Effect of Support Redox Character on Catalytic Performance in the Gas Phase Hydrogenation of Benzaldehyde and Nitrobenzene over Supported Gold

Maoshuai Li, Xiaodong Wang, Fernando Cárdenas-Lizana*
and Mark A. Keane

Chemical Engineering, School of Engineering and Physical Sciences,
Heriot-Watt University, Edinburgh EH14 4AS, Scotland

*corresponding author
Tel: +44(0)131 451 4115, e-mail: f.cardenaslizana @hw.ac.uk
ABSTRACT

A range of oxides (\(\gamma\)-\(\text{Al}_2\text{O}_3\), TiO\(_2\), ZrO\(_2\), CeO\(_2\), \(\alpha\)-Fe\(_2\text{O}_3\) and Fe\(_3\text{O}_4\)) with different redox properties were used to support nano-scale (mean = 2-8 nm) Au and employed in the gas phase hydrogenation of benzaldehyde and nitrobenzene. The catalysts were subjected to TPR, H\(_2\)/O\(_2\) titration, H\(_2\) TPD, XRD, TEM/STEM and XPS analysis. The supported Au phase promoted partial reduction of the reducible supports through the action of spillover hydrogen (based on TPD), which generated surface oxygen vacancies (demonstrated by O\(_2\) titration) that inhibit Au particle sintering during catalyst activation. Electron transfer to generate charged Au species (determined by XPS) correlates with support ionisation potential. Higher nitrobenzene hydrogenation (to aniline) TOFs were recorded relative to benzaldehyde where rate increased with decreasing Au size (from 8 to 4 nm) with measurably lower TOF over Au <3 nm. Strong binding of –CH=O and –NO\(_2\) functions to oxygen vacancies resulted in lower hydrogenation rates. Higher temperatures (>413 K) promoted benzaldehyde hydrogenolysis to toluene and benzene. The formation of Au\(^\delta^+\) on non-reducible \(\text{Al}_2\text{O}_3\) favoured selective reduction of –CH=O with full selectivity to benzyl alcohol at 413 K.

**Keywords:** Selective hydrogenation; benzaldehyde; nitrobenzene; reducible supports; oxygen vacancies; Au particle size effect.
1. Introduction

The selective hydrogenation of benzaldehyde to benzyl alcohol and nitrobenzene to aniline are important in the production of herbicides, dyes, pigments and fine chemicals [1,2]. Conventional batch synthesis delivers low product yields, is energy inefficient and generates significant waste where exclusive reduction of the carbonyl and nitro group is challenging [3]. Continuous gas phase reaction presents a number of advantages including ease of product/catalyst separation and reduced downtime [4]. Supported Au at the nano-scale (<10 nm) exhibits unique selectivity in the hydrogenation of multi-functional reactants although activity is lower compared with conventional transition metals (Pt, Ru, Pd and Ni) [5] due to the limited capacity of Au to chemisorb/dissociate H2 [6]. Studies to date on the catalytic hydrogenation of carbonyl (acrolein, benzalacetone, cinnamaldehyde and crotonaldehyde) [7,8] and nitro (chloronitrobenzene, nitrostyrene and nitrobenzaldehyde) [9,10] compounds have shown a dependence on the electronic and geometric properties of the Au phase that are influenced by the support. Smaller Au particles are formed on reducible oxides (e.g. TiO2 and CeO2) relative to non-reducible ZrO2 and SiO2 [11,12]. Higher turnover frequencies (TOF) with decreasing Au size (2-9 nm) has been reported in the hydrogenation of crotonaldehyde [11,13] and nitrobenzene [14] for Au supported on TiO2, Al2O3 and SiO2. Okumura et al. [11] observed higher alcohol selectivity (from crotonaldehyde) over Au/TiO2 than Au/Al2O3 and Au/SiO2. Milone and co-workers [15] proposed that reducible iron oxides promote the formation of electron-rich Au through metal-support electron transfer that favours –CH=O reduction. Rojas et al. [16] concluded that negatively charged Au (on SiO2) binds the electrophilic carbon in –CH=O facilitating hydrogenation of cinnamaldehyde and benzalacetone.

In nitro group reduction, the high selectivity exhibited by Au/TiO2 has been attributed to metal-support synergy that promotes –NO2 activation [17]. Shimizu et al. [18] considered the
role of Al₂O₃ acidity/basicity in tandem with coordinatively unsaturated Au to dissociate H₂ to H⁺/H⁻ at the metal/support interface and selectively reduce –NO₂ in the presence of other reactive functionalities. In the hydrogenation of p-chloronitrobenzene unwanted hydrodechlorination was reported for Au/Ce₀.₆₂Zr₀.₃₈O₂ and ascribed to C–Cl scission at oxygen vacancy sites [19]. Selective hydrogenation has been well established for Au catalysts but the contribution of the support in modifying Au structure, reactant activation and overall surface reaction mechanism is far from resolved. In this study we compare the catalytic action of Au nanoparticles on oxides (γ-Al₂O₃, ZrO₂, TiO₂, CeO₂, α-Fe₂O₃ and Fe₃O₄) with distinct redox character in the hydrogenation of –CH=O (benzaldehyde) and –NO₂ (nitrobenzene) and correlate performance with catalyst structure. We propose surface reaction mechanisms to account for the role of support reducibility in governing –CH=O and –NO₂ activation and product selectivity.

2. Experimental

2.1. Catalyst preparation and activation

The supports employed in this study were obtained from commercial sources (γ-Al₂O₃ (Puralox, Condea Vista), TiO₂ (P25, Degussa) and CeO₂ (Grace Davison)) or synthesised (α-Fe₂O₃, Fe₃O₄ and ZrO₂) as described elsewhere [12,20]. Supported Au catalysts were prepared by deposition-precipitation using urea (Riedel-de Haën, 99%) as basification agent. An aqueous solution of urea (100-fold excess) and HAuCl₄ (3-7 × 10⁻³ M, 400 cm³, Sigma Aldrich, 99%) was added to the support (10-30 g). The suspension was stirred and heated (2 K min⁻¹) to 353 K where the pH progressively increased (to 6.5-8.0) as a result of urea decomposition:

\[
\text{NH}_2\text{CO-NH}_2 + 3\text{H}_2\text{O} \xrightarrow{T=353K} 2\text{NH}_4^+ + 2\text{OH}^- + \text{CO}_2
\]  

(1)

The solid obtained was separated by filtration, washed with distilled water until Cl free (from AgNO₃ test) and dried (2 K min⁻¹) in 45 cm³ min⁻¹ He at 373 K for 5 h. The catalyst
precursors were sieved (ATM fine test sieves) to mean particle diameter = 75 μm and activated at 2 K min⁻¹ to 423-673 K in 60 cm³ min⁻¹ H₂. The catalysts were cooled to ambient temperature and passivated in 1% v/v O₂/He for off-line characterisation.

2.2. Catalyst characterisation

Gold content was measured by atomic absorption spectroscopy (Shimadzu AA-6650 spectrometer with an air-acetylene flame) from the diluted extract in aqua regia (25% v/v HNO₃/HCl). The pH associated with the point of zero charge (pHₚzc) of the support was determined using the potentiometric mass titration technique described in detail elsewhere [21]. Temperature programmed reduction (TPR), H₂ chemisorption/temperature programmed desorption (TPD), O₂ chemisorption and specific surface area (SSA) measurements were conducted on the CHEM-BET 3000 (Quantachrome) unit equipped with a thermal conductivity detector (TCD) for continuous monitoring of gas composition and the TPR Win™ software for data acquisition/manipulation. Samples were loaded into a U-shaped Pyrex quartz cell (3.76 mm i.d.) and heated in 17 cm³ min⁻¹ (Brooks mass flow controlled) 5% v/v H₂/N₂ at 2 K min⁻¹ to 423-673 K for supported Au catalysts and to 1073-1273 K for the supports where the effluent gas passed through a liquid N₂ trap. The activated samples were swept with 65 cm³ min⁻¹ N₂ for 1.5 h, cooled to reaction temperature (413 K) and subjected to a H₂ (BOC, >99.98%) pulse (10 μl) titration procedure. Samples were cooled to ambient temperature, thoroughly flushed in N₂ (65 cm³ min⁻¹) to remove weakly bound H₂ and subjected to TPD (at 50 K min⁻¹) to 873-1173 K with a final isothermal hold until the signal returned to baseline. Oxygen (BOC, 99.9%) pulse (50 μl) titration at 413 K post-TPR was employed to determine the extent of support reduction where any contribution from Au to total O₂ adsorption is negligible [22]. SSA (reproducible to ±8%) was recorded in 30% v/v N₂/He with undiluted N₂ (BOC, 99.9%) as internal standard. At least three cycles of N₂ adsorption-desorption were employed using the standard single point BET method. Pore
volume was measured using the Micromeritics Gemini VII 2390p system. Prior to analysis, samples were outgassed at 423 K for 1 h in N₂. Total pore volume was obtained at a relative N₂ pressure \( P/P_0 = 0.95 \). X-ray diffractograms (XRD) were recorded on a Bruker/Siemens D500 incident X-ray diffractometer using Cu Kα radiation. Samples were scanned at 0.02° step⁻¹ over the range 20° ≤ 2θ ≤ 80° and the diffractograms identified against the JCPDS-ICDD reference standards, i.e. Au (04-0784), γ-Al₂O₃ (10-0425), anatase-TiO₂ (A-TiO₂, 21-1272), rutile-TiO₂ (R-TiO₂, 21-1276), monoclinic-ZrO₂ (M-ZrO₂, 37-1784), tetragonal-ZrO₂ (T-ZrO₂, 50-1089), CeO₂ (43-1002), α-Fe₂O₃ (hematite, 33-0664) and Fe₃O₄ (magnetite, 19-0629). X-ray photoelectron spectroscopic (XPS) analysis was performed on a VG ESCA spectrometer equipped with monochromatised Al Kα radiation (1486 eV). The sample was adhered to conducting carbon tape, mounted in the sample holder and subjected to ultra-high vacuum conditions (<10⁻⁸ Torr). Full range surveys (Au 4f₅/₂ and 4f₇/₂ spectra) were collected where the binding energies (BE) were calibrated with respect to the C 1s peak (284.5 eV). The Au 4f spectra were fitted with abstraction of the Shirley background using the Gaussian-Lorentzian function in XPSPEAK 41. Gold particle morphology (size and shape) was examined by transmission (TEM, JEOL JEM 2011) and scanning transmission (STEM, JEOL 2200FS field emission gun-equipped unit) electron microscopy, employing Gatan Digital Micrograph 1.82 for data acquisition/manipulation. Samples for analysis were dispersed in acetone and deposited on a holey carbon/Cu grid (300 Mesh). The surface area weighted mean Au size \( d \) was based on a count of at least 300 particles according to

\[
d = \frac{\sum n_i d_i^3}{\sum n_i d_i^2}
\]

where \( n_i \) is the number of particles of diameter \( d_i \).
2.3. Catalytic procedure

Catalyst testing was carried out at atmospheric pressure, in situ after activation, in a continuous flow fixed bed tubular reactor (i.d. = 15 mm) at 413-573 K under conditions of negligible heat/mass transport limitations. A layer of borosilicate glass beads served as preheating zone, ensuring the organic reactant was vaporised and reached reaction temperature before contacting the catalyst (10-40 mg). Isothermal conditions (±1 K) were maintained by diluting the catalyst bed with ground glass (75 µm). Reaction temperature was continuously monitored by a thermocouple inserted in a thermowell within the catalyst bed. Reactants (benzaldehyde (Fluka, ≥98%), nitrobenzene (Riedel-de Haën, ≥99%) or benzyl alcohol (Riedel-de Haën, ≥99%)) were delivered as an ethanolic (Sigma Aldrich, ≥99%) solution to the reactor via a glass/teflon air-tight syringe and teflon line using a microprocessor controlled infusion pump (Model 100 kd Scientific) at a fixed calibrated flow rate. Reactions were conducted in a co-current flow of reactant with H₂ (BOC, >99.98%, 60 cm³ min⁻¹) at \(GHSV = 2 \times 10^4\) h⁻¹. The molar Au to inlet organic molar feed rate (\(n/F\)) spanned the range \(1.2 \times 10^{-3} - 3.7 \times 10^{-3}\) h. In blank tests, passage of each reactant in a stream of H₂ through the empty reactor or over the support did not result in any detectable conversion. The reactor effluent was collected in a liquid nitrogen trap for subsequent analysis using a Perkin-Elmer Auto System XL gas chromatograph equipped with a programmed split/splitless injector and a flame ionization detector (FID), employing a DB-1 (50 m × 0.33 mm i.d., 0.20 µm film thickness) capillary column (J&W Scientific). Data acquisition and manipulation were performed using the TurboChrom Workstation Version 6.3.2 (for Windows) chromatography data system. Reactant conversion (\(X\)) is defined by

\[
X (\%) = \left[\frac{[\text{reactant}]_{\text{in}} - [\text{reactant}]_{\text{out}}}{[\text{reactant}]_{\text{in}}}\right] \times 100
\]

(3)

and selectivity (\(S\)) to product (j) is given by
where subscripts “in” and “out” refer to inlet and outlet gas streams. Catalytic activity is also quantified in terms of initial conversion obtained from time on-stream measurements and turnover frequency (TOF, rate per active site) calculated using Au dispersion ($D$) obtained from STEM analysis [23] according to:

$$\text{TOF (h}^{-1}) = \frac{R}{D}$$

where $R$ represents reactant consumption rate ($\text{mol}_{\text{reactant}} \text{mol}_{\text{metal}}^{-1} \text{h}^{-1}$). Repeated reactions with different samples from the same batch of catalyst delivered raw data reproducibility and mass balances within ±5%.

3. Results and discussion

3.1. Catalyst characterisation

3.1.1. Structural characteristics and temperature programmed reduction (TPR)

Catalyst physico-chemical characteristics are presented in Table 1. SSA range from 11 m$^2$ g$^{-1}$ (Au/Fe$_3$O$_4$) to 166 m$^2$ g$^{-1}$ (Au/$\gamma$-Al$_2$O$_3$) with a corresponding increase in pore volume (0.02-0.36 cm$^3$ g$^{-1}$). Values obtained for each catalyst are in good agreement with those reported in the literature [24-27]. The TPR profiles of the supported Au catalyst precursors and corresponding supports are presented in Fig. 1. TPR of Au/$\gamma$-Al$_2$O$_3$ (AI) and Au/ZrO$_2$ (CI) generated positive signals with associated temperature maxima ($T_{\text{max}}$) at 451 and 476 K, respectively where H$_2$ consumption matched the requirement for Au$^{3+}$ reduction to Au$^0$ (Table 1). The profiles generated for the $\gamma$-Al$_2$O$_3$ (AII) and ZrO$_2$ (CII) supports were featureless with no evidence of H$_2$ uptake or release, as noted elsewhere [25]. Activation of Au/TiO$_2$ (BI) and Au/CeO$_2$ (DI) presented H$_2$ consumption peaks at lower temperatures ($T_{\text{max}}$ = 364 and 420 K) suggesting weaker metal-support interactions. This is consistent with the work of Delannoy et al. [28] who examined the effect of support redox character on Au
reducibility and reported more facile reduction on TiO$_2$ and CeO$_2$ relative to Al$_2$O$_3$. Hydrogen consumed during TPR of Au/TiO$_2$ exceeded that required for Au$^{3+} \rightarrow$ Au$^0$ (Table 1) and can be attributed to a combined Au reduction with Ti$^{4+}$ conversion to Ti$^{3+}$ at the metal/support interface [29]. The bare TiO$_2$ support did not exhibit a detectable TPR response (BII). In contrast, thermal treatment of CeO$_2$ generated two broad signals at 742 K and 1148 K (DII) that can be ascribed to surface (523-848 K [30]) and bulk (>1073 K [30]) reduction. Hydrogen consumed during the activation of Au/CeO$_2$ exceeded Au precursor reduction (Table 1) but was significantly lower than the amount needed for full reduction of the CeO$_2$ carrier (3300 $\mu$mol g$^{-1}$). This suggests partial support reduction where the incorporation of Au on CeO$_2$ lowered the requisite temperature [31]. TPR of Au/$\alpha$-Fe$_2$O$_3$ (EI) resulted in excess H$_2$ consumption at $T_{\text{max}} = 389$ K whereas TPR of $\alpha$-Fe$_2$O$_3$ (EII) generated a signal at 709 K with a broader consumption at $T > 800$ K suggesting a two-stage reduction of hematite, i.e. $\alpha$-Fe$_2$O$_3$ $\rightarrow$ Fe$_3$O$_4$ $\rightarrow$ FeO. The TPR response for Au/Fe$_3$O$_4$ (FI) also reveals a down shift in $T_{\text{max}}$ relative to the support (FII). The excess H$_2$ consumed (Table 1) fell below that for conversion of Fe$_3$O$_4$ to FeO (4250 $\mu$mol g$^{-1}$) again indicative of partial support reduction. Our results demonstrate a more facile reduction of ceria and iron oxide supports due to the presence of Au. Jacobs et al. [32] have established partial CeO$_2$ reduction by spillover hydrogen following dissociative adsorption on supported Au. Furthermore, Scirè and co-workers [33] proposed that Au can weaken the Fe-O bond in iron oxide substrates resulting in greater lattice oxygen mobility and enhanced reducibility.

3.1.2. Hydrogen temperature programmed desorption (TPD) and O$_2$ chemisorption

Application of TPD to measure surface hydrogen release can allow differentiation between chemisorbed and spillover species which both serve as reactive hydrogen in hydrogenation [34]. The TPD profiles generated for all the catalysts are shown in Fig. 2. The literature suggests a lower temperature requirement for H$_2$ desorption from metal sites (<473
K) compared with release of spillover from the support (>503 K) [35]. A predominant H₂ desorption at $T_{\text{max}} \geq 575$ K was observed in this work suggesting that the main contribution is due to spillover. Hydrogen spillover is influenced by the concentration of initiating and acceptor sites, catalyst activation and metal nanoparticle size (i.e. degree of contact between participating phases and metal-support interaction(s)) [35]. As a general observation, H₂ release from Au supported on non-reducible (Al₂O₃, ZrO₂) supports (28-51 mmol g$^{-1}$Au) was significantly greater than that recorded for Au on reducible carriers ($\leq 9$ mmol g$^{-1}$Au). There is compelling evidence in the literature for hydrogen spillover onto Al₂O₃ [34,35] and ZrO₂ [36,37] from supported metals (Pd, Ni, Cu and Ru). The greater H₂ desorption from Au/γ-Al₂O₃ relative to Au/ZrO₂ can be attributed (at least in part) to the higher SSA of Al₂O₃ which can accommodate more spillover. Consumption of spillover hydrogen in the partial reduction of (reducible) titania, ceria and iron oxide can account for the observed lower levels of H₂ TPD [34]. We employed O₂ chemisorption post-TPR as a measure of support reduction [22]. Gold on CeO₂, α-Fe₂O₃ and Fe₃O₄ exhibited greater O₂ uptake (Table 1) than that measured for Au/TiO₂, Au/γ-Al₂O₃ and Au/ZrO₂. The difference in O₂ adsorption can be correlated with support redox character [38], where the former group of oxides are characterised by higher redox potentials ($E_{\text{redox}}$) (Table 1). Increasing O₂ chemisorption coincided with greater excess H₂ consumption during TPR due to support reduction with the formation of oxygen vacancies. Oxygen deficient sites can be generated by loss of lattice oxygen from reducible metal oxides during thermal treatment in H₂ or CO [39]. Boccuzzi et al. [40,41] demonstrated by FTIR spectroscopy the formation of oxygen vacancies following reduction in H₂ of Fe₂O₃, TiO₂ and CeO₂ to 523 K. Moreover, formation of Ce$^{3+}$ defects/surface vacancies in Au/CeO₂-Fe₂O₃ has been linked to the action of spillover hydrogen [42].
3.1.3. X-ray diffraction (XRD) and electron microscopy analysis

Structural analysis by XRD generated the diffractograms presented in Fig. 3. There was no clearly discernible peak for Au (at $2\theta = 38.1^\circ$) which may be due to masking by stronger signals due to the support or the formation of Au particles at the nano-scale and below detection limit (<5 nm) [43]. The XRD pattern of Au/γ-Al₂O₃ is characterised by peaks at $2\theta = 37.6^\circ$, 39.5°, 45.9° and 67.0° due to cubic γ-Al₂O₃. XRD analysis of Au/TiO₂ revealed a mixture of tetragonal anatase ($2\theta = 25.3^\circ$, 37.8°, 48.1° and 62.8°) and tetragonal rutile ($2\theta = 27.4^\circ$, 36.1°, 41.2°, 54.3°, 56.6°, 69.0° and 69.8°) phases with an anatase:rutile ratio (5:1) that matches the reported Degussa P25 composition [44]. Au/ZrO₂ showed mixed monoclinic ($2\theta = 28.2^\circ$, 31.5°, 34.2°, 34.4°, 35.3°, 40.8°, 49.3°, 50.2°, 50.6° and 55.6°) and tetragonal ($2\theta = 30.3^\circ$, 35.3°, 50.4°, 50.7°, 59.6° and 60.2°) phases with monoclinic/tetragonal ~2. Zirconia phase composition is sensitive to synthesis route and calcination temperature with ratios in the range 1.2-3.2 reported for comparable ZrO₂ preparation and pre-treatment [25]. The XRD patterns of Au on CeO₂, α-Fe₂O₃ and Fe₃O₄ (Fig. 3(D-F)) match those of the supports and we can discount bulk support reduction, i.e. CeO₂ → Ce₂O₃, Fe₂O₃ → Fe₃O₄ and/or Fe₃O₄ → FeO.

Gold particle morphology was evaluated by TEM/STEM and the representative images in Fig. 4 reveal quasi-spherical particles at the nano-scale. Surface area weighted mean Au size was obtained from the size distribution histograms and are recorded in Table 1. Gold on γ-Al₂O₃ (A) exhibited metal particles in the 1-8 nm range with a mean of 4.3 nm. Appreciably larger Au particles (2-12 nm, mean = 7.0 nm) are observed on ZrO₂ (C), consistent with the report of Mohr et al. [45] for Au/ZrO₂ preparation by deposition-precipitation. Reducible CeO₂ (D) and α-Fe₂O₃ (E) supports show narrower Au size distribution (1-5 nm) and smaller mean values (2.0-2.6 nm) than Au/TiO₂ (B), 1-9 nm, mean = 4.5 nm). Support oxygen vacancies stabilise transition metal nanoparticles and inhibit sintering [46], which may result
in the formation of smaller Au particles on α-Fe₂O₃ and CeO₂ that bear a greater number of vacancies than Au/TiO₂ (Table 1). It should be noted that a wider Au size range and mean (=7.6 nm) characterised Au/Fe₃O₄. Support charge density, reflected in the pH point of zero charge (pHₚzp), determines precursor-support interaction in synthesis by deposition-precipitation and can govern ultimate Au size post-TPR. Where solution pH < pHₚzp, the support bears a positive charge favouring interaction with anionic Au species. Conversely, where solution pH > pHₚzp the Au precursor and support experience repulsive effects due to the negative surface charge resulting in weaker Au-support interaction leading to Au agglomeration during activation [47]. Solution pH controls AuCl₄⁻ hydrolysis rate via substitution of Cl⁻ by OH⁻ where Au(OH)₄⁺ predominates at the final pH (6.5-8.0, see experimental section 2.1.) [48]. Support pHₚzp are given in Table 1 where the lower value for Fe₃O₄ (5.8) does not favour interaction with (anionic) Au precursor species and can account for larger Au size.

3.1.4. X-ray photoelectron spectroscopy (XPS) analysis

XPS measurements were conducted to probe support effects in modifying Au electronic character. XPS profiles over the Au 4f binding energy (BE) region are given in Fig. 5. The Au 4f½ BE for Au/γ-Al₂O₃ (83.3 eV) and Au/TiO₂ (83.5 eV) are close to values reported in the literature (Au/Al₂O₃ (83.1 eV) [49] and Au/TiO₂ (83.3 eV) [50]) and fall below the reference metallic Au (83.7-84.0 eV) [51], indicative of electron donation from the support [52]. Gold on CeO₂ (Table 1) exhibits an Au 4f½ BE consistent with that published for Au/CeO₂ (84.5 eV) [53] but lower than Au⁺ (85.8-86.0 eV) [51]. A partial positive charge (Auδ⁺) has been proposed for Au/CeO₂ resulting from electron transfer from Au [54]. The data presented in Fig. 6 show a dependence of Au 4f½ BE on support redox potential where electron transfer from Au is facilitated by carriers with higher potential. Gold electronic structure is also dependent on Au cluster size where any shift in BE is more pronounced with decreasing
coordination number [55]. The formation of smaller particles on Au/CeO$_2$ can also contribute to an upshift of BE.

3.2. Catalyst activity/selectivity

Benzyl alcohol was the sole product detected in the hydrogenation of benzaldehyde at 413 K. In contrast, gas phase (383-413 K) benzaldehyde conversion over (SiO$_2$, Al$_2$O$_3$, TiO$_2$, CeO$_2$ and ZrO$_2$) supported Cu and Ni resulted in $-\text{CH}=\text{O}$ hydrogenolysis (to toluene) and/or C–C scission (to benzene) [56,57]. The relationship between benzaldehyde turnover frequency ($\text{TOF}$ at 413 K) and Au particle size ($d$) is shown in Fig. 7(I). An increase in $\text{TOF}$ is evident with decreasing Au particle size over the 8-4 nm range which can be linked to greater H$_2$ uptake under reaction conditions (Table 1). The highest specific rate was recorded for Au/$\gamma$-Al$_2$O$_3$ with no detectable activity for catalysts bearing the smallest Au sizes (Au/$\alpha$-Fe$_2$O$_3$ and Au/CeO$_2$). It has been established that Au exhibits metal to insulator transition for particles $\leq$ 3 nm [58] and decreasing hydrogenation activity for smaller Au particles (5→1 nm) has been ascribed to a critical loss of metallic character [59]. Although there is insufficient published data to arrive at any reliable trends regarding Au size effects in $-\text{CH}=\text{O}$ hydrogenation, we can note the reported decrease in acrolein $\text{TOF}$ over Au/ZrO$_2$ for Au particles $>$ 4 nm [45] and increase with increasing Au size (1-5 nm) over Au/ZrO$_2$ and Au/TiO$_2$ [59]. In contrast to the benzaldehyde reaction, each catalyst was active in nitrobenzene hydrogenation (Fig. 7(II)) with a $\text{TOF}$ maximum for Au/$\gamma$-Al$_2$O$_3$. The greater reactivity of the nitro-reactant agrees with results (for Pt/Al$_2$O$_3$) reported by Arai et al. [60] where a six-fold higher rate was recorded for nitrobenzene relative to benzaldehyde hydrogenation. This was linked to reaction thermodynamics where nitrobenzene to aniline ($\Delta G_{413} = -436 \pm 1$ kJ mol$^{-1}$) is more favourable than benzaldehyde hydrogenation to benzyl alcohol ($\Delta G_{413} = -24 \pm 2$ kJ mol$^{-1}$).
With respect to support reducibility, \( TOF \) was higher at lower redox potential as shown in Fig. 8. Au/ZrO\(_2\) deviates somewhat from the general trend, which we tentatively attribute to the occurrence of larger Au particles (Fig. 4(C)) that show low activity in hydrogenation. Lower \( TOF \) at higher redox potential suggests that oxygen vacancies on reducible supports do not favour \(-CH=O\) or \(-NO_2\) activation for reaction. It is known that these vacancies can strongly bind adsorbed oxygenated species [61]. Gold on non-reducible \( \gamma\)-Al\(_2\)O\(_3\) with the formation of Au\(_\delta^+\) (from XPS analysis) delivered the highest \( TOF \). This agrees with published studies [16,62] which have shown that \(-CH=O\) activation and alcohol formation is facilitated on negatively charged Au via interaction with the electrophilic carbon. Given the negligible benzaldehyde hydrogenation activity over catalysts with smaller (<4 nm) and larger (>7 nm) Au particles at 413 K, we evaluated the effect of reaction temperature on rate and selectivity for Au/CeO\(_2\) and Au/Fe\(_3\)O\(_4\) which can be compared with Au/\( \gamma\)-Al\(_2\)O\(_3\) in Table 2. In each case an increase in \( TOF \) was observed at higher temperature. A switch was observed from exclusive \(-CH=O\) hydrogenation (to benzyl alcohol) at 413 K to hydrogenolysis (to toluene) at 473 K with a predominant aryl-carbonyl hydrogenolytic scission (to benzene) at 573 K over Au/\( \gamma\)-Al\(_2\)O\(_3\). A higher temperature (to 498 K) has been shown to promote benzaldehyde conversion to toluene and benzene over Cu/Al\(_2\)O\(_3\) [56] and Ni/SiO\(_2\) [63]. Benzaldehyde hydrogenation to benzyl alcohol was not observed over Au/Fe\(_3\)O\(_4\) and Au/CeO\(_2\) at any reaction temperature (Table 2). Increased temperature generated toluene as principal product with higher selectivity to benzene at 573 K (Table 2). Gold on redox supports exhibited a dominant hydrogenolytic character. Reaction of benzyl alcohol as feedstock (at 573 K) over Au/Fe\(_3\)O\(_4\) generated toluene as principal product and benzaldehyde (with no detectable benzene) at a similar \( TOF \) to that recorded for the benzaldehyde reaction (Table 3). This points to production of toluene from benzaldehyde via consecutive conversion of benzyl alcohol whereas benzene is generated from aldehyde hydrogenolysis. Benzaldehyde was the
principal product in reaction over Au/CeO$_2$, which can be attributed to oxidative dehydrogenation [64]. This step involves the catalytic action of surface or lattice oxygens associated with the support and the high aldehyde selectivity exhibited by Au/CeO$_2$ (Table 3) must be due to greater oxygen mobility relative to Fe$_3$O$_4$ as inferred from O$_2$ chemisorption (Table 1).

Surface oxygen vacancies have been proposed as active sites in a range of applications from automobile exhaust treatment [65], water-gas shift [66] to steam reforming of oxygenates [67]. There is ample evidence that these vacancies can participate in a Mars and van Krevelen (MvK) mechanism with catalyst surface reduction (oxygen depletion) and re-oxidation (oxygen generation) [68]. We propose the involvement of oxygen vacancies in MvK catalytic hydrogenation, as illustrated in Fig. 9. The vacancies, created through H$_2$ chemisorbed on Au that spills onto the support (step A, Fig. 9(I)) with H$_2$O release, act as strong anchoring sites for the carbonyl group (steps B and C) [69], inhibiting reactivity. The stabilised carbonyl function can be activated at elevated temperature (473 K) with benzyl alcohol formation and subsequent hydrogenolysis (step D) or direct hydrogen scission of the –CH=O bond (step E) to benzene. Higher temperature facilitates hydrogen cleavage to give benzene (step F). In contrast, adsorption on non-reducible surfaces (e.g. Al$_2$O$_3$) via the carbonyl function (step A, Fig. 10) results in hydrogenation to the alcohol at low reaction temperature (413 K). DFT calculations have shown that a perpendicular adsorption mode is the most stable configuration with –CH=O bonded to the metal oxide surface via the oxygen lone electron pair that acts as a Lewis base [70]. FTIR analysis has demonstrated that surface Lewis acid sites on Al$_2$O$_3$ promote –CH=O activation in the hydrogenation of nitrobenzaldehyde [71]. Activation and scission of –CH$_2$–OH (steps B and C, Fig. 10), -CH=O (step D) and the aryl-carbonyl bond (steps E and F) is promoted at elevated temperature (473-573 K) with the formation of toluene and benzene. In the conversion of
nitrobenzene (Fig. 9(II)) nitro group interaction with oxygen vacancies (steps A and B) has been proposed [72]. Attachment to surface vacancies can also stabilise the nitro group with lower resultant reaction rates but the greater reactivity of the –NO₂ function (relative to -CH=O) resulted in measurable activity for each catalyst at 413 K. Interaction of –NO₂ with an oxygen vacancy and N–O bond dissociation generates nitrosobenzene (step C) with subsequent reduction to a phenylhydroxylamine intermediate (step D) and aniline formation and release (step E) [68]. Dissociative interaction of H₂O with oxygen vacancies serves to reoxidise the support.

4. Conclusions

We have demonstrated that oxide support reducibility and Au size (mean = 2-8 nm) governs the catalytic performance of Au in the reduction of –CH=O (benzaldehyde) and -NO₂ (nitrobenzene). TPR coupled with oxygen chemisorption has established partial support (TiO₂, CeO₂, α-Fe₂O₃ and Fe₃O₄) reduction due to the action of spillover hydrogen generated by H₂ dissociation on Au. XPS analysis provided evidence of electron transfer between Au and the oxide carriers. Increasing reaction rates were observed with decreasing Au size (from 8 to 4 nm) with measurably lower rates over Au <3 nm. Gold on reducible supports (CeO₂, α-Fe₂O₃ and Fe₃O₄ where \( E_{\text{redox}} > 0 \)) exhibited lower benzaldehyde and nitrobenzene TOF relative to Au/γ-Al₂O₃, Au/TiO₂ and Au/ZrO₂ (\( E_{\text{redox}} < 0 \)), which we attribute to inhibition due to the action of surface oxygen vacancies. There was no measurable benzaldehyde hydrogenation activity over Au/CeO₂, Au/α-Fe₂O₃ and Au/Fe₃O₄ at 413 K. Reaction at higher temperatures generated toluene as principal product with secondary benzene formation and no detectable alcohol production. In contrast, reaction over Au/γ-Al₂O₃ resulted in exclusive benzyl alcohol formation at 413 K with a progressive shift to hydrogenolysis (to toluene and benzene) at higher temperatures.
Acknowledgements

The authors are grateful to Dr. N. Perret for her involvement in this work. EPSRC support for free access to the TEM facility at the University of St. Andrews and financial support to M. Li and X. Wang through the Overseas Research Students Award Scheme (ORSAS) are also acknowledged.

References

2006.

Table 1: Gold loading, specific surface area (SSA), pore volume, Au particle size from TEM/STEM analysis ($d$), H$_2$ consumption during temperature programmed reduction (TPR) and requirements for reduction of the Au precursor, H$_2$ and O$_2$ chemisorption (post-TPR), H$_2$ released during temperature programmed desorption (TPD), support point of zero charge ($pH_{pzc}$), Au 4$f$/2 binding energy (BE) and standard redox potential ($E_{\text{redox}}$) of the supports.

<table>
<thead>
<tr>
<th>Catalyst</th>
<th>Au loading (% w/w)</th>
<th>SSA (m$^2$ g$^{-1}$)</th>
<th>Pore volume (cm$^3$ g$^{-1}$)</th>
<th>$d$ (nm)</th>
<th>TPR H$_2$ consumption (µmol g$^{-1}$)</th>
<th>H$<em>2$ chemisorption (µmol g$</em>{Au}$$^{-1}$)</th>
<th>H$<em>2$ desorbed (mmol g$</em>{Au}$$^{-1}$)</th>
<th>O$_2$ chemisorption (µmol g$^{-1}$)</th>
<th>$pH_{pzc}$</th>
<th>Au 4$f$/2 BE (eV)</th>
<th>$E_{\text{redox}}$ (V)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Au/γ-Al$_2$O$_3$</td>
<td>1.1</td>
<td>166</td>
<td>0.36</td>
<td>4.3</td>
<td>87$^a$/84$^b$</td>
<td>318</td>
<td>51</td>
<td>1</td>
<td>7.1</td>
<td>83.3</td>
<td>-1.7</td>
</tr>
<tr>
<td>Au/TiO$_2$</td>
<td>1.3</td>
<td>44</td>
<td>0.12</td>
<td>4.5</td>
<td>126$^a$/91$^b$</td>
<td>162</td>
<td>9</td>
<td>5</td>
<td>6.7</td>
<td>83.5</td>
<td>-0.6</td>
</tr>
<tr>
<td>Au/ZrO$_2$</td>
<td>1.0</td>
<td>93</td>
<td>0.13</td>
<td>7.0</td>
<td>56$^a$/61$^b$</td>
<td>137</td>
<td>28</td>
<td>3</td>
<td>7.4</td>
<td>83.6</td>
<td>-1.5</td>
</tr>
<tr>
<td>Au/CeO$_2$</td>
<td>3.0</td>
<td>108</td>
<td>0.15</td>
<td>2.0</td>
<td>553$^a$/231$^b$</td>
<td>58</td>
<td>6</td>
<td>132</td>
<td>6.8</td>
<td>84.7</td>
<td>1.6</td>
</tr>
<tr>
<td>Au/α-Fe$_2$O$_3$</td>
<td>1.2</td>
<td>57</td>
<td>0.16</td>
<td>2.6</td>
<td>750$^a$/93$^b$</td>
<td>72</td>
<td>4</td>
<td>168</td>
<td>8.1</td>
<td>84.2</td>
<td>0.8</td>
</tr>
<tr>
<td>Au/Fe$_3$O$_4$</td>
<td>0.9</td>
<td>11</td>
<td>0.02</td>
<td>7.6</td>
<td>500$^a$/90$^b$</td>
<td>&lt;1</td>
<td>&lt;1</td>
<td>58</td>
<td>5.8</td>
<td>84.1</td>
<td>0.1</td>
</tr>
</tbody>
</table>

$^a$experimental value obtained from TPR analysis  
$^b$calculated value for Au$^{3+}$ → Au$^0$
Table 2: Effect of reaction temperature on turnover frequency (TOF) and product selectivity (S_j) for the conversion of benzaldehyde over Au/γ-Al_2O_3, Au/Fe_3O_4 and Au/CeO_2.

<table>
<thead>
<tr>
<th>Catalyst</th>
<th>T</th>
<th>TOF (h⁻¹)</th>
<th>S_{benzene} (%)</th>
<th>S_{toluene} (%)</th>
<th>S_{benzyl alcohol} (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Au/γ-Al_2O_3</td>
<td>413</td>
<td>82</td>
<td>0</td>
<td>0</td>
<td>100</td>
</tr>
<tr>
<td></td>
<td>473</td>
<td>126</td>
<td>0</td>
<td>70</td>
<td>30</td>
</tr>
<tr>
<td></td>
<td>573</td>
<td>258</td>
<td>71</td>
<td>21</td>
<td>8</td>
</tr>
<tr>
<td>Au/Fe_3O_4</td>
<td>413</td>
<td>a</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>473</td>
<td>192</td>
<td>10</td>
<td>90</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>573</td>
<td>378</td>
<td>21</td>
<td>79</td>
<td>0</td>
</tr>
<tr>
<td>Au/CeO_2</td>
<td>413</td>
<td>a</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>473</td>
<td>8</td>
<td>0</td>
<td>100</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>573</td>
<td>49</td>
<td>20</td>
<td>80</td>
<td>0</td>
</tr>
</tbody>
</table>

*a*no detectable activity
Table 3: Benzyl alcohol turnover frequency (TOF) and product selectivity ($S_i$) for reaction over Au/Fe$_3$O$_4$ and Au/CeO$_2$: $T = 573$ K.

<table>
<thead>
<tr>
<th>Catalyst</th>
<th>TOF (h$^{-1}$)</th>
<th>$S_{\text{benzene}}$ (%)</th>
<th>$S_{\text{toluene}}$ (%)</th>
<th>$S_{\text{benzaldehyde}}$ (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Au/Fe$_3$O$_4$</td>
<td>364</td>
<td>0</td>
<td>95</td>
<td>5</td>
</tr>
<tr>
<td>Au/CeO$_2$</td>
<td>17</td>
<td>8</td>
<td>17</td>
<td>75</td>
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</table>
**Figure captions**

**Fig. 1:** Temperature programmed reduction (TPR) profiles for (I) supported Au (solid lines) and (II) the corresponding supports (dotted lines): (A) \( \gamma \)-Al\(_2\)O\(_3\), (B) TiO\(_2\), (C) ZrO\(_2\), (D) CeO\(_2\), (E) \( \alpha \)-Fe\(_2\)O\(_3\), (F) Fe\(_3\)O\(_4\).

**Fig. 2:** Hydrogen temperature programmed desorption (TPD) profiles: (A) Au/\( \gamma \)-Al\(_2\)O\(_3\), (B) Au/TiO\(_2\), (C) Au/ZrO\(_2\), (D) Au/CeO\(_2\), (E) Au/\( \alpha \)-Fe\(_2\)O\(_3\), (F) Au/Fe\(_3\)O\(_4\).

**Fig. 3:** XRD patterns for activated/passivated (A) Au/\( \gamma \)-Al\(_2\)O\(_3\), (B) Au/TiO\(_2\), (C) Au/ZrO\(_2\), (D) Au/CeO\(_2\), (E) Au/\( \alpha \)-Fe\(_2\)O\(_3\) and (F) Au/Fe\(_3\)O\(_4\); reference JCPDS-ICDD patterns (see card No. in section 2.2) are included for Au, \( \gamma \)-Al\(_2\)O\(_3\), anatase TiO\(_2\) (A-TiO\(_2\)), rutile TiO\(_2\) (R-TiO\(_2\)), monoclinic ZrO\(_2\) (M-ZrO\(_2\)), tetragonal ZrO\(_2\) (T-ZrO\(_2\)), CeO\(_2\), \( \alpha \)-Fe\(_2\)O\(_3\) (hematite) and Fe\(_3\)O\(_4\) (magnetite).

**Fig. 4:** Representative TEM/STEM images of (A) Au/\( \gamma \)-Al\(_2\)O\(_3\), (B) Au/TiO\(_2\), (C) Au/ZrO\(_2\), (D) Au/CeO\(_2\), (E) Au/\( \alpha \)-Fe\(_2\)O\(_3\) and (F) Au/Fe\(_3\)O\(_4\) with associated Au size distribution histograms.

**Fig. 5:** XPS spectra over the Au 4f region for (A) Au/\( \gamma \)-Al\(_2\)O\(_3\), (B) Au/TiO\(_2\), (C) Au/ZrO\(_2\), (D) Au/CeO\(_2\), (E) Au/\( \alpha \)-Fe\(_2\)O\(_3\) and (F) Au/Fe\(_3\)O\(_4\); experimental data are given by ■ where lines represent data fitting with peak deconvolution.

**Fig. 6:** Dependence of Au 4f\(_{7/2}\) binding energy (BE) on the standard redox potential (\( E_{\text{redox}} \)) of the oxide supports: (A) ◆ Au/\( \gamma \)-Al\(_2\)O\(_3\); (B) ◄ Au/TiO\(_2\); (C) ► Au/ZrO\(_2\); (D) ▲ Au/CeO\(_2\); (E) ▼ Au/\( \alpha \)-Fe\(_2\)O\(_3\); (F) ■ Au/Fe\(_3\)O\(_4\).

**Fig. 7:** (I) Benzaldehyde (\( T = 413 \) K, \( n/F = 1.2 \times 10^{-3} \) h) and (II) nitrobenzene (\( T = 413 \) K, \( n/F = 3.7 \times 10^{-3} \) h) turnover frequency (TOF) as a function of Au particle size (\( d \)): (A) ◆ Au/\( \gamma \)-Al\(_2\)O\(_3\); (B) ◄ Au/TiO\(_2\); (C) ► Au/ZrO\(_2\); (D) ▲ Au/CeO\(_2\); (E) ▼ Au/\( \alpha \)-Fe\(_2\)O\(_3\); (F) ■ Au/Fe\(_3\)O\(_4\).
**Fig. 8:** Dependence of turnover frequency (TOF) on the standard redox potential of the oxide supports ($E_{\text{redox}}$ from [38]) in (I) benzaldehyde and (II) nitrobenzene hydrogenation: (A) ◆ Au/γ-Al$_2$O$_3$; (B) ◄ Au/TiO$_2$; (C) ► Au/ZrO$_2$; (D) ▲ Au/CeO$_2$; (E) ▼ Au/α-Fe$_2$O$_3$; (F) ■ Au/Fe$_3$O$_4$.

**Fig. 9:** Proposed reaction mechanism for (I) benzaldehyde and (II) nitrobenzene hydrogenation over Au on reducible supports; M = Ce or Fe.

**Fig. 10:** Proposed reaction mechanism for benzaldehyde hydrogenation over Au/γ-Al$_2$O$_3$ (M = Al) at different reaction temperatures.
Fig. 1
Fig. 3

(A) 

(B) 

(C) 

(D) 

(E) 

(F)
Fig. 4
Fig. 5
Fig. 6
Fig. 7
Fig. 9
Fig. 10