

1 **Derivation of aquatic life criteria for four phthalate esters and their**
2 **ecological risk assessment in Liao River**

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14 **Abstract:**

15 As a critical family of endocrine disruptors, phthalate esters (PAEs) attracted
16 considerable attentions due to increasingly detected worldwide. Aquatic life criteria
17 (ALC) for PAEs are crucial for their accurate ecological risk assessment (ERA) and
18 have seldom been derived before. Given this concern, the purpose of the present study
19 is to optimize the ALCs of four priority PAEs to estimate their ecological risks in
20 Liao River. Reproductive endpoint was found to be more sensitive than other
21 endpoints. Thus, reproduction related toxicity data were screened to derive ALCs
22 applying species sensitivity distribution (SSD) method. ALCs of DEHP, DBP, BBP

23 and DEP were calculated to be 0.04, 0.62, 4.71 and 41.9 $\mu\text{g}\cdot\text{L}^{-1}$, which indicated
24 decreased toxicity in sequence. Then, the derived ALCs of the four PAEs were
25 applied to estimate their ecological risks in Liao River. A total of 27 sampling sites
26 were selected to detect and analyze the exposure concentrations of PAEs. ERA using
27 the hazard quotient (HQ) method was conducted. The results demonstrated that DEHP
28 exhibited higher risks at 92.6% of sampling sites, and risks posed by DBP were
29 moderate at 63.0% sampling sites. However, risks posed by BBP were low at 70.4%
30 of sampling sites, and there were no risks posed by DEP at 96.3% of sampling sites.
31 The results of probabilistic ecological risk assessment (PERA) indicated that
32 probabilities of exceeding effects thresholds on 5% of species were 60.41%, 0%,
33 0.12%, 14.28% for DEHP, DEP, BBP and DBP, respectively. The work provides
34 useful information to protect aquatic species in Liao River.

35

36 **Keywords:** Phthalate ester, Reproductive toxicity, Aquatic life criteria, Endocrine
37 disruptor chemical, Ecological risk assessment

38

39 **1. Introduction**

40 Phthalate esters (PAEs) are used primarily as plasticizers to impart flexibility to
41 polyvinylchloride plastics. They are intensively applied in a variety of products such
42 as food packaging, cosmetics, various kinds of toys and medical equipment(Weir et
43 al., 2014). As PAEs can be easily released into the environment from plastic products,
44 they are ubiquitously detected in various environmental media including water (He et

45 al., 2013; Zhang et al., 2018); Zhang et al., 2018), soil and sediment (Kang et al.,
46 2016; Tan et al., 2016), and air (Deutschle et al., 2008; Wang et al., 2017). PAEs can
47 enter water ecosystems through the discharge of domestic and industrial wastewater,
48 surface runoff input from agricultural and urban areas, and atmospheric wet and dry
49 deposition (Sha et al., 2007; Wang et al., 2008; Chai et al., 2010). PAEs are hardly
50 degraded and the environment fate and bioaccumulation were investigated (Staples et
51 al., 1997; Wofford et al., 1981; Yang et al., 2013). Recently, PAEs raised concerns as
52 suspected endocrine disruptor chemicals (EDCs). They were shown to be
53 carcinogenic, which mimic estrogenic activities in animals and can induce adverse
54 effects in the development of the male reproductive system (Cheung et al., 2007).
55 Also, PAEs posed great threat to aquatic organism (Adams and Gorsuch, 1995;
56 Staples et al., 2000; Qu et al., 2015). Many countries have now limited the usage of
57 PAEs and relevant policies and laws have been established (EC 2003, 2005; EPA,
58 2014; PRC-NS 2002). Six PAEs including dimethyl phthalate (DMP), diethyl
59 phthalate (DEP), di-n-butyl phthalate (DBP), butylbenzyl phthalate (BBP), di(2-
60 ethylhexyl) phthalate (DEHP), and di-n-octyl phthalate (DnOP) were listed in the 126
61 priority pollutants filed by the US Environmental Protection Agency (EPA) (EPA,
62 2014). DBP, DnOP, DEHP and BBP were four PAEs in the list of priority pollutants
63 of the European Communities (EC, 1994; EC, 1995; EC,1997), China also has listed
64 DMP, DBP and dimethyl octyl phthalic (DOP) as environmental priority pollutants
65 (PRC-NS, 2002).

66 ALC are defined as the maximum water pollutant concentrations that do not pose
67 short-term or long-term adverse or hazardous effects on aquatic life, which are based
68 on scientific experiments and extrapolations (Wu et al., 2010). SSD is an important
69 extrapolation approach to derive ALC based on all available toxicological data of the
70 pollutant and extrapolate the concentration corresponding to the target percentage
71 (Wheeler et al., 2002). Thresholds of protection for aquatic organisms is usually set
72 up as 5% of species (HC₅).

73 China has launched national-level systematic ALC studies based on the regional
74 characteristics and established comprehensive ALC research frameworks. ALCs of a
75 large number of priority pollutants, including ammonia nitrogen (Wang et al., 2016),
76 heavy metals (Wu et al., 2015; Zheng et al., 2017; Zhang et al., 2017) and organic
77 pollutants(Yan et al., 2012; Wang et al., 2013) have been derived. Most of these
78 researches adopted the lethal endpoint of three phyla and eight families to generate
79 SSD of the pollutants. EDCs are considered to alter hormone levels leading to
80 reproductive effects in aquatic organisms at environmental concentration continuously
81 (Tisler et al., 2016). Previous toxicological studies have demonstrated reproduction
82 was identified as the most sensitive endpoint for EDCs (Caldwell et al., 2008; Jin et
83 al., 2014). Reproductive toxicity includes fecundity, rate of fertilization, hatchability,
84 gonadosomatic index sustained for multiple generations, and the synthesis of
85 vitellogenin (VTG) in fish (Martino-Andrade and Chahoud, 2010). Since the lethal
86 endpoint lacks consideration of the adverse effects from development and function of
87 aquatic organisms at non-lethal concentrations, ALC based on lethal effect was

88 recognized to be unable to provide adequate protection from such adverse effects.
89 PAEs as typical EDCs displayed low-acute toxicity in general, and the reproductive
90 system is particularly susceptible long-term low-dose integrated exposure (Martino-
91 Andrade and Chahoud, 2010). However, comparing with the extensive studies on
92 derivation of ALCs for heavy metals and organic pollutants, there were limited
93 researches available for ALCs of EDCs, especially based on the sublethal effects of
94 reproduction.

95 Ecological risk assessment (ERA) is a process that evaluates the likelihood of adverse
96 ecological effects occurring as a result of exposure to stressors (US EPA, 1998),
97 which can provide useful information for risk managers and decision makers. The
98 most rudimentary approach to assessing the potential risk is the calculation of a
99 hazard quotient (HQ), which compare the measured exposure concentration with ALC
100 determined from SSD. HQ is point estimate and is generally considered to be overly
101 conservative, and hence more useful for preliminary ecological risk assessment (Liu
102 et al., 2016). Probabilistic ecological risk assessment (PERA) is another method of
103 ERA and based on a continuum of potential exposures and effects probability
104 distributions to qualify and quantify ecological risks (Solomon and Giesy, 2000).
105 Risk expresses as a joint probability curve (JPC) in PERA describes that the
106 probability of a particular set of exposure conditions occurring relative to the number
107 of taxa that would be affected. However, the ecological risk assessment of PAEs
108 adopting various methods in water basin were seldom reported before.

109 Liao River is located in northeast China and belongs to one of the seven main
110 watersheds of China with a water area of approximately 1345 km², and is also the
111 critical drinking water source for the residents of the catchment. The rapid economic
112 expansion, along with human activities, has caused enormous environmental
113 pressures on Liao River so that it has suffered from severe contaminations of different
114 pollutants including EDCs. To our knowledge, there has been no systematic
115 investigation of PAEs concentration in Liao River to identifying their potential risk
116 levels to aquatic ecosystem.

117 This objective of this study was to optimize the ALCs derivation of PAEs applying
118 untraditional endpoints. The concentrations of PAEs in Liao River were monitored. In
119 addition, based on the ALCs and field monitored data of four typical phthalates, their
120 ecological risks in Liao River were comprehensively evaluated with two methods.

121

122 **2. Materials and methods**

123 **2.1 Collection of data**

124 Toxicity data of four PAEs were collected from ECOTOX database
125 (<http://cfpub.epa.gov/ecotox>), CNKI database, and published literatures. Traditional
126 effects such as lethal endpoints were excluded and only reproductive related
127 endpoints were screened. The reproduction data mainly consisted the effects of
128 fecundity, rate of fertilization, hatchability, expression of VTG, gonad somatic index,
129 gonadal histology and multiple generation effects to aquatic organisms. The principles
130 below were followed. Strictly, NOEC values were limited to calculate the ALC. As

131 there were not enough data of reproduction endpoints for new pollutants, maximum
132 acceptable toxicant concentration (MATC) or lowest observed effect concentration
133 (LOEC) or EC₁₀ values were adopted when NOEC is not available. For each
134 chemical, in order to minimize the uncertainty and maximize protective values of the
135 resulting HC₅, flow-through were preference to static/renewal for exposure style and
136 measured chemical analysis were preference to unmeasured chemical analysis.
137 Geometric mean value were calculated when multiple toxicity values were available
138 per species.

139 **2.2 Construction of SSD**

140 The log-logistic distribution was generally a good-fitting model for species sensitivity
141 distribution (SSDs) (Versteeg et al., 1999). In this study, the log-logistic distribution
142 was adopted to construct SSDs and derive the ALCs of four PAEs (Wheeler et al.,
143 2002), The equation is as follows:

$$144 \quad y = \frac{1}{1 + \exp\left(\frac{p_1 - x}{p_2}\right)} \quad (1)$$

145 where y is the cumulative probability of species, defined as “the order of the data
146 point” divided by one plus the total number of data points; x is the log-transformed
147 NOEC /EC₁₀ / MATC/ LOEC; p₁ is a parameter representing the location (or
148 intercept); and p₂ is a parameter representing the slope of the curve.

149 **2.3 Field monitoring in the Liao River**

150 A total number of 27 representative sampling sites were selected to investigate the
151 variation of concentrations for PAEs in the Liao River (Fig. 2). The sampling sites
152 covered main stream and tributaries along the upstream, middle, downstream of the

153 Liao River, and industrial cities adjacent to the river. In July 2014, one liter of water
154 samples were collected from every sampling site and stored in brown glass bottles.
155 All samples were refrigerated at 4°C before extraction and analysis. The samples were
156 filtered through 0.45 m glass-fiber membrane filter and then passed through activating
157 solid phase extraction cartridges at a flow rate of 10 mL·min⁻¹. The cartridges were
158 then eluted with a 5 mL ethyl acetate followed by a 5 mL methylene chloride and 3
159 mL ethyl acetate/methylene chloride (1:1 v/v) (USEPA, 1995; MWRPRC, 2007). The
160 eluates were dried using anhydrous sodium sulfate and concentrated to 1 mL by the
161 rotary evaporator and gentle stream of nitrogen. The extracts were stored at 4°C
162 before GC/MS analysis. The sample extracts were analyzed by an Aglient Gas
163 Chromatograph/Mass Selective Detector (GC/MSD) system (Aglient7890-5975C)
164 with an autosampler under full scanning mode. A mixed standard solution of the four
165 target pollutants was used. The pollutants were separated using a DB-5 silica fused
166 capillary column (length: 30 m, id: 250 µm, Am film thickness: 0.25 µm) with a 1.0
167 µL injection volume at split ratio 10:1. The oven temperature was programmed from
168 45°C to 300°C at 8°C·min⁻¹, and then kept for 5 min. The ion source and Quadrupole
169 temperatures were 230°C and 150°C, respectively. The recovery tests of pollutants
170 were performed using an external standard. Before the detection of each sample, the
171 solvent blank was analyzed. The limits of detection for DEHP, BBP, DEP and DBP
172 were 0.13, 0.14, 0.12 and 0.13 µg/L, respectively. The recoveries of DEHP, BBP,
173 DEP and DBP were 93.5%, 88.4%, 102%, 91.2%. The exposure concentrations were
174 summarized in the Table 2.

175 **2.4 Preliminary ecological risk assessment for four PAEs**

176 The exposure concentrations of four PAEs in Liao River were determined measured,
177 and the ERA for these PAEs in Liao River were conducted by the HQ method
178 (Lemly, 1996). HQ is the ratio of measured exposure concentration divided by a
179 statistically derived effect concentration. Deterministic HQ was calculated by the Eq.
180 (2):

181
$$HQ = EEC/ALC \quad (2)$$

182 Where EEC is the environmental exposure concentration.

183 The hypothesis of this method is that potential hazard is likely to occur at any moment
184 if EEC of a pollutant is higher than its ALC. Otherwise the least possible hazard is
185 anticipated. The mathematical explanations of this method were listed below (Lemly,
186 1996):

187 $HQ \leq 0.1$, no risk exists;

188 $HQ = 0.1 \sim 1.0$, risk is low;

189 $HQ = 1.1 \sim 10$, risk is moderate;

190 $HQ \geq 10$, risk is high.

191 **2.5 Probabilistic ecological risk assessment (PERA) of PAEs to aquatic**
192 **organisms in surface water of Liao River.**

193 PERAs were performed by use of MATLAB2017 software. JPCs were used to
194 describe the estimated risks of PAEs in Liao River. The probability of exceedance
195 estimates are derived from both effect and exposure distributions. When the exposure
196 data were plotted on the same axes as the effects data, the extent of overlap between

197 the curves indicated the probability of exceeding an exposure concentration associated
198 with a particular probability of effects of PAEs. For JPCs of PAEs in Liao River, the
199 x-axis of the JPC represented the intensity of toxicity effects, and the y-axis stand for
200 exceeded probability (Solomon et al., 1996, 2000). Each point on the curves
201 represented both the probability that chosen proportion of species would be affected
202 and the frequency with which that magnitude of the effect would be exceeded. The
203 closer the JPCs were to the axes, the less the probability of adverse effects.

204

205 **3. Results and discussion**

206 **3.1 Derivation of the ALCs for four PAEs based on the reproductive endpoint**

207 In the process of data screening according to the principle (Section 2.1), values of
208 reproductive effect were found to be far lower than those of survival effect often by
209 orders of magnitude (data were not shown). In this study, only toxicity data based on
210 reproductive effect were gathered and a number of 11, 6, 7 and 11 data were collected
211 for DEHP, BBP, DEP and DBP, respectively. The details of collected reproductive
212 toxicity data were listed in Table 1. The 35 toxicity data in all for four PAEs were
213 from a total of 24 species including fishes, invertebrates, and alga. A wide variation
214 was found in the NOEC/EC₅₀/EC₁₀ values for DEHP with values ranged from 1 to
215 960 $\mu\text{g}\cdot\text{L}^{-1}$ and a mean value of 196.5 $\mu\text{g}\cdot\text{L}^{-1}$. Concentrations for BBP ranged from 60
216 to 1000 $\mu\text{g}\cdot\text{L}^{-1}$ with a mean value of 347.4 $\mu\text{g}\cdot\text{L}^{-1}$. Concentrations for DEP ranged
217 from 427.2 to 21000 $\mu\text{g}\cdot\text{L}^{-1}$ with a mean value of 6699.6 $\mu\text{g}\cdot\text{L}^{-1}$. Concentrations for
218 DBP ranged from 5 to 30200 $\mu\text{g}\cdot\text{L}^{-1}$ with a mean value of 3678.4 $\mu\text{g}\cdot\text{L}^{-1}$. Due to the

219 paucity of the available reproductive toxicity data, non-native species were included.
220 Recent study indicated that there were no statistically significant ($p > 0.05$)
221 differences in criteria and SSD values abstained between aquatic species endemic to
222 China and non-native species (Jin et al., 2015). The most sensitive species to DEHP
223 and DEP were two fishes *Oryzias latipe* and *Danio rerio*, individually. Interestingly,
224 the most sensitive species were two aquatic plants for BBP and DBP,
225 *Pseudokirchneriella subcapitata* and *Lemna minor*. The result was in accordance with
226 the previous study, in which aquatic plants showed greater sensitivities to BBP and
227 DBP than others (Yan et al., 2015). All the reproductive toxicity data of aquatic
228 species were used to generate SSD curves and the derived HC₅ values for the four
229 PAEs were shown in Fig.1. SSDs of DEHP, DBP, BBP and DEP were shifted from
230 left to the right, suggesting that DEHP exhibited the maximum toxicity. Although
231 there were some overlaps of SSDs for DBP and BBP, DBP was more toxic according
232 to the lower part of the SSD curve. ALCs of the four PAEs were calculated through
233 dividing HC₅ by an assessment factor (AF) of 2. The derived ALCs were
234 demonstrated in Table 2. The HC₅ value of DEHP was $0.08 \mu\text{g}\cdot\text{L}^{-1}$ and ALC was 0.04
235 $\mu\text{g}\cdot\text{L}^{-1}$, which was far below the Chinese national standard of $8 \mu\text{g}\cdot\text{L}^{-1}$ (PRC-NS,
236 2002). The ALC of DBP was $0.62 \mu\text{g}\cdot\text{L}^{-1}$, which was also lower than Chinese
237 national standard of $3 \mu\text{g}\cdot\text{L}^{-1}$ (PRC-NS, 2002). DEP was less toxic than the others and
238 its ALC was $41.9 \mu\text{g}\cdot\text{L}^{-1}$, which was also lower than Chinese national standard of 300
239 $\mu\text{g}\cdot\text{L}^{-1}$ (PRC-NS, 2006). ALC of BBP was not compared due to lack of its standard. In
240 the whole, ALC derivations of DEHP, DBP and DEP in present study were essential

241 since there were some aquatic organisms under protection according to Chinese
242 current standards.

243

244 USEPA recommended short-term and long-term thresholds of $940 \mu\text{g}\cdot\text{L}^{-1}$ and 3
245 $\mu\text{g}\cdot\text{L}^{-1}$ for PAEs (USEPA, 1980) and the criteria of individual PAEs such as DEHP,
246 DBP, and DEP were not developed. Obviously, unified criteria for PAEs were not
247 entirely suitable for individual PAE due to their different toxicities. However, Chinese
248 environmental quality standards for surface water dictated the WQSs of DEHP and
249 DBP were $8 \mu\text{g}\cdot\text{L}^{-1}$ and $3 \mu\text{g}\cdot\text{L}^{-1}$, respectively (PRC-NS, 2002). China also provided
250 DEP content less than $300 \mu\text{g}\cdot\text{L}^{-1}$ in standards for drinking water quality (PRC-NS,
251 2006). However, Chinese current standards of DEHP, DBP and DEP were only about
252 four hundreds, five, and eight times compared with their ALCs in our study. Among
253 the four PAEs concerned in this study, DEHP have been studied before and its HC_5
254 was reported to be $0.68 \mu\text{g}\cdot\text{L}^{-1}$ (Liu et al., 2016), which was 8 folds higher than the
255 derived HC_5 in this study. There were several possible reasons for the discrepancy
256 between the two HC_5 values, including selected species, various endpoints for data
257 analysis and adopted statistical models. Firstly, data of aquatic plant was used in the
258 present study while only data on fish and invertebrate were included in the previous
259 study (Liu et al., 2016). Aquatic plant was considered to be an essential part of the
260 aquatic ecosystem, and species used for ALC generation should come from various
261 phyla and families. Then the ecological risks of PAEs can be evaluated
262 comprehensively. Secondly, the previous research adopted toxicity data of one

263 seawater organism to construct the SSD of DEHP, while only the data of freshwater
264 organisms were used in the present study. Furthermore, fitting parameters varied due
265 to different statistical models. As no statistic model always provides the best fit, log-
266 logistic distribution was selected to draw the fitting curve in this study, while log-
267 normal was used in the former study.

268 Estrogens are sex hormones with a receptor-mediated mode of action (MOA) at
269 lower concentrations. With the researches going on, more investigations indicated that
270 PAEs mimic estrogenic biological activity (Martino and Chahoud, 2010). PAEs were
271 considered to impede the normal reproductive function via an estrogen receptor-
272 mediated MOA (Takeuchi et al., 2005). The MOAs of PAEs and non-EDC pollutants
273 such as heavy metals are different, so that approaches of ALC derivation are
274 obviously distinct. A variety of methods have been proposed for deriving of ALCs
275 (USEPA, 1980; CCME, 1999; RIVM, 2001). As limited toxicity data are available for
276 a pollutant, AF can be used to derive ALC (CCME, 1999). For example, if only acute
277 data are available, the lowest acute toxicity value applying an AF of 10-1000 is
278 allowed by EU guideline (EC, 2003). Since the reproductive toxicity was more
279 sensitive among the chronic data, HC₅ was only divided by 2 to reduce the uncertainty
280 of ALCs in present study. However, It was possible to cause potential reproductive
281 effect at exposure concentrations much lower than ALC (Lyche et al., 2009), because
282 the concentration of PAEs may be sufficient to induce receptor-mediated effects. This
283 possibility explains why ALC extrapolating acute data using an AF may be not
284 adequately protective of reproductive effects. PAEs were able to induce production of

285 the female-specific, egg-yolk precursor VTG in livers of males and decreased
286 fecundity and fertility (Martino and Chahoud, 2010; Maradonna et al., 2013).
287 Correspondingly, traditional measurement endpoints in ecotoxicology survival,
288 development, and growth were also inappropriate for ALC derivation of EDCs.
289 Instead, nonlethal biomarkers were considered better endpoints in the risk assessment
290 of EDCs (Caldwell et al., 2008; Jin, et al., 2013; Liu et al., 2016). In fact, the
291 endpoints adopted in our study mainly included morphological effect, reproductive
292 effect, population effect, growth effect, hormone effect. Aquatic organisms exhibited
293 greater sensitivity when reproduction related effects were used as the measurement
294 endpoint. Therefore, the ALCs derived based on reproductive toxicity in our study
295 may better protect aquatic organisms from exposure to PAEs.

296 **3.2 Preliminary ecological risk assessment of the four PAEs in Liao River**

297 The measured concentrations of the four PAEs for 27 sampling sites in the Liao River
298 were provided in Table 3. Concentrations for DEHP varied in different sites and
299 ranged from 0.54 to 37.33 $\mu\text{g}\cdot\text{L}^{-1}$ except two sites undetected. Concentrations for
300 DBP varied in different sites and ranges from 1.43 to 16.58 $\mu\text{g}\cdot\text{L}^{-1}$. However,
301 concentrations for BBP ranged from 0.15 to 6.55 $\mu\text{g}\cdot\text{L}^{-1}$ except five sites undetected.
302 Concentrations for DEP varied in different sites and ranged from 0.34 to 1.75 $\mu\text{g}\cdot\text{L}^{-1}$
303 except one site undetected. On the whole, the exposure concentrations of DEHP and
304 DBP were relatively higher, which were similar to the results of the nationwide
305 survey in China during 2009-2012 (Liu et al., 2014). In fact, exposure concentration
306 level among various sites reflected regional economic development, levels of

307 urbanization and industrialization. For example, sampling sites near provincial capital
308 Shenyang city had higher concentrations of DEHP, DBP, and BBP. The HQs of the
309 four PAEs in different sites of the Liao River were assessed by comparing exposure
310 concentrations to their ALCs. The information of HQs in different sites were
311 presented in Table 3.

312 Among the 27 sampling sites in the Liao River, PAEs were not detected in 8 sites and
313 it posed no risk at these sites. The HQ indices of DEP were all below 0.1, representing
314 that DEP posed no risks in all sampling sites. BBP had moderate risks at 2 sampling
315 sites located in the estuary of the Liao River and low risks in other sites. DBP posed
316 high risks in 10 sampling sites and moderate risk in 17 sampling sites. DEHP posed
317 high risks in 25 sites. The HQs of DEHP in most sites were greater than 10, which
318 indicated that DEHP might pose nonnegligible harmful effects on aquatic organisms
319 in Liao River. Table 2 and Table 3 indicated the exposure concentrations of BBP and
320 DEP were relatively lower and their ALC values were higher than DEHP and DBP.
321 Compared with Chinese environmental quality standards ($8 \mu\text{g} \cdot \text{L}^{-1}$), DEHP
322 concentrations for only 37.04% of the sampling sites exceeded. DBP concentrations
323 measured at 16 sites, about 59.26% of all the sampling sites, exceeded $3 \mu\text{g} \cdot \text{L}^{-1}$.
324 Thus, the HQ values adopting Chinese current standards instead of their derived
325 ALCs in this study illustrated that DEHP and DBP in Liao River were at relatively
326 lower risk levels. In summary, the ecological risk of PAEs at some regions was
327 underestimated if adopting Chinese current standards.

328 **3.3 Probabilistic ecological risk assessment of the four PAEs in Liao River**

329 JPCs constructed using exceedance probability function and SSD could better
330 describe the overall PAEs risks than HQ method. The x-axis of the JPC represented
331 the intensity of toxicity effects, and the y-axis stood for exceeded probability. Risks
332 in Liao River by PERA analysis were shown for each PAE (Fig.3). However, DEHP
333 posed higher potential ecological risk than others, followed by BBP, The JPCs of
334 DEP and DBP were closer to the axes, indicating the less probability of adverse
335 effects. Therefore, the results of the JPC analysis indicated that probabilities of
336 exceeding the NOEC for 5% of the species were 60.41%, 0%, 0.12%, 14.28% for
337 DEHP, DEP, BBP and DBP, respectively. Overall, DEHP exhibited higher risks
338 adopting both HQ and PERA methods. In fact, HQ method is point estimate approach,
339 which could not provide detailed information on probability or magnitude of
340 ecological risks and cannot be used to establish a level of risk (Solomon et al., 1996,
341 2000). Thus, HQ is useful as a screening tool that can help to focus risk assessment. In
342 the present study, the results of HQ approach showed that DEHP exhibited higher
343 risks at 92.6% of sampling sites, and risks posed by DBP were moderate at 63.0 %
344 sampling sites. Thus, predicted large HQs indicated that potential risks for the whole
345 area could not be excluded. However, the higher tier approaches allowed the
346 estimation of the proportional risk of measured PAEs concentrations to fresh
347 organisms in Liao River. This will help the risk manager to make the decisions
348 according to the degree of overlap between the exposure and effects function that is
349 acceptable and the level of certainty required in a particular situation. The
350 probabilities of exceeding the NOEC for 5% of the species were 60.41% for DEHP.

351 Correspondingly, the appropriate measures were applied to achieve the required
352 degree of certainty that the desired level of protection would be achieved.

353 **3.4 Uncertainty analysis**

354 Uncertainty in ERA adopting both HQ method and probabilistic risk method is
355 inevitable. The uncertainty came from endpoint chosen as available reproductive
356 toxicity data are far less than the acute data. The minimum toxicity data for
357 developing SSD were considered four (Traas and Bruijn, 2001), five (Hose and Brink,
358 2004), six (Maltby et al., 2005), eight (Wheeler et al., 2002) or more than ten (EC,
359 2011). Thus, limited toxicity data used to generate SSD model met the minimum
360 acquirement. Also, the toxicity data for non-native species to derive ALC brought the
361 uncertainty due to the paucity of toxicity data applicable for native species. Limited
362 information on temporal and spatial variation in PAEs exposure concentrations
363 especially in Liao River also introduced uncertainty. Further work should be
364 conducted to get more PAEs exposure data in a wide range of temporal and spatial
365 scales. Thus, more accurate ecological risk assessment of risks will be conducted.

366 **4. Conclusions**

367 In the present study, reproductive endpoint was found to be most sensitive and
368 adopted to derive ALCs of four PAEs. Reproduction toxicity data were screened to
369 construct SSD to calculate ALC. ALCs of DEHP, DBP, BBP and DEP were
370 calculated to be 0.04, 0.62, 4.71 and 41.9 $\mu\text{g}\cdot\text{L}^{-1}$, which indicated decreased toxicity in
371 sequence. Therefore, their ALCs were far less compared with long-term ALCs from
372 USEPA and Chinese current WQS. The exposure concentrations of PAEs of 27

373 sampling sites in the Liao River were measured and ERA were conducted with two
374 methods. According to the derived ALCs of the four PAEs, risk assessments by HQ
375 approach showed that DEHP exhibited higher risks at 92.6% of sampling sites, and
376 risks posed by DBP were moderate at 63.0 % sampling sites. However, risks posed by
377 BBP were low at 74.1% of sampling sites, and there were no risks posed by DEP at
378 all sampling sites. Furthermore, the results of PERA in Liao River showed that
379 probabilities of exceeding effects thresholds on 5% of species were 60.41%, 0%,
380 0.12%, 14.28% for DEHP, DEP, BBP and DBP, respectively. These findings
381 demonstrated that PAEs level in the area of the basin may have done harm to aquatic
382 ecosystem structure and function in Liao River.

383

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387

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Table 1 Reproductive toxicity data used to construct SSDs of DEHP, BBP, DEP, and DBP

PAE	species	Observed Duration (Days)	Endpoint	Effect	Concentration($\mu\text{g}\cdot\text{L}^{-1}$)	Exposure Type	Reference
DEHP	<i>Oryzias latipes</i>	91	NR	Morphology	1	renewal	Kim et al.,2002
	<i>Danio rerio</i>	21	NOEC	Reproduction	2	renewal	Carnevali et al., 2012
	<i>Gobiocypris rarus</i>	21	NOEC	Morphology	3.6	renewal	Wang et al., 2013
	<i>Salvelinus fontinalis</i>	150	NR	Morphology	3.7	flow through	Mayer et al., 2012
	<i>Hydra viridissima</i>	7	NOEC	Population growth rate	10	renewal	Ganeshakumar et al., 2009
	<i>Pimephales promelas</i>	28	NOEC	Morphology	12	renewal	Crago et al., 2012
	<i>Chironomus riparius</i>	30	NOEC	Reproduction	100	renewal	Kim and Lee,2002
	<i>Eurytemora affinis</i>	21	NOEC	Reproduction	109	renewal	Forgetleray et al., 2005
	<i>Stephanodiscus hantzschii</i>	4	EC ₅₀	Growth	320	static	Adema et al., 1981
	<i>Daphnia magna</i>	21	NOEC	Reproduction	640	renewal	Adams and Heidolph, 1985
	<i>Pseudokirchneriella subcapitata</i>	5	EC ₅₀	Population growth rate	960	static	Richter, 1982
BBP,	<i>Pseudokirchneriella subcapitata</i>	4	NOEC	Population growth rate	60	static	USEPA,1978
	<i>Pimephales promelas</i>	21	NOEC	Reproduction	64.6	flow through	Hick, 2008
	<i>Fundulus heteroclitus</i>	28	NOEC	Reproduction	100	renewal	Kaplan et al., 2013
	<i>Daphnia magna</i>	21	NOEC	Reproduction	260	flow through	Gledhill et al., 1980
	<i>Navicula pelliculosa</i>	4	EC ₅₀	Population growth rate	600	static	Gledhill et al., 1980
	<i>Anacystis aeruginosa</i>	4	EC ₅₀	Population growth rate	1000	static	Gledhill et al., 1980
DEP,	<i>Danio rerio</i>	3.8	NOEC	Reproduction	427.2	renewal	Xu et al., 2013
	<i>Cyprinus carpio</i>	28	NOEC	Morphology	1000	renewal	Barse et al., 2007
	<i>Chlamydomonas reinhardtii</i>	3	EC10	Population growth rate	1020	static	Brack and Rottler,1994
	<i>Pseudokirchneriella subcapitata</i>	4	NOEC	Population growth rate	3650	static	Adams and Gorsuch ,1995

	<i>Daphnia magna</i>	21	NOEC	Reproduction	3800	renewal	Kühn et al., 1989
	<i>Anodonta cygnea</i>	4	EC ₅₀	Population growth rate	16000	static	Adams and Gorsuch ,1995
	<i>Scenedesmus subspicatus</i>	4	EC ₅₀	Population growth rate	21000	static	Kuhn et al., 1989
DBP	<i>Lemna minor</i>	7	LOEC	Morphology	5	static	Huang et al., 2006
	<i>Melanotaenia fluviatilis</i>	7	NOEC	Reproduction	14	renewal	Bhatia et al., 2014
	<i>Gasterosteus aculeatus</i>	22	NOEC	Hormone effect	15.23	flow through	Aoki et al., 2011
	<i>Oncorhynchus mykiss</i>	99	NOEC	Growth	100	flow through	Rhodes et al., 2010
	<i>Pseudokirchneriella subcapitata</i>	4	NOEC	Population growth rate	210	static	Adams and Gorsuch ,1995
	<i>Glandirana rugosa</i>	21	NOEC	Morphology	278.34	renewal	Ohtani et al., 2000
	<i>Danio rerio</i>	95	NOEC	Population growth rate	400	renewal	Chen et al., 2015
	<i>Scenedesmus subspicatus</i>	3	NOEC	Population growth rate	500	static	Scholz, 1995
	<i>Daphnia magna</i>	21	NOEC	Reproduction	960	flow through	Rhodes et al., 2010
	<i>Chlorella vulgaris</i>	4	EC ₅₀	Population growth rate	7780	static	Chi et al., 2006
	<i>Scenedesmus acutus</i>	3	NOEC	Population growth rate	30200	static	Kuang et al., 2003

560 Note: NR stands for not report

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Table 2 Parameters of SSDs for four PAEs based on reproduction endpoints

PAEs	N	Mean	Adj-R ²	HC ₅ (μg·L ⁻¹)	ALC (μg·L ⁻¹)
DEHP	11	196.5	0.951	0.08	0.04
DBP	11	3678.4	0.966	1.23	0.62
BBP	6	347.4	0.931	9.42	4.71
DEP	7	6699.6	0.939	83.7	41.9

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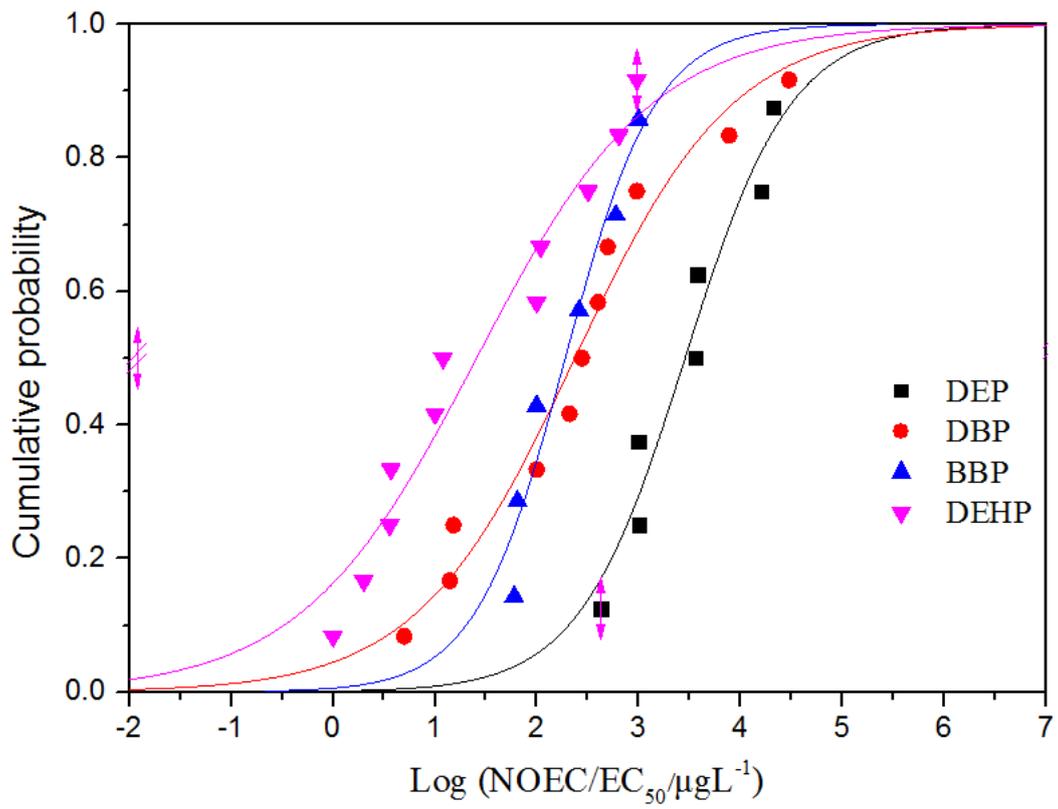
Table 3 The exposure concentrations of the four PAEs in the Liao River detected in July 2014 and their HQ values

Number	PAEs Sampling site	DEHP		BBP		DEP		DBP	
		EEC (μg·L ⁻¹)	HQ	EEC (μg·L ⁻¹)	HQ	EEC (μg·L ⁻¹)	HQ	EEC (μg·L ⁻¹)	HQ
1	Hun he Bridge	0.900	22.5	ND	-	0.59	0.001	1.56	2.52
2	Lu jia Bridge	0.750	18.8	1.11	0.24	0.75	0.002	2.57	4.15
3	Jiang jun Bridge	24.4	609	1.21	0.26	0.61	0.001	10.1	16.2
4	Da huo fang Reservoir	0.800	20.0	4.34	0.92	0.76	0.002	2.00	3.23
5	Bei dao gou Hun he Bridge	1.44	36.0	2.18	0.46	0.75	0.002	2.01	3.24
6	Liao River estuary	12.9	322	1.27	0.27	1.15	0.003	7.19	11.6
7	Tian zhuang tai Bridge	0.750	18.8	6.54	1.39	0.65	0.002	2.11	3.40
8	Pan jin Bridge	17.9	448	2.13	0.45	0.71	0.002	7.87	12.7
9	Tian hu Bridge	23.2	581	1.12	0.24	0.45	0.001	5.98	9.65
10	He ping Bridge	24.9	622	1.21	0.26	0.56	0.002	11.3	18.2
11	Xiao bei bo Bridge	0.650	16.3	1.31	0.28	0.74	0.002	1.43	2.31
12	San cha he Bridge	0.780	19.5	ND	-	0.73	0.002	2.11	3.40

13	East Wang ben Bridge	20.1	501	ND	-	1.13	0.003	8.48	13.7
14	West Wang ben Bridge	32.8	819	0.960	0.20	1.75	0.005	16.6	26.7
15	Sheng li Bridge	37.3	933	1.05	0.22	0.74	0.002	9.98	16.1
16	Sha keng li	ND	-	0.150	0.03	ND	-	4.31	6.95
17	Zhao jia wo peng	5.39	135	ND	-	0.75	0.002	11.2	18.0
18	Bao li Bridge	18.9	472	6.55	1.39	1.55	0.004	8.45	13.6
19	Duan chuan fang zi Bridge	ND	-	1.12	0.24	0.34	0.001	5.53	8.92
20	Tong jiang kou Bridge	4.99	125	2.29	0.49	0.66	0.002	5.61	9.05
21	Gong zhu tun Bridge	0.540	13.5	1.31	0.28	0.71	0.002	4.58	7.39
22	Xin liu Bridge	0.98	24.5	ND	-	0.45	0.001	1.55	2.50
23	Yu bao tai Bridge	14.58	365	1.32	0.28	0.69	0.002	9.81	15.8
24	Hong miao zi Bridge	0.73	18.3	1.11	0.24	0.75	0.002	2.01	3.24
25	Nan za mu Bridge	1.12	28.0	2.12	0.45	0.63	0.002	1.82	2.94
26	Meng jia wo pu	7.58	190	1.15	0.24	0.42	0.001	2.74	4.42
27	Wei ning Bridge	0.75	18.8	2.21	0.47	0.54	0.001	3.25	5.24

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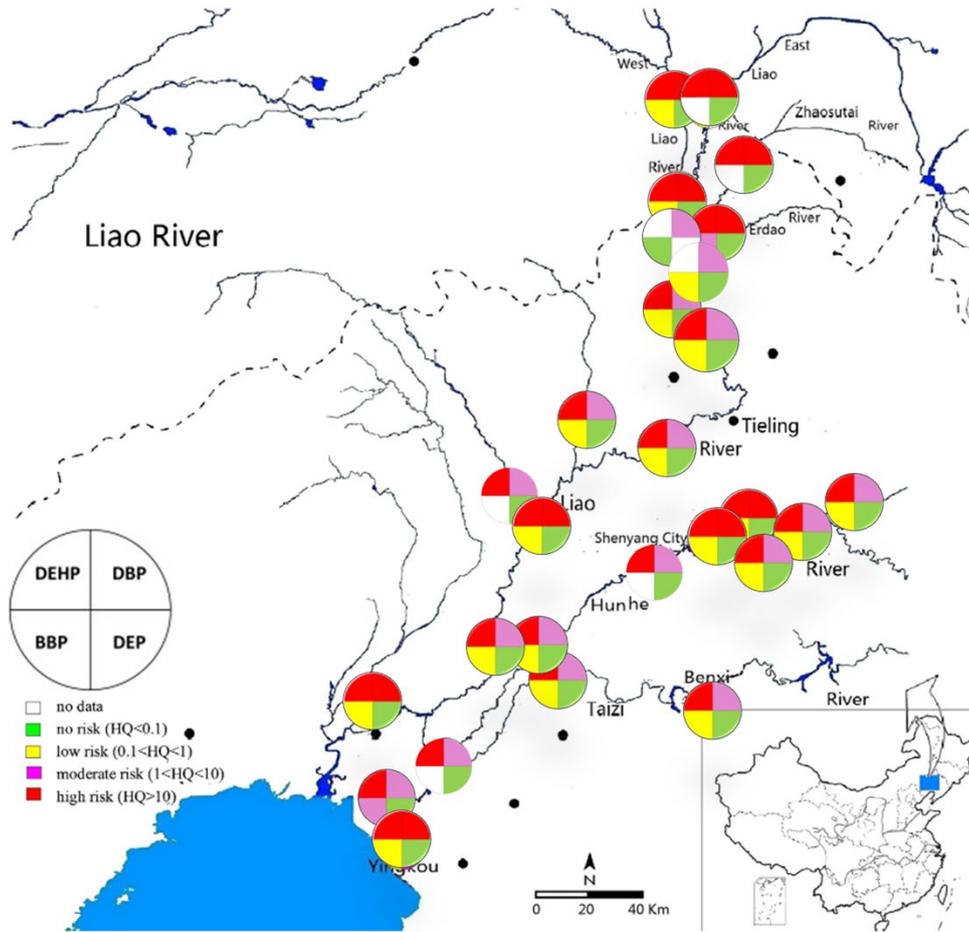
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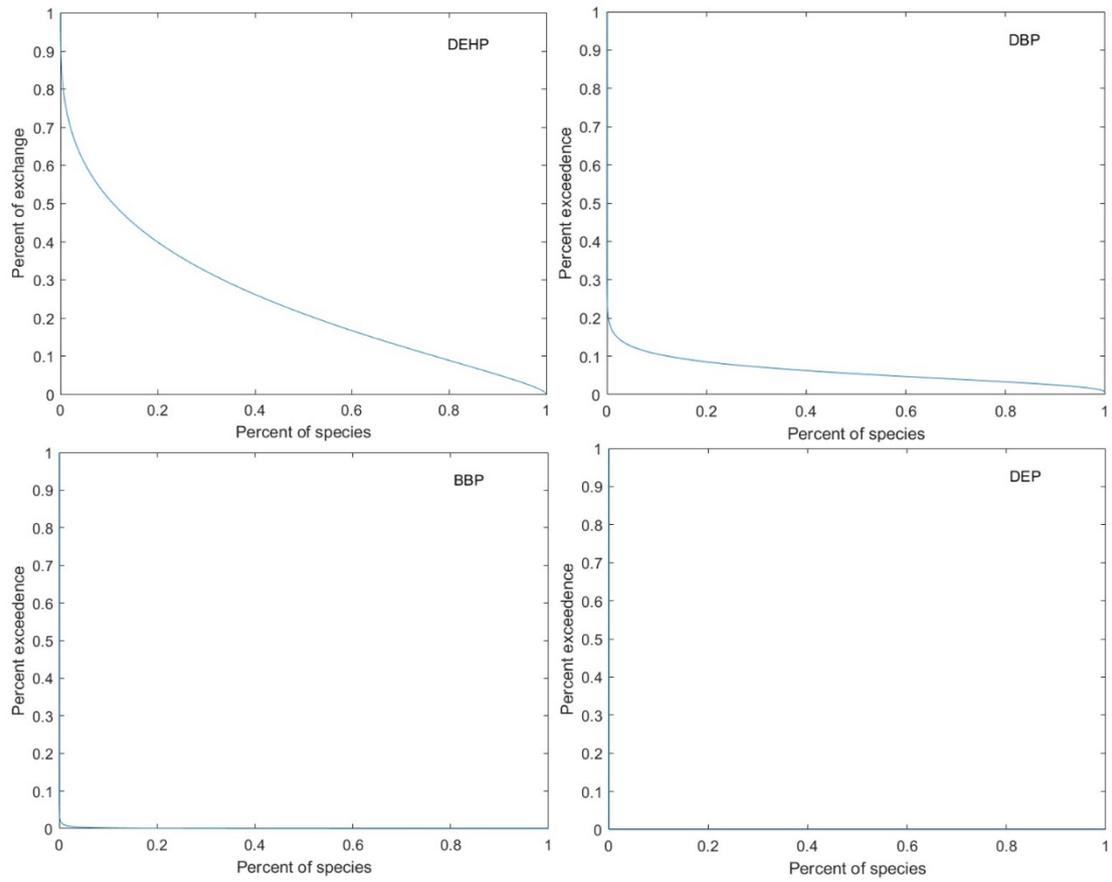
Fig.1 The SSDs for four PAE based on reproductive effects



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Fig.2 The preliminary ecological risks of four PAEs in Liao River Basin



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Fig. 3. Joint probability curves for ecological risk of PAEs in Liao River.