SuperDARN observations during geomagnetic storms, geomagnetically active times and enhanced solar wind driving

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Key Points:

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7	• During geomagnetic storms and enhanced solar wind driving ionospheric convec-
8	tion expands to latitudes as low as 40° magnetic latitude.
9	• Initial and recovery phases of geomagnetic storms show similar convection as en-
10	hanced solar wind driving when no geomagnetic storm occurs.
11	- Main phase shows most scatter, fastest flows (CPCP ${\sim}80~{\rm kV}$ instead of ${\sim}40~{\rm kV}$
12	during initial and recovery) due to higher solar wind driving.

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13 Abstract

The Super Dual Auroral Radar Network (SuperDARN) was built to study ionospheric 14 convection at Earth and has in recent years been expanded to lower latitudes to observe 15 ionospheric flows over a larger latitude range. This enables us to study extreme space 16 weather events, such as geomagnetic storms, which are a global phenomenon, on a large 17 scale (from the pole to magnetic latitudes of 40°). We study the backscatter observa-18 tions from the SuperDARN radars during all geomagnetic storm phases from the most 19 recent solar cycle and compare them to other active times to understand radar backscat-20 ter and ionospheric convection characteristics during extreme conditions and to discern 21 differences specific to geomagnetic storms and other geomagnetically active times. We 22 show that there are clear differences in the number of measurements the radars make, 23 the maximum flow speeds observed and the locations where they are observed during 24 the initial, main and recovery phase. We show that these differences are linked to dif-25 ferent levels of solar wind driving. We also show that when studying ionospheric con-26 vection during geomagnetically active times, it is crucial to consider data at mid-latitudes, 27 as we find that during 19% of storm-time the equatorward boundary of the convection 28 is located below 50° of magnetic latitude. 20

30 1 Introduction

Geomagnetic storms are one of the more extreme examples of geomagnetic responses 31 to solar wind driving. Typically, they are driven by interplanetary coronal mass ejections 32 (ICMEs) or interplanetary co-rotating interaction regions (CIRs) in the solar wind and 33 result in strong enhancements in the radiation belt region around the Earth (e.g. Gon-34 zalez et al., 1994; Gonzalez, Tsurutani, & Clúa de Gonzalez, 1999; Kilpua, Balogh, von 35 Steiger, & Liu, 2017; Turner et al., 2019, and references therein). Sheath regions, which 36 precede ICMEs in the solar wind, are often associated with fast solar wind, shock fronts 37 and followed by magnetic clouds, which manifest themselves as prolonged intervals of 38 strong and steady interplanetary magnetic field (IMF) (e.g. Kilpua et al., 2017, and ref-39 erences therein). Southward IMF in particular is known to be an important driver of ac-40 tivity in the magnetospheric-ionospheric system, which manifests itself as enhanced plasma 41 transport through the magnetosphere due to an increase in dayside reconnection rates 42 (e.g. Cowley & Lockwood, 1992; Milan, 2015; Milan, Gosling, & Hubert, 2012; Walach, 43 Milan, Yeoman, Hubert, & Hairston, 2017, and references therein). This is particularly 44

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relevant for geomagnetic storms, as it has been shown that the recovery phase of a storm, 45 when the geomagnetic activity decreases, is coupled to a decrease in southward IMF and 46 thus solar wind driving (Gonzalez et al., 1999). After a period of southward IMF (or so-47 lar wind driving) and open flux accumulation known as the growth phase, explosive un-48 loading events, known as substorms follow (e.g Baker, Pulkkinen, Angelopoulos, Baumjo-49 hann, & McPherron, 1996; McPherron, 1970). Following substorm onset, the polar cap 50 decreases in size as nightside reconnection dominates over dayside reconnection (Milan, 51 Hutchinson, Boakes, & Hubert, 2009; Milan, Provan, & Hubert, 2007). As this happens, 52 particles are injected on the nightside into the inner magnetosphere. Whilst substorms 53 may be critical in energising the ring current (Kamide et al., 1998), it has been shown 54 that the Dst ring current index, which is similar to the Sym-H index (Wanliss & Showal-55 ter, 2006), can be simulated well using solar wind data alone (O'Brien & McPherron, 56 2000). This is no coincidence, as substorms are also driven by the solar wind. 57

Hutchinson, Wright, and Milan (2011) identified geomagnetic storms over a solar 58 cycle and split them into categories of strength as well as storm phases: The initial phase, 59 main phase and recovery phase. The initial phase is accompanied by increases in solar 60 wind pressure, often associated with a CME or CIR and causes a compression of the mag-61 netosphere on the dayside, resulting in positive increases to Sym-H. The main phase then 62 follows when solar wind driving (i.e. dayside reconnection) is high depositing a large amount 63 of energy, of the order of a few 10^{31} keV, into the magnetosphere (Kozyra et al., 1998). 64 The ring current is then enhanced, which we see in a sudden depression in Sym-H. The 65 main phase is followed by a recovery phase, which occurs due to a decrease in solar wind 66 driving and is marked by a return to less enhanced values of Sym-H. Contrary to a pre-67 vious result by Yokoyama and Kamide (1997), Hutchinson, Wright, and Milan (2011) 68 showed that the average length of the main phase of a geomagnetic storm is anti-correlated 69 with the intensity of a geomagnetic storm (given by the Sym-H minimum), whereas the 70 duration of the recovery phase is correlated with the magnitude of the geomagnetic storm. 71

Hutchinson, Grocott, Wright, Milan, and Boakes (2011) used the same geomagnetic storm list to study ionospheric convection during storms, although they did not attempt to compare their observations to those made during intervals with similar solar wind driving, or geomagnetic activity in general. They used the Super Dual Auroral Radar Network (SuperDARN), which is an international network of ground-based high-frequency radars, built for the purpose of studying ionospheric convection (Chisham et al., 2007;

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Greenwald et al., 1995). They also looked at auroral data from the Imager for Magnetopauseto-Aurora Global Exploration (IMAGE) satellite (Mende et al., 2000) in conjunction with the radar data. They showed that the latitudinal extent of the return flow region maps well to the auroral region on the nightside during geomagnetic storms, although their analysis only extended to 50° magnetic latitude due to the years of study being limited to 1997-2008. For the most recent years of SuperDARN data, this has been expanded to 40° as a result of building new mid-latitude radars, which we utilise here.

To look at how the ionosphere responds during geomagnetic storms of the most re-85 cent solar cycle, we use SuperDARN data from the years 2010-2016 to study high-latitude 86 ionospheric convection in a holistic way. We address a number of questions, for exam-87 ple: Do we make similar SuperDARN observations during similar solar wind driving during non-storm time as during storm time? Do SuperDARN observations change through-89 out the different phases of a storm? Where do we see the fastest flows with SuperDARN 90 and is it linked to the extent of latitudinal coverage from the radars? Does the latitu-91 dinal range of the convection, given for example by the return flow region, stay constant 92 throughout a storm? 93

In this paper, we will compare ionospheric convection parameters and features during geomagnetic storms and geomagnetically active times when the Sym-H index is enhanced, as well as times when solar wind driving is high, but geomagnetic activity is low. Periods of solar wind driving typically lead to substorms, but in this case we will only select periods of driving that are not sufficiently driven for geomagnetic storms to occur. We will discuss the selection criteria in the next section.

¹⁰⁰ 2 Data selection

In this section we introduce the primary datasets used for this study: the geomagnetic storm data, and the SuperDARN radar data.

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2.1 Geomagnetic storm data

¹⁰⁴ Our storm identification procedure is similar to that of Hutchinson, Wright, and ¹⁰⁵ Milan (2011), which provides us with a way of comparing our event distribution.

Each storm is found and divided into storm phases, using an automated algorithm, as illustrated in Figure 1. The minimum in Sym-H of each storm is found, which marks

the beginning of the recovery phase and the end of the main phase. The end of the re-108 covery phase is marked by the point where Sym-H reaches the quiet level (-15 nT) there-109 after. The beginning of the main phase is marked by the last point where Sym-H crosses 110 the quiet level prior to the minimum. From there, we then find the maximum in Sym-111 H above the quiet level phase, prior to the main phase with a maximum time separa-112 tion of 18 hours between the maximum and the start of the main phase. To find the be-113 ginning of the initial phase, we simply find where Sym-H reaches a quiet level, before the 114 maximum of the initial phase occurs. This ensures that we do not miss any storm sud-115 den commencements or sudden impulses. The only difference between our algorithm and 116 the one from Hutchinson, Wright, and Milan (2011) is the definition of the start of the 117 main phase. We use the crossing of the quiet level, whereas they use the maximum in 118 Sym-H. The main reason for choosing this, was that when we inspected the Sym-H traces 119 of the storms visually, the maximum in Sym-H during the initial phase was not always 120 very clearly defined, whereas the crossing of the quiet level is always very clear. 121



Figure 1. Figure showing typical Sym-H trace of a geomagnetic storm. The colours show our phase identification with the initial phase in orange, the main phase in red and the recovery phase in green.

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We have divided our storms into the same categories as Hutchinson, Wright, and Milan (2011) for comparative purposes, but look at the more recent solar cycle (2010-2016) instead of 1997-2008.

In our study, we have 43 weak storms (-150 nT < Sym-H < -80 nT), 5 moderate storms (-300 nT < Sym-H < -150 nT) and no intense storms (Sym-H < -300 nT),

whereas Hutchinson, Wright, and Milan (2011) found 8 intense storms during the years 127 of 1997-2008. It is worth noting however that this is not a problem: As we will show later, 128 the convection pattern reaches the observable limit for our storm list, reaching 40° mag-129 netic latitude for moderate storms, 10° lower than Hutchinson, Wright, and Milan (2011) 130 could observe, so it is highly unlikely that we or they could accurately inspect the in-131 tense category. Overall, their study also contains more storms in general: 143 storms, 132 as opposed to our 48 storms. This means Hutchinson, Wright, and Milan (2011) observed 133 on average 12 geomagnetic storms per year, whereas we found 8 per year on average. This 134 is likely due to the fact that the most recent solar cycle has been weaker than the pre-135 vious one with less solar wind driving of the magnetosphere (Selvakumaran et al., 2016). 136 It was found by Gillies, McWilliams, St. Maurice, and Milan (2011) that geomagnetic 137 storms are a continuum of intensities, rather than separate classes. Furthermore, they 138 found that the Sym-H index responds predictably to the strength of the southward IMF, 139 regardless of storm driver. As such, we will not discuss storm drivers or classes any fur-140 ther, but rather focus on comparing storm characteristics during the different storm phases 141 to geomagnetically active times in general and other times of solar wind driving. 142

To select times when solar wind driving is high and similar to the solar wind con-143 ditions during storms, we set a lower threshold for the solar wind speed $(V_{SW} \ge 350 \text{km/s})$, 144 the total magnetic field component of the IMF ($B_{TOT} > 8nT$), and the absolute of the 145 clock angle $(|\theta| > 100^{\circ})$. We also specify that for these conditions, no geomagnetic storm 146 must occur (Sym-H> -80nT). These selection criteria were chosen such that the driv-147 ing conditions are similar to a geomagnetic storm, as we will later see in Fig. 3. Addi-148 tionally, to investigate the significance of storms and storm phase on the ionospheric con-149 vection, we also compare to times of high geomagnetic activity (SYM-H<-80nT), but 150 in this case not binned by storm phase. 151

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2.2 SuperDARN radar data

The Super Dual Auroral Radar Network (SuperDARN) is an international network of ground-based high-frequency radars located in the auroral regions of the northern and southern hemispheres (Chisham et al., 2007; Greenwald et al., 1995). Transmitted signals from the radars are backscattered by magnetic field-aligned irregularities in the ionospheric plasma. The Doppler shift of the signal is then used to calculate the line-of-sight velocity of the plasma. The line-of-sight velocities from all the radars in the network from a given hemisphere are then combined to produce large-scale maps of the convection pat-tern.

The SuperDARN data is processed in steps and thus there are different levels of 161 data products: First, an autocorrelation function fitting is performed on the raw data 162 for the years 2010-2016 using the FITACF routines, contained in the Radar Software Toolkit. 163 This is the standard procedure for determining line-of-sight velocities from the Super-164 DARN observations, and we downloaded these data with the FITACF completed. We 165 then spatially and temporally average the line-of-sight data onto an equal-area magnetic 166 latitude and longitude grid in Altitude-Adjusted Corrected Geomagnetic Coordinates 167 (Shepherd, 2014) using an updated version of the gridding technique first introduced by 168 Ruohoniemi and Baker (1998) (SuperDARN Data Analysis Working Group, Thomas, 169 Ponomarenko, Billett, et al., 2018). The recent updates made to the gridding technique 170 in the Radar Software Toolkit versions 4.1 and 4.2 (SuperDARN Data Analysis Work-171 ing Group, Thomas, Ponomarenko, Billett, et al., 2018; SuperDARN Data Analysis Work-172 ing Group, Thomas, Ponomarenko, Bland, et al., 2018) include numerous bug fixes as 173 well as implementation of the World Geodetic System 84 reference ellipsoid and the re-174 fined Altitude-Adjusted Corrected Geomagnetic Coordinates methodology (Shepherd, 175 2014). For our analysis we use RST version 4.2. To grid the data we use a two-minute 176 cadence for the records, using the standard empirical height model of Chisham, Yeoman, 177 and Sofko (2008). We limit the slant ranges from 800km to 2000km to exclude ionospheric 178 E-region backscatter and scatter where the error in the location may be very large, as 179 was done by Thomas and Shepherd (2018). When gridding the data, we also exclude data 180 from the secondary channels of the stereo radars (Lester et al., 2004) in order to exclude 181 experimental data. Using RST v4.2 we then utilise the spherical harmonic map fitting 182 method from Ruohoniemi and Baker (1998), to produce an archive of large-scale two-183 minute northern hemisphere SuperDARN maps using a fitting order of 6. This involves 184 adding model vectors from the climatologies of Thomas and Shepherd (2018), parametrised 185 by the upstream solar wind conditions measured by the ACE satellite (Stone et al., 1998), 186 to stabilise the fit in regions of limited data coverage. The solar wind data is time-lagged 187 to better represent the local conditions using the solar wind propagation time from Khan 188 and Cowley (1999). The Heppner-Maynard boundary (Heppner & Maynard, 1987), which 189 is equivalent to where the zero potential contours are set in the map fitting, is chosen 190 to match the lowest possible latitude for which a minimum of three line-of-sight vectors 191

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with velocities greater than 100 ms^{-1} lie along its boundary (Imber, Milan, & Lester,

- ¹⁹³ 2013; Thomas & Shepherd, 2018). In our implementation of the fitting routine, we also
- $_{194}$ changed the 50° latitude hard limit on the Heppner-Maynard boundary in RST 4.2 to
- 40° , to better represent the latitudinal extent of the radar data (see https://github.com/SuperDARN/rst/pull/210
- The SuperDARN radar data which we use in this study includes gridded line-of-196 sight data, as well as the location of the Heppner-Maynard boundary and the cross po-197 lar cap potential information. We use data from the years 2010-2016, corresponding to 198 the years of the Thomas and Shepherd (2018) SuperDARN climatological convection model, 199 which means that parameters stemming from the fitted maps, such as the cross polar 200 cap potential and the Heppner-Maynard boundary are estimated to the best of our abil-201 ity. To analyse the SuperDARN data with respect to the different storm phases, we per-202 form a superposed epoch analysis with the beginning and end of each phase as reference 203 points, and with the duration of each phase normalised by resampling the data to a ca-204 dence that yields 100 points in each phase. 205

206 3 Results

In this section we show the measurements made during storms with SuperDARN, which we then compare to measurements during times of high solar wind driving when no geomagnetic storm occurs and to measurements made during times when geomagnetic activity is high, irrespective of storm phases.

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3.1 Storm phase response

Figure 2 shows how the observations from SuperDARN and the corresponding solar wind data and geomagnetic indices progress through the different storms and storm phases. Each panel shows the initial, main, and recovery phases, on a normalised timescale for a different parameter. Each storm is normalised by the absolute duration of the storm and colourcoded accordingly and the black lines show the median, the lower (25%), and upper (75%) quartiles.

On average, our initial storm phases are much longer (median: 19 hours, 35 minutes) than those from Hutchinson, Wright, and Milan (2011) (6 hours, 59 minutes) (see table S1 in Supporting Information). We find that the main phase (median: 9 hours, 5 minutes; HS2011: 7 hours, 43 minutes) and recovery phase (median: 55 hours, 46 minutes; HS2011: 57 hours, 27 minutes) are comparable in duration, albeit they are very variable from storm to storm. Fig. 2 does not show any clear ordering by storm duration,
although some very long storms (in dark red) appear to exhibit the strongest Sym-H minima. There is however no clear trend for the shorter storms.

Fig. 2a shows the Sym-H index for the geomagnetic storm phases. The behaviour of the Sym-H index is defined by our selection criteria and shows that as the convection increases during the main phase of the storm, the ring current enhances, as expected. Fig. 2b shows the average number of gridded SuperDARN velocity vectors, which increases during the main phase, showing that we are likely to get more measurements during this time.

The data for Fig. 2 c, d, and f were extracted from the SuperDARN maps with the 232 spherical harmonic fitting procedure applied to them. The cross polar cap potential, or 233 CPCP, (see Fig. 2 panel d) clearly increases as the main phase of a storm is approached 234 from 40 kV to 80 kV, and is much higher during the main phase (in excess of 100 kV), 235 indicating that plasma convection across the polar cap is higher. During the recovery 236 phase, this then decreases again to ~ 40 kV. Panel c shows that the dawn and dusk cells 237 in the convection maps increase and decrease in a similar way, though the dusk cell is 238 dominant, holding $\sim 2/3$ of the potential. 239

Panel e shows the maximum line-of-sight velocity measured by SuperDARN, which clearly increases during the main phase of a storm. This is further evidence that overall ionospheric convection strength is higher during the main phase of a storm.

Panel f shows the magnetic latitude of the Heppner-Maynard boundary (HMB). 243 The boundary measurement shown in this paper was taken along the nightside merid-244 ian. The HMB clearly moves equatorward from the start of the initial phase, until it reaches 245 a minimum latitude near the end of the main phase, which is on average just below 40° 246 of magnetic latitude. It is clear from this panel that a minimum HMB boundary of 40° 247 (instead of the previously used 50°) is required for the main phase of a storm. We es-248 timate the the old limit of 50° would have misplaced the boundary into the 50° bin (in-249 stead of equatorward of it) for $\sim 19\%$ of all considered 2-minute intervals for the storms 250 (15.1% (initial phase), 21.0% (main phase), 20.8% (recovery phase)). Although the num-251 ber of datapoints may seem smaller from Fig. 2 than these percentages, we note that this 252 is due to the normalised timescale. The main phase for example is much shorter than 253

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the initial and recovery phases and as such, 21.0% of main phase corresponds to fewer maps than, for example, 21.8% of recovery phase data.

Fig. 2 panel g shows the magnetic latitude coverage of SuperDARN scatter, which also increases during the main phase of the storm. This is to be expected as the convection pattern expands equatorward (see Fig. 2f) and the average number of observations increases during this time (see Fig. 2b).

Panels h to k show solar wind parameters: the total IMF clearly increases during 260 the storm main phases, which is accompanied by a clear increase in the magnitude of 261 the IMF B_Z component (see panel i). Fig. 2 panel j shows the clock angle of the IMF, 262 which is the angle between the IMF B_Y and B_Z component, such that $\pm 180^\circ$ corresponds 263 to purely southward IMF. As this is given by an angle, we have calculated the circular 264 mean instead of the median and upper and lower quartiles, which is shown by the black 265 dots. During the initial phase, the IMF B_Z component is often pointing northward or 266 the B_Y component is dominant over the B_Z component, indicated by a clock angle of 267 0° or $\pm 90^{\circ}$, respectively. During the main phase, the IMF B_Z component is dominantly 268 negative, as the clock angle is primarily near $\pm 180^{\circ}$, which corresponds to higher solar 269 wind driving (e.g. Milan et al., 2012). 270

Fig. 2 panel k shows the solar wind electric field, with respect to Earth, which is a proxy for dayside reconnection and thus solar wind driving of the magnetosphere (e.g. Milan et al., 2012). This clearly increases during the main phase of a storm, as is to be expected by the enhanced convection, shown by panels c, d, and e.

Panel l shows the Auroral Upper and Lower indices (AU and AL, respectively). AL and AU, which are often used as proxies for magnetospheric convection or geomagnetic activity, also show a considerable enhancement during the storm main phase, which gradually declines during the recovery phase. AL in particular is enhanced when convection is the highest. Although, AL and AU are on average less enhanced during the initial phase than during the main phase, the variability is particularly high during the initial phase, and as a result, it can be higher than during the main phase.

Overall, Fig. 2 shows that whilst the observations made with SuperDARN in the initial and recovery phases are very similar, the main phase is characteristically different and convection strength doubles going into the main phase.

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3.2 Storm phases, geomagnetically active times, and driven times

Figure 3 shows the probability distribution function for various parameters, which we will now explain in turn. The different functions show the initial phase (orange), the main phase (red) and the recovery phase (green). These are compared to times when the solar wind driving is high, but geomagnetic activity is low (dark blue); and times when geomagnetic activity is high (Sym-H < -80 nT), irrespective of any storm phases (cyan).

Panel a shows the Sym-H component, indicating the ring current strength. We see 291 immediately that geomagnetically active times have the strongest negative Sym-H in-292 dex measurements associated with them, which was imposed by our criteria. The main 293 phase lies in the middle, covering a similar range of Sym-H as the recovery phase, but 294 spanning lower Sym-H indices. This is again imposed by our criteria of the storm phases. 295 The solar wind driven times (dark blue curve) have on average a weaker negative Sym-296 H index, as they were selected to be occurring when no geomagnetic storm occurs. The 297 geomagnetically active times (cyan curve) on the other hand drops to zero at a Sym-H 298 of -80nT. The initial phase has the highest Sym-H index, peaking near 0nT, which is again 299 given by the storm phase criteria. 300

Panel b shows the probability distribution functions for the duration of the storm 301 phases (hence the absence of a dark blue or cyan curve). This panel shows that the main 302 phase is much shorter than the initial and the recovery phase, with the recovery phase 303 lasting on average the longest (as also shown in table S1 in the Supporting Information). 304 We see that the distributions of the duration of the initial and main phases are compa-305 rable, whereas the recovery phase duration varies most widely and as such has no clear 306 main peak. It is worth noting that the threshold for determining the end of the recov-307 ery phase is important for the duration statistics. We chose this threshold in-line with 308 previous studies, however as can be seen from the example in Fig. 1 a slightly higher thresh-309 old would have increased the length of this particular storm. 310

Panel c shows the average number of gridded vectors per radar per 2 minute SuperDARN convection map. It shows that for driven times when no storm occurs, we are likely to observe less scatter, whereas the PDF for the main phase data shows that we are likely to observe more scatter. Times of high geomagnetic activity (cyan trace) most closely resemble the initial and recovery phase, which are times when the average number of vectors per radar falls below 10. A higher number of vectors corresponds to more

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ionospheric plasma irregularities being present in the ionosphere. Another possibility is
that enhanced electron densities provide enhanced propagation conditions, leading to more
direct propagation paths. Either way, this shows that we are likely to get much better
coverage of SuperDARN data during the main phase of a storm. This is further discussed
in section 4.2.

Panel d shows the probability distribution functions for the maximum line-of-sight 322 velocity observed per 2 minute interval by all SuperDARN radars. It shows clearly that 323 we are most likely to observe high velocities during the main phase. As this parameter 324 represents the upper limit of observed velocities, it indicates that ionospheric convection 325 is highest during the main phase of the storm in comparison to the initial and recovery 326 phases. Highly driven times, where no storm occurs (dark blue) and times of high ac-327 tivity, irrespective of storm phase (cyan) tend to have a lower limit for the observed iono-328 spheric convection speeds. The median observed velocity in panel e shows the same pat-329 tern as panel d; the main phase velocities are higher than the velocities for the initial 330 and recovery phase, but what is different in both cases, is that the highly driven times 331 and times of high geomagnetic activity, have a secondary peak. Whilst in panel d this 332 is lower than the main storm peak, in panel e this is higher than the main peaks for the 333 storm phases. This indicates that there is a considerable chance that during driven times 334 and times of high geomagnetic activity, a higher average convection strength is observed 335 than during storms, whilst the maximum observed velocity is more likely to be lower. 336 We suggest that these two distributions are different than the storm distributions as the 337 distributions are chosen independently of the time history of the system. Overall, the 338 median velocity in panel e shows that the upper limit of observed ionospheric convec-339 tion (panel d) is a good proxy for the overall observed ionospheric convection strength. 340

Panel f shows the minimum magnetic latitude where scatter observations are made. 341 Each trace shows a triple peak structure, with the main peak in the centre, except the 342 highly driven times and the initial storm phase, which peak at higher latitudes. This means 343 that on average, we are more likely to see radar backscatter during initial phases and driven 344 times confined to higher latitudes, $\sim 60^{\circ}$, whereas for the other distributions, we can say 345 that the extent of the backscatter has expanded to lower latitudes as ionospheric irreg-346 ularities are observed there. This is supported by the findings from panel g, which shows 347 the magnetic latitude of the HMB. The probability distribution function is particularly 348 high at lower latitudes during the main phase of a storm and geomagnetically active times 349

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in general and extends down to 40° magnetic latitude. This is to be expected, as this coincides with when we are most likely to measure ionospheric backscatter at geomagnetic latitudes of $\sim 40^{\circ}$.

Panel h shows the CPCP, which is most likely to be highest during the main phase 353 of a storm and during geomagnetically active times, with a peak at approximately 90kV. 354 The recovery phase and initial phase of a storm have the lowest CPCP (with a broader 355 peak at ~ 40 kV), whereas driven times are somewhere in between the two, peaking at 356 \sim 70kV. This means that convection is particularly high during the main phase of geo-357 magnetic storms and matches our findings from panels d and e. Panel i shows the min-358 imum and maximum of the electrostatic potential, which indicates if the dusk or dawn 359 cell is dominant. The overall trend from panel h is mirrored here, with the peaks for the 360 main phase and geomagnetically active times lying the furthest apart. What we see here 361 very clearly is a dominance in the minimum of the potential for all traces, meaning that 362 the dusk cell is dominant. This trend is least obvious for the recovery and initial phase, 363 which are thus the most likely to show a balanced convection pattern where the dusk 364 and dawn cells have the same size. We attribute this to the occurrence of sub-auroral 365 polarisation streams (SAPS) (Foster & Vo, 2002) which we discuss further in section 4.3. 366

Panel j shows the clock angle of the interplanetary magnetic field. The dark blue 367 curve is set to zero between $\pm 90^{\circ}$ by our criteria. Both the main phase of the storm and 368 geomagnetically active times maximise for southward IMF, near a clock angle of $\pm 180^{\circ}$. 369 As the red and cyan curves peak at more southward pointing solar wind clock angle than 370 the dark blue curves, it indicates that storms and geomagnetically active times are ac-371 tually likely to be more extremely driven than the selected driven times. This is because 372 we explicitly exclude storms, and hence the most strongly driven times, from our enhanced 373 driving category, which will thus also include a large proportion of periods where solar 374 wind driving is only moderately enhanced (we only specified that the absolute of the clock 375 angle $> \pm 90^{\circ}$). In contrast, the initial and recovery phases peak at $\pm 90^{\circ}$, indicating that 376 these periods often have a strong IMF B_Y component attributed to them, with no par-377 ticular preference between positive or negative. 378

Panel k shows the electric field of the solar wind with respect to Earth. The peaks of the probability distribution functions for the main phase and the geomagnetically active times are the highest here, which supports the conclusions drawn from panel j that

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these are times of more extreme solar wind driving. The narrowest peak is the dark blue one, for times of high solar wind driving. Although the peak is slightly lower than the main phase and geomagnetically active time peaks, the broader nature of the latter two imply that enhanced geomagnetic activity occurs for a wide range of solar wind driving conditions. As expected from our inspection of panel j, the initial and recovery phase are more likely to have lower solar wind driving associated with them.

Panel l shows the AU and AL indices, which shows that the geomagnetically active times and storm main phases have a remarkably similar distribution, whereas solar wind driven times without a geomagnetic storm show on average less extreme auroral indices. The initial and recovery phase, are even less likely to see extreme measurements of AL and AU, indicating that the auroral electrojets are weaker during these times.

As already discussed in section 2, we use lower limits for the solar wind conditions (V_{SW} , IMF B_{TOT} and clock angle) to find the periods of high solar wind driving when geomagnetic activity is low. As is shown by Fig. 3 (panels i and j) however, the solar wind driving for these times is not as high as during the main phase of geomagnetic storms. This essentially tells us that when solar wind driving is very high, a geomagnetic storm occurs. From here on, we therefore simply refer to these times as 'driven times'.

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3.3 Spatial distribution of ionospheric convection

Figure 4 shows five maps in geomagnetic latitude - magnetic local time (MLT) co-400 ordinates that present where the fastest line-of-sight velocities were observed by Super-401 DARN for the different categories introduced above. Each map is centred on the north-402 ern geomagnetic pole with noon to the top of the page. Each grid is normalised by the 403 number of total maps of observations, such that the colours represent the probabilities 404 of observing the fastest flows at each grid point. The grey grid points indicate locations 405 where measurements exist, but no maximum velocities were observed in any of the con-406 sidered maps. Overall, all maps show some banding, as there are characteristic locations 407 on a geomagnetic map where more scatter is observed due to half-hop and one-and-a-408 half-hop distances of the radars. 409

Fig. 4a shows the observations from the initial phase. The data in this map cover the narrowest range of latitudes, with most of the fast flows being observed within 20° to the pole. The fastest flows may occur at almost all local times, with a clearly discernible

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patch near midnight and sightly more extended patch near noon. Fig. 4b shows the storm 413 main phase, where the majority of fast flows are observed at lower latitudes than in panel 414 (a). Interestingly, the region of fastest flows on the dayside has moved slightly later in 415 MLT, with the fastest flows now rarely occurring on the nightside, although there is some 416 evidence for two bands of fast flow in the pre-midnight sector, at $55^{\circ}-60^{\circ}$ and at around 417 70° . Fig. 4c shows the recovery phase, which is very similar to the initial phase, although 418 a larger extent of coverage overall (in grey) occurs on the dayside than in Fig. 4a. The 419 dual-bands of pre-midnight fast flows observed during the main phase are also still ap-420 parent, although the occurrence of the equatorward band is quite low. Fig. 4d shows the 421 observations for driven times, when no geomagnetic storm occurs. This shows similar-422 ities to Fig. 4a and c in terms of fast flow location, i.e. a higher probability of observ-423 ing the fastest flows on the dayside than the nightside, with the flows generally located 424 closer to the pole than during the main phase of a storm. Lastly, panel (e) shows the ob-425 servations for intervals of enhanced Sym-H index, irrespective of the storm phase. This 426 shows more similarities to Fig. 4b with a high density of fast flows at lower latitudes. In-427 terestingly, the lower latitude band of pre-midnight fast flows is the dominant region of 428 fast flow in this case, suggestive of a population of flows driven during enhanced geomag-429 netic activity that are not storm related. We consider the implications of these results 430 further in section 4.3. 431

Another factor than can affect the nature of the convection patterns is the spatial 432 distribution of the observations that are used to derive them. When very few SuperDARN 433 measurements are present in a map, the map parameters will tend to reflect the clima-434 tological map used in the RST map-fitting procedure more closely (in this case the model 435 from Thomas and Shepherd (2018)). As such, it is important to test how robust the dis-436 tributions such as the ones shown in Fig. 3 in panel f are to changes in the number of 437 observations. Figure 5 shows the magnetic colatitudes of the observed HMB versus dif-438 ferent levels of data coverage in the SuperDARN maps (i.e. higher number of gridded 439 radar measurements, n, corresponds to better coverage). Each panel shows a different 440 storm phase and the grey dashed lines indicate the number of maps which exceed the 441 threshold criteria. With this, we can investigate the dependence of the HMB on the num-442 ber of scatter points per SuperDARN map. The colour coding shows observational den-443 sity per bin. We see immediately, that the median, shown in Fig. 2, is a good represen-444 tation, even for maps with low data coverage. All three storm phases show that as the 445

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n-threshold increases to higher numbers, the median also increases slightly, but remains 446 approximately within the interquartile range at lower n (e.g. n=100). This means that 447 the Heppner-Maynard boundary is quite well predicted, even at lower n. At very low n 448 $(n \leq 50)$, there is a lot more variability in the parameter, but the median predicts the HMB 449 well. This means that the estimation of HMB in Thomas and Shepherd (2018) is fairly 450 robust, even for low n for geomagnetic storms. There are some features that do seem to 451 be dependent on the number of measurements. The recovery phase panel in Fig. 5 shows 452 a curious 2 peak structure at n < 350. Comparing the colatitudes at which these peaks 453 are observed with the observations of latitudes in Fig. 2f, we see that this is due to the 454 time-history of the convection pattern during the recovery phase. In the beginning of 455 the recovery phase, the Heppner-Maynard boundary is at low latitudes due to the so-456 lar wind driving during the main phase of the storm. As the solar wind driving decreases, 457 the Heppner-Maynard boundary will move to higher latitudes (lower colatitudes), where 458 it then rests and as such we have a secondary distribution in the recovery phase panel 459 in Fig. 5 at lower n. This matches what we see in panels b and e in Fig. 2. Furthermore, 460 the peaks become more defined when the observations per map become greater than 200. 461 This suggests a data coverage threshold may exist at this value. In our subsequent anal-462 ysis we therefore impose a restriction on the minimum number of data points per map 463 $(n \geq 200)$. This gives us a good balance between the number of maps included whilst still 464 well constraining the HMB. Furthermore, the same threshold has often been used in the 465 past to filter maps for reliability (e.g. Imber et al., 2013). 466

Next we inspect flow reversal boundary (FRB), which corresponds to the inner flow 467 boundary where antisunward flows turn to become sunward. At dusk and dawn, this co-468 incides with the location of the maximum and minimum potentials. Whereas the HMB 469 gives us an indication of the size of the whole convection pattern, the locations of the 470 FRB at dusk and dawn gives us an indicator of the size of the polar cap. Figure 6 shows 471 the location of the flow reversal boundary (FRB) against the Heppner-Maynard bound-472 ary (HMB) for the three different storm phases. As stated above, for this analysis we 473 only use SuperDARN maps where the number of observations per map, n > 200. We take 474 the colatitude of the FRB as the average of the colatitudes where the minimum and max-475 imum of the electrostatic potential pattern lie, which is equivalent to the boundary be-476 tween the anti-sunward and sunward flows. Because the asymmetries in the dusk and 477 dawn cell locations are usually within 5° (see Figure S1 in supplementary material), which 478

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accounts for most of the spread here, we find that taking the average location between 479 the dusk and dawn cell works well. The dashed line shows the line of unity and the black 480 line shows the line of best fit, obtained by linear regression. We see immediately, that 481 although there is a positive linear correlation between the two flow boundaries, the HMB 482 changes are more extreme than the FRB changes. This means that although the two will 483 change together (i.e. as one increases, the other one increases), the HMB will always change 484 by a larger amount. As Figure 6 shows, this is most pronounced during the recovery phase, 485 where the gradient is flattest. The correlation coefficients are 0.3, 0.5, and 0.2, for the 486 initial, main, and recovery phases, respectively. The best correlation is obtained for the 487 main phase of the storm, where the relationship between the HMB and FRB is the most 488 clear. For information, the linear regression coefficients are provided in table S2 in the 489 Supporting Information. For the recovery phase (see bottom panel in Fig. 6), we see that 490 the majority of the data is clustered around two points with a similar FRB ($\sim 17-10^{\circ}$), 491 but a distinctly different HMB ($\sim 24^{\circ}$ and $\sim 37^{\circ}$). We see that these correspond to the 492 two peaks in the recovery phase identified in Fig. 5. 493

To explore the origin of the two HMB peaks in the recovery phase in Fig. 6, we present 494 in Figure 7 the HMB for the recovery phase of the geomagnetic storms versus the au-495 roral electrojet indices AU, AL and AE. These results clearly show that the HMB peak 496 at 24° colatitude corresponds to times of low electrojet indices (AU < 150nT, AL >497 -200nT and AE < 500nT), whereas the data from the HMB peak at 37° colatitude 498 in Fig. 6, corresponds to much larger ranges of activity of the auroral electrojet indices. 499 This tells us that when the HMB is at lower latitudes, the auroral electrojet indices are 500 enhanced, which occurs during the expansion and recovery phases of substorms. 501

Figure 8 shows the relationship of the HMB and FRB throughout the storm phases, 502 but only looking at maps where $n \ge 200$. In each case the median is shown in black and 503 the lower (25%) and upper (75%) quartiles in grey. The left panel shows the HMB co-504 latitude in red and the FRB colatitude in blue. The right panel shows the difference be-505 tween the two throughout the storm phases, colour-coded in the same way as Fig. 2. We 506 see that during the main phase, the convection pattern expands to lower latitudes as both 507 the HMB and FRB colatitudes increase. Not only do they both expand to lower latitudes, 508 but the distance between them also increases. This matches the findings of Fig. 6, which 509 showed that as both the HMB and FRB increase or decrease, the HMB is likely to be 510 changing latitudes at a greater rate. At the beginning of the recovery phase we see both 511

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the HMB and FRB decrease abruptly and as a result the distance between them decreases 512 also. We then see the separation between the HMB and FRB decrease further during 513 the recovery phase until similar levels to the initial phase are reached. The FRB how-514 ever stays fairly constant throughout each phase, except for at the phase changes. This 515 shows that the gradual changes we see throughout each phase in the seperation between 516 the HMB and FRB are due to the HMB moving more rapidly than the FRB. It is worth 517 noting that in comparison with Fig. 2, we have only considered maps where $n \ge 200$, which 518 means that the HMB trace has changed slightly. Most notably, the difference between 519 the main phase and the initial phase is larger as the convection patterns, and thus the 520 boundaries, are better defined. During the recovery phase, the interquartile is less well 521 defined, as less data are available. It thus looks as though the interquartile range is more 522 variable, though on average, covers a similar latitude range as previously. 523

524 4 Discussion

We have presented ionospheric convection parameters from geomagnetic storm phases, 525 times when solar wind driving is comparable to storms, and high geomagnetic activity, 526 irrespective of storm phase. We show that during the main phase the cross polar cap po-527 tential doubles from 40 kV (initial and recovery phases) to 80 kV during the main phase, 528 reaching in some cases in excess of 100 kV. Thus, the main phase shows most enhanced 529 convection. It also shows the highest number of observations per radar, the largest lat-530 itudinal extent of the convection, and the fastest flows at lowest latitudes. The geomag-531 netically active times, irrespective of storm phase, are most similar to the storm main 532 phase, whereas the initial and recovery phase show a weaker response, distinctly differ-533 ent from the main phase. Driven times, when no storm occurs, is somewhere in between 534 two storm populations. We theorise that this is because the strongest driving will always 535 lead to a storm, but that the driving is reduced during the main and recovery phases. 536 We find a positive linear relationship between the HMB and the FRB, although they do 537 not change at the same rate. The HMB changes are larger, especially at the beginning 538 of the main phase, creating an overall larger offset between the HMB and FRB during 539 the main phase than during the other two storm phases. During the recovery phase of 540 a storm, the HMB shows a clear double-peak distribution, which is due to time-variability 541 of the system, such as that associated with substorms. We show that solar wind driv-542 ing is key to the measured response, but there is a time history effect which gives finer 543

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details and differences. We will now discuss these results in greater detail, in particu-

lar, how they relate to other relevant studies and prior results.

546

4.1 Storm duration effects

No obvious relationship between storm strength and the storm phase durations or 547 overall storm duration was observed, except for the duration of the recovery phase and 548 the minimum of the Sym-H at the beginning of the main phase. The Sym-H minimum 549 at the beginning of the main phase is correlated with the amount of driving of the mag-550 netosphere, such as has previously been shown by Gillies et al. (2011). Similarly, the du-551 ration of the recovery phase is related to how driven the magnetospheric system is prior 552 to the main phase (e.g. a more intense storm means a longer recovery phase than a less 553 intense storm). As this is not a new result (Gillies et al., 2011), we have not considered 554 this further. 555

556

4.2 Number of SuperDARN backscatter echoes

The number of ionospheric scatter echoes increases as we proceed into the main 557 phase of a geomagnetic storm. This is illustrated in Fig. 2, panel b. We reiterate that 558 this actually shows the number of gridded radar velocity vectors, which are derived from 559 averaging multiple Doppler shifted radar echos, but it should scale with the latter such 560 that it can be used as a simple proxy. As the radars are operational all the time and data 561 gaps are rare, we conclude that either the number of scatter points increases because the 562 number of ionospheric magnetic field-aligned irregularities increases or because that en-563 hanced electron densities provide improved propagation conditions, leading to more direct propagation paths and thus enhanced scatter. Although the area observed by the 565 radar ranges stays constant over time, the changes in the latitudinal extent of the con-566 vection pattern will change. This may affect the number of backscatter echoes observed, 567 though we do not expect large direct effects of this as radar backscatter is often observed 568 at latitudes below the HMB. The indirect effects, such as the expansion of the convec-569 tion pattern pulling higher density patches on the dayside to higher latitudes are likely 570 to be larger. 571

Early SuperDARN results by Milan, Yeoman, Lester, Thomas, and Jones (1997) showed that the number of ionospheric backscatter echoes observed by HF radars changes

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with location, geomagnetic activity, and season. Milan et al. (1997) found that the fre-574 quency and geomagnetic activity dependence of ionospheric backscatter occurrence de-575 pends on the range at which it is observed. They compare results from two radars and 576 find that far-range backscatter is likely to decrease in occurrence with an increase in fre-577 quency or geomagnetic activity, whereas near range (E-region) scatter is likely to increase. 578 This result was verified by Currie, Waters, Menk, Sciffer, and Bristow (2016) who also 579 showed that it is the main cause of F-region scatter decrease. Here we filter for F-region 580 scatter only by choosing only range gates of 800-2000 km and yet we see the opposite 581 effect. Kane and Makarevich (2010) studied F-region SuperDARN radar echoes with re-582 spect to the start of storm sudden commencement, which is the increase seen in the mag-583 netometer measurements or geomagnetic indices prior to a storm and roughly matches 584 our initial phases. They found that ~ 12 hours after the start of a storm, the number of 585 observed echoes drops, but find that after storm sudden commencement, the number of 586 radar echoes increases above quiet time numbers. Whilst we do not compare our num-587 ber of radar echoes to a quiet day curve, we do however also see an increase in the num-588 ber of radar echoes, but primarily in the main phase. Kumar et al. (2011) studied the 589 spatio-temporal evolution of SuperDARN data during geomagnetic storms using the TIGER 590 Bruny Island radar in the Southern hemisphere. They found that the highest echo oc-591 currence coincides with the start of the storm, which would on average be slightly ear-592 lier than our main phase due to their differing criteria. They found that the lowest num-593 ber of backscatter echoes are measured during the late recovery phase of a storm, which 594 also matches our findings. Kumar et al. (2011) further showed that there is a varying 595 response to F-region echo occurrence measured by the TIGER radar: Short and weak 596 disturbances showed a larger increase in echo numbers at the start of the storm, whereas 597 decreases in occurrences of echoes during the reovery phase were more pronounced for 598 longer storms. Whilst we see the same general trends in the occurrences, we do not see 599 an ordering of echo occurrences with size or duration of the storms. Similarly, Currie et 600 al. (2016) studied the spatial and temporal evolution of backscatter echo occurrence us-601 ing the TIGER radar in the Southern hemisphere and the Kodiak radar in the North-602 ern hemisphere. They found that during the main phase of a storm, there is a decrease 603 in mid- to far-range scatter, which even though they are similar radar ranges to our ob-604 servations, we see an increase in the radar echoes. Currie et al. (2016) find that high E-605 region densities can overrefract rays, which stops them from reaching the F-region and 606

thus decreases the backscatter echo occurrences in the F-region. We infer that our dif-607 fering results to Kumar et al. (2011) and Currie et al. (2016) are due to the way our ob-608 servations differ: Whilst Kumar et al. (2011) and Currie et al. (2016) studied occurrences 609 from one and two radars, respectively, we study the observations made by all the Super-610 DARN radars in one hemisphere. As a result of this, Kumar et al. (2011) and Currie et 611 al. (2016) study the increases or decreases in radar echoes as a function of location, whereas 612 we focus on the global picture here as we are able to observe over all MLTs, as well as 613 latitudes from 90-40°. The increases we see in F-region echo occurrences, in compari-614 son to the decreases seen by Kumar et al. (2011) and Currie et al. (2016) during the storm 615 main phase, indicate that the effects observed by them, namely enhanced E-layers which 616 trap radar signals below the F-region, are not existent at all latitudes and MLTs. We 617 infer that the enhanced E-region layers take some time to propagate and cover larger ar-618 eas, in particular lower latitudes (see Kumar et al. (2011)), which is why we only see a 619 overall decrease in F-region backscatter occurences during the recovery phase when ob-620 serving an entire hemisphere. 621

Wild and Grocott (2008) studied SuperDARN echoes with respect to substorm on-622 sets and found that scatter maximizes just prior to substorm onset. In the nightside iono-623 sphere, backscatter poleward of 70° magnetic latitude is reduced, whereas overall, the 624 radar observations shift to lower latitudes. Thomas, Baker, Ruohoniemi, Coster, and Zhang 625 (2016) used measurements from the global positioning system to show that during a ge-626 omagnetic storm, especially during the main phase, the total electron content in the iono-627 sphere increases on average, especially at the start of the main phase. We conclude that 628 in this analysis we are seeing a combination of different effects: As the total electron con-629 tent in the ionosphere and the size of the convection cells increase, and convection in-630 creases, towards and during the main phase of a storm, high density plasma from lower 631 latitudes convects into the polar cap (Thomas et al., 2013). Whilst Thomas et al. (2013) 632 showed that no ionospheric scatter from SuperDARN was observed in the storm enhanced 633 density region extending poleward from mid-latitudes on the dayside, we suspect that 634 plasma patches break off from this due to convection and the net result is a higher num-635 ber of radar echoes observed on average during the main phase of a storm, which is shown 636 in Fig. 2 (panel b) and Fig. 3 (panel c). The higher ionospheric densities allow better 637 HF propagation conditions in the form of more ionospheric refraction. We also find in 638 Fig. 3 that the amount of scatter during the main phase of a storm is likely to be slightly 639

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higher in comparison to driven times. We conclude that this is due to the number of ionospheric irregularities present being related to the level of driving (e.g. Milan et al., 1997),
as we have shown that the driving during the main phase of a storm is on average higher
than the selected driven times when no storm is observed.

644

4.3 Occurrence and location of enhanced convective flows

Ionospheric convection is excited by reconnection (Cowley & Lockwood, 1992). When 645 dayside reconnection is dominant, the polar cap expands and when nightside reconnec-646 tion is dominant, the polar cap contracts. As expected, the ionospheric flows increase 647 accordingly in each case (Walach, Milan, Yeoman, et al., 2017). We would thus expect 648 higher ionospheric convection flows and CPCP for intervals of both higher solar wind 649 driving and enhanced geomagnetic activity. This is shown in Fig. 2, where we see the 650 cross polar cap potential (panel d) and the maximum measured line-of-sight velocities 651 (panel e) increase as solar wind driving (panels k, i, and j) increases. This is also shown 652 in Fig. 3, where we see higher cross polar cap potential (panel h) for times when the so-653 lar wind driving is highest (panel j and k). Interestingly, panels (d) and (e) in Fig. 3 do 654 not show this very clearly. If we compare the different storm phases alone, we see that 655 the median measured line-of-sight velocities and the maximum measured line-of-sight ve-656 locities are on average highest for the main phase, which matches the aforementioned 657 trends. If we look at the two blue traces in isolation, we see a similar thing: the light blue 658 traces are on average slightly higher than the dark blue ones, which again matches the 659 trends seen in other panels. We do not, however, see this trend when comparing all the 660 traces (i.e. the storm phases in panels (d) and (e) to the blue traces). We suggest a rea-661 son for this discrepancy is the time-history of the system. During a geomagnetic storm, 662 the magnetospheric system undergoes a progression through different distinct phases over 663 a prolonged period of time. By definition this includes a pre-conditioning of the mag-664 netospheric and ionospheric system and puts the system into a state where the time-history 665 of the driving and reaction of the system shapes the response. The main difference be-666 tween the storm-time data and the comparative datasets is this time-history of the sys-667 tem, or in the latter case, the lack thereof. When we collect data for the two blue curves 668 in Fig. 3, no time-history is considered and data is collated where the chosen criteria oc-669 cur, whereas all the storm data includes by definition a record of the time history of the 670

⁶⁷¹ system. This may explain why the curves in fig. 3 panels (d) and (e) show a different dis-⁶⁷² tribution for the storms in comparison to the other two datasets.

The results presented in Fig. 4 demonstrated a number of differences in the loca-673 tion of the fastest flows between our different categories. The initial phase of a storm 674 is associated with intervals of at least modest solar wind driving (see e.g. Figure 3k) which 675 explains the fast flows near noon, which are expected following dayside reconnection. Ini-676 tial phases are often also associated with solar wind pressure enhancements (e.g. Hutchin-677 son, Wright, & Milan, 2011) which are also known to trigger nightside reconnection (Hu-678 bert et al., 2006) and thus drive fast flow on the nightside, as seen here. During the main 679 phase we expect strong solar wind driving, and as such the fastest flows are observed on 680 the dayside. This phase is also associated with an expansion of the convection pattern, 681 as noted in Figure 3g and in agreement with previous studies of polar cap dynamics dur-682 ing storms (e.g. Milan et al., 2009). 683

The main and recovery phases also displayed some evidence for fast flows at lower-684 latitudes in the pre-midnight sector. We suggest that these flows are associated with SAPS, 685 which take the form of enhanced westward flows in the midnight-sector thus enhancing 686 the low-latitude region of the dusk convection cell (as noted above in reference to Fig.3). 687 SAPS are known to occur in association with enhanced geomagnetic activity (e.g. Huang 688 & Foster, 2007; Kunduri et al., 2017) and hence an increase in these flows for our storm 689 main phase category is not unexpected. It is worth noting that the SAPS-type flows be-690 come the dominant fast flows in the 'enhanced Sym-H' category. SAPS are observed dur-691 ing substorms (e.g. Grocott et al., 2006) as well as geomagnetic storms and hence some 692 of the intervals included in this category may correspond to times of enhanced activity 693 that do not meet the criteria to be classified as a storm. This would also be consistent 694 with the reduced occurrence of fast dayside flows in this category; storm main phases 695 are always expected to be accompanied by enhanced solar wind driving and hence en-696 hanced dayside flows, whereas arbitrary intervals of enhanced geomagnetic activity may 697 not. 698

It is also worth considering that the difference between the storm main phase and the geomagnetically active categories may be related to the lack of time-dependence in the latter category, as mentioned above. The main and recovery phases are distinctly different, both in terms of the location of enhanced dayside flows, and in the latitude of

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the pre-midnight fast flow band. Confusing these two phases in any analysis of the iono-703 spheric flows is therefore problematic. In particular, the pre-midnight fast flow bands 704 are both clearly present in the geomagnetically active category, and we suggest that this 705 reflects both the SAPS-type flows associated with the region 2 current system that maps 706 to the inner magnetosphere and the flows driven by reconnection associated with region 707 1 currents at the open-closed field line boundary. Although clearly linked, there is no re-708 quirement for enhancement in these two systems to always be the same (Coxon, Milan, 709 Clausen, Anderson, & Korth, 2014). 710

711

4.4 Latitudinal extent of radar observations and the Heppner-Maynard boundary

The minimum latitude at which scatter is observed gives us an idea of how far the 713 convection patterns may expand to, but also what data coverage we can expect for dif-714 ferent times. We see from Fig. 2g that the range of latitudes where we observe scatter 715 during the main phase of the storms increases, so we might expect the convection pat-716 tern to increase also. This is supported by panel f in Fig. 3, which shows that during the 717 main phase of a storm, we are more likely to see ionospheric scatter at latitudes below 718 55°. This is particularly important when we compare this to panel g in Fig. 3 or panel 719 f in Fig. 2, which show the magnetic latitude of the Heppner-Maynard boundary. As dis-720 cussed above, we have added an improvement to the RST code in our analysis that al-721 lows the Heppner-Maynard boundary to expand down to 40° , instead of the previously 722 hard-coded limit at 50° that has been used in previous studies. It can be clearly seen 723 that this is crucial for geomagnetic storms, where the convection pattern does often ex-724 tend below 50° of latitude, especially when the Sym-H index is enhanced, such as dur-725 ing the main phase of a storm. As we only observe moderate geomagnetic storms dur-726 ing this solar cycle, it is possible that this limit could be even lower during extreme ge-727 omagnetic storms, though the SuperDARN field-of-views do not extend below 40° of lat-728 itude. 729

⁷³⁰ We can demonstrate the importance of this issue quantitatively. If we had used a ⁷³¹ 50° limit for the Heppner-Maynard boundary, the boundary would have been placed too ⁷³² high during ~17% of all considered 2-minute intervals, which is a considerable propor-⁷³³ tion of the observation time (for the separate curves this approximately corresponds to: ⁷³⁴ 15% (initial phase), 21% (main phase), 21% (recovery phase), 21% (geomagnetic activ-

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ity), 7.5% (solar wind driving)). For the geomagnetic storms alone this corresponds to
approximately 19% of the time.

In our analysis, the HMB is susceptible to missing data at lower latitudes. This is 737 because there are fewer radars covering the midlatitudes, such that geographical cover-738 age from the midlatitude radars is not as good as from the polar radars (see e.g. Thomas 739 & Shepherd, 2018). The midlatitude radars of course add better coverage, but there are 740 not enough of them to make observations at all longitudes at 40° magnetic latitude. Thomas 741 and Shepherd (2018) noted that the boundary may be misplaced during times when mid-742 latitude radar data is used, and scaled the HMB manually, which is the first large-scale 743 SuperDARN study ever to reflect midlatitude data in the HMB. Having enabled auto-744 matic adjustment of the HMB below 50° in our study, we do risk misplacement of the 745 boundary. We therefore explored whether using a radar data coverage threshold would 746 result in a more robust definition of the HMB. We found that a narrowing of the peak 747 in the location of the HMB occurred for $n \ge 200$. 748

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4.5 Relationship between the Heppner-Maynard boundary and the flow reversal boundary

We have shown that the FRB and HMB expand equatorward during the main phase 751 of a geomagnitic storm, with a subsequent series of smaller expansions and contractions 752 during the recovery phase leading to an overall contraction. Whilst we expect the HMB 753 to lie in the region of the equatorward edge of the auroral oval, the FRB should more 754 closely align with the inner boundary (Walach, Milan, Yeoman, et al., 2017). Milan et 755 al. (2009) presented observations of the auroral oval that revealed the same trend we see 756 in the FRB. The results of Imber et al. (2013) showed that we would expect the HMB 757 also to move with the auroral oval boundary and this is true: we see the same trends with 758 the FRB and HMB, though the HMB moves more rapidly. 759

Walach, Milan, Yeoman, et al. (2017) showed that the inner auroral oval boundary is a good proxy for the FRB, as the polar cap is expanding and contracting due to solar wind driving and magnetospheric responses. Similarly, the results of Imber et al. (2013) showed that a circle fitted to the brightest parts of the auroral oval, which lies between the FRB and HMB (on average ~2.8° poleward of the HMB). In their large scale statistical study Imber et al. (2013) considered SuperDARN data only from 2000-2002,

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⁷⁶⁶ before any mid-latitude radars had been deployed in the Northern hemisphere, and showed ⁷⁶⁷ that even when the auroral oval is expanded to lower latitudes, there is a good correspon-⁷⁶⁸ dence in expansion and contraction between the auroral boundary and the HMB. They ⁷⁶⁹ note that the small offset between the HMB and the oval latitude ($\sim 2.8^{\circ}$) is greater when ⁷⁷⁰ the oval is expanded, i.e., during more disturbed magnetic conditions. However, our ob-⁷⁷¹ servations of the FRB and HMB suggest that this offset is actually more extreme, with ⁷⁷² the FRB-HMB offset increasing to $\sim 20^{\circ}$ as geomagnetic activity increases.

773

4.6 Mapping high-latitude ionospheric convection into the magnetosphere

Turner et al. (2019) studied the storm-time morphology of the radiation belts us-774 ing data from the Van Allen probe mission (Mauk et al., 2013). They showed that dur-775 ing the main phase of a geomagnetic storm and what we have defined as initial phase, 776 tens of keV electrons are enhanced at all considered L-shells $(2.5 \le L \le 6, \text{ which corresponds})$ 777 to $39.2^{\circ} \leq \text{geomagnetic colatitude} \leq 24.1^{\circ}$ (see Shepherd, 2014)). They find that these 778 enhancements then quickly decay away during the early recovery phase. In most storms 779 $(\geq 90\%)$ higher energy electrons (hundreds of keV) are enhanced at lower L-shells ($\sim 3 \leq L \leq \sim 4$), 780 which corresponds to geomagnetic colatitudes of 30° to 35.3° in the AACGM coordinate 781 system used here (Shepherd, 2014). These then also decay gradually during the recov-782 ery phase. Turner et al. (2019) also showed that relativistic electrons fluxes throughout 783 the outer belt $(3.5 \le L \le 6, \text{ corresponding to colatitudes of } 32.3^{\circ} \text{ to } 24.1^{\circ})$ have a tendency 784 to drop out during the main phase but are then replenished during the recovery phase 785 in an unpredictable way. Their study also shows that electrons with energies >1 MeV 786 are highly likely to show a depletion at all L-shells of the outer belt. 787

Using equation 1 from Shepherd (2014), we can put these L-shell dependencies into 788 the context of our ionospheric convection observations and the locations of the HMB and 789 FRB. We show that during the main phase of the storm, the HMB sits on average be-790 tween L-shell 3 (colatitude $\sim 35^{\circ}$) and 2.4 (colatitude 40°) and the FRB sits at L-shell 791 14.9 (colatitude $\sim 15^{\circ}$) to 10 (colatitude 18°), though this can vary such that the HMB 792 can extend to L-shells of up to 1.7 (colatitudes of up to 50°). This means that during 793 the main phase of the storm, all L-shells considered by Turner et al. (2019), map to re-794 gions equatorward of the FRB, but poleward of the HMB in the ionosphere. We thus 795 infer that all the radiation belt regions map to where the ionospheric return flows are 796 occurring, which are the closed field line regions, as expected. Furthermore, we can com-797

-26-

⁷⁹⁹ ment that the outer belt, in particular, matches to regions where we see faster flows in ⁷⁹⁹ the ionosphere. Comparing Fig. 4 panels (b) and (e) with the results of Turner et al. (2019), ⁸⁰⁰ we see that the lower latitude band of fast flows attributed to SAPS in our above dis-⁸⁰¹ cussion corresponds to L-shells ~ 3 where the higher energy electrons were measured ⁸⁰² to enhance and decrease during the storm main and recovery phases. This is consistent ⁸⁰³ with the suggestion made by Califf et al. (2016) that the SAPS electric fields could be ⁸⁰⁴ responsible for enhancements in 100s keV electron fluxes.

As is shown in Figs. 6 and 8, the offset between the FRB and the HMB varies with 805 geomagnetic activity levels, and even during the different storm phases. Whilst during 806 quieter times, such as the initial phase of a storm, this offset is $\sim 15^{\circ}$, it increases to $\sim 22^{\circ}$ 807 during the main phase, when solar wind driving is strongest and then decreases again 808 during the recovery phase. During the main phase, the convection pattern is the most 809 stable, as the difference between the FRB and HMB stays the most constant. This is a 810 significant result, as it has implications for inner magnetospheric dynamics. The HMB 811 is expected to map to the plasmapause, the outer edge of the plasmasphere (e.g. Chen 812 & Wolf, 1972; Maynard & Chen, 1975). This means that as the HMB moves equator-813 ward, the plasmapause is expected to move closer towards the Earth. However, as the 814 ring current increases towards the end of the main phase, the outward pressure in the 815 inner magnetosphere where the ring current lies, increases (Parker, 1957), leading to com-816 peting forces. Ultimately, the equatorward expansion of the HMB means that the stag-817 nation point will be inside the plasmasphere, such that a plasmaspheric plume forms (Gre-818 bowsky, 1970). An investigation of the low-latitude convection during plume observa-819 tions is the subject of ongoing work. 820

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4.7 Relationship to substorms and sawtooth events

It was noted above that the time-dependence of the magnetosphere-ionosphere sys-822 tem is likely to be responsible for some of the differences in the convection observed for 823 our storm categories. We see indicators for this in Figs. 5, 6 and 8: During the recov-824 ery phase of a storm, the HMB observations fluctuate between two latitudes. A lower 825 latitude right at the start of the recovery phase ($\sim 37^{\circ}$ colatitude), which is similar to 826 the location of the HMB during the main phase of the storm, followed by a retreat of 827 the HMB to much higher latitudes and thus a smaller convection pattern ($\sim 24^{\circ}$ colat-828 itude). This change appeared to be quite abrupt in Fig. 2, but when only considering 829

maps where $n \ge 200$, the change is less abrupt. In this case, we see two clear distributions 830 (e.g. the double peak in Fig. 5). We see in Figs. 2 and 8 that as the solar wind driving 831 decreases and Sym-H becomes less enhanced, the HMB and the FRB move to higher lat-832 itudes similar to at the start of the initial phase. With reference to Fig. 7, we showed that 833 the double-peak distribution in the HMB during the recovery phase is tied to two sep-834 arate distributions in the auroral electrojet distributions. The high-latitude HMB dis-835 tribution is limited to low electrojet indices: AU < 150nT, AL > -200nT and a low 836 AE < 500nT, which corresponds to low auroral activity. The high HMB distribution 837 on the other hand, corresponds to much higher activity levels. Furthermore, the fast and 838 abrupt changes in the HMB, which we see in the recovery phase in Fig. 8 suggest that 839 the convection pattern is rapidly expanding and contracting, and the majority of the time 840 in the recovery phase is spent in either one of the two states. With the changes occur-841 ing very fast in relation to the duration of the recovery phases, we see the resulting double-842 peak distribution. 843

We conclude that this result may be related to a phenomenon known as sawtooth 844 events or low-latitude onset substorms (Milan, Walach, Carter, Sangha, & Anderson, 2019; 845 Walach & Milan, 2015). It is common for large, quasi-periodic substorms to occur with 846 a low-latitude onset when the solar wind driving is high and prolonged, and the Sym-847 H index is enhanced, which are often also termed sawtooth events (Belian, Cayton, & 848 Reeves, 1995; Cai & Clauer, 2013; Milan et al., 2019; Noah & Burke, 2013; Walach & 849 Milan, 2015). Leading up to sawtooth events, solar wind driving and thus the dayside 850 reconnection rate is very high, and as such the polar cap increases in size (Walach & Mi-851 lan, 2015). Following this, a large dipolarisation, and thus dispersionless injection at geosyn-852 chronous orbit is seen, which is followed by a decrease in the polar cap flux (Walach & 853 Milan, 2015). Walach, Milan, Murphy, et al. (2017) showed that as the polar cap decreases 854 in size, after sawtooth event onset, the auroral intensity decreases also, but much more 855 abruptly than for normal substorms. As discussed in section 4.5, we expect the HMB 856 to move in the same way as the polar cap boundary, albeit at lower latitudes. Not only 857 do the changes in the HMB latitude support the finding that these fluctuations are tied 858 to recurring substorms at low latitudes or sawtooth events, but the coincinding auroral 859 electrojet activity also matches that shown by Walach and Milan (2015). Sawtooth events 860 and substorms show an abrupt step-change in the auroral electrojet indices at onset, which 861 for substorms has been shown to be a change of the order of \sim -100nT and for sawtooth 862

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events ~-200nT in AL (Walach & Milan, 2015). We infer from the findings shown in Fig. 7
that these changes in the HMB and coinciding changes in the auroral electroject indices
are related to substorm or sawtooth event activity.

⁸⁶⁶ 5 Summary

We have studied geomagnetic storms from 2010-2016 statistically, in terms of the 867 solar wind driving and ionospheric convection and compared this to geomagnetically ac-868 tive times, as well as times when solar wind driving is high, but geomagnetic activity is 869 very low. This study shows that when studying ionospheric convection during geomag-870 netically active times, it is crucial to consider data at mid-latitudes. We find that dur-871 ing 19% of storm-time the Heppner-Maynard low-latitude boundary (HMB) of the con-872 vection is likely to be below 50°, which previous SuperDARN analyses did not take into 873 account. Specifically, we show for the first time, that it is possible for the HMB to reach 874 latitudes of 40° during the main phase of a storm. We also show that the highest line-875 of sight velocities measured during the main phase of a storm move to lower latitudes 876 in comparison to the initial phase of a storm. On the dayside, these are most likely to 877 be observed in the post-noon sector, at latitudes around $\sim 70^{\circ}$. In the dusk to pre-midnight 878 sector, they are most likely to be seen at lower latitudes ($\geq 60^{\circ}$), which is a distinct fea-879 ture, unique in our dataset to geomagnetically active times (Sym- $H\leq-80nT$) and main 880 phases of storms, and likely related to the subauroral polarisation streams. Generally, 881 the initial phase of a storm shows very similar features to the recovery phase, though the 882 HMB and flow reversal boundary (FRB) are more likely to be observed at lower latitudes 883 during the recovery phase. In fact, the HMB appears to have bimodal distribution dur-884 ing the recovery phase, favouring latitudes of $\sim 66^{\circ}$ and $\sim 53^{\circ}$, which we attribute to sub-885 storm or sawtooth event activity. Not only do the flow boundaries measured by Super-886 DARN move throughout the storm phases, but the return flow region (the region between 887 the HMB and the FRB) also changes: we see it increase abruptly right before the main 888 phase, then remaining fairly constant and elevated throughout the main phase, before 889 becoming highly fluctuating and then gradually returning to the early initial phase lev-890 els. We show that the cross polar cap potential doubles from 40 kV (initial and recov-891 ery phases) to 80 kV during the main phase, reaching in some cases in excess of 100 kV. 892 Overall, the SuperDARN observations during times of solar wind driving when geomag-893 netic activity is low, resemble the initial and recovery phase most closely. On the other 894

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hand during geomagnetically active times, irrespective of storm phase, the observations

- resemble the main phase, but lie somewhere between the data distributions of the main
- ⁸⁹⁷ phase, and the initial and recovery phases, as the associated solar wind driving tends to
- ⁸⁹⁸ be higher than for the storm initial and recovery phases.

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Figure 2. Different parameters, showing the progression through the storm phase. Each panel shows the initial, main, and recovery phases of the storm on a normalised time scale with individual storms colourcoded by their absolute duration, which is indicated by the colour scale. The black lines show the lower and upper quartiles (25% and 75%), and the median. Panels a to 1 show, respectively: SYM-H index, average number of scatter points per radar; minimum and maximum potential from the SuperDARN maps; cross polar cap potential from the SuperDARN maps; maximum radar line-of-sight speed; magnetic latitude of the Heppner-Maynard boundary; magnetic latitude extent of coverage; total magnetic field in the IMF; magnetic field component of the IMF in the Z-direction; IMF clock angle; electric field of the solar wind; AL & AU indices.



Figure 3. Different parameters, showing the Probability distribution functions for the storm phases (orange: initial phase, red: main phase, green: recovery) in comparison with driven times, but where no storm occurs (dark blue), and geomagnetically active times, irrespective of storm phase (cyan). Panels a to 1 show: Sym-H index; Phase duration; average number of scatter points per radar; the maximum velocity measured by the radars; the median velocities; the minimum magnetic latitude where SuperDARN scatter is observed; the magnetic latitude of the Heppner-Maynard boundary; the cross polar cap potential; the maximum and minimum potential of the convection cells; the IMF clock angle; the electric field in the solar wind, with respect to the Earth; AL and AU.



Figure 4. Polar maps in magnetic latitude - magnetic local time coordinates showing the locations of where the fastest flows are observed for each activity type (a: initial phase, b: main phase, c: recovery phase, d: driven times, e: enhanced Sym-H index, irrespective of storm phase). Noon is to the top, and dusk to the left. Grey gridpoints indicate locations where data was available, but none of the maximum velocities were measured.

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Figure 5. Heppner-Maynard boundary (HMB) of the maps versus the number of obervations per map (n). The median and the lower and upper quartiles are shown by the black dots and the colour saturation indicates observational density. The grey dashed curve indicates the number of maps per n per storm phase. The bin between 0 < n < 10 was left intentionally blank and would correspond to the distribution shown in Fig. 3f. 41^{-}



Figure 6. Colatitude location of the flow reversal boundary (FRB) against the Heppner-Maynard boundary (HMB) during the three phases of geomagnetic storms (only using maps where $n \ge 200$). The dashed black lines show the line of unity and the black contours correspond to where the normalised datapoint density corresponds to 0.005, 0.01, 0.015 and 0.02.



Figure 7. Colatitude location of the Heppner-Maynard boundary (HMB) during the recovery phase versus AU, AL and AE (only using maps where $n \ge 200$).



Figure 8. Heppner-Maynard boundary (red) and flow reversal boundary throughout the different storm phases (blue) on the left and the difference between the two (right), colourcoded in the same way as Figure 2, but only using maps where $n \ge 200$. The solid lines show the median (black) and 25% and 75% quartiles (grey).