

Manuscript Number: ANTHROPOCENE-D-19-00036R1

Title: Deciphering African tropical forest dynamics in the Anthropocene: how social and historical sciences can elucidate forest cover change and inform forest management

Article Type: VSI: Palaeoecology\_Sustainability

Keywords: Forest ecology; Africa; historical sciences; social sciences; forest management; interdisciplinarity

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Abstract: Forests bear the historical legacies of human activities over thousands of years, including agriculture, trade, disease and resource extraction. Many of these activities may represent indices of the proposed geological epoch of the Anthropocene. Modifications to soil, topography and vegetation evidence anthropogenic influences. Yet studies of vegetation change throughout the humid tropics tend to occlude these by focussing on forest dynamics, timber, and biodiversity through permanent sample plots or forestry inventory plots. We highlight how history and social science can be combined with ecology to help better understand human signatures in forest dynamics. We (1) critically review ecological methods in the light of the environmental and social history of the Afrotropics; (2) map current plot networks for West and Central Africa in relation to the Human Footprint Index; (3) using two case-studies, demonstrate how history and social science bring new insights and inferences to plot-based studies; all leading to (4) novel forms of interdisciplinary collaboration for sustainable forest conservation, management and restoration.

Dear Dr. Chin,

We are delighted to submit a revised version of our paper, entitled, "Deciphering African tropical forest dynamics in the Anthropocene: how social and historical sciences can elucidate forest cover change and inform forest management".

Through careful editing, we have reduced the number of words to under 4,000, as noted in your email. We have also addressed all the comments of the reviewer, as noted in the table.

We look forward to finalising this manuscript for publication.

Best wishes,

Gretchen Walters

### Response to reviewers

| Reviewer comment  | Authors response  |
|---|---|
| Editor's comments   |   |
| <p>Therefore, we would like to invite you to address these minor issues while also conducting a required thorough round of copy-editing.</p> <p>In this regard, attached in EES, please see detailed copy edits of your manuscript through the Introduction as an illustration of what is required. You will see substantial changes in the Abstract. You will also see that the changes economize the word count substantially, as well as turn most sentences in passive form into active tenses. We ask that you continue this type of detailed line-by-line copy-editing, or alternatively seek the assistance of an experienced or professional copy-editor.</p> | <p>This has been done. Please see the tracked changed version.</p>  |
| <p>We would like to see a revised and copy-edited version under 4000 words (text plus abstract).</p>  | <p>The article (text including abstract) now stands at 3,995 words.</p>   |
| Editing of Word version of document by editorial team   |   |
| <p>Please remove reference to "Capitalocene" Not only does this paper not need it, it is not equipped to address this complex issue.</p>  | <p>We removed reference to the "Capitalocene", but maintained the reference to Moore 2015. Line 46, "all markup view". We hope we interpreted this comment correctly.</p> |
| Associate Editor  |   |
| <p>Many thanks for this interesting paper. A relevant paper that is not cited is Aleman, J. C., Jarzyna, M. A., &amp; Staver, A. C. (2018). Forest extent and deforestation in tropical Africa since 1900. Nature ecology &amp; evolution, 2(1), 26.</p>  | <p>This reference has been added, lines 154, 184, 434-435, in the "all markup view".</p>  |
| Reviewer #1   |   |
| <p>List of keywords: Do you think there is any validity in including the word 'deforestation' in your list of keywords?</p>   | <p>The paper does not focus enough on deforestation to merit a key word. It does not appear once in the text (and only once in the references).</p>                       |
| <p>Line 35<br/>Remove one of the 'argues for' in the sentence.</p>  | <p>This has been changed in Line 45, "all markup view"</p>  |
| <p>Line 66<br/>Perhaps you wish to consider adding the word 'to' in the sentence so as to read: "...to understand and quantify human influence on the environment in the recent past and to inform forest management."</p>  | <p>This has been changed in line 80, "all markup view".</p>   |

|  |  |
|--|--|
| <p>Line 73</p> <p>I suspect you meant to write "accessible" and not "assessable". Perhaps I am wrong but you might want to think about this suggestion anyway.</p>   | <p>This has been changed line 88, "all markup view".</p>   |
| <p>Line 82</p> <p>It is not altogether clear that the word 'methods' in this sentence is referring to 'historical methods'. Perhaps you would want to consider writing: "...it increasingly benefits from historical data, historical methods (e.g. Hunter and Sluyter 2015) and ecological collaboration..." Alternatively you could write: "...historical data and methods..."</p> | <p>This has been changed in line 98, "all markup view".</p>  |
| <p>Line 111</p> <p>Change 'HPF' to 'HFP' to read: "The HFP measures the cumulative impact..."</p>  | <p>This has been changed in Line 131, "all markup view".</p>   |
| <p>Finally, you might want to go over the references again just to make sure that you are using the correct journal abbreviations and that the appropriate bits of the reference are italicised as required by the Journal.</p>  | <p>The references have been re checked for consistency, according to the journal's guidelines. In papers which have over 10 co-authors, we used "et al."</p> |

# Deciphering African tropical forest dynamics in the Anthropocene: how social and historical sciences can elucidate forest research and management

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# Deciphering ~~Anthropocene~~-African tropical forest dynamics in the Anthropocene: how social and historical sciences can elucidate forest ~~reserach~~cover change and inform forest management

## Abstract

Forests ~~have been greatly impacted by anthropogenic activities for thousands of years and~~ bear the historical legacies of human activities over thousands of years, including agriculture, trade, disease and resource extraction, ~~amongst others. Many of these activities may represent indices of the proposed geological epoch of the Anthropocene. Depending on where one places the start of the current geological epoch, where people are the primary driver of global environmental change, many of these activities can be understood as indices of the Anthropocene. These influences can be discerned through m~~Modifications to soil, topography and vegetation ~~evidencerecord~~ anthropogenic their influences. Yet, ~~studies of vegetation change~~ throughout the humid tropics, ~~when vegetation change, especially in forests, has been studied~~ havetend to occlude these by focussing on forest dynamics, timber, and biodiversity through permanent sample plots or forestry inventory plots, ~~the focus has been on forest dynamics, timber, and biodiversity, which misses these wider historical legacies. We focus~~ We This paper on the highlights how contribution that the histor~~yical~~ and social sciences can be combined with ecology to can contribute to make to reading the Anthropocene more fullyhelp better understanding the human signatures in through ecological studies ~~of forest dynamics.~~

~~We~~ The novel contribution of this paper is that it associates different disciplines and proposes how their methods and reflections can be coordinated to address common questions of forest legacies in the Anthropocene. In this viewpoint article, ~~we~~ The paper (1) critically reviews ecological methods in the light of ~~the sub-Saharan, tropical African~~ environmental and social history of the Afrotropics; (2) maps current plot networks for West and Central Africa in ~~light of~~relation to the ~~Index of the~~ Human Footprint Index; (3) ~~provide using~~ two case-~~studies~~ (Liberia and Gabon) to demonstrates how history and ~~anthropology social science bring new~~ can enrich the insights and inferences ~~tofrom~~ plot-based studies; and all leading to (4)

suggests novel forms of specific ways that interdisciplinary collaboration ~~for can contribute to ecological~~  
studies and sustainable forest conservation, management and restoration.

## Keywords

Forest ecology, Africa, historical sciences, social sciences, forest management, interdisciplinarity

### 1. Progress in linking past anthropogenic activity to present-day forest structure

The ways ~~that we~~ that tropical forests are studied and conceptualized ~~of conceiving and~~  
~~studying~~ tropical forests ~~has~~ have changed greatly in recent decades, ~~in part thanks to The~~  
~~pioneering~~ works of geographers and anthropologists in the Amazon (e.g. Balée and Erickson 2006)  
and in Africa (e.g. Fairhead and Leach 1996) ~~have partly spearheaded~~ helped bring about these  
changes, ~~which~~ challenging researchers to consider the influences of humans on forest structure  
and biodiversity. In the early 2000s, ~~although~~ ecologists believed that ~~the people~~ least (Foster *et al.*  
2003) ~~had~~ not affected current ecosystem dynamics to a large extent ~~were largely unaffected by~~  
~~the past (Foster et al. 2003);~~ however, it is ~~scholars now increasingly recognised~~ it is now widely  
accepted that past human impacts have not only shaped ecosystems, but also have become a  
geological force – captured in the concept of the “Anthropocene” (e.g., Ellis *et al.* 2013). While  
~~those who proposed the term~~ some argue for a 1950s start to the proposed geologic epoch  
(e.g. Zalasiewicz *et al.* 2010), others ~~argues for~~ (Lewis and Maslin 2015) argue for an earlier ca 1500  
start, which coincides with the beginning of global capitalism (Moore 2015). ~~chiming with Moore’s~~  
~~(2015) idea of the Capitalocene~~. In Africa, these ‘Anthropocene’ impacts include the slave trade,  
colonisation, Atlantic trade, disease epidemics and lifestyles of prehistoric societies (Maddox 2006;  
Kay and Kaplan 2015), often leaving their mark on forest structure and biodiversity hundreds of  
years later.

**Comment [A1]:** Please remove reference to “Capitalocene” Not only does this paper not need it, it is not equipped to address this complex issue.

52 Ecologist P.W. Richards (1952) warned that ~~no ecologists~~ working in the African rain forest should  
53 ~~not~~ ignore ~~the possibility that their study area might have been~~ significantly ~~modification of their~~  
54 ~~study areas ed\_~~ by recent human activity ~~in the recent past (1952)~~. Foresters were aware of the  
55 relationship between cultivation and pioneer forest species (Letouzey 1957); others noted the  
56 importance of understanding forest change in relation to the life span of trees (White and Oates  
57 1999). ~~For example, t~~The forests of Oban, Nigeria, for example, now construed as ‘Old Growth’,  
58 were previously inhabited (Rosevear 1979: 78) based on the evidence of trees ~~that were~~ left by  
59 farmers when the land was depopulated hundreds of years earlier. This example suggests that the  
60 lifespan of a tree, and what occurred during that period, could be important for understanding  
61 forest dynamics (Bourland *et al.* 2015). In some cases, current forest cover, with species of  
62 economic importance to the timber trade, have their origins in ~~the past~~ African societies (Aubréville  
63 1948).

64  
65 Throughout the humid tropics, ecologists have studied forest ecosystems ~~have been studied by~~  
66 ~~ecologists~~ through permanent sample plots (PSPs) (Lopez-Gonzalez *et al.* 2011), ~~(sometimes often~~  
67 ~~brought together in~~through ~~network ed)s\_ that us~~inge common research questions, methodology or  
68 databases (Anderson-Teixeira *et al.* 2015). A few decades ago, PSP research ~~was~~ principally  
69 ~~comprised~~ncerned with community ecology, species diversity, and management (Condit 1995).  
70 Increasingly, researchers use these plots ~~are used~~ to understand changes in carbon and forest  
71 response to climate change (Talbot *et al.* 2014), indicating alternative uses of that these datasets  
72 ~~are useful for other purposes~~. However, these are not the only tropical forests plot networks ~~across~~  
73 ~~tropical forests~~: extensive networks of forest inventory plots (FIPs) also existoccur. In coastal  
74 central Africa, they cover more than 11 million ha (de Wasseige *et al.* 2009). While foresters FIPs  
75 ~~are used by foresters to assess the timber stock~~ with FIPs, ecologists also use PSPs ~~are used by~~



ecologists to study forest ecology and biodiversity. ~~However, t~~hese plots ~~have the~~ potentially can  
~~to~~ answer questions beyond their ecological or forestry remit, however, and so can they address the  
historical and political contexts in which the forests have grown (Robbins 2012). Both types of plots  
can ~~be used for~~ facilitate “Anthropocene” studies to understand and quantify human influence on  
the environment in the recent past and to inform forest management.

Forest ecologists increasingly collaborate with paleo-biologists (Lovejoy and Heinz 2007) to explore  
the legacy of anthropogenic activities on forests from past millennia (Willis *et al.* 2004; Hayashida  
2005). Collaborative research ~~increased collaborations~~ with archaeologists (Iles 2016) suggest  
methodological flaws when that inferences of forest history drawn from plots ~~that~~ do not consider  
the legacies of human history ~~are methodologically flawed~~. Furthermore, PSPs were largely  
established in ~~types of forests that were~~ considered ‘intact’, ‘pristine’, and ‘old growth’, or in  
accessible locations, ~~clumped around assessable accessible areas (e.g. such as~~ research stations)  
(Pitman *et al.* 2011). This first bias ~~enticed led forest~~ ecologists to examine such plots as if they  
were ‘undisturbed’ ~~, to the neglecting of~~ anthropogenic legacies, ~~and t~~he second bias calls into  
questions led them to attempts to generalisation ~~see~~ from plot -based results to the wider landscape  
(Hecht and Saatchi 2007).

Given the transformation of the African environment ~~by events~~ in the last 500 years, such as by the  
Atlantic trade, new collaborations with the social-historical sciences ~~archaeology can be combined~~  
with support other methods to understand elucidate how human activities transformed the forest,  
eliciting new collaborations. Taking the case from land-use research, for example, although ~~it was~~  
originally dominated by remote sensing, ~~it~~ increasingly benefits from historical data, historical  
methods (e.g. Hunter and Sluyter 2015) and ecological collaboration (Watson *et al.* 2014) to

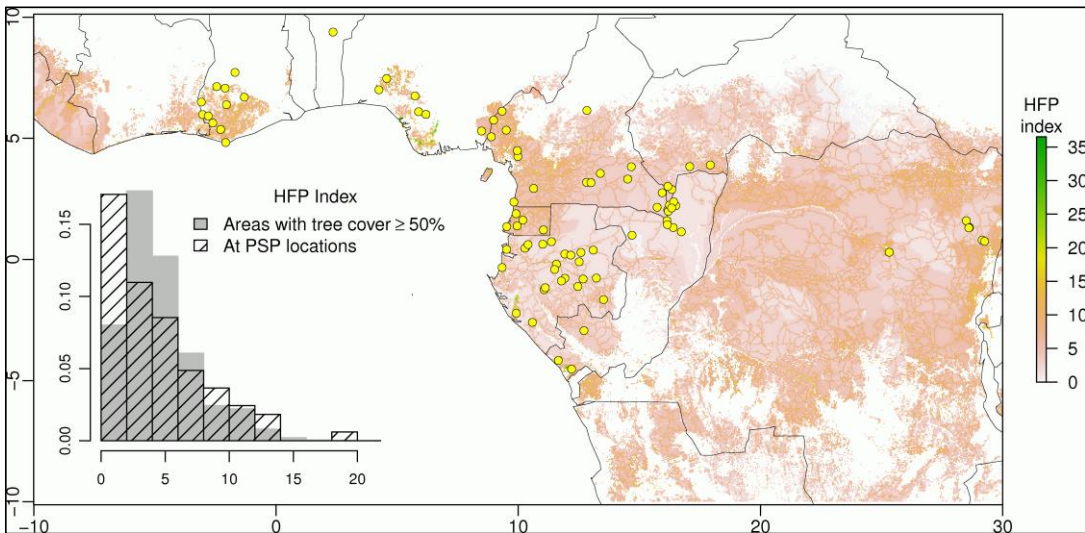
reconstruct land-use, forest change and carbon cycling. Such methods ~~are also applicable to the~~  
~~study of research on~~ forest dynamics, forest management and plots, but require new collaborations  
with social scientists and historians to discern legacies that archaeology is less able to detect, such  
as ~~the~~ political drivers shaping ~~how~~ landscapes ~~were used~~. Although some research drawing on  
plots, paleobiological methods and aerial photography does discern the legacy of recent  
disturbances in the present-day forest structure (e.g Delègue *et al.* 2001; van Gernerden *et al.*  
2003), this often remains ~~minimised or~~ unexplained.

~~In this viewpoint article, we argue. This article highlights how that elucidating forest legacies across~~  
~~plot networks requires~~ new interdisciplinary collaborations amongst ecologists, foresters,  
anthropologists and historians, ~~are required to study forest legacies across plot networks and while~~  
discerning lessons for sustainable management and forest recovery. The ~~novel contribution of this~~  
paper ~~is that it associates different disciplines and proposes shows~~ how different disciplines studies  
can coordinate their methods and reflections of different disciplines can be coordinated to  
address common questions of forest legacies in the 'Anthropocene'. ~~Below, We (1) consider assess~~  
the coverage and methods of PSPs and FIPs in tropical Africa in ~~the~~ light of sub-Saharan, tropical  
African forest history; ~~(2) provide using~~ two case studies, ~~to~~ demonstrate how history and  
anthropology can enrich plot-based studies and inform sustainable forest management; ~~and then~~  
~~(2) suggest how future research can facilitate this type of interdisciplinary collaboration can occur.~~

## 2. Current ecological and forest inventory plot methods

Ecological studies ~~often~~ forest dynamics in tropical Africa mostly rely on PSPs, by monitoringg where  
individual trees ~~are monitored~~ over time (Picard *et al.* 2010). The size of PSPs varies from 100 m<sup>2</sup> to  
500 ha ~~(with one ha is being the most common size)~~. These plots have some methodological and  
interpretation limitations (Sheil 1995). They were established as part of disconnected studies, so

124 | their spatial distribution is uneven and ~~does they do~~ not follow a sampling design that ~~can enables~~  
 125 | statistically significant inferences to be drawn regionally (Picard *et al.* 2010). The balance between  
 126 | known 'disturbed' and presumed 'intact' forests remains uncontrolled, and given that the  
 127 | ecological questions that drove their establishment led to more plots being established in  
 128 | presumed 'intact' locations, there is a 'majestic forest' bias in the data set (Phillips *et al.* 2002). ~~To~~  
 129 | ~~demonstrate this point,~~ Figure 1 details the current distribution of PSPs in western and central  
 130 | Africa rainforests ~~of western and central Africa~~ overlaid with the Human Footprint (HFP) index. The  
 131 | ~~HFP~~ measures the cumulative impact of direct pressures on nature from human activities based on  
 132 | eight spatial variables: extent of built environments, cropland, pastureland, human population  
 133 | density, night-time lights, railways, roads, and navigable waterways.<sup>1</sup>



134 | Fig. 1. Locations of Permanent Sample Plots (PSP) with the Human Footprint (HFP) index in the background.  
 135 | Dots = PSPs. ~~and t~~ The ~~HFP~~ Human Footprint in the background is based on the NASA index. White indicates  
 136 | areas with tree cover < 50%. X-axis of the inset plot ~~= reflects the~~ HFP while y-axis ~~= reflects its~~  
 137 | density of distribution.  
 138 |

<sup>1</sup> This index was developed by WCS, CIESIN and Columbia University, with a publicly ~~accessible~~ data set  
 (<http://dx.doi.org/10.7927/H4M61H5F>).

139 In Figure 1, the HFP index of PSPs is significantly smaller than the average HFP at the regional level,  
140 suggesting that PSPs are not representative of forests at that level, due to their placement in  
141 forests with less human impact, and therefore, should not be used alone to draw conclusions about  
142 forest history. However, ~~forest researcher if FIPs are additionally used~~ FIPs in forest studies, this  
143 ~~bias may be reduced due to~~ their more extensive cover may reduce this bias.

144  
145 FIPs, although more extensive, have other problems. ~~These are u~~Used to estimate ~~the~~ timber  
146 resources s at the scale of a forest concession (~~ranging~~ 50,000-500,000 ha), ~~they and~~ follow sampling  
147 designs. Although ~~some these~~ plots ~~have long been considered to~~may lack rigour, ~~others~~some can  
148 be ~~validly~~ studied- using statistics (Réjou-Méchain *et al.* 2011) and ~~could~~may be used to infer forest  
149 dynamics at larger scales. For example, they can ~~be used to~~ probe globally important questions  
150 concerning forest dynamics in the context of historical social change and land-use, ~~with a view~~ to  
151 learn about ~~social history~~, ecosystem recovery and historic global carbon cycle fluctuations ~~in global~~  
152 ~~carbon cycles~~. Such questions become increasingly important to understanding and quantifying  
153 human influence on the environment in the recent past and to informing sustainable forest use  
154 (Aleman et al. 2018).

155  
156 Comparing estimates of changes in aboveground biomass for forests based on PSPs and FIPs reveals  
157 ~~The significance of these methodological flaws is revealed when comparing estimates of changes~~  
158 ~~in aboveground biomass for forests based on these PSPs and FIPs~~. Using 260 PSPs in African  
159 rainforests, the mean aboveground dry biomass was reported as 395.7 Mg ha<sup>-1</sup> (95% CI: 14.3)  
160 (Lewis *et al.* 2013) whereas others using the same allometric equation ~~as Lewis et al.~~ but drawing  
161 on data from FIPs found much lower levels: ~~ranging from~~ 324 Mg ha<sup>-1</sup> ~~(in Gabon)~~ (Maniatis *et al.*  
162 2011) ~~and~~ 241.7-303.7 Mg ha<sup>-1</sup> ~~(in southern~~ Central African Republic), ~~and to~~ 225.3-235.3 Mg ha<sup>-1</sup>

163 | ~~(in the~~ Republic of Congo) (Gourlet-Fleury *et al.* 2011). Lewis *et al.* (2013) cautiously specified that  
164 | they reported biomass for intact closed-canopy forests, but the ~~scale of such~~ differences suggests  
165 | the significance of non-intact (i.e. disturbed) forests at the landscape level and difficulties in  
166 | interpreting how plot data ~~should be interpreted, especially when plots come~~ from different forest  
167 | types and histories.

168

169 | The forest signal of past anthropogenic activities lies in the size distribution of light-demanding  
170 | (disturbance-prone) tree species. With the exception of monodominant forests, a one-hectare plot  
171 | says little about the size distribution of any species. However, if these signals are analysed across a  
172 | larger area, it becomes easier to understand their origin and extent. ~~However, using structural~~  
173 | ~~changes alone to infer forest changes may turn into a circular reasoning.~~ Historical knowledge is  
174 | ~~also~~ needed to disentangle ~~whether~~ perturbations result~~ing~~ from human activities or other  
175 | influences, such as elephants, which can also ~~have a large~~ impact ~~on~~ forest structure (Blake *et al.*  
176 | 2009).

### 177 | 3. African forest history

178 | African forest history comprises a complex interplay of climatic drivers and land-use changes at  
179 | different timescales (McIntosh *et al.* 2015). Global models of ~~fn~~ historical land-use suggest that  
180 | significant parts of Central and West Africa had increasingly reduced natural forest cover from  
181 | 1,000 AD ~~onwards~~ with an associated carbon loss (Kaplan *et al.* 2011). ~~The periods of modelled The~~  
182 | 'first significant use' of landscapes in West and Central Africa drastically increased from the start of  
183 | the first millennium (Ellis *et al.* 2013), but with significant differences in forest loss and gain from  
184 | the 1900s to present (Aleman *et al.* 2018).

185

186 Trade between Europe and ~~the Afro~~tropics ~~historically has long had an~~ influenced ~~d-on~~ forest  
187 dynamics. ~~It b~~Beginn~~ing an~~ in the fifteenth century, ~~it gradually~~ extend~~ed~~ along the Atlantic coast  
188 and inland, ~~where it encounter~~ing~~ed~~ trade networks ~~and that~~ creat~~ing~~ed social upheavals in West  
189 and Central Africa, ~~changes in governance,~~ and ~~the~~ restructuring ~~of~~ politics and trade routes (e.g.  
190 Coquery-Vidrovitch 1985). -The introduction of new crops (e.g. manioc) ~~drove~~resulted in economic  
191 transformations, while slavery, warfare, and disease epidemics ~~resulted in the~~ depopulat~~ed~~  
192 ~~entire~~ion of areas, ~~that some and cast as~~which Ford (1971: 489) understood as “biological warfare  
193 on a vast scale” (~~Ford 1971: 489~~).

194  
195 In Atlantic Central Africa, peak human population density potentially occurred ~~in~~around the 16<sup>th</sup>  
196 century, after which the population decreased until ~~around~~ the 19<sup>th</sup> century (Oslisly et al. 2013).  
197 During this period, the Atlantic trade alone potentially resulted in a loss of 11 million people from  
198 ~~the continent~~Africa (Maddox 2006). Furthermore, ~~in Africa,~~ the worldwide Spanish influenza  
199 epidemic likely lead to the death of at least 1.5 million people- ~~in Africa,~~ in 1918-1919 (Spinage  
200 2012: 1201–2). This ~~unevene~~ demographic impact, ~~though uneven,~~ left ~~vast tracts of~~ land  
201 depopulated in humid West Africa (Fairhead and Leach 1998) and Central Africa, ~~the latter of~~ which  
202 was accentuated ~~early in the 20<sup>th</sup> century by~~with forced, colonial resettlement along roads ~~in the~~  
203 ~~20<sup>th</sup> century~~ (Gray 2002, Fig. 2). ~~There is~~A ample ~~e~~Evidence from ~~a variety of the observations of~~  
204 ~~early foresters and other~~ sources- ~~indicates~~ that ~~most~~the majority, if not all, of the areas ~~under~~  
205 stud~~ied~~y by PSPs and FIPs in West and Central Africa ~~may have been~~were shaped by these complex  
206 factors, ~~as described by two~~. -The cases below ~~describe such historical landscapes~~.

## 207 4. Evidence from Western and Central Africa

### 208 4.1 Liberia

209 ~~West Africa's~~ The Upper Guinea Forest region ~~of West Africa~~ is a 'hotspot' of global biodiversity  
210 (Poorter *et al.* 2004), threatened by ~~land-use change by~~ logging, rubber and industrial agriculture  
211 (Fairhead and Leach 1998). Liberia ~~comprises holds~~ the greatest area of ~~Upper Guinea~~ this forest,  
212 with 41,238 km<sup>2</sup> or 37.7% of historic forest cover remaining (Poorter *et al.* 2004:6). However,  
213 historical observations and ~~a recent~~ research survey reveal that much of this forest is ~~can be~~  
214 ~~described as~~ 'anthropogenic' or 'domesticated' due to ~~the effects of past and current~~ settlement  
215 and agro-forestry dynamics which have shaped the current forest species compositions ~~s of forests~~  
216 (Fairhead and Leach 1998). This history ~~is has been~~ occluded by ecological studies that assume  
217 forests are 'pristine' without evaluating their plot history even ~~when located~~ in areas of known  
218 anthropogenic influence. Bongers *et al.* (1999), for example, represented plot species composition  
219 as primarily an effect of climatic variation, even when these species distributions are ~~known to~~  
220 ~~be often~~ influenced by anthropogenic processes. ~~(e.g. Cotton [Ceiba pentandra], Kola [Cola nitida],~~  
221 ~~Terminalia ivorensis, Terminalia superba), are. All of these species); all of which are~~ propagated  
222 and managed ~~as useful species~~ by ~~local~~ people in this region (Fairhead and Leach 1996; Bongers *et al.* 1999).

224  
225 ~~Much any~~ of Liberia's forests ~~have been are~~ shaped by past long-term swidden-fallow dynamics,  
226 viz., ~~but are unmanaged and subject to felling.~~ They are embedded with ~~the overgrown~~ sites of  
227 old settlements, ~~which are~~ considered 'sacred' by local peoples due to the presence of certain tree  
228 species (e.g. Cotton, Kola), ancestors and spirits, and therefore often afforded protection from  
229 felling. These 'old town spots' also feature fertile 'African Dark Earths' and are frequently cultivated

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230 as cacao agro-forests (Fraser *et al.* 2016) ~~meriting the term calling them~~. Hence, the most accurate  
 231 ~~descriptor for these spaces are~~ is 'sacred agroforest'.  
 232 A recent survey of 83 localities in ~~four counties~~ (Gbarpolu, Bong, Lofa, Nimba ~~counties~~) near  
 233 forestry concessions, where plots are likely used for management, found at least one sacred  
 234 agroforest at 51 locations, 94 in total (Appendix 1, Fraser *et al.* 2016). At the local ~~scale~~ resolution,  
 235 mapping and transects within a 3km radius (ca. 2,827 ha) of a settlement ~~found~~ demonstrated 18.6  
 236 ~~ha of showed that~~ sacred agroforests ~~cover 18.6 ha of the landscape~~, with ~~the majority of adjacent~~  
 237 ~~areas most of the rest of the vicinity~~ covered in ~~variously aged~~ ~~greed of~~ fallow vegetation ~~of~~  
 238 ~~various ages~~ (Diabate pers. comm.). In comparing transects in sacred agroforests and secondary  
 239 forest, ~~it found that~~ sacred agroforest increases biodiversity at the landscape level due to differing  
 240 species composition, ~~in particular~~ in both ~~mature~~ canopy species and seedlings. This ~~study failed to~~  
 241 ~~find~~ study tried and failed to find old growth forest ~~areas within and beyond~~ in this area (see Fraser  
 242 *et al.* 2016: "Baema", Figure 1, Appendix 2). ~~This~~ Observations during fieldwork indicate this pattern  
 243 ~~appears to be~~ is typical of NW Liberia ~~with~~ ~~M~~ major historical disturbance ~~is~~ also ~~occured~~ occurred  
 244 ~~attested~~ in neighbouring settlements. ~~by~~ ~~t~~ The diaries of two African American explorers, George L.  
 245 Seymour and Benjamin J. K. Anderson, who ~~passed through~~ traversed the ~~study~~ area in 1858. ~~They~~  
 246 reported, 'it is common to see a hundred-acre farm in one cutting' (Fairhead *et al.* 2003). ~~A~~ and  
 247 ~~that~~ 'Standing upon an elevation, it seemed to me that the people had attempted to cover the  
 248 whole country with their rice fields... Only here and there could be seen patches of large forest trees.'  
 249 (Fairhead *et al.* 2003:190-191).  
 250  
 251 ~~Early foresters recognised t~~ The anthropogenic landscapes that emerged ~~from~~ through such  
 252 processes over time ~~were recognized by early foresters~~. In the 1940's, forester Karl Meyer walked  
 253 2,300 km through Liberia's forests observing that *'abandoned villages are, in some sections, very*

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254 common,' and characterised Liberia as an 'over-used worn-out country of great antiquity' wherein  
 255 and areas 'with no signs of occupancy 'during recent centuries are few and scattered'(Mayer  
 256 1951:25).- Hence, today's- 'natural' forest is composed of secondary forest, historically disturbed  
 257 through shifting cultivation, but with largely unmanaged succession (Fairhead and Leach 1998),  
 258 dotted with sacred agroforests (Fraser et al. 2016, Appendix 1).

259

260 In 2006, Liberia revised its national forestry law, to promote sustainable forest management. In  
 261 2009, the Community Rights Law was enacted, empowering, seeking to "empower communities to  
 262 engage in sustainable forest management on their lands". That same year, industrial logging  
 263 companies were granted, 25% of Liberia's forests, yet were granted to industrial logging  
 264 companies, with although the implementation of the forestry laws remainings difficult (Altman et  
 265 al. 2012; O'Mahoney 2019). Liberia's forests have a dynamic history, and settlement patterns have  
 266 led to the formation of African Dark Earths, which are associated with different canopy tree species  
 267 to background soils, aggregating agrobiodiversity at the landscape scale (Fraser et al. 2016).  
 268 Based on this case, the following We make the following management recommendations: are  
 269 made. First, the government largely does not recognise the environmental history of Liberian  
 270 forests is largely unrecognised by the government. This encourages viewings of the forests as a  
 271 resource stock of resources rather than a cultural artefact. So it is important that the linkages  
 272 between extant forest peoples, such as the Loma and their ancestors who created these forests  
 273 (Fraser et al 2015), are grounded-recognised in representations of forests by how the government,  
 274 media, and in school curricula the representation of forests by the government, media, and  
 275 curricula, and internationally. Second, if the two abovementioned new laws are not need to be  
 276 implemented, if not enforced, then the descendants of the peoples who created these forests will  
 277 lose their tenuriale rights over the agrobiodiversity created by their ancestors. An awareness

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278 campaign ~~should must~~is necessary to ensure that people know their tenure rights. Together these  
279 ~~two~~ interventions, ~~the first~~ about raising historical awareness ~~and~~, ~~the second about raising~~ legal  
280 awareness, ~~- could could~~ empower citizens to conserve the landscapes that are also their cultural  
281 heritage.

282

#### 283 4.2 Gabon

284 In Gabon, ~~like in the rest of the Congo Basin,~~ archaeology, palynology, diatoms, phytoliths, and tree  
285 ~~population genetics have partially reconstructed trends in partial~~ forest history ~~have been~~  
286 ~~reconstructed from archaeology, palynology, diatoms, and phytoliths, and tree population genetics~~  
287 (Brncic *et al.* 2007; Piñeiro *et al.* 2017). However, history ~~and linguistics~~ical disciplines also explain  
288 ~~the interactions of how~~ people and forests ~~have interacted~~ over time (Vansina 1990), including ~~how~~  
289 ~~societal~~ changes ~~in societies~~ impact ~~ings~~ vegetation structure (Walters 2012). ~~A One of the~~  
290 dominant, ~~sub-endemic~~ timber ~~species trees to, and a sub-endemic species to~~ Gabon, Okoume  
291 (*Aucoumea klaineana*), colonizes slash-and-burn openings, ~~a phenomenon~~ which forester  
292 Aubréville described as “Okoume being the son of manioc” (Aubréville 1948). Once mature, large  
293 stands indicate the presence of past villages (Biraud 1959). However, ~~disease, brought by trade and~~  
294 ~~colonial rule severely impacted affected~~ village placement and human demography ~~was severely~~  
295 ~~impacted by disease, brought by trade and colonial rule~~ (Headrick 1990, Chamberlin 1977, Gray  
296 2002), resulting in changes to forest composition.

297

298 ~~Oral histories Work in from the Parc National de Waka (PNW) area in Ccentral Gabon~~ near PSPs  
299 (Balinga *et al.* 2006), ~~using oral histories from the Parc National de Waka (PNW) area~~ (Hymas 2016)  
300 found that ~~the~~ current stands of Okoume ~~originated are due to from~~ colonial concession plantation  
301 agriculture historic, concessionary agriculture plantations that supplied the workers of historic

concessions ~~during from~~ the late-1800s to mid-1900s. These forests are ~~a result of complex~~  
~~events~~ the outcome of complex historical trajectories. I-nter-ethnic conflict over natural resources  
~~that led to the depopulation of~~ the area in the early 1800s; ~~due to inter-ethnic conflict over~~  
~~natural resources. This area was r-it was~~ repopulated in the late 1800s ~~through~~ due to trade  
 concessions, ~~and but was once again then again~~ depopulated in the 1920s ~~by~~ due to trade-induced  
 disease, and further depopulated in 1960 ~~by~~ due to the the governmental resettlement policy (Fig.  
 2). ~~in~~ In the 1960s, logging companies were attracted to the large Okoume stands, which resulted in  
~~This area was again~~ repopulation by workers ~~as people migrated to work with logging~~  
~~companies who were attracted to the large Okoume stands in the 1960s. In 2003, T~~ the PNW was  
~~later~~ created to protect elephant populations (WCS, 2007), ~~which are~~ attracted to abandoned  
 village sites, ~~typically~~ rich in planted fruit trees (Barnes *et al.* 1991).

In another case, using the FIPs of a forestry concession in the Haut-Abanga, Engone Obiang *et al.*  
 (2014) diagnosed 'old, naturally-declining' populations of Okoume through a tree diameter  
~~analysis of tree diameters~~. The modal diameter of Okoume ~~was~~ was 50-60 cm, ~~and~~  
~~corresponding to 60-70 year old~~ 70-year-old trees ~~aged 60-70 years. This age~~ The recent history  
~~of the Haut-Abanga coincided with this structure. The~~ In collaboration with an anthropologist  
~~researching and in consulting the~~ historical literature, ~~it was found that~~ found ~~showed that the e~~  
~~area was found to be~~ was a former n-old once a communication ~~corridor~~ corridor that. ~~The corridor~~  
~~lost its~~ this role ~~with~~ when the establishment of the modern road network ~~was established~~. The  
~~area, river banks~~ was ~~ere~~ populated until the 1940s, ~~but by the 1950s,~~ became almost empty ~~due~~  
in the 1950s due to the resettlement policy (Peyrot 2008), Fig. 2.

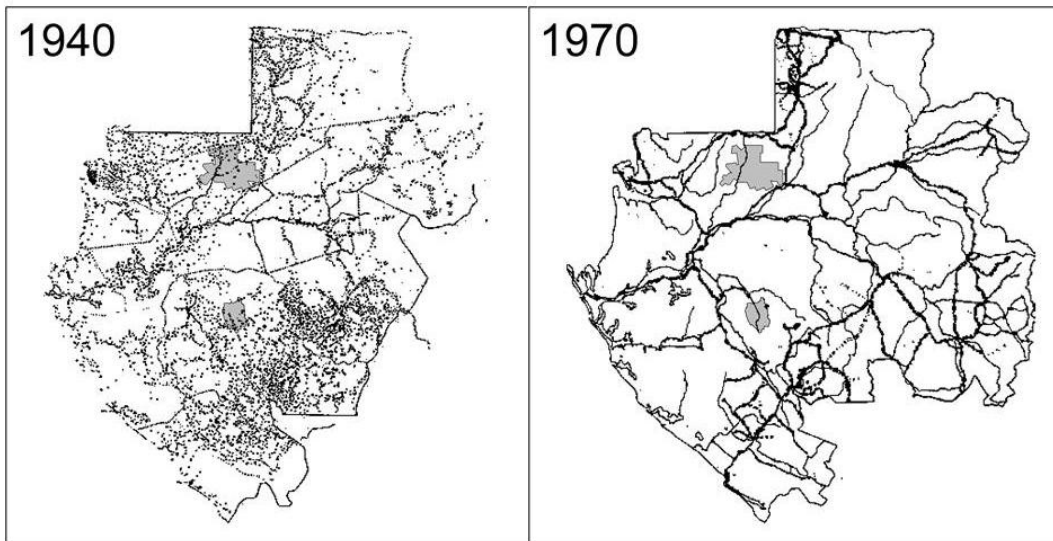


Figure 2. Population distribution of Gabon in 1940 prior to the resettlement policy and in 1970, afterwards (adapted from Sautter 1966), showing the Haut Abanga Concession in the north, and PNW in the center.

Okoume is Gabon's the most important timber species ~~in Gabon~~ but other commercial species are fast-growing and light-demanding, species too. For such species, ~~the~~ current stocks are often ~~is~~ the legacy of past disturbances, such as slash-and-burn cultivation ~~around villages, that once created favourable conditions~~. Hence, forest history plays a role in determining their distribution.

Nonetheless, ~~the~~ current ~~scheme for~~ sustainable forest management ~~implicitly~~ relies on the hypothesis of demography at equilibrium, where young trees continuously replace large ones.

Sustainability is assessed through the recovery rate of the species at the end of the felling cycle.

When the recovery rate becomes too low, forest managers ~~a common management action is to~~ ~~often~~ increase the minimum size of felled trees. Although this measure may have short-term success in maintaining species stock, it does not acknowledge the role of history in determining shaping it. As a result, these stocks may decline, with having ~~This decline has~~ both environmental and economic consequences, bringing and so, thus questioning the concept of 'sustainable forest management' into question (Karsenty 2018a).

341

342 To address unsustainable forest management, in 2018, Gabon announced that all forest  
343 concessions must become certified by the Forest Stewardship Council (FSC) standard by 2022.

344 ~~Requiring~~ ~~the standard goes beyond the issue of sustainable stocks (Karsenty 2018b), but its~~  
345 ~~implementation has often been problematic (Nepomuceno et al 2019). However, it is unlikely that~~  
346 ~~“sustainable stocks” that this latter issue will be solved can be achieved~~ without considering their  
347 historical origin ~~of the stocks. Forest management plans must factor in This~~ historical dimensions ~~of~~  
348 ~~stocks should be diagnosed in forest management plans, which is currently not the case, and when~~  
349 ~~natural recovery is no longer possible,~~ silvicultural techniques, including planting, should be  
350 proposed ~~when natural recovery is no longer possible.~~

351

## 352 5. Interdisciplinary collaboration to understand tropical African tropical forests in the 353 Anthropocene

354 Today's West and Central Africa forests ~~in West and Central Africa~~ provide an archive of the slave  
355 trade, ~~its~~ conflicts, diseases and depopulations that left farm and village lands abandoned.  
356 Attempts to read ~~forest~~ their composition and dynamics only through the lens of 'natural history'  
357 and 'climate change' overlooks this history and the associated meaning for those who live there,  
358 and reduces the ability to manage these legacy forests.

359

360 PSPs and FIPs in ~~the Afr~~ tropics ~~can~~ provide a research lens ~~not only~~ into both 'forest ecology'  
361 ~~and but also into how past the environmental has responded to past~~ change. This requires ~~that we~~  
362 ask ing a wider range of questions of these plots, ~~questions that must be~~ collaboratively  
363 researched using ecologically and socio-historically focused inquiry. To ask such questions, ~~these~~  
364 ~~require~~ new forms ways of research ~~are required~~ ing them, but in association combining with

different ~~disciplines-disciplines that use~~that have different~~diverse~~ lexicons, timeframes,  
epistemologies and methodologies ~~is one of, some of~~ the biggest challenges of achieving  
interdisciplinary research (Lele and Kurian 2011). ~~In our case, I~~ interdisciplinary research programs  
~~must need to~~ be developed ~~whereby an~~ with an integrated methodology ~~can bring~~  
~~together combining relevant~~ data from archaeology and history (~~working with~~ artefacts and texts),  
anthropology ~~and~~, political and historical ecology, ~~with and~~ ecology to understand~~interpret~~ forest  
~~diversity~~ patterns. These ~~interdisciplinary~~ initiatives, require researchers to “share the conceptual  
world of their colleagues”, ~~and openly discussing how to approaching the research~~ beyond  
disciplinary boundaries (Darbellay 2015:-167).

~~In achieving~~To do this, ~~it is imperative to a~~ recognition that ~~the ecology of the people have~~  
~~recently impacted~~shape forests ~~hasve been recently impacted by people is necessary, as~~  
~~demonstrated in the Gabon case. Thinking in terms of social-ecological and biocultural systems~~  
~~Employing framings such as a systems approach (c.f. Fischer 2018), including social ecological and~~  
~~biocultural systems, will can~~ demonstrate society--environment interconnections, ~~between society~~  
~~and the environment. This then can leading~~ to discussions on how to collaboratively study these  
impacts collaboratively can be collaboratively studied. As set out by pPolitical ecologist Paul  
Robbins (2012) proposes that, links between ~~these socio-al and~~ political forces and can be made to  
~~the following~~ ecological characteristics can be made including: type and direction of  
environmental change, drivers of ~~that change (including keystone processes, —colonisation~~  
~~patterns or cultural processes which have largescale changes on the landscape (Marcucci 2000))~~<sup>2</sup>,  
the environment in which ~~these~~ changes occur, the impact of ~~how~~ cultural practices impact on the

---

<sup>2</sup> ~~Including keystone processes, colonisation patterns or cultural processes which have largescale changes (Marcucci 2000).~~

387 system<sup>2</sup> (sensu Maraccuci 2000), and how ~~it-the-system~~ recovers. The final step can ~~include~~, as  
388 noted in the Liberia case, explicitly ~~lyly~~ linking historical forests to current forest usage and land claims  
389 ~~on these forests~~.  
390 In the case of PSPs, synthesising ~~the~~ existing historical and archaeological research for each plot and  
391 linking to specific periods, can ~~show explore~~ how present-day forest structure and diversity ~~these~~  
392 are linked to disturbances ~~may be linked to present-day forest structure and diversity~~ (Fairhead,  
393 unpublished data). ~~In the case of~~ When studying ~~these~~ impacts through FIPs, documenting the  
394 species most susceptible to disturbance, as ~~done~~ in the Gabon case, is a first step. ~~The~~ places  
395 where these signals are strongest (e.g. mono-dominant forests), can then be explored. By providing  
396 a view on how ecological patterns and processes have reacted in the past to environmental  
397 changes, historical ecology can inform how ecosystem-forest structure and process may respond to  
398 future ~~global~~ change (Safford *et al.* 2012).

399  
400 Such collaborations will also ~~help discern~~ inform the sustainability of current forest management  
401 still rooted in equilibria paradigms despite historical ~~and forestry~~ evidence ~~that question this~~  
402 ~~rationale~~ (Morin-Rivat *et al.* 2017). Some forest managers ~~today~~ are aware that the current  
403 management of some ~~commercial~~ species is not sustainable because the current exploitable stock  
404 is a legacy of past human perturbations ~~that have favoured~~ light-demanding species (Morin-  
405 Rivat *et al.* 2016). However, ~~including~~ a historical perspective on the current structure of  
406 commercial species would offer a stronger basis for sustainable management. In both case-~~studies~~,  
407 ~~the~~ forest history and species ~~the responses of species~~ are different, and each country has different  
408 sustainable forest management strategies. However, neither country's policies acknowledge the

---

<sup>2</sup> ~~Including keystone processes, colonisation patterns or cultural processes which have largescale changes (Maruccci 2000).~~

409 impact that history has on timber stocks, ~~nor and therefore does not~~ address if and how to  
410 maintain and manage these stocks. Furthermore, neither recognises forests as historical and  
411 cultural products of people living there today.

412

413 In this paper, we reviewed limitations of plot-based research in light of Afrotropical history, which  
414 heavily influenced the history of these forests, compared the usage of PSPs and FIPs, reviewed the  
415 limitations of plot-based research methods and their limitations in the light of sub-Saharan, tropical  
416 African environmentalAfrotropical history, and provided cases ~~to demonstrat~~inge how  
417 interdisciplinary research collaborations ~~between the social and historical sciences~~ can enrich ~~the~~  
418 ~~conclusions from~~ plot-based studies. We propose ~~that~~ future work focus on using existing plot  
419 networks to research new questions, in collaboration with historians and social scientists. ~~The~~  
420 lack of ~~such a~~ historical perspectives s on forests will limit ~~finding ways to address~~ing sustainability  
421 (Roberts *et al.* 2018, this issue). However, new collaborations will not only help deepen conclusions  
422 from forest ecology studies of these forests, but also influence study design and management  
423 options, ~~as demonstrated in the two cases.~~  
424 ~~We have shown that Without interdisciplinary collaborations, conclusions from studies may be~~  
425 ~~limited (Cadotte et al. 2017). hHumans have heavily influencedd tThe history of these forests has~~  
426 ~~been heavily influenced by humans (Lewis et al. 2015) and argued that iInterdisciplinary~~  
427 collaboration is one way to explore how the forests s has been impacted have been shaped during  
428 the 'Anthropocene. This new view of forests suggests that 'We provided a novel definition of and  
429 to define sustainable management and conservation strategies, whereby some tree species may  
430 require new formsways of management and; ~~and~~ some forests may deserve new recognition as  
431 cultural landscapes ~~worth conserving.~~

432



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# **Deciphering African tropical forest dynamics in the Anthropocene: how social and historical sciences can elucidate forest research management**

## **Abstract**

Forests bear the historical legacies of human activities over thousands of years, including agriculture, trade, disease and resource extraction. Many of these activities may represent indices of the proposed geological epoch of the Anthropocene. Modifications to soil, topography and vegetation evidence anthropogenic influences. Yet studies of vegetation change throughout the humid tropics tend to occlude these by focussing on forest dynamics, timber, and biodiversity through permanent sample plots or forestry inventory plots. We highlight how history and social science can be combined with ecology to help better understand human signatures in forest dynamics. We (1) critically review ecological methods in the light of the environmental and social history of the Afrotropics; (2) map current plot networks for West and Central Africa in relation to the Human Footprint Index; (3) using two case-studies, demonstrate how history and social science bring new insights and inferences to plot-based studies; all leading to (4) novel forms of interdisciplinary collaboration for sustainable forest conservation, management and restoration.

## **Keywords**

Forest ecology, Africa, historical sciences, social sciences, forest management, interdisciplinarity

## **1. Progress in linking past anthropogenic activity to present-day forest structure**

The ways that tropical forests are studied and conceptualized have changed greatly in recent decades. The work of geographers and anthropologists in the Amazon (e.g. Balée and Erickson 2006) and in Africa (e.g. Fairhead and Leach 1996) have helped bring about these changes, challenging researchers to consider the influences of humans on forest structure and biodiversity. In the early 2000s, ecologists believed that people (Foster *et al.* 2003) had not affected current ecosystem dynamics to a large extent; however, it is now widely accepted that past human impacts

27 have not only shaped ecosystems, but also have become a geological force – captured in the  
28 concept of the “Anthropocene” (e.g., Ellis *et al.* 2013). While some argue for a 1950s start to the  
29 proposed geologic epoch (e.g. Zalasiewicz *et al.* 2010), others (Lewis and Maslin 2015) argue for an  
30 earlier ca 1500 start, which coincides with the beginning of global capitalism (Moore 2015). In  
31 Africa, these ‘Anthropocene’ impacts include the slave trade, colonisation, Atlantic trade, disease  
32 epidemics and lifestyles of prehistoric societies (Maddox 2006; Kay and Kaplan 2015), often leaving  
33 their mark on forest structure and biodiversity hundreds of years later.

34 Ecologist P.W. Richards (1952) warned that ecologists working in the African rain forest should not  
35 ignore significant modification of their study areas by recent human activity. Foresters were aware  
36 of the relationship between cultivation and pioneer forest species (Letouzey 1957); others noted  
37 the importance of understanding forest change in relation to the life span of trees (White and  
38 Oates 1999). The forests of Oban, Nigeria, for example, now construed as ‘Old Growth’, were  
39 previously inhabited (Rosevear 1979: 78) based on the evidence of trees left by farmers when the  
40 land was depopulated hundreds of years earlier. This example suggests that the lifespan of a tree,  
41 and what occurred during that period, could be important for understanding forest dynamics  
42 (Bourland *et al.* 2015). In some cases, current forest cover, with species of economic importance to  
43 the timber trade, have their origins in past African societies (Aubréville 1948).

44

45 Throughout the humid tropics, ecologists have studied forest ecosystems through permanent  
46 sample plots (PSPs) (Lopez-Gonzalez *et al.* 2011), (often networked), using common research  
47 questions, methodology or databases (Anderson-Teixeira *et al.* 2015). A few decades ago, PSP  
48 research principally comprised community ecology, species diversity, and management (Condit  
49 1995). Increasingly, researchers use these plots to understand changes in carbon and forest  
50 response to climate change (Talbot *et al.* 2014), indicating alternative uses of these datasets.

51 However, these are not the only tropical forest plot networks: extensive networks of forest  
52 inventory plots (FIPs) also exist. In coastal central Africa, they cover more than 11 million ha (de  
53 Wasseige *et al.* 2009). While foresters assess timber stock with FIPs, ecologists also use PSPs to  
54 study forest ecology and biodiversity. These plots potentially can answer questions beyond their  
55 ecological or forestry remit, and so can address the historical and political contexts in which the  
56 forests have grown (Robbins 2012). Both types of plots can facilitate Anthropocene studies to  
57 understand and quantify human influence on the environment in the recent past and to inform  
58 forest management.

59

60 Forest ecologists increasingly collaborate with paleo-biologists (Lovejoy and Heinz 2007) to explore  
61 the legacy of anthropogenic activities on forests from past millennia (Willis *et al.* 2004; Hayashida  
62 2005). Collaborative research with archaeologists (Iles 2016) suggest methodological flaws when  
63 plots do not consider the legacies of human history. Furthermore, PSPs were largely established in  
64 forests considered 'intact', 'pristine', and 'old growth', or in accessible locations (e.g. research  
65 stations) (Pitman *et al.* 2011). This first bias led ecologists to examine such plots as if they were  
66 'undisturbed', neglecting anthropogenic legacies. The second bias led them to generalise from plot-  
67 based results to the wider landscape (Hecht and Saatchi 2007).

68

69 Given the transformation of the African environment in the last 500 years, such as by the Atlantic  
70 trade, new collaborations with the social-historical sciences can elucidate how human activities  
71 transformed the forest. Land-use research, for example, although originally dominated by remote  
72 sensing, increasingly benefits from historical data, historical methods (e.g. Hunter and Sluyter 2015)  
73 and ecological collaboration (Watson *et al.* 2014) to reconstruct land-use, forest change and carbon  
74 cycling. Such methods also apply to research on forest dynamics, forest management and plots, but

75 require new collaborations with social scientists and historians to discern legacies that archaeology  
76 is less able to detect, such as political drivers shaping landscapes. Although some research drawing  
77 on plots, paleobiological methods and aerial photography does discern the legacy of recent  
78 disturbances in the present-day forest structure (e.g Delègue *et al.* 2001; van Gernerden *et al.*  
79 2003), this often remains unexplained.

80

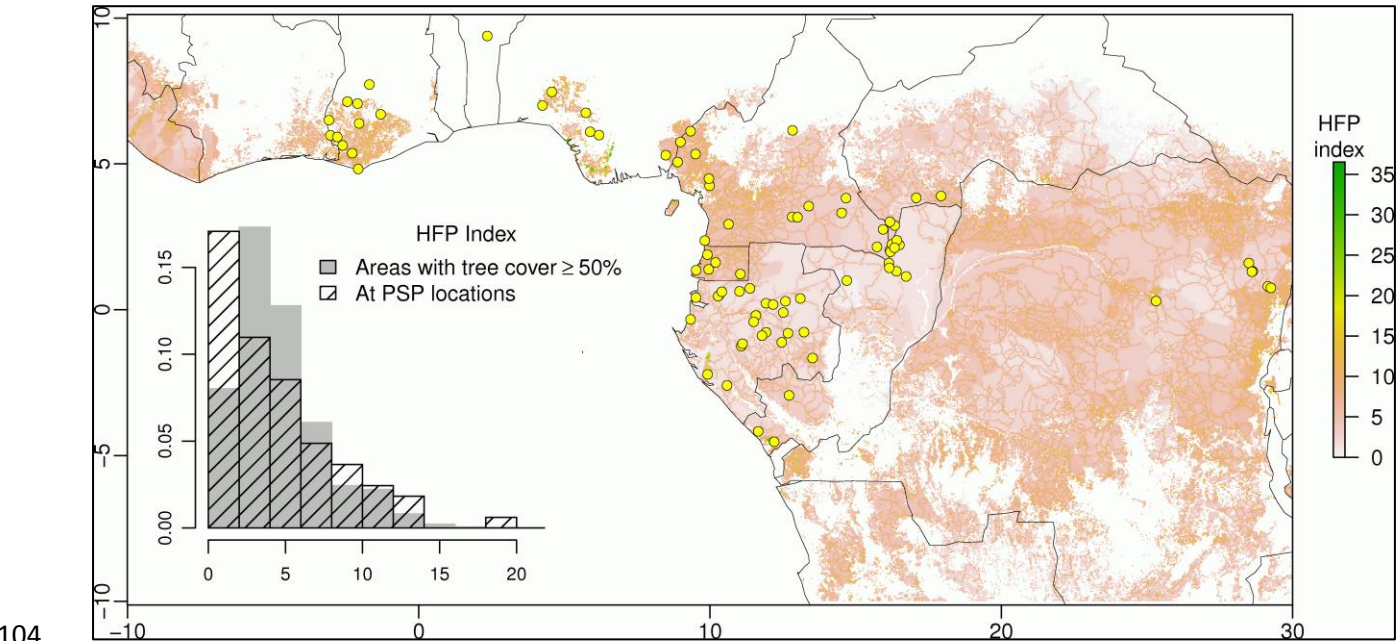
81 This article highlights how elucidating forest legacies across plot networks requires new  
82 interdisciplinary collaborations amongst ecologists, foresters, anthropologists and historians, while  
83 discerning lessons for sustainable management and forest recovery. The paper shows how different  
84 disciplines can coordinate methods and reflections to address common questions of forest legacies  
85 in the 'Anthropocene'. Below, we (1) assess the coverage and methods of PSPs and FIPs in tropical  
86 Africa in light of sub-Saharan, tropical African forest history; (2) using two case studies,  
87 demonstrate how history and anthropology can enrich plot-based studies and inform sustainable  
88 forest management; and (2) suggest how future research can facilitate this type of interdisciplinary  
89 collaboration.

## 90 **2. Current ecological and forest inventory plot methods**

91 Ecological studies of forest dynamics in tropical Africa mostly rely on PSPs, by monitoring individual  
92 trees over time (Picard *et al.* 2010). The size of PSPs varies from 100 m<sup>2</sup> to 500 ha (one ha is the  
93 most common size). These plots have some methodological and interpretation limitations (Sheil  
94 1995). They were established as part of disconnected studies, so their spatial distribution is uneven  
95 and does not follow a sampling design that enables statistically significant inferences to be drawn  
96 regionally (Picard *et al.* 2010). The balance between known 'disturbed' and presumed 'intact'  
97 forests remains uncontrolled, and given that the ecological questions that drove their  
98 establishment led to more plots being established in presumed 'intact' locations, there is a



99 'majestic forest' bias in the data set (Phillips *et al.* 2002). Figure 1 details the current distribution of  
 100 PSPs in western and central Africa rainforests overlaid with the Human Footprint (HFP) index. The  
 101 HFP measures the cumulative impact of direct pressures on nature from human activities based on  
 102 eight spatial variables: extent of built environments, cropland, pastureland, human population  
 103 density, night-time lights, railways, roads, and navigable waterways.<sup>1</sup>



104 Fig. 1. Locations of Permanent Sample Plots (PSP) with the Human Footprint (HFP) index in the background.  
 105 Dots = PSPs. The HFP in the background is based on the NASA index. White indicates areas with tree cover <  
 106 50%. X-axis of the inset plot = HFP while y-axis = density of distribution.  
 107

108 In Figure 1, the HFP index of PSPs is significantly smaller than the average HFP at the regional level,  
 109 suggesting that PSPs are not representative of forests at that level, due to their placement in  
 110 forests with less human impact, and therefore, should not be used alone to draw conclusions about  
 111 forest history. However, forest researcher additionally use FIPs, their more extensive cover may  
 112 reduce this bias.

113  
 114 FIPs, although more extensive, have other problems. Used to estimate timber resources at the scale

<sup>1</sup> This index was developed by WCS, CIESIN and Columbia University, with a publicly-accessible data set (<http://dx.doi.org/10.7927/H4M61H5F>).

115 of a forest concession (50,000-500,000 ha), they follow sampling designs. Although some plots may  
116 lack rigour, others can be studied using statistics (Réjou-Méchain *et al.* 2011) and could be used to  
117 infer forest dynamics at larger scales. For example, they can probe globally important questions  
118 concerning forest dynamics in the context of historical social change and land-use, to learn about  
119 ecosystem recovery and historic global carbon cycle fluctuations. Such questions become  
120 increasingly important to understand and quantify human influence on the environment in the  
121 recent past and to inform sustainable forest use (Aleman *et al.* 2018).

122

123 Comparing estimates of changes in aboveground biomass for forests based on PSPs and FIPs reveals  
124 the significance of these methodological flaws. Using 260 PSPs in African rainforests, the mean  
125 aboveground dry biomass was reported as 395.7 Mg ha<sup>-1</sup> (95% CI: 14.3) (Lewis *et al.* 2013) whereas  
126 others using the same allometric equation but drawing on data from FIPs found much lower levels:  
127 324 Mg ha<sup>-1</sup> (Gabon) (Maniatis *et al.* 2011) , 241.7-303.7 Mg ha<sup>-1</sup> (Central African Republic), and  
128 225.3-235.3 Mg ha<sup>-1</sup> (Republic of Congo) (Gourlet-Fleury *et al.* 2011). Lewis *et al.* (2013) cautiously  
129 specified that they reported biomass for intact closed-canopy forests, but the differences suggest  
130 the significance of non-intact (i.e. disturbed) forests at the landscape level and difficulties in  
131 interpreting plot data from different forest types and histories.

132

133 The forest signal of past anthropogenic activities lies in the size distribution of light-demanding  
134 (disturbance-prone) tree species. With the exception of monodominant forests, a one-hectare plot  
135 says little about the size distribution of any species. However, if these signals are analysed across a  
136 larger area, it becomes easier to understand their origin and extent. Historical knowledge is  
137 needed to disentangle perturbations resulting from human activities or other influences, such as  
138 elephants, which can also impact forest structure (Blake *et al.* 2009).

### 3. African forest history

African forest history comprises a complex interplay of climatic drivers and land-use changes at different timescales (McIntosh *et al.* 2015). Global models of historical land-use suggest that significant parts of Central and West Africa had increasingly reduced natural forest cover from 1,000 AD with an associated carbon loss (Kaplan *et al.* 2011). The ‘first significant use’ of landscapes in West and Central Africa drastically increased from the start of the first millennium (Ellis *et al.* 2013), but with significant differences in forest loss and gain from the 1900s to present (Aleman *et al.* 2018).

Trade between Europe and the Afrotropics historically influenced forest dynamics. Beginning in the fifteenth century, it extended along the Atlantic coast and inland, encountering trade networks and creating social upheavals in West and Central Africa, and restructuring polities and trade routes (e.g. Coquery-Vidrovitch 1985). The introduction of new crops (e.g. manioc) drove economic transformations, while slavery, warfare, and disease epidemics depopulated entire areas, which Ford (1971: 489) understood as “biological warfare on a vast scale”

In Atlantic Central Africa, peak human population density potentially occurred in the 16<sup>th</sup> century, after which the population decreased until the 19<sup>th</sup> century (Oslisly *et al.* 2013). During this period, the Atlantic trade alone potentially resulted in a loss of 11 million people from Africa (Maddox 2006). Furthermore, the worldwide Spanish influenza epidemic likely led to the death of at least 1.5 million people in Africa, in 1918-1919 (Spinage 2012: 1201–2). This uneven demographic impact left land depopulated in humid West Africa (Fairhead and Leach 1998) and Central Africa, the latter of which was accentuated by forced, colonial resettlement along roads in the 20<sup>th</sup> century (Gray 2002, Fig. 2). Evidence from a variety of sources indicates that most of the areas studied by PSPs

163 and FIPs in West and Central Africa were shaped by these complex factors, as described by two  
164 cases below.

#### 165 4. Evidence from Western and Central Africa

##### 166 4.1 Liberia

167 West Africa's Upper Guinea Forest region is a 'hotspot' of global biodiversity (Poorter *et al.* 2004),  
168 threatened by logging, rubber and industrial agriculture (Fairhead and Leach 1998). Liberia holds  
169 the greatest area of this forest, with 41,238 km<sup>2</sup> or 37.7% of historic forest cover remaining  
170 (Poorter *et al.* 2004:6). However, historical observations and recent research reveal that much of  
171 this forest is 'anthropogenic' or 'domesticated' due to settlement and agro-forestry dynamics  
172 which have shaped the current forest species composition (Fairhead and Leach 1998). This history  
173 is occluded by ecological studies that assume forests are 'pristine' without evaluating their history  
174 even in areas of known anthropogenic influence. Bongers *et al.* (1999), for example, represented  
175 plot species composition as primarily an effect of climatic variation, even when these species  
176 distributions are often influenced by anthropogenic processes. Cotton [*Ceiba pentandra*], Kola [*Cola*  
177 *nitida*], *Terminalia ivorensis*, *Terminalia superba*) are all species propagated and managed by  
178 people in this region (Fairhead and Leach 1996; Bongers *et al.* 1999).

179  
180 Much of Liberia's forests are shaped by past, long-term swidden-fallow dynamics, viz., they are  
181 embedded with overgrown, old settlements, considered 'sacred' by local peoples due to the  
182 presence of certain tree species (e.g. Cotton, Kola), ancestors and spirits, and therefore often  
183 afforded protection from felling. These 'old town spots' also feature fertile 'African Dark Earths' and  
184 are frequently cultivated as cacao agro-forests (Fraser *et al.* 2016) meriting the term 'sacred  
185 agroforest'.

186 A recent survey of 83 localities in Gbarpolu, Bong, Lofa, Nimba counties near forestry concessions,  
187 where plots are likely used for management, found at least one sacred agroforest at 51 locations,  
188 94 in total (Appendix 1, Fraser et al. 2016). At the local scale, mapping and transects within a 3km  
189 radius (ca. 2,827 ha) of a settlement found 18.6 ha of sacred agroforests, with the majority of  
190 adjacent areas covered in variously aged fallow vegetation (Diabate pers. comm.). In comparing  
191 transects in sacred agroforests and secondary forest, sacred agroforest increases biodiversity at the  
192 landscape level due to differing species composition in both canopy species and seedlings. This  
193 study failed to find old growth forest in this area (see Fraser et al 2016: “Baema”, Figure 1,  
194 Appendix 2). This pattern appears to be typical of NW Liberia with major historical disturbance also  
195 occurred in neighbouring settlements. The diaries of two African American explorers, George L.  
196 Seymour and Benjamin J. K. Anderson, who traversed the area in 1858, reported, ‘*it is common to*  
197 *see a hundred-acre farm in one cutting*’ (Fairhead et al. 2003). And, ‘*Standing upon an elevation, it*  
198 *seemed to me that the people had attempted to cover the whole country with their rice fields...Only*  
199 *here and there could be seen patches of large forest trees.*’ (Fairhead et al. 2003:190-191).

200

201 Early foresters recognised the anthropogenic landscapes that emerged from such processes over  
202 time. In the 1940’s, forester Karl Meyer walked 2,300 km through Liberia’s forests observing that  
203 ‘*abandoned villages are, in some sections, very common,*’ and areas ‘*with no signs of occupancy*  
204 ‘*during recent centuries are few and scattered*’ (Mayer 1951:25). Hence, today’s forest is composed  
205 of secondary forest, historically disturbed through shifting cultivation, with largely unmanaged  
206 succession (Fairhead and Leach 1998), dotted with sacred agroforests (Fraser et al. 2016, Appendix  
207 1).

208

209 In 2006, Liberia revised its national forestry law, promoting sustainable forest management. In  
210 2009, the Community Rights Law was enacted, empowering communities to engage in sustainable  
211 forest management on their lands. That year, industrial logging companies were granted 25% of  
212 Liberia's forests, yet the implementation of the forestry laws remains difficult (Altman et al. 2012;  
213 O'Mahoney 2019).

214 We make the following management recommendations: First, the government largely does not  
215 recognise the history of Liberian forests. This encourages viewing forests as a resource stock rather  
216 than a cultural artefact. It is important that the linkages between extant forest peoples, such as the  
217 Loma and their ancestors who created these forests (Fraser et al 2015), are recognised in  
218 representations of forests by government, media, and in school curricula. Second, if the two  
219 abovementioned laws are not implemented, people will lose tenurial rights over the  
220 agrobiodiversity created by their ancestors. An awareness campaign should ensure that people  
221 know their tenure rights. Together these interventions - raising historical awareness and legal  
222 awareness - could empower citizens to conserve the landscapes that are also their cultural heritage.

223

#### 224 [4.2 Gabon](#)

225 In Gabon, archaeology, palynology, diatoms, phytoliths, and tree population genetics have partially  
226 reconstructed forest history (Brncic *et al.* 2007; Piñeiro et al. 2017). However, history and linguistics  
227 also explain the interactions of people and forests over time (Vansina 1990), including societal  
228 change impacting vegetation structure (Walters 2012). A dominant, sub-endemic timber species to  
229 Gabon, Okoume (*Aucoumea klaineana*), colonizes slash-and-burn openings, which forester  
230 Aubréville described as "Okoume being the son of manioc" (Aubréville 1948). Once mature, large  
231 stands indicate the presence of past villages (Biraud 1959). However, disease, brought by trade and

colonial rule severely affected village placement and human demography (Headrick 1990,  
Chamberlin 1977, Gray 2002), resulting in changes to forest composition.

Oral histories from the Parc National de Waka (PNW) area near PSPs (Balinga *et al.* 2006), (Hymas  
2016) found that current stands of Okoume originated from colonial concession plantation  
agriculture during the late-1800s to mid-1900s. These forests are the outcome of complex historical  
trajectories. Inter-ethnic conflict over natural resources depopulated the area in the early 1800s; it  
was repopulated in the late 1800s through trade concessions, and then again depopulated in the  
1920s by trade-induced disease, and further depopulated in 1960 by the government resettlement  
policy (Fig. 2). In the 1960s, logging companies were attracted to the Okoume stands, which  
resulted in a repopulation by workers. In 2003, the PNW was created to protect elephant  
populations (WCS, 2007), attracted to abandoned village sites, rich in planted fruit trees (Barnes *et*  
*al.* 1991).

In another case, using the FIPs of a forestry concession in the Haut-Abanga, Engone Obiang *et al.*  
(2014) diagnosed 'old, naturally-declining' populations of Okoume through a tree diameter analysis.  
The modal diameter of Okoume was 50-60 cm, corresponding to 60-70-year-old trees. The  
historical literature showed that the area was a former communication corridor that lost its role  
with the establishment of the modern road network. The area, populated until the 1940s, became  
almost empty in the 1950s due to the resettlement policy (Peyrot 2008), Fig. 2.

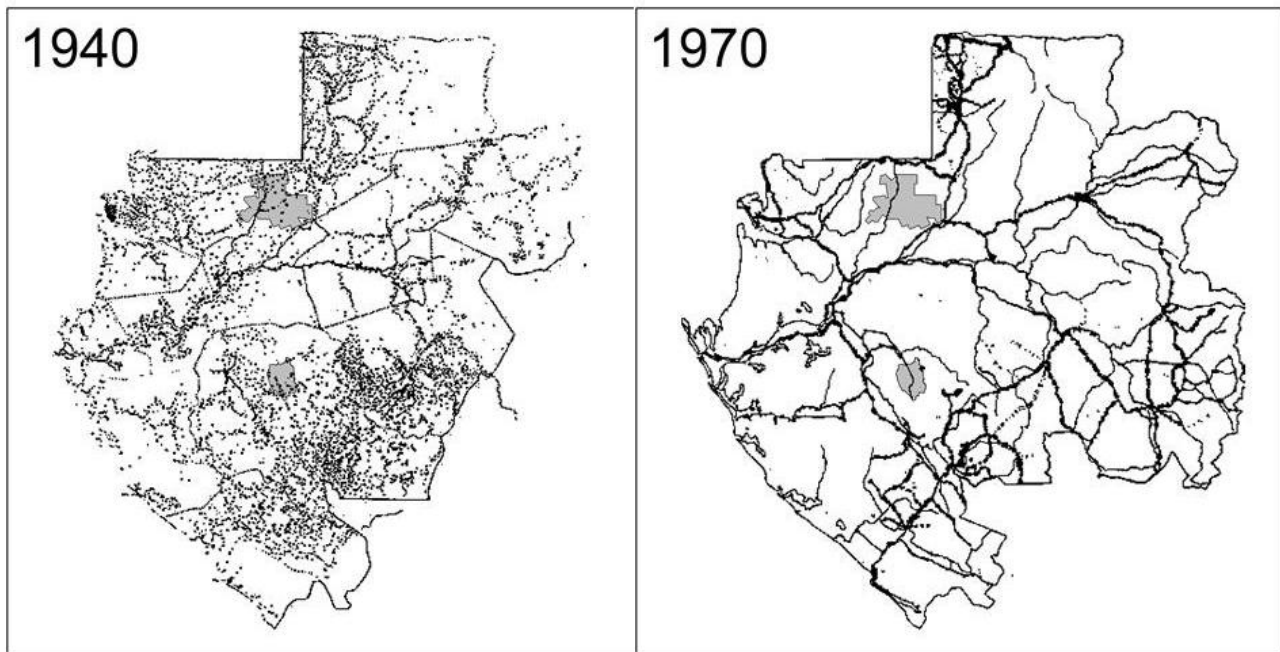


Figure 2. Population distribution of Gabon in 1940 prior to the resettlement policy and in 1970, afterwards (adapted from Sautter 1966), showing the Haut Abanga Concession in the north, and PNW in the center.

Okoume is Gabon's most important timber species but other commercial species are fast-growing and light-demanding, too. For such species, current stocks are often the legacy of past disturbances, such as slash-and-burn cultivation. Hence, forest history plays a role in determining their distribution. Nonetheless, current sustainable forest management relies on the hypothesis of demography at equilibrium, where young trees continuously replace large ones. Sustainability is assessed through the recovery rate of the species at the end of the felling cycle. When the recovery rate becomes too low, forest managers often increase the minimum size of felled trees. Although this measure may have short-term success in maintaining species stock, it does not acknowledge the role of history in shaping it. As a result, these stocks may decline, with both environmental and economic consequences, bringing the concept of 'sustainable forest management' into question (Karsenty 2018a).



268 To address unsustainable forest management, in 2018, Gabon announced that all forest  
269 concessions must become certified by the Forest Stewardship Council (FSC) standard by 2022. The  
270 standard goes beyond the issue of sustainable stocks (Karsenty 2018b), but its implementation has  
271 often been problematic (Nepomuceno et al 2019). It is unlikely that “sustainable stocks” can be  
272 achieved without considering their historical origin. Forest management plans must factor in  
273 historical dimensions and when natural recovery is no longer possible, silvicultural techniques,  
274 including planting, should be proposed.

275

## 276 5. Interdisciplinary collaboration to understand tropical African tropical forests in the 277 Anthropocene

278 Today’s West and Central Africa forests provide an archive of the slave trade, conflicts, diseases and  
279 depopulations that left farm and village lands abandoned. Attempts to read forest composition and  
280 dynamics only through the lens of ‘natural history’ and ‘climate change’ overlooks this history and  
281 the associated meaning for those who live there, and reduces the ability to manage these legacy  
282 forests.

283

284 PSPs and FIPs in the Afrotropics provide a research lens into both ‘forest ecology’ and past  
285 environmental change. This requires asking a wider range of questions of these plots,  
286 collaboratively researched using ecologically and socio-historically focused inquiry. To ask such  
287 questions, new forms of research are required, but combining different disciplines that use diverse  
288 lexicons, timeframes, epistemologies and methodologies is one of the biggest challenges of  
289 achieving interdisciplinary research (Lele and Kurian 2011). Interdisciplinary research programs  
290 must be developed with an integrated methodology combining data from archaeology and history  
291 (artefacts and texts), anthropology and political and historical ecology, with ecology to understand

292 forest patterns. These initiatives require researchers to “share the conceptual world of their  
293 colleagues”, beyond disciplinary boundaries (Darbellay 2015:167).

294

295 In achieving this, it is imperative to recognise that people shape forests. Thinking in terms of social-  
296 ecological and biocultural systems (c.f. Fischer 2018), can demonstrate society-environment  
297 interconnections, leading to discussions on how to study these impacts collaboratively. Robbins  
298 (2012) proposes that links between socio-political forces and ecological characteristics include type  
299 and direction of environmental change, drivers of change, the environment in which changes occur,  
300 the impact of cultural practices on the system (sensu Maraccuci 2000), and how it recovers. The  
301 final step can, as noted in the Liberia case, explicitly link historical forests to current forest usage  
302 and land claims.

303 In the case of PSPs, synthesising existing historical and archaeological research for each plot and  
304 linking to specific periods, can show how present-day forest structure and diversity are linked to  
305 disturbances (Fairhead, unpublished data). When studying impacts through FIPs, documenting the  
306 species most susceptible to disturbance, as in the Gabon case, is a first step. The places where  
307 these signals are strongest (e.g. mono-dominant forests), can then be explored. By providing a view  
308 on how ecological patterns and processes have reacted in the past to environmental changes,  
309 historical ecology can inform how forest structure and process may respond to future change  
310 (Safford *et al.* 2012).

311

312 Such collaborations will also inform forest management still rooted in equilibria paradigms despite  
313 historical evidence (Morin-Rivat *et al.* 2017). Some forest managers are aware that the  
314 management of some species is not sustainable because the stock is a legacy of past human  
315 perturbations (Morin-Rivat *et al.* 2016). However, a historical perspective on the current structure

316 of commercial species would offer a stronger basis for sustainable management. In both case-  
317 studies, forest history and species responses are different, and each country has different  
318 sustainable forest management strategies. However, neither country's policies acknowledge the  
319 impact that history has on timber stocks, nor address if and how to maintain and manage these  
320 stocks. Furthermore, neither recognises forests as historical and cultural products of people living  
321 there today.

322

323 In this paper, we reviewed limitations of plot-based research in light of Afrotropical history, which  
324 heavily influenced the history of these forests, compared the usage of PSPs and FIPs, and provided  
325 cases demonstrating how interdisciplinary research collaborations can enrich plot-based studies.  
326 We propose future work focus on using plot networks to research new questions, in collaboration  
327 with historians and social scientists. The lack of historical perspectives on forests will limit  
328 addressing sustainability (Roberts *et al.* 2018, this issue). However, new collaborations will not only  
329 help deepen conclusions from forest ecology, but also influence study design and management  
330 options.

331 Interdisciplinary collaboration is one way to explore how the forests have been shaped during the  
332 Anthropocene. This new view suggests that some tree species require new forms of management  
333 and some forests deserve new recognition as cultural landscapes.

334

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## Acknowledgments

The authors thank Jos Barlow, Geoffroy de Saulieu, Melis Ece, Lindsey Gillson, Anne Chin, and anonymous reviewers, for providing constructive comments on this manuscript and to Simon Lewis for earlier discussions. This paper was presented and discussed at the University of Zurich 2016 workshop “The trouble with defining forests: semantics, ontology, territoriality”, organised by Muriel Côte, Ross Purves and Flurina Wartmann. This research did not receive any specific grant from funding agencies in the public, commercial, or not-for-profit sectors.



Figure 1

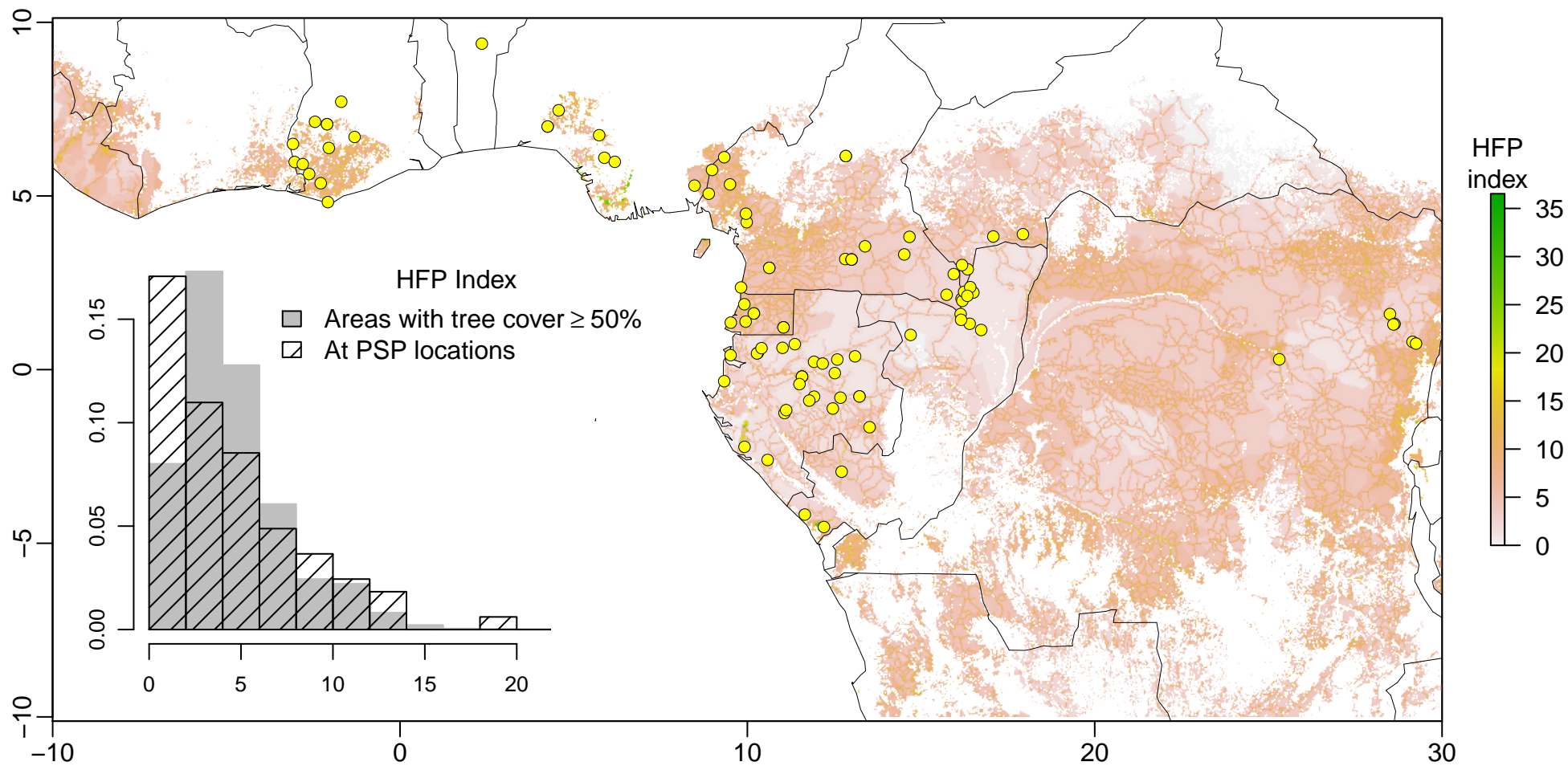


Figure 2  
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