

1 Article,

2 **Monitoring land cover change and disturbance of the** 3 **Mount Wutai World Cultural Landscape Heritage** 4 **Protected Area based on Remote Sensing time-series** 5 **image from 1987 to 2018**

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17 **Abstract:** The contextual-based multi-source time-series remote sensing and proposed
18 Comprehensive Heritage Area Threats Index (CHATI) index are used to analyze the spatial-temporal
19 LULCC and threats in the Mount Wutai World Heritage Area. The results show disturbances such as
20 forest coverage, vegetation conditions, mining area and built-up area in research area change
21 dramatically. And according to the CHATI, although different disturbances have positive or negative
22 influence on environment, as an integrated system it keeps stable from 1987 to 2018. Finally, this
23 research uses linear regression and F-test to mark the remarkable variation of spatial-temporal. In
24 consequence, the threats of Mount Wutai be addressed from macro level and micro level. Although
25 there still have some drawbacks, the effectiveness of threats identification has been tested using field
26 validation, the results are a reliable tool to raise the public awareness of WHA protection and for the
27 governance.

28

29 **Keywords:** world heritage area; protected area; disturbance; time series; spatio-temporal analysis;
30 remote sensing

31

32 1. Introduction

33 The world heritage is the site with special historic, scientific, or esthetic qualities, and universal
34 value[1]. It has been widely accepted since it was first raised by the United Nations Educational,
35 Scientific and Culture Organization (UNESCO) in 1972[2]. There are four kinds of world heritage:
36 natural heritage, cultural heritage, mixed heritage and cultural landscape heritage[3]. While natural
37 and mixed heritage are closely related to the environment, cultural heritage seems more
38 independent[4]. Cultural heritage includes tangible cultural heritage such as movable, immovable
39 and underwater cultural heritage and intangible cultural heritage which are treasures of human
40 activities, for instance, oral traditions, performing arts and rituals[5]; these kinds of cultural heritage
41 interact with the natural environment deeply[6]. It is meaningful to place the cultural heritage within

42 the surrounding landscape together, as the pattern and context of this system will influence the
43 function and integrity of the whole ecosystem directly[7]. Thus, the **analysis** of the **World Heritage**
44 **Area** (WHA) should encompass heritage sites and their surrounding landscape.

45 **However**, the **environment change** has posed great disturbance, even threats to WHA's security
46 and raised a number of problems to its sustainable protection in a specific area. For instance, the
47 exceeding **tourism** may destroy the balance of WHA[8]. Continued urbanization not only causes land
48 resource scarcity but also leads to urban heat island[9], which directly changes the characteristic of
49 the heritage[10]. Large-scale agriculture, mining activity and erosion could also threaten the
50 development of WHA[11]. In other words, monitoring WHA and their surrounding environment at
51 the regional level is a fundamental part of cultural heritage protection.

52 Moreover, the ecological disturbance **can be described as** "a case; a physical force, agent, or
53 process, either abiotic or biotic, causing a perturbation (which included stress) in an ecological
54 component or system; relative to specified reference state and system; defined by specific
55 characteristics"[12,13]. As a kind of protected **areas**, **WHA has shown common feature and own**
56 **unique characteristics**[12]. It should be noted that both WHA and the protected areas have suffered
57 serious ecological degradation, which threatens the balance of WHA and the whole ecological system
58 badly[8,14]. For instance, the species' population and their habitat condition decrease sharply in the
59 Great Barrier Reef Marine Park due to the poor management[8]; LULC change caused by
60 urbanization or mining, generates a significant influence on the WHA[15]; the Arabian Oryx
61 Sanctuary in Oman has been deleted from the world heritage list because the oil and gas extraction
62 have occupied almost ninety percentage **of its original** area[8,16]. The land pressure by urbanization
63 and mining activity lead to complex problems of the ecosystem[17-19]. Meanwhile, social, economic,
64 scientific, and political changes in the protected areas are closely connected to the processes of
65 protection[15,20]. In some developing countries, tourism is the main source of the whole income for
66 the development of the local economy. For instance, Rwanda earns about 200 million US dollars per
67 year from tourists who visiting the Volcanos National Park[8,21].

68 **No matter** how **pollution** derived parameters or Land Use/ Land Cover (LULC) change, the
69 impact of constraint factors on the WHA is a prolonged process[12,22]. On the one hand, there is
70 evidence that human activities such as mining, cutting trees and overuse fossil fuel significantly
71 increase the generation of acid gases and other air pollutants[23-25]. It is obvious that under such an
72 **environmental** background, the WHA will suffer accumulated damage in the long **run**[6]. **On the**
73 **other hand**, **LULC change means degradation or increase for a specific category, it will produce a**
74 **direct spatial pressure on WHA**[26,27]. Population migration, technological development, economic
75 growth, politics and values are the driving forces of LULC change[11,25]. According to the studies
76 based on the pressure state response indicator framework[27], the LULC change has a significant
77 influence on the regional water cycle, environmental quality, biodiversity, productivity and
78 adaptability of terrestrial ecosystem, meanwhile, the density of the built-up area and vegetation
79 cover have also vastly impacted the land eco-security[28-32]. **It is certain** that the **LULCC** is a
80 continued and dynamic process. Therefore, exploring the impact of LULC in WHA from a time series
81 perspective is quite useful to understand the process comprehensively.

82 Remote sensing (RS) techniques have revolutionized the traditional recording and prospection
83 approach[7]. By adopting such well-established techniques, the measurement of geographic units
84 becomes more efficiently and **detailed**[33]. Firstly, RS techniques could provide significant data
85 support for environmental assessment, planning, modelling and prediction[34]. For instance, RS
86 image classification could use medium or high spatial resolution image data and various classifiers
87 including support vector machine, rotation forest and multi-classifier to obtain the LULC
88 information[35-37]. In order to achieve a comprehensive analysis of the dynamic changes of the WHA
89 environment and its driving factors, time series RS products could illustrate the changing process
90 and mechanism[38]. **Furthermore, RS techniques could calculate and simulate a plenty of key**
91 **environmental indicators including chlorophyll a**[39], **suspended solids concentration**[40,41], **surface**
92 **radiance energy budget**[42,43], **surface moisture and temperature changes**[44,45], **vegetation cover**
93 **and land degradation**[46,47], **vegetation biomass**[48,49] and **net primary production (NNP)**[50,51],

94 vegetation structure[52,53] and ecological parameters[51,54], surface ecological process[55], land use
95 and agricultural vegetation extraction, land cover vegetation ecological process[56-61].

96 In terms of the RS assessment systems of protected area, there are several frameworks based on
97 different method. Firstly, the system based on PCA (principle component analysis), for instance, RSEI
98 (remote sensing based ecological index) conducted greenness, dryness, wetness and heat four main
99 indicator of ecological then (PCA) was utilized to compress the four indicators into one in order to
100 assess overall regional ecological status[62]. Secondly, system based on weights which come from
101 experiment or experts, such as the applications in groundwater potential zones[63], human
102 settlement environment[18,64,65], mix research in environment and society,[66-68]etc. Then, the
103 system based on pattern recognition methods, such as hierarchy analysis (HA), analytic hierarchy
104 process (AHP), fuzzy evaluation (FE), genetic algorithm (GA), and statistical learning (SL),[65,69-72]
105 etc.

106 In conclusion, RS assessment systems could be an efficient method to monitor the protected
107 areas. In terms of research objective, according to [reference](#)[11], it has been divided into four
108 categories: habit mapping and change detection, the assessment of the habitat degradation, the
109 evaluation of species' diversity and distribution and the track of pressures and threats. Up to now,
110 there are several large numbers of RS assessment **systems** has been established to monitor protected
111 **areas**[58,73-75]. **There are several assessment systems for different purposes, however, they follow a**
112 **similar structure, which consists initially on detecting disturbances in the protected areas. These**
113 **disturbances can be disturbances identification, extraction indicators and assessment. For instance,**
114 firstly, disturbances identified in protected areas generally include urbanization, road, construction,
115 mining, logging, agriculture, fire, alien species, hunting, grazing and drought. Secondly, extraction
116 indicators derived from remote sensing datasets have expressed disturbances to the WHA or the eco-
117 system. Then, the assessment framework can be constructed to measure the pressures and threats
118 that influence the protected areas. Although most WHAs have archaeological value, there is no
119 doubt that the protection of WHAs is imperative and urgent[76].

120 **This paper focus on one specific WHA and seeks to identify pressures and disturbances within**
121 **it, aiming to better understand the interaction between WHA and the surrounding environments.** To
122 conduct the research, a comprehensive assessment framework of WHA is proposed, which analyzes
123 the pressures and threats from every single aspect and overview perspective. Based on human
124 activities and natural environment of the selected WHA, four potential disturbances (forest coverage,
125 vegetation condition, built-up area and mining area) are mainly considered in the assessment. To
126 assess the WHA at a regional level, this paper has adopted a synthetic pressure assessment method
127 which integrates three key indicators (land stress, vegetation coverage and water network denseness)
128 and biological richness derived from LULC. Meanwhile, the time series analysis has been successfully
129 employed in describing and mapping the spatio-temporal evolution of disturbances and WHA
130 threats from 1987 to 2018. As the disturbances of WHA have differences in spatial-temporal levels,
131 to comprehensively identify the characteristics of spatial-temporal variation and distribution, the
132 linear regression analysis combined F-test method has been adopted. There are serval researches
133 focus on Mount Wutai environment, but few of them were based on time-series remote sensing
134 technique. This research monitors both LULCC and disturbances of Mount Wutai by adopting remote
135 sensing technique, and proposes a unique index (CHATI) to comprehensively assess the threats of
136 research area. In addition, as we mentioned a lot of RS assessment system has been employed in
137 environment assessment, however, few of them only use RS indicators, that reduce the data
138 dependency in the accepted error range. Furthermore, in this research the spatial temporal analysis
139 is based on linear regression and F-test, which provides more detailed information of spatial
140 variation.

141 2. Study area and data

142 2.1. Study area

143 Mount Wutai, located in southeastern Shanxi Province, China, has a very unique geological
144 structure, magnificent natural scenery, and abundant cultural significance. It mainly consists of two
145 parts: Taihuai Proposed Core Area (N 39°01'50", E 113°33'48") and Foguang Proposed Core Area (N
146 38°52'56", E 113°20'58"); and the core area has reached 18,415 hectares, which is composed of a series
147 of mountains. The lowest elevation of Wutai Mountain is only 624 meters, while the Beitai Ding is
148 known as "the Roof of North China", with an altitude of 3,061m above sea level. The unique
149 geological structures, stratigraphic profiles, fossil relics of palaeontology, Cenozoic planation and
150 periglacial landforms in the early stage of the earth are perfectly preserved in Mount Wutai. On the
151 mountain, the temperature is relatively low so that the snow covers the high peaks for years. So, it is
152 hard to identify the landscape in winter by RS. The natural resources are well protected, and 8.66%
153 of the mountain is covered with forests with vertical pines, firs, poplars, willow trees, and lush
154 grassland. The pleasant climate and beautiful scenery attract countless tourists and artists[77-79]. As
155 one of the four sacred Buddhist mountains in China, it was affirmed as a UNESCO World Landscape
156 Heritage Site in 2009. It is considered as the bodhimanda of Manjusri (Wenshu in Chinese), who is
157 the Bodhisattva of wisdom. It is said that Buddhism was introduced to Mount Wutai in the Eastern
158 Han Dynasty. Since then, it has become one of the most important centers for the development of
159 Buddhism and has made significant contributions to the development of the Buddhist culture. [77-
160 82].

161 With the development of economy, human activities play more and more important role in local
162 environment. As Mount Wutai has abundant tourism and mineral resources, the development of
163 tourism and mineral industry has become the focus of local economy. Here just take the development
164 of tourism as the example. Since 1982, Mount Wutai was affirmed as national scenic sports by the
165 State Council, the local government had adopted a series of measures to develop tourism, which
166 including strengthened the construction of tourism infrastructure and built relative tourism
167 supporting service system that integrates food, housing, transportation, travel, shopping and
168 entertainment[83]. The policy of prioritizing tourism had achieved remarkable economic benefits.
169 Until 2008, a total of 2.8102 million domestic and foreign tourists were received the whole year, and
170 the total income of tourism reached 1.405 billion yuan[84]. Over the past three years, the number of
171 tourist receptions had continued to rise, with an average of more than 4 million per year. It can be
172 said that the revenue from tourism and mineral industry has already supports half of the local
173 government's financial benefits[85]. However, such tourism activities, construction of tourism
174 infrastructure and developments of mineral industry have already seriously affected the local
175 ecological environment, such as the variation of the forest coverage. The influences of human
176 activities on the study area will be analyzed in detail by adopting the Remote Sensing techniques.

177 UNESCO demarcates Mount Wutai into core zone and buffers zone. The Taihuai Proposed Core
178 Zone refers to the area centered around the temple ensemble at the Taihuai Town and the five terrace
179 tops of Mount Wutai. The Proposed Buffer Zone is the protected areas of Mount Wutai. To identify
180 the landscape and threats of Mount Wutai, the research area is demarcated by administration
181 boundary and mountain ridges (as shown in Figure 1). A total area of the research area is about
182 4781.8km².

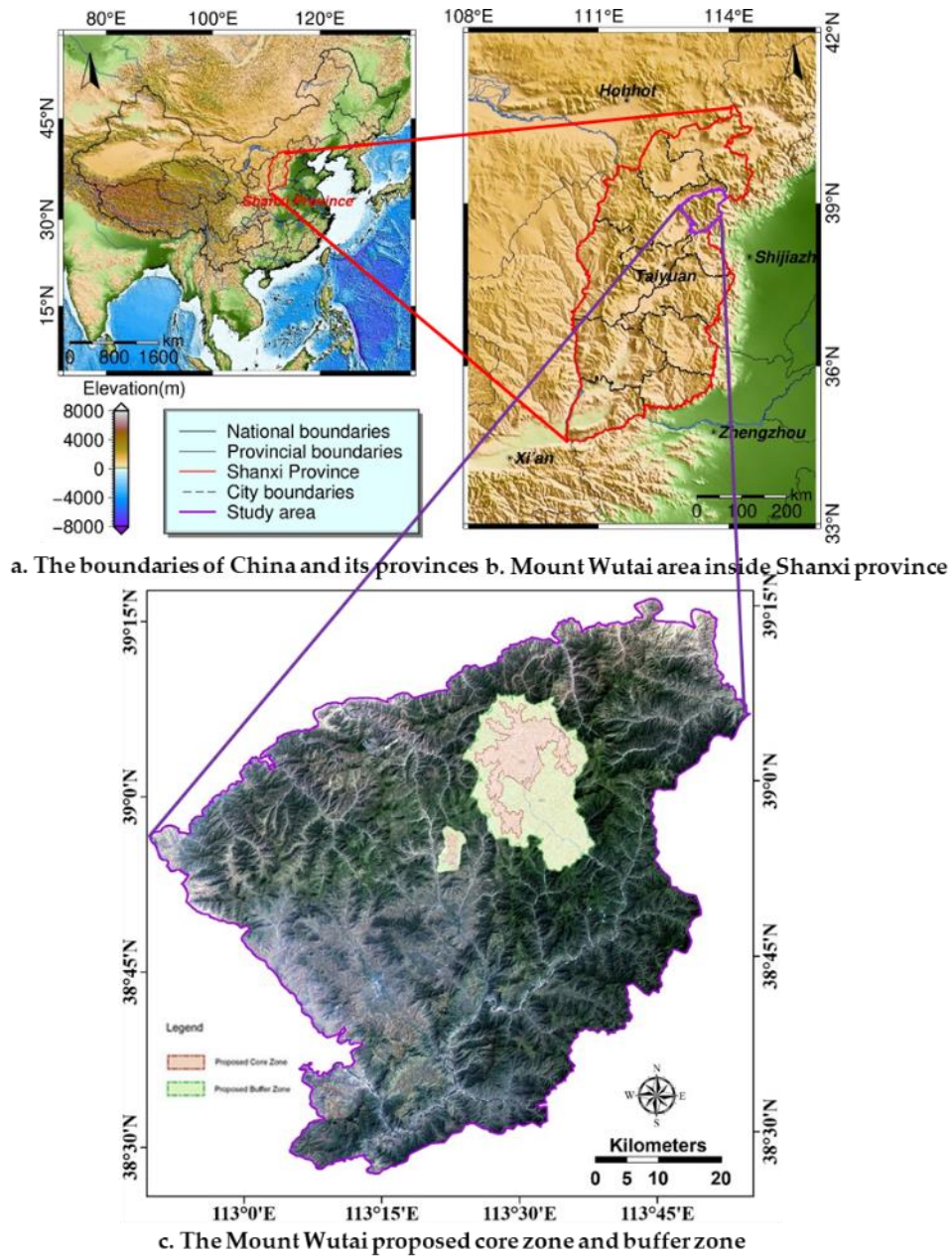


Figure1. Mount Wutai and research area

183

184

185

186 2.2. Data

187 This research uses multi-source and multi-temporal data. Firstly, The Modis NDVI products
 188 (MOD13Q1) generated by NASA are used to identify the seasonal variation of vegetation coverage
 189 and growth situation, 431 phase Modis NDVI products images from 2000 to 2018 with 250-meter
 190 spatial resolution and 16 days temporal resolution have been used to derive the mean NDVI value
 191 over Mount Wutai (Figure 2). Such results provide guidance to the image selection of Landsat data.

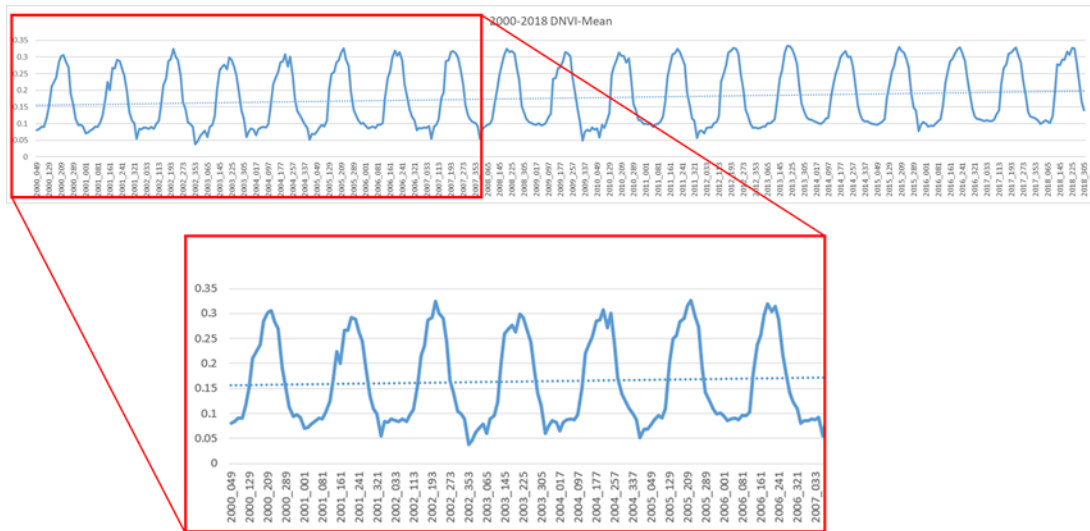


Figure 2. Mean NDVI of Mount Wutai from 2000 to 2018 based MODIS

Secondly, the main data in this research are Landsat time-series data. Those data captured from 1987 to 2018 by Landsat sensors, mainly including TM and OLI images with 30-meter spatial resolution and less than 90% cloud cover (based on a visual estimate). According to the mean NDVI of mount Wutai from 2000 to 2018 (Figure 2.) resulted from Modis NDVI products, regular patterns of seasonal dynamic are shown in the research area. Thus, the data during vegetation growing season from June to September are considered as the best choice to observe the situation of vegetation situation. However, as some years such as 1995, 1998 and 2018 cannot obtain cloud-free Landsat data during that time, we choose adjacent months data. In addition, to show the changes in significance, we choose data every 2 years. Overall, totally 12 phase data are shown in Table 1.

Table 1. The Landsat TM/OLI data from 1987 to 2018

	Jan	Feb	Mar	Apr	May	June	July	Aug	Sept	Oct	Nov	Dec
2018											OLI	
2016									OLI			
2013						OLI						
2010									TM			
2007									TM			
2004						TM						
2001							TM					
1998											TM	
1995					TM							
1992							TM					
1989									TM			
1987									TM			

Thirdly, slope data and aspect data generated by ASTER DEM with 30-meter spatial resolution are used as ancillary data to improve the classification accuracy.

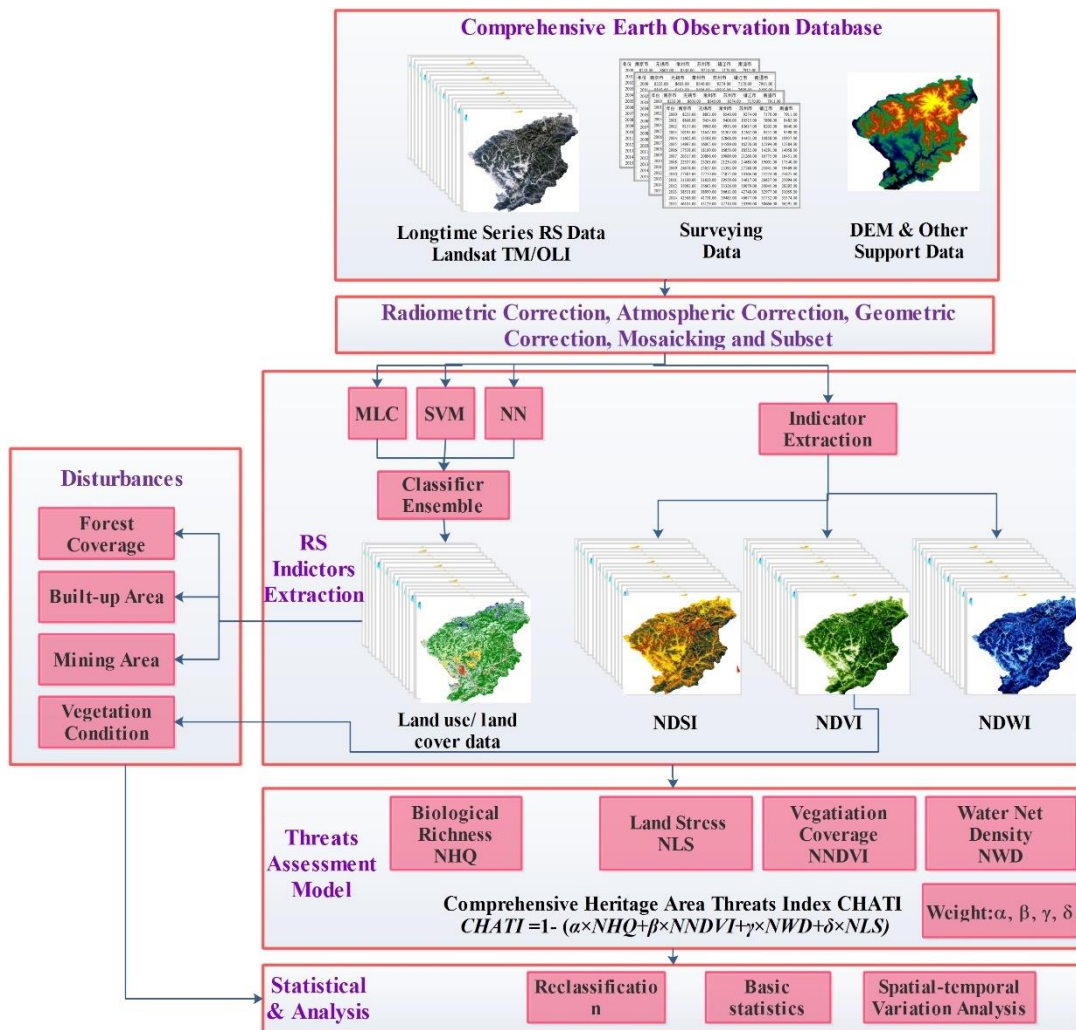
Finally, 1: 100,000 topographic map data, forest resources inventory data were collected from 2016 to 2017 are used in field survey.

All TM/OLI data are processed by ENVI and ArcGIS software. During the image preprocessing period, radiometric calibration is used to eliminate the error of the sensor itself and determine the exact radiation value at the sensor; and atmospheric correction is adopted to eliminate errors caused

212 by atmospheric scattering, absorption and reflection. Geometric correction is employed to eliminate
 213 the effects of terrain or deformation caused by camera orientation, mosaic and subset.

214 **3. Method**

215 Long-term time series data have proven to be useful in detecting LULCC, and the subsequent
 216 environmental modelling across the regional and global scales[86-88]. And some previous researches
 217 have successfully use time-series data in the protected areas[11,89-91]. In the research, 12 phases
 218 TM/OLI from 1987 to 2018 are the main time series dataset. Based on the indexes (NDVI, NDSI,
 219 MNDWI) and LULC data derived from Landsat time-series data in Mount Wutai, this paper identifies
 220 the potential risks and major disturbances of the WHA including biological abundance, land stress
 221 degree, vegetation coverage and water network density, and the threat is assessed comprehensively.
 222 Firstly, GIS and RS techniques are combined with multi-source data to extract those key factors from
 223 Landsat time-series imagery. Secondly, the typical characteristics of the heritage site are analysed
 224 from a single factor perspective, then a comprehensive evaluation system and the long-term sequence
 225 framework are established. The comprehensive risk of the heritage site is further evaluated. Finally,
 226 the result of single factors, such as the vegetation, and comprehensive evaluation are carried out
 227 across the perspective of spatial and temporal scales and the changes in the heritage site are analysed
 228 throughout the micro and macro scales. The overall method is shown in Figure 3.



229
 230 **Figure 3.** The technical framework

231 3.1. LULC and indices extraction

232 The LULC and indices (NDVI, NDSI and MNDWI) are the fundamental baseline data of this
 233 research. They are partially the key elements of land cover disturbances identification, and will all
 234 contribute to the comprehensive assessment framework. As shown in Figure 3, the LULC is derived
 235 from multi-source data, which includes the following steps; 1) selected Landsat data based on
 236 seasonal variation of vegetation coverage and growth situation, which statistics from Modis NDVI
 237 products in Mount Wutai; 2) making a radiometric correction, atmosphere correction, geometric
 238 correction and subset; 3) combining the ASTER DEM, slope data and aspect data with all bands of
 239 processed Landsat time-series data; 4) using 3 different single classifier Maximum Likelihood
 240 Classification (MLC), Neural Net Classification (NN) and Support Vector Machine Classification
 241 (SVM) to achieve 3 LULC classification results for each selected year, the classifications are able to
 242 differentiate 7 LULC categories: forest, grass, farmland, building, mining, soil and water. Based on
 243 validation, the SVM, MLC and NN shows a good accuracy in green space, building and bared soil in
 244 the study area. The 3 LULC classification results are fed into a classifier ensemble, where a simple
 245 majority or weight voting is chosen as the ensemble method, the ensemble classifier is the most
 246 suitable approach to capture multi-source and complementary information within each individual
 247 classifier, which is with the same principle of Random Forest classifier with respects to single decision
 248 tree[35]. A classification accuracy assessment is made to validate the results rigorously.

249 The main indices used in this paper are NDVI, NDSI and MNDWI. NDVI (Normalized difference
 250 vegetation index) is the ratio parameter of the near-infrared band (NIR) and infrared band (R)
 251 reflectance of remote sensing images. MNDWI (Modified Normalized Differences Water Index) is
 252 developed from NDWI[46], which can effectively extraction water information from remote sensing
 253 images. NDSI (normalized soil index) is developed from Soil Index (SI), where SI (Soil index) is
 254 combined with IBI (index based building index), and uses SAVI (soil-adjusted vegetation index) to
 255 exclude the vegetation influence. Consequently, NDSI is the suitable indicator for the building area
 256 and bared soil. The comprehensive detail of the indices (equations and further explanations) are listed
 257 in Table 2.

258

Table 2. The indices and explanations

Index	Equation	Variables Explain	References	Number
NDVI	$NDVI = (NIR - R) / (NIR + R)$	NIR: near-infrared band	[92,93]	1
MNDWI	$MNDWI = \frac{Green - MIR}{Green + MIR}$	R: infrared band	[46,94]	2
NDSI	$NDSI = (SI + IBI) / 2$	MIR: mid-infrared band	[95-97]	3
SI	$SI = \frac{(MIR + R) - (NIR + BLUE)}{(MIR + R) + (NIR + BLUE)}$	BLUE: blue band		4
IBI	$IBI = \frac{NDBI - (SAVI + MNDWI) / 2}{NDBI + (SAVI + MNDWI) / 2}$	GREEN: green band	[98]	5
SAVI	$SI = \frac{(SWIR - R)(1 + \ell)}{SWIR + R + \ell}$	SWIR: short-wave infrared band ℓ : soil adjust factor, data range is 0~1, 0 mean extremely high vegetation coverage, contrast 1 is very low. Normally the data is 0.5	[99]	6

259 3.2. Identification of Land Cover Disturbances

260 Disturbances can be divided into a variety of categories. In general, satellite-based remote
 261 sensing focuses on urbanization, road construction, mining, agriculture, fire, invasive species,
 262 hunting, grazing and drought. For the Mount Wutai Heritage area, as mentioned in the introduction,
 263 the main disturbances covered forest coverage, built-up area, mining area and vegetation conditions.
 264 The first three disturbances are derived from LULC data, and the vegetation conditions is
 265 characterised by NDVI [53]. Regional statistical approaches are employed to quantify the forest
 266 coverage rate, the built-up area and the mining area. The vegetation index is used to characterize the
 267 growth of vegetation in the region. According to Zhu (2013)[100], four vegetation indices: normalized
 268 difference vegetation index (NDVI), simple ratio Vegetation Index (SR), Modified Normalized
 269 Vegetation Index (MNDVI), Reduced Simpler Ratio of Vegetation Index (RSR) are compared in
 270 mountainous forests using Landsat TM data. Their research suggests that terrain is strongly
 271 influenced ratio vegetation index (RSR and MNDVI); meanwhile, SR and NDVI can largely eliminate
 272 the influence of terrain. And NDVI shows a better performance in this area. Therefore, NDVI is
 273 selected to characterize the vegetation growth in this study area.

274 3.3. Comprehensive assessment system of threats in the heritage area.

275 The comprehensive assessment system of threats in the heritage area is developed from EI
 276 (Environment Index), which is constructed according to the “Technical Specifications for Evaluation
 277 of Ecological Environment Conditions” of the Ministry of Resources and Environment, China[101],
 278 in this system, ecological environment conditions can be evaluated from six aspect: the biological
 279 richness, vegetation coverage, water network denseness, land stress, pollution load and
 280 environmental restriction. To systemically assess the environment conditions, each of the six aspects
 281 developed an index with a corresponding weight. This weight has been published as a guide book.
 282 Show as Table 3.

283 **Table 3.** The weight of EI

Indicators	Biological Richness Index	Vegetation Coverage Index	Water Network Denseness Index	Land Stress Index	Pollution Load Index	Environmental Restriction Index
Weight	0.35	0.25	0.15	0.15	0.10	Obligatory Target

284 In terms of the remote sensing assessment, the EECI (Ecological Environment Conditions Index)
 285 has been developed.

$$286 \quad EECI = \alpha \times NHQ + \beta \times NNDVI + \gamma \times NWD + \delta \times NLS$$

7

287 This system is focused on the measurable indicators, thus, environmental restriction index will
 288 not be considered here. In addition, the pollution load index is characterized by chemical oxygen
 289 demand, ammonia nitrogen, sulfur dioxide, dust, nitrogen oxides and solid waste in EI, those
 290 indicators show the bearing capacity of the environment for a specific area. Due to those data cannot
 291 be effective captured by remote sensing techniques and at some specific areas, the bearing capacities
 292 always keeping stable despite some emergency situation. The weight of the pollution load index can
 293 be a close to 0. Moreover, in the protected areas, vegetation situation could be more sensitive than
 294 another area, thus, the weight of vegetation coverage index can be a trend to 0.35. Finally, the EECI
 295 consist of biological richness index, vegetation coverage index, water network denseness index and
 296 land stress index. Overall, the coefficients in this equation are similar to EI, α is 0.35, β is 0.35, γ is
 297 0.15 and δ is 0.15.

298 The NHQ (normalized habitat quality index) is characterized by spatial data after bio-abundance
 299 using LULC type reclassification. NNDVI (normalized NDVI) is characterized by normalized
 300 vegetation index NDVI. Due to NDVI is extremely sensitive to the surface vegetation and vegetation

301 growth situation. Thus, it is considered as an effective indicator for monitoring regional vegetation
 302 and ecological environment changes. The MNDWI has been tested on remote sensing images with
 303 different water types, and most of them have achieved better results than NDWI, especially the
 304 extraction of water bodies within the urban area. Also, MNDWI can easily distinguish between
 305 shadows and water bodies, which solves the difficulty of eliminating shadows in the water extraction
 306 problem. NWD (normalized water network denseness) can be characterized by MNDWI. NLS (the
 307 degree of land stress) is the land stress to the environment at the regional level, the stress comes not
 308 only from bared soil but also from the built-up area. NDSI combined IBI with SI can avoid the loss of
 309 information on construction area by applying SI, in such a case NLS is characterized by NDSI.

310 In terms of the EECI, high value of EECI indicates the ecological environment conditions is more
 311 sustainable and less influenced by the disturbance. Instead, a low value means a high threat in this
 312 area. In order to analyze the result consistent with the threat value, the CHATI has been defined as:

$$313 \quad CHATI = 1 - (\alpha \times NHQ + \beta \times NNDVI + \gamma \times NWD + \delta \times NLS) \quad 8$$

314 WHA threat assessment index is the indicator to determine the existing risks of the WHA at the
 315 specific time. The value of CHATI is calculated from a lot of spatial variables. The weights for each
 316 parameter and indicator come from expert experiences and domain knowledge. According to the
 317 weights of EECI and multiple Tests, the final weights are shown in Table 4.

318 **Table 4.** Weights of each indicator for calculating CHATI

Parameter	Weight	Indicator			
		Indicator	Weight	LULC	Weight
Biological Richness Index	0.35	BI	0	Forest	0.35
				Grass	0.21
		HQ	1	Water	0.28
				Farmland	0.11
				Built-up Area	0.04
				Unused Land	0.01
Vegetation Coverage Index	0.35	NDVI			
Water Network Denseness Index	0.15	NDWI			
Land Stress Index	0.15	NDSI			

320 3.4. Variation trends of NDVI and CHATI

321 As the ecological environmental comprehensive assessment indicators are spatially different, in
 322 order to systematically explore the spatial variability and space-time characteristics of the
 323 comprehensive ecological environment indicators in the regional level, the method of linear
 324 regression analysis[102] + F test[103] is widely used in the spatial analysis and can provide a reliable
 325 results[104-106], with the year as the independent variable. To determine the eco-environmental
 326 quality of the CHATI and NDVI indices as dependent variables, a linear regression equation for each
 327 pixel is constructed in the study area:

$$328 \quad \theta_{slope} = \frac{n \times \sum_{i=1}^n i \times C_i - \sum_{i=1}^n i \sum_{i=1}^n C_i}{n \times \sum_{i=1}^n i^2 - \left(\sum_{i=1}^n i \right)^2} \quad 9$$

329 θ_{slope} is the slope of the regression equation for NDVI and CHATI; n is the number of years of the
 330 study period (11a for 1987-2018); i is the year number from 1 to 12, and C_i is the NDVI and CHATI
 331 sequence data for the study subjects. If θ_{slope} is positive, it indicates that the research object changes
 332 with time, and the larger the value, the more obvious the upward trend; otherwise, the research object
 333 declines. The significance test of the trend can also be tested. The significance only represents the

334 level of confidence in the trend change and has nothing to do with the speed of change. Its calculation
 335 formula is as follows:

$$336 \quad F = U \times \frac{n-2}{Q} \quad 10$$

$$337 \quad U = \sum_{i=1}^n (\hat{y}_i - \bar{y})^2 \quad 11$$

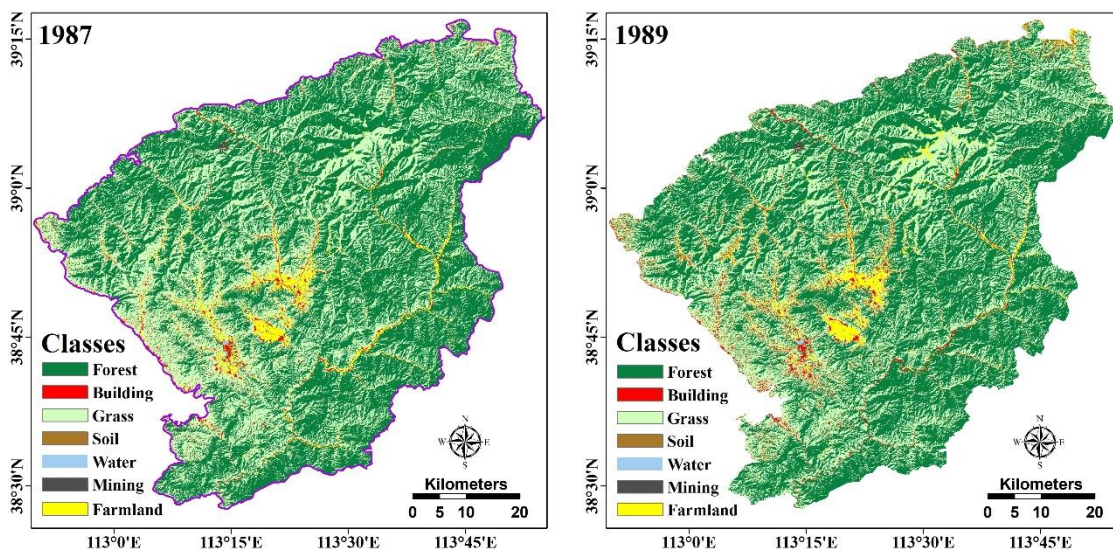
$$338 \quad Q = \sum_{i=1}^n (y_i - \hat{y}_i)^2 \quad 12$$

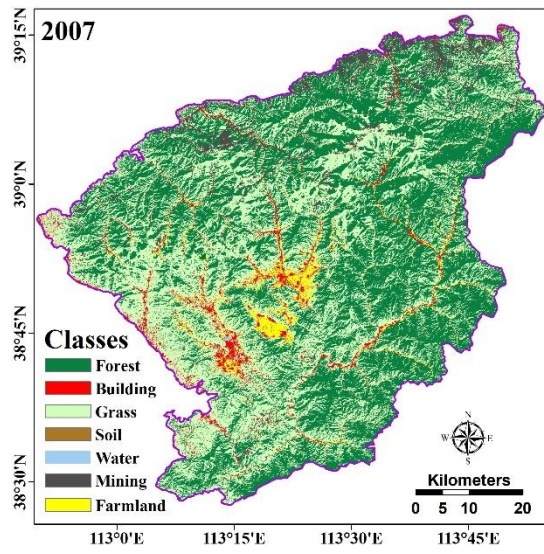
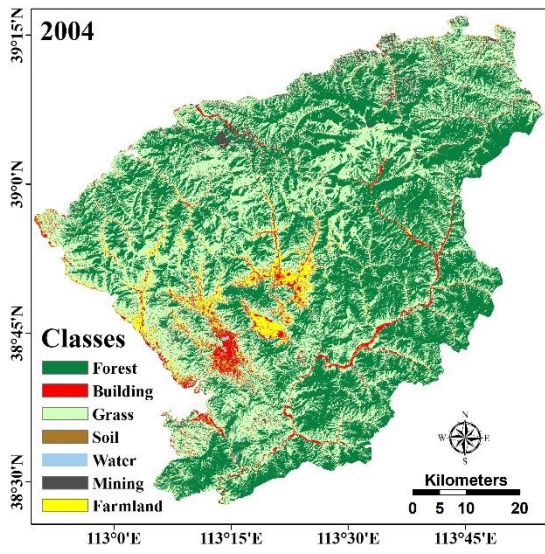
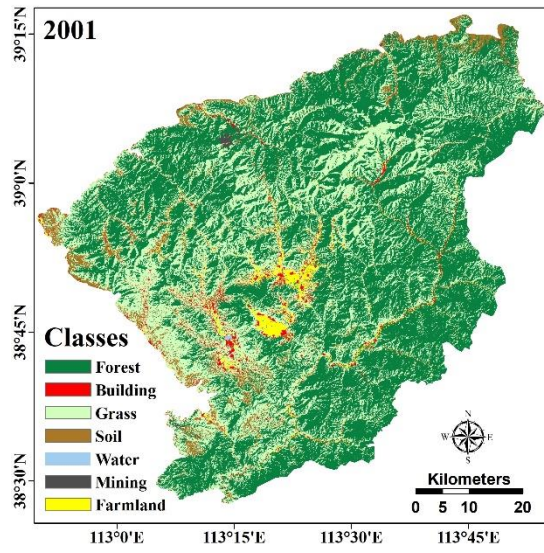
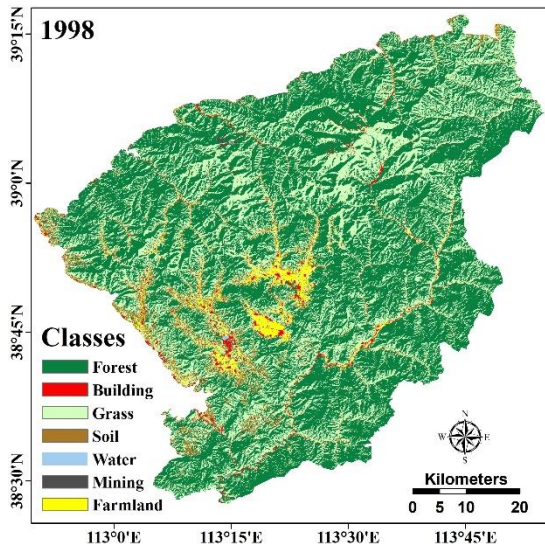
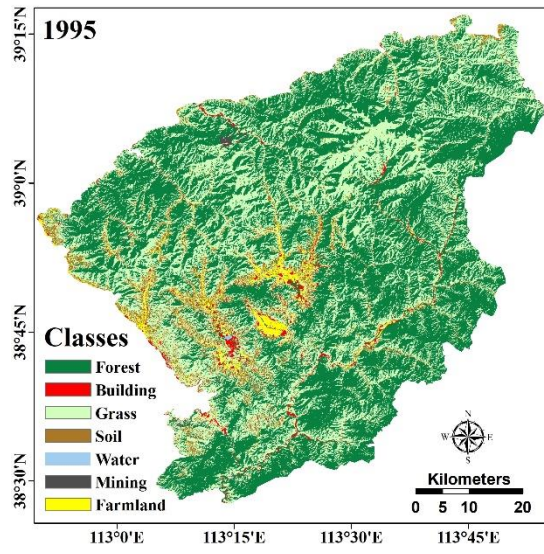
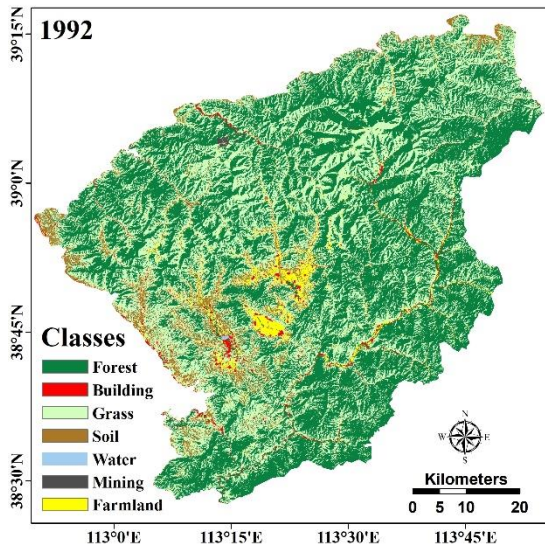
339 In the formula, the U is sum of the squares of the regression; Q is the sum of the squares of the
 340 errors, in which y_i is the actual observation value of the year, i and \hat{y}_i is the regression value, which is
 341 the average value of 12 years, while \bar{y} is the number of years. According to the test results, the trend
 342 is divided into the following seven levels: significant decrease (Slope<0, P<0.05); decrease (Slope<0,
 343 0.05<P<0.1); slightly decrease (Slope<0,0.1<P); slightly increase (Slope>0,0.1<P); increase
 344 (Slope>0,0.05<P<0.1); significant increase (Slope>0, P<0.05)

345 **4. Result and analysis**

346 *4.1. The distribution and variation of main disturbances*

347 Land Use/ Land Cover change based remotely sensed time-series imagery has been widely used
 348 in the land resource monitoring and management[107]. This method can select different classification
 349 categories depending on the research objectives[108]. We focused on the forest, grass land, mining
 350 area, farmland, building, water and bared soil in this research to understand the disturbances in
 351 WHA. The LULC maps from 1987 to 2018 are generated by a supervised classifier ensemble as shown
 352 in Figure 4.





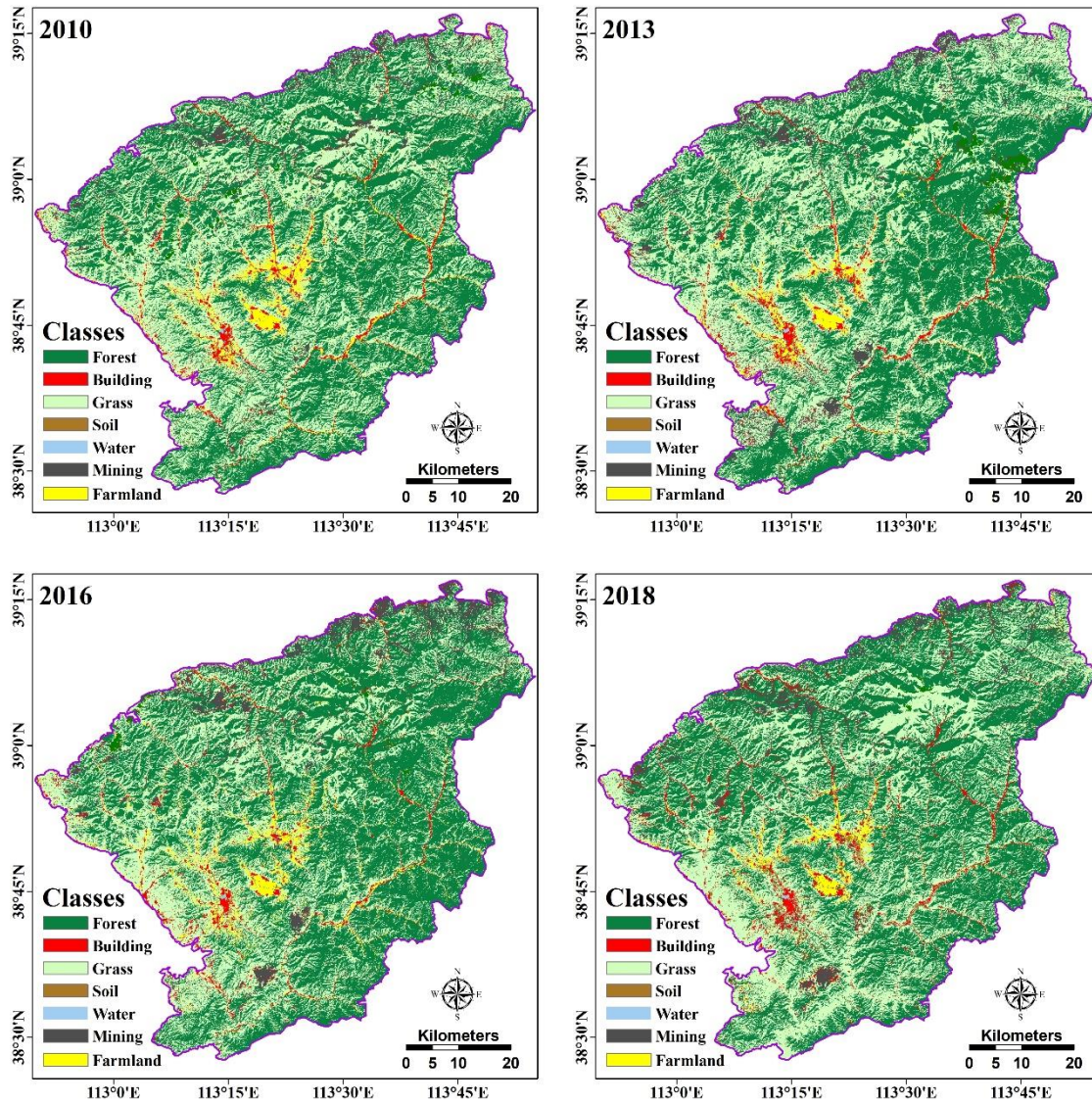


Figure 4. The Land Use/ Land Cover (LULC) of Mount Wutai from 1987 to 2018

Those LULC maps obtained from remote sensing data are accompanied by a certain error probability[109]. There are many reasons that could lead to error probability, such as the selected classifier (based different algorithms), image quality (cloud coverage, sensors type and so on), training data quality[107]. To assess the classification results, the confusion Matrix including Overall Accuracy (OA) and Kappa Coefficient[110] were adopted in this research (Table 5). From this table, the majority of the OA is over 85% which is considered as the acceptable classification accuracy in the remote sensing applications[111].

Table 5. The accuracy of the classification

Year		1987	1989	1992	1995	1998	2001	2004	2007	2010	2013	2016	2018
Accuracy	Overall Accuracy (%)	90.47	89.99	93.10	86.50	92.90	90.88	95.17	92.83	93.54	93.16	93.89	94.44
	Kappa Coefficient	0.91	0.91	0.92	0.85	0.93	0.90	0.95	0.92	0.93	0.92	0.93	0.94

To better understand the changes between all categories, 11 from-to table that includes the area of the LULC classes for each selected year has been listed (Table 6).

Table 6. The from-to table from 1987 to 2018 for each selected year

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Year	1987										Row Total	Class Total						
Classes	FOREST	BUILDING	SOIL	WATER	MINING	FARMLAND	GRASS	SOIL	WATER	MINING	FARMLAND	GRASS	SOIL	WATER	MINING	FARMLAND	GRASS	
1989	2396.16	3.86	2.68	0.01	13.34	18.21	180.79	2615.05	2615.05	2615.05								
1992	186.67	18.67	12.73	0.00	5.36	27.42	1542.38	1793.25	1793.25	1793.25								
1995	207.95	15.08	104.72	71.34	0.00	9.99	26.17	1825.26	1825.26	1825.26								
1998	2349.04	4.80	322.17	10.65	0.04	4.55	3.70	2694.95	2694.95	2694.95								
2001	347.51	25.62	1190.38	78.97	0.04	10.89	21.64	1675.05	1675.05	1675.05								
2004	1945.77	1.62	219.82	0.00	0.10	41.42	0.30	2209.04	2209.04	2209.04								
2007	200.14	40.27	1681.88	0.50	0.41	60.72	38.91	2022.82	2022.82	2022.82								
2010	1862.08	1.63	402.00	0.01	0.08	23.11	17.39	2306.30	2306.30	2306.30								
2013	320.00	34.16	1472.83	0.22	0.30	72.74	53.43	1953.67	1954.03	1954.03								
2016	2262.76	133.92	2022.01	0.36	3.22	213.51	146.02	0.00	0.00	0.00								
2018	2018.30	5.37	176.55	1.80	0.03	42.04	14.59	2258.69	2258.69	2258.69								

Year	1989										Row Total	Class Total						
Classes	FOREST	BUILDING	GRASS	SOIL	WATER	MINING	FARMLAND	GRASS	SOIL	WATER	MINING	FARMLAND	GRASS	SOIL	WATER	MINING	FARMLAND	GRASS
1992	2233.36	4.41	199.97	4.75	0.02	6.90	12.49	2461.91	2461.91	2461.91								
1995	178.22	32.33	1349.20	72.84	0.00	3.42	23.73	1661.74	1661.74	1661.74								
1998	2347.97	13.45	359.16	8.52	0.07	17.80	1.21	2748.17	2748.17	2748.17								
2001	2042.90	0.27	129.77	0.14	0.00	4.72	0.35	2178.15	2178.15	2178.15								
2004	183.39	33.11	1699.17	0.15	0.04	89.13	17.03	2022.01	2022.01	2022.01								
2007	1997.00	1.49	227.64	0.01	0.07	35.69	0.86	2262.76	2262.76	2262.76								
2010	183.39	33.11	1699.17	0.15	0.04	89.13	17.03	2022.01	2022.01	2022.01								
2013	2026.06	6.08	348.59	0.65	0.01	29.35	7.52	2418.26	2419.17	2419.17								
2016	2493.91	34.50	1390.82	1.56	0.02	85.55	35.69	1798.04	1798.73	1798.73								
2018	2018.30	5.37	176.55	1.80	0.03	42.04	14.59	2258.69	2258.69	2258.69								

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From the data statistic (Figure 5) and LULC maps, the development of Mount Wutai over 30 years from 1987 to 2018 is demonstrated comprehensively. Overall, forest coverage of Mount Wutai is in decline. On the contrary, building area shows a significant increase. The mining area explosion to a peak point and then slowly decrease. The bared soil shows an increasing trend. Whereas the farmland decreases initially with a slight increase thereafter. Small area of water is found in Mount Wutai, where the area keeps stable. in terms of the grassland, its area fluctuates drastically. Detailed description of each category and disturbances are illustrated as follows.

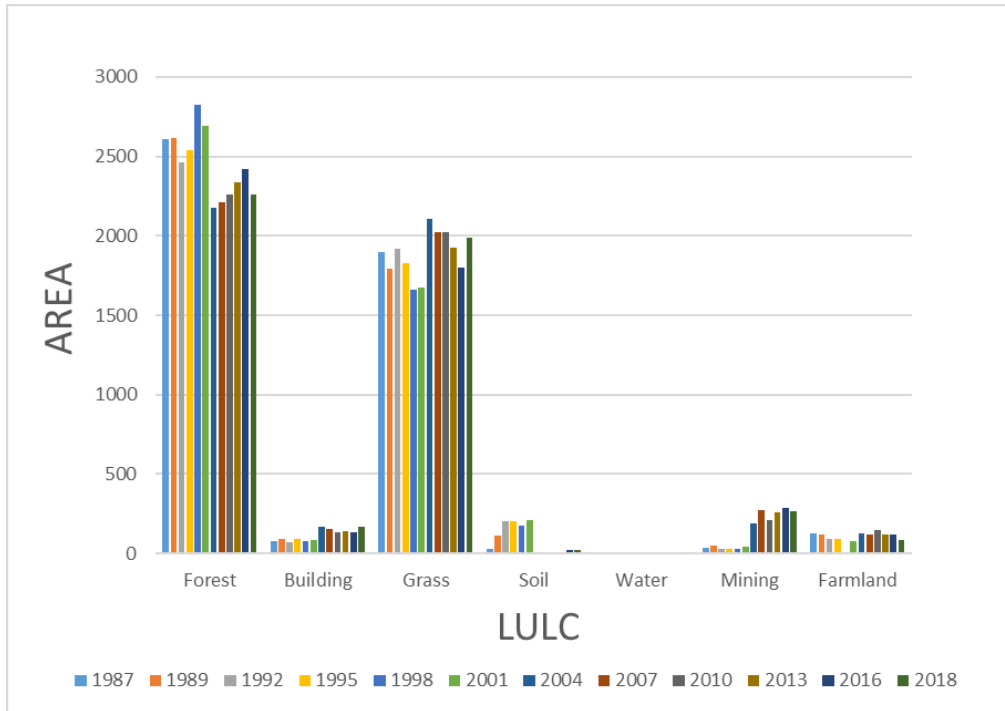


Figure 6. The total area of each LULC categories from 1987 to 2018 (Km²)

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Firstly, this paper focus on the four main disturbances of this area which has been identified in the methodology. respectively, forest coverage, vegetation conditions, built-up area and mining area.

Forest coverage is derived from the LULC map. From the macro-perspective, the forest coverage of Mount Wutai has declined in fluctuation. In 1982, Mount Wutai was approved by the State Council to be included in the list of the first batch of national scenic spots[81]. It raised the public’s awareness on protecting green vegetation. At the same time, Wutai County was only 0.2 billion RMB Yuan in 1987 according to the references the GDP (Gross Domestic Product)[112], the secondary industry only occupied 14.5%[83], where the majority of people lived in the countryside[112]. Thus, the human activities had a little influence on the environmental of Mount Wutai. The forest coverage remained stable at that time. In 1992, Mount Wutai was becoming a national forest park. Government of Wutai County received the special funds to plant more trees and protect the environmental of Mount Wutai[81], where forest coverage reached a peak point. However, since the 1990s, industrialization has been increasing across the whole Shanxi Province, China[113] (Figure 7). Human activities became the main factor of regional and environmental balance[85]. From the from-to table (Table 6),

Gross Domestic Product of Shanxi Province (10,000 yuan)

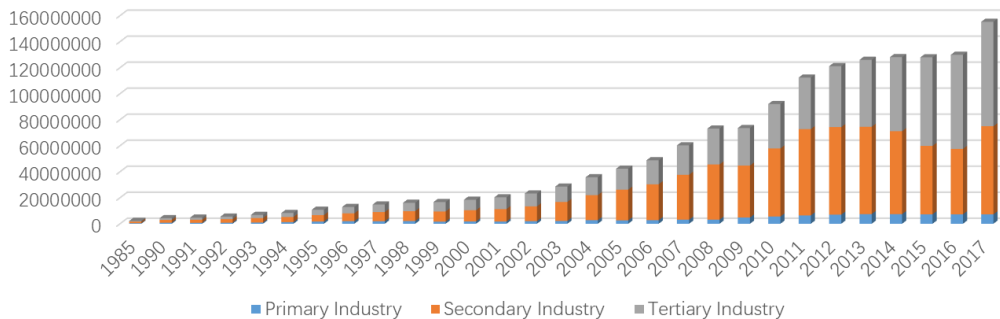


Figure 7. The Gross Domestic Product (GDP) of Shanxi province and Wutai county

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some of the forests had been changed into a built-up area or mining area. In 2000, the government of Mount Wutai prepared to apply to be a World Heritage Site[114]. A tree planting program began to show a positive impact of forest coverage. Only in 2017, 3314,863 Hectare trees have been planted in the Mount Wutai area [113]. From the micro perspective, forest in the LULC maps shows an increase around the core protected area of Mount Wutai. The main degradation area is concentrated in built-up area and mining area. It is consistent with the existing literatures[83,112-114].

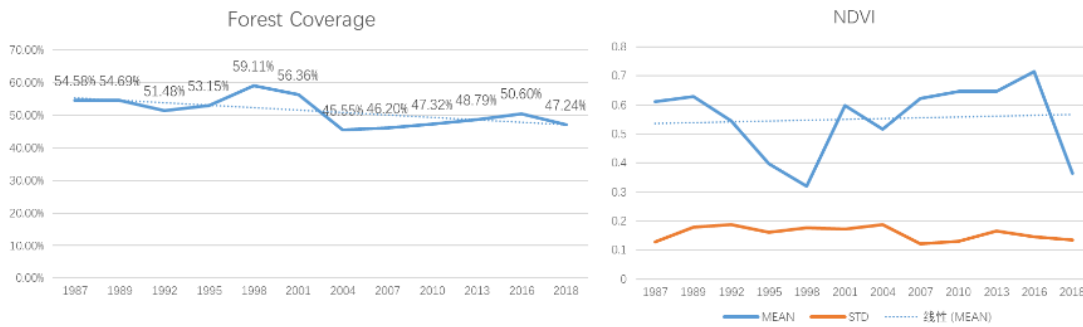
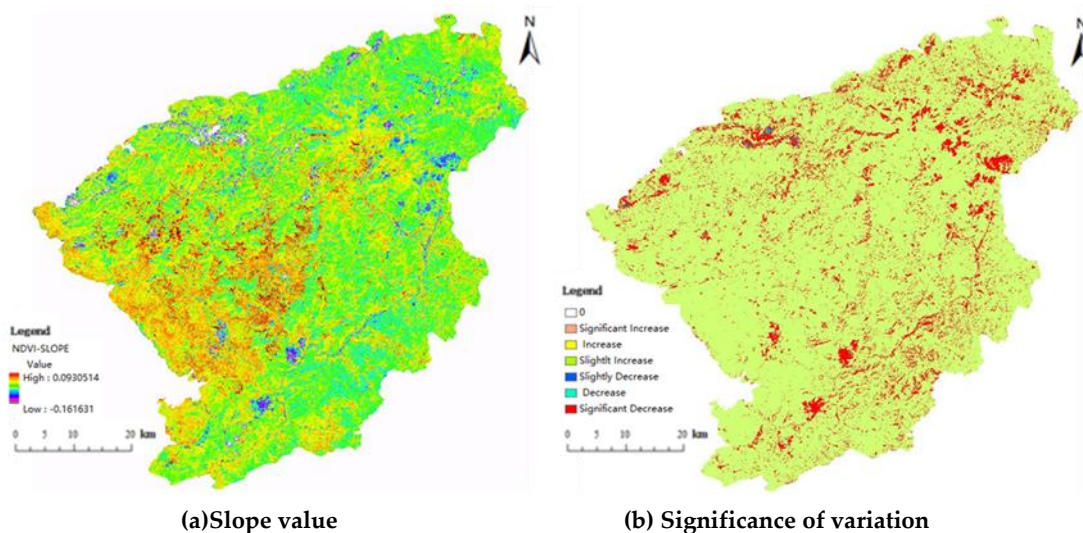


Figure 8. The forest coverage and mean NDVI of Mount Wutai from 1987 to 2018

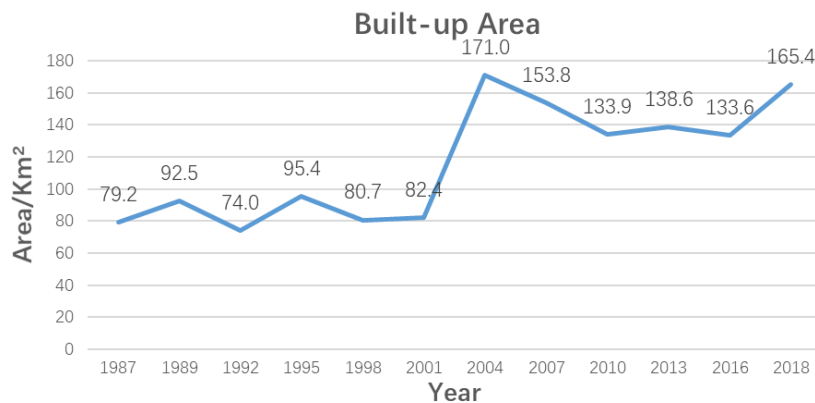
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For the vegetation conditions, there is a large number of remote sensing index has the ability to efficiently and effectively characterise vegetation conditions[46,93,99,100,115], in this paper, NDVIs generated from Landsat images are adopted for each selected year to indicate the vegetation conditions. For entire Mount Wutai, the mean NDVI keeps stable, despite the seasonal effective in 1995, 1998 and 2018 (Figure 8). To identify the spatial variation of vegetation conditions, a significance of variation in NDVI based on F-test shows in Figure 9. This figure can directly show the trend in changes of each pixel. As the figure shows, the vegetation condition significance decreases in the mining area and built-up area, and the slight red line in the map is consistent with the road network that has been built over the last 30 years.



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Figure 9. The spatial distribution of the variation trend of NDVI value 1987-2018 in Mount Wutai

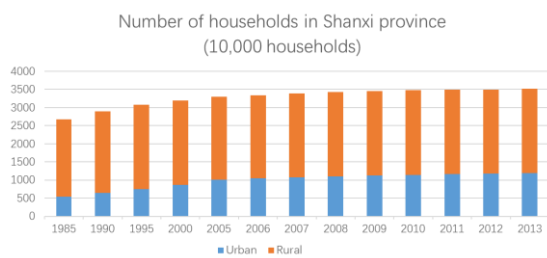


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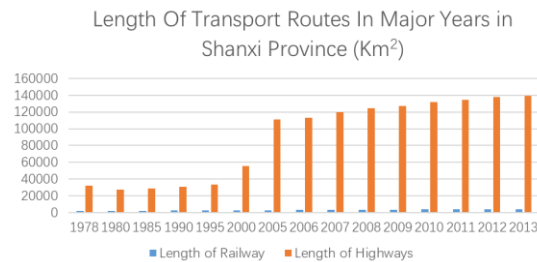
Figure 10. The built-up area of Mount Wutai from 1987 to 2018

Meanwhile, the built-up area fluctuated dramatically as shown in Fig 10. Over the period from 1987 to 2001, the number household in Shanxi province increase at fast pace[84] (Figure 11). However, the urbanization process has not significantly influenced the Wutai county due to the slow economic development, and the area is stable between 50 Km² to 100 Km². In 1994, central

417 government of China made a decision to reform the urban housing system[116]. This event
 418 transferred the urban house system from public housing system into housing market system [117].
 419 After that, crowd real estate companies rush into the province level house market and county level
 420 house market[118]. The year 2001-2004 witnesses a sharp rise in the built-up area, and in 2004 the
 421 built-up area has become more than 170 Km², for which another reason is because fundamental
 422 infrastructure investment sharply increased in the whole Shanxi Province[113] (Figure 12). For Mount
 423 Wutai, the total road length increased from 100 Km in 1987 to 1227 Km in 2017[119]. But, after 2004,
 424 the population of Mount Wutai area increase slightly[120], due to an increasing number of people
 425 migrate to the large cities[121]. In addition, the investment of fundamental infrastructure has also
 426 abandoned. the built-up area began returning into a stable stage.



427
428 **Figure 11.** Number of households



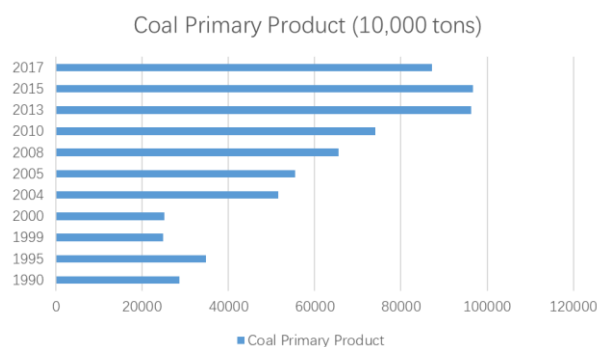
429
430 **Figure 12.** Length of transport routes

431 Mount Wutai has abundant mineral resources, including 30 kinds of metal and non-metal
 432 mineral products, and among them, iron ore and coal most common[112]. Consequently, the
 433 exploitation of mineral resources is also an important part of the development in Mount Wutai. From
 434 2011 to 2014, more than half tax from that industry[120] (Table 7). Owing to the limitation of the
 economy and technology, the mining area

435
436 **Table 7.** The tax from industry in Wutai County from 2011 to 2014

	2011		2012		2013		2014	
	The tax amount	Percentage of all tax	The tax amount	Percentage of all tax	The tax amount	Percentage of all tax	The tax amount	Percentage of all tax
Magnesium industry	1438	5.6%	2007	7.8%	2714	8.6%	1551	4.6%
Coal industry	No data	No data	No data	No data	434	1.4%	5428	15.9%
Electric power industry	4060	25.5%	8080	31.3%	8359	26.5%	1958	7.2%

437 remains steady from 1987 to 2001 and has not surpassed 50 Km². The year 2001, due to the high
 438 demand in coal and iron, all Shanxi Province expand production capacity for industrial
 439 production[113](Figure 13), and consequently, the economy of Wutai County developed rapidly[119]
 440 (Figure 14), with a fundamental shift towards growing energy markets. The mining area in Mount
 Wutai expanded dramatically, which is the second reason of the downturn of the forest



441
442 **Figure 13.** Coal primary product

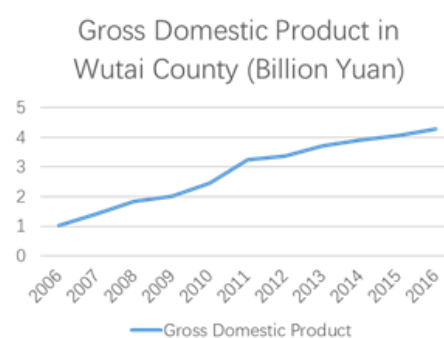
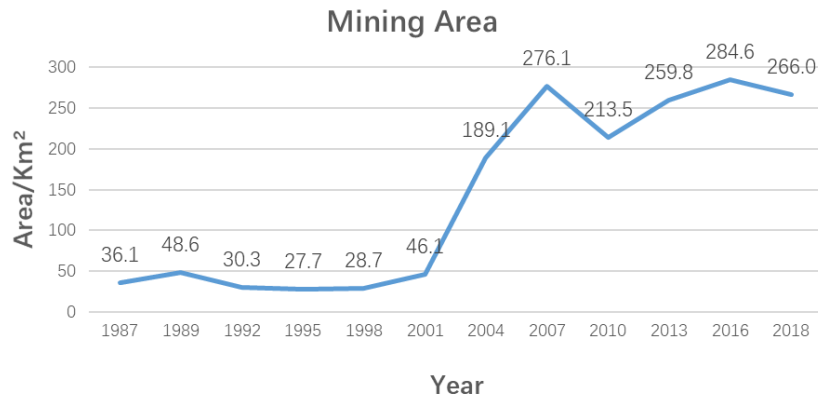


Figure 14. GDP in Wutai county

443 coverage, and reaches a peak at almost 300 Km² in 2007. During that time, a lot of illegal coal mining
 444 surface appeared[122,123]. In 2008, the energy market of Shanxi Province has suffered from a cold
 445 winter, due to the world economic crisis[124,125]. The development of mining areas tends to be stable.
 446 During the following three years, the mining area has a small amount of decline. Since 2010 to 2016,
 447 the state government processed the coal company reorganization and resource product optimizing
 448 programme[126], this programme aims to optimize allocation of resources and improve safety system.
 449 Most of the illegal mining sites has been shut down. Since that, it begins to develop in a stable stage
 450 (Figure 15).

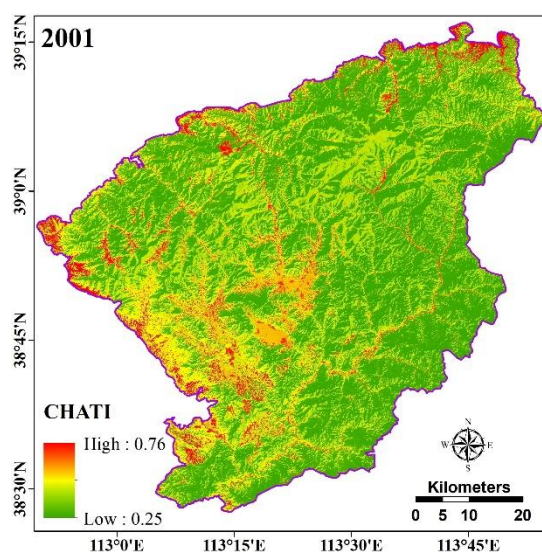
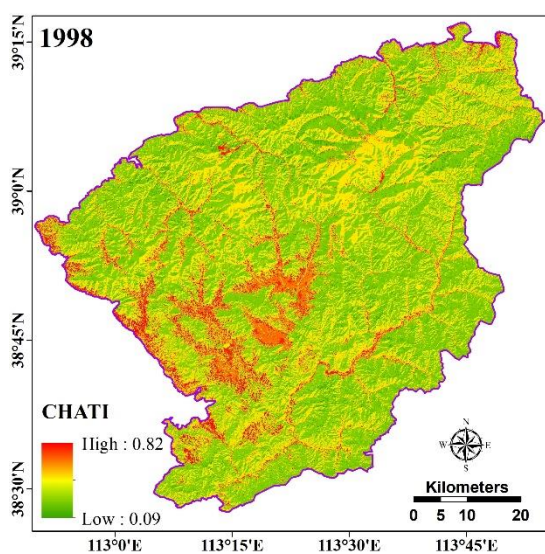
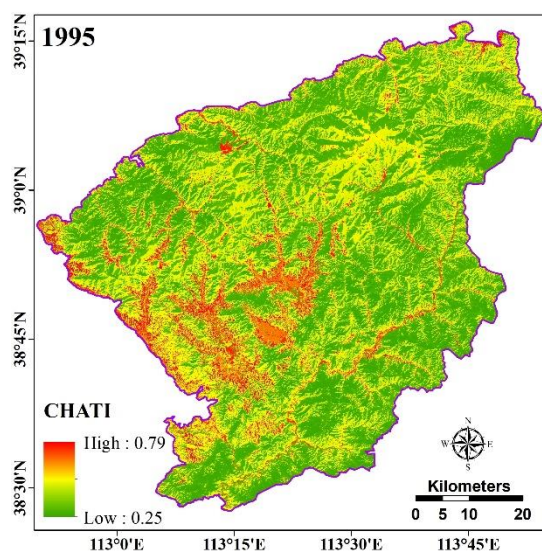
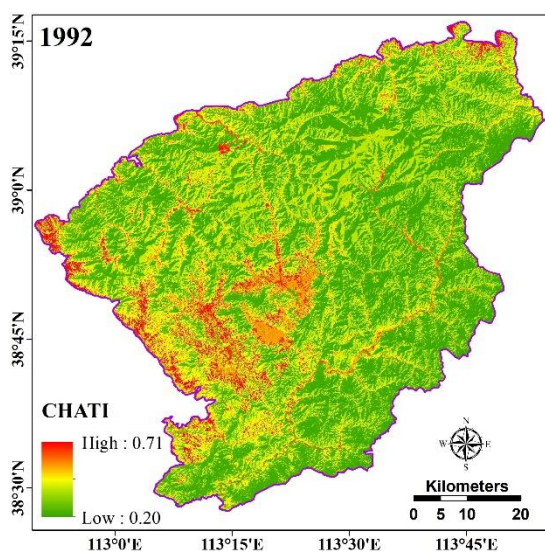
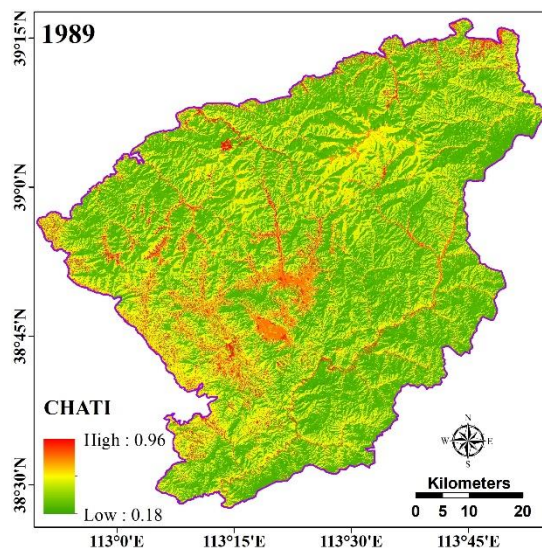
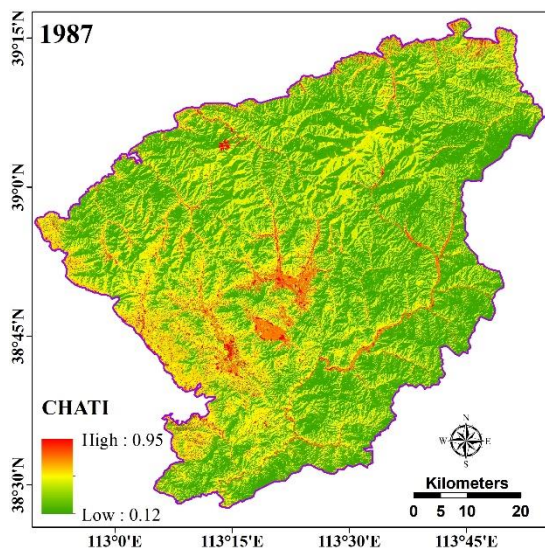


451 **Figure 15.** The mining area of Mount Wutai from 1987 to 2018

452
 453 This paper also discussed the bare soil, farmland and grass land (Figure 4). Although some
 454 researchers[127,128] thought bared soil is debatable in this kind of research. Mount Wutai area is a
 455 single-season crop area, the seasonal effective is significance. thus, the farmland is bared for more
 456 than half a year. In addition, the landscape of research area is loess plateau, it is no different between
 457 bared soil and bared farmland. Therefore, it is inaccuracy to evaluate the change of bared land by
 458 total area. However, this paper provides a method based on remote sensing and spatial-temporal
 459 analysis, it focuses on the spatial variation at the pixel level, in other words, despite measure bare soil
 460 in such area might be inaccurate, it works at the pixel level. Therefore, it seems increased from 1989
 461 to 1992, but if put it in the spatial level, the variation area is grassland or bared soil, and according
 462 the table of GDP and population, it could not be the human activities influence. It shows a seasonal
 463 effect. From this point of view, some grassland, farmland (bared farmland, terraced fields) and bare
 464 soil are changing each other frequently in the study area. Therefore, it is inaccurate to describe the
 465 change of themselves. Overall, bared soil and farmland together show an increasing tendency. The
 466 grassland tends to degrade. Water in Mount Wutai keeps stable.

467 4.2 Comprehensive heritage threats assessment index

468 Disturbances in specific area are complex and interaction drastically, those disturbances
 469 sometimes show synergistic effects to environment, on the contrary they are antagonism. For
 470 disturbance itself, some are positive to the environment, whereas others are disturbances. Focusing
 471 on Mount Wutai, the changes and influence of those four main disturbances can be identified directly,
 472 however, it is unknown how it works if put them together. The comprehensive heritage threats
 473 assessment index can explain it well despite some drawbacks of this index. Based on comprehensive
 474 heritage threats assessment index mentioned in the methodology, 12 phases CHATI from 1987 to
 475 2018 has been generated (Figure 16). In this map, the deep red color stand for extreme high threats
 476 and the deep green stands for extreme safety.



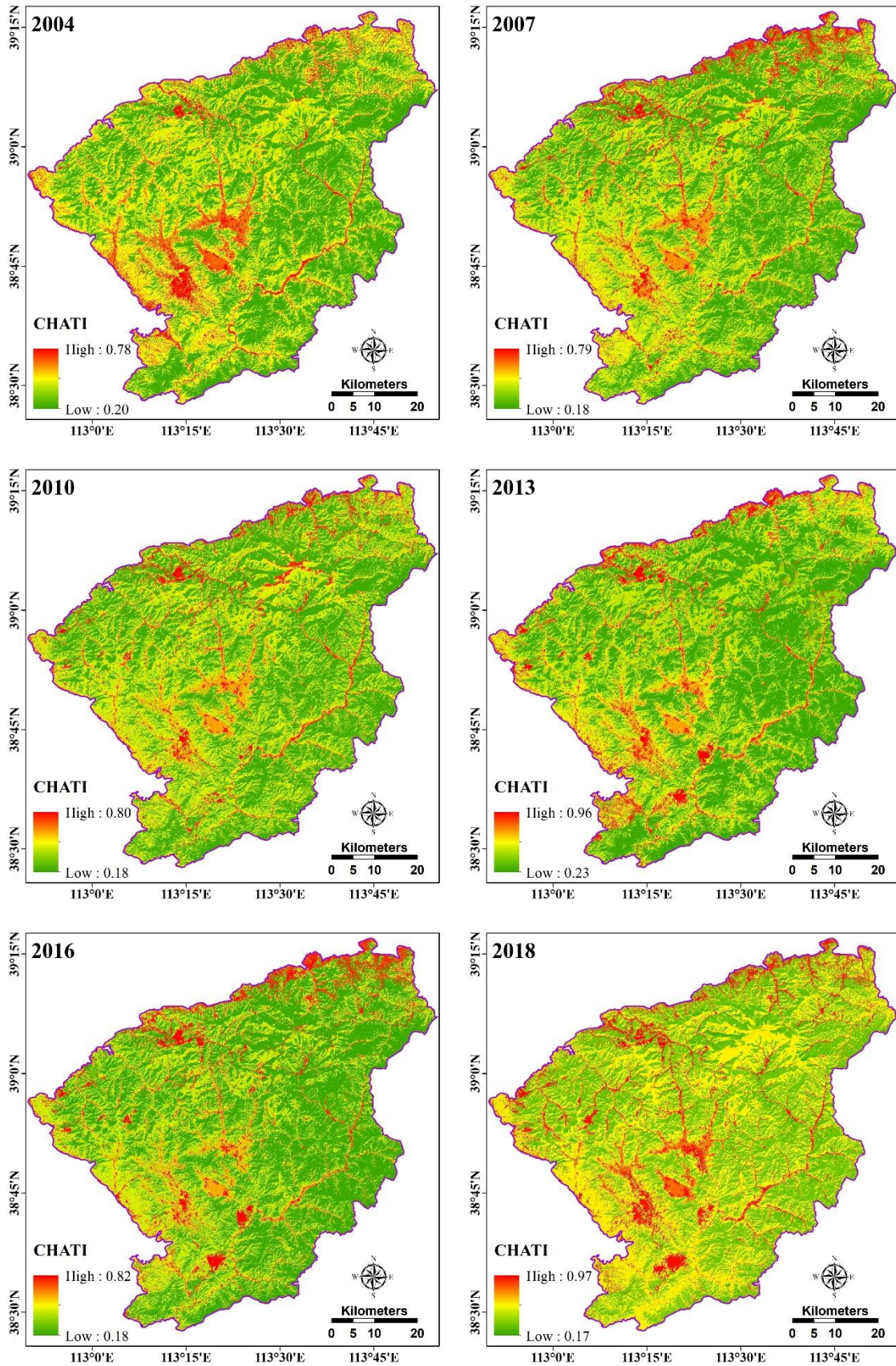
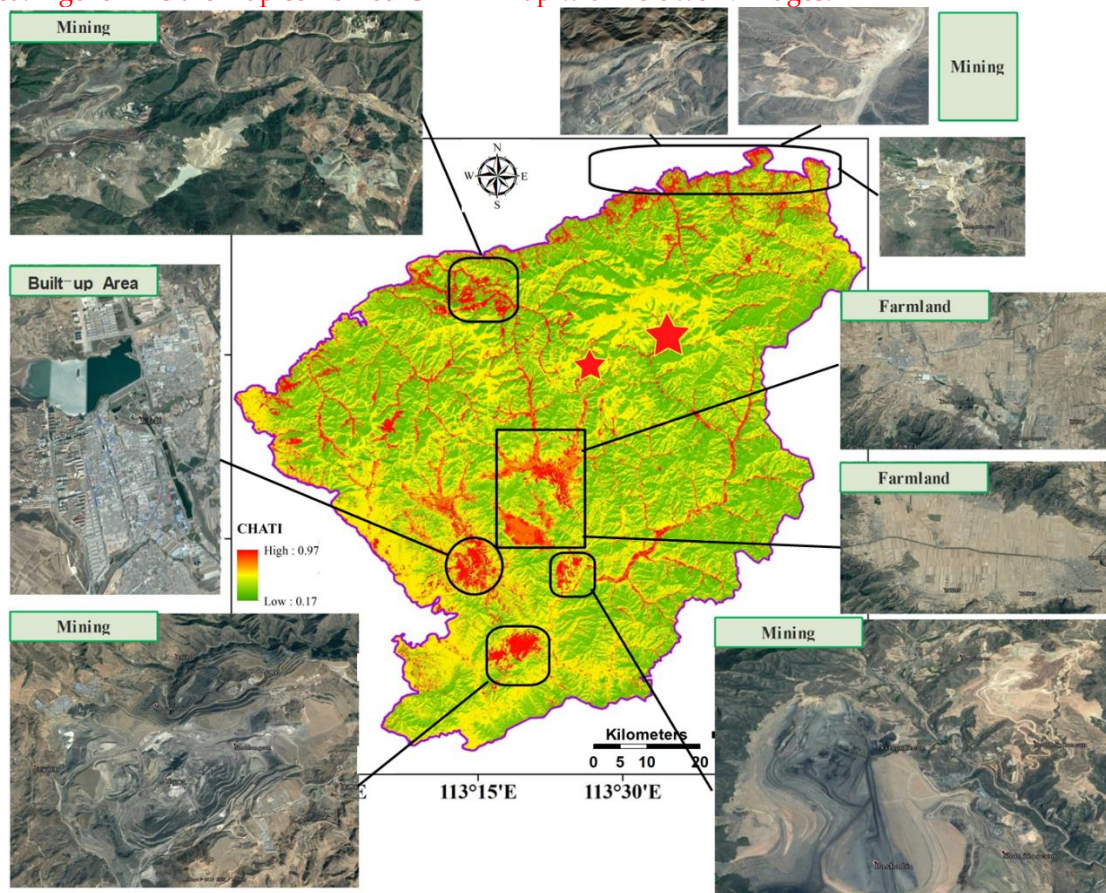


Figure 16. The CHATI from 1987 to 2018

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To identify the accuracy of CHATI, research team selected the most recent CHATI map and do the field validation. In the CHATI map of 2018, most of the threatened areas are located in the north part, northwest part and southwest part of Mount Wutai. Through the field works, it is found that

481 the high threaten area in the north part of Mount Wutai is the hematite and magnetite producing
 482 region, so there are huge human activities at the foot of the mountain. For instance, the mountain is
 483 blasted to take minerals and the crude ores has been crushed and levitated. During the whole process,
 484 it produces a large number of tailings. At the same time, although they are legal mining area, due to
 485 the poor management in that area, there are visible tailings pond scattered all over the mountain.
 486 There is a long history of hematite mines as well in the northeast named Shanyangping. Focus on the
 487 southwest of Mount Wutai, there are two open basins, located in Doucun Town and Rucun Town,
 488 which are mainly used for agricultural production. Theoretically, farmland should not contribute
 489 high threats to the environment, however, as this paper mentioned above, farmland in this area
 490 shows a significance seasonal effective (see this area in different year maps based on Figure 16), more
 491 than half a year area bared farmland. It pushes a high land stress to the environment. Wutai County,
 492 the largest built-up area in the study area, is also located there. There are two open-pit mines around
 493 Wutai County. Although it has been closed since 2016, it had been continuously expanding for the
 494 past three decades. This area also contributes a large number of threat disturbances to the entire study
 495 area. Figure 17 is the map combined CHATI map with fieldwork images.

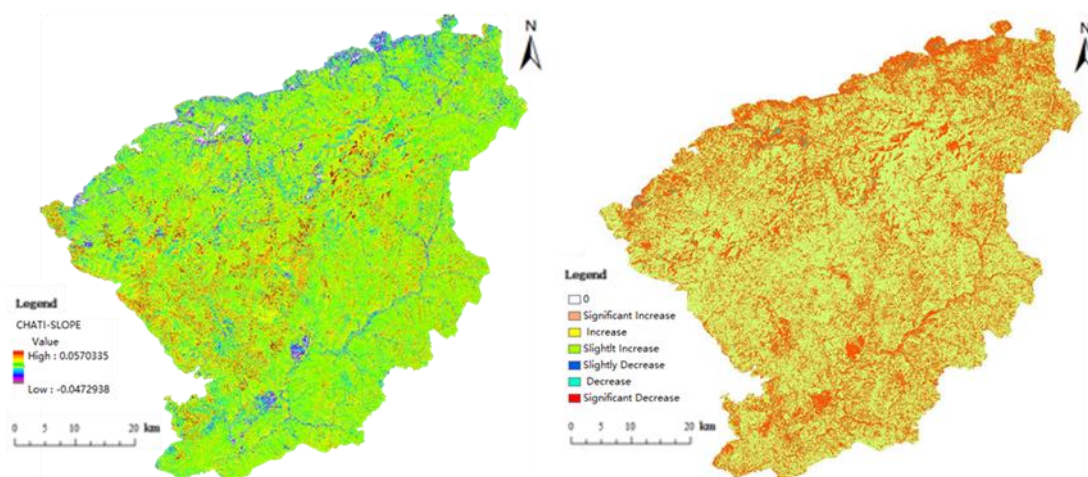


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Figure 17. The threat disturbance spatial distribution based CHATI in 2018

498 To illustrate the spatial-temporal variation of the CHATI during the last 3 decades, based on the
 499 linear regression and F-test which mentioned in the methodology, the θ_{slope} and F maps has been
 500 derived (Figure 18). If θ_{slope} is positive, it indicates that the research object changes with time, and the
 501 larger the value, the more obvious the upward trend; otherwise, the research object declines. The
 502 interannual rate of change (Slope value) of Mount Wutai from 1987 to 2018 ranges from -0.047 to
 503 0.057. The obvious changes of the research area are located in the three part. Firstly, the Wutai Town
 504 and the surrounding villages, there is a significance change in θ_{slope} map and display 'significance
 505 decrease' in the F map, because the rapid urbanization and economic development driving a large
 506 number of built-up areas has been built. Secondly, the bared soil and farmland in the southwest
 507 display positive in the θ_{slope} map and 'increase' in the F map, after reviewed each selected year's
 508 LULC maps and investigated the local residents around there, there are no significance changes
 509 during those years. But a lot of farmland change between abandoned and redevelopment, combined

510 with seasonal effective, led to the significance change this area. That is one of the drawbacks of this
 511 research. Thirdly, it concentrate in the northeast of map which is core protect area of Mount Wutai,
 512 it display positive in the θ_{slope} map and 'significance increase' in the F map, according to the
 513 documents[119], during 2000 to 2009 Mount Wutai development rapidly, after that local government
 514 leaded a resettlement plan to protect the core culture landscape. Demolition a lot house and rebuilt
 515 serval settlement village around the core protect area. That makes such large and continuous changes.
 516 Overall, the comprehensive risk of Wutai Mountain is mostly stable.



517
 518 **Figure 18.** The spatial distribution of the variation trend of CHATI value 1987-2018 in Mount Wutai

519 5. Conclusion

520 This research uses multi-source (Landsat, Modis vegetation products and ASTER-DEM) time-
 521 series remote sensing data to identify the threats of the Mount Wutai world landscape heritage area.
 522 Firstly, LULCC and disturbances of Mount Wutai has been addressed with the human activities. It
 523 shows the forest coverage of Mount Wutai has declined compared to 30 years ago, despite the local
 524 government has implemented several programme to protect the forest and plant trees, but it still
 525 cannot fulfil the deficit of forest which destroyed in the middle term. However, the forest coverage is
 526 turn to increase in recent years. The most significance change is the built-up area, especially during
 527 1998 to 2004, the total area of built-up area is doubled. At the same time, due to the high demand of
 528 energy market and rapid economic development, the total mining area is increased fivefold. Those
 529 dramatically increase direct led to high land stress in the regional level. Secondly, this research
 530 proposed a Comprehensive Heritage Area Threats Index (CHATI), this method uses four key
 531 normalized indexes constructed a comprehensive system to assessment the threats of entire WHA.
 532 The accuracy test based on field validation has proved this framework works, but there are some
 533 drawbacks. Based on the result, five high threats area has been investigated, and find out the area is
 534 high consist with the LULCC, from this point of view, the CHATI proposed an efficient way to
 535 measure the threats of WHA. Thirdly, the linear regression and F-test has adopted in this research to
 536 analysis the remarkable characteristics of spatial temporal variation, from the calculation results, the
 537 core area of Mount Wutai change dramatically and trend to less threats, on the contrary, the area
 538 related built-up area and mining show high threat.

539 All those results and analysis has been proved reliable and efficient, however, there are some
 540 drawbacks need to be discussed here. The time-series Landsat data only use one phases each selected
 541 year, although this research try to incorporate same seasonal data, their seasonal effects did not reflect
 542 by the result of LULCC and CHATI. At the same time, 30 years 12 phases could model the trend of
 543 variation, but it omits some short-term changes. Therefore, dense time-series datasets can solve this
 544 problem, but it also leads to a massive amount of computation. Another shortcoming is with respects
 545 to the study area, at specific time, bared soil and farmland (abandoned farmland, terraced fields)
 546 have same features on remote sensing images and it is hard to differentiate accurately even with DEM,
 547 slope and aspect features. This problem can be solved by incorporating free and open remote sensing

548 datasets to extract useful features to better characterise the LULCC. The last disadvantage is the
 549 pollution indicator has been ignored for CHATI. In the future, we would include PM2.5, rainfall data
 550 etc. to further increase the accuracy and reduce potential uncertainty.

551 In conclusion, the study shows that there is considerable potential to apply remote sensing and
 552 GIS techniques in combination with an assessment system to identify the threat of the World Heritage
 553 Area. Long-term time series satellite sensor data could provide rich information to support the WHA
 554 monitoring and management. The threat and disturbances are explored that provide a new way to
 555 identify and monitor the component of WHA. Indeed, there still have a potential to develop this
 556 assessment framework, such as, the classifier method, the data used and the indicators that has been
 557 ignored. Hope this research can raise public's awareness on protecting WHA, and provide an efficient
 558 tool to guide the public policy making. Finally, we really appreciate to the free and open datasets
 559 (Landsat, Modis, Modis vegetation product and ASTER-DEM) to enable us to accomplish such
 560 detailed research. Without those datasets, there would be no previous research that we can study.
 561 Also, cannot captured the whole research area of Mount Wutai for each selected year.

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