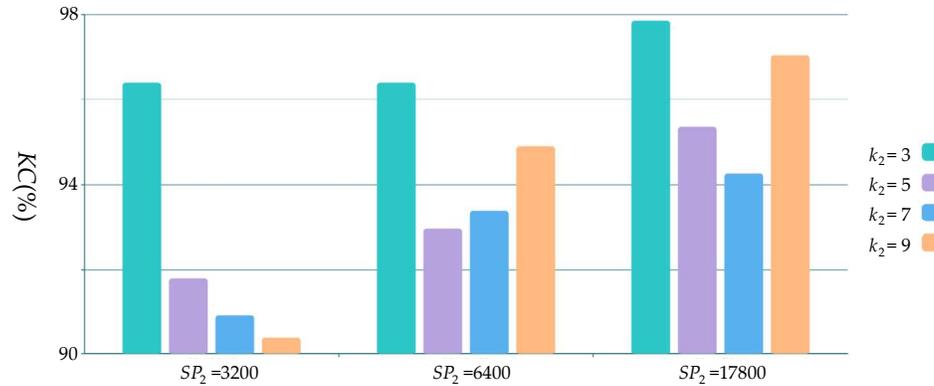
565 Observing from Fig. 11-12, we found that when  $SP_2 = 17800$  and  $k_2 = 3$ , the values of  $PCC$   
 566 and  $KC$  were the best. The experimental result is consistent with the principle of the proposed  
 567 approach. As mentioned before, the spatial context of the pixels has altered significantly after  
 568 masking in the second phase. And, there may be many discontinuous areas after masking. Hence,  
 569 superpixel objects with a small number of pixels have the benefit of avoiding heterogeneous pixels  
 570 inside the objects, which reduces classification uncertainty in PCANet2. This reveals that, in the  
 571 second phase, the relatively small superpixels helps the PCANet2 to exploit more details, which cater  
 572 to the purpose of distinguishing RCC and FCC.



573

574

**Figure 11.** The influence of different parameters ( $SP_2$  and  $k_2$ ) on PCC .



575

576 **Figure 12.** The influence of different parameters ( $SP_2$  and  $k_2$ ) on KC .

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We then fixed the parameters of the second phase as  $SP_2=17800$  and  $k_2=3$  to conduct experiments on  $SP_1=17800,6400,3200$  and  $k_1=3,5,7,9$  in a pair-wise fashion, respectively. The experimental results are presented in Fig. 13-14.

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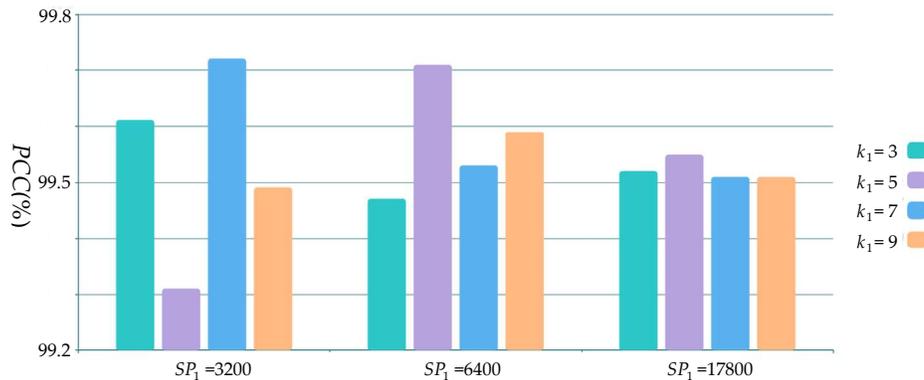
585

586

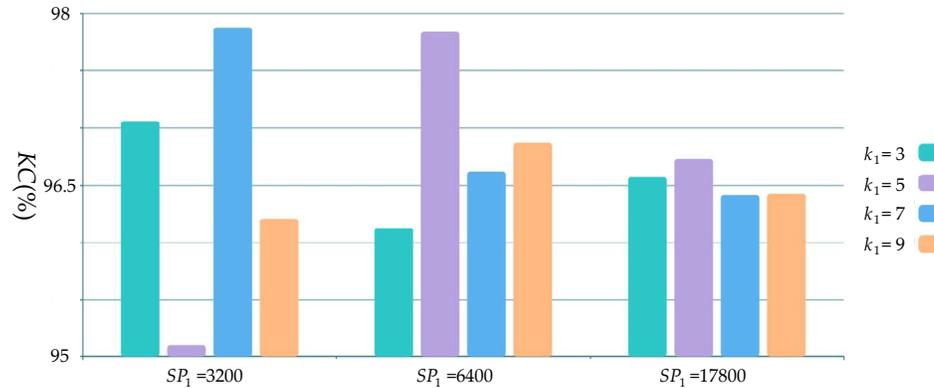
As shown in Fig. 13-14, there are two pairs of  $SP_1$  and  $k_1$  that obtain a larger PCC and KC than other parameter values. One pair is  $SP_1=6400$  and  $k_1=5$ , and the other pair is  $SP_1=3200$  and  $k_1=7$ . This means that superpixels with relatively large number of pixels are of benefit for classifying UC and CC in the first phase. After further observation, these two pairs of parameters adhere to  $SP_i \approx (M \times N)/k_i^2$ , which indicates that theoretically the number of pixels in a superpixel should be similar to the number of pixels in a patch. Thus, the best parameter combination is  $SP_1=3200$ ,  $k_1=7$  for the first phase, and  $SP_2=17800$ ,  $k_2=3$  for the second phase.

587

588



**Figure 13.** The influence of different parameters ( $SP_1$  and  $k_1$ ) on PCC.

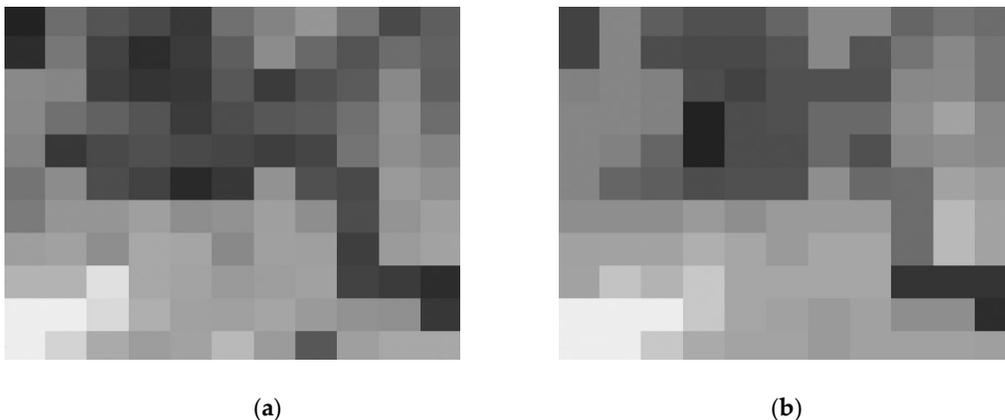


**Figure 14.** The influence of different parameters ( $SP_1$  and  $k_1$ ) on KC.

#### 4.2. Comparison with Other Methods

Firstly, we compare the proposed approach with four other methods. The experimental results of all methods are presented in Fig. 8-10 and Tables 1-3. TPOBDL outperforms other methods in all evaluation indicators, except for missing alarms rate. This is because by using superpixel objects and two phases of PCANet, TPOBDL is more robust to speckle noise, able to extract deep features and capable of learning the nonlinear relations from multi-temporal SAR images efficiently. The patches reshaped from superpixel objects with homogeneous pixels are beneficial to the deep feature extraction and PCANet training, which avoids uncertainty due to rectangular patches.

The two deep learning phases in TPOBDL are important for acquiring the desired change detection performance. The first phase generally classifies pixels into two classes, CC and UC. However, there are actually two kinds of changes in CC. One is strong speckle noise-induced change, and the other is real terrain variation-induced change. In the second phase, the pixels belonging to UC are set to zero so that the PCANet2 can focus on identifying two indistinguishable changes. PCANet2 faces a more difficult classification tasks than PCANet1. Hence, we equip the second phase with LRSD to suppress noise and increase the ability to discriminate the two previously indistinguishable changes. Despite noise interference, multi-temporal SAR images of the same object should have a strong correlation. Based on this principle, we established the LRSD model. LRSD can not only suppress speckle noise, but also highlight the correlation between objects via the low rank constraint, as shown in Fig. 15. Through this, TPOBDL achieves the best performance amongst the five methods when facing strong speckle noise. It is worth noting that there is no speckle filtering in TPOBDL.



**Figure 15.** (a) A selected object before LRSD; (b) The object after LRSD.

#### 615 4.3 Modular Deep Learning Framework for change detection

616 In the proposed approach, PCANet1 in the first phase completes the classification tasks of CC and  
617 UC, and PCANet2 in the second phase completes the classification tasks of RCC and FCC. In fact,  
618 other deep neural networks can also be used in the first stage, instead of PCANet. In the same way,  
619 it is not necessary to use the PCANet in the second phase. Therefore, the two phase deep learning  
620 framework proposed in this paper can be regarded as a modular structure. The structure does not  
621 actually limit what deep learning models are used. The key to this modular structure is hierarchical  
622 classification. Moreover, the advantage of this modular deep learning framework is that the deep  
623 neural network in each module can complete a specialized, and not particularly complicated task, so  
624 the difficulty of classification in each module is reduced. For example, in this research, if only one  
625 PCANet is used to complete the classification of UC, RCC and FCC simultaneously, it is easy to  
626 generate more misclassifications, which will lead to a larger number of false alarms or larger number  
627 of missing alarms. In addition, this modular deep learning-based change detection structure is  
628 particularly suitable for engineering implementation.

#### 629 4.4 Time-series SAR Images to Suppress Speckle Noise

630 In fact, we used LRSD to strip speckle noise at the beginning of the second phase, so as to  
631 differentiate between false change and real change. The LRSD cannot strip off the speckle noise  
632 completely. Thus, how to improve the speckle noise separation effect in the second phase without  
633 the loss of spatial details would be our future work. The multi-temporal speckle noise reduction can  
634 potentially be used, which may better preserve spatial details. With multi-temporal SAR image time  
635 series, change-detection-aware speckle noise reduction algorithm may be also applied in our future  
636 research.

### 637 5. Conclusions

638 In this research, a novel change detection algorithm with two-phase object-based deep learning  
639 approach for multi-temporal SAR images is presented. An object-based approach is used instead of  
640 a pixel-wise approach. The object-based change detection approach can effectively exploit the spatial  
641 context of neighborhood pixels, which is conducive to increasing the ability to identify UC and CC.  
642 Using superpixel objects, the pixels in each object are generally more homogeneous, which avoids  
643 the classification uncertainty caused by heterogeneous pixels and provides high-quality training  
644 samples for subsequent PCANets. In addition, this paper uses a two-phase deep learning framework  
645 to implement change detection on multi-temporal SAR images. The first phase of deep learning  
646 realizes the distinction between UC and CC. The second phase of deep learning realizes the  
647 distinction between RCC and FCC. The two-phase deep learning framework can tackle effectively  
648 the classification challenge faced by deep learning in each phase, and can effectively distinguish RCC  
649 and FCC, while maintaining a very low false alarm under strong speckle noise. The experimental  
650 results illustrate that the proposed approach can achieve high accuracy and validity.

### 651 Acknowledgements

652 This research was funded by the National Science Foundation of China under Grants  
653 No.61301224. This research was also partly supported by the Basic and Advanced Research Project  
654 in Chongqing under Grants No. cstc2017jcyjA1378 and No. cstc2016jcyjA0457.

### 655 Author Contribution

656 Xinzheng Zhang and Guo Liu conceived and designed the scheme. Guo Liu conducted  
657 experiments. Xinzheng Zhang, Peter M Atkinson and Ce Zhang analysed and discussed the results.  
658 Xinzheng Zhang and Guo Liu wrote the first draft. Xinzheng Zhang, Ce Zhang and Peter M Atkinson

659 completed the revised paper. Xiaoheng Tan ,Xin Jian, Xichuan Zhou and Yongming Li gave some  
660 suggestions for the paper.

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