

**Testing biodiversity theory using species richness of reef-  
building corals across a depth gradient**

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Relevant information will appear here if provided.

**Ethics**

*Does your article include research that required ethical approval or permits?:*

This article does not present research with ethical considerations

*Statement (if applicable):*

CUST\_IF\_YES\_ETHICS :No data available.

**Data**

*It is a condition of publication that data, code and materials supporting your paper are made publicly available. Does your paper present new data?:*

Yes

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All data required to repeat and validate the study are available in the supplementary materials.

**Conflict of interest**

I/We declare we have no competing interests

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**Authors' contributions**

This paper has multiple authors and our individual contributions were as below

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TER, AHB, and TCLB conceived the research, TER collected the data, and analysed the data with input from SAK, MJC, and CR. TER led the writing of the manuscript with contributions from all authors.

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2 **across a depth gradient**

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25 **Abstract:** Natural environmental gradients encompass systematic variation in abiotic  
26 factors that can be exploited to test competing explanations of biodiversity patterns.  
27 The *Species-Energy (SE)* hypothesis attempts to explain species richness gradients as  
28 a function of energy availability. However, limited empirical support for *SE* is often  
29 attributed to idiosyncratic, local-scale processes distorting the underlying species-  
30 energy relationship. Meanwhile, studies are also often confounded by factors such as  
31 sampling biases, dispersal boundaries, and unclear definitions of energy availability.  
32 Here, we use spatially-structured observations of 8,460 colonies of photo-symbiotic  
33 reef-building corals and a null-model to test whether energy can explain observed  
34 coral species richness over depth. Species richness was left-skewed, hump-shaped,  
35 and unrelated to energy availability. While local-scale processes were evident, their  
36 influence on species richness was insufficient to reconcile observations with model  
37 predictions. Therefore, neither energy availability in isolation, nor in combination  
38 with local deterministic processes were able to explain coral species richness across  
39 depth. Our results demonstrate that local-scale processes do not necessarily explain  
40 deviations in species richness from theoretical models, and that the use of  
41 idiosyncratic small-scale factors to explain large-scale ecological patterns requires the  
42 utmost caution.

43

44 **Keywords:** Corals, species richness gradients, Species Energy Hypothesis,  
45 community assembly processes, biodiversity, depth.

46

## 47 **Background**

48 Despite decades of research and more than 100 proposed explanations[1, 2], the  
49 processes that generate and maintain species richness gradients remain poorly

50 understood[2-5]. An important contributor to this lack of understanding is the paucity  
51 of species abundance data with sufficient coverage and resolution to identify  
52 underlying patterns and thereby help distinguish between competing possible  
53 causes[4-6]. Ecosystem-specific differences in interspecific responses to  
54 environmental factors further limits our ability to separate general ecological  
55 processes from local-scale idiosyncratic effects[7]. Moreover, differences in dispersal  
56 boundaries[8], area effects[3, 9], sampling bias[2, 10], and the proportion of gradients  
57 sampled[5] have all contributed to a lack of consensus regarding the processes that  
58 generate and maintain species richness gradients.

59         The *Species Energy* hypothesis (*SE*) proposes that species richness gradients  
60 can be explained by spatial variability in energy availability, predicting a monotonic  
61 decline in richness with decreasing energy[11]. Theoretically, more energy allows  
62 more individuals to co-exist, thereby allowing more species to maintain large enough  
63 populations to avoid local extinction via demographic stochasticity. Despite many  
64 empirical studies, however, there is still little agreement on the importance of *SE* in  
65 structuring ecological communities in nature, although controlled experiments have  
66 demonstrated the potential[12]. Instead of a monotonic pattern of species richness  
67 declining with energy availability, field studies often report lower richness where  
68 energy levels are greatest [2, 6, 13-15]. Potentially confounding these patterns further,  
69 species richness across gradients can be strongly influenced by the scale at which they  
70 are measured [3, 16, 17], an important consideration because most empirical studies  
71 of this nature occur at small scales. Limited support for *SE* in empirical studies at  
72 local scales is often attributed to disproportionately strong local-scale community  
73 assembly processes occurring at the high energy region of the domain, thereby  
74 modifying the shapes of species richness curves from monotonic to a hump-shaped

75 unimodal pattern[18-20]. While the inclusion of local modifying factors can help  
76 explain a lack of support for theoretical predictions, the role of deterministic  
77 community assembly processes in species richness gradients remains contentious[21-  
78 24].

79         One way to resolve this issue is to test the predictions of the *SE* hypothesis  
80 using a diverse biological community where all individuals occur along a  
81 geographically short but clearly-defined energy gradient. Doing so helps remove  
82 confounding factors such as area effects and dispersal boundaries, the identity of the  
83 limiting energetic resource, and incomplete sampling of the full gradient. Coral reefs  
84 provide such an opportunity because light declines exponentially with depth, and is  
85 also the primary limiting energetic resource for photo-symbiotic reef-building corals:  
86 light provides corals with the vast majority of their energy requirements via  
87 photosynthesis[25, 26], and the influence of energy availability on coral community  
88 composition is well understood[27, 28]. Although some corals can supplement their  
89 energetic budget with heterotrophic feeding [29], light availability is closely linked to  
90 the physiological process of calcification [30, 31], and heterotrophy cannot replace  
91 the photosynthetic acquisition of energy. Moreover, photo-symbiotic reef-building  
92 corals occur over a relatively short depth range because light irradiance at a depth of  
93 only 60 m is typically only ~1% of surface irradiance even in clear tropical waters.  
94 Consequently, virtually the entire gradient can be sampled, thereby minimising any  
95 potential effects of sampling a truncated energy distribution[32].

96         Coral community assembly may also be influenced by local-scale processes,  
97 such as competitive interactions and environmental disturbance[33, 34]. Predictable  
98 changes in the energetic and environmental conditions over depth are thought to  
99 influence the intensity and nature of these processes over this gradient[35, 36],

100 making coral reefs an ideal model ecosystem to test the effects of local-processes on  
101 species richness [28, 35-37]. Specifically, the hump-shaped pattern commonly  
102 observed in empirical studies is typically attributed to deterministic community  
103 assembly processes being strongest at the shallowest sites, where the energetic  
104 resource is also most abundant[18, 20, 35, 36]. For example, shallow-water corals are  
105 disproportionately exposed to the damaging effect of wave energy, which declines  
106 rapidly with depth[38, 39]. High disturbance frequency might therefore select for a  
107 limited subset of species that could co-exist at shallow sites, resulting in decreased  
108 species richness. Specifically, consistently high wave energy should select for species  
109 capable of either withstanding hydrodynamic forces, or which can rapidly recovery  
110 following disturbance[33, 35, 37]. Conversely, higher levels of the energetic resource  
111 are thought to promote increased growth, which in turn promotes competitive  
112 interactions, and ultimately accelerated rates of competitive exclusion[20]. These  
113 processes underpin the *Hump-Backed Model (HBM)*, which seeks to explain hump-  
114 shaped species richness patterns often observed along a productivity gradient [18-20].  
115 In both cases, species richness would be suppressed by deterministic processes at a  
116 local scale, but are reliant on these processes being disproportionately more influential  
117 only in the shallowest sites. However, support for these ideas remains scarce,  
118 primarily due to the difficulty of obtaining suitable data to test them[24].

119 Here, we census photo-symbiotic reef-building corals over a depth range of 0  
120 to 45 m, encompassing 98% of the light gradient, to test predictions of the *SE*  
121 hypothesis of a monotonic decline in species richness over depth. We then use the  
122 nested spatial structure of these data and a null-model approach to estimate the  
123 influence of local-scale community assembly processes over depth.

124

## 125 **Methods**

### 126 *Field Surveys*

127 Coral surveys were conducted between April 2015 and November 2016 on six reefs in  
128 Kimbe Bay, Papua New Guinea, located in the Indo-Australasian Archipelago (IAA)  
129 centre of coral diversity[40]. Corals were censused using vertical point count  
130 transects[41], spanning nine separate depth bins at five metre intervals from the  
131 surface (i.e. 0-5 m) to 45 metres (40-45 m). At each reef, at least nine up-slope point  
132 count transect surveys were conducted, with at least one count station completed in  
133 each depth bin. Count stations consisted of twelve coral colonies ranging outwards  
134 from a randomly selected central colony via the nearest neighbour. At least 144  
135 colonies (mean = 177) were recorded and identified to species in each depth bin, at  
136 each of the six reefs (total n = 8,460 colonies, 705 count stations, > 864  
137 colonies/depth bin). For full methodology, see electronic supplementary material.

### 138 *Species Richness Analysis*

139 To correct for sampling effort[10], species richness estimates for each depth  
140 bin were generated using species accumulation curves. Curves were generated using  
141 the function 'specaccum' within the package 'Vegan' in R[42, 43]. Each curve was  
142 re-assembled 999 times randomly with replacement to capture the possible variation  
143 in species richness, before being subsampled at 70 counts (840 individual colonies).  
144 This sample size allowed species estimates to be compared without requiring  
145 extrapolation of the accumulation curves beyond the empirical data. The resulting  
146 8,991 data points were retained, and the mean of each depth taken to represent the  
147 empirical species richness.

148 *Species Energy Model:* Light irradiance was used to estimate available photosynthetic  
149 energy for use in the *SE* model. At each reef, light intensity was recorded at 5 metre



150 intervals along the depth gradient using an Odyssey submersible photosynthetic  
151 irradiance recording system logger[44]. Levels were recorded during November 2015,  
152 at 1200 hours, and each estimate was the mean of at least 3 estimates of irradiance  
153 recorded a minimum of 30 seconds apart and expressed as a percentage of the surface  
154 light level at each reef. These values were used to estimate a standard light attenuation  
155 curve over depth for the study location. The predictor value of energy in the *SE* model  
156 was then calculated as the percentage of surface irradiation available at each depth. A  
157 one factor general linear model was used to test the capacity of light irradiance to  
158 predict the mean estimated species richness over depth.

159 *Local Assembly Processes:* We used a null model to generate expected values of local  
160 species richness for any given species pool which we compared to observed species  
161 richness. Negative deviations from the null expectation indicate the strength of local  
162 scale deterministic processes, and how the influence of these processes change over  
163 the depth gradient. This approach enabled examination of the influence of local-scale  
164 processes on species richness in isolation from larger-scale processes[24, 45]. For  
165 each of the nine depth bins, a species pool was assembled consisting of all species  
166 recorded, and the relative abundance of each species. Null assemblages were then  
167 generated at each depth by selecting 12 individuals from the available species pool,  
168 with the selection probability reflecting its abundance. At each depth bin, 10,000  
169 virtual count stations of 12 colonies were assembled, and the mean species richness  
170 per count extracted. Empirical values were compared to null expectations at each  
171 depth, and the discrepancy between the two values was taken as a measure of the  
172 intensity of local-scale deterministic community assembly processes.

173

## 174 **Results and Discussion**

175 Observed species richness showed a left-skewed hump, peaking at the 12.5 m  
176 depth bin (Fig. 1a), a result not predicted by the *SE* model (Fig. 1b, *SE*:  $r^2 = <0.01$ ).  
177 Over the full depth gradient, there was little support for the monotonic decline in  
178 richness predicted by the *SE* model, primarily because the model was unable to  
179 predict the observed low species richness in the shallow high-energy section of the  
180 domain (Fig. 2a). This discrepancy could be accounted for if deterministic community  
181 assembly processes were disproportionately stronger only in the shallowest sites, as  
182 theorised by the *HBM* (Fig. 2b). Species richness at the count station scale was  
183 significantly lower than expected at all depths (Fig. 2c), indicating that species are  
184 strongly influenced by local-scale community assembly processes. However, the  
185 influence of these processes was not significantly stronger in the shallow depths (Fig.  
186 2c). Therefore, deterministic processes are unable to account for the mismatch  
187 between the *SE* prediction and the observed data.

188 Declines in species richness in high energy sections of domains have been  
189 observed in many ecosystems[2, 6, 13-15] and although the suggestion that the pattern  
190 is universal is not new[46], what creates and maintains such patterns remains  
191 unsubstantiated[15, 20, 21]. Explanations of observed species richness patterns as  
192 functions of *SE* and *HBM* generally require post-hoc modifications through the  
193 invocation of idiosyncratic additional factors to reconcile these observations with  
194 theoretical predictions[7, 23, 47, 48]. Often, the processes underpinning these post-  
195 hoc modification are poorly understood, making clear mechanistic predictions  
196 difficult. For example, competitive interactions are thought to strongly influence the  
197 structure and richness of a coral community by affecting the physiological fitness of  
198 individual coral colonies[20, 35-37]. However, the real-world impact of competition  
199 on key demographic traits (such as growth rate) is insignificant, or more complex than

200 anticipated[49]. In the absence of clear and testable mechanistic predictions,  
201 deviations from empirical observations are uninformative for testing these  
202 theories[21, 50-52]. The persistence of these theories is impeding the development of  
203 alternative hypotheses to explain patterns of biodiversity [21, 22, 50].

204         The challenge of explaining the commonly-observed pattern of lower species  
205 richness at the highest energy portions of a gradient was first discussed in the early  
206 1990s[15, 46]. Potential explanations include the combined effects of multiple  
207 energetic factors[53], scale effects[17], and local disturbance regimes[18, 20, 35].  
208 Consequently, discerning the relative effects of such processes has proven difficult.  
209 By using observations free of the common factors that can confound such studies, we  
210 show that the species richness gradient in reef corals over depth is not predicted by  
211 the *SE* hypothesis. We also find no support for the contention that lower species  
212 richness at the high-energy end of the gradient is due to local deterministic processes  
213 such as increased disturbance frequency or competitive exclusion. Instead, we  
214 propose that future studies should focus on generating theoretically sound mechanistic  
215 predictions which can be tested across multiple spatial scales. While other authors  
216 have made similar suggestions[21, 50-52], our results further highlight the need to  
217 robustly test predictions of theoretical models, rather than relying on post-hoc  
218 explanations of poorly-fitting models to help us understand important and widespread  
219 patterns in nature.

220

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230 validate the study are available in the supplementary materials.

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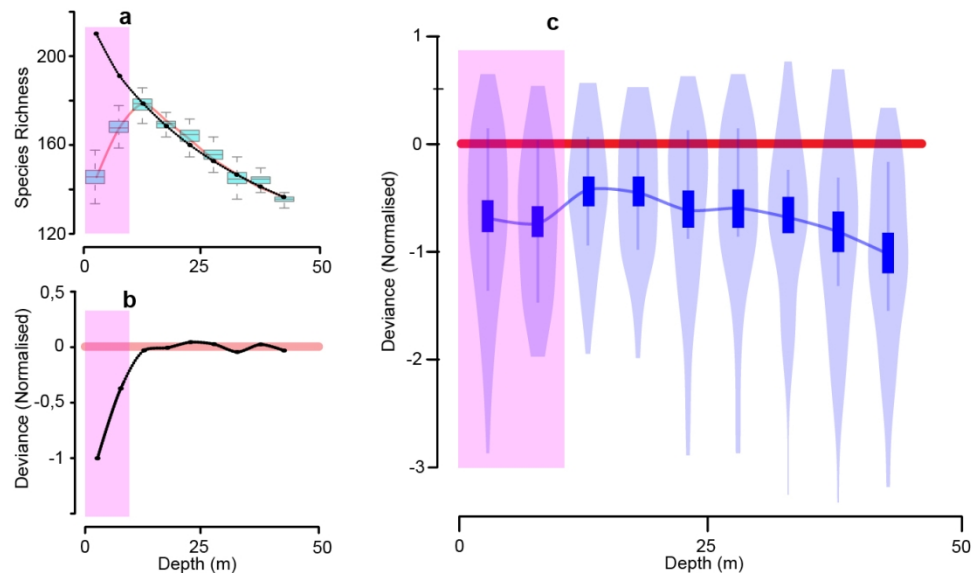


Figure 2: Influence of local-scale community assembly processes. To fit the observed data to the SE prediction (black line), species richness must be disproportionately reduced at depths below 10 m (a). Count-scale species richness must therefore negatively deviate from the null expectation at the shallowest depths (b). Although species richness within counts is lower than null expectations at all depths (c), the trend is unable to reconcile the observed species richness with the SE prediction (b,c). Model fit predictions for the SE are represented in black (a), and deviance of predicted count station richness versus observed means are normalised to between 0 and -1 for both predicted (b) and empirical (c) values. Null values are shown as a red bar (b,c). Pink polygons overlay the depths where deviance must be significantly greater than at other depths to meet the SE prediction. Frequency distributions of all count scale richness values are shown in pale blue (c), while 95% confidence intervals of the mean are represented by solid blue bars, and quartiles by fine blue lines.

120x70mm (300 x 300 DPI)