

1 **Reducing the Effects of Vegetation Phenology on Change Detection in**
2 **Tropical Seasonal Biomes**

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24 **Reducing the Effects of Vegetation Phenology on Change Detection in** 25 **Tropical Seasonal Biomes**

26 Tropical seasonal biomes (TSBs), such as the savannas (*Cerrado*) and semi-arid
27 woodlands (*Caatinga*) of Brazil, are vulnerable ecosystems to human-induced
28 disturbances. Remote sensing can detect disturbances such as deforestation and
29 fires, but the analysis of change detection in TSBs is affected by seasonal
30 modifications in vegetation indices due to phenology. To reduce the effects of
31 vegetation phenology on changes caused by deforestation and fires, we
32 developed a novel object-based change detection method. The approach
33 combines both the spatial and spectral domains of the normalized difference
34 vegetation index (NDVI), using a pair of Operational Land Imager
35 (OLI)/Landsat-8 images acquired in 2015 and 2016. We used semivariogram
36 indices (SIs) as spatial features and descriptive statistics as spectral features
37 (SFs). We tested the performance of the method using three machine-learning
38 algorithms: support vector machine (SVM), artificial neural network (ANN) and
39 random forest (RF). The results showed that the combination of spatial and
40 spectral information improved change detection by correctly classifying areas
41 with seasonal changes in NDVI caused by vegetation phenology and areas with
42 NDVI changes caused by human-induced disturbances. The use of
43 semivariogram indices reduced the effects of vegetation phenology on change
44 detection. The performance of the classifiers was generally comparable, but the
45 SVM presented the highest overall classification accuracy (92.27%) when using
46 the hybrid set of NDVI-derived spectral-spatial features. From the vegetated
47 areas, 18.71% of changes were caused by human-induced disturbances between
48 2015 and 2016. The method is particularly useful for TSBs where vegetation
49 exhibits strong seasonality and regularly spaced time series of satellite images are
50 difficult to obtain due to persistent cloud cover.

51 Keywords: remote sensing; geostatistics; seasonality; LULCC

52 **1. Introduction**

53 Tropical seasonal biomes (TSBs), such as savannas (also known as *Cerrado*) and semi-
54 arid woodlands (also known as *Caatinga*), cover 35% of Brazil and consist of several
55 vegetation types ranging from grasslands to forests (Silveira et al. 2018a). However,

56 human-induced disturbances, such as deforestation and fires, are threatening these
57 ecosystems (Silva et al. 2006; Hansen et al. 2013). In addition, because most of the
58 conservation plans focus on moist evergreen tropical forests (Hoekstra et al. 2005), less
59 attention has been dedicated to TSB areas (Beuchle et al. 2015).

60 TSBs experience seasonal changes in hydrological and nutrient conditions that
61 affect the spectral signature of vegetation measured by satellites (Zhang, Ross, and
62 Gann 2016). For instance, leaf area index (LAI) varies seasonally, having a maximum
63 value during the rainy season and a minimum value during the dry season. Therefore, a
64 seasonal fluctuation in the Normalized Difference Vegetation Index (NDVI) is
65 generally observed over TSBs due to leaf shedding and increasing amounts of
66 nonphotosynthetic vegetation during the dry season (Lagomasino et al. 2014). This
67 NDVI behavior represents a challenge for land use and land cover change (LULCC)
68 detection when multi-temporal images are used in the analysis.

69 Bi-temporal remote sensing images can be used to monitor vegetation and to
70 detect changes caused by human and natural processes (Verbesselt et al. 2010; Zhu,
71 Woodcock, and Olofsson 2012). However, in TSBs, phenology produces significant
72 changes in vegetation conditions affecting the spectral response of vegetation (Wright
73 and Schaik 1994). Even fixing a single period for image acquisition (rainy or dry
74 season), the effects of vegetation phenology on LULCC detection are still significant
75 due to the large seasonal and interannual variability in precipitation observed in TSBs.

76 Several methods have been proposed to reduce the effects of vegetation
77 phenology on LULCC detection using time series of satellite images. Examples are the
78 Breaks For Additive Seasonal and Trend algorithm (BFAST) (Verbesselt et al. 2010);
79 Continuous Change Detection and Classification (CCDC) (Zhu and Woodcock 2014);
80 Vegetation Change Tracker (VCT) (Huang et al. 2010); LandTrend (Kennedy, Yang,

81 and Cohen 2010); Vegetation Regeneration and Disturbance Estimates through Time
82 (VerDET) (Hughes, Kaylor, and Hayes 2017); and the Residual Trend Analysis
83 (RESTREND) (Evans and Geerken 2004; Ibrahim et al. 2015). These methods usually
84 require high-quality time series, which are not generally available over TSBs due to
85 persistent cloud cover. Therefore, LULCC detection in complex landscapes, like those
86 found in TSBs, still present a significant challenge (Healey et al. 2018).

87 Previous studies have shown that pixel-based change detection approaches can
88 benefit from including information on spatial context (G. Chen et al. 2012; Hamunyela,
89 Verbesselt, and Herold 2016). The neighborhood used to extract the spatial information
90 is often defined by a square window that is easy to implement, however, they are
91 computationally demanding (Zhu 2017), biased along their diagonals, and can straddle
92 the boundary between two landscape features, especially when a large window size is
93 used (Laliberte, Rango, and Laliberte A. 2009). Using OBIA these problems are
94 eliminated, allowing the inclusion of additional spatial information to improve remote
95 sensing applications (G. Chen et al. 2018). For example, semivariograms of geostatistics
96 have been widely used in image classification analyses (Balaguer et al. 2010; Silveira et
97 al. 2017; Wu et al. 2015) and change detection studies (Gil-Yepes et al. 2016;
98 Hamunyela et al. 2017; Silveira et al. 2018b). Thus, object-based methods that require
99 only a few satellite images to reduce the effects of vegetation phenology on LULCC
100 detection are needed to monitor TSB areas with persistent cloud cover and strong
101 seasonality.

102 Here, to evaluate whether we can differentiate seasonal variations in NDVI
103 values due to vegetation phenology from spectral variations associated with human-
104 induced disturbances, we developed a novel object-based change detection (OBCD)
105 approach. The objective was to reduce the effects of vegetation phenology on LULCC

106 detection by combining spatial (i.e. semivariogram indices - SIs) and spectral
107 information (i.e. spectral features - SFs). Our method does not require time series of
108 satellite images because it exploits the spatial and spectral domains of NDVI, calculated
109 from a pair of Operational Land Imager (OLI)/Landsat-8 images. Specifically, we tested
110 the approach with three machine learning algorithms (MLAs), including support vector
111 machine (SVM), artificial neural network (ANN) and random forest (RF) algorithms, to
112 classify areas that experienced changes caused by vegetation phenology and human-
113 induced disturbances

114 **2. Study Area**

115 The study area is located in the north of Minas Gerais (MG) state, Brazil (Figure 1). In
116 this area, the TSBs include Brazilian savannas (*Cerrado*) and semi-arid woodlands
117 (*Caatinga*) (Figure 1a) (Scolforo et al. 2015). The study area is covered by the path 219
118 and row 71 of the Worldwide Reference System version 2 (WRS-2) (Figure 1b). From a
119 total of 32,000 km², 50% of the area is covered by native vegetation (Figure 1c)
120 (Carvalho et al. 2006).

121 [Figure 1 near here]

122 The diversity of vegetation types in the study area is well documented, ranging
123 from savanna grasslands and woodland savannas to semideciduous and deciduous
124 forests (Ferreira et al. 2004). Low shrubs to small patches of tall dry forests are
125 therefore observed (Figure 2) (Santos et al. 2012). The study area has experienced
126 extensive land-cover change (Espírito-Santo et al. 2016), resulting from the
127 implementation of cattle grazing and establishment of pastures. In general, the native
128 vegetation has been converted into areas of pasture or croplands (Sano et al. 2010).

129 The climate is tropical with rainfall concentrated in October to May. The peak of
130 the dry season in August has close to zero rainfall and air humidity less than 20% with

131 high seasonality (Peel, Finlayson, and McMahon 2006). Rainfall in this region is
132 extremely irregular over space and time. More than 75% of the total annual rainfall
133 occurs within three months, but interannual variation in precipitation is large and
134 droughts can last for years in areas of Caatinga (Leal et al. 2005).

135 [Figure 2 near here]

136 **3. Methodology**

137 We developed a new OBCD method to detect human-induced changes in TSBs by
138 reducing the effects of vegetation phenology on change detection, combining both the
139 spatial and spectral domains of bi-temporal NDVI images. We used semivariogram
140 indices (SIs) as spatial features (Balaguer et al. 2010), as described below (see Table 1).
141 Descriptive statistics for NDVI imagery was used to represent spectral features (SFs), as
142 detailed below (see section 3.4.).

143 By training MLAs using the difference between the two NDVI images in terms
144 of spatial and spectral features, we were able to classify changes caused by phenology
145 and those caused by human-induced disturbances. The method is summarized in six
146 steps (Figure 3), which are described in detail in the following sections.

147 [Figure 3 near here]

148 **3.1. Image acquisition**

149 We used two cloud-free OLI/Landsat-8 images to calculate NDVI and test our method:
150 one image was obtained on June 19th, 2015 (Figure 4a), and the other was obtained on
151 Oct. 27th, 2016 (Figure 4b). They were selected from the dry and rainy seasons to
152 maximize the effects of vegetation seasonality. We used the image acquired in June
153 2015 as representative of the end of the rainy season with high NDVI values. On the
154 other hand, the image acquired in October 2016 was used as representative of the end of

155 the dry season with comparatively lower NDVI values due to water stress (Figure 4c).
156 The images were downloaded from the United States Geological Survey (USGS) with
157 geometric and atmospheric corrections. We used NDVI (Rouse et al. 1973) because the
158 spatial domain of this index has been explored in several LULCC studies (Hamunyela et
159 al., 2016; Silveira et al. 2018a, 2018b). However, the proposed approach may be applied
160 to any index.

161 [Figure 4 near here]

162 **3.2. Image segmentation**

163 The first procedure in the OBCD method was image segmentation. We applied the
164 multiresolution segmentation algorithm (Baatz and Schäpe 2000) from the eCognition
165 software (Definies 2009) selecting the original bands of the OLI/Landsat-8 images
166 acquired in 2015 and 2016 (years 1 and 2). This approach has the distinct advantage of
167 considering all images during object formation, thus minimizing sliver errors and
168 potentially honoring key multi-temporal boundaries (Desclée, Bogaert, and Defourny
169 2006; Tewkesbury et al. 2015). We used the following parameters: 0.1 for shape and 0.5
170 for compactness. The most critical step is the selection of the scale parameter (SP),
171 which controls the size of the image objects. The SP sets a homogeneity threshold that
172 determines the number of neighboring pixels that can be merged together to form an
173 image object (Benz et al. 2004). The SP directly influences the size of the objects which
174 are related to the predefined semivariogram criteria (lag distance) and the minimum
175 number of pixels inside each object necessary to generate the semivariogram. We
176 adopted a trial and error approach (Duro, Franklin, and Dube 2012) to find an
177 appropriate value for SP (X. Chen et al. 2015). We ensured a minimum number of
178 samples (25 pixels) inside the objects and an adequate size to allow calculation of the
179 semivariogram. The SP (set to 250) and image segmentation results were assessed based

180 on visual inspection of the delineated polygons (Figure 5). The objects generated were
181 overlapped with the NDVI images from 2015 and 2016 to extract the input data for the
182 OBCD method.

183 [Figure 5 near here]

184 **3.3. Class definition for change detection**

185 This study focused on two broad classes: (i) vegetation covers with seasonal
186 changes in NDVI caused by phenology (Figure 6a); and (ii) vegetation covers with
187 changes caused by disturbances, especially human-induced deforestation/clearing
188 (Figure 6b) and fires (Figure 6c). Historically, most of the fires detected in the area have
189 been considered human-induced events. Therefore, we did not evaluate events of natural
190 occurrence.

191 Representative areas of these two classes were identified from visual inspection
192 of the images and from available land-cover maps. Randomly stratified design was used
193 to sample these areas (Olofsson et al. 2014). We first used a land-cover map (Carvalho
194 et al. 2006) showing the native vegetation for the 2006-2008 period to mask out the
195 non-vegetated areas. Subsequently, we performed post-classification and image edition
196 using a skilled human interpreter to update the available map to 2015 (Figure 1c). Thus,
197 a dataset of 300 objects (well-distributed polygons over the vegetated areas; 150 per
198 class) was obtained. The samples were randomly divided into training (50%) and
199 validation (50%) datasets (Figure 6).

200 [Figure 6 near here]

201 **3.4. Feature extraction**

202 We extracted spatial and spectral features based on the NDVI values inside the objects.
203 The spatial information was obtained from experimental semivariograms (Equation 1),

204 where $\gamma(h)$ is the estimator of the semivariance for each distance h , $N(h)$ is the number
205 of pairs of points (pixels) separated by distance h , $Z(x)$ is the value of the regionalized
206 variable at point x , and $Z(x+h)$ is the value at point $(x+h)$:

$$207 \quad \gamma(h) = \left(\frac{1}{2N(h)} \right) \sum_{i=1}^{N(h)} (Z(x) - Z(x+h))^2 \quad (1)$$

208 Semivariance functions are characterized by three parameters: sill (σ^2), range (ϕ)
209 and nugget effect (τ^2). The sill is the plateau reached by the semivariance values,
210 measuring the variance explained by the spatial structure of the data. The range is the
211 distance until the semivariogram reaches the sill, reflecting the distance at which the
212 data become correlated. The nugget effect is the non-spatial component of the variance
213 composed of random sensor noise or sampling errors (Curran 1988). We attempted to
214 find an optimal lag distance to ensure that sill values would provide a concise
215 description of data variability. We fixed the number of lags as 30 pixels and the lag size
216 equivalent to the image spatial resolution (30 m), resulting in a lag distance of 900 m.

217 We extracted a set of semivariogram indices (SIs) (Balaguer et al. 2010) using
218 the feature extraction software FETEX 2.0 for object-based image analysis (Ruiz et al.
219 2011) (Table 1). These indices describe the shape of the experimental semivariograms
220 and, therefore, the properties that characterize the spatial patterns of the image objects.
221 They have been categorized according to the position of the lags used in their definition:
222 (i) near the origin and (ii) up to the first maximum.

223 As described by Balaguer et al. (2010), the ratio between the values of the total
224 variance and the semivariance at first lag (RVF) is an indicator of the relationship
225 between the spatial correlation at long and short distances. The first derivative near the
226 origin (FDO) represents the slope of the semivariogram at the first two lags. The second
227 derivative semivariogram at the third lag (SDT) quantifies the concavity or convexity

228 level of the semivariogram at short distances, representing the heterogeneity of the
229 objects in the image. The mean of the semivariogram values up to the first maximum
230 (MFM) is an indicator of the average of the semivariogram values between the first lag
231 and the first maximum. It provides information about the changes in the data variability
232 and is related to the concavity or convexity of the semivariogram in that interval. The
233 difference between the mean of the semivariogram values up to the first maximum
234 (MFM) and the semivariance at first lag shows the decreasing rate of the spatial
235 correlation in the image up to the lags where the semivariogram theoretically tends to be
236 stabilized. Finally, the area between the semivariogram value in the first lag and the
237 semivariogram function until the first maximum (AFM) provides information about the
238 semivariogram curvature, which is also related to the variability of the data.

239 [Table 1 near here]

240 To explore the spectral information of the satellite images, we used the
241 minimum (MIN), mean (MEAN), maximum (MAX) and standard deviation (STDEV)
242 of the NDVI values inside each object. This allowed the performance of spatial and
243 spectral features to be compared and combined.

244 ***3.5. Change Detection using MLAs***

245 After extracting the spatial and spectral features for each object, the differences in
246 NDVI values for each feature between years 1 (2015) and 2 (2016) were calculated and
247 used as input data to train the MLAs. The samples were randomly divided into training
248 (50%) and validation (50%) datasets. We used three MLAs implemented in the Waikato
249 Environment for Knowledge Analysis (WEKA 3.8 software): SVM, ANN and RF.

250 SVM has the ability to handle small training datasets, often producing higher
251 classification accuracies than traditional methods (Bovolo, Camps-Valls, and Bruzzone
252 2010; Mantero, Moser, and Serpico 2005; Wylie et al. 2018). For SVM, we used the

253 radial basis function (RBF) kernel, as this is known to be effective and accurate (Pereira
254 et al. 2017; Shao and Lunetta 2012; Zuo, John, and Carranza 2011; Wu et al. 2015). To
255 train the SVM classifier, an error parameter C (10) and a kernel parameter γ (0.1) were
256 set after a series of tests and analyses of the outputs.

257 There are many different types of ANN, but the multilayer perceptron (MLP) is
258 most commonly used in remote sensing (Berberoglu et al. 2000; Vafaei et al. 2018;
259 Zhang et al. 2018). We used the ANN obtained by running the MLP function with the
260 back-propagation algorithm (Pham, Yoshino, and Bui 2017). The main challenge
261 associated with MLP is the adjustment of network parameters (Shao and Lunetta 2012).
262 The learning rate, the momentum term, and iteration numbers were fixed at 0.3, 0.2 and
263 500, respectively (Tien Bui et al. 2016).

264 We also tested the non-parametric RF algorithm (Breiman 2001) because it has
265 the ability to accommodate many predictor variables with accuracy and efficiency
266 (Breiman 2001; DeVries et al. 2016; Ghimire, Rogan, and Miller 2010; Silveira et al.
267 2018a; Zhu et al. 2016). We set the number of decision trees (Ntree), to 500 (Lawrence,
268 Wood, and Sheley 2006) and the number of variables for the best split when growing
269 the trees (Mtry) to the default value (\log of the number of features + 1) (Millard and
270 Richardson 2015).

271 ***3.6. Change Detection Evaluation***

272 To evaluate our change detection using the three MLAs, we tested: (i) the spatial
273 domain of the NDVI images using the SIs; (ii) the spectral domain of the NDVI images
274 using the SFs; and (iii) the combination of the spatial-spectral attributes (SIs plus SFs).
275 We obtained a confusion matrix to evaluate classification accuracy for the two classes
276 under analysis: (a) vegetation covers with seasonal changes in NDVI caused by
277 phenology; and (b) vegetation covers with NDVI changes caused by human-induced

278 disturbances. We evaluated the overall, producer's and user's accuracies.

279 **4. Results**

280 ***4.1. Semivariogram analysis***

281 From the use of semivariograms to quantify the spatial variability of the NDVI pixels
282 inside the objects, we found the maximum level of semivariance (sill – σ^2
283 semivariogram parameter) at around 900 m. This indicated that at least 30 pixels and a
284 lag size equivalent to the image spatial resolution (30 m) were necessary to quantify
285 spatial variability of the OLI/Landsat-8 images. We detected two distinct patterns in the
286 semivariograms: (i) the shape and the overall data variability (sill – σ^2) remained
287 constant over time with seasonal changes in NDVI caused by phenology (Figure 7a);
288 and (ii) the shape and sill increased in areas that experienced human-induced
289 disturbances between 2015 and 2016 (Figure 7b). These results indicated that the spatial
290 variability of NDVI quantified by semivariograms was very sensitive to changes in
291 vegetation cover caused by deforestation or fires. On the other hand, seasonal changes
292 in NDVI caused by vegetation phenology did not modify the shape and overall
293 variability of the semivariograms.

294 [Figure 7 near here]

295 ***4.2. Change Detection Evaluation***

296 When we used the MLAs to classify areas with seasonal NDVI variations caused by
297 vegetation phenology and areas with NDVI variations caused by human-induced
298 disturbances, our results showed overall classification accuracies higher than 80% for
299 SVM, ANN and RF considering the spectral features and semivariogram indices (Table
300 2). Therefore, these classifiers and features were generally efficient to discriminate

301 areas of vegetation covers with seasonal changes in NDVI caused by phenology from
302 other disturbance-affected areas.

303 The classification results using SFs (MIN, MEAN, MAX and STDEV) produced
304 the lowest accuracies, reaching values of 85.02%, 82.60% and 84.05% for SVM, ANN
305 and RF, respectively. The lowest user's and producer's accuracies were obtained using
306 this group of features (Table 2). In contrast, the overall classification accuracies slightly
307 improved when the semivariogram indices (RVF, FDO, SDT, MFM, DMF and AFM)
308 were included in the analysis, producing values of 87.43%, 83.09% and 85.99% for
309 SVM, ANN and RF, respectively. Thus, the semivariogram indices performance
310 slightly better than the spectral features, because they are related to the structured
311 variance of the NDVI pixel values.

312 A substantial gain in classification accuracy, reducing confusion between
313 vegetation phenological changes and human-induced disturbances, was obtained from
314 the combination of the SIs and the SFs (Table 2). The accuracies increased from 85.02
315 to 92.27%, 82.60 to 90.82% and 84.05 to 91.30% for SVM, ANN and RF, respectively.
316 The highest user's accuracy, considering both groups of features and all MLAs, was
317 observed for the class with changes controlled by vegetation phenology (95.33%). The
318 user's accuracies for this class improved significantly from 90.65 to 95.33% (SVM),
319 89.72 to 94.39 (ANN) and from 85.98 to 92.52% (RF). This was highly significant
320 because the objects with seasonal changes in NDVI presented low commission errors.
321 On the other hand, the highest producer's accuracy was observed for the class with
322 changes caused by human-induced disturbances having 94.68% for the SVM classifier.

323 [Table 2 near here]

324 The classification performance of the MLAs was generally comparable, but the
325 SVM algorithm was the most effective classifier in our TSBs. In Table 2, the SVM

326 presented the highest overall classification accuracy (92.27%). Using SFs or SIs as well
327 as the combination of these features, the accuracies were slightly superior for SVM than
328 for ANN and RF. The differences in performance are probably due to the difficulties of
329 parameterization between the MLAs (García-Gutiérrez et al. 2015). SVM have been
330 frequently cited as a group of theoretically superior machine learning algorithms for
331 image classification and have been shown to perform well (Foody and Mathur 2004).
332 They appear to be especially advantageous in the presence of heterogeneous classes for
333 which only a few training samples are available (Li, Im, and Beier 2013; Wu et al. 2015).
334 The resultant SVM classification map, using the hybrid set of spatial and spectral features
335 from the OLI/Landsat-8 data, is shown in Figure 8. From the vegetated areas, 18.71% of
336 changes (331,830 ha) were caused by human-induced disturbances between 2015 and
337 2016.

338 [Figure 8 near here]

339 **5. Discussion**

340 ***5.1. Remote sensing change detection in TSBs***

341 We proposed a new object-based method to detect changes caused by either vegetation
342 phenology or human-induced disturbances in TSBs, based on the differences over time
343 in spatial (semivariogram indices) and spectral features (descriptive statistics for
344 NDVI). Spatial and spectral features were used to train MLAs (SVM, ANN and RF).
345 Our results showed that the combination of both group of features produced the highest
346 overall classification, producer's and user's accuracies.

347 The method is an alternative to detect changes in TSBs, because it does not
348 require high-quality time series, which are sometimes difficult to obtain due to cloud
349 cover. This method could be used to improve the accuracy of LULCC maps, thus

350 providing better inputs for the assessment of atmospheric emissions derived from
351 deforestation and fires (Mouillot et al. 2014). TSBs present a conspicuous seasonal
352 contrast between the rainy and dry seasons (Ferreira and Huete 2004), which is
353 challenging for change detection. The seasonality of TSBs makes the use of optical
354 remote sensing difficult in some periods of the years due to cloud-cover and vegetation
355 phenology. Most of the change detection algorithms that are based on two dates of
356 Landsat images may reduce the influence of vegetation phenology on the analysis by
357 fixing data acquisition to a given period (Lu et al. 2004; Zhu, Woodcock, and Olofsson
358 2012). However, in TSBs in eastern Brazil, even fixing a pair of dates to the rainy or
359 dry season, the confounding effects of vegetation phenology on change detection persist
360 because of the irregular patterns of precipitation observed over space and time.

361 Some remote sensing studies have mapped deforestation and fire in TSBs
362 (Achard et al. 2014; Beuchle et al. 2015; Libonati et al. 2015; Hansen et al. 2013). For
363 example, Beuchle et al. (2015) provided information on historical and recent vegetation
364 cover changes in the *Cerrado* from central Brazil and the *Caatinga* from northeastern
365 Brazil based on the analysis of Landsat images from 1990 to 2010. For the *Cerrado*,
366 they estimated that 117,870 km² of vegetation was lost during the studied period, while
367 for the *Caatinga* they reported a loss of 25,335 km². When these results were compared
368 to LULCC estimates provided by other projects, such as the *Conservation and*
369 *Sustainable Use of Brazilian Biological Diversity Project (PROBIO)* and *Deforestation*
370 *Monitoring in Brazilian Biomes Project (PMDDBS)*, some divergences were observed
371 (Beuchle et al. 2015). Although there were several factors that could introduce
372 differences in these estimates (e.g., spatial resolution, class definition), our findings
373 showed that the confounding effects of vegetation phenology on change detection

374 should be further considered as an important factor to avoid overestimation of human-
375 induced disturbances.

376 *5.2. Classification and change detection using the spatial-spectral domains of* 377 *NDVI*

378 The spatial domain has been recently used to detect changes in tropical regions. The
379 phenological influence on data analysis is reduced when NDVI values are spatially
380 normalized in a pixel-based change detection approach (Hamunyela, Verbesselt, and
381 Herold 2016). The influence is also reduced when geostatistical features (spatial
382 domain) are incorporated into the analysis of bi-temporal NDVI images in an object-
383 based change detection approach (Silveira et al. 2018b). Although the integration
384 between remote sensing and geostatistical theory was consolidated in the late 1980s,
385 only recent studies have demonstrated that the semivariogram (a geostatistical tool) has
386 strong potential for LULCC detection (Acerbi Junior et al. 2015; Gil-Yepes et al. 2016;
387 Silveira et al. 2018a, 2018b).

388 Our study has demonstrated that the combination of spectral features and
389 semivariogram indices derived from bi-temporal NDVI images reduced the effects of
390 vegetation phenology on vegetation change detection. Misclassifications of seasonal
391 NDVI changes caused by vegetation phenology as those caused by human-induced
392 disturbances were therefore reduced. We found that LULCC areas caused by
393 deforestation or fires provided singular semivariograms with higher values for the sill
394 parameter than ones associated with vegetation phenology in savannas and semi-arid
395 woodlands. These results are in agreement with several previous studies that used
396 spatial information to detect changes (e.g. Acerbi Junior et al. 2015; Sertel, Kaya, and
397 Curran 2007; Silveira et al. 2018a, 2018b).

398 Acerbi Junior et al. (2015) analyzed the potential of semivariograms generated
399 from NDVI values to detect changes in Brazilian savannas. Their results showed a very
400 clear trend, where the shape of semivariograms, and the sill and range parameters were
401 different when deforestation occurred and were similar when there was no change in
402 land cover, which was consistent with our findings. Silveira et al. (2018a, 2018b)
403 highlight the importance of considering spatial information for change detection in
404 Brazilian savannas in the absence of a dense time series of remote-sensing images.
405 When using individual spatial features (e.g. sill parameter and the AFM index) the
406 change detection results were improved considerably compared with the spectral
407 features and image differencing technique. These results demonstrated that the
408 semivariograms derived from NDVI images are not affected by phenological changes.

409 Here, by including SIs that provided information near the origin (RVF, FDO and
410 SDT) and up to the first maximum (MFM, DMF and AFM), we obtained sufficient
411 separability between the classes of vegetation changes caused by phenology and human-
412 induced disturbances. By combining SIs with SFs, the misclassification of these two
413 classes was reduced, as expressed by overall classification accuracies close to 90% for
414 the three classifiers (SVM, ANN and RF) (Table 2).

415 **6. Conclusions**

416 We have proposed a new OBCD method to detect and distinguish vegetation
417 changes caused by phenology from those caused by human-induced disturbances in
418 Brazilian TSBs with pronounced seasonality. We reduced the effects of vegetation
419 phenology on change detection by combining features from both the spatial and spectral
420 domains of NDVI satellite images. The spatial variability of NDVI is not affected by
421 vegetation seasonality, favoring the addition of semivariogram indices to reduce the
422 impact of seasonality for detecting deforestation or fires using bi-temporal Landsat

423 images.

424 Compared with the other classifiers tested with this method, SVM presented a
425 slightly higher overall classification accuracy (92.27%) when using the hybrid set of
426 NDVI-derived spectral and spatial features. Finally, our study highlights that the
427 combination of the spatial and spectral attributes reduces the requirement for dense time
428 series of satellite imagery throughout multiple phenological cycles to detect LULCC in
429 TSBs. In these areas, vegetation exhibits strong seasonality and regularly-spaced
430 satellite images are difficult to obtain due to persistent cloud-cover. Future studies
431 should aim to evaluate further the proposed method, including its sensitivity to class and
432 intensity of disturbance, and its applicability to other TSBs.

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437 **Disclosure statement**

438 No potential conflict of interest.

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714 Table 1. Semivariogram indices (SIs) calculated from the NDVI values inside the
 715 objects near the origin (*) or up to the first maximum (**). The semivariogram features
 716 $\{(h_1, \gamma_1), (h_2, \gamma_2) \dots (h_n, \gamma_n)\}$ are the points of the experimental semivariogram, as
 717 described by Balaguer et al. (2010). The lags $\{h_1, h_2 \dots h_n\}$ are equally spaced.
 718 Variance is the value of the total variance of the pixels belonging to the object. h_{max_1}
 719 represents the location of the first local maximum, while $\gamma(h_{max_1})$ is the first local
 720 maximum semivariance.

Description	Formula
*Ratio between the values of the total variance and the semivariance at first lag	$RVF = \frac{\text{Variance}}{\gamma_1}$
*First derivative near the origin	$FDO = \frac{\gamma_2 - \gamma_1}{h}$
*Second derivative at third lag	$SDT = \frac{\gamma_4 - 2\gamma_3 + \gamma_2}{h^2}$
**Mean of the semivariogram values up to the first maximum	$MFM = \frac{1}{Max_1} \sum \gamma_i$
**Difference between the mean of the semivariogram values up to the first maximum and the semivariance at first lag	$DMF = MFM - \gamma_1$
**Semivariance curvature	$AFM = \frac{h}{2} \left(\gamma_1 + 2 \left(\sum_{i=2}^{max_1-1} \gamma_i \right) + \gamma_{max_1} \right) - \left(\gamma_1 (h_{max_1} - h_1) \right)$

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733 Table 2. Confusion matrix from the classification of areas with seasonal NDVI changes
 734 caused by vegetation phenology and those due to human-induced disturbances. Spectral
 735 features (SFs), semivariogram indices (SIs) and their combination (SFs + SIs) were
 736 used for change detection. The Producer's (PA), User's (UA) and overall (OA)
 737 classification accuracies are shown for support vector machine (SVM), artificial neural
 738 network (ANN) and random forest (RF).

Vegetation change class		SF		SI		SF + SI	
		PA (%)	UA (%)	PA (%)	UA (%)	PA (%)	UA (%)
SVM	Change caused by disturbance	88.76	79.00	94.05	79.00	94.68	90
	Change caused by phenology	82.20	90.65	82.93	95.33	90.27	95.33
	OA (%)	85.02		87.43		92.27	
ANN	Change caused by disturbance	87.21	75.00	88.24	75.00	93.55	87.00
	Change caused by phenology	79.34	89.72	79.51	90.65	88.60	94.39
	OA (%)	82.6		83.09		90.82	
RF	Change caused by disturbance	84.54	82.00	87.37	83.00	91.84	90.00
	Change caused by phenology	83.64	85.98	84.82	88.79	90.83	92.52
	OA (%)	84.05		85.99		91.3	

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751 Figure 1. (a) Location of the study area in the state of Minas Gerais (MG), southeastern
752 Brazil. The area is covered by savannas and semi-arid woodlands; (b) False color
753 composite from an OLI/Landsat-8 image from 27 October 2016; (c) Land-cover map
754 showing vegetated and non-vegetated surfaces.

755 Figure 2. OLI/Landsat-8 false color composite (bands 5, 4 and 3 in RGB) from year 1
756 (19 June 2015) and year 2 (27 October 2016) showing examples of vegetation types
757 found in the study area. (a) grassland (open grassland); (b) shrub savanna (open
758 grassland with sparse shrubs); (c) woodland savanna (mixed grassland, shrublands and
759 trees up to seven meters in height); (d) palm swamps (riparian vegetation); (e)
760 semideciduous forest (semideciduous canopy foliage); and (f) deciduous forest
761 (predominance of deciduous trees whose loss of foliage reaches more than 50%).

762 Figure 3. The six main steps in the methodology used to reduce the effects of seasonal
763 NDVI changes caused by vegetation phenology on the detection of changes caused by
764 human-induced disturbances in tropical seasonal biomes (TSBs) in Brazil.

765 Figure 4. (a) NDVI OLI/Landsat-8 image from June 19th, 2015; (b) NDVI OLI/Landsat-
766 8 image from Oct. 27th, 2016; (c) monthly precipitation pattern from years 2015, 2016
767 and historical series of precipitation from year 1952 to 2018.

768 Figure 5. Image segmentation results using 0.1 for shape, 0.5 for compactness and 250
769 for the scale parameter (SP).

770 Figure 6. The location of the training and validation samples is shown at the top of the
771 figure. The OLI/Landsat-8 false color composites (bands 5, 4 and 3 in RGB) show
772 examples of the classes defined for change detection analysis between the rainy and dry
773 seasons of 2015 (year 1) and 2016 (year 2). Seasonal variations caused by vegetation
774 phenology are shown in (a), while human-induced changes caused by deforestation and
775 fires are illustrated in (b) and (c), respectively.

776 Figure 7. Patterns of semivariograms generated from the NDVI values inside the objects
777 for years 1 (2015) and 2 (2016): (a) NDVI changes caused by vegetation phenology –
778 the shape and sill (σ^2) parameters remained constant; (b) NDVI changes caused by
779 human-induced disturbances – the shape and sill (σ^2) parameters increased.

780 Figure 8. Support vector machine (SVM) classification using spectral features (SFs) and
781 semivariogram indices (SIs), showing changes caused by vegetation phenology and
782 human-induced disturbance between 2015 and 2016.