

1 Comparing Probabilistic and Statistical Methods in Landslide 2 Susceptibility Modeling in Rwanda /Centre-Eastern Africa

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23 24 25 **Abstract:**

26 Application of suitable methods to generate landslide susceptibility maps (LSM) can play a key role in
27 risk management. Rwanda, located in centre-eastern Africa experiences frequent and intense landslides
28 which cause substantial impacts. The main aim of the current study was to effectively generate
29 susceptibility maps through exploring and comparing different statistical and probabilistic models.
30 These included weights of evidence (WoE), logistic regression (LR), frequency ratio (FR) and
31 statistical index (SI). Experiments were conducted in Rwanda as a study area. Past landslide locations
32 have been identified through extensive field surveys and historical records. Totally, 692 landslide
33 points were collected and prepared to produce the inventory map. This was applied to calibrate and
34 validate the models. Fourteen maps of conditioning factors were produced for landslide susceptibility
35 modelling, namely: elevation, slope degree, topographic wetness index (TWI), curvature, aspect,
36 distance from rivers and streams, distance to main roads, lithology, soil texture, soil depth, topographic
37 factor (LS), land use/land cover (LULC), precipitation and normalized difference vegetation index
38 (NDVI). Thus, the produced susceptibility maps were validated using the receiver operating
39 characteristic curves (ROC/AUC). The findings from this study disclosed that prediction rates were
40 92.7%, 86.9%, 81.2% and 79.5% respectively for WoE, FR, LR and SI models. The WoE achieved the
41 highest AUC value (92.7%) while the SI produced a lowest AUC value (79.5%). Additionally, 20.42%
42 of Rwanda (5,048.07km²) was modelled as high susceptible to landslides with the western part the
43 highly susceptible comparing to other parts of the country. Conclusively, the comparison of produced
44 maps revealed that all applied models are promising approaches for landslide susceptibility studying in
45 Rwanda. The results of the present study may be useful for landslide risk mitigation in the study area
46 and in other areas with similar terrain and geomorphological conditions. More studies should be
47 performed to include other important conditioning factors that exacerbate increases in susceptibility
48 especially anthropogenic factors.
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50 **Keywords:** Landslide; Susceptibility; Rwanda; Frequency ratio; Statistical index; Logistic regression.
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52 53 **1. Introduction**

54 Landslide is one the of most devastating natural disasters that causes loss of human lives, properties
55 and infrastructure in many parts around the globe (Chen et al. 2018a; Chen et al. 2018b). Many

56 countries in the world are susceptible to landslide hazards with unacceptable levels of natural
57 underlying risks (Pisano et al. 2017). Their fatalities are recurrently recorded, especially in
58 mountainous prone zones. The impacts of landslide hazards are therefore still numerous in most parts
59 of the globe (Pisano et al. 2017; Zêzere et al. 2017; Chen et al. 2018a). According to the international
60 disaster analysis in 2015, it was disclosed that 346 disaster cases were reported, with 22,773 deaths and
61 98.6 million people affected. Additionally, 66.5 billion USD were lost due to these natural disasters
62 with five countries being the most hit, including China; USA; India; Philippines and Indonesia (Pisano
63 et al. 2017; Ahmed and Dewan 2017). Furthermore, 174 landslides cases were recorded worldwide in
64 2014, leading to major devastating effects and impacts. Previous studies reported that landslides are
65 categorized as the third cause of the most global serious and deadly natural disasters (Ramani et al.
66 2011; Ahmed and Dewan 2017; Nsengiyumva et al. 2018).

67 The Management of landslide risks requires a lot concerted efforts, but landslide susceptibility
68 mapping becomes the most significant tool to minimize their impacts through resilience building (EAC
69 2012; Nsengiyumva 2012; Zschau and Küppers 2013; Chen et al. 2018a). Therefore, susceptibility
70 maps reveal the spatial distribution of probabilities of landslide occurrences in a given area based on
71 certain conditioning factors. Generally, landslide susceptibility is controlled by a number of parameters
72 including conditioning factors, types of landslides, failure mechanisms, and coverage of affected areas,
73 frequency and intensity among others.

74 In the previous decades, the study of landslide susceptibility attracted the attention of many
75 researchers worldwide, but still, landslides constitute a major threat to human life. The literature on
76 landslide studies avails various susceptibility mapping techniques and approaches, ranging from very
77 simple to more complex. These include inventory based (Nichol and Wong 2005; Van Westen et al.
78 2006; Yalcin et al. 2011; Akgun 2012; Van Den Eeckhaut et al. 2005), data-driven methods composed
79 by bivariate and multivariate statistics (weights of evidence, frequency ratio, logistic regression,
80 cluster analysis, artificial neural networks (Dahal et al. 2008b; Neuhäuser and Terhorst 2007; Dahal et
81 al. 2008a; Mohammady et al. 2012; Ayalew and Yamagishi 2005; Yilmaz 2009; Ramani et al. 2011;
82 Sujatha et al. 2012; Zêzere et al. 2017; Chen et al. 2018a); and the knowledge-driven methods for
83 landslide susceptibility studies (fuzzy logic, analytical hierarchy process, spatial multi-criteria
84 evaluation, multi-class overlay and Boolean logic) (Gorsevski et al. 2006; Pradhan 2010b, 2010a;
85 Neaupane and Piantanakulchai 2006). Additionally, some landslide susceptibility studies use
86 probabilistic methods composed of both parameter uncertainty and temporal prediction (Refice and
87 Capolongo 2002; Zhou et al. 2003; Mazzanti et al. 2015; Brenning 2005; Zêzere et al. 2004) and
88 physically-based and deterministic methods (Cervi et al. 2010; Gökçeoglu and Aksoy 1996; Godt et al.
89 2008; Yalcin 2008; Baum et al. 2008; Terlien et al. 1995; Kuriakose et al. 2009; McDougall and Hungr
90 2005; Wu et al. 2009; Turner et al. 2015; Schilirò et al. 2016; Sinarta et al. 2017).

91 From the above literature review, it was revealed that several data driven models exist such as
92 statistical and probabilistic methods but have never been compared for landslide susceptibility
93 modeling in Africa. In addition, the application of data driven methods may play a big role in
94 accurately predicting landslide susceptibility for African prone regions (Nsengiyumva et al. 2018;
95 Monsieurs et al. 2018; Bizimana and Sönmez 2015; MIDIMAR 2015a). Thus, it is therefore important
96 to compare probabilistic and statistical methods to achieve suitable and accurate outputs for landslide
97 susceptibility mapping (Youssef et al. 2016). The current study aims therefore to make a comparative
98 analysis of four models, including statistical index (SI), frequency ratio (FR), logistical regression (LR),
99 and weights-of-evidence (WoE) models to predict landslide susceptibility in Rwanda.

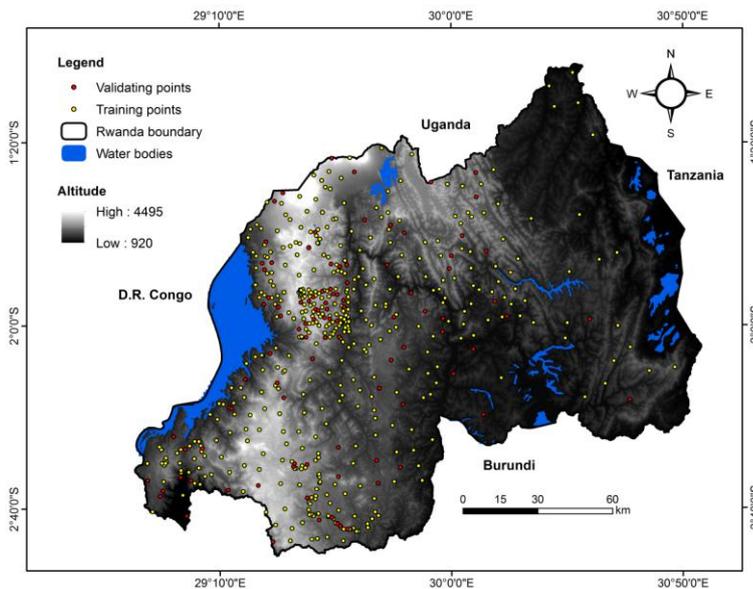
101 2. General description of the study area

102 The present study covered the entire territory of Rwanda, a country located in the great lakes
103 region of the central-east Africa (Nahayo et al. 2018; Karamage et al. 2016). Rwanda is a land-locked
104 country occupying a total surface area of 26,338 square kilometres with a total population of 12,
105 601,482 in 2018. Rwanda is one of the most densely-populated countries in Africa (Nsengiyumva et
106 al. 2018).

107 Rwanda extends over the eastern shoulder of the Kivu-Tanganyika rift in Africa (Fig.1). Despite
108 its proximity to the equator, Rwanda enjoys a tropical climate moderated by hilly topography varying
109 between 920 and 4495 m above sea level, stretching from east to west (Ndayisaba et al. 2016). The
110 country has four climatic seasons in which long rainy (late February to late May) and short rainy
111 seasons (end September to early December) alternate with long dry (June–September) and short dry
112 (mid-December–mid-February) seasons. The two rainy seasons correspond to agricultural seasons,

159 2017. Interviews were conducted with local residents in the hazard-prone zones. Historical records
160 and disaster reports from the MIDIMAR and district offices were also consulted. Additionally, some
161 landslide data were extracted from existing provincial topographic maps (1: 500,000-scale).

162 Therefore, the inventory map and the maps of the landslide predictors were produced at the
163 national scale of 1:1,000,000 since the current study covered the entire Rwanda. Thus, 75% of
164 collected past landslides (519 points) were used to simulate the models while 25% (equivalent to 173
165 landslide points) were used for validation (Fig. 2). To extract and split the total points into training
166 and testing points, authors used the geostatistical analyst extension of ArcMap 10.3, through the
167 subset feature that divides the original dataset into two parts: one was used for modeling the spatial
168 structure and to produce a surface, while the other was used for comparing and validating the output
169 surface by sub-setting the data (Youssef et al. 2016; Zêzere et al. 2017).



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182 **Fig.2.** Landslide inventory map

183 **3.2. The landslide conditioning factors**

184 To carry out the landslide susceptibility mapping, various datasets have to be used including landslide
185 conditioning factors and inventory maps (Chen et al. 2017b). For the current study, fourteen landslide
186 conditioning factors have been used including precipitation, distance from main roads, distance from
187 rivers/streams, slope, elevation, NDVI, lithology, TWI, topographic factor (LS), soil depth, curvature,
188 LULC, soil texture and aspect. The selection of these factors based on available datasets, historical
189 records, fieldworks in the study area, study area context as well as objectives of the study. Therefore,
190 conditioning factors play a critical role in modeling landslide susceptibility.

191 To investigate landslide susceptibility in the study area, the digital elevation model (DEM) of 30m
192 resolution was used. This was obtained from the Global Digital Elevation Model-GDEM (Maes et al.
193 2018). The DEM was used to derive six landslide causal factors including slope, aspect, elevation,
194 curvature, topographic factor and topographic wetness index (Fig.3). The spatial extension toolset of
195 ArcMap 10.3 was used to deduce these factors.

196 Furthermore, land cover/land use (LULC) is also considered as an important landslide conditioning
197 factor (Ramani et al. 2011). The latest LULC map of 2017 (Fig. 3k), has been produced from Landsat-8
198 OLI images. These images were obtained from the United States Geological Survey (USGS) through
199 global visualization tool (Maes et al. 2018; USGS). This was accomplished by using the maximum
200 likelihood classification technique in Envi 5.3 software. Subsequent to radiometric corrections,
201 masking of cloud shadows and gaps filling; the LULC map has been classified. The classification was
202 done following the previous classification by the regional centre for mapping of resources for
203 development (RCMRD) for East-Africa region. Similarly, the current study applied type one of USGS

204 classification techniques (Nsengiyumva et al. 2018; Karamage et al. 2017). Thus, the study area was
 205 then classified into six classes (forestland: 15.38%, grassland: 14.31%, cropland: 58.31%, built up land:
 206 1.86%, wetland: 4.02% and water bodies: 6.12%). In addition, for the accuracy assessment, authors
 207 randomly composed sixty points for each land use/land cover type, which were overlaid to a classified
 208 image in Google Earth to make verification. An overall satisfactory accuracy of 92 % was therefore
 209 achieved.

210 Existing geological maps with good scale (1:100,000) were obtained from Rwanda Natural
 211 Resources. These datasets were used to deduce lithology factor for landslide susceptibility modeling in
 212 Rwanda. Additionally, soil datasets were obtained from the Ministry of Agriculture and Rwanda
 213 agriculture board. They were originated from extensive soils mapping and surveys nationwide in 1995.
 214 Soil is a very important conditioning factor of landslide susceptibility (Dou et al. 2018; Chen et al.
 215 2017a; Coppola 2006). Therefore, three factors were generated namely soil depth, lithology and texture
 216 (Fig. 3i, 3j and 3l).

217 For the precipitation factor, this study applied monthly mean rainfall for 21 years (1996-2017).
 218 Authors utilized rainfall datasets from climate hazards group infraRed precipitation with station data
 219 (CHIRPS) as described by Funk. et al., (2017). These datasets were coupled with rainfall data from
 220 meteorological stations in the study area as provided by Rwanda Meteorological Agency. In most
 221 landslide studies, rainfall is considered as a severe trigger of landslide hazards especially in
 222 mountainous areas (NASA; Schilirò et al. 2016).

223 The topographic factor represents the product of slope length (L) and steepness (S) factor. LS
 224 illustrates the influence of topography on landslide/soil erosion occurrence (Ramani et al. 2011) and
 225 has a high value if the length and slope of terrain are high. If the length and steepness of slope are
 226 more, the landslide will be high and vice versa. Thus, it can be estimated through field measurements
 227 or can be derived from digital elevation model (DEM). LS equation has been developed to generate the
 228 topographic factor map based on DEM (Moore and Wilson 1992). For this study, LS factor was
 229 estimated from the Shuttle Radar Topography Mission (STRM), 30m resolution provided by the
 230 National Aeronautics and Space Administration (NASA). The spatial analyst extension tool of ArcMap
 231 10.3 was used to derive the L and S values of each pixel using equation 1 for L factor developed by
 232 Desmet and Govers (1996) and equation 2 for S factor applying McCool et al. (1987) method.

233

$$L_{i,j} = \frac{(A_{i,j-in} + D^2)^{m+1} - A_{i,j-in}^{m+1}}{D^{m+2} \cdot X_{i,j}^m \cdot (22.13)^m} \quad (1)$$

234

$$m = \frac{\beta}{1 + \beta} \quad (1a)$$

235

$$\beta = \frac{\sin \theta / 0.0896}{3(\sin \theta)^{0.8} + 0.56} \quad (1b)$$

236

$$S_{i,j} = \begin{cases} 10.8 \sin \theta_{i,j} + 0.03, \tan \theta_{i,j} < 9\% \\ 16.8 \sin \theta_{i,j} - 0.50, \tan \theta_{i,j} \geq 9\% \end{cases} \quad (2)$$

237

238

239 where $L_{i,j}$ = slope length factor for the grid cell with coordinates (i,j); D = the grid-cell size (m); $X_{i,j}$ =
 240 $(\sin a_{i,j} + \cos a_{i,j})$; $a_{i,j}$ = aspect direction for the grid-cell with coordinates (i,j); $A_{i,j-in}$ is the flow
 241 accumulation or contributing area at the inlet (m^2) of a grid-cell with coordinates (i,j). Besides, the
 242 slope-length exponent m is related to the ratio β of rill erosion (caused by flow) to interrill erosion
 243 (principally caused by raindrop impact); β is the ratio of rill to interrill erosion for conditions when the
 244 soil is moderately susceptible to both rill and interrill erosion; θ is the slope angle in degrees
 245 (Karamage et al. 2017).

246 For the present study, NVDI has been considered as one of the landslide conditioning factors. The
 247 Normalized difference vegetation index highlights the vegetation stability in a given area (Akgun 2012).

248 NDVI was extracted from Landsat-8 for 2016 with 30m resolution. This was achieved using spatial
 249 analyst tool in ArcMap 10.3 based on equation 3 as follows:

250

$$251 \quad \text{NDVI} = \frac{\text{Band 5} - \text{Band 4}}{\text{Band 5} + \text{Band 4}} \quad (3)$$

252

252 For landslide susceptibility modeling, topographic wetness index (TWI) is considered as a significant
 253 conditioning factor (Ahmed and Dewan 2017; Youssef et al. 2016). For the present study, TWI
 254 computation was achieved by using the flow accumulation obtained from the flow direction. All these
 255 were given by DEM using hydrological tool from spatial analyst tools of ArcMap 10.3. Equation 4 was
 256 therefore applied to calculate TWI and it ranges from 1.92 to 27.28 (Fig. 2h).

257

$$258 \quad \text{TWI} = \ln \left(\frac{A_s}{\tan \alpha} \right) \quad (4)$$

259

259 Where A_s is the catchment area and α is the slope gradient (in degrees). The curvature can be
 260 influenced by the slope erosion processes as convergence or divergence of water during downhill flow
 261 and it constitutes one of the landslide conditioning factors.

262

263 Fieldworks in the study area revealed that some landslide cases were caused by proximity to
 264 roads and streams/rivers. Therefore, authors decided to consider both distance from roads and distance
 265 from rivers as landslides conditioning factors in Rwanda. Road datasets were freely obtained from the
 266 Rwanda Transport Development Agency while geospatial datasets on rivers and streams networks,
 267 were obtained from the Ministry of Lands and Forests. The distances were calculated using Euclidian
 268 distance of spatial analyst tool of ArcMap10.3. All the 14 conditioning factors were applied to models
 269 (FR, SI, WoE and LR) in generating landslide susceptibility maps for Rwanda.

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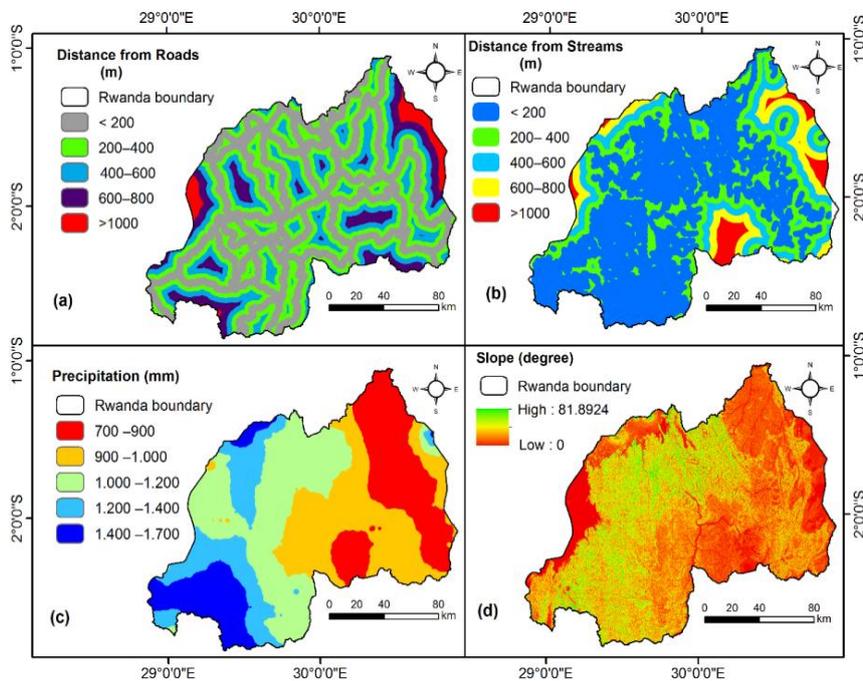
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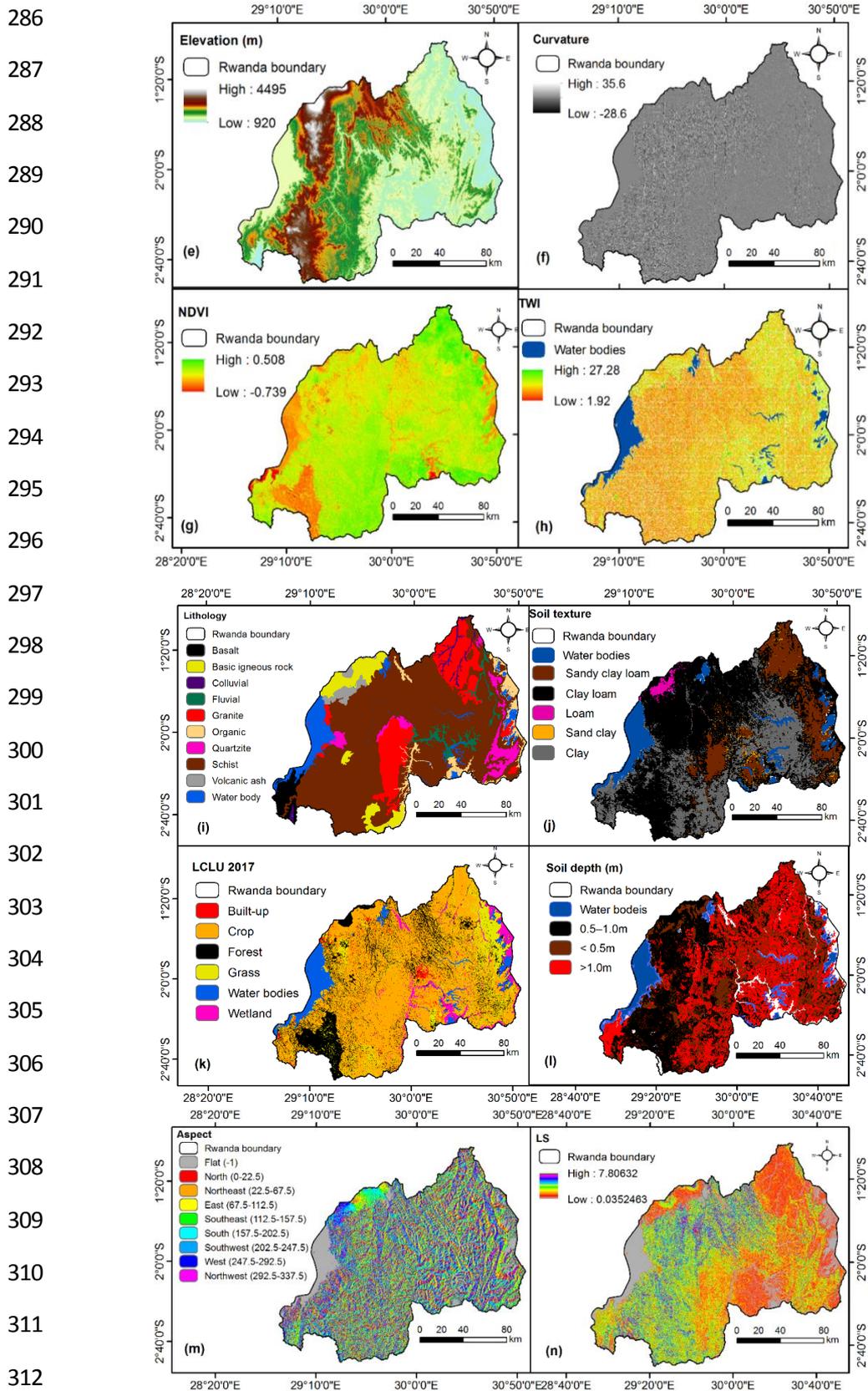
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313 **Fig.3.** Landslide conditioning factors: (a) Distance from roads; (b) Distance from streams/rivers; (c) Precipitation;
 314 (d) Slope; (e) Elevation; (f) Curvature; (g) Normalized Difference Vegetation Index (NDVI); (h) Topographic
 315 Wetness Index (TWI); (i) Lithology; (j) Soil texture; (k) Land use/cover 2017 (LULC); (l) Soil depth; (m)
 316 Aspect; (n) Topographic factor (LS).

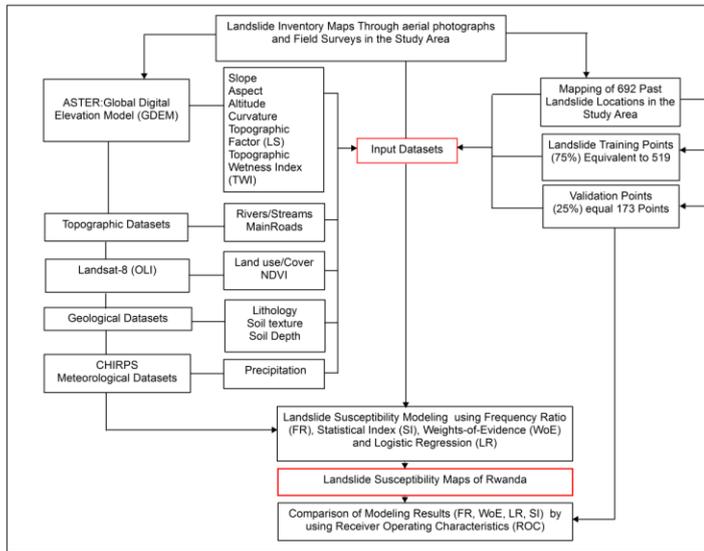
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318 For this study, each of the conditioning factors was correlated with the landslide occurrences.

319 3.3. Landslide susceptibility modeling

320 3.3.1. Study design

321 Fig.4 presents the study framework for landslide susceptibility assessment in the study area.



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323
324 **Fig.4.** Flowchart of the study

325 In susceptibility modeling, the accuracy and quality of produced maps largely depend on input
326 datasets, study area complexity and applied methodologies as well. This section presents a detailed
327 description of applied methods. Thus, the authors explored and compared probabilistic and statistical
328 models to predict landslide susceptibility in the study area.

329 3.3.2. The Statistical Index Model (SI)

331 In the literature related to landslide hazards and disasters, statistical index model has widely been
332 applied by different researchers (Bui et al. 2011; Ahmed and Dewan 2017). SI is a statistical, bivariate
333 approach to study susceptibility in landslide prone zones. The weighting value is obtained by dividing
334 the density of landslides in the class by the landslide in the entire map. Therefore, the SI can be
335 modeled using equation 5.

$$336 W_{SI} = \ln \left(\frac{E_{xy}}{E} \right) = \ln \left(\frac{L_{xy}/L_T}{P_{xy}/P_L} \right) \quad (5)$$

337 where, W_{SI} , is the statistical index weight assigned to a given landslide class x of the y factor; E_{xy} ,
338 stands for the density of landslides in x class of y factor; E , entire density of landslide in the total map;
339 L_{xy} , amount of landslides in a given x class of y the parameter; P_{xy} , amount of pixels of the x class for
340 the y factor; L_T , total landslide in the whole map; P_L , number of pixels of the whole mapped area. The
341 landslide susceptibility map through SI is therefore obtained with equation 6.

$$342 LSM_{SI} = W_{SI_1} + W_{SI_2} + W_{SI_3} + \dots + W_{SI_n} \quad (6)$$

343
344 whereby LSM_{SI} represents the landslide susceptibility for statistical index model, and W_{SI} = the
345 assigned weight of a given landslide conditioning factor or a range of conditioning factors.

346 3.3.3. Frequency Ratio Model (FR)

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348
349 Generally, present and past landslide occurrences are assumed to be useful in predicting future
350 potential landslide events, and it is commonly believed that landslides would occur from similar

351 circumstances (Pradhan and Lee 2010; Regmi et al. 2014). Based on this principle, it is required to
 352 determine the relationship between conditioning factors and past landslides while modeling
 353 susceptibility. As confirmed by previous studies (Regmi et al. 2014), frequency ratio model discloses
 354 the correlation between observed landslides and conditioning factors.

355 Landslide prediction is performed through the relation between the causal factor and landslides event
 356 inventory (Regmi et al. 2014). The estimation of the FR is given by the ratio of area of the landslide
 357 points to area that has not been affected by landslide. This is then computed for each class factors.

358 For the production of susceptibility index (LSI), the values for each factor's frequency ratio are to be
 359 summed up using appropriate equations. As previously indicated by studies (Mohammady et al. 2012),
 360 FR ratios are calculated and summed for each considered factor to generate hazard susceptibility.
 361 Therefore, the higher the value, the greater the probability of the landslide to occur and inversely, the
 362 lower values represent the lower occurrences of landslide hazards. Frequency ratio is modeled using
 363 equation 7 below:
 364

$$LSI = Fr_1 + Fr_2 + Fr_3 + \dots Fr_n \quad (7)$$

365 Hence, as described by Regmi et al.(2014), landslide susceptibility by FR, is yielded by equation 8:
 366

367

$$LSI = \sum_1^n FR \quad (8)$$

368

369 from equation 8, LSI = landslide susceptibility index and Fr stands for each factor's rating. As
 370 previously stated by Ahmed (2011), the frequency ratio method is expressed in more details with
 371 equations 9 and 10.
 372

$$FR_{ij} = \frac{FrX_{ij}}{FrY_{ij}} \quad (9)$$

373

374 from equation 9, FR_{ij} is the landslide occurrence proportion for the i class of the j factor; FrX_{ij} denotes
 375 the frequency of observed landslides within class i of factor j ; also FrY_{ij} represents the frequency of the
 376 percentage for non-observed landslides in class i of factor j . For this equation, it is deduced that a
 377 greater ratio shows stronger correlation between occurrence and the factor's class and the lower ratio
 378 shows less relationship between occurrences and considered factors (Regmi et al. 2014).

379 From this assumption, landslide susceptibility is determined by applying equation 10.

$$LSI_{FR} = \sum_{j=1}^n W_{ij} \quad (10)$$

380

381 where LSI_{FR} is the landslide susceptibility index by frequency ration model; W_{ij} = the weight for class i
 382 within j conditioning factor and n = the total number of all considered conditioning factors.

383 3.3.4. Weights of Evidence Model (WoE)

384 The mapping of susceptibility has attracted attention of more researchers through application of
 385 statistical approaches (Mohammady et al. 2012; Regmi et al. 2014). WOE is one of the fundamental
 386 models in studying landslide susceptibility worldwide. The WoE application to susceptibility modeling
 387 has also been widely recognized in the literature related to landslide studies (Regmi et al. 2014). The
 388 performance of WoE model requires the calculation of positive and negative parameters (W^+ and W^-
 389 Weights).

390 Generally, past landslide datasets are the key factors for weights determination (Monsieurs et al.
 391 2018). It has also been confirmed that landslide susceptibility modeling has to rely on the theory that
 392 landslide events can be caused by similar conditions to those which triggered past landslides (Regmi
 393 et al. 2014). Thus, the WoE is modelled using equations 11 and 12.

$$W^+ = \ln \left(\frac{P\left(\frac{X}{Y}\right)}{P\left(\frac{X}{\bar{Y}}\right)} \right) \quad (11)$$

394

$$W^- = \ln \left(\frac{P\left(\frac{x}{y}\right)}{P\left(\frac{x}{y}\right)} \right) \quad (12)$$

395

396 whereby P = the probability of occurrence, ln = the natural logarithm. Besides, X and x represent the
 397 presence and absence of landslide conditioning factors, whilst Y and y stand for the presence and
 398 absence of landslides events. When modeling landslide susceptibility, WoE computes each landslide
 399 conditioning factor's weight x according to the existence or absence of landslide hazards in the study
 400 area as per equations 13 and 14.

$$W^+ = \ln \left(\frac{\left(\frac{NLP_{xy}}{TNLP_y} \right)}{\left(\frac{NSP_{xy}}{TNSP_y} \right)} \right) \quad (13)$$

401

402 where W^+ is the positive weighting for the x class of the y factor, NLP_{xy} equals to the total points of
 403 landslide hazards within x class of y factor, $TNLP_y$ is the sum of landslide points for each y factor,
 404 NSP_{xy} represents number of pixels in stable condition for x class of y factor. Thus, $TNSP_y$ = the
 405 number of total pixels of y factor in stable condition. The negative weight is therefore determined using
 406 equation 14.

$$w^- = \ln \left(\frac{\left(\frac{NLP_{ny}}{TNLP_y} \right)}{\left(\frac{NSP_{ny}}{TNSP_y} \right)} \right) \quad (14)$$

407

408 from equation 14, W^- is the negative weight to be assigned when the class x of the factor y is absent,
 409 NLP_{ny} is the amount of landslide points in further n classes of y factor, $TNLP_y$ equals total points of
 410 factor y, NSP_{ny} denotes stable pixels in extra n classes of y factor, $TNSP_y$ is the total amount of pixels
 411 with stability of y factor. Furthermore, positive weighting (W^+) determines the presence of the
 412 landslide conditioning factors in place and this testifies the strong link between the presence of hazard
 413 events and conditioning factors. In case of the negative weighting (W^-), it is confirmed the non-
 414 existence of conditioning factors which shows lack of correlation (Regmi et al. 2014).

415

416 Accordingly, the weight of contrast (W_f) is obtained from the difference between W^+ and W^- .
 417 The LSI is therefore obtained from weight of contrast (Youssef et al. 2016). Thus, the W_f is calculated
 417 using equation 15.

$$W_f = W^+ - W^- \quad (15)$$

418

$$LSI_{WofE} = \sum_{j=1}^n W_{fxy} \quad (16)$$

419

420 where W_f = the weight of contrast, LSI =landslide susceptibility index, WofE = weights of evidence,
 421 W_{fxy} = final weight of x class in y conditioning factor, and n the total number of conditioning factors.
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3.3.5. Logistic regression (LR) model

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425 Logistic Regression which is a multivariate model has extensively been applied in many different
 426 studies related to landslide susceptibility studies (Chen et al. 2017a; Chen et al. 2017b). This model
 427 produces results basing on one or more independent variables, and the result is measured through
 428 dichotomous variables such as true and false or 0 and 1. In this study, the application of logistic
 429 regression in susceptibility modeling, served to define the linkage between the presence and lack of
 430 landslide events with related conditioning factors. LR generates coefficients that predict landslides in a
 given area. Logistic regression model was therefore applied using equations 17 and 18.

431

$$z = a_0 + a_1x_1 + a_2x_2 + a_3x_3 + \dots + a_nx_n \quad (17)$$

432

433 where z = the linear combination of the dependent variables representing the absence (0) or the
 434 presence (1) of landslide, and variable values from $-\infty$ to $+\infty$, a_0 stands for the intercept of the model, a_1 ,
 435 a_2, \dots, a_n represent the coefficients of logistic regression model, and x_1, x_2, \dots, x_n denote the conditioning
 factors or independent variables (Devkota et al. 2013).

436
437

In a simplified way, logistic regression model is expressed using equation 18:

$$P = \ln\left(\frac{P}{1-P}\right) = \frac{z}{1+e^{-z}} \quad (18)$$

438
439 with P = the probability (varying between 0 and 1) of a landslide to occur, and z = the linear model for
440 considered variables. At this stage, with logistic regression model, the landslide susceptibility index is
441 calculated using equation 19.

$$SI = \exp(z) / (1 + \exp(z)) \quad (19)$$

442
443 where, SI = the landslide susceptibility index.

444

445 **3.4. Model performance validation**

446 Studies on landslide have ascertained that susceptibility maps are not useful unless they are validated
447 (Chen et al. 2018b). For susceptibility mapping, it is required to assess the validity of the models
448 applied since they have no scientific significance without validation (Chen et al. 2018a) Appropriate
449 methods are therefore essential to validate landslide susceptibility maps generated using models. To
450 validate the LSMs in this study, authors applied the receiver operating characteristic (ROC). Thus,
451 ROC presents the percentages of true positive rating of past landslides against the false positive rating
452 percentage of susceptibility index in a cumulative decreasing order. This helps to get the ROC curve of
453 the rate of success (Ahmed and Dewan 2017; Chen et al. 2018a). The area under the ROC curve (AUC)
454 is useful to detect which of the applied models is the best predictor of landslide susceptibility for the
455 area under investigation.

456 In case of the poor prediction or non-improvement, the AUC value becomes less or equal to 0.5 while
457 the best and ideal susceptibility modeling is obtained when AUC value is higher or equal to 0.7
458 (Devkota et al. 2013; Ahmed and Dewan 2017). The literature confirms that AUROC curves are one of
459 the most common tools used to validate and compare landslide susceptibility modeling methods
460 (Zêzere et al. 2017). The AUC was therefore calculated using equation 20 (Chen et al. 2018b).

461

$$AUC = \frac{(\sum TP + \sum TN)}{(P + N)} \quad (20)$$

462

463 where P is the total number of landslides and N is the total number of non-landslides; TP = the true
464 positive and TN =the true negative.

465 **4. Results and discussion**

466 **4.1. Relationship between conditioning factors and landslide locations**

467 The spatial relationship between each landslide conditioning factor and landslide locations was
468 calculated using the four models FR, SI, W_f and LR, and the results are shown in Tables 1 and 2.

469 As shown in Table 1, each factor has been assigned different values depending on the previously
470 observed landslides including percentage number of pixels with the applied models. It was observed
471 that some factor classes gained high values for all the four models. These factors include distance from
472 roads (classes of <200m and 200-400m), precipitation (classes of 1400-1700mm and 1200-1400mm),
473 slope degrees (>26.11° and 18.30-26.11°), elevation (2,196-2,813m and 2,813-4,495m), lithology
474 (schist, basic igneous rock and volcanic rocks), soil texture (clay loam, clay), land use/land cover
475 (cropland, built up and forestland), soil depth (<0.5m and 0.5-1.0m), slope aspect (south and
476 southwest), curvature (0.64-35.68), and LS (2.50-7.81m ad 1.77-2.50m). In contrast, the models
477 presented some differences for class factor relationship between TWI, NDVI and distance from streams.

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Table 1 Spatial relationship between each landslide conditioning factor and landslide locations using FR and WoE, SI and LR

Conditioning Factor	Factor class	% Pixels	% OL	FR	SI	W _f	LR
TWI	1.92– 4.71	38.24	34.33	0.814	0.248	-0.304	0.810
	4.71– 6.50	30.10	45.17	2.127	0.342	0.275	0.726
	6.50– 8.89	20.36	13.26	0.6791	0.297	-0.185	0.825
	8.89– 12.57	8.27	6.02	0.853	0.364	-0.044	0.901
	12.57–27.28	3.03	1.22	0.960	0.527	0.795	1.000
NDVI	(-0.73)– (0.31)	6.74	5.10	0.873	0.314	-0.174	0.832
	(-0.31)– (-0.19)	33.89	11.20	0.597	0.213	-0.162	0.684
	(-0.19)– (-0.09)	18.96	38.30	3.471	0.737	1.245	0.851
	(-0.09)– (0.10)	15.84	31.00	2.034	0.417	1.085	0.906
	(0.10)– (0.50)	24.57	14.40	0.695	0.289	-0.239	0.985
Distance from roads (m)	< 200 m	47.67	49.61	1.806	0.627	1.868	1.000
	200 – 400 m	22.94	26.40	2.302	0.412	1.074	0.941
	400 – 600 m	15.76	12.04	0.667	0.122	-0.457	0.890
	600 –800 m	13.63	9.52	0.562	0.056	-0.807	0.673
	>1000 m	3.89	2.43	0.970	0.030	-0.545	0.456
Distance from streams (m)	< 200 m	52.86	31.8	0.415	0.594	-0.565	0.937
	200 – 400 m	19.57	23.18	1.073	0.428	0.787	0.852
	400 – 600 m	13.84	17.4	1.163	0.205	0.908	0.778
	600 –800 m	7.01	18.6	2.728	0.164	1.095	0.508
	>1000 m	6.72	9.02	1.987	0.097	0.819	0.493
Precipitation (mm)	700 – 900 mm	20.16	4.74	0.344	0.152	-0.545	0.523
	900 – 1000 mm	33.32	12.62	0.672	0.203	-0.720	0.642
	1000 – 1200 mm	24.53	30.24	2.527	0.224	0.978	0.738
	1200 –1400 mm	18.25	29.60	2.928	0.492	1.127	0.997
	1400–1700 mm	3.74	22.80	3.573	0.618	1.572	1.000
Slope (degrees)	0° – 4.81°	31.81	0.02	0.089	0.012	-1.041	0.238
	4.81° – 11.24°	23.74	13.66	0.118	0.249	-1.087	0.591
	11.24° – 18.30°	15.04	16.85	1.210	0.441	-0.499	0.657
	18.30° – 26.11°	5.33	27.32	4.397	0.713	1.319	0.994
	> 26.11°	24.08	42.15	4.861	0.966	1.678	1.000
Elevation (m)	920 – 1,537 m	26.9	1.30	0.026	0.122	-0.154	0.051
	1,537 – 1,832 m	22.50	5.11	0.166	0.301	-0.436	0.886
	1,832 – 2,196 m	19.12	14.09	0.351	0.186	-1.240	0.725
	2,196 – 2,813 m	24.07	61.48	4.607	0.911	3.687	0.994
	2,813 – 4,495 m	7.41	18.02	3.010	0.673	2.030	1.000
Curvature	(-28.60)– (-0.87)	7.25	15.39	1.341	0.752	0.532	0.284
	(-0.87)– (-0.37)	18.54	11.64	0.620	0.601	-0.914	0.661
	(-0.37)– (0.13)	29.93	20.57	0.403	0.493	-0.979	0.535
	(0.13)– (0.64)	23.86	18.12	0.767	0.602	-0.759	0.099
	(0.64)– (35.68)	20.42	34.28	1.720	0.815	0.748	0.967
Lithology	Basalt	2.27	1.12	0.968	0.422	0.565	0.676
	Basic igneous rock	5.24	9.73	2.589	0.635	1.279	0.989
	Colluvial	1.04	0.31	1.063	0.224	0.067	0.804
	Fluvial	2.24	0.16	0.958	0.318	-0.119	0.695
	Granite	13.19	1.18	0.412	0.172	0.361	0.426
	Organic	4.14	1.12	0.879	0.187	0.067	0.263
	Quartzite	3.87	2.02	0.998	0.235	0.085	0.038
	Schist	60.21	80.06	6.987	0.931	4.026	1.000
	Volcanic rocks	1.70	4.30	0.576	0.460	0.765	0.985
	Water bodies	6.12	0.00	0.000	0.000	0.000	0.000
Soil texture	Water bodies	6.12	0.00	0.000	0.000	0.000	0.000
	Sand clay loam	13.57	11.77	0.853	0.225	0.519	0.762
	Clay loam	54.44	62.51	2.127	0.753	1.270	1.000
	Loam	1.05	2.13	1.543	0.242	0.862	0.719
	Sand clay	1.12	0.95	0.801	0.395	0.425	0.065
	Clay	23.72	22.64	0.985	0.520	0.619	0.885

Land use/cover	Built-up land	1.86	4.12	1.234	0.341	0.658	0.983
	Crop land	58.30	78.29	6.7921	0.913	3.271	1.000
	Forest land	15.38	12.35	0.786	0.567	0.590	0.754
	Grass land	14.31	5.14	0.582	0.231	-0.157	0.432
	Wet land	4.05	0.10	0.187	0.167	-0.008	0.029
	Water bodies	6.12	0.00	0.000	0.000	0.000	0.000
Soil depth (m)	< 0.5 m	17.63	10.20	0.708	0.383	0.423	0.897
	0.5 –1.0 m	29.48	82.22	5.227	0.997	2.604	1.000
	> 1.0 m	52.89	7.58	0.014	0.261	-0.979	0.553
Slope aspect	Flat (-1)	9.42	0.00	0.000	0.122	0.000	0.000
	North (0– 22.5)	4.14	11.83	1.032	0.233	0.028	0.640
	Northeast (22.5– 67.5)	10.47	8.16	0.899	0.229	-0.220	0.829
	East (67.5– 112.5)	8.57	7.91	0.967	0.175	-0.689	0.516
	Southeast (112.5–157.5)	11.26	8.43	0.726	0.224	-0.465	0.758
	South (157.5– 202.5)	10.14	19.08	1.859	0.294	0.658	0.994
	Southwest (202.5 – 247.5)	13.21	26.91	1.934	0.332	0.780	0.960
	West (247.5– 292.5)	16.95	8.03	0.764	0.193	-0.574	0.536
	Northwest (292.5 – 337.5)	15.84	9.65	0.896	0.242	-0.098	0.958
LS (m)	0.03 –0.49	27.16	6.02	0.188	0.177	-1.371	0.207
	0.49 –1.10	25.98	13.26	0.511	0.242	-0.882	0.560
	1.10 –1.77	18.35	24.09	1.296	0.406	0.485	0.803
	1.77 –2.50	20.19	36.33	5.624	0.992	2.213	0.972
	2.50 –7.81	8.32	20.30	3.0157	0.683	1.364	1.000

484 With FR, SI, WoE and LR models, the results of spatial relationship between conditioning
485 factors and landslide locations revealed that for the distance to roads, the classes of <200m has the
486 highest values 1.806, 0.627, 1.868 and 1.000 for all models respectively. It was observed during
487 fieldworks that many landslides in Rwanda occur alongside the roads due to slope stability
488 modification. Results of the study also disclosed that spatial relationship values for precipitation
489 classes have indications with increasing of precipitation in the study area. Precipitation class of 1400-
490 1700mm has the highest value for FR, SI, WoE and LR models (3.573, 0.618, 1.572 and 1.000
491 respectively) followed by the class of 1200-1400 mm (2.928, 0.492, 1.127 and 0.997 values for FR, SI,
492 WoE and LR respectively). This is entirely the western part of the country where most landslides
493 events are frequently recorded. Additionally, the relationship between slope degrees and landslide
494 probability showed that the class of > 26.11° has the highest FR, SI, WoE and LR values (4.861,
495 0.966, 1.678 and 1.000 respectively), whereas the class of 0°-4.81° gives the lowest values (0.089,
496 0.012, -1.041 and 0.238 respectively for FR, SI, WoE and LR models). Basically, as the slope
497 increases, the shear stress increases, and gentle slope angles are normally expected to have lower
498 weights values since they are associated with lower shear stresses (Pourghasemi et al. 2012).

499 Regarding elevation factor, the classes of 2,196-2,813m and 2,813-4,495m have the highest
500 values (4.607; 0.911; 3.687; 0.994 and 3.010; 0.673; 2.030 and 1.000 respectively for FR, SI, WoE
501 and LR models) while the class of 920-1,537m has the lowest values for all the models. The results of
502 this study revealed that the spatial relationship values increased with increasing elevation. Besides,
503 the study showed that areas with schist lithology are highly susceptible to landslides in Rwanda. Also,
504 clay loam soils and cropland areas were found highly susceptible in the study area. Soil depth class of
505 0.5-1.0m was proven to have the highest spatial relationship with 5.227; 0.997; 2.604 and 1.00 values
506 for FR, SI, WoE and LR models respectively.

507 The overall analysis of conditioning factors revealed that 10 factors are more influential than
508 others. They include slope degree, precipitation, elevation, curvature, aspect, soil depth, land use/land
509 cover, soil texture, distance to roads and topographic factors (Table 2).

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517 **Table 2** Statistical coefficients generated by R Software

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519	Predicting factors	Estimate	Std. Error	z value	Pr (> z)
520	(Intercept)	-2.664e-08	6.479e+02	0	1
521	Elevation	4.344e-16	2.431e-04	0	1
522	TWI	-4.147e-14	2.544e-02	0	1
523	Soiltexture	2.081e-14	4.092e-02	0	1
524	slope	7.173e-08	1.744e+03	0	1
525	Rainfall	8.725e-06	4.740e-04	0	1
526	NDVI	-2.740e-14	5.781e-01	0	1
527	LS	7.559e-07	1.838e+04	0	1
528	Lithology	-8.647e-15	3.695e-02	0	1
529	LANDUSE	1.556e-13	8.501e-02	0	1
530	Distance_river	-3.287e-17	3.104e-05	0	1
531	Distance_road	1.570e-17	1.995e-05	0	1
532	Curvature	1.031e-13	1.237e-01	0	1
533	Aspect	1.770e-16	6.344e-04	0	1
534	Soil depth	2.1851e-11	4.032e-02	0	1

535 **4.2. Generation of landslide susceptibility maps**

536 The present study explored and compared different probabilistic and statistical methods to produce
 537 landslide susceptibility maps for the area under investigation (Fig. 5). Application of FR, SI, LR and
 538 WoE helped authors to generate LSM for Rwanda using 14 factors. Four landslide susceptibility maps
 539 were therefore produced (Fig. 5).

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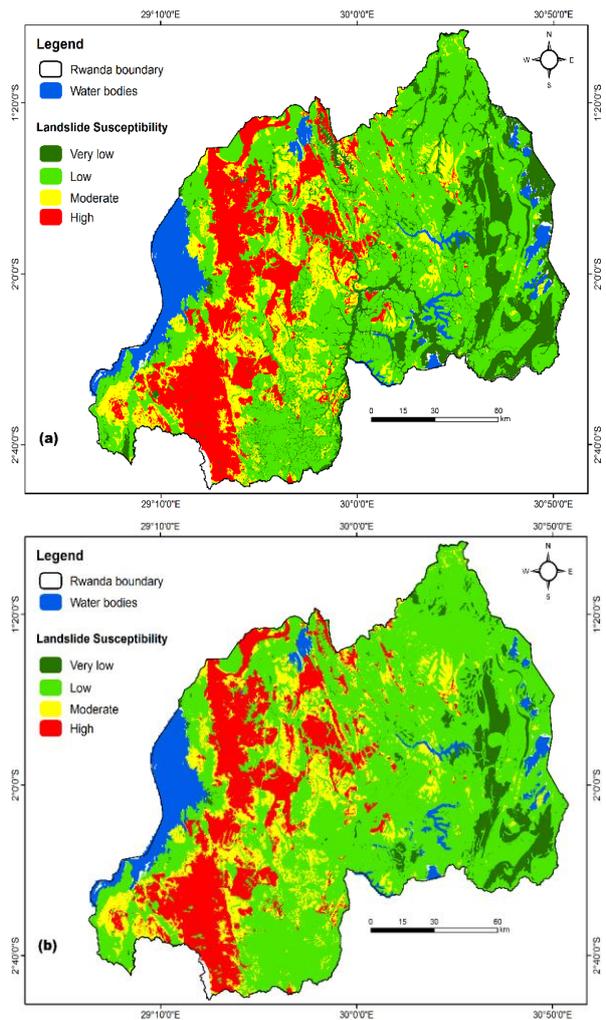
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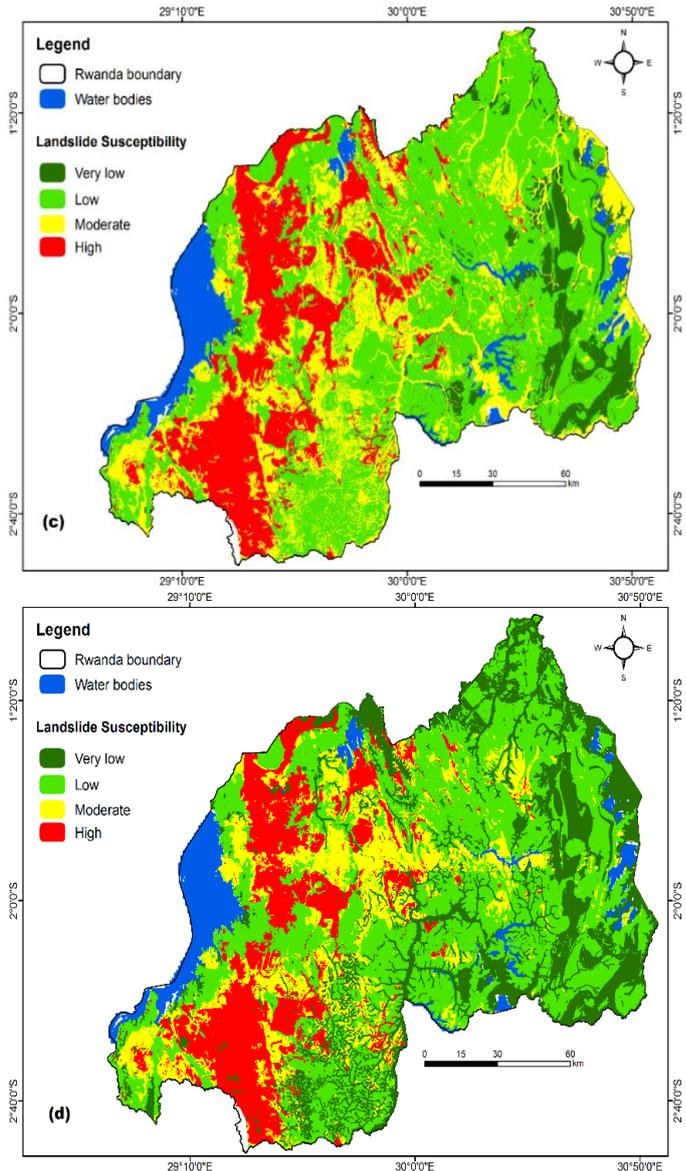


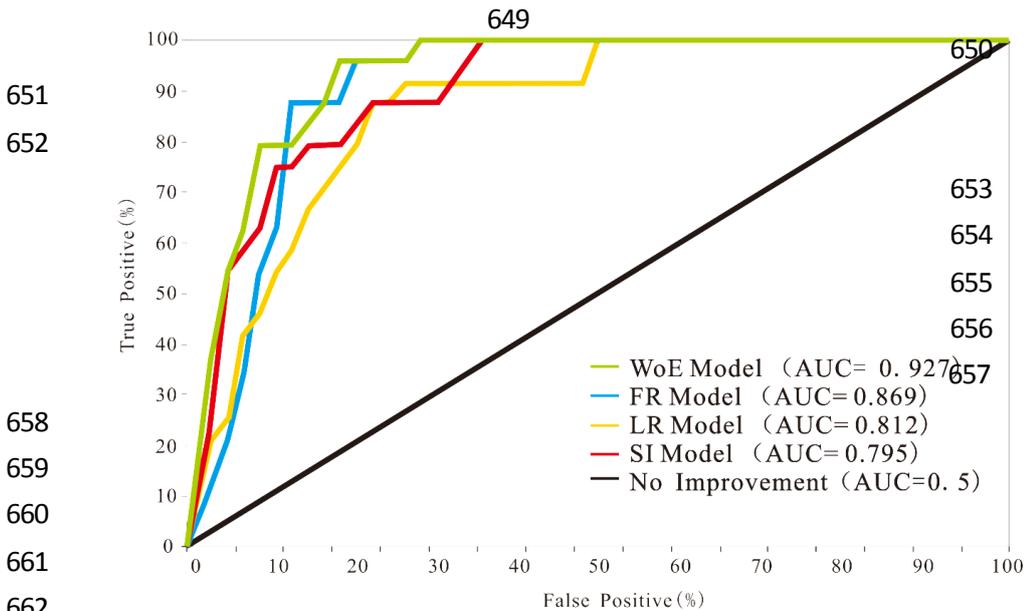
Fig.5. Landslide susceptibility maps using: (a) FR model; (b) LR model; (c) SI model and (d) WoE model.

The FR, SI, WoE and LR models were constructed using the training points and layers of landslide conditioning factors (Fig. 2). Previous studies recommended that the combination of more factors play a big role in generating accurate landslide susceptibility maps (Piller 2016; Nsengiyumva et al. 2018; Pradhan and Lee 2010). Thus, the calculated landslide susceptibility index (LSI) values for the entire study area using FR, SI, WoE and LR models were between 0.00 and 1.00. Finally, all landslide susceptibility index values of the area under investigation were divided into four classes using the equal interval method to generate landslide susceptibility maps of Rwanda. Areas were respectively classified as high susceptibility (0.75–1), moderate susceptibility (0.50–0.75), low susceptibility (0.25–0.50) and very low susceptibility (0.00–0.25), (Fig.5)

The produced susceptibility maps (Fig.5) reflect what was observed from field-work in the study area (Fig. 2). Moreover, the disaster losses database available in the Ministry in Charge of Emergency Management in Rwanda, and interviews with local experts in the study area, disclose that landslide susceptibility is spatially dispersed across the study area. However, steep slope zones become the highly susceptible. Additionally, higher precipitations were found the major triggers of landslide events in the study area. Landslide hazards affect people, livestock, crops, family houses and other different important infrastructure including roads and bridges. Additionally, fieldwork confirmed that majority of past landslide events occurred in crop land, built-up land and forest land (Fig. 3k and Fig.2) and this can also undermine the agriculture sector.

637 **4.3. Validation and comparison of models**

638 In landslide susceptibility modeling, most scientists agree that appropriate methods need to be
639 applied to evaluate the performance of landslide susceptibility models. However, there is no clear
640 agreement concerning which methods are the best or must be used given regional variability. For this
641 study, the results of the four landslide susceptibility models were validated using validation datasets
642 obtained during fieldwork a stated earlier. Additionally, we used the AUC to evaluate the model results
643 (Fig.6). Results confirmed that all four models have good susceptibility prediction capacity. Therefore,
644 the AUC values of 92.7%, 86.9%, 81.2% and 79.5% respectively for WoE, FR, LR and SI models
645 showed reasonable prediction for all the models. However, the results indicated that the WoE model
646 performed the best (92.7%) in mapping landslide susceptibility in the study area whereas SI model
647 showed the smallest AUC value (79.5%).The weight of evidence proved the best model capable of
648 combining expert knowledge with field datasets in susceptibility modeling.



663 **Fig.6.** Prediction rates with AUC for model performance.

664
665 The landslide susceptibility maps are commonly considered as a fundamental stage in managing
666 landslide risks (Chen et al. 2018a). They play a big role in identifying critical risk zones; inform
667 relocation of families from hazard- prone zones as well as development of landslide mitigation
668 infrastructure. This stage of risk management cycle can also help to identify significant triggers of
669 landslides. Significantly, it was noted from results of this study that most of past landslide events
670 occurred between March and May of the previous years and rain has been confirmed a major trigger of
671 landslides.

672 Analytically, landslide risks and exposure in the study area was found to be very high. Majority
673 of the affected population are rural people living in extreme poverty. Most of them live in poorly
674 constructed houses that are located in highly vulnerable landslide zones, and are unable to cope at the
675 event of any landslide disaster. Districts were predicted to be highly prone to landslide hazards
676 including Ngororero, Nyabihu, Karongi, Nyamasheke, Gakenke, Muhanga, Rusizi, Nyamagabe,
677 Rulindo, Musanze and Nyaruguru (Fig. 1, 2 and 5). Rwanda is tenderly referred to as a country of
678 thousand hills (Das et al. 2010), as depicted by its topography with volcanoes and dominant Congo-
679 Nile ridge hills. All prone Districts are located within high elevation zones. Inversely, nine districts
680 were found stable to landslide hazards namely Kirehe, Rwamagana, Ngoma, Kayonza, Gatsibo,
681 Nyagatare, Bugesera, Gisagara and Nyanza. These have mostly been classified as low and very low
682 susceptible (Fig. 1 and 5). The population growth increases the pressure on land even in steep slope
683 areas through informal and illegal settlements by the local community members. Inappropriate land use
684 has continued exacerbate the impacts of landslide hazards in the study area. This situation requires
685 landslide resilience building from national to local level.

686 The spatial distribution of different landslide susceptibility classes is illustrated in Table 3. The
687 study has revealed that landslide susceptibility is spatially dispersed across the entire Rwanda. The

688 areas covered under different susceptibility categories (high, moderate, low and very low) were
 689 calculated using ArcMap 10.3, as shown in Table 3. In the case of WoE model, it can be observed that
 690 the high susceptibility class accounts for 18.03% of the study area. The moderate, low and very low
 691 susceptibility classes account for 27.4%, 44.27% and 10.3% of the study area, respectively. For the
 692 landslide susceptibility map generated by the FR model, 8.46% of the study area belongs to very low
 693 susceptibility class. The low susceptibility class covers 45.3% of the study area and the moderate
 694 susceptibility class accounts for 24.54% of the study area, while the high susceptibility class accounts
 695 for 21.7% of the study area. Regarding landslide susceptibility map produced using LR model, the
 696 very low and low susceptibility classes account 6.16% and 47.52 of the study area, respectively.
 697 24.63% of the study area falls into the moderate susceptibility class and 21.71% falls into the high
 698 susceptibility class. This comparative study confirms that the four models (FR, SI, WoE and LR) are
 699 promising approaches to map landslide in Rwanda since they all produced reasonable susceptibility
 700 maps. Additionally based on the landslide susceptibility map produced using SI model, it is revealed
 701 that 6.11% of the study area falls into the very low susceptibility class, while 44% of the study area
 702 falls into the low susceptibility class. Both moderate and high susceptibility classes account for 29.64%
 703 and 20.25% of the study area, respectively.

704 **Table 3** Landslide susceptible areas for WOE, FR, LR and SI models

Susceptibility class	WOE		FR		LR		SI	
	Zone under category (%)	Area (Km ²)	Zone under category (%)	Area (Km ²)	Zone under category (%)	Area (Km ²)	Zone under category (%)	Area (Km ²)
Very low susceptible	10.3	2546.79	8.46	2091.83	6.15	1520.66	6.11	1510.77
Low susceptible	44.27	10946.25	45.3	11200.93	47.51	11747.38	44	10879.5
Moderate susceptible	27.4	6774.96	24.54	6067.79	24.63	6090.04	29.64	7328.82
High susceptible	18.03	4458.12	21.7	5365.57	21.71	5368.04	20.25	5007.03
Total	100	24726.12	100	24726.12	100	24726.12	100	24726.12

705
 706 In general, it is shown that 20.42% (5,048.07 km²) of Rwanda falls into high susceptibility class
 707 whereas 7.75% (1,917.5 km²) falls into very low susceptibility class (Table 3).

708 The Results of the current susceptibility modeling study is in conformity with the previous studies in
 709 the study area (Nsengiyumva 2012; Nsengiyumva et al. 2018; Piller 2016; Nduwayezu 2017;
 710 MIDIMAR 2012, 2015b). This agreement confirms the western and the northern parts as landslide
 711 prone zones while the eastern part is the least susceptible. Objectives of the study have been reached
 712 since the applied models (FR, SI, WoE and LR) produced reasonable susceptibility maps, and
 713 AUC/ROC was used to assess the model performance. The landslide susceptibility mapping should be
 714 followed by detailed risk assessment and vulnerability analysis to improve risk reduction practices.
 715 Disaster risk is normally a product of hazard, risk, vulnerability and exposure. Further quantitative
 716 studies should therefore be conducted to address landslide management uncertainties. However, the
 717 findings from the current study confirmed that the comparison of FR, SI, LR and WoE is a very
 718 reasonable and a promising approach to generate landslide susceptibility maps within prone areas of
 719 Rwanda as well as the centre-eastern-Africa region. Landslide control practices such as contouring,
 720 strip-cropping and terracing should be adopted especially for areas falling into moderate and high
 721 susceptibility classes to lessen the impacts.

722 5. Conclusion

723 Landslide hazards are very recurrent in the study area. In the current study, WOE, FR, LR, and SI
 724 models were applied to map landslide susceptibility in Rwanda. The four models have never been
 725 compared before in the entire literature related to landslide susceptibility studies for the Africa region.

726 A landslide inventory map and 14 maps of conditioning factors were applied to simulate the models.
727 Thus, ROC Curves were used to evaluate the performance of the models. For this case study, it was
728 disclosed that the WOE model achieved the highest AUC value (92.7%) while the SI model produced a
729 lowest AUC value (79.5%). However, all the four models employed in this study are promising
730 approaches for landslide susceptibility studying in Rwanda. Generally, the western part of Rwanda was
731 modeled as highly susceptible to landslides comparing to other parts of the country. Therefore, further
732 detailed studies should be conducted to compare quantitative and process-driven models using different
733 conditioning factors. Conclusively, the results of the current study may be useful for landslide risk
734 mitigation and land use planning in the study area, and in other areas with similar terrain conditions as
735 well as environmental settings. More studies should be performed to include other important
736 conditioning factors that exacerbate increases in susceptibility especially anthropogenic factors.

737 **Acknowledgments**

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