- The effectiveness of repeating a social-norm feedback intervention to high prescribers
- of antibiotics in general practice: A national regression discontinuity design
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Structured synopsis

28 **Objectives**

- 29 Unnecessary antibiotic prescribing contributes to antimicrobial resistance. A randomized
- 30 controlled trial in 2014-5 showed that a letter from England's Chief Medical Officer (CMO)
- to high prescribing General Practitioners (GPs), giving feedback about their prescribing
- 32 relative to the norm, decreased antibiotic prescribing. The CMO sent further feedback letters
- in succeeding years. We evaluate the effectiveness of the repeated feedback intervention.

34 Methods

- 35 Publicly available databases were used to identify GP practices whose antibiotic prescribing
- 36 was in the top 20% nationally (the intervention group). In April 2017, GPs in every practice
- in the intervention group (n = 1,439) were sent a letter from the CMO. The letter stated that,
- 38 "the great majority of practices in England prescribe fewer antibiotics per head than yours".
- 39 Practices in the control group received no communication (n = 5,986). We used a Regression
- 40 Discontinuity Design to evaluate the intervention because assignment to the intervention
- 41 condition was exogenous, depending on a 'rating variable'. The outcome measure was the
- 42 average rate of antibiotic items dispensed from April 2017 to September 2017.

43 **Results**

- 44 The GP practices who received the letter changed their prescribing rates by -3.69% [95% CI
- 45 = -2.29, -5.10]; p < 0.001, representing an estimated 124,952 fewer antibiotic items
- 46 dispensed. The effect is robust to different specifications of the model.

47 Conclusions

- 48 Social-norm feedback from a high-profile messenger continues to be effective when repeated.
- 49 It can substantially reduce antibiotic prescribing at low cost and at national scale. Therefore,
- 50 it is a worthwhile addition to antimicrobial stewardship programmes.
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Introduction

Antimicrobial resistance (AMR) is a growing public health threat.¹ It is estimated that 65 700,000 people die of resistant infections every year and that this number could rise to 10 66 million lives a year by 2050, with common procedures, such as surgery and chemotherapy, 67 becoming too dangerous to perform.² One cause of the problem is poor antimicrobial 68

stewardship, with large quantities of antibiotics being prescribed to patients who do not need 69 them.^{2, 3} 70

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In the UK, about 80% of antibiotics are prescribed in primary care.⁴ There is significant 72

variation in prescribing between General Practitioner (GP) practices, which cannot be 73

explained by practice demographics, indicating that many unnecessary antibiotics are being 74

prescribed in primary care.⁵⁻⁷ As a part of its AMR stewardship strategy, the UK Government 75

aims to eventually give all health and care providers feedback in a format that is useable and 76

relevant to support good practice.⁸ 77

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79 Giving GPs feedback on their practice's performance compared to other practices can

decrease prescribing.⁹ In September 2014, we ran a randomised controlled trial (RCT) in 80

which the Chief Medical Officer (CMO) sent a feedback letter to 3,227 GPs stating that their 81

practice was prescribing antibiotics at a higher rate than 80% of practices in its local area. As 82

83 well as the social norm information, the letter presented three specific, feasible actions that

the recipient could take to reduce unnecessary prescriptions of antibiotics: giving patients 84

advice on self-care, offering a delayed prescription, and talking about the issue with other 85

prescribers in his or her practice. The letter was accompanied by a copy of the "Treating your 86

infection" leaflet (a part of the TARGET Antibiotic Toolkit),¹⁰ which aims to facilitate 87

communication between prescriber and patient, and increase the patient's confidence to self-88

care. Between October 2014 and March 2015, the practices in the intervention group 89

dispensed 3.3% fewer antibiotic items relative to the control group. The rate of dispensing 90

antibiotics differed significantly in every month in the study period, with no evidence of a 91 92 trend. Therefore, the feedback letter was sent to the control group at the beginning of April 2015.

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94 The rise in AMR is an issue of international concern,^{1, 2} so the trial had an international 95

impact. The intervention has already been adopted by CMOs in Australia.¹¹ Northern 96

Ireland,¹² and Canada,^{13, 14} and France is planning to follow suit.¹⁵ 97

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Following on from the success of the RCT, the CMO for England has sent annual feedback 99

letters to GPs whose practices are in the top 20% of prescribers, each winter flu season. 100

However, there is a question of whether a repeated feedback intervention continues to be 101

effective.¹⁶ Because the CMO's feedback was targeted at a specific segment of 102

practitioners—the top 20%—it is possible to use a regression discontinuity design to evaluate 103

the subsequent feedback intervention. 104

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Method

108 Intervention: Letters to High Antibiotic Prescribers

The CMO sent her feedback letter on antibiotic prescribing rates to GPs in April 2017. The feedback letters were sent to 6,318 individual GPs in 1,439 different GP practices with high antibiotic prescribing rates. Practices were allocated to the intervention arm if they were in the top 20% of prescribers for the twelve months prior to the end of the intervention (Oct

113 2015 – Sep 2016), as judged using a prescribing indicator which divides Antibacterial Items

114 by STAR-PU (Specific Therapeutic group Age-sex Related Prescribing Units) weightings.

115 This means that the prescribing rate is adjusted to take into account some of the

- 116 demographics of the GP practice.
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118 The letters differed slightly from the 2014 trial:⁹ NHS Local Areas no longer exist, so each 119 practice's prescribing was compared to that of practices in England; and additional guidance

120 was presented in a box on the right-hand side, instead of in the middle of the letter. There

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- were six different letters (Supplementary Data: Figures S1-S6). The letters were tailored
 according to GP practice prescribing rate, change in prescribing over time, and whether they
- were previously sent feedback. Specifically, the top 11-20% practices with increasing
- 124 prescribing rates were told 'The great majority (80%) of practices in England prescribe fewer

125 antibiotics per head than yours. Most other practices have reduced their prescribing rates

since 2013/14 but yours has increased' (Letter 1: Figures S1-S2); the rest of the top 20% were

simply told 'The great majority (80%) of practices in England prescribe fewer antibiotics per

head than yours' (Letter 2: Figures S3-S4). Finally, the top 10% of practices were told 'The

great majority (90%) of practices in England prescribe fewer antibiotics per head than yours'

130 (Letter 3: Figures S5-S6). When a practice had received a previous letter, the CMO noted, 'I

have written to your practice previously', so there were two variants of each letter, A and B.

132Table 1 shows the key differences between the letter variants. As in the 2014 trial, the letter

- was accompanied by a copy of the TARGET "Treating your infection" leaflet
 (Supplementary Data: Figure S7).
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136 Regression Discontinuity Design

137 We decided to use Regression Discontinuity Design (RDD) to analyse the effects of our

138 intervention instead of more conventional analytical approaches, such as interrupted time

139 series (ITS) and difference-in-difference design. RDD is a standard approach for evaluating

140 interventions.¹⁷ In an RDD, the assignment of participants to the intervention versus the

141 control condition is exogenous, depending on whether a numerical 'rating variable' falls

142 above or below a certain threshold. Participants scoring above the threshold are assigned to

143 one group, such as the treatment, whereas those scoring below the threshold are assigned to

another group, for example, the control. The assumption behind RDD is that, in a window

145 around the threshold (the 'bandwidth'), observations on each side are on average identical in

146 terms of all pre-treatment variables. There is a 'local randomization' in this window. There is

147 also a discontinuity in the probability of treatment at the threshold between the treatment

group and the control. Therefore, if there is a corresponding discontinuity in the intercept of

- the regression of the outcome variable on the predictor at the threshold level, the change in
- 150 outcome is attributed to the effect of the intervention.¹⁸ Critically, RDD can provide evidence
- 151 for the causal effect of an intervention because, controlling for the value of the rating
- 152 variable, it is possible to account for unobserved differences between the treatment and the
- 153 control group.¹⁹⁻²¹
- 154

RDD a quasi-experimental evaluation method that can be used in cases where it would be 155 impractical or unethical to assign participants to different groups.¹⁷ for example, the impact 156 of prisons on recidivism, and the impact of health insurance on improving service 157 utilisation.^{22, 23} In the present study, it is unethical to experimentally assign GP practices to 158 different experimental groups since we have reason to believe that the treatment is effective, 159 given the evidence from our previous trial.⁹ Therefore we evaluate the intervention using a 160 sharp RDD, a variation of the RDD where the rating variable perfectly predicts treatment 161 allocation.²⁴ This means that the probability of treatment changes from 0 to 1 at the threshold. 162 The rating variable was the prescribing indicator (average antibiotic prescribing rate adjusted 163 for STAR-PU) and the threshold was 1.14825 antibiotic items dispensed per STAR-PU, the 164 cut-off point separating the 20% of highest prescribers from the remaining GP practices. We 165 used R²⁵, specifically function call RDestimate of package rdd²⁶, to build our RDD models. 166

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168 Bandwidth Size

169 One of the critical steps in RDD is selecting the bandwidth size around the threshold to create 170 the localised sample.²⁷ This is because narrower bandwidths increase comparability between 171 cases on each side of the threshold while decreasing the statistical power, whereas wider 172 bandwidths increase power at the cost of decreasing internal validity by including cases

- 173 further away from the threshold. Hence it is necessary to select the appropriate bandwidth,
- which finds the balance between precision and power. The Imbens-Kalyanaraman algorithm
- provides a data driven, asymptotically optimal bandwidth for RDD.²⁷ The calculated
- bandwidth is tailored to specific features of the RDD setting. Using package rdd,²⁶ in R,²⁵ we
- 177 calculated the optimal bandwidth size to create the localised sample around the threshold that
- ¹⁷⁸ minimised bias and optimised precision. The optimal bandwidth differed for different models
- considered. For the most parsimonious model (Table 2) the optimal bandwidth was 0.5234
 antibiotic items dispensed per STAR-PU. Thus, practices within 0.5234 points of the
- antibiotic items dispensed per STAR-PU. Thus, practices within 0.5234 points of the
 threshold in either direction were included in the local linear regression analyses. The number
- of practices within this bandwidth (n = 6,524), used to calculate the most plausible estimate
- 183 of the Local Average Treatment Effect (LATE), provided sufficient power to detect a
- 184 statistically significant effect at p < 0.001.

185 186 **Data**

- 187 The data for this study came from the Public Health England data warehouse (originally
- 188 collected by the NHS Business Services Authority and NHS Digital).^{28, 29} These data consist
- of all GP practices in England who were sent the April 2017 CMO feedback letter (n =
- 190 1,439), as well as the remaining practices who served as the control group (n = 5,986). To
- 191 account for differences in prescribing due to seasonality, we defined our baseline measure,

- 192 which we used to determine the percentile of prescribers that each practice was in, as mean-
- 193 STAR-PU-adjusted prescribing rate from October 2015 to September 2016. The outcome
- 194 measure was mean-STAR-PU-adjusted rate of antibiotic items dispensed during the
- intervention period, April 2017 to September 2017.
- 196

197 Data Preparation

- We calculated our baseline and outcome measures using the same quarterly Antibacterial
 Items/STAR-PU weightings that we used to select the practices for letter allocations. For the
- analysis we coded all the practices that received the letter (20% of highest prescribers) as the
 intervention group. The remaining practices, which did not receive the letter because they
- were in the 21 100% of prescribers, were the control group.
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- 204 We kept the GP practices in the central 99% of the records of both baseline and outcome
- measures. This means that we removed practices that were classified as outliers due to their extremely high or low rates of prescribing (n = 133), or due to extremely large/small patient populations (n = 57). We also removed practices due to instances of missing prescribing data between October 2015 to September 2017 (n = 231).
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210 Since the CMO letters were sent only to the highest 20% of prescribers, we aimed to set the

- RDD cut-off threshold at the 5th quintile. However, the threshold was adjusted to 1.1812
- from 1.1813 to account for the removal of some practices (n = 9) during data preparation
- because of practice changes over the intervention period or because of lack of STAR-PU data
- for the duration of the intervention period. Overall, we removed 430 GP practices. This
- resulted in the total sample of 6,995 GP practices, 1,378 of which received the letter in April
- 216 2017 (treatment group), and 5,617 of which did not (control group).
- 217 218

Results

- Table 2 and Figure 1 demonstrate the results of the simplest model, where the baseline measure (average prescribing rate adjusted for STAR-PU during the period October 2015 to
- September 2016) is regressed on the outcome measure (average prescribing rate adjusted for
 STAR-PU during the six month of the intervention period). The RDD estimates can be
- interpreted as weighted average effects of treatment across all GP practices. The LATE
- estimate, which is calculated using the optimal bandwidth, shows that the letter intervention
- resulted in a significant discontinuity ($\beta = -0.016$, SE = 0.003, z = -5.141, p < 0.001); the GP
- practices who received the letter changed their prescribing rates by approximately -3.69%
- 227 [95% CI = -2.29, -5.10]. However, it is important to acknowledge that deprivation levels
- could vary between the local areas covered by GP practices in the treatment and the control
- 229 groups. This variation could affect prescribing rates of GP practices. Thus, we adjusted the
- estimates for this potential variation in deprivation using deprivation indices.³⁰ We found that
- the intervention effect was not sensitive to the inclusion of a deprivation measure (Table 3).
- 232 Moreover, although the effect size varies depending on the bandwidth used, overall the effect
- remains robust to halving or doubling the bandwidth and therefore including a different

number of observations for both the unadjusted and adjusted estimates. This indicates that our
findings are likely to be relatively reliable and valid.

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237	We also built an RDD with a categorical variable specifying GP practices' allocation to one
238	of six letters or the control group, to examine whether the intervention was effective across
239	all letter types. We present the estimates for each letter type, both unadjusted and adjusted for
240	deprivation, in (Table 4). The four letters sent to the top 11-20% (Letters 1A, 1B, 2A, and
241	2B) were estimated to be relatively effective at reducing antibiotic prescribing (1A: -5.21%
242	[95% CI = -1.98, -8.45]; 1B: -4.75% [95% CI = -2.96, -6.54]; 2A: -2.32% [95% CI = -0.45, -
243	4.18]; 2B: -3.81% [95% CI = -1.86, -5.76]), with the letters sent to those practices whose
244	prescribing was increasing being most effective. However, for the practices in the top 10%
245	(Letters 3A and 3B) our estimates of the intervention in the general population were not clear.
246	This is because the effects of the intervention for the two letter types were not statistically
247	significant (3A: -2.13% [95% CI = 0.76, -5.02]; 3B: 1.47% [95% CI = 7.24, -4.30]).
248	
249	Based on a predicted 3.69% reduction in antibiotic prescribing for the intervention group over
250	a six-month period (Table 2), an estimated 124,952 fewer antibiotic items were dispensed
251	during the study as a result of the letter intervention. Specifically, we estimated that
252	3,386,243 antibiotic items would have been dispensed without the intervention by the GP
253	practices in the intervention arm, whereas 3,261,291 antibiotic items were found to have been
254	dispensed with the intervention.
255	
256	To estimate the effect on direct prescribing costs for the public sector, we considered the
257	basic cost of a drug as used in primary care during the intervention period, specifically the
258	average net ingredient cost (NIC) of £8.29 per antibiotic item. ³¹ The NIC does not take into
259	account dispensing costs, fees or prescription charge income. Thus, we assumed that 10.2%
260	of antibiotics ($12,745$) incurred a £8.60 prescription charge (in line with the overall
261	exemption rate for April to September 2017), which we deducted from the cost estimate. ³¹⁻³³
262	We also added the ± 1.25 per item professional fee payable to pharmacy contractors by NHS
263	England in 2017. ^{32, 33} The cost of printing and mailing to 6,318 GPs in 1,439 practices, which
264	was deducted from the savings estimate to produce a net savings estimate, was £5,527. This
265	equates to £0.04 per prescription prevented during the study period. Overall, the predicted
266	reduction in the number of prescribed antibiotics during the intervention is estimated to have
267	generated net savings of £1,076,908 (£991,842 if adjusted for practice deprivation) in NIC
268	and dispensing costs for the public sector.
269	
270	Discussion
771	PDD analysis shows that the 2017 CMO feedback latter reduced antibiotic prescribing by
271	3 60% which is similar to the 3.3% reduction in prescribing that was found in the 2014
272 272	S_{10} , which is similar to the S_{10} reduction in presenting that was round in the 2014 RCT ⁹ The finding that repeated feedback continues to have an effect on antibiotic
213 271	nescribing is consistent with other trials that have used repeated feedback in modicine and
∠/4 27⊑	in other policy areas ^{34,35} A systematic review finds that repeated feedback is more effective
213	then single instances in healthcare settings ³⁶ However, these studies tend to analyze the
2/0	man single instances in nearmeate settings. Thowever, mese studies tend to analyse the

- 277 repeated feedback as a single intervention. To our knowledge, this is the first study that
 278 investigates whether a successful antibiotic feedback intervention can be as effective when
 279 repeated. Our results suggest that the social-norm feedback intervention can be successfully
 280 implemented multiple times.
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There were many anti-microbial stewardship interventions happening in the UK in 2017-8. 282 For instance, the Quality Premium gave financial incentives for reducing inappropriate 283 prescribing; the TARGET toolkit was developed, a suite of resources that health-care workers 284 can use to support patients to self-care; the Antibiotic Guardian campaign encouraged 285 professionals to pledge to prescribe appropriately; and the Keep Antibiotic Working 286 campaign was a patient facing advertising campaign.³⁷ Therefore, it may not be a surprise 287 that antibiotic prescribing in primary care is decreasing.³⁷ However, the RDD is a quasi-288 experimental method of evaluation and the difference between the groups within the 289 290 bandwidth is whether or not they received a letter, so we can attribute the 3.69% difference in

- 291 prescribing between groups to the letter.
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293 The intervention decreased prescribing amongst practices in the top 11-20%, especially those 294 whose prescribing had been increasing in the year beforehand; this decrease was observed both in practices that had received a letter in the previous year and in practices that had not 295 received a letter. However, it did not have a significant effect on practices in the top 10%. 296 There are two types of possible explanation for this difference. First, there may have been 297 something specific to the practices in the top 10%. Our adjustments accounted for the age and 298 299 sex of the practices' patient population (STAR-PU) and their deprivation. However, there may have been other relevant factors that affect antibiotic prescribing, for instance prevalence 300 of Chronic Obstructive Pulmonary Disorder or smoking, which were not captured in our 301 models. Second, there may have been something about the message, which was more extreme 302 303 for the top 10%. Telling GPs that their practice was in the top 10% of prescribers may have made their prescribing seem so disproportionate that they felt they could not do anything 304 about it. The forceful message may have provoked psychological reactance, leading to 305 negative attitudes towards the message and the generation of counterarguments, resulting in a 306 lower behavioural intention to comply with the message.³⁸⁻⁴⁰ Alternatively, while highly 307 credible sources are more persuasive the more discrepant the receiver finds the message, 308 moderately credible sources may be less persuasive when they send a highly discrepant 309 message. If the CMO is only perceived as a moderately credible source, then the highly 310 discrepant message received by the top 10% of prescribers may have been less persuasive 311 than the less discrepant message received by the top 20%.^{41, 42} 312 313 The intervention has already been used successfully in Australia, Ireland, and Canada, and 314 France is planning to follow suit.¹¹⁻¹⁵ The countries that are implementing the intervention are 315 fairly similarly culturally, they are all Western Educated Industrialized Rich Democracies 316 (WEIRD).⁴³ It is possible that the effect of descriptive norms and therefore the international 317 applicability of the intervention might vary between cultures. The strength of social norms in 318

countries is thought to vary along a spectrum that ranges from 'tight' (have many strong

320 norms and a low tolerance of deviant behaviour) to 'loose' (have weak social norms and a

- 321 high tolerance of deviant behaviour), and the UK, Australia, and France are in the middle of
- 322 the spectrum.^{44, 45} We might anticipate that the feedback letter intervention would be least
- 323 effective in the countries with the loosest cultures (Estonia, Hungary, and Ukraine) and most
- 324 effective in countries with the tightest cultures (India, Malaysia, Pakistan, Singapore, and
- 325 South Korea). However, this is very speculative because the way that primary care is
- 326 delivered, and that antibiotic prescribing occurs, may differ in these countries and because the
- 327 UK has lower antibiotic prescribing than many countries.⁴⁶
- 328

Our study has several limitations. First, the STAR-PU data is calculated on a quarterly rather than a monthly basis. Therefore, it is not currently possible to detect the exact extent of the discontinuity in a specific month of an intervention. The strength of the intervention may differ over the course of the outcome, but this may be masked by the three-month average of the outcome variable. Thus, we can conclude that there was a difference in prescribing over the entire evaluation period, but we are not able to detect time trends, if they exist. Future studies, conditional on fine-grained longitudinal data, could utilise ITS to address that.

336

337 Second, the RDD tends to be less precise than a comparable RCT. An RDD sample has to be at least 2.4 times greater than that of an equivalent randomised trial in order to achieve the 338 same level of precision.²⁴ Moreover, the precision of an RDD is sensitive to the distribution 339 of scores around the threshold. The non-parametric method of calculating the bandwidth uses 340 observations close to the threshold.²⁷ Therefore, although our data-driven bandwidth choice is 341 claimed to be optimal, it ignores the observations outside of the bandwidth. This is why non-342 parametric methods tend to be less precise than parametric methods for a given sample.²⁴ In 343 the present study, we accounted for this by looking at the estimates of different RDD models 344 given different bandwidths. 345

346

In conclusion, our results suggest that it could be worthwhile for antimicrobial stewardship
programmes to incorporate regular social-norm feedback into their activities, as a part of their
strategy. We found that, on average feedback was effective, but it was not effective for the

- top 10% of prescribing practices, and future research could investigate why. Individual-level
- 351 feedback is likely to be even more effective, but data by prescriber are not yet centrally
- available in England. The effectiveness of social-feedback may also generalize to other
- domains, including antibiotic prescribing that occurs outside of primary care and other areas
- of medicine where evidence suggests that there may be high levels of inappropriate
- 355 prescribing or over-use of clinical tests.
- 356
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- 359

360 Transparency declaration

361 Natalie Gold affirms that this manuscript is an honest, accurate, and transparent account of

- the study being reported; that no important aspects of the study have been omitted; and that
- any discrepancies from the study as planned have been explained. There are no conflicts of
- interest to declare.
- 365

Research Ethics

- This study used publicly available data to evaluate an intervention, which was not an RCT, so research ethics were not required.
- 369

370 Data Availability Statement

- The raw data are publicly and freely available from Fingertips, <u>https://fingertips.phe.org.uk/</u>.
- 372 The cleaned dataset is available from the corresponding author upon reasonable request.
- 373

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513 Table 1. Key differences between the six letter variants

	Previous letter	No previous letter		
	Paragraph 2 starts:			
	I have written to your practice previously and			
Top 20%, prescribing not increasing				
Header:				
Your practice is amongst the 20% highest prescribers of antibiotics nationally				
Between Paragraph 1 and Paragraph 2:	1A (Figure S1)	1B (Figure S2)		
The great majority (80%) of practices in England prescribe fewer antibiotics per head than yours. Most other practices have reduced their prescribing rates since 2013/14 but yours has increased.				
Top 20%, prescribing increasing				
Header:				
Your practice is amongst the 20% highest prescribers of antibiotics nationally	2Λ (Eigura S2)	2P (Eigura S4)		
Between Paragraph 1 and Paragraph 2:	ZA (Figure 55)	2D (Figure 54)		
The great majority (80%) of practices in England prescribe fewer antibiotics per head than yours.				
Top 10%				
Header:				
Your practice is amongst the 10% highest prescribers of antibiotics nationally	2Λ (Eigura S5)	2D (Figure S6)		
Between Paragraph 1 and Paragraph 2:	SA (Figure SS)	5D (Figure 50)		
The great majority (90%) of practices in England prescribe fewer antibiotics per head than yours.				

521 Table 2. Difference in prescribing rates between treatment and control

	Bandwidth	Number of observations	Estimate [95% CIs]	Standard error	z-value	P-value	Effect [95% CIs]	Predicted Change in Dispensed Items
LATE*	0.523	6524	-0.016 [-0.010, - 0.023]	0.003	-5.141	< 0.001	-3.69% [-2.29, -5.10]	<mark>-124952</mark> [-77544, -172698]
Half- bandwidth	0.262	4294	-0.015 [-0.007, -0.023]	0.004	-3.832	< 0.001	-3.42% [-1.67, -5.17]	-115810 [-56550, -175069]
Double- bandwidth	1.047 6991 <u>-0.014</u> [-0.008, -0.020]		0.003	-4.725	< 0.001	-3.19% [-1.86, -4.51]	-108021 [-62984, - 152720]	
	F-statistic	Numerator degrees of freedom	Denominator degrees of freedom	P-value				
LATE*	2185	3	6520	< 0.001				
Half- bandwidth	461	3	4290	< 0.001				
Double- bandwidth	4205	3	6987	< 0.001				

* LATE = Local Average Treatment Effect and represents the optimal bandwidth.

527 Figure 1. Discontinuity based on the most parsimonious model





Items per STAR-PU Baseline (September 2016 - October 2015)



530 letter (right side of the line), versus the practices that did not (left side of the line). The two dotted lines

531 represent the LATE bandwidth thresholds. The solid black regression line represents the point-based estimates

of the RDD, whereas the two broken lines on either side of it represent 95% confidence intervals. Only 22 GP

533 practices in the 10% of highest prescribers were outside of the optimal bandwidth thresholds, indicating that the

534 bandwidth thresholds are unlikely to be the reason as to why the effects of the intervention were not detected

535 among these practices.

536 Table 3. Deprivation adjusted difference in prescribing rates between treatment and control

	Bandwidth	Number of observations	Estimate [95% CIs]	Standard error	z-value	P-value	Effect [95% CIs]	Predicted Change in Dispensed Items
LATE*	<mark>0.302</mark>	<mark>4814</mark>	-0.015 [-0.008, -0.022]	<mark>0.004</mark>	<mark>-4.105</mark>	<mark><0.001</mark>	-3.40% [-1.78, -5.02]	<mark>-115132</mark> [-60275, -169989]
Half- bandwidth	<mark>0.151</mark>	<mark>2546</mark>	-0.016 [-0.006, -0.026]	<mark>0.005</mark>	<mark>-3.081</mark>	0.002	-3.53% [-1.29, -5.78] -3.46% [-2.17, -4.81]	-119534 [-43683, -195725] -117164 [-73481, -162878]
Double- bandwidth	<mark>0.604</mark>	<mark>6744</mark>	-0.015 [-0.009, -0.021]	<mark>0.003</mark>	<mark>-5.041</mark>	<mark><0.001</mark>		
	F-statistic	Numerator degrees of freedom	Denominator degrees of freedom	P-value				
LATE*	<mark>530.6</mark>	<mark>4</mark>	<mark>4809</mark>	<0.001				
Half- bandwidth	<mark>69.76</mark>	<mark>4</mark>	<mark>2541</mark>	<mark><0.001</mark>				
Double- bandwidth	<mark>2107.79</mark>	<mark>4</mark>	<mark>6739</mark>	<mark><0.001</mark>				

* LATE = Local Average Treatment Effect and represents the optimal bandwidth. Adjusted estimates are altered by the inclusion of a deprivation covariate (IMD-2015-based measure of population weighted average of the Lower Layer Super Output Areas covered by each practice).³⁰

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539 **Table 4. Deprivation adjusted difference in prescribing rates between treatment and control by letter**

					Ctanda				
<mark>Letter</mark> type (n)	LATE*	<mark>Bandwidth</mark>	Number of observations	<mark>Estimate</mark> [95% CIs]	stanaa rd error	<mark>z-value</mark>	P-value	<mark>Effect</mark> [95% CIs]	<mark>Predicted Change</mark> in Dispensed Items
<mark>1A</mark>	Unadjusted	0.523	<mark>6524</mark>	-0.023 [-0.009, -0.037]	<mark>0.007</mark>	<mark>-3.157</mark>	<mark>0.002</mark>	<mark>-5.21%</mark> [-1.98, -8.45]	-17303 [-6576, -28063]
(n = 127)	Adjusted	0.302	<mark>4814</mark>	-0.026 [-0.011, -0.041]	<mark>0.008</mark>	<mark>-3.322</mark>	<0.001	<mark>-5.84%</mark> [-2.39, -9.28]	<mark>-19395</mark> [-7937, -30820]
1					- I.	- I			
1B	Unadjusted	0.523	<mark>6524</mark>	-0.021 [-0.013, -0.029]	<mark>0.004</mark>	<mark>-5.205</mark>	<mark><0.001</mark>	<mark>-4.75%</mark> [-2.96, -6.54]	<mark>-40416</mark> [-25186, -55647]
(n = 352)	Adjusted	0.302	<mark>4814</mark>	<mark>-0.019</mark> [-0.011, -0.028]	<mark>0.004</mark>	<mark>-4.398</mark>	< <u>0.001</u>	<mark>-4.41%</mark> [-2.44, -6.37]	-37523 [-20761, -54200]
<mark>2A</mark>	Unadjusted	0.523	<mark>6524</mark>	-0.010 [-0.002, -0.018]	<mark>0.004</mark>	<mark>-2.433</mark>	<mark>0.015</mark>	<mark>-2.32%</mark> [-0.45, -4.18]	<mark>-16822</mark> [-3263, -30308]
(n = 290)	Adjusted	<mark>0.302</mark>	<mark>4814</mark>	-0.009 [-0.0003, -0.018]	<mark>0.005</mark>	<mark>-2.026</mark>	<mark>0.043</mark>	<mark>-2.10%</mark> [-0.07, -4.13]	-15227 [-508, -29946]
									1
<mark>2B</mark>	Unadjusted	0.523	6524	-0.017 [-0.008, -0.025]	<mark>0.004</mark>	<mark>-3.830</mark>	< <u>0.001</u>	-3.81% [-1.86, -5.76]	-28383 [-13856, -42910]
(n = 289)	Adjusted	<mark>0.302</mark>	<mark>4814</mark>	-0.014 [-0.004, -0.023]	<mark>0.005</mark>	<mark>-2.898</mark>	<mark>0.004</mark>	-3.14% [-1.02, -5.27]	-23392 [-7599, -39260]
<mark>3A</mark>	Unadjusted	0.523	<mark>6524</mark>	<mark>-0.009</mark> [0.003, -0.022]	<mark>0.007</mark>	<mark>-1.442</mark>	<mark>0.150</mark>	<mark>-2.13%</mark> [0.76, -5.02]	<mark>-12445</mark> [4440, -29330]
(n = 250)	Adjusted	0.302	<mark>4814</mark>	-0.007 [0.007, -0.021]	<mark>0.007</mark>	<mark>-0.932</mark>	<mark>0.352</mark>	<mark>-1.51%</mark> [1.67, -4.68]	-8822 [9757, -27344]
<mark>3B</mark>	Unadjusted	0.523	<mark>6524</mark>	<mark>0.007</mark> [0.032, -0.019]	<mark>0.013</mark>	<mark>0.500</mark>	<mark>0.617</mark>	<mark>1.47%</mark> [7.24, -4.30]	<mark>2190</mark> [10784, -6405]
(n = 70)	Adjusted	<mark>0.302</mark>	<mark>4814</mark>	<mark>0.006</mark> [0.031, -0.020]	<mark>0.013</mark>	<mark>0.448</mark>	<mark>0.654</mark>	1.31% [7.04, -4.42]	<mark>1951</mark> [10486, -6583]

* LATE = Local Average Treatment Effect calculated using the optimal bandwidth for a given RDD. Adjusted estimates are altered by the inclusion of a deprivation covariate (IMD-2015-based measure of population weighted average of the Lower Layer Super Output Areas covered by each practice).³⁰ n = number of practices that received each letter.