

1 **Gas Giant Magnetosphere-Ionosphere-Thermosphere**
2 **Coupling**

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6 Abstract

7 Magnetosphere-ionosphere-thermosphere (MIT) coupling describes the exchange of energy and angular momentum between a planet and its surrounding plasma environment.
 8 A plethora of phenomena are signatures of this interaction, from bright auroral and radio emissions across multiple wavelengths that are easily observed remotely, to radio bursts
 9 and field aligned currents best measured in situ. Gas giant MIT coupling differs from
 10 that in the terrestrial system because of rapid planetary rotation rates, dense hydrogen-based atmospheres, and outgassing moons embedded well within the magnetospheres.
 11 We discuss here the fundamental physics governing MIT coupling at Jupiter and Saturn.
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16 1 Introduction

17 Magnetosphere-ionosphere-thermosphere coupling is the process by which energy and angular momentum are transferred between a planet and its surrounding plasma environment. The magnetosphere is host to a variety of plasma populations which are connected to the planetary magnetic field. Stresses associated with changes in magnetic field configuration e.g. magnetic reconnection, magnetospheric compressions or expansions induced by the solar wind, or modifications to the local plasma population e.g. source and/or loss processes such as charge exchange, energisation, plasma injections triggered by reconnection or radial outflow, are communicated to the planet via electrical currents. Electrical currents in the magnetosphere are coupled to the planet through magnetic field-aligned currents which close in the ionosphere. Ionospheric currents modify the coincident thermosphere by for example, heating the local atmosphere and driving winds. Collisions between ionospheric ions and thermospheric neutrals alter the electric currents and thus can affect magnetospheric plasma. Sections 3 and 4 of this series are dedicated to solar wind-magnetosphere and magnetosphere-ionosphere coupling processes, respectively. We concentrate on MIT coupling at the giant planets here.

32 At Earth, MIT coupling is largely driven by the interaction between the magnetosphere and the solar wind. This is only a fraction of the picture at gas giant planets, where rapid rotation and internal plasma sources combine to drive a more dynamic MIT coupled system. Jupiter and Saturn rotate with periods of ~ 9.9 hours and ~ 10.7 hours, respectively. Deep within each magnetosphere, moons under tidal stresses release neutral material into the local space environment. Io ejects $700 - 3000 \text{ kg s}^{-1}$ neutral material into Jupiter's magnetosphere (Delamere, Bagenal, & Steffl, 2005). At Saturn, Enceladus emits neutrals at a rate of $150 - 300 \text{ kg s}^{-1}$ (Hansen et al., 2006). Approximately half of the material remains as plasma in the system following ionization (see Chapter 8.2, this volume).

42 These plasma sources, embedded well within the magnetosphere, modify the MIT coupling throughout the system from that described in Chapter 4.1. Newly generated plasma, which orbited the planet at the Keplerian velocity as neutrals, must be accelerated to corotation with the planetary magnetic field. This acceleration requires angular momentum to be imparted from the planetary atmosphere to the newly picked-up plasma. Similarly, as plasma is transported radially outwards through the magnetosphere, angular momentum must be transferred from the planet to the magnetospheric plasma to maintain corotation. The MIT coupling driven by these processes is superimposed onto that driven by the solar wind-magnetosphere-ionosphere interaction. The relative contributions of the internal and external MIT coupling drivers shifts with variability in solar wind conditions, moon outgassing rates, and plasma properties such as temperature and composition. In the absence of constellation missions and upstream solar wind monitors, it is difficult to distinguish the timescales and system responses associated with each process. Understanding the observational evidence is critical to provide context for the development of gas giant MIT coupling theory and to test our underlying assump-

57 tions and theoretical framework. We focus on non-moon MIT coupling as Section 9 is
 58 dedicated to moon-magnetosphere interactions.

59 **1.1 In situ magnetospheric evidence of MIT coupling**

60 In situ and remote observations provide local and global evidence of MIT coupling.
 61 In the magnetosphere, indicators of MIT coupling include in situ measurements of the
 62 radial angular velocity of corotating plasma (e.g. Bagenal, Wilson, Siler, Paterson, & Kurth,
 63 2016; McNutt, Belcher, Sullivan, Bagenal, & Bridge, 1979; Thomsen et al., 2010), bi-directional
 64 electron beams (e.g. Mauk & Saur, 2007; Mitchell et al., 2009), electric currents (e.g. Khu-
 65 rana, 2001), radio emissions (e.g. Badman, Cowley, Lamy, Cecconi, & Zarka, 2008; Kurth
 66 et al., 2017; Lamy et al., 2018; Zarka, 1998), and measurements of particle acceleration
 67 at auroral latitudes (e.g. Allegrini et al., 2017; Clark et al., 2018; Mauk et al., 2017a).
 68 Figure 1 shows the plasma flows in the jovian and saturnian magnetospheres as deter-
 69 mined from Galileo (Bagenal et al., 2016) and Cassini data (Thomsen et al., 2010). There
 70 are large uncertainties in the angular velocities, which depend on both the modeling tech-
 71 nique applied and underlying assumptions in the analysis e.g. composition. However, it
 72 is clear that the plasma velocity does not have a $r^{-1/2}$ dependence, but instead main-
 73 tains a near steady rotation rate with respect to corotation. This velocity profile indi-
 74 cates that angular momentum is being extracted from the planet and added to the mag-
 75 netospheric plasma in order to enforce corotation with the planetary magnetic field.

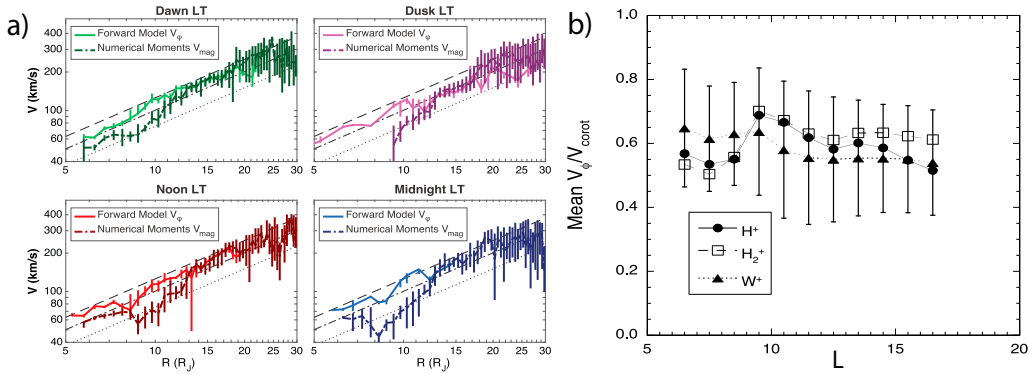


Figure 1. Angular velocities of the magnetospheric plasma at Jupiter and Saturn. a) Galileo azimuthal plasma flows in four local time sectors (adapted from Bagenal et al. (2016)). Dashed, dot-dashed, and dotted lines show 100%, 80%, and 60% of corotation, respectively. b) Azimuthal plasma velocities measured by Cassini at Saturn (adapted from Thomsen et al. (2010)).

76 Angular momentum is transferred via field-aligned currents, which have also been
 77 measured in situ. Mauk and Saur (2007) showed that highly structured field-aligned cur-
 78 rent systems exist in the jovian magnetosphere. Cassini data at Saturn shows that simi-
 79 lar stratification in field-aligned currents exists at high-latitudes that are magnetically
 80 connected to the middle and outer magnetosphere (e.g. Hunt et al., 2018; Talboys et al.,
 81 2009). Hot electron populations and electron beams have also been measured, which pro-
 82 vide a source of current carriers that are able to escape the large potential wells gener-
 83 ated by the rapid planetary rotation rate and ensuing ambipolar potentials.

84 Radio emissions are rife in planetary magnetospheres. These emissions are gener-
 85 ated by a host of MIT coupling processes and are a useful diagnostic of the local plasma
 86 environment. In the auroral acceleration region, the electron cyclotron maser generates

87 emission (Ergun et al., 2000; Melrose & Dulk, 1982; Wu & Lee, 1979, e.g.). This emis-
 88 sion occurs when the plasma frequency is near the local gyrofrequency and hence is a
 89 useful diagnostic of both the local plasma conditions and magnetic field structure. Cy-
 90 clotron maser generated Saturn kilometric radiation is a useful diagnostic of how magnetosphere-
 91 ionosphere coupling responds to the solar wind (Badman, Cowley, Gérard, & Grodent,
 92 2006), and plasma injections potential driven by tail reconnection (e.g. Lamy et al., 2013)
 93 amongst other processes. At Jupiter, strong decametric radio emissions are invoked by
 94 the Io-Jupiter interaction, discussed in Section 9. MIT coupling driven by processes in
 95 the middle magnetosphere drives a host of emissions at deca-, hecto-, and kilometer wave-
 96 lengths (Clarke et al., 2004; Zarka, 1998). Recent Juno observations suggest that source
 97 regions for these radio emissions exist throughout the magnetosphere, as the spacecraft
 98 passed near 5 source regions alone during the first perijove orbit (Kurth et al., 2017).
 99 Furthermore, radio emission that occurs at frequencies below the local electron cyclotron
 100 frequency can indicate the presences of Whistler or Alfvén waves, which are important
 101 in coupling the magnetosphere and ionosphere (Kurth et al., 2018).

102 A final piece of magnetospheric evidence is in situ measurements of precipitating
 103 particles at high magnetic latitudes. Juno has directly measured precipitating auroral
 104 electrons and discovered that mix of acceleration processes occur at Jupiter’s magneto-
 105 sphere (e.g. Allegrini et al., 2017; Clark et al., 2018; Mauk et al., 2017a, 2017b). Quasi-
 106 static field-aligned potentials, long invoked to be the dominant acceleration process above
 107 Jupiter’s aurora, are only seen a fraction of the time. Electron intensity profiles instead
 108 show that wave-driven stochastic acceleration are prevalent, indicating that MIT cou-
 109 pling at the outer planets is a dynamic, time-dependent process. In both cases, energetic
 110 electrons are deposited into the planetary atmosphere generating bright auroral emis-
 111 sions and modifying the underlying ionosphere-thermosphere system.

112 1.2 Atmospheric evidence of MIT coupling: Auroral observations

113 Planetary aurorae are the most visual representation of the coupling between a planet’s
 114 magnetosphere and atmosphere. Earth’s aurorae have been observed for thousands of
 115 years and have long fascinated humanity. The gas giant planets, Jupiter and Saturn, also
 116 have aurorae. Jupiter mainly has auroral emissions in the radio, ultraviolet (UV), infrared
 117 (IR) and X-ray wavelengths of the spectrum. Saturn has emissions at the same wave-
 118 lengths as Jupiter except for X-rays. Both planets have strong radio emissions which have
 119 played crucial roles in determining their magnetospheric and rotational properties. Plan-
 120 etary radio emissions are seen as a key way to identify extra solar magnetised planets
 121 in the future and are discussed in chapter 11.3. Here, in this chapter, we focus only on
 122 auroral emissions from within a planet’s upper atmosphere - namely at UV, IR and X-
 123 ray wavelengths.

124 The terrestrial aurora arises due to charged particles travelling along Earth’s mag-
 125 netic field lines colliding with atoms and molecules in the upper atmosphere which in
 126 turn emit visible light. It is strongly influenced by the Sun and its magnetic field - per-
 127 meated throughout the solar system by the solar wind. Gas giant aurora are caused by
 128 the same underlying mechanisms as the terrestrial (see chapters 4.1 - 4.5) but in a dif-
 129 ferent parameter space (e.g. higher energies). While the terrestrial aurora is essentially
 130 controlled by the solar wind, Jupiter’s main auroral oval is controlled predominately by
 131 internal sources i.e. the breakdown of corotation of Iogenic plasma slowly diffusing ra-
 132 dially outwards. Saturn’s aurora appears to be governed by both internal (magnetospheric
 133 phenomena) and external (solar wind) sources - a kind of midpoint between the Earth
 134 and Jupiter. Jupiter’s main oval is ever-present unlike that of the solar system’s other
 135 magnetised planets, however, both Jupiter’s and Saturn’s aurora are affected by the so-
 136 lar wind and by transient magnetospheric processes e.g. reconnection. These time-dependent
 137 processes result in fine/small-scale auroral features and variations in auroral brightness.

Gas giant aurora is brightest in the UV (100's of kiloRayleighs (kR) at Jupiter and 10's of kR for Saturn) and variations in intensity can be used to diagnose dynamics in the planet's near space environment. Observations of the UV aurora also give information about the energies and fluxes of the precipitating electrons causing the aurorae as well as giving estimates of the temperature of the atmosphere (e.g. Atreya, Donahue, Sandel, Broadfoot, & Smith, 1979). Jupiter's X-ray aurora results from the precipitation of heavy ions into Jupiter's upper atmosphere (e.g. Branduardi-Raymont et al., 2008). The ion species and their energies can give insight into acceleration mechanisms required to energise the ions as well as their region of origin e.g. solar wind for Helium ions or magnetosphere for Sulphur ions (e.g. Dunn et al., 2017). Jupiter's and Saturn's IR aurora results from emission of the H_3^+ ion which is the dominant ion in their ionospheres. IR emission is concurrent in space with UV emission but due to the integration time for each IR observation, short timescale features are often smeared and only large or persistent features are observed. The discovery of H_3^+ emission in gas giant ionospheres (Drossart et al., 1989) allowed for estimates of the temperature of gas giant ionospheres and assuming the atmosphere was in local thermal equilibrium (e.g. Lam et al., 1997; Stallard, Miller, Millward, & Joseph, 2002), one could determine the temperature of the surrounding thermosphere. More recently, H_3^+ emissions have been used to determine the line-of-sight velocity of these ionospheric constituents giving the first remote observations of ionospheric and thermospheric velocities at the gas giant planets (e.g. Johnson, Stallard, Melin, Nichols, & Cowley, 2017; Stallard, Miller, Millward, & Joseph, 2001).

1.3 Models of MIT coupling

There are many different ways to approach MIT coupling. Global magnetospheric dynamics are most often investigated using magneto-hydro-dynamic (MHD) models (e.g. Chané, Saur, Keppens, & Poedts, 2017; Jia, Hansen, et al., 2012; Walker & Ogino, 2003). The inner boundary of these models is a conducting ionosphere, imposed several radii from the planet for computational feasibility. The computational intensity of MHD models prevents a rigorous treatment of the ionosphere yet it is possible to impose ion-neutral collisions (Chané, Saur, & Poedts, 2013) or atmospheric vortices to investigate the feedback between the thermosphere, ionosphere, and magnetosphere (Jia, Kivelson, & Gombosi, 2012). Using these models, it is possible to determine a global view of the MIT coupling currents present in the system. However, it is not possible to include the effects of field-aligned acceleration at high magnetic latitudes, alter the thermospheric velocity due to magnetospheric forcing, or assess the energy balance of the thermosphere using these models.

At the gas giants, quasi-static auroral particle acceleration driven by MIT coupling is explored with Vlasov models that are 1D in space, along the magnetic field, and 2D in velocity space (e.g. Matsuda, Terada, Katoh, & Misawa, 2012; Ray, Galand, Moore, & Fleshman, 2012; Ray, Su, Ergun, Delamere, & Bagenal, 2009; Su, Ergun, Bagenal, & Delamere, 2003). While these models can provide insight into energy intensity profiles of the precipitating auroral particles, plasma density and electric potential structure along the magnetic field, they cannot treat the magnetosphere or ionosphere self-consistently. Instead, Vlasov models use these regions as static boundary conditions. MHD wave-driven and Alfvénic acceleration have not yet been modeled outside of moon-magnetosphere interactions at the gas giants (Hess, Delamere, Dols, Bonfond, & Swift, 2010; Hess & Delamere, 2012; Jacobsen, Neubauer, Saur, & Schilling, 2007; Su et al., 2006). However, recent Juno observations show that stochastic acceleration is prevalent within the jovian system and thus future models must consider these effects.

Potentially the most widely used approach in MIT coupling is one-dimensional (1D) models (e.g. Cowley & Bunce, 2001; Hill, 1979; Nichols & Cowley, 2004; D. H. Pontius, 1997; D. H. Pontius & Hill, 2009; D. H. Pontius Jr. & Hill, 1982; Ray, Achilleos, Vogt, & Yates, 2014; Ray, Ergun, Delamere, & Bagenal, 2010; Saur, Mauk, Kaßner, & Neubauer,

2004). Such models investigate radial slices through the system and equate the ionospheric and magnetospheric torques to describe the electric fields, currents, and plasma angular velocities associated with MI coupling. The ionospheric feedback can be explicitly included by modifying the Pedersen conductance with field-aligned current density and electron precipitation energy (Nichols & Cowley, 2004; Ray, Ergun, Delamere, & Bagenal, 2012) and rotational decoupling from field-aligned potentials can be considered (Nichols & Cowley, 2005; Ray et al., 2010). Simplified thermospheric effects are invoked by scaling the Pedersen conductance to account for the subcorotation of the neutral atmosphere due to ion-neutral collisions (D. H. Pontius, 1995).

More detailed MIT coupling models merge the 1D MIT description with a general circulation model of the thermosphere. This approach is optimal for exploring the detailed feedback between the thermosphere, ionosphere, and magnetosphere. Alterations to the thermospheric angular velocity, and their effect on the transfer of angular momentum between the planet and magnetospheric plasma can be explicitly considered. Furthermore, energy inputs into the atmosphere, such as joule heating and ion drag, and their effect on the ionospheric conductance and electric currents are easily quantified (Mueller-Wodarg, 2012; Ray, Achilleos, & Yates, 2015; Smith & Aylward, 2008, 2009; Yates, Achilleos, & Guio, 2012, 2014; Yates, Ray, & Achilleos, 2018). It is this type of model that we consider in this paper. First we discuss the theory behind the magnetosphere-ionosphere coupling portion of the circuit before addressing the physics of the underlying atmosphere.

2 Coupling Theory

Planetary systems are populated by plasma populations under different conditions, from the collisional ionosphere embedded within a planet’s thermosphere to collisionless plasma populating the magnetospheric cavity. The planetary magnetic field, which threads all of the plasma, mediates the exchange of angular momentum and energy between the different populations. Electrical currents flow along the magnetic field between the ionosphere and magnetosphere. Within the two regions, currents flow perpendicular to the field with associated $\mathbf{J} \times \mathbf{B}$ forces acting on the local plasma populations.

2.1 One-dimensional approach

Hill (1979) was the first to describe the torque balance between the magnetospheric and ionospheric plasma populations in such systems under the assumptions of a spin-aligned dipole magnetic field, azimuthal symmetry, steady-state transport, constant ionospheric Pedersen conductance, no thermospheric feedback, and equipotential field lines. Mass-loading was later included by D. H. Pontius Jr. and Hill (1982). Numerical descriptions followed in the early 2000s, which explored how the MIT coupling changes as these simplifying underlying assumptions break down.

Here, we briefly consider the torque balance in a steady state system between the outward moving plasma and the $\mathbf{J} \times \mathbf{B}$ force from MI coupling, as shown in Figure 2. If we consider the ionosphere and the magnetosphere as two infinitely thin slabs, then the height-integrated current density, \mathbf{K} , rather than the current density, \mathbf{J} is the relevant parameter. In an azimuthally symmetric system, the torque per unit length exerted on the system in the corotational direction from $\mathbf{J} \times \mathbf{B}$ forces is

$$\mathbf{T}_{j \times B} = \mathbf{r} \times (2\pi r \mathbf{K}_M \times \mathbf{B}_M) = 2\pi r^2 K_M B_M \hat{\theta} \quad (1)$$

where $\mathbf{T}_{j \times B}$ is the torque from $J \times B$ forces, \mathbf{r} is the distance from the planetary spin axis, B_M is the magnetic field in the equatorial plane, and K_M is the magnetospheric height-integrated current density.

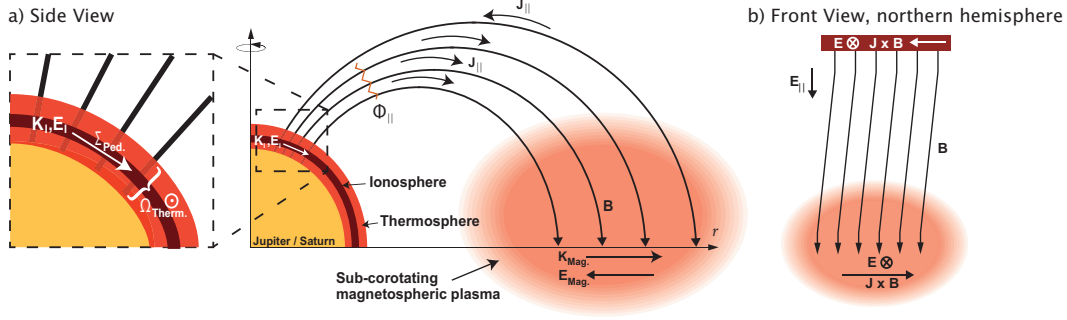


Figure 2. Geometry and associated fields for MI coupling in a system with internal plasma

235 The anti-corotational torque per unit length, \mathbf{T}_M , exerted on the plasma as it moves
 236 out through the system is

$$\mathbf{T}_M = \frac{d}{dr} \frac{d\mathbf{L}_M}{dt} = \dot{M} \frac{d}{dr} \mathbf{r} \times (\mathbf{r} \times \boldsymbol{\Omega}) = -\dot{M} \frac{d}{dr} r^2 \Omega \hat{\theta} \quad (2)$$

237 where \mathbf{L}_M is the angular momentum of the magnetospheric plasma, \dot{M} is the radial mass
 238 transport rate in the magnetosphere, assumed to be constant throughout the system, and
 239 $\boldsymbol{\Omega}$ is the plasma angular velocity, which is frame variant. Equation 2 can be modified to
 240 consider local pick-up processes by including a term to reflect the change in angular mo-
 241 mentum from newly created plasma

$$\frac{d}{dr} \left(\dot{M}_{pu} r^2 (\Omega_P - \Omega_N) \right) \quad (3)$$

242 where \dot{M}_{pu} is the mass loading rate from ionization of neutrals, and Ω_P and Ω_N are the
 243 angular velocities of the planet and neutral material, respectively. As the system is in
 244 equilibrium, Equation 1, including the mass-loading term, and equation 2 must sum to
 245 zero, giving:

$$\dot{M} \frac{d}{dr} r^2 \Omega + \frac{d}{dr} \left(\dot{M}_{pu} r^2 (\Omega_P - \Omega_N) \right) = 2\pi r^2 K_M B_M \quad (4)$$

246 Equation 4 can be solved to determine latitudinal and radial profiles of the plasma
 247 angular velocity, ionospheric and magnetospheric electric fields, and currents within the
 248 MI coupled system. Ionospheric parameters can be related to their magnetospheric coun-
 249 terparts through current continuity, $\nabla \cdot \mathbf{J} = 0$, and conservation of magnetic flux. The
 250 magnetospheric height-integrated current density can be expressed as follows

$$K_M = -2K_I \frac{s}{r} = -2\Sigma_P E_I \frac{s}{r} \quad (5)$$

251 where K_I is the height-integrated ionospheric current density, Σ_P is the height-integrated
 252 Pedersen conductance, E_I is the ionospheric electric field, and s is the distance in the
 253 ionosphere from the spin axis. The factor of 2 reflects the northern and southern iono-
 254 spheric contributions to the magnetospheric currents.

255 To consider non-idealized effects, one can numerically solve Equation 4 specifying
 256 radial profiles of magnetic field strength and mass-loading that reflect the system (e.g.
 257 Cowley & Bunce, 2001; D. H. Pontius & Hill, 2009; Ray et al., 2014; Saur et al., 2004).
 258 Feedback between the ionosphere and magnetosphere, which reflects changes in the at-
 259 mosphere due to electron precipitation and joule heating, are included by modifying the

260 Pedersen conductance as a function of current density and/or electron precipitation en-
 261 ergy (e.g. Nichols & Cowley, 2004; Ray et al., 2010; Ray, Ergun, et al., 2012). Real-time
 262 determination of the Pedersen conductance is computational prohibitive, so functional
 263 fits are based on detailed electron precipitation models, which investigate the ionospheric
 264 response to auroral precipitation (e.g. Galand, Moore, Mueller-Wodarg, Mendillo, & Miller,
 265 2011; Millward, Miller, Stallard, Aylward, & Achilleos, 2002).

266 The persistent anti-corotational torque exerted in the ionosphere by $\mathbf{J} \times \mathbf{B}$ forces
 267 related to the extraction of angular momentum acts to slow the local thermosphere. Since
 268 the Pedersen conductivity depends on the ion-neutral collision frequency, which is a func-
 269 tion of the relative velocity between the ions and neutrals, changes to the thermospheric
 270 angular velocity will modify the atmosphere’s ability to conduct electrical current. These
 271 effects are discussed in more detail in Section 3. However, MI coupling models approx-
 272 imate the thermosphere-ionosphere interaction by defining an effective Pedersen conduc-
 273 tance $\Sigma_P^* = (1 - k)\Sigma_P$, first defined by Cowley, Bunce, and Nichols (2003):

$$k = \frac{\Omega_P - \Omega_P^*}{\Omega_P - \Omega} \quad (6)$$

274 where Ω_P^* is the angular velocity of the thermosphere, which is assumed to be interme-
 275 diate between that of the planetary and plasma angular velocities.

276 Finally, the presence of high-latitude field-aligned electric potentials can modify how
 277 electric fields map between the ionosphere and magnetosphere. Any significant variation
 278 in the magnitude of field-aligned potentials with latitude must be considered through
 279 Faraday’s Law, $\nabla \times \mathbf{E} = 0$, N.B. that we are ignoring $d\mathbf{B}/dt$ to consider a steady-state
 280 system. If this condition is met, then the magnetic field lines cannot be considered equipot-
 281 entials and the electric fields between the ionosphere and magnetosphere are related by

$$E_I = \alpha \left(E_M - \frac{d\Phi_{||}}{dr} \right) \quad (7)$$

282 where $\Phi_{||}$ is the magnitude of the high-latitude field-aligned potentials. The mapping
 283 function, α , scales the electric fields using magnetic flux,

$$\alpha = B_I s / B_M r \quad (8)$$

284 where B_I and B_M are the magnitudes of the magnetic field at the ionosphere and mag-
 285 netosphere, respectively. In order to numerically close the equations, the field-aligned
 286 potentials are related to the field-aligned current density via the Knight (1973) current-
 287 voltage relation.

$$J_{||} = j_x + j_x(R_x - 1) \left(1 - e^{-\frac{e\Phi_{||}}{T_x(R_x - 1)}} \right) \quad (9)$$

288 where $j_x = en_x \sqrt{T_x / (2\pi m_E)}$ is the electron thermal current density, e is the fundamen-
 289 tal charge of an electron, R_x is the mirror ratio between the top of the acceleration re-
 290 gion and the planet, and T_x is the energy of the electron source population. Ray et al.
 291 (2009) and Ray, Galand, Delamere, and Fleshman (2013) showed that the current-voltage
 292 relationship must be evaluated at the high-latitude location of the acceleration region
 293 in giant planet systems, typically between 2–3 planetary radii as measured from the cen-
 294 ter of the planet, because of the centrifugal confinement of magnetospheric plasma.

295 **2.2 Breaking azimuthal symmetry**

296 Most MI coupling models assume azimuthal symmetry; However, all planetary mag-
 297 netospheres have intrinsic asymmetries introduced by the solar wind interaction. The
 298 extent to which these asymmetries penetrate into the magnetosphere and affect dynam-
 299 ics is a function of the planetary magnetic field strength and solar wind dynamic pres-
 300 sure. At Saturn, only the inner magnetosphere can be considered axisymmetric, while

301 at Jupiter plasma flows are azimuthally symmetric within $\sim 30 R_J$ (Bagenal et al., 2016).
 302 However, statistical analysis of magnetic field data from Galileo indicates that asymmet-
 303 tries are present inside of $40 R_J$ (Vogt et al., 2011).

304 Azimuthal asymmetries can be captured by using MHD models or by applying MI
 305 coupling models to different local time sectors within the magnetosphere. There are ad-
 306 vantages and disadvantages to each approach. The advantage of MHD models is that
 307 they capture the global behaviour of the magnetosphere, including solar wind disturbances
 308 and temporal changes (e.g. Chané et al., 2017; Jia, Hansen, et al., 2012; Walker & Ogino,
 309 2003). They solve the continuity, momentum, and energy equations for ions and elec-
 310 trons. Mass loading and loss can be included via source and sink terms. Gravitational
 311 forces are explicitly included. Atmospheric effects can be approximated by including a
 312 term for ion-neutral collisions (Chané et al., 2013) or localized vortices at the inner bound-
 313 ary (Jia, Kivelson, & Gombosi, 2012). However, computational limitations prohibit the
 314 consideration of the high-latitude magnetosphere where the Alfvén velocity approaches
 315 the speed of light. To mitigate this effect, the inner boundary is set to a few planetary
 316 radii, restricting real-time feedback between the atmosphere and magnetosphere such as
 317 variations in the conductance with auroral precipitation.

318 The alternative to this approach is to apply MI coupling models at different local
 319 time slices within the magnetosphere (Ray et al., 2014). Each local time slice uses an
 320 appropriate equatorial magnetic field profile that reflects the asymmetries in the system.
 321 To date, only a constant ionospheric Pedersen conductance and equipotential field lines
 322 have been considered using this technique; However, including variations in the conduc-
 323 tance and rotational decoupling between the ionosphere and magnetosphere should be
 324 the next step for static MI coupling models.

325 **3 Atmospheric Theory**

326 Magnetosphere-ionosphere coupling theory, as discussed so far, takes little account
 327 of the neutrals present within the thermosphere-ionosphere region of gas giant atmospheres.
 328 In this region, ions are influenced by electromagnetic forces but also by collisions with
 329 the neutrals in the ambient thermosphere. Let us first consider the simple case where
 330 ionospheric ions are acted on by electromagnetic forces only. The horizontal ion momen-
 331 tum equation, ignoring all but electromagnetic forces, is given by

$$m_i \dot{\mathbf{v}}_i = e (\mathbf{E} + \mathbf{v}_i \times \mathbf{B}), \quad (10)$$

332 where $\dot{\mathbf{v}}_i$ is the time derivative of the ion velocity \mathbf{v}_i in the inertial frame, and \mathbf{E} and \mathbf{B}
 333 are the electric and magnetic fields respectively. We can remove the electric field by switch-
 334 ing to a reference frame that is moving at the plasma drift velocity (\mathbf{v}_p) meaning that
 335 the ions and electrons forming the ionosphere’s quasi-neutral plasma are at rest. Equa-
 336 tion 10 now becomes

$$\dot{\mathbf{v}}'_i = \Omega_i \mathbf{v}'_i \times \hat{\mathbf{b}}. \quad (11)$$

337 The prime indicates that these quantities are in a reference frame that is moving
 338 at the plasma drift velocity, Ω_i is the ion gyrofrequency and $\hat{\mathbf{b}}$ is the magnetic field unit
 339 vector. This equation describes the average motion of the ions - circular motion perpen-
 340 dicular to the magnetic field combined with the plasma drift velocity. Ionospheric elec-
 341 trons behave similarly but rotate in the opposite direction to the ions.

342 In order to account for collisions between ionospheric ions and atmospheric neu-
 343 trals an extra term, dependent on the ion-neutral collision frequency ν_{in} and the veloc-
 344 ity difference between the two species, needs to be added to the momentum equation.

345 These ion-neutral collisions result in drag forces between the different atmospheric species
 346 modifying the momentum equation as follows

$$\dot{\mathbf{v}}'_i = \boldsymbol{\Omega}_i \mathbf{v}'_i \times \hat{\mathbf{b}} + \nu_{in} (\mathbf{u}' - \mathbf{v}'_i), \quad (12)$$

347 where $\mathbf{u}' = \mathbf{u} - \mathbf{v}_p$ and is the neutral bulk velocity in the plasma drift reference frame
 348 and \mathbf{u} is the neutral velocity in the inertial frame. This collisional term represents mo-
 349 mentum exchange between ions and neutrals and is called ‘ion drag’.

350 Let us consider time scales which are long compared to the inverse of the ion gy-
 351 rofrequency and ion-neutral collision frequency. One can then assume the system to be
 352 quasi-steady with zero net forces. Rearranging equation 12 for $\dot{\mathbf{v}}'_i$ and using the vector
 353 identity $\dot{\mathbf{v}}'_i = -(\dot{\mathbf{v}}'_i \times \hat{\mathbf{b}}) \times \hat{\mathbf{b}}$ gives the ion momentum equation in a form containing
 354 the neutral bulk velocity.

$$\dot{\mathbf{v}}'_i = \mathbf{f}(\mathbf{r}_i) \mathbf{u}' \times \hat{\mathbf{b}} + \mathbf{r}_i \mathbf{f}(\mathbf{r}_i) \mathbf{u}', \quad (13)$$

355 where $f(r_i) = (r_i + r_i^{-1})^{-1}$ and $r_i = \nu_{in}/\Omega_i$. This equation gives the average ion ve-
 356 locity in the frame where we removed the electric field. If we also assume that electron-
 357 neutral collisions are negligible then in this frame, the ion velocity is in fact the relative
 358 velocity between ionospheric ions and electrons and will result in an ‘ionic’ current of
 359 current density $\mathbf{j} = |e| n_i \mathbf{v}'_i$, where $|e|$ is the charge for a single-charged ion and n_i is
 360 the ion number density.

361 If we now switch to the neutral rest frame, the plasma drift velocity becomes \mathbf{u}'
 362 which generates an electric field $\mathbf{E}^* = \mathbf{u}' \times \mathbf{B} = (\mathbf{u} - \mathbf{v}_p) \times \mathbf{B}$ and gives current density

$$\mathbf{j} = \sigma_P \mathbf{E}^* + \sigma_H \mathbf{E}^* \times \hat{\mathbf{b}}. \quad (14)$$

363 $\sigma_P = |e| n_i f(r_i) |B|^{-1}$ is the Pedersen conductivity and $\sigma_H = r_i \sigma_P$ is the Hall conduc-
 364 tivity. From the conductivity relations one can see that the Pedersen conductivity max-
 365 imizes at an altitude where $f(r_i = 1) = 0.5$ while the Hall conductivity maximises at
 366 low altitudes where $r_i f(r_i) = 1$. One therefore expects Pedersen currents (first term
 367 on the RHS of equation 14) to flow at higher altitudes than Hall currents (second term
 368 on the RHS of equation 14). Note that for multiple ion species the above current den-
 369 sity and conductivities need to be summed over each species. These horizontal ionospheric
 370 currents close the field-aligned currents which connect the atmosphere-ionosphere sys-
 371 tem to a planet’s magnetosphere and are responsible for the transfer of energy and an-
 372 gular momentum between the two regions.

373 The above description of the MIT current circuit is only a first order approxima-
 374 tion. In reality, the atmosphere-ionosphere interacts with the magnetosphere not only
 375 by the quasi-steady large-scale currents discussed above but also by more complicated
 376 structures and dynamic phenomena. For example, magnetic field-aligned electric poten-
 377 tials accelerate plasma between planetary ionospheres and magnetospheres. Perpendic-
 378 ular spatial gradients in such structures decouple the plasma flows in the ionosphere and
 379 magnetosphere (e.g. Ray et al., 2015; Ray et al., 2009) (See section 2). A dynamic in-
 380 teraction between the ionosphere and magnetosphere results from Alfvén waves which
 381 carry field-aligned currents and stochastically accelerate plasma. We are only just re-
 382 alising the importance of this form of dynamic MIT coupling outside of moon-magnetosphere
 383 interactions because of in-situ measurements made by NASA’s Juno mission (see sec-
 384 tion 4).

385 Returning to the simple circuit description. We can now represent the neutral mo-
 386 mentum equation as

$$\frac{\partial \mathbf{u}}{\partial t} + (\mathbf{u} \cdot \nabla) \mathbf{u} = \mathbf{f} + \mathbf{f}_{\text{ID}}, \quad (15)$$

387 where $\mathbf{f}_{\text{ID}} = \mathbf{j} \times \mathbf{B}$ and is the ion drag force per unit volume and \mathbf{f} represents all other
388 forces acting on the system.

389 At Jupiter and Saturn, assuming quasi-steady conditions, ion drag results in the
390 acceleration of ionospheric ions towards corotation and the deceleration of neutrals. How-
391 ever, ion drag never stops the neutrals meaning that their momentum must be replen-
392 ished and balanced somehow. Two mechanisms have been proposed which are capable
393 of extracting angular momentum from the lower atmosphere and depositing it in the thermosphere-
394 ionosphere region. These are i) vertical viscous transfer, and ii) meridional transfer from
395 mid-to-high latitudes. Schematics showing these mechanisms are shown in Figs. 3a-b.
396 Vertical viscous transfer of momentum at all latitudes (Fig. 3a) was proposed by Huang
397 and Hill (1989) and D. H. Pontius (1995) to be the primary source of momentum trans-
398 fer from the rigidly corotating lower atmosphere to the upper atmosphere. In this sce-
399 nario, viscous processes supply a constant flow of momentum to the thermospheric neu-
400 trals which are being slowed down by the sub-corotating ions in the ionosphere. This is
401 then transferred to the ions by the ion-neutral collisions and ultimately to the magne-
402 toospheric plasma via field-aligned currents. Recently, thermospheric general circulation
403 models (GCMs) have shown vertical viscous transport from the lower atmosphere to be
404 a relatively unimportant source of energy and momentum to gas giant thermospheres
405 (e.g. Smith and Aylward (2008, 2009)). These atmospheric models showed that merid-
406 ional transport from mid-to-high latitudes played a dominant role in transferring angu-
407 lar momentum from the lower atmosphere to the thermosphere (Fig. 3b). In this case,
408 there is up-welling of neutrals and momentum from the lower atmosphere at mid-latitudes,
409 which is transported polewards by meridional winds where momentum is exchanged be-
410 fore the neutrals down-well at the gas giant poles.

411 There are two energy sources associated with MI coupling and ion-neutral inter-
412 actions. These are Joule heating and ion drag energy. Joule heating is heating due to
413 electrical resistance - ohmic heating - but it can also be considered as a ‘frictional heat-
414 ing’ source due to friction between ions and neutrals. Ion drag energy is the change of
415 kinetic energy associated with the ion drag force. The total energy associated with MI
416 coupling Q_{tot} is given by equation 16. In MIT coupling models this total energy is mostly
417 deposited in the Pedersen layer as shown in figure 3c.

$$Q_{\text{tot}} = \mathbf{j} \cdot \mathbf{E}^* + \mathbf{u} \cdot (\mathbf{j} \times \mathbf{B}). \quad (16)$$

418 **4 Moving beyond steady-state in Giant Planet MIT coupling models**

419 The gas giant planet magnetosphere-ionosphere coupling theory discussed above
420 assumes that steady/quasi-steady conditions apply meaning that temporal variability
421 in the magnetosphere and ionosphere, and the finite Alfvén travel time within the sys-
422 tem are considered negligible. Such an assumption is usually acceptable when investi-
423 gating the long-term averaged properties of the coupled system. In reality however, the
424 system is never truly in a quasi-steady state - it is highly dynamic and not in force bal-
425 ance. Planetary magnetospheres are constantly being perturbed by time-dependent pro-
426 cesses such as solar wind buffeting, magnetic reconnection and wave-particle interactions
427 which in turn perturb the ionosphere and neutral thermosphere. The atmosphere, in re-
428 turn, further perturbs the magnetosphere as the system is coupled. It is clear that to truly
429 understand the physics governing the coupled gas giant planet systems we need to con-
430 sider the whole system under full time-dependence.

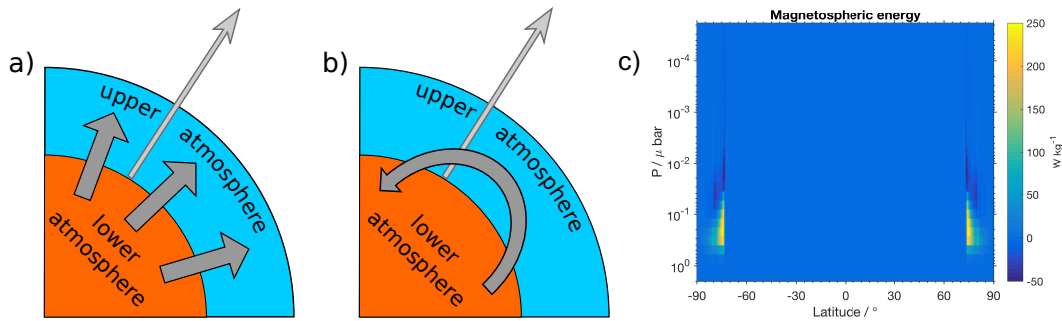


Figure 3. Schematic comparing viscous (a) and meridional transfer (b) of energy and momentum in gas giant atmospheres. Thick dark grey arrows show the direction of energy and momentum transport in the atmosphere while the thin light grey arrows indicate energy and momentum flow to the magnetosphere. Adapted from Smith and Aylward (2008). c) Deposition of magnetospheric energy (Joule heating and ion drag) within a 3D model thermosphere (based on Yates et al. (2012)) and assuming an axisymmetric magnetosphere model.

431 In the outer solar system, we typically have a single spacecraft in operation at any
 432 one time making it difficult to separate between spatial and temporal effects in obser-
 433 vations. Numerical simulations are necessary to bridge the gap between single-point mea-
 434 surements and investigations of the time-dependent system on a global scale. However
 435 many numerical challenges exist in modeling either component of the coupled MIT sys-
 436 tem (some of which are discussed from the standpoint of global magnetospheric MHD
 437 models in chapter 11.1), let alone the system as a whole.

438 Time dependence is self-consistently included in all gas giant atmospheric general
 439 circulation models (GCMs). However, including time-dependence in the ionosphere-magnetosphere
 440 components of coupled MIT models is typically achieved through external forcing or de-
 441 tailed ionospheric chemistry (e.g. Achilleos et al., 1998). The latter requires long run times
 442 which can be prohibitive when considering feedback with the magnetosphere. In the for-
 443 mer, the non-atmospheric portions of gas giant MIT models time-dependence has been
 444 included by varying the solar EUV flux (Tao et al., 2016) or the magnetopause radius
 445 (Yates et al., 2014; Yates et al., 2018). In these simulations, these quantities are changed
 446 over a portion of the simulation time and the resulting atmospheric response is inves-
 447 tigated. Tao et al. (2016) found that by increasing the solar EUV flux at Jupiter by fac-
 448 tors of two and three led to rapid (over a few planetary rotations) increases in mid-latitude
 449 thermospheric winds followed by a further delayed (tens of planetary rotations) response
 450 due to equatorward propagation from the auroral zone and Joule heating. Yates et al.
 451 (2014) rapidly (≤ 3 hours) varied the size of the magnetosphere to simulate solar wind
 452 compression and rarefaction regions. They found that both compressions and expansions
 453 result in an increase in atmospheric heating and brighter aurora but compressions also
 454 led to a change in north-south winds meaning that energy deposited in the auroral zone
 455 was transported equatorwards for the first time in a simulation.

456 The above proxies for including time-dependence in MIT coupling models are only
 457 a first step towards a true time-dependent MIT coupled model. One could also envis-
 458 age the full coupling between a 3D atmospheric GCM, ionosphere model and a 3D mag-
 459 netospheric MHD model. However, such a model setup is difficult to achieve due to the
 460 vastly different time-scales, and therefore spatial scales, required in order for the sim-
 461 ulation to be self-consistent. Such models could include waves and their finite travel time
 462 but they could not self-consistently include wave-particle interactions which have
 463 been found to play a vital role in gas giant MI coupling. In planetary magnetospheres

464 information and field-aligned currents are carried by Alfvén waves and therefore any cur-
 465 rent system requires them in order to be established. The gas giant systems have been
 466 found to be rich with Alfvénic-type phenomena such as the satellite aurora resulting from
 467 the interaction of the Galilean satellites (at Jupiter) and Enceladus (at Saturn) with the
 468 gas giant magnetosphere (for a detailed description of jovian and kronian moon-planet
 469 interaction see chapter 9.3 by J. Saur and the references therein) to Alfvénic fluctuations
 470 (e.g. Khurana & Kivelson, 1989; Kleindienst, Glassmeier, Simon, Dougherty, & Krupp,
 471 2009; Mitchell et al., 2016; Yates et al., 2016) and particle acceleration (e.g. Clark et al.,
 472 2018; Mauk et al., 2017a, 2017b; Saur et al., 2018).

473 Observations from NASA’s Juno spacecraft have shown that the quasi-steady pic-
 474 ture of jovian MIT coupling is far too simplistic to explain the observations. Juno found
 475 that auroral particle acceleration appears likely to arise from a combination of steady
 476 (inverted-V’s and potential drops) and time-dependent (stochastic / wave-particle ac-
 477 celeration) processes as discussed in section 1.1. A new generation of numerical MIT cou-
 478 pling models is therefore necessary to explain the observations and gas giant MIT cou-
 479 pling. This new generation of models will not only need to take proper account of the
 480 neutral atmosphere but also the magnetosphere will need to be fully time-dependent and
 481 able to account for wave-particle interactions such as those discussed in Mauk and Saur
 482 (2007); Saur (2004); Saur et al. (2018). At Earth, numerical studies investigating time-
 483 dependent MIT coupling are extensive but typically focus on small-scale MIT coupling
 484 (e.g. Lysak, 1986; Yoshikawa, Amm, Vanhamki, & Fujii, 2011).

485 5 Model Results

486 We have a limited number of remote observations of gas giant atmospheres and fewer
 487 still in situ measurements, we therefore rely heavily on models to interpret the data we
 488 have and to understand the underlying physical processes occurring in these exotic sys-
 489 tems. The last two decades have resulted in the development of a few gas giant MIT cou-
 490 pling models. These models all solve the atmosphere self-consistently but vary in their
 491 degree of accounting for the electromagnetic interaction with the magnetosphere. The
 492 models allow us to compare winds, composition, temperature, auroral emissions, heat-
 493 ing rates and the conductivity of the thermosphere-ionosphere with available observa-
 494 tions. Observed ionospheric winds of order 1 km s^{-1} (e.g. Johnson et al., 2017; Stallard
 495 et al., 2007) and auroral emissions (e.g. Clarke et al., 2009; Nichols, Clarke, Gérard, Gro-
 496 dent, & Hansen, 2009) are generally well reproduced by the suite of gas giant MIT cou-
 497 pling models.

498 Temperatures are a key model parameter and observable due to the giant planet
 499 *energy crisis* which highlights that the upper atmospheres of the solar system’s giant plan-
 500 ets are all at least twice as hot as one would expect if solar extreme ultraviolet (EUV)
 501 radiation was their main source of heat (e.g. Yelle & Miller, 2004). Heating from the mag-
 502 netospheric interaction is thought to be key in heating the gas giant planets to their ob-
 503 served temperatures ($\sim 900 \text{ K}$ for Jupiter and $\sim 400 \text{ K}$ for Saturn). In the current mod-
 504 els, the rapid gas giant rotation rates lead to most of this heat being trapped in the high-
 505 latitude polar regions while equatorial latitudes remain relatively cold at $\sim 200\text{--}300 \text{ K}$.
 506 Some models get passed this issue by including other heat sources at mid- and low-latitudes
 507 such as heating due to gravity and/or acoustic wave breaking (currently poorly constrained
 508 due to the very limited in situ observations), modifying the ion drag and Joule heating
 509 rates, including extra Joule heating terms at low-latitudes, and/or including ad-hoc low-
 510 latitude heat sources. Despite models finding it difficult to reproduce low-latitude neu-
 511 tral temperatures, model temperatures in the polar regions are comparable to observa-
 512 tions. Melin, Miller, Stallard, Smith, and Grodent (2006) analysed an auroral heating
 513 event observed by Stallard et al. (2001, 2002) thought to be caused by a magnetospheric
 514 expansion event. They found that, over a three day interval, integrated ion drag and Joule
 515 heating increased by $\sim 400\%$. Yates et al. (2014) used the Jupiter JASMIN MIT model

516 to simulate how Jupiter's upper atmosphere responds to magnetospheric reconfigurations
 517 and found that a magnetospheric expansion resulted in a similar increase in integrated
 518 ion drag and Joule heating, albeit over a much shorter time scale (~ 3 hours). These ex-
 519 amples briefly highlight some of the benefits of complementing in situ spacecraft mea-
 520 surements and remote sensing observations with output from numerical simulations.

521 6 Conclusions

522 Magnetosphere-ionosphere-thermosphere coupling at the giant planets depends strongly
 523 on internal plasma sources and centrifugal forces from rapid rotation rates. Temporal
 524 variations in the outgassing rates of Io, at Jupiter, and Enceladus, at Saturn, combined
 525 with the non-steady nature of plasma transport throughout the system lead to dynamic
 526 systems with strong auroral emissions. Much of our understanding relies on in situ mea-
 527 surements from single spacecraft of plasma flows, magnetic fields, and precipitating par-
 528 ticles, along with in situ and Earth-based remote observations. These observations help
 529 to guide our theoretical understanding of coupling between the atmosphere and the mag-
 530 netosphere.

531 Juno and Cassini observations at high latitudes have recently revolutionized our
 532 understanding of MIT coupling within giant planet systems. Measurements of wave-driven
 533 particle acceleration requires that we revisit many of the underpinning assumptions used
 534 over the past four decades - namely that of quasi-static systems. Alfvénic processes are
 535 much more critical than previously thought. More work needs to be done to develop time-
 536 dependent models of MIT coupling that can fully consider the feedback between the at-
 537 mosphere and magnetosphere.

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