# Eigenvalue Analysis and Case Study Examples of Fractional Order Generalised Predictive Control

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*Abstract*—This article concerns a previously developed, Fractional–order, Generalised Predictive Control (FGPC) approach to control system design. Here, fractional order concepts are utilised to extend the design flexibility of the FGPC algorithm, in comparison to conventional Generalised Predictive Control (GPC). The performance of FGPC is investigated via Monte Carlo simulation. With a focus on plots of the closed-loop eigenvalues, these results are utilised to develop recommendations for how to optimise the extra design coefficients introduced in the fractional order case. One of the simulation examples involves a hydraulically actuated robotic manipulator. Finally, FGPC methods are applied to control airflow in a laboratory 1 m by 2 m by 2 m forced ventilation environmental test chamber.

Index Terms—generalised predictive control; fractional–order control; robotic manipulator; ventilation control

#### I. INTRODUCTION

This article concerns a Fractional–order, Generalised Predictive Control (FGPC) design approach, as introduced by Romero *et al.* [1]–[4]. Although fractional order calculus has a long history in mathematics and engineering, the uptake of fractional order concepts for control system design and practical implementation has been slower than for equivalent integer order methods. Hence, the FGPC approach is of potential interest because of its relationship with the wellknown, conventional control algorithm, Generalised Predictive Control (GPC) [5]–[7]. In fact, the algorithms take the same, relatively straightforward implementation form, making them potentially attractive to practitioners.

Fractional calculus can be defined as a generalization of derivatives and integrals to non-integer orders [8]. Fractionalorder operators are commonly represented by  $^{\alpha}D$ , representing the  $\alpha$  order derivative. Negative values of  $\alpha$  correspond to fractional-order integrals,  ${}^{-\alpha}D \equiv {}^{\alpha}I$ . This operator can be evaluated using the Grunwald-Letnikov definition for numerical integration and simulation purposes. To illustrate, one of the more active areas of research into fractional order control concerns Fractional order Proportional-Integral-Derivative (FPID) methods, with illustrative citations including [8]-[10]. Here, straightforward PID concepts, such as frequency domain analysis, are sometimes used to design higher order (once converted into an approximated form that can be implemented in practice) FPID control systems. These potentially yield improved performance in comparison to standard PID design. Further examples of fractional control include, for example, CRONE [11], fractional fuzzy adaptive control [12] and optimal control [13].

In general terms, the design task involves either integerorder or fractional-order control systems, as applied to either integer-order or fractional-order models. Hence, for example, Allafi *et al.* [14] consider parameter estimation methods for fractional-order Hammerstein-Wiener models, using a Simplified Refined Instrumental Variable (SRIV) fractional-order continuous time algorithm. By contrast, the present article concerns the application of FGPC design to discrete-time, linear integer-order models. For the laboratory example, such models are estimated from data using the standard SRIV algorithm in the CAPTAIN toolbox for MATLAB [15].

Hence, the aim is to design FGPC systems that potentially yield improved performance over conventional GPC design. The FGPC methodology is briefly reviewed (section II), and a worked example using MATLAB is developed to illustrate the FGPC design approach (section III). Further simulation examples are utilised to demonstrate how fractional order methods increase the control design flexibility compared to GPC. In contrast to references [1]–[4], the influence of the new FGPC tuning coefficients,  $\alpha$  and  $\beta$ , are systematically investigated via Monte Carlo simulation. The focus is on cloud plots of the closed-loop eigenvalues (poles). The study is extended to a laboratory example, namely the control of airflow in a 1 m by 2 m by 2 m forced ventilation environmental test chamber (section IV). Discussion and conclusions follow (sections V and VI).

#### II. METHODOLOGY

Similar to conventional discrete-time GPC, FGPC is based on the following Auto–Regressive, Integrated Moving– Average eXogenous variables (ARIMAX) model, sometimes also called a Controlled Auto–Regressive Integrated Moving– Average (CARIMA) model,

$$y(k) = \frac{B(z^{-1})}{A(z^{-1})}u(k) + \frac{T(z^{-1})}{\Delta A(z^{-1})}\zeta(k)$$
(1)

or equivalently

$$\Delta A(z^{-1})y(k) = B(z^{-1})\Delta u(k) + T(z^{-1})\zeta(k)$$
 (2)

where y(k) is the output at sample k, u(k) is the control input,  $\zeta(k)$  is uncorrelated white noise and  $\Delta = 1 - z^{-1}$  is the difference operator, in which  $z^{-1}$  is the backward operator, i.e.  $z^{-1}y(k) = y(k-1)$ . Finally,  $A(z^{-1}) = 1 + a_1z^{-1} + \dots + a_nz^{-n}$ ,  $B(z^{-1}) = b_1z^{-1} + \dots + b_mz^{-m}$  and  $C(z^{-1}) = 1 + c_1z^{-1} + \dots + c_qz^{-n}$  are polynomials in  $z^{-1}$ , with order n, m and q respectively. Finally, pure time-delays of  $\delta$  samples are straightforwardly represented by setting the leading  $\delta - 1$  coefficients of  $B(z^{-1})$  to zero, i.e.  $b_1 = b_2 = \cdots = b_{\delta-1} = 0$ .

# A. Standard GPC

Standard GPC is based on the following cost function,

$$J_{\text{GPC}}(\Delta u, k) = \sum_{i=N_1}^{N_2} \gamma_i e(k+i)^2 + \sum_{i=1}^{N_u} \lambda_i \Delta u(k+i)^2 \quad (3)$$

where e(k) = r(k + i) - y(k + i), in which r(k) is the set point,  $N_1$  and  $N_2$  are forecasting horizons associated with the output variable, and  $N_u$  is the input forecasting horizon. When minimizing (3), it is assumed that u(k) is time-invariant for  $k > N_u$ , where  $N_1 \le N_u \le N_2$ . The simplest form of GPC also assumes a time-invariant set point in equation (3), and is based on the model (1) with the noise polynomial fixed as unity, i.e.  $T(z^{-1}) = 1$ . Finally,  $\gamma_i$  and  $\lambda_i$  are non-negative weighting elements.

The weights are sometimes expressed in matrix form as follows:  $\Gamma = \text{diag}(\gamma_i)$  and  $\Lambda = \text{diag}(\lambda_i)$ . In fact, for many practical applications, it is further assumed that  $N_1 = 1$ ,  $\Gamma = \mathbf{I}$  and  $\Lambda = \text{diag}(\lambda)$ , where  $\mathbf{I}$  is the identity matrix and  $\lambda$  is a scalar weight on the input variable. This yields a GPC algorithm that is straightforward to tune (often by trial and error) for practical applications using just three terms i.e.  $N_2$ ,  $N_U$  and  $\lambda$  (somewhat analogous to a classical three term industrial PID controller).

The present article concerns linear controllers that can be analysed in block diagram terms, hence no constraints are defined for the input and output variables. Minimising the cost function (3) under all the above assumptions yields a fixed gain control algorithm that can be conveniently expressed in polynomial form as follows (see e.g. [5]–[7], [16]),

$$\Delta u(k) = \frac{1}{R'(z^{-1})} \left( w_0 r(k) - S'(z^{-1}) y(k) \right)$$
(4)

where,

$$S'(z^{-1}) = s'_0 + s'_1 z^{-1} + \dots + s'_n z^{-n}$$
(5)

$$R'(z^{-1}) = 1 + r'_1 z^{-1} + \dots + r'_{m-1} z^{-m+1}$$
 (6)

and  $w_0$  is a command input gain. Alternatively, defining  $S(z^{-1}) = S'(z^{-1})/w_0$  and  $R(z^{-1}) = R'(z^{-1})/w_0$  yields,

$$\Delta u(k) = \frac{1}{R(z^{-1})} \left( r(k) - S(z^{-1})y(k) \right)$$
(7)

where,

$$S(z^{-1}) = s_0 + s_1 z^{-1} + \dots + s_n z^{-n}$$
(8)

$$R(z^{-1}) = r_0 + r_1 z^{-1} + \dots + r_{m-1} z^{-m+1}$$
(9)

Substituting (7) into the deterministic component of equation (1) yields the following closed–loop Transfer Function,

$$y(k) = \frac{B(z^{-1})}{\Delta R(z^{-1})A(z^{-1}) + S(z^{-1})B(z^{-1})}r(k)$$
(10)

The closed-loop poles  $p_i$  are determined from the characteristic equation:  $\Delta R(z^{-1})A(z^{-1}) + S(z^{-1})B(z^{-1}) = 0.$ 

## B. Fractional order GPC

Initially posed in continuous-time terms, so as to allude to selected concepts in fractional calculus, Romero *et al.* [1]–[4] define the following cost function for FGPC design,

$$J_{\text{FGPC}}(\Delta u, t) = {}^{\alpha}I_{N_1}^{N_2} \left(r(t) - y(t)\right)^2 + {}^{\beta}I_1^{N_u} \left(\Delta u(t)\right)^2$$
(11)

where I is the fractional-order definite integral operator, and  $\alpha, \beta \in \mathbb{R}$  are user defined coefficients representing the fractional order. The operator I and hence equation (11) is subsequently discretized as shown by the references cited above. Minimisation of the associated discrete-time cost function yields a controller with the same structural form as GPC, i.e. it is defined by equations (7)–(9). The main difference is that, whilst the GPC weighting matrices  $\Gamma$  and  $\Lambda$  are defined explicitly via the cost function (3), or more commonly in practice via the scalar input weight  $\lambda$  as described above, FGPC defines these weights implicitly via the two scalar tuning terms,  $\alpha$  and  $\beta$ .

### **III. SIMULATION STUDY**

Romero *et al.* [1] define three types of GPC or FGPC design. This is illustrated by their numerical example, which is reproduced in the first case study example below.

### A. Worked example

Consider a first order, non-minimum phase model based on equation (1), with  $T(z^{-1}) = 1$ ,

$$y(k) = \frac{z^{-1} - 2z^{-2}}{1 - 0.9^{-1}}u(k) + \zeta(k)$$
(12)

For illustrative purposes, arbitrarily define  $N_1 = 1$ ,  $N_2 = 10$ ,  $N_u = 2$  and  $\lambda = 10^{-6}$  i.e. the GPC weighting matrices are  $\Gamma = \mathbf{I}_{10}$  and  $\Lambda = 10^{-6}$   $\mathbf{I}_2$ . Minimising the GPC cost function (3) yields equations (7)–(9) with  $s_0 = 3.9539$ ,  $s_1 = -2.9539$ ,  $r_0 = -1.7892$  and  $r_1 = -6.5642$ . The associated closed–loop poles are  $p_1 = p_2 = 0$  and  $p_3 = 0.4411$ . The input and output responses to a unit step in the set point are shown in Fig. 1 (i.e. the traces labelled GPC in the legend).

Utilising  $N_1 = 1$ ,  $N_2 = 10$  and  $N_u = 2$  as above, together with  $\alpha = \beta = 2.5$ , FGPC design yields  $s_0 = 4.2999$ ,  $s_1 = -3.2999$ ,  $r_0 = -2.2982$  and  $r_1 = -7.3331$ . The closed-loop poles are  $p_1 = 0$ ,  $p_2 = 0.0277$  and  $p_3 = 0.5525$ , with the response shown as the dashed black traces in Fig. 1. This response is labelled FPGC-2 on the legend, since Romero *et al.* [1] define this as an example of Type 2 FGPC control.

In fact, conventional GPC design can be utilised to determine identical control gains as for Type 2 FGPC. This is achieved by appropriate bespoke choice of  $\Gamma$  and  $\Lambda$ . For this example, the relevant diagonal elements of  $\Gamma$  are: 23.67, 21.14, 17.81, 14.66, 11.73, 9.02, 6.56, 4.38, 2.5, and 1.0; and the diagonal elements of  $\Lambda$  are: 1.5 and 1.0. In other words, for this example,  $\alpha = \beta = 2.5$  represent high level tuning parameters (hyper-parameters) that determine the  $\Gamma$  and  $\Lambda$ weighting matrices.

Romero *et al.* [1] call GPC design either Type 1 or Type 2 control. If the GPC algorithm can can be exactly reproduced



Fig. 1. Closed-loop response of the model (12) to a unit step in the set point for GPC, FGPC with  $\alpha = 1.2$  and  $\beta = 0.8$  (FGPC–3), and FGPC with  $\alpha = \beta = 2.5$  (FGPC–2). Upper plot: output y(k) against sample number k. Lower: control input u(k). Based on reference [1].

using the FGPC tuning approach, they call it Type 2, otherwise it is Type 1. Finally, Type 3 control is obtained when  $\alpha < 1$  or  $\beta < 1$  in FGPC design. In the latter case, the weighting sequences can include negative terms in  $\Gamma$  and/or  $\Lambda$  respectively. For example, solving the FGPC cost (11) for  $\alpha = 1.2$  and  $\beta = 0.8$  yields diagonal elements of  $\Gamma$ : 0.71, 1.68, 1.63, 1.59, 1.54, 1.48, 1.41, 1.32, 1.2 and 1.0; and diagonal elements of  $\Lambda$ : -0.2 and 1.0. Here, FGPC design yields equations (7)–(9) with  $s_0 = 3.7146$ ,  $s_1 = -2.7146$ ,  $r_0 = -1.4264$  and  $r_1 = -6.0325$ . The closed–loop poles are  $p_1 = 0$ ,  $p_2 = -0.0695$  and  $p_3 = 0.3445$ , with the response to a unit step in the set point shown in Fig. 1 (labelled FGPC–3). Note that one of the input weights is a negative number and also that a closed–loop pole appears on the left hand side of the complex *z*–plane.

## B. Eigenvalues

Continuing with the model (12), Fig. 2, Fig. 3 and Fig. 4 investigate how the control tuning coefficients determine the closed-loop pole positions (eigenvalues) and hence the time response. With  $N_1 = 1$ ,  $N_2 = 10$  and  $N_u = 2$ , the left hand side subplots of Fig. 2 show the output responses (upper subplot) and the eigenvalues (lower subplot), when  $\alpha$ is varied in the range  $0 \rightarrow 5$ . For  $\alpha \ll 1.6$ , a complex conjugate pair of poles appears, with the complex component gradually increasing as  $\alpha$  is decreased further. The associated poorly damped, oscillatory responses are particularly evident for  $\alpha < 1$ , for which the responses and eigenvalues are shown in red colour in Fig. 2. In a similar manner, the right hand side subplots of Fig. 2 consider  $\beta$  varied in the range  $0 \rightarrow 10$ . Here, with  $\beta < 1$ , for which the responses and eigenvalues are again plotted in red colour, one of the eigenvalues moves into the left hand side of the complex z-plane.



Fig. 2. Closed-loop FGPC responses of the model (12) to a unit step in the set point with  $N_2 = 10$  and  $N_u = 2$ . Left hand side plots:  $\beta = 2.5$  and  $\alpha = [0:0.2:5]$ . Right hand side plots:  $\alpha = 1.2$  and  $\beta = [0:0.1:10]$ . Upper plots: y(k) against k. Lower plots: eigenvalues shown on the complex z-plane. Red colour shows the case with  $\alpha$  or  $\beta < 1$ , otherwise black.

Fig. 3 represents a systematic variation of both  $\alpha$  and  $\beta$  across the same range of values (right hand side subplots). For comparison, the left hand side subplots consider GPC with  $\lambda$  in the range  $10^{-7} \rightarrow 10^7$ . Here, it is clear that many combinations of  $\alpha$  and  $\beta$  yield an unsatisfactory closed–loop response, especially when either  $\alpha$  or  $\beta$  are less than unity i.e. Romero *et al.* [1] Type 3 control. Nonetheless, Fig. 3 demonstrates how FGPC can be used to extend the range of closed-loop realisations that are possible and, in principle, some of these realisations might represent a controller that meets a defined set of control objectives (e.g. for performance and robustness).

Finally, Fig. 4 illustrates this result in more general terms (albeit limited to the same model). Here, the various tuning coefficients considered in this article  $(N_2, N_u, \lambda, \alpha \text{ and } \beta)$  are all varied simultaneously, to show how FGPC potentially captures more of the complex z-plane than the more constrained GPC approach. However, whether or not the increased range of eigenvalues facilitates a better control algorithm for a given practical application requires further research. Indeed, it is well understood from the literature on GPC that straightforward tuning of  $N_2$ ,  $N_u$  and  $\lambda$  already yields a satisfactory design for many applications. By contrast, inadvisable choice of  $\alpha$  and  $\beta$  can clearly yield a highly unsatisfactory and even unstable FGPC design. To illustrate, the left hand side eigenvalues in Fig. 4 are typically associated with an oscillatory closed-loop response (for brevity, these time-responses are omitted).

## C. Marginally stable system

The following second order, non-minimum phase oscillator with two samples time delay has been studied by a number of



Fig. 3. Closed-loop GPC (left) and FGPC (right) responses of the model (12) to a unit step in the set point with  $N_2 = 10$  and  $N_u = 2$ . Left hand side plots: GPC with  $\lambda = 10^{[-7:0.2:7]}$ . Right hand side plots: FGPC with  $\alpha = [0:0.2:5]$  and  $\beta = [0:0.1:10]$  (all possible realisations). Upper plots: y(k) against k. Lower plots: eigenvalues shown on the complex z-plane. Red colour shows the case with  $\alpha$  or  $\beta < 1$ , otherwise black.



Fig. 4. Closed-loop GPC (left) and FGPC (right) eigenvalues shown on the complex *z*-plane, for 10000 random realisations of the tuning coefficients from the following uniformly distributed ranges:  $N_2 = [3 : 20]$ ,  $N_u = [1 : N_2 - 1]$ ,  $\lambda = 10^{[-7:7]}$ ,  $\beta = [0 : 10]$  and  $\alpha = [0 : 10]$ . Red colour shows the case with  $\alpha$  or  $\beta < 1$ , otherwise black.

authors including e.g. [15] (p. 70).

$$y(k) = \frac{-z^{-2} + 2z^{-3}}{1 - 1.7z^{-1} + z^{-2}}u(k)$$
(13)

Fig. 5 shows the eigenvalues and time-responses of the model (13) for a range of values of  $\lambda$  (GPC) and  $\beta$  (FGPC). The envelope of time responses for GPC and FGPC cover a similar range, whilst the main difference for FGPC is that one of the closed–loop poles moves along the real axis of the complex *z*–plane (close to but not at the origin). The authors are presently investigating if these poles can provide any improvements over GPC in terms of robustness for this marginally stable system, and these results will be reported in future articles.

## D. Robotic manipulator

This simulation example is based on a robotic system in the laboratory. The hardware configuration consists of two off-the-shelf HydroLek 7W manipulators, whose joints are



Fig. 5. Closed-loop GPC (left) and FGPC (right) responses of the model (13) to a unit step in the set point with  $N_2 = 10$  and  $N_u = 9$ . Left hand side plots: GPC with  $\lambda = 10^{[-3:0.1:4]}$ . Right hand side plots: FGPC with  $\alpha = 2.5$  and  $\beta = [0:0.17:12]$ . Upper plots: y(k) against k. Lower plots: eigenvalues shown on the complex z-plane. Red colour shows the case with  $\beta < 1$ , otherwise black.

controlled using potentiometer sensors and hydraulic actuators, and a Brokk 40 mobile platform used to support and transport the device. Each 6–degree–of–freedom manipulator has a continuous jaw rotation mechanism and dual function gripper fitted with a pressure sensor. The movements of the manipulator joints are controlled through hardware and software integration using Labview<sup>®</sup> and associated National Instruments tools. Such dual–arm mobile robots offer a powerful option for nuclear decommissioning applications [17]–[19].

Dynamic cross-coupling affects for the various HydroLek joints are usually relatively small, hence to illustrate the singleinput, single-output control approach developed in section II, the present analysis focuses on an illustrative joint considered in isolation. In this regard, open-loop step experiments suggest that a first order linear differential equation provides an approximate representation of individual joints. In fact, using an arbitrary (for illustrative purposes) calibration framework for the wrist joint of the left hand side manipulator, equation (1) with  $n = 1, m = 2, \delta = 2, a_1 = -1, b_1 = 0.1$  and  $T(z^{-1}) = 1$  provides a suitable model for initial linear control system design [17]. Here, y(k) represents the joint angle and u(k) is a scaled voltage in the range  $\pm 10$ , where positive and negative signs are used to indicate clockwise or anticlockwise movement. Fig. 6 shows the simulated closed-loop response of an illustrative FGPC design with  $N_1 = 1$ ,  $N_2 = 10$ ,  $N_u = 2$ ,  $\alpha = 2.5$  and  $\beta = 2.5$ . Here, an output step disturbance of magnitude 0.2 is simulated from the 22nd sample onwards, representing an applied load to the system.

Whilst the above results represent an example of FGPC, the authors are presently investigating the use of fractional order methods for the manipulator in more general terms. In this regard, recent applications of fractional order methods to robotics include e.g. [20] and [21].



Fig. 6. Simulated FGPC control of robotic manipulator joint angle with a disturbance at sample k = 22, showing the output (upper subplot) and control input (lower) plotted against sample number.

## IV. LABORATORY EXAMPLE

Previous research has considered a laboratory forced ventilation chamber with an array of 30 thermocouples distributed within a 2 m  $\times$  1 m  $\times$  2 m airspace, with airflow sensors at the inlet and outlet [22]. Actuators include two axial fans and a 400 W heating element, used to generate various microclimatic conditions for research into buildings environment control. The operation of the chamber is controlled by National Instruments hardware/software.

Fig. 7 considers control of airflow at the outlet y(k) (m/s), using the applied voltage to the outlet fan as the control input u(k) i.e. an analogue  $0 \rightarrow 5$  V DC output from the National Instruments card inside the control computer. Here, the linearised model for an operating condition of 2.25 m/s is based on equation (1) with  $a_1 = -0.8470$ ,  $b_2 = 0.5325$ and  $\delta = 2$ , identified from open-loop data using the RIV algorithm of the CAPTAIN Toolbox [15]. Straightforward trial and error FGPC tuning using this control model in simulation mode yields a satisfactory response with  $N_1 = 1$ ,  $N_2 = 20$ ,  $N_u = 5$ ,  $\alpha = 0.5$  and  $\beta = 2.2$ , as illustrated in Fig. 7. Ongoing research by the first author is considering the relative robustness and performance of the FGPCP algorithm in comparison to GPC. Nonetheless, the present results represent one of the first practical implementations of the FGPC approach.

#### V. DISCUSSION

In general terms, model-based design (e.g. pole placement, GPC, FGPC) provides a quantitative method to determine the gains of the control system, based on the 'desired' performance of the closed-loop system. For the pole assignment method, performance relates to the poles of the closed-loop system, for GPC it is the minimisation of the cost function (3) and for FGPC it is the minimisation of (11). The latter cost function includes two scalar hyper-parameters,  $\alpha$  and  $\beta$ , and the impact of these parameters has been investigated in this article. Of



Fig. 7. FGPC control of ventilation rate in environmental test chamber, comparing the simulated (thick traces) and experimental (thin) data. Upper subplot: output air velocity y(k) (m/s). Lower subplot: control input u(k) (applied voltage in the range  $0 \rightarrow 5$  V).

course, various different model-based design approaches can all produce the same outcome if the design criteria are set 'correctly' [23].

For example, conventional pole assignment can be utilised to set the closed-loop poles corresponding to the poles that minimise the GPC or FGPC cost (once these are known). Hence, it can be argued that the choice of  $\alpha$  and  $\beta$  is somewhat arbitrary. Indeed, Romero *et al.* [1]–[4] provide no academic justification for why a fractional order cost function should be used nor any physically-based (engineering) reasons for how to select  $\alpha$  and  $\beta$ , with trial and error via simulation being the implicit suggestion, as used in the present work.

Within the context of the assumptions made above, FGPC provides a generalisation of the GPC cost function weights, which ultimately determines the numerical values of the control gains in equations (8) and (9). Hence, the value of the approach appears dependent on whether the extra design flexibility provided by FGPC can be utilised to meet control objectives that are not achievable using standard GPC; and whether FGPC provides a straightforward to tune control algorithm – for example, that the use of  $\alpha$  and  $\beta$  in this way provides a meaningful or convenient approach to solve practical control problems.

The first of these issues was considered by the simulation study in section III. The numerical results in this article show that the new tuning coefficients  $\alpha$  and  $\beta$  can indeed be used to potentially 'capture' more of the complex *z*-plane (with the closed-loop eigenvalues) than the more constrained GPC approach. However, whether or not the increased range of eigenvalues, and hence potential time responses and other closed-loop characteristics, could facilitate a better control algorithm (e.g. for a given practical application and set of control objectives) requires further research.

The second issue was briefly considered in section IV, in

regard to the control of airflow in a forced ventilation chamber. In this case, straightforward trial and error FGPC tuning yields a satisfactory response for this laboratory system. However, it would be true to say that the same applies to conventional GPC design, pole assignment [15] and various other modelbased approaches [22]. Hence, on-going research by the first author is considering the relative robustness and performance of the FGPC algorithm in comparison to GPC.

One limitation of the present work is that whilst  $\alpha$  is used in FGPC design to provide a weighting on the output in the cost function (11), it has been assumed above that  $\gamma$  in the GPC cost (3) is unity throughout, as is common in many practical applications. It has also been assumed that  $\lambda_i = \lambda$  ( $\forall i$ ) for the GPC simulations in this article. Hence, on-going research by the present first author concerns a comparison of FGPC and GPC without these constraints.

## VI. CONCLUSIONS

This article has used both numerical examples and a laboratory test rig to investigate FGPC methods for control system design. The simulation study shows how FGPC can be used to extend the design flexibility of the conventional GPC algorithm. However, the value of these results in respect to control system robustness and performance, in both theoretical and practical terms, requires further research. Nonetheless, the method was applied to control airflow in a laboratory forced ventilation test chamber, representing one of the first practical implementations of FGPC design.

In further research, the authors are looking into other applications and the relationship with recent research into predictive control using fractional order concepts. For example, Zou *et al.* [24] apply fractional order predictive functional control to industrial processes, whilst Shi *et al.* [25] apply fuzzy generalised predictive control to a fractional-order nonlinear hydro-turbine regulating system.

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