

1 **Chapter 10.**

2

3 **Modelling versus Realization: Rival Philosophies of**  
4 **Computational Theory in Systems Biology**

5

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10

11 ***Abstract***

12 Systems biology faces a choice between reductionist and holist approaches, but  
13 practising systems biologists are often unaware of what the implications of each path  
14 will be. Modern neo-holism, as manifested in Robert Rosen's Relational Biology,  
15 concludes that the functions of complex systems are irreducible to the functions of  
16 their component parts, and also implies that the current foundations of computational  
17 theory are inadequate for systems biology. By contrast, modern neo-reductionism  
18 replaces classical conceptions of inter-theory reduction with the looser concept of  
19 supervenience, in the process reassuring us that we can make progress in systems  
20 biology with computational theory as we know it today. However, the price to pay for  
21 this is a shift away from modelling to realizational strategies. Either way, the entire  
22 field of systems biology may have to change course if it is to accomplish its goals.

23 **FROM BIOCHEMISTRY THROUGH MOLECULAR BIOLOGY TO SYSTEMS**  
24 **BIOLOGY**

25

26 One rainy spring morning in Glasgow in about 1984, I sat in a lecture theatre and heard  
27 my physiology professor tell the class that the problem with biochemists was that they  
28 just wanted to put everything in a bucket, blend it to a puree, and then talk about the  
29 properties of the resulting sludge. The class laughed, of course, not realising that this  
30 was physiology's oldest joke, possibly around 100 years old, and that our professor  
31 had been using it for almost as long. About eight years later, by which time I had  
32 become a lab research assistant at the University of Warwick, I heard just one of these  
33 "bucket biochemists" complain, with somewhat less humorous intent, that it was  
34 virtually impossible by then to obtain a grant for doing biochemistry unless some gene-  
35 centred molecular biology angle could be found on the project. A quarter century  
36 later still, it is now the molecular biologists who are finding it difficult to obtain  
37 research funding for single-gene-focussed projects in an age of increasingly "big data"  
38 systems biology. In any era, it seems as if a young scientist is unlikely to retire  
39 (assuming she survives in the profession to retirement) in the same field in which she  
40 began.

41

42 Works on systems biology often begin by making some startling claims for its novelty  
43 or importance. For instance, one of the commonest generalizations concerning  
44 systems biology is that, in the words of the welcome message to the 11<sup>th</sup> International  
45 Systems Biology Conference in Edinburgh in October 2010, it "takes a *holistic* view on  
46 biology and aims at elucidating design principles of whole biological systems rather

47 than of individual biomolecules or single events” (italics added). Even more radically,  
48 it is sometimes stated that systems biology is a *paradigm shift*, nothing short of a  
49 fundamentally new way of doing biological sciences<sup>1</sup>.

50

51 Certainly, systems biology makes use of a whole raft of new technologies that matured  
52 around the millennium and in the decade that followed. Deep sequencing and other  
53 high throughput analysis tools spawned a gaggle of data cataloguing capabilities with  
54 names all ending in “omics”. Genomics, metagenomics, transcriptomics, proteomics,  
55 lipidomics and metabolomics, to name just a few, produced data on a scale previously  
56 unimaginable in biology. Crucially, this expansion of the traditional molecular biology  
57 laboratory into a data generating factory coincided with an explosion in the power and  
58 availability of computers. Indeed, omics disciplines would scarcely be possible without  
59 some way of handling their often terabyte-sized outputs. With the power to describe  
60 whole systems of biomolecules, whole cells, and even whole organisms, at molecular  
61 levels of detail, systems biology became an inevitability.

62

## 63 **“ORDER AND PROGRESS”:** AUGUSTE COMTE’S POSITIVISM AND ITS 64 **LEGACY**

65

66 Any discipline so intent on wholes might facetiously be described as “wholist”, but  
67 does that necessarily imply a genuine *holism*? Although the disruptive technologies  
68 of the omics revolution have transformed the practice of biology research, shedding  
69 the reductionist legacy of mid-to-late-20<sup>th</sup> century molecular biology has been

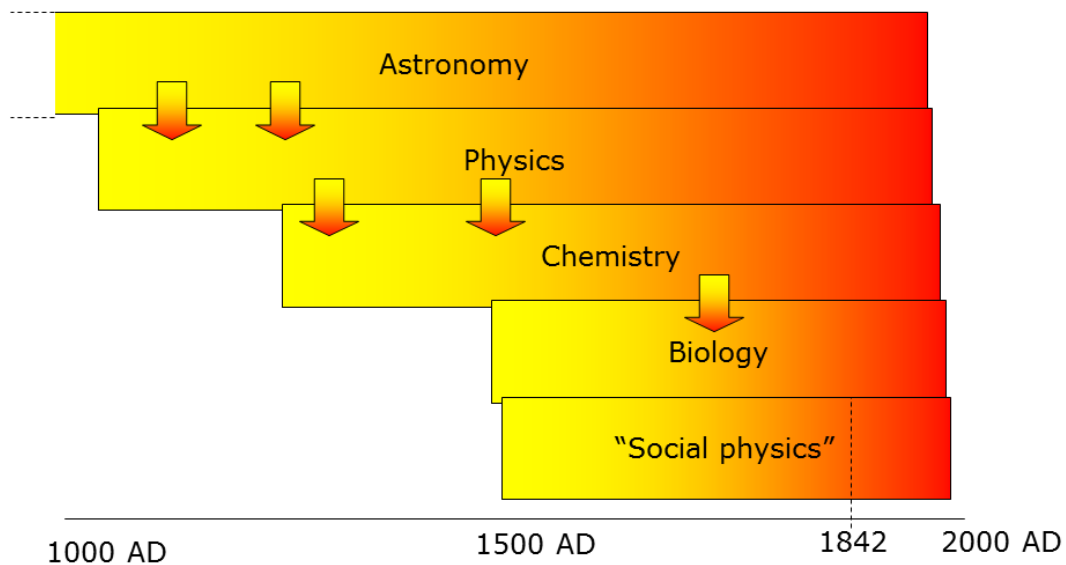
70 difficult<sup>2</sup>. This is scarcely surprising once one considers exactly how deep its roots are,  
71 extending back to the *positivism* of the 19th century French visionary Auguste Comte  
72 (1798-1857), which by his death had even acquired rituals and a priesthood, the  
73 *Religion de l'Humanité*<sup>3</sup>. This cult aspect of positivism was briefly quite successful,  
74 especially in Brazil, and its motto, "Order and Progress", can still be found on the  
75 Brazilian flag. Positivism also acquired political ambitions, in which the bizarre idea of  
76 European unity was stressed. Comte's proposed "Great Western Republic" would  
77 include France, the British Isles, Germany, the Low Countries, the Iberian peninsular  
78 and Italy and would have its capital, naturally, in Paris. If that were not bad enough,  
79 Greece and Poland would also be invited to join in a second phase of "accessory  
80 members"<sup>4</sup>.

81

82 Auguste Comte had a rather unhappy personal life, afflicted with mental illness,  
83 unrequited love and at least one unsuccessful suicide attempt. In the words of one  
84 unsympathetic modern critic: "Comte was a strange individual. Indeed it would not  
85 be stretching language to say he was mad"<sup>5</sup>, p.44. Despite his prickly personality and  
86 long-winded prose, or perhaps even because of it, Comte possessed a remarkable  
87 ability to influence even those who disliked him personally or had philosophical  
88 reservations about the more over-arching aspects of his creed, and positivist ideas  
89 spread far beyond his narrow circle of devotees within the *Religion de l'Humanité*<sup>3</sup>.  
90 Even in the 21<sup>st</sup> century it is common to hear scientists, or even the general public, use  
91 positivist language, though the vast majority of them have never heard of Comte.

92

93 Comte saw all of human thought as classifiable into three modes: Theological,  
94 Metaphysical and Positive, and divided up human history on that basis. The thing that  
95 characterized science, setting it apart from the religion of the Theologians and the  
96 creative philosophizing of the Metaphysicians, was that it was based solely on  
97 tangible, demonstrable, common-sense evidence, in other words on what could be  
98 *positively* known – science was Positive. However, different sciences were at different  
99 stages of the Metaphysical-Positive transition (Fig. 1), and this ordering was the basis  
100 for Comte’s “law of filiation of the sciences”: “Thus we have before us Five  
101 fundamental Sciences in successive dependence, – Astronomy, Physics, Chemistry,  
102 Physiology, and finally Social Physics”<sup>6, p.28</sup> (irregular capitalization and punctuation in  
103 original), and “every science is [rooted] in the one which precedes it”. Each successive  
104 science had sprung forward from the previous member of the chain, with its transition  
105 into Positivity building on the established successes of its predecessor disciplines.  
106 Comte considered physics and chemistry as having achieved, by the mid-19<sup>th</sup> century,  
107 the full Positive stage of development, and biology as being nearly there. Sociology  
108 was considered to be still wallowing in the Metaphysical morass, and Comte saw it as  
109 his own specific scientific task to bring it forward into the Positive phase.



110

111 **Figure 1: Comte's Law of Filiation of the Sciences**

112 *Legend: Each discipline passes progressively through Theological and Metaphysical*  
113 *phases (yellow) before entering the Positive phase (red), at which point it becomes a*  
114 *true science. Comte was vague concerning the exact date of these transitions. Only*  
115 *1842, the date of the completion of his major work, Cours de philosophie positive, is*  
116 *firmly given as the year in which "social physics" achieves the Positive phase. The*  
117 *transitions are aided by input from the previous discipline in the filial chain.*

118

119 Comte's eclectic system has often been portrayed by historians as a response to the  
120 chaos of the French Revolution and the reactionary regimes that followed it,  
121 attempting to restore order and progress, as its motto declared, to a ravaged and  
122 disillusioned France. In the following century, this spirit was reawakened in the ruins  
123 of the equally devastated Hapsburg Empire in central Europe and, amid the  
124 cosmopolitan *Kaffeehaus* culture of Vienna, positivism became *logical positivism*.

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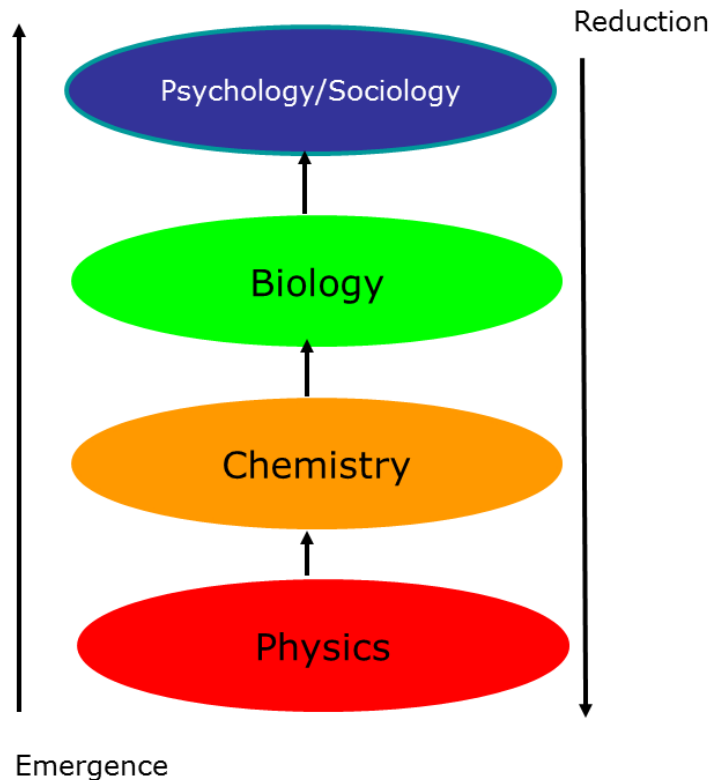
126 **THE VIENNA CIRCLE AND CLASSICAL REDUCTIONISM**

127

128 The Vienna Circle<sup>7</sup> was formally instituted as the Ernst Mach Society on 23<sup>rd</sup> November  
129 1928. It managed to clean up Comte's positivism, stripping away the religious and  
130 political accretions and creating a version of refined purity, and if ever there was a  
131 philosophy suited to those of a purist inclination, it is the *logical positivism* of the  
132 Vienna Circle. Moritz Schlick (1882-1936) and his Vienna Circle colleagues recast  
133 Comte's concept of the unique value of *that which could be positively known*, as a  
134 means for creating a boundary criterion between the meaningful and the meaningless.  
135 In the new logical positivism, theological and metaphysical statements were not  
136 wrong, but merely senseless. The most charitable thing that could be said for them  
137 was that they perhaps had some subjective artistic validity, comparable with the  
138 meaning to be found in music or literature. The physicist Ernst Mach (1838-1916),  
139 after whom they took their official name, had pioneered an extreme form of this neo-  
140 positivist attitude in his rejection of the reality of common physical concepts such as  
141 atoms, relegating them to the dustbin of metaphysical constructs<sup>8</sup>. In the words of  
142 Vienna Circle member Philipp Frank (1884-1966): "physics is nothing but a collection  
143 of statements about the connections among sense perceptions, and theories are  
144 nothing but economical means of expression for summarizing these conditions"<sup>9</sup>, p.220.  
145  
146 Physics, freed from all metaphysical trappings, was the natural foundation stone upon  
147 which the rest of science could be constructed. The resulting hierarchy therefore

148 repeated Comte's law of filiation, in essentially the same order but rotating Comte's  
149 linear succession into a stacked structure (Fig. 2). Chemistry, depending as it does on  
150 the atomic laws of the physicist, is the next level in the structure, sitting on top of  
151 physics. This is followed by biology, or possibly biochemistry, then cell biology,  
152 physiology and finally things like psychology and the social sciences. This *layered*  
153 *model* is so engrained into our current view of science that it is a little odd to imagine  
154 that it is barely 90 years old. The logical positivists' achievement was to begin with a  
155 difficult philosophical concept wrung from complex wrangling about meaning and  
156 evidence, and turn it into a framework for the explanation of one scientific discipline  
157 in terms of another: *inter-theoretic reduction*. By means of the process of inter-  
158 theoretic reduction "*the whole of Science becomes Physics ... every scientific*  
159 *statement can be interpreted, in principle, as a physical statement*"<sup>10, pp.98-99</sup> (capitals  
160 and italics in original), or as Thomas Nagel has expressed it more recently:  
161 "Reductionism is the idea ... that physics is the theory of everything"<sup>11, p.3</sup>. Scientific  
162 disciplines are mere flags of convenience within a single physics-based *Unified*  
163 *Science*<sup>8</sup>.





164

165 **Figure 2: The layered mode of the reductionist hierarchy.**

166 *Legend: Statements in each discipline are re-expressible in terms of, or reducible to,*  
167 *statements in the discipline immediately below it.*

168

169 The Vienna Circle never quite achieved its aims in full. Its leader, Moritz Schlick, was  
170 murdered by a student in 1936 and the *Anschluss* of Austria in 1938 sent many of its  
171 main members into exile. However, just in time, the ideas of the Vienna Circle had  
172 entered the English-speaking world through the publication of A.J. Ayer's (1910-1989)  
173 *Language, Truth and Logic* in 1936, probably the nearest thing to a bestseller that  
174 philosophy has ever seen<sup>12</sup>. It is therefore unsurprising that in molecular biology,  
175 Francis Crick's (1916-2004) reductionism seems to be sung straight from the logical  
176 positivist hymn sheet: "The ultimate aim of the modern movement in biology is in fact  
177 to explain *all* biology in terms of physics and chemistry" (italics in original)<sup>13</sup>, p.10.

178

179 Reductionism has achieved possibly its most extreme form in *singularitarianism*.  
180 Originating in the work of Raymond Kurzweil<sup>14</sup> and having some affinities with Frank  
181 Tipler's "omega point"<sup>15,16</sup>, the singularity is a future date at which Moore's Law<sup>17</sup> on  
182 the exponential growth of processing power has produced computers of such power  
183 that everything can be computed and we will therefore know everything. Kurzweil  
184 believes this point will be reached as soon as 2045. Even sooner than that, he claims,  
185 computers will be capable of modelling our own cognitive functions, and therefore  
186 consciousness, so accurately that we could upload copies of ourselves *in silico* and live  
187 immortally in cyberspace. An exact copy of our brain structure, down to the atomic  
188 level of every neuron would, the singularitarians believe, exhibit the same thoughts as  
189 the real thing, the same emotions, tastes and memories. Its bodily substance would  
190 be metal, plastic and silicon chips rather than proteins, lipids and carbohydrates, but  
191 those copies would nevertheless be *us* and our disembodied selves would feel our  
192 existence as being in the machine – or perhaps spread over several machines in a  
193 computing cloud.

194

195 Kurzweil's thesis has enormous emotional appeal, promising that all the world's  
196 problems will be solved, even our own individual mortalities indefinitely postponed,  
197 as long as the inexorable march of Moore's Law continues. But it also requires that  
198 reductionism be correct. All biology has to be physics, and all problems have to be  
199 computational problems, for the singularitarian vision to be achievable. The world  
200 must be merely a sum of atoms, and our understanding of the world no more than a

201 sum of bytes and bits, or else it will fail. Even if this were true, however, there is  
202 another problem with the singularitarian project.

203

204 Even relatively trivial brute force calculations on computers can require exponentially  
205 increasing processing times. Bremerman's Limit<sup>18</sup>, the theoretical absolute maximum  
206 processing speed, at which every atom of the computer is vapourised as its mass is  
207 entirely converted into energy, is insufficient to generate answers to some basic  
208 combinatorial problems<sup>19</sup>. Reductionism, and especially its extreme singularitarian  
209 variant, breaks down on its epistemology. Even assuming we can solve every scientific  
210 problem just by computing it, we would need to wait forever to do so.

211

## 212 **FROM CLASSICAL HOLISM TO NEO-HOLISM**

213

214 Around the time that the Ernst Mach Society was organizing its first formal meetings  
215 in Vienna, Jan Smuts (1870-1950) was taking a break after his first stint as South  
216 African Prime Minister, to write *Holism and Evolution*<sup>20</sup>, coining the word holism from  
217 the Greek *ὅλος* (a whole). Like Comte, Smuts had great ambitions for his philosophy:  
218 "All the problems of the universe, not only those of matter and life, but also and  
219 especially those of mind and personality, which determine human nature and destiny,  
220 can in the last resort only be resolved – in so far as they are humanly soluble – by  
221 reference to the fundamental concept of Holism". For Smuts, the *vera causa*, an  
222 innate tendency for stable wholes to form from parts, occurring at all levels from the  
223 atomic through the biological to the psychological, steered the entire universe. The

224 original conception of holism was more metaphysical than scientific, and Smuts did  
225 not acquire the same cult following as Comte had done a century previously.  
226 Nevertheless, the term holism moved into the world of science and began to be used  
227 more generally by opponents of reductionism.

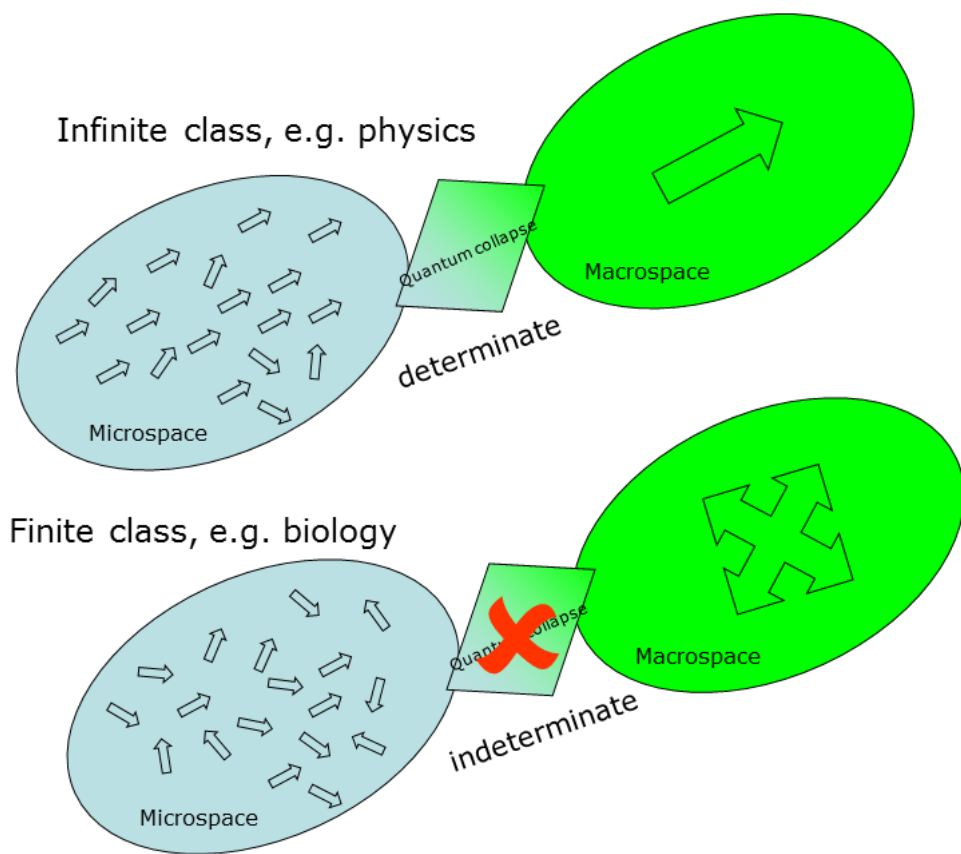
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229 One of the most intriguing critiques of reductionism in biology was supplied by Walter  
230 Elsasser (1904-1991), a quantum and geophysicist who, while working in Paris in the  
231 1930s, had been inspired to think about biology by the physiologist Théophile Kahn  
232 (1896-1986). Elsasser was by no means the only holist biologist of the post-war era -  
233 the names of Paul Weiss (1898-1989) and Conrad Waddington (1905-1975) are often  
234 mentioned in this context<sup>21,22</sup> – but Elsasser’s holistic vision was more fundamental  
235 than any of his contemporaries. Elsasser’s biology came to be characterized by a  
236 wholesale rejection of the reductionist model of the Vienna Circle as implemented in  
237 molecular biology. What makes Elsasser’s holism a *neo*-holism rather than a successor  
238 to that of Smuts, was that he insisted that it be based on the most fundamental of  
239 physical theories, quantum mechanics, and that he rather curiously still described  
240 himself as a positivist<sup>23, p.33</sup>.

241

242 Elsasser only began to publish in biology in the late 1950s, by which time he had  
243 decamped to the USA, after some two decades of digesting Theophile Kahn’s ideas.  
244 Elaboration of his critique of reductionism was to occupy him for most of the 1960s  
245 and into the early 1970s. Elsasser does not merely attack the mainstream biological  
246 reductionism of the kind popularised by Francis Crick but, rather more radically,  
247 attacks the whole notion of molecular determinism in biology, using a difficult

248 argument he named *the Principle of Finite Classes*. Elaboration of this argument would  
249 require a chapter in its own right, and has been done elsewhere<sup>24</sup>, but in essence it  
250 argues that wave function collapse, the phenomenon that produces the deterministic  
251 world of observable phenomena from the indeterminate world of quantum  
252 mechanics, only applies to simple molecules, such as those studied in the physics and  
253 chemistry laboratory. The complex molecules of biology – things like proteins,  
254 carbohydrates, lipids and nucleic acids – do not achieve wave function collapse, and  
255 therefore are always liable to behave in an indeterminate manner<sup>25, p.169. 26, p.286</sup>. For  
256 Elsasser, much of biology was in fact “acausal”<sup>27</sup>. Figure 3 summarises the argument  
257 in graphical form.



258

259 **Figure 3: Elsasser's Principle of Finite Classes argument.**

260 *Legend: In a simple physical system - an infinite class - there are sufficiently few*  
261 *quantum states (small arrows) that they can be averaged (large arrow). In a complex*  
262 *biological system - a finite class - there are so many that no average may be obtained*  
263 *(4-headed "arrow"). There is therefore no causal connection between the microscopic*  
264 *and macroscopic worlds for biological objects.*

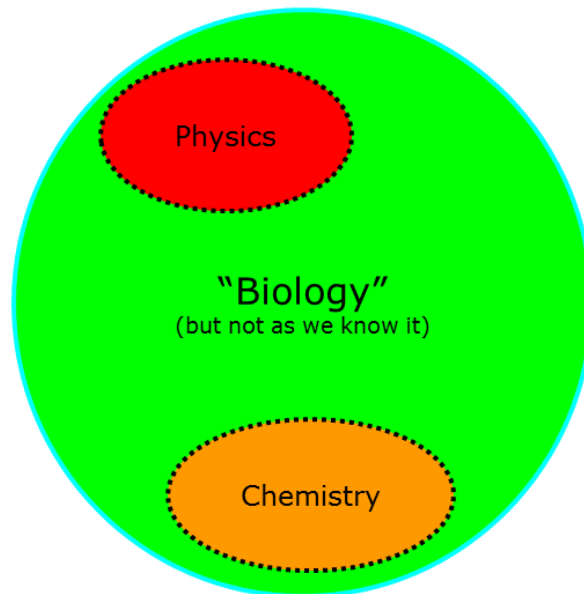
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266 Elsasser's argument against determinism in biology stimulated some inconclusive  
267 critiques in the 1960s, and then faded into obscurity, having been neither conclusively  
268 disproved nor having found many adherents. It was, perhaps, a casualty of its own  
269 difficulty – few can feel equally comfortable in both the fields of quantum mechanics  
270 and biology – and Elsasser's own apparent reluctance to engage directly with his  
271 critics. Indeed, by 1969, when Elsasser declared that he was "therefore addressing  
272 the present scheme mainly to younger people whose philosophy may not yet have  
273 approached a point of condensation"<sup>27,p.503</sup>, one can almost hear the electric guitars  
274 wailing in the background.

275

276 Nevertheless, despite Elsasser's inability, or reluctance, to force his theory into the  
277 mainstream, his influence on modern neo-holism remains profound, because even if  
278 his anti-deterministic argument was flawed, it produced, as a by-product, an  
279 alternative to the layered model of Vienna Circle reductionism. For Elsasser, biology  
280 was the science of the complex, and therefore is a superset of all the other sciences  
281 which deal with subject matter of greater regularity than the messy stuff of biology.  
282 Chemistry and physics are subsets of "biology" (as Elsasser conceived it), activities that  
283 commence when we start to refine our area of study down to the molecular and

284 atomic level – they are simply the areas of “biology” where determinism and causality  
285 apply.  
286



287

288 **Figure 4: Walter Elsasser's alternative to the layered model (see Figure 2).**

289 *Legend: Rather than biology emerging from chemistry or physics, the latter are sub-*  
290 *fields of a new science of complex systems. Physics and chemistry are not more*  
291 *fundamental than biology, but are actually rather specialist areas of biology which*  
292 *deal with infinite classes, i.e. with simple, homogeneous subject matter. See also Fig.*  
293 *3.*

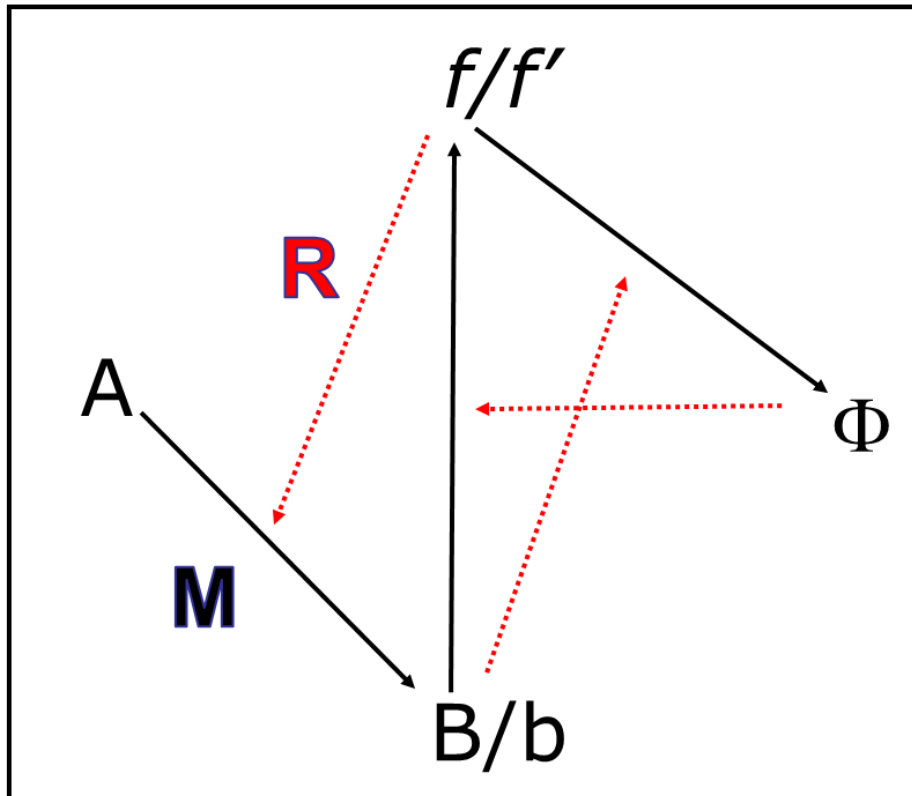
#### 294 **[M,R] – THE IRREDUCIBLE PARADIGM OF RELATIONAL BIOLOGY**

295

296 Elsasser's influence channels into modern holism through Robert Rosen (1934-1998),  
297 whose mathematical work, which he collectively termed *relational biology*, has  
298 achieved the status of a Mrs Rochester in systems biology's attic. The centrepiece of  
299 Rosen's critique of reductionism is the *[M,R] system* – standing for

300 Metabolism/Repair. [M,R] is a small self-referential network of four components,  
301 three of which act functionally within the network (Fig. 5). It may be interpreted as a  
302 biochemical pathway with four moieties and three steps, in which the each of the final  
303 three moieties are also catalysts for a unique step. This toy system was the subject of  
304 a mathematical demonstration that it was not possible to predict the properties of its  
305 entirety through an analysis of the properties of its individual components<sup>28,29</sup>. [M,R]  
306 is therefore not reducible to its component parts and can only be understood as a  
307 whole. Rosen took pains to give [M,R] as few parts and functions as possible – it is the  
308 self-referential nature of its structure, the way that three of the four components are  
309 necessary for the production of three other components of the same set of four, that  
310 causes the breakdown in the reductionist hierarchy. Irreducible complexity does not  
311 require a big and complicated system, but can be present in tiny toy systems like [M,R].  
312 Indeed, Rosen defines complex system as those which cannot be reduced.  
313





314

315 **Figure 5: Rosen's allegedly irreducible M-R system**

316 *Legend: Full arrows – the M reactions - are chemical transformations: A is converted*  
317 *to B, B to f and f to Φ. Red dotted arrows are the R catalytic steps: f', the catalytic form*  
318 *of f, catalyses the production of B from A, b, the catalytic form of B, catalyses the*  
319 *production of Φ from f and so on.*

320

321 With Rosen, we are no longer in the business of the epistemological anti-reductionism  
322 which defeats the singularitarian argument. Rosen's antireductionism was  
323 *explanatory*. Irreducibility is a property independent of the size of the thing that  
324 cannot be reduced. [M,R], he claims, simply cannot be explained using a reductionist  
325 approach. Epistemological anti-reductionism is a *holism in practice*, an observation  
326 that certain components of the reductionist programme are infeasible. Explanatory  
327 anti-reductionism is a *holism in principle*.

328

329 Rosen draws comparisons with Elsasser's nested model – as a complex system [M,R]  
330 as a whole is situated in the domain of “biology” or the science of complexity whatever  
331 name one gives to it. Break [M,R] down into its component individual steps and these  
332 are then in the domains of “physics” or “chemistry”. However, what we can say  
333 mathematically about the behaviour of the component parts, cannot be subjected to  
334 any additive process that will allow us a complete mathematical description of the  
335 whole. Thus what we can say in the molecular biology laboratory about single proteins  
336 or genes does not tell us, *contra* Crick, all that we would wish to know about the whole  
337 organism from which they are isolated, even if we have full knowledge of all of the  
338 components and how they function in isolation.

339

340 Rosen also ventured that [M,R] could have a more general interpretation. As well as  
341 representing a single self-referential network, the component parts could be taken to  
342 represent sets of reactions in living things. For instance, the first step could represent  
343 not just one, but all, metabolic reactions, the second and third steps sets of other  
344 reactions necessary to ensure that metabolism can continue – hence the  
345 Metabolism/Repair name. Pursuing this set-oriented interpretation of [M,R], Aloisius  
346 Louie has described its components in terms of formal set algebra<sup>30</sup>. Louie's central  
347 result from this analysis is the identification the presence of an impredicative set  
348 within [M,R], meaning a set that is member of itself. Impredicative sets are non-  
349 computable on a Turing machine, which remains the basic conceptual architecture of  
350 all computers. By implication, no complex system and no biological system can  
351 therefore be fully functionally modelled *in silico*.

352

353 Biology, if Rosen and Louie are correct, is therefore not only non-reducible in the  
354 laboratory but also cannot be modelled on a computer. The seriousness of this  
355 conclusion for systems biology as it is currently practiced, has generated a stunned  
356 silence punctuated by occasional attempts at refutation. However, the various  
357 attempted disproofs<sup>31,32</sup> of Louie and Rosen's work have also proved technically  
358 controversial, and the resulting lack of clarity has not served the debate well<sup>33</sup>.  
359 Rosen's relational biology has achieved a higher profile than Elsasser's work, insofar  
360 as systems biologists are often aware of its existence<sup>34,35</sup>, but its technical difficulty for  
361 those without the required background has left the adjudication on its validity to a  
362 small number of jurors who cannot reach a unanimous verdict.

363

364 **NEO-REDUCTIONISM: SOFTWARE LAWS, PHYSICS AND STAMP-**  
365 **COLLECTING**

366

367 Anyone who has been an undergraduate in genetics or molecular biology since the  
368 1970s will be familiar with the workhorse examination question, "What is a gene?"  
369 Generations of students are thereby invited to do a little inter-theoretic reduction in  
370 the spirit of the Vienna Circle, expressing the higher-level abstract explanations of  
371 genetics in terms of the nuts and bolts of molecular biology. Prior to its arrival in the  
372 examinations hall, this topic had formed the basis of Kenneth Schaffner and David  
373 Hull's (1935-2010) attempts, in the late 1960s and early 1970s, to apply Vienna Circle

374 reductionism to biology<sup>36,37</sup>. It soon became obvious to them that this was not going  
375 to be easy.

376

377 There is, for instance, no term in molecular biology that can capture everything that is  
378 implied by the term *gene* in classical genetics. Molecular biologists know that genes  
379 are made of DNA, but each gene is unique in terms of how that DNA is constituted into  
380 that particular gene. To reduce processes, such as segregation or gene silencing, the  
381 difficulties are even greater. For the process of meiosis - the independent assortment  
382 of genes during gamete formation – the abstract genetic explanation is both far easier  
383 and far more illuminating than any attempt to be more specific about molecules.  
384 Indeed, meiosis can be represented simply in terms of a set of rules for moving objects.  
385 Even if those objects are not actual chromosomes but simulations, e.g. beanbags or  
386 graphic objects in a computer simulation, the same rules would apply, and that DNA-  
387 free explanation would be a fully adequate one<sup>38</sup>. Even if there were no actual  
388 biological objects, the theory would still make *logical sense*.

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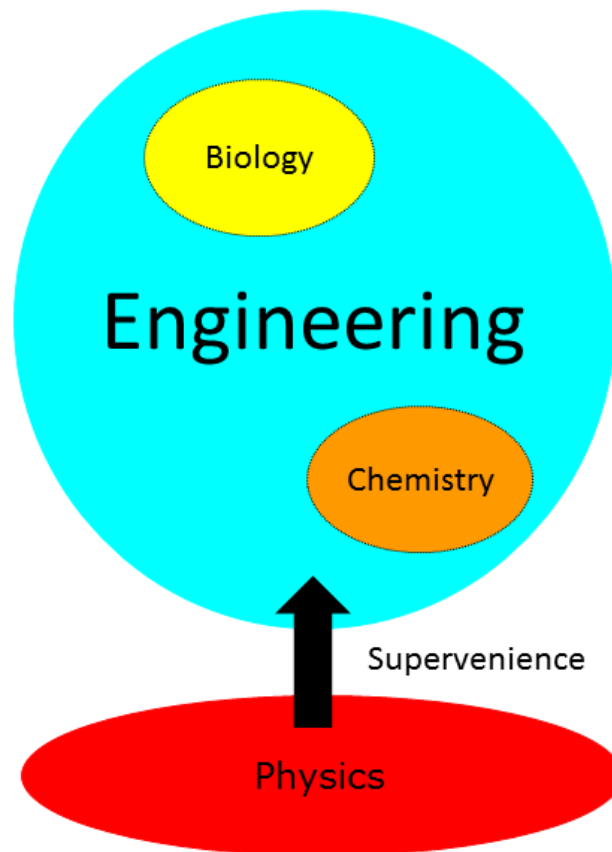
390 In a systems biology context, one might derive novel rules concerning a set of  
391 properties of a gene-regulatory or metabolic network. These rules might turn out to  
392 have logical validity in other contexts and different kinds of network, perhaps even in  
393 non-biological networks. Of course in the real biological world, Mendelian and  
394 Darwinian and metabolic systems phenomena are instantiated in DNA, cells and  
395 organisms, but the laws we use to describe their behaviour are often independent of  
396 their substrate, what Paul Davies has called *software laws* rather than *hardware*  
397 *laws*<sup>39</sup>. Reductionism does not so much fail here as appear to be an unnecessary

398 complication. Schaffner therefore replaced classic inter-theoretic reduction with a  
399 more pragmatic *biological principle of reduction*<sup>36</sup>, a commitment to try to reduce  
400 wherever possible, to create as many reductive explanations as possible, even in the  
401 absence of complete reduction.

402

403 Although this softened Crick's vision of explaining *all* of biology in terms of physics and  
404 chemistry, it still placed biology within the layered model of the Vienna Circle. The  
405 next stage in the evolution of reductionism, taken by Alexander Rosenberg, was to  
406 replace that model<sup>40,41</sup>. Rosenberg proposes in its place a two-layer model (Fig. 6)  
407 building on the work<sup>42</sup> of J.J.C. Smart (1920-2012). The lower layer is physics and the  
408 upper layer is termed "engineering". This is not to be interpreted literally, but to serve  
409 as a shorthand for all sciences other than physics. An "engineering" question is one  
410 that does not require an answer that includes a full physical explanation, but one for  
411 which chemistry, biology, psychology etc., will suffice. Ernest Rutherford (1871-1937)  
412 previously made a similar analogy, but replaced "engineering" with "stamp  
413 collecting".

414



415

416 **Figure 6: Neo-reductionism's simplification of the layered model**

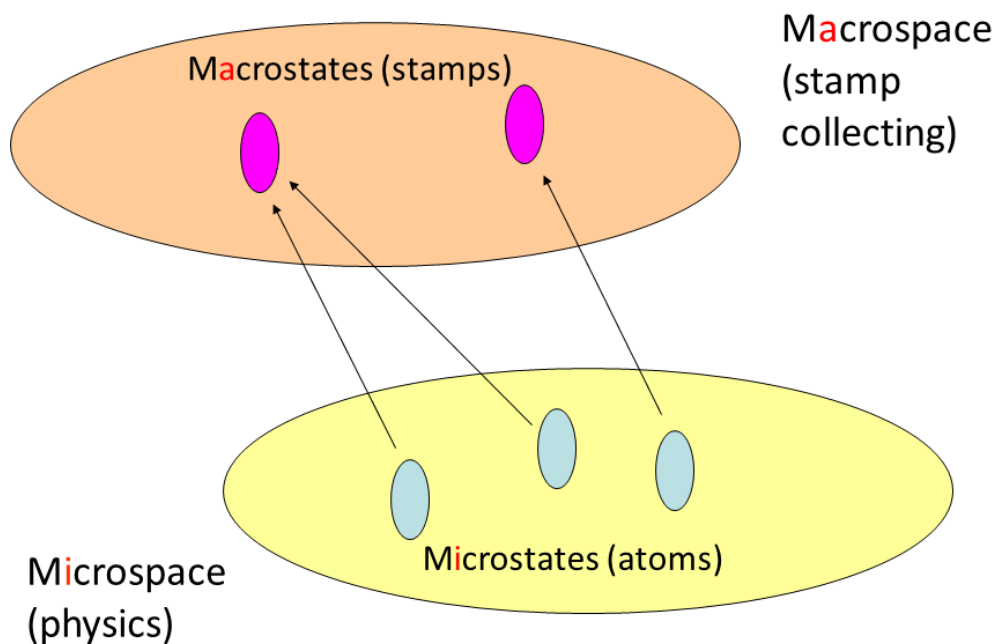
417 *Legend: Neo-reductionism's flat model, an alternative hierarchy to Fig. 1, proposed by*  
418 *J.J.C. Smart but previously implied by Ernest Rutherford: "either physics or stamp*  
419 *collecting".*

420

421 Neo-reductionism proposes that physics provides the description of the molecular  
422 order of a system – its *micro-state* - whereas "engineering" explanations refer to  
423 supra-molecular configurations of that system – its *macro-state*. All macro-states are  
424 *supervenient* on underlying micro-states. Supervenience implies that a given micro-  
425 state will always result in the same macro-state. By contrast, a macro-state may have  
426 more than one micro-state that will give rise to it (Fig. 7). Micro-states therefore  
427 determine macro-states, but macro-states are not reducible to micro-states, or at

428 least not reducible to unique micro-states. Davies' software laws are therefore laws  
429 of the macro-state and not reducible to those of the micro-state. The software laws,  
430 however, cannot allow behaviour which breaks the laws of the micro-state.

431



432

433 **Figure 7: The non-unique dependence of macrostates on microstates**

434 *Legend: Classical reductionism has always struggled to determine how macrospace*  
435 *configurations are determined by their underlying microspaces, in other words how to*  
436 *model a macrospace. Neo-reductionism implies that it is more important to*  
437 *understand how microspace variation affects that of macrospace, in other words how*  
438 *macrospace is realized.*

439

440 Moving outwards beyond individual systems, neo-reductionism sees the universe as  
441 consisting of a *micro-space*, its objective atomic/quantum physical reality, and a  
442 *macro-space*, which is the configuration of larger order entities studied by all the other  
443 sciences, and which is supervenient on the micro-space. Interestingly, Elsassner had

444 already outlined a similar concept as part of his anti-reductionist argument. His  
445 concept of *variostability* refers to the tendency of a macro-state of a system to remain  
446 coherent in the presence of micro-state variation<sup>43</sup>. Although Elsasser argued that this  
447 had not merely anti-reductionist but even anti-determinist implications, his  
448 conclusions are dependent on the assumption that reductionism requires a unique  
449 micro-state to macro-state binary mapping. Neo-reductionism of the kind advanced  
450 by Rosenberg, however, allows for a one-to-many mapping between macro-state and  
451 micro-states. This is compatible with variostability.

452

453 Another parallel with the work of Elsasser is his concept of *biotonic laws*, which he  
454 hypothesized as laws pertaining solely to biological systems, which were not reducible  
455 to underlying physical laws<sup>23</sup>. Davies' software laws concept can be made to fit  
456 partially with the biotonic laws concept, just as variostability can be made partially  
457 congruent with supervenience. Neo-holism and neo-reductionism thus begin to find  
458 common ground. Lest we become too enthused over the prospects of synthesis,  
459 however, it should be borne in mind that Elsasser drew his conclusions from his  
460 controversial quantum mechanical theory of the Principle of Finite Classes, which  
461 implied total indeterminacy for biological systems with respect to their physical  
462 constitution. Neo-reductionism's concept of supervenience requires a causal relation  
463 pointing upwards from micro-state to macro-state and therefore is deterministic in a  
464 way that Elsasser would have rejected.

465



466 **MODELLING VERSUS REALIZATION**

467

468 Inter-theoretic reduction requires explanations of how one theory can be expressed  
469 in terms of a lower level theory in the layered hierarchy. So the macro-space  
470 configurations of one discipline must be shown to be determined by the underlying  
471 micro-spaces of the theory below. In other words, reductionism requires us to be able  
472 to model macro-spaces in terms of a micro-space. Robert Rosen had stern words for  
473 reductionists on the subject of modelling, making a distinction between a true model  
474 and a mere simulation. Imagine an attempt to build a piece of software to represent  
475 a biochemical network. No matter how accurate the representation of the entities of  
476 the system may be, they are only in a model *sensu strictu* if the rules that connect  
477 them and govern their behaviour are also accurate representations of the laws of the  
478 natural world. Otherwise the software is a simulation. The *entailment structure* – the  
479 framework of rules that govern how bits of the system interact - of a true model  
480 faithfully represents the corresponding entailments of the thing being modelled<sup>28</sup>.  
481 Failure to do so will generate a *black box*, a simulation which may be very good at  
482 predicting the output given a set of inputs, but which does not represent any true  
483 understanding of the system. Simulations are merely *ad hoc* black box predictors of  
484 the phenomenological behaviour of whatever they simulate.

485

486 This is indeed a tough requirement to satisfy in any field. Simulation, rather than  
487 modelling in Rosen's sense, is the norm in most cases. Rosen might be implying that  
488 when reductionists often think they have achieved inter-theoretic reduction, the  
489 reality may be considerably less conclusive. However, Schaffner's biological principle

490 of reduction acknowledges that this is often the case, at least in biology if not other  
491 disciplines, and accurate, if black box, simulation may be a good preparation for the  
492 deduction of a true model of the actual entailment structures of the system  
493 concerned.

494

495 Even assuming that both aspects of an inter-theoretic reduction were completed to  
496 Rosen's satisfaction, with adequate re-expression of both entities and entailment  
497 structures, the completed model of reduction is still vulnerable to change in either, or  
498 both, of the reduced and reducing theories. If some aspect of biology is regarded as  
499 reduced to physics or at least chemistry, the assumption is made that the underlying  
500 chemistry is correct. Should the relevant parts of chemistry be disproved, the  
501 reductional chain will be broken, and the biology will require to be re-reduced to the  
502 new physics or chemistry. Previous satisfactory reductions may suddenly become  
503 invalid in this way, and reduction must always therefore be considered to be  
504 provisional. However, just as a previous reductive chain may be broken by changes in  
505 the underlying theory, so may reduction become possible where before it was not<sup>44,45</sup>.  
506 Anti-reductionist declarations must always be provisional too. Ernest Nagel (1901-  
507 1985) points out<sup>46</sup> that chemistry is only reducible to post-1925 physics, and  
508 thermodynamics is only reducible to post-1866 statistical mechanics. In both cases it  
509 was advances in the lower theory (physics) that enabled the reduction, not any new  
510 insight into chemistry or any improvement in reductionist method. The emerging  
511 consensus from the mid-1920s onwards that chemistry was finally reducible to  
512 physics, was one of the factors that spurred the enthusiasm of the Vienna Circle to  
513 apply reductionism everywhere.

514

515 Neo-reductionism avoids Rosen's strictures regarding modelling and simulation, not  
516 by merely adopting the biological principle of reduction and/or conceding that  
517 reductions can remain provisional, but by replacing modelling with *realization*. Neo-  
518 reductionism's concept of a non-unique macro-space to micro-space supervenience  
519 relationship implies that it is more important to understand how micro-space variation  
520 affects that of macro-space than to model the macro-space on the micro-space. In  
521 other words, the question becomes how a macro-space is *realized* upwards from its  
522 underlying micro-space, rather than how to reduce/model downwards from macro-  
523 space to micro-space.

524

525 This notion has some considerable implications for scientific method. The inversion  
526 required by neo-reductionism would seem to require a kind of *Gestalt*-switch in the  
527 way that our brains do science. A plan for what science would look like once we have  
528 managed to perform that change in perspective, is not obvious. Nevertheless, some  
529 intriguing hints are visible in the work of Stephen Wolfram on *cellular automata*. An  
530 automaton is a software entity that performs certain behaviours under a simple set of  
531 rules<sup>47</sup>. These may be very clear and straightforward – cellular automata have none  
532 of the knotted puzzle nature of [M,R]. However, they may exhibit remarkably rich  
533 patterns of activity. Conway's Game of Life is the most famous example of a cellular  
534 automaton, where different starting configurations result in radically different shifting  
535 outcomes. Crucially, we know that cellular automata are deterministic – we  
536 programmers have specified their rules and they always abide by them. We can  
537 therefore say that we fully understand the micro-space of the automaton. Wolfram

538 produces a vast variety of such automata showing how complexity can arise from  
539 simple starting conditions and conversely how order can emerge from chaotic  
540 conditions. According to Wolfram, there are many instances of automaton-like  
541 behaviour across a wide range of systems from physics to psychology. Modelling a  
542 system is therefore less important than the question of whether the system behaves  
543 similarly to a known automaton, i.e. realization.

544

545 For neo-reductionism, systems biology is labouring under the weight of the modelling  
546 problem, whereas it ought to be recasting itself in terms of the realization problem.  
547 The modelling problem founders both on the sheer scale of the data – it cannot  
548 counter epistemological anti-reductionist arguments - and also potentially on the  
549 hidden problems of self-referential systems – if Rosen is correct, it cannot counter  
550 explanatory anti-reductionist arguments either. Even leaving Rosen aside, it is  
551 evident that the modelling problem is the problem of data increasing faster than the  
552 conclusions we can draw from it – a problem of where to end. The realization  
553 problem, by contrast, is one of where to start.

554

## 555 **THE SCIENTIFIC UNDERSTANDING OF SCIENCE**

556

557 The reductionism-holism debate in systems biology sits within a wider context that  
558 goes beyond the bounds of daily activity in the research lab. This anthology is on the  
559 subject of the public understanding of biology. The public understanding of science in  
560 general has become a speciality in its own right with endowed chairs in prestigious

561 universities and “public engagement” high on the priority list of league table-driven  
562 British universities. However, this phrase implies that a failure to understand science  
563 is largely something “out there” in the public. If only scientists can learn to  
564 communicate better, the public will understand better.

565

566 Valuable as such evangelical work is – and after all, it is the public who are paying the  
567 major portion of the salaries of the scientists, so they are entitled to know where their  
568 money is going – it misses a problem rather closer to home: scientists often do not  
569 have a very firm conception of their own working methodology, and even less of a  
570 comprehension of the methodology of other scientific disciplines. If anybody is  
571 looking for another chair to endow, a Professorship in the Scientific Understanding of  
572 Science would be both a provocative and valuable contribution.

573

574 The origins of this problem lie in the fact that most undergraduate science courses do  
575 not teach the philosophy of science. If they do, all that will be included will be an  
576 exhortation to perform experiments with careful controls that test hypotheses and  
577 seek to falsify rather than confirm them – in other words, most biologists, if they think  
578 about scientific method at all, are Popperians<sup>48</sup>. Part of this is due to the advocacy of  
579 Karl Popper’s (1902-1994) legacy by Richard Dawkins and his predecessor as the UK’s  
580 favourite popular writer on biology, Peter Medawar (1915-1987), whose best-selling  
581 *Advice to a Young Scientist* laid down the Popperian law to many aspiring young  
582 molecular biologists of the 1980s and beyond<sup>49</sup>.

583

584 However, two things are rarely if ever mentioned when Popper is discussed by  
585 biologists: his anti-reductionism, which became stronger in his later years<sup>50</sup>, and the  
586 apparent anti-Darwinism of his late period (although his exact stance on this is still a  
587 matter of controversy). Biologists in the 21<sup>st</sup> century thus usually hold an incompatible  
588 mixture of philosophical views on their own subject – a classical reductionism  
589 channelled from the Vienna Circle via A.J. Ayer to Francis Crick, rubbing shoulders with  
590 the post-Vienna Circle thought of Popper. Often these are held at such an unconscious  
591 level that biologists will deny having any philosophical thoughts at all, believing all  
592 such things to be irrelevant to science.

593

594 Scientists are therefore in a poor position to defend their discipline against those who  
595 would cast doubt on its entire existence. To take a few common examples,  
596 sociologists of the “science studies” or “science, technology and society (STS)”  
597 persuasions seek to represent science as a set of rituals performed by a secular  
598 priesthood. Neo-Marxists see it as a bourgeois activity devoted to replicating the  
599 existing political structure, and have a particular antipathy to biology as an obstacle to  
600 their notions of the infinite malleability of the human social order. Social  
601 constructionists wish to deny any discipline that believes an objective view of reality  
602 can be achieved. These are caricatures, of course, necessitated by brevity, but these  
603 threats to science in its current form are real. In order to defend ourselves, scientists  
604 need a clearer idea of who we are, what we are doing and why, that goes deeper than  
605 the currently fashionable notions of “impact” and “engagement”. Part of the  
606 formation of that clearer idea must come from a deeper understanding of our  
607 philosophical underpinnings. Systems biology has an opportunity to lead the way in

608 this endeavour, given that the field sits on the cusp of a profound philosophical  
609 decision about its future orientation. Systems biology may make scientists become  
610 natural philosophers once more.

611

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